



**Aqtivate Workshop 2024**

***Generative Machine Learning Methods for the Simulation of  
Lattice Field Theory***

**Ankur Singha  
TU Berlin, ML Group**

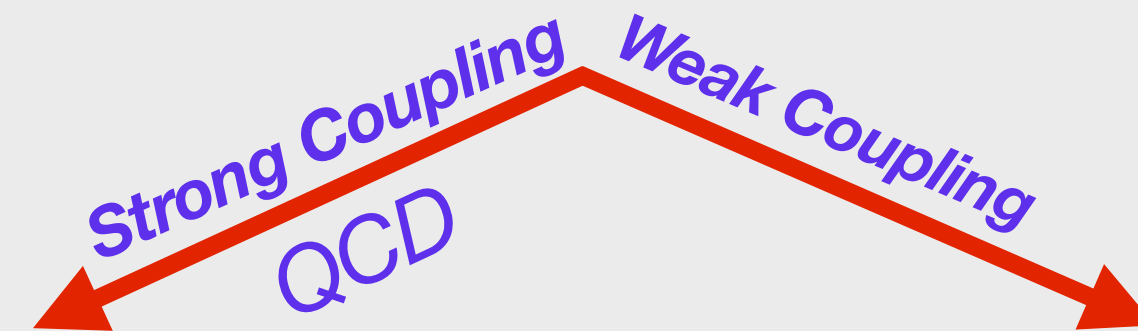


# INTRODUCTION

**Quantum Field Theory** describes physical world at the smallest scales.

Theory: defined by Action or Lagrangian ;

$$\mathcal{L}(\phi, g_i)$$



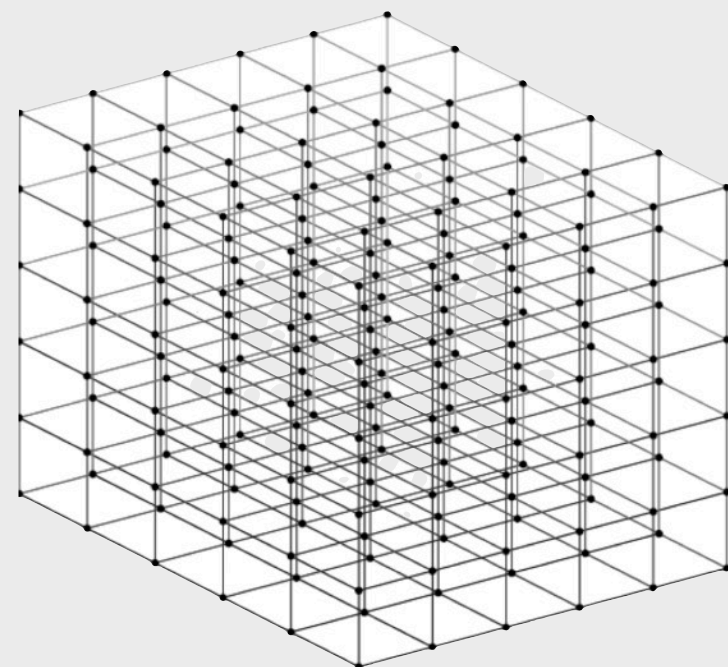
Non-Perturbative Methods

Perturbative Methods



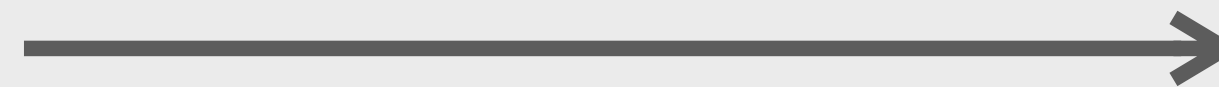
Lattice Field Theory

*Discrete space time*

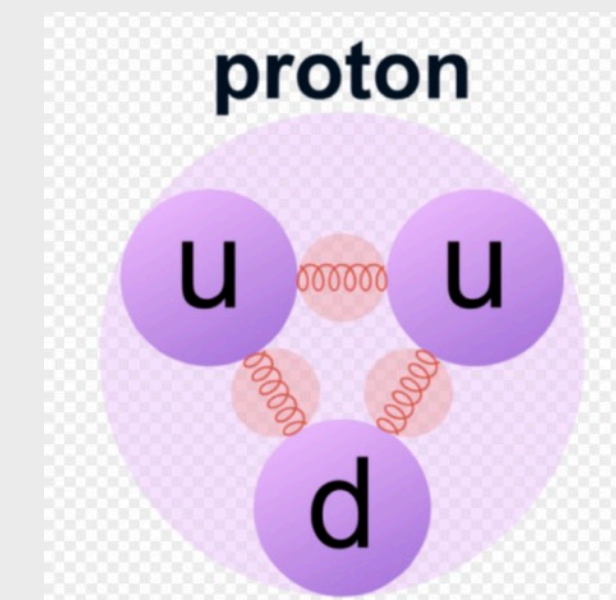


Interested region

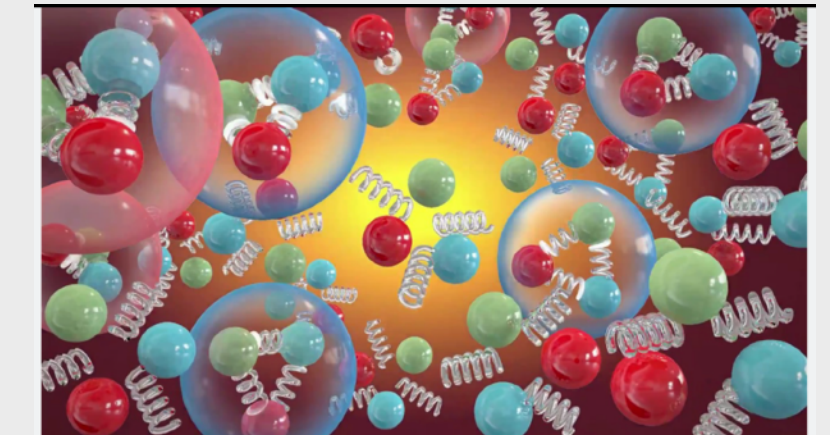
**Statistical methods of simulation**



Prediction: various  
observables



quark-gluon plasma



Lattice QCD explains

# Lattice Field Theory: Sampling task (Phi4 theory)

Continuum QFT action

$$S(\phi) = \int dx^2 \left\{ \frac{1}{2} (\partial_\mu \phi)^2 + \frac{m^2}{2} \phi^2(x) + \frac{\lambda^4}{4!} \phi^4(x) \right\}$$

Feynman path integral

$$\langle \hat{O}_2(\phi(x)) \hat{O}_1(\phi(y)) \rangle = \frac{\int D\phi e^{-S_E(\phi)} O_2(\phi(x)) O_1(\phi(y))}{\int D\phi e^{-S_E(\phi)}}$$

Calculate observables

On lattice: After discretising spacetime

Observable :

$$\langle O \rangle = \sum_{\phi_i} O(\phi_i) \frac{e^{-S(\phi_i)}}{Z} = \sum_{\phi_i} O(\phi_i) P(\phi_i)$$

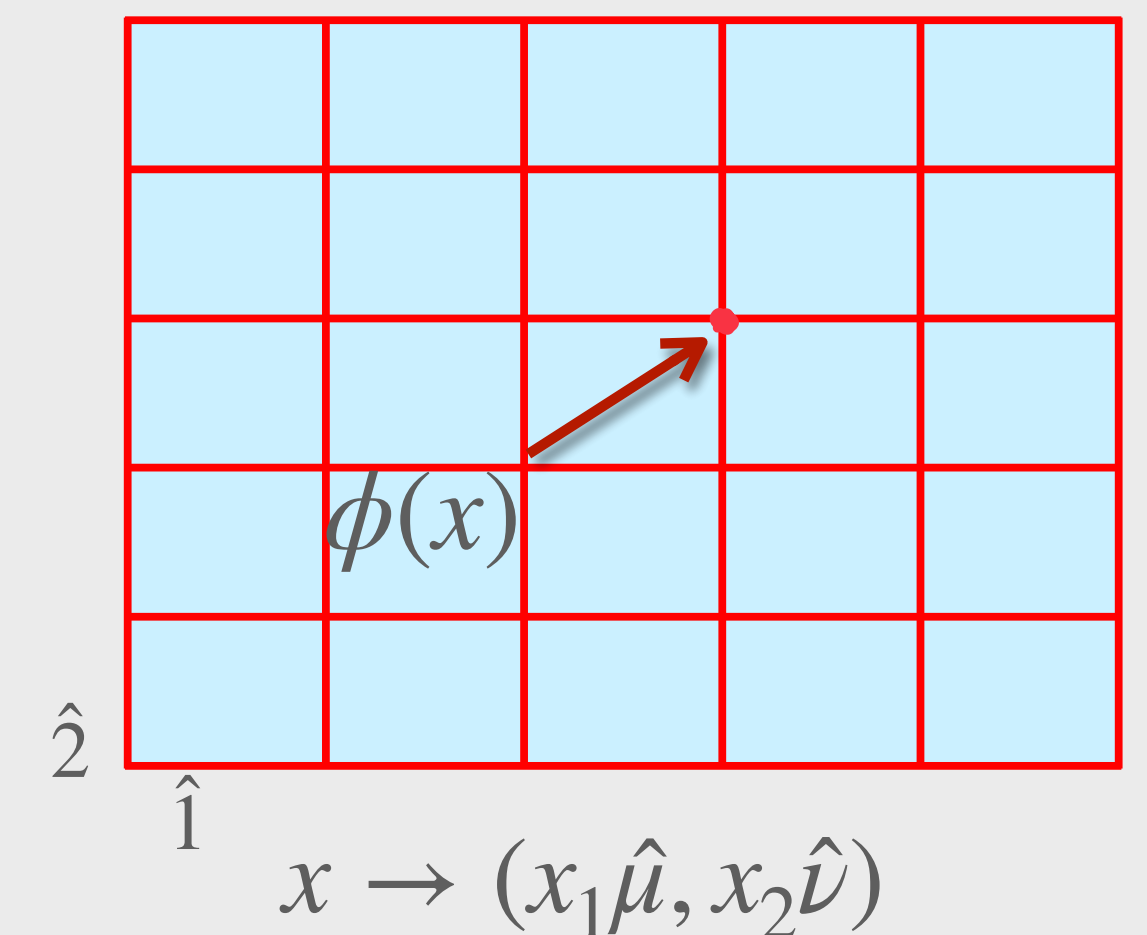
Lattice action :

$$S[\phi, m, \lambda] = \sum_x \sum_{\mu=1,2} [(2 + m') \phi^2(x) - \phi(x) \phi(x + a\hat{\mu}) - \phi(x) \phi(x - a\hat{\mu}) + \lambda' \phi^4(x)]$$

Lattice Field  
Theory

Sampling task

$$P(\phi) = \frac{e^{-S(\phi)}}{Z}$$

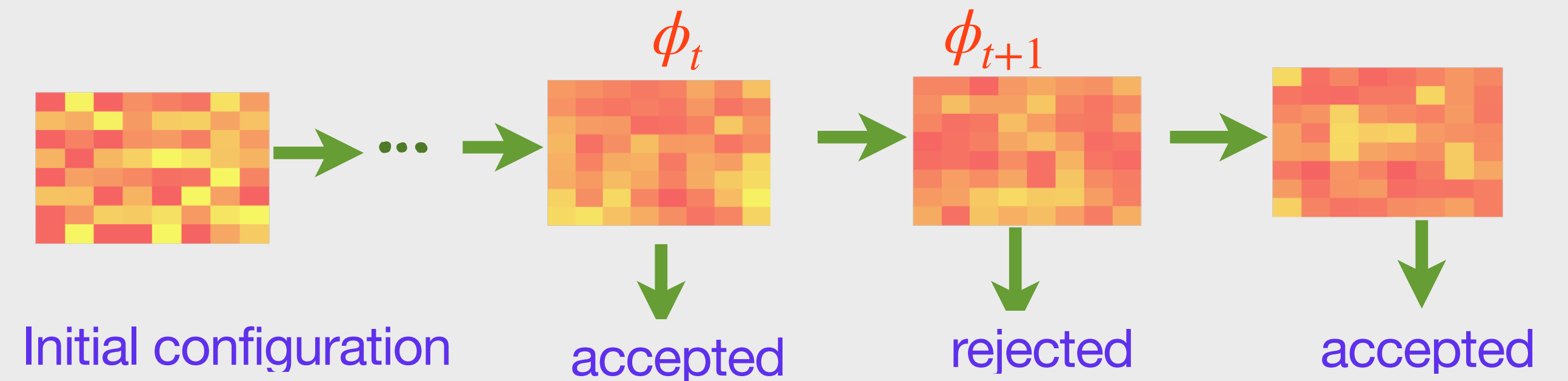


# Markov chain Monte Carlo (MCMC) Simulation

$$\phi \sim P(\phi) = \frac{e^{-S(\phi)}}{Z}$$

## Markov chain

- ✓ Initialize the lattice
- ✓ Propose a new lattice:  $\tilde{\phi} \sim p_{prop}(\phi_{t+1} | \phi_t)$
- ✓ Accept/reject test.  $\phi_{t+1} = \tilde{\phi} \text{ or } \phi_t$



1. Ergodicity: must move to any state within finite steps

2. Detailed balance:  $\frac{P(\phi_i)}{P(\phi_f)} = \frac{T(\phi_f \longrightarrow \phi_i)}{T(\phi_i \longrightarrow \phi_f)}$

❖ Different MCMC algorithm: Metropolis Hasting, Gibbs Sampling, Hybrid Monte Carlo (HMC) etc.

## Hybrid Monte Carlo (HMC)

- ✓ *The proposals are constructed by Hamiltonian dynamics using the lattice action.*
- ✓ *Updates are non-local hence explore the parameter space faster, and also provide high acceptance rate.*



# Critical Slowing Down (CSD)

$(t + 1)^{th}$  proposal depends on previous state  $\phi \sim p_{prop}(\phi_{t+1} | \phi_t)$

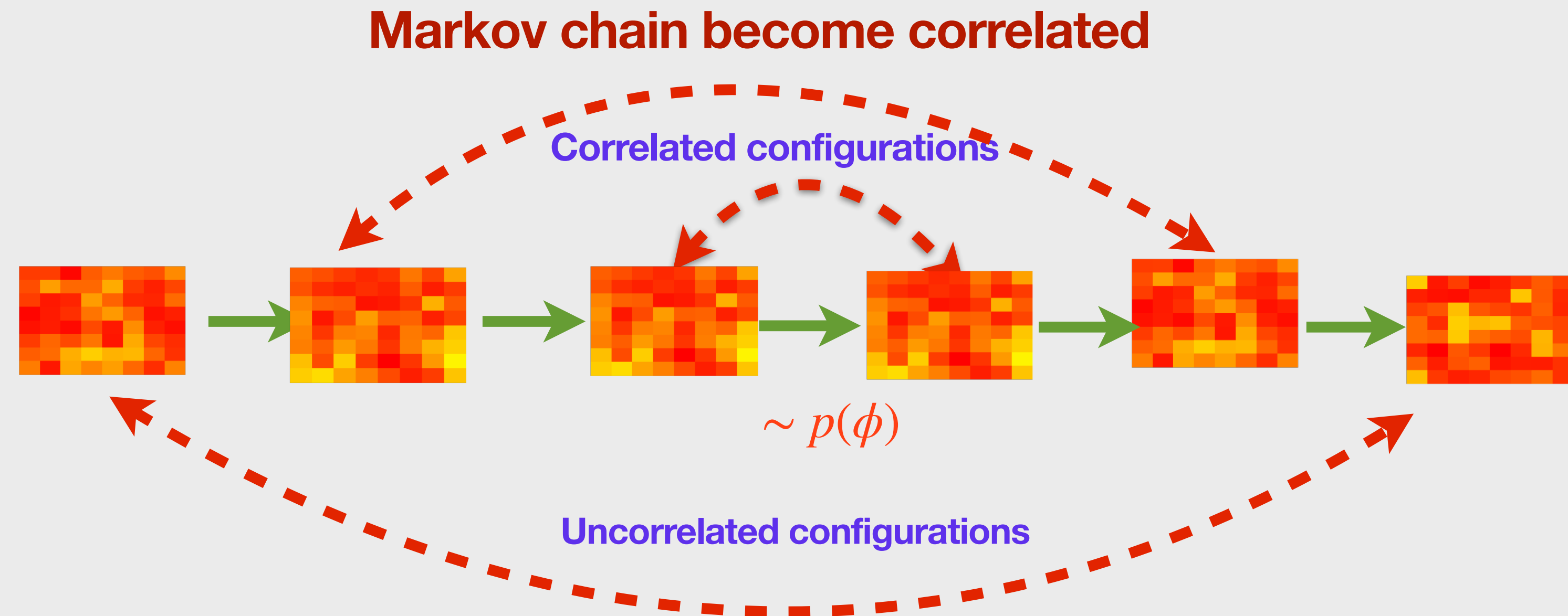
$[\phi_i, \phi_{i+1}, \phi_{i+2}, \phi_{i+3} \dots \phi_{N-1}, \phi_N]$

*Integrated autocorrelation time:*

$$\tau_{int} = \frac{1}{2} + \lim_{\tau \rightarrow \infty} \sum_{\tau=1}^{\tau_{max}} \frac{\rho(\tau)}{\rho(0)}$$

$$\rho(t) = \frac{1}{N-t} \sum_{r=1}^{N-t} (O_r - \bar{O})(O_{r+1} - \bar{O})$$

Larger  $\tau_{int}$  : Error in estimator: Observable become biased.



One generate longer Markov chain and use intermediate samples



Requires  $2 \times \tau_{int}$   
longer simulation run

# Action parameter dependence of Critical Slowing Down (CSD)

**Phi4 lattice action :** 
$$S[\phi, m, \lambda] = \sum_x \sum_{\mu=1,2} [(2 + m)\phi^2(x) - \phi(x)\phi(x + a\hat{\mu}) - \phi(x)\phi(x - a\hat{\mu}) + \lambda\phi^4(x)]$$

$$S(\phi, m_{fixed}, \lambda);$$

**Distribution:**

$$P(\phi) = \frac{e^{-S(\phi, m_{fixed}, \lambda)}}{Z}$$



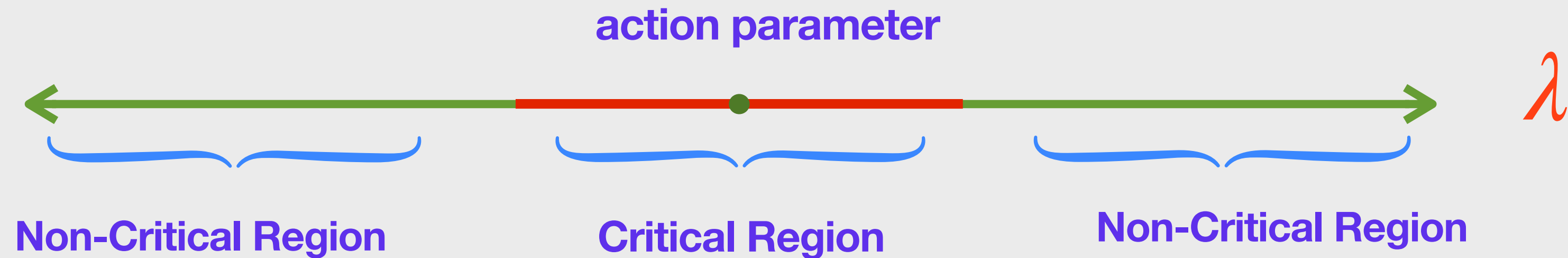
**Monte Carlo simulations generate highly correlated lattice samples**

✓  $\tau_{int}$  **Divergent, CSD dominates**

✓ **Simulation cost is too high**

Generic lattice action :

$$S(\phi, \lambda)$$



**Training in the non-critical region**

$$\phi_i \sim p(\phi | \lambda_i) \text{ using HMC}$$

**Train  
Generative models**

**Sampling in the critical region**

$$\phi_i \sim \tilde{p}(\phi; \lambda_c, \theta_{opt})$$

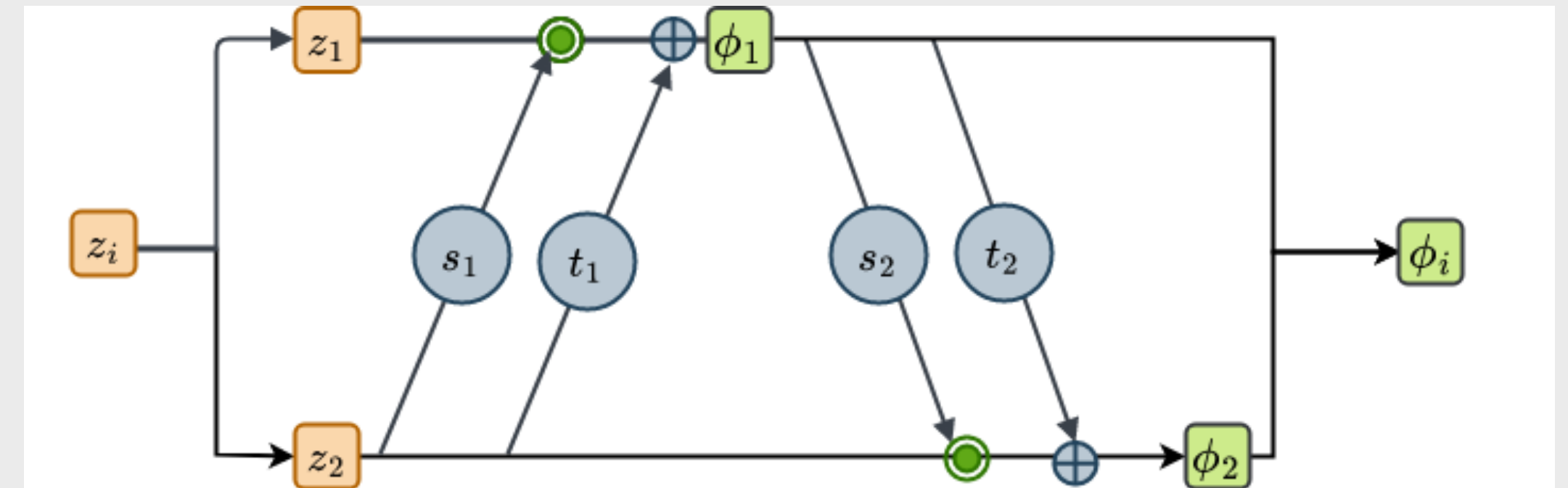
- Uses training samples which are generated by HMC at low cost
- Model generates samples at multiple parameter values
- Avoids mode collapse problems.

# Architecture of NF

## Affine coupling layer architecture:

$$\phi_1 = z_1 \odot \exp(s_1(z_2)) + t_1(z_2)$$

$$\phi_2 = z_2 \odot \exp(s_2(\phi_1)) + t_2(\phi_1)$$



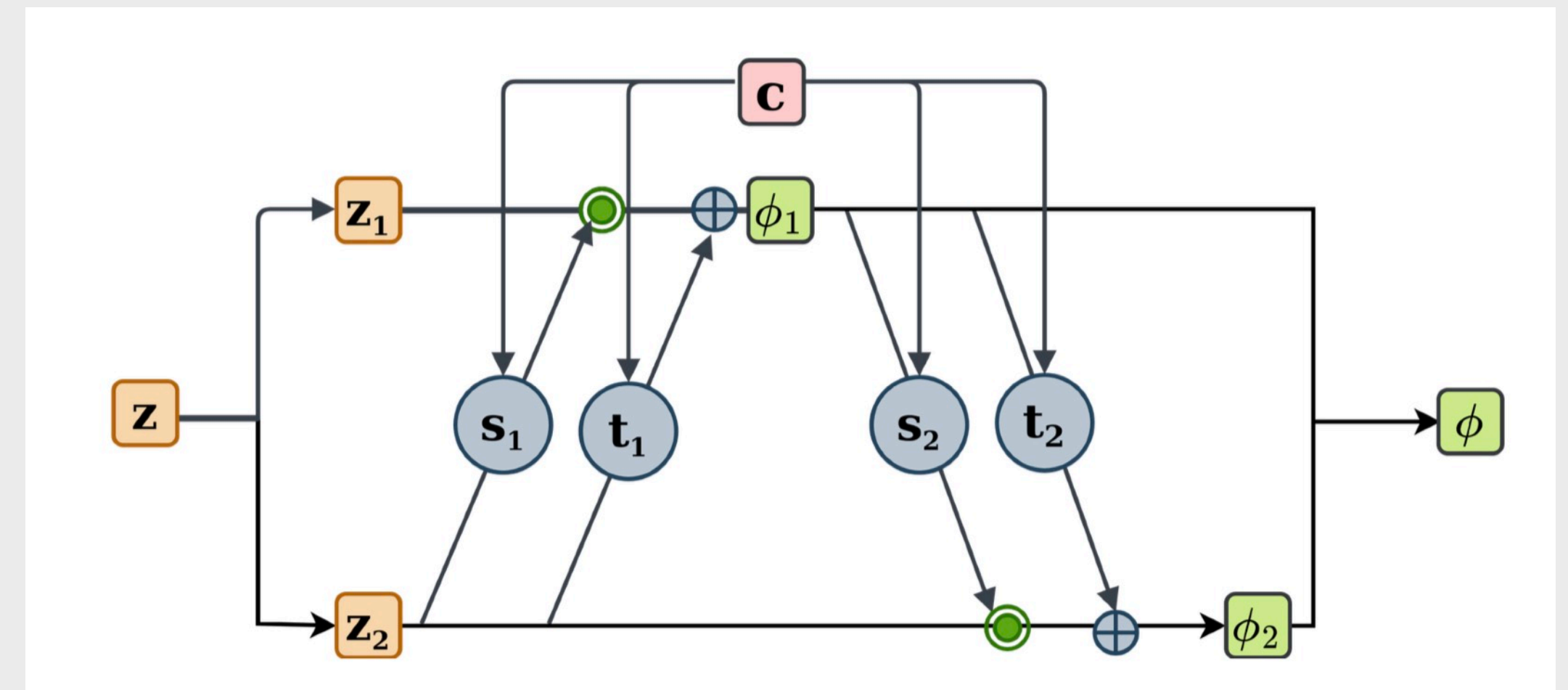
$s_i, t_i$  are the neural networks

$c$  is the condition parameter

## Condition on Affine coupling layer architecture:

$$\phi_1 = z_1 \odot \exp(s_1(z_2, c)) + t_1(z_2, c)$$

$$\phi_2 = z_2 \odot \exp(s_2(\phi_1, c)) + t_2(\phi_1, c)$$





# Normalising Flow for U(1) Gauge Theory

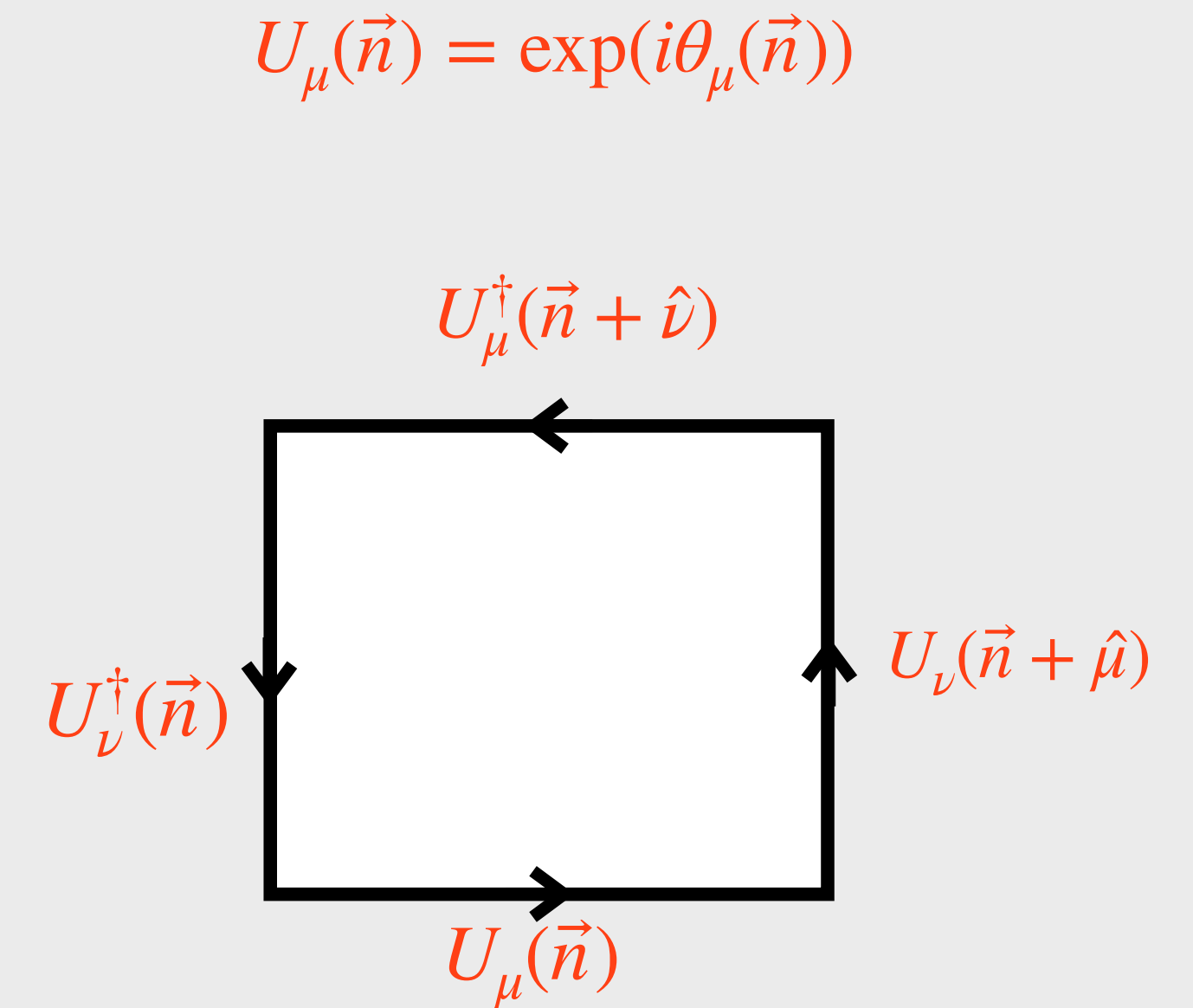
The lattice action for U(1) Gauge Theory:

$$S_{\text{latt}}^E[U] = -\beta \sum_{\vec{n}} \left[ \sum_{\mu < \nu} \text{Re} P_{\mu\nu}(\vec{n}) \right]$$

where  $P_{\mu\nu}(\vec{n}) \equiv U_{\mu}(\vec{n}) U_{\nu}(\vec{n} + \hat{\mu}) U_{\mu}^{\dagger}(\vec{n} + \hat{\nu}) U_{\nu}^{\dagger}(\vec{n})$

$P_{\mu\nu}(\vec{n})$  is known as  
Plaquette.

$U_{\mu}(\vec{n})$  is known as Link  
variable.



Gauge transformation

$$U_{\mu}(\vec{n}) \rightarrow e^{i\alpha(\vec{n})} U_{\mu}(\vec{n}) e^{-i\alpha(\vec{n} + \hat{\mu})}$$

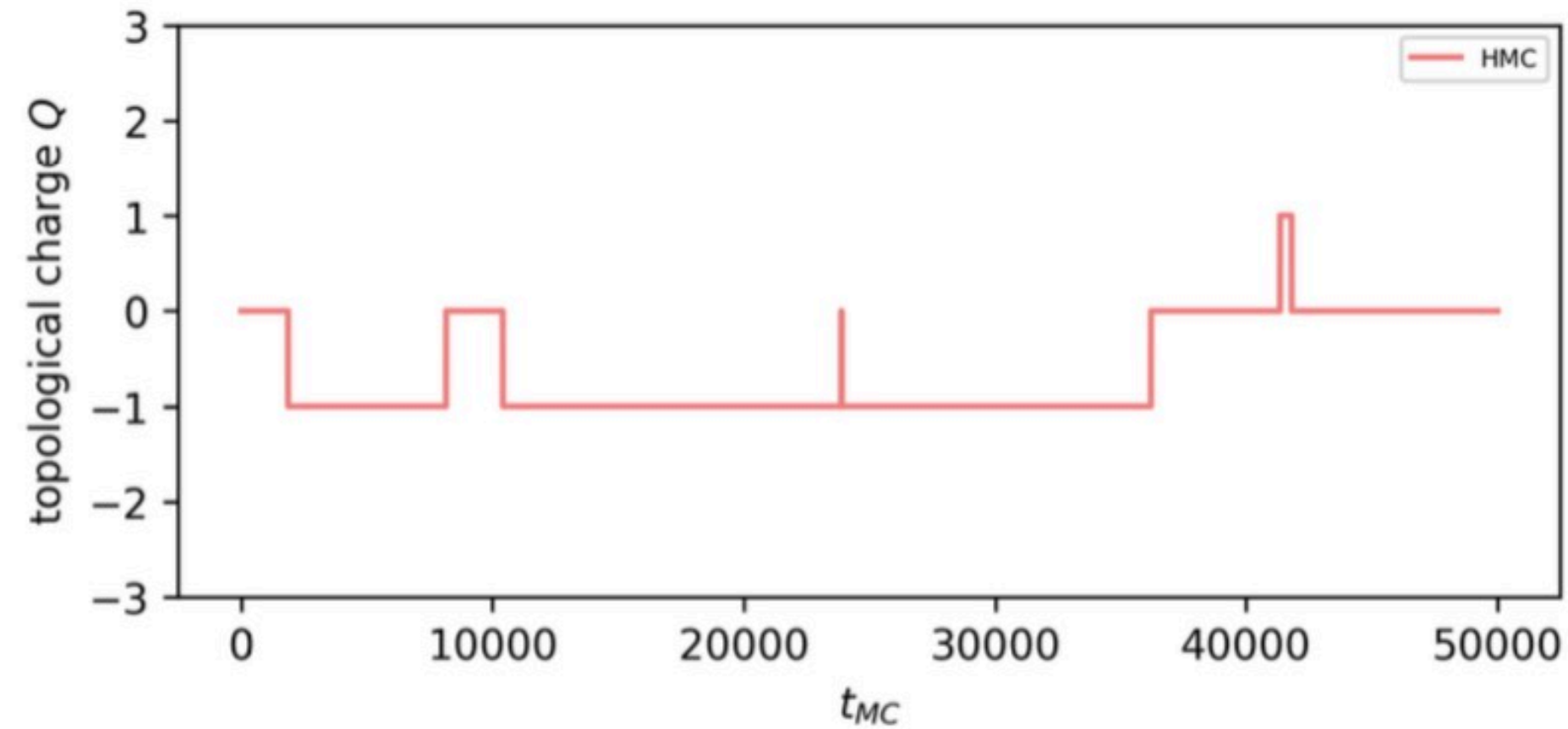
$$P_{\mu\nu}(\vec{n}) = P'_{\mu\nu}(\vec{n})$$

# HMC for U(1) Gauge theory

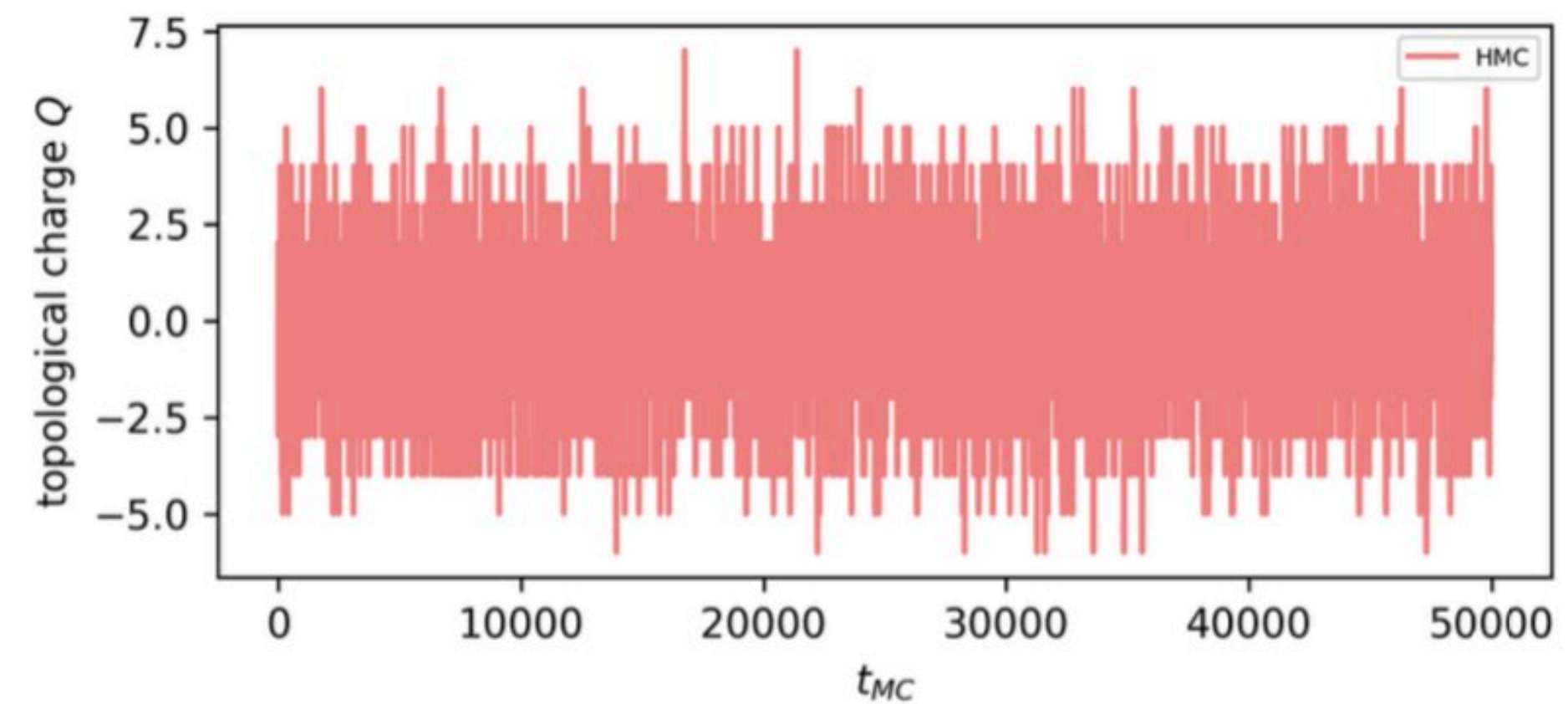
Topological freezing at larger action parameter: Topological Charge

$$Q = \frac{1}{2\pi} \sum_n \arg[U_{\mu\nu}(n)],$$

where,  $\arg[(U_{\mu\nu})] \in [-\pi, \pi]$ .



(A)  $\beta = 7$



(B)  $\beta = 3.5$

Divide the parameter space into two parts

$$\beta_S : \{1.0, 1.5, 1.8, 2, 2.2, 2.5, 2.8, 3, 3.2, 3.5\}$$

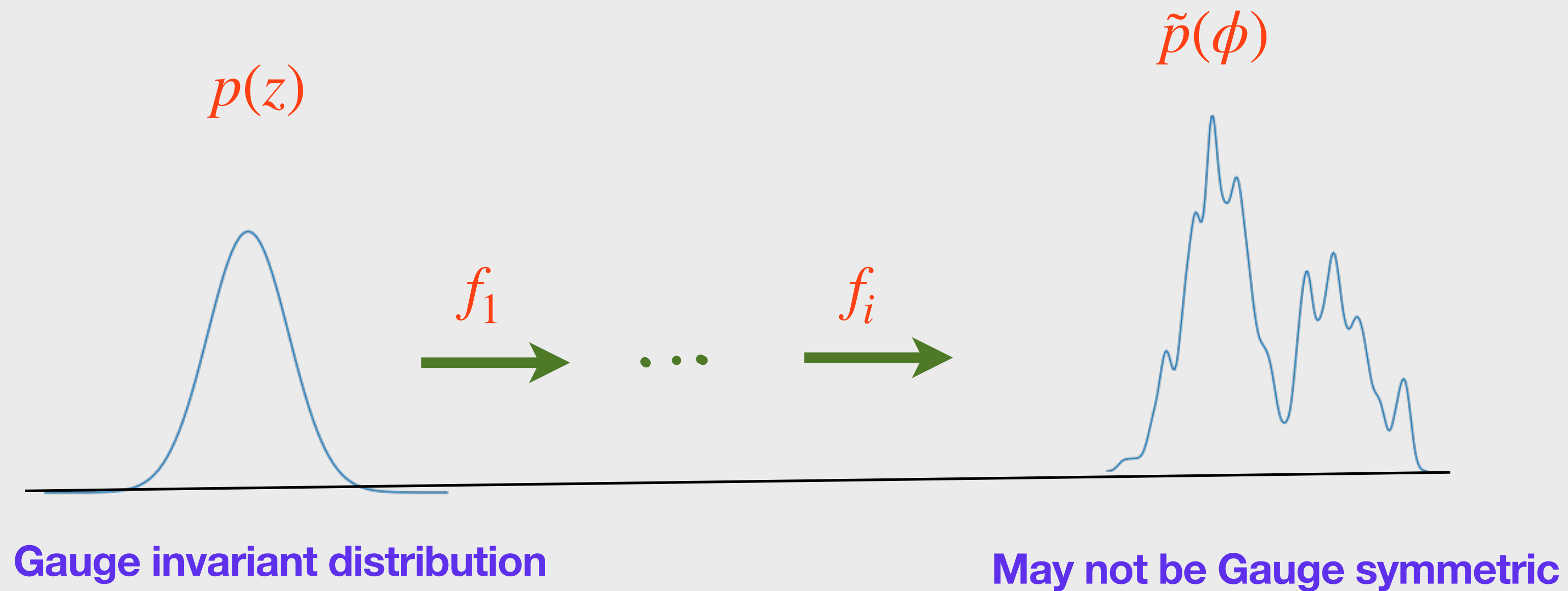
$$\beta_L = \{5.5, 6, 6.5, 7, 7.5\}.$$



# Implementing gauge symmetries in NF model

This action is invariant under gauge transformations  $G$

$$GU_\mu(\vec{n}) \rightarrow e^{i\alpha(\vec{n})} U_\mu(\vec{n}) e^{-i\alpha(\vec{n}+\hat{\mu})}$$



$[f, G] = 0$   Equivariant transformation

Gurtej Kanwar, et al. (2020)

# Implementing gauge symmetries in NF model

Flow act on gauge invariant quantity

$$f : z \longrightarrow \phi$$

$z$  gauge invariant quantity

$$[f, G] = 0$$

Plaquette in the flow

$$f : P \longrightarrow P'$$

Generate Gauge Field

Translate Plaquette to gauge field:

$$T : P' P^{-1}$$

$$T : U \longrightarrow U'$$

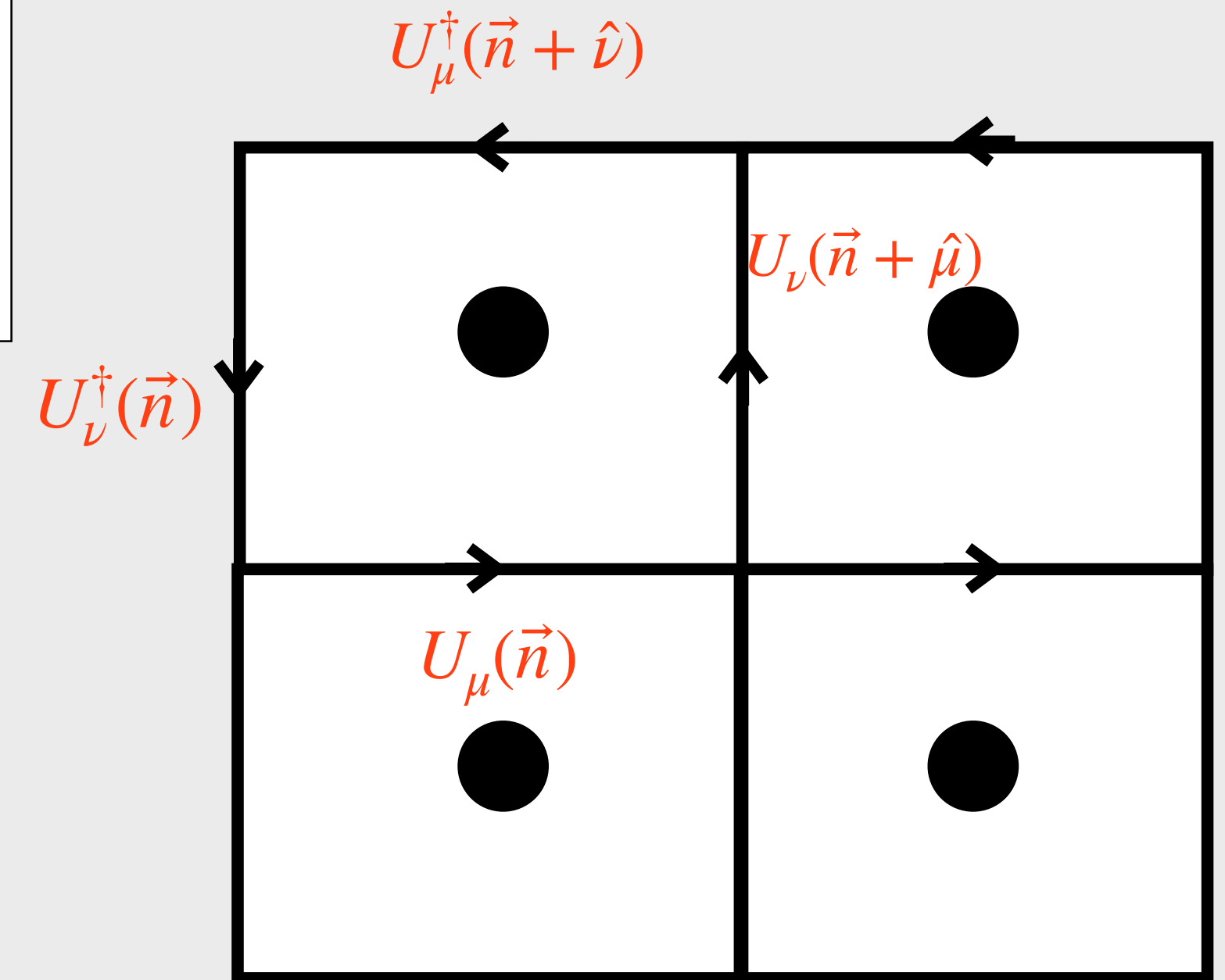
$$P = UV \rightarrow P' P^{-1} UV = P' (V^{-1} U^{-1}) UV = P'.$$

Gurtej Kanwar, et al. (2020)

Actual flow will occur in the plaquette level

We a conditional model on parameters

$$\beta_S : \{1.0, 1.5, 1.8, 2, 2.2, 2.5, 2.8, 3, 3.2, 3.5\}$$

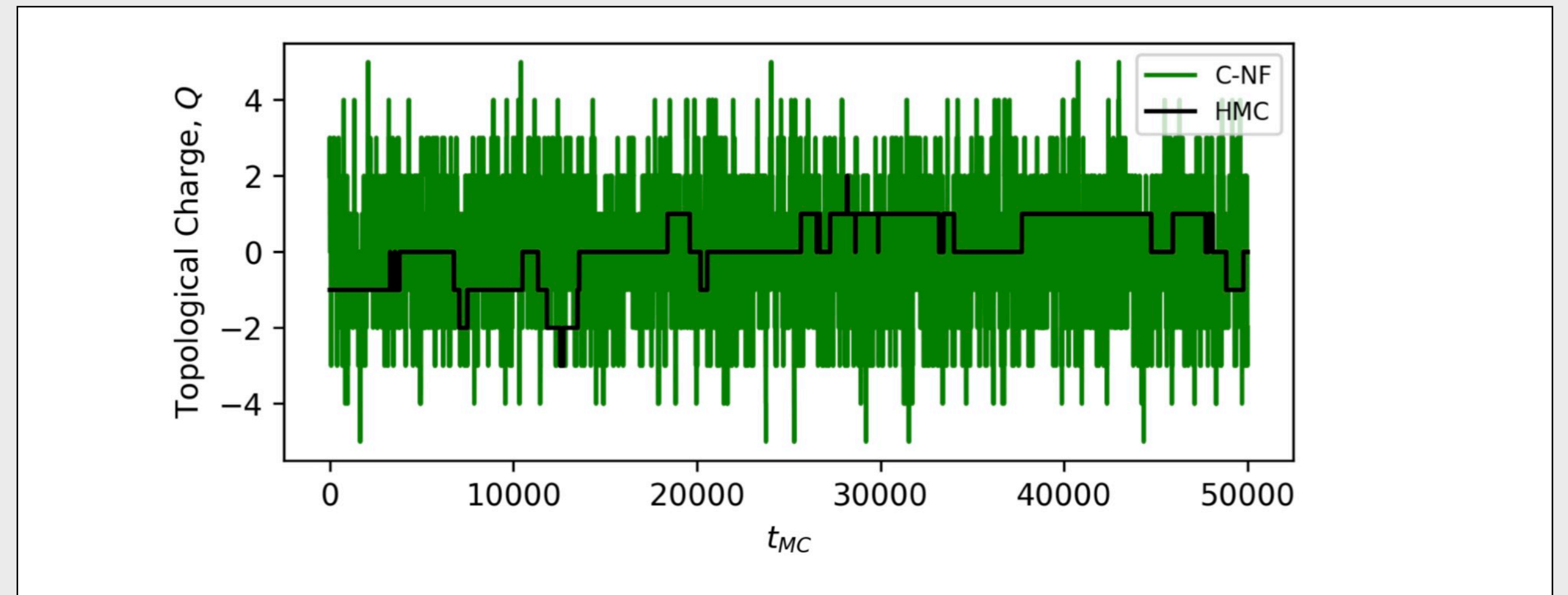
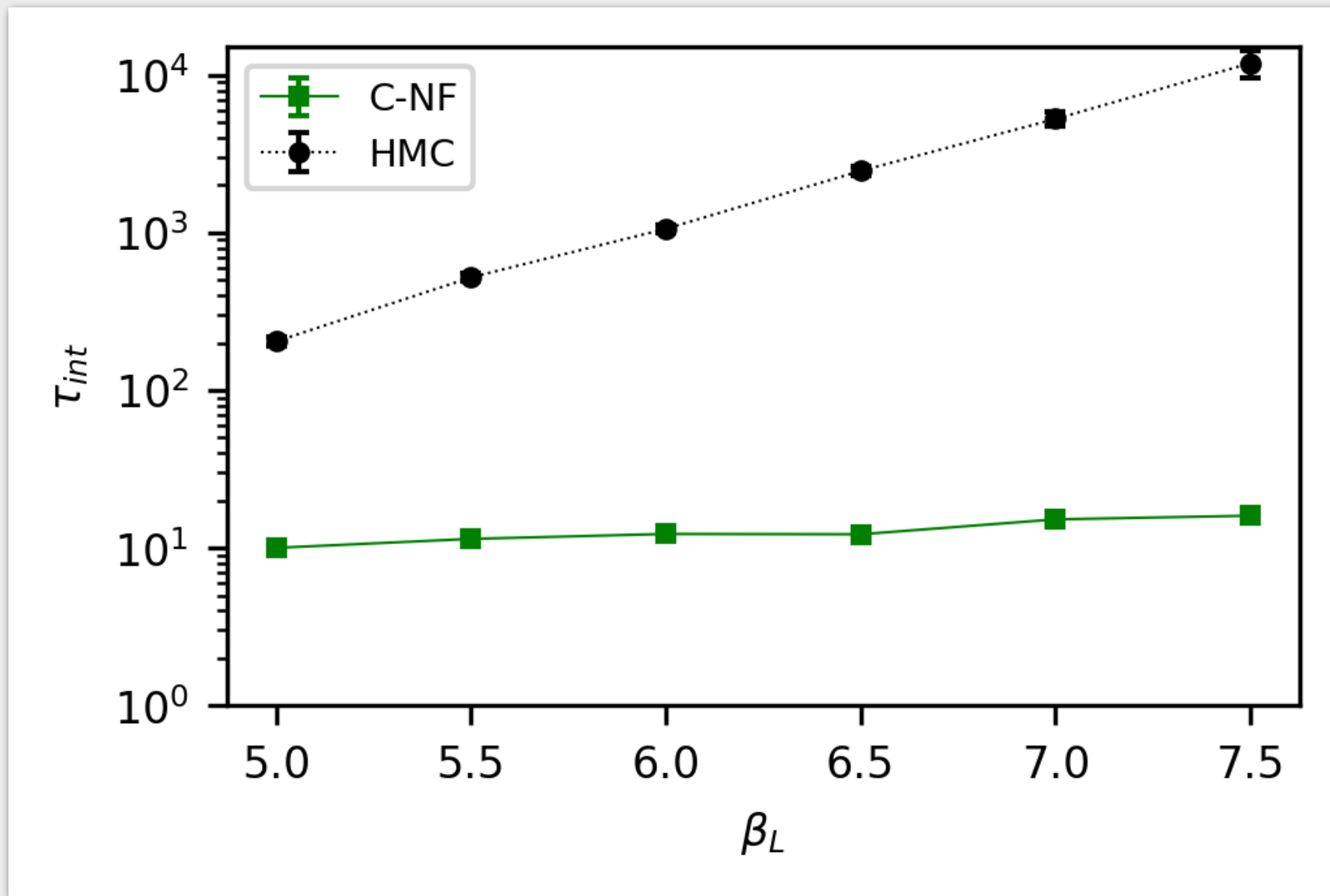


*link dimensions*  $2 \times 3 \times 3$

*plaquette dimensions*  $3 \times 3$



# Autocorrelation in topological charge



- ❖ A constant autocorrelation over the action parameter, in the C-NF model.
- ❖ At much high values HMC completely fails to generate significant ensemble.

**Thank you**