

## Grothendieck vanishing:

### Theorem

Let  $X$  be a Noetherian topological space. If  $\dim(X) = d$ , then  $H^p(X, \mathcal{F}) = 0$  for any sheaf of abelian groups and  $p > d$ .

Rmk Any ringed space  $(X, \mathcal{O}_X)$

there is a forgetful functor

$$f: (X, \mathcal{O}_X) \longrightarrow (X, \mathbb{Z})$$

$$R^i f_* = 0 \quad \text{for } i \geq 1$$

$$H^i(X, \mathcal{F}) \cong H^i(X, f_* \mathcal{F})$$

(i.e. cohomology is the same if treated as  $\mathcal{O}_X$ -module or sheaf of abelian groups.)

Lemma: Let  $i: Z \rightarrow X$  be a closed immersion of topological spaces.

Given  $\mathcal{F}$  a sheaf of abelian groups on  $Z$  we have

$$H^p(Z, \mathcal{F}) \cong H^p(X, i_* \mathcal{F})$$

Proof  $i_*: \text{Shv}(Z, \mathbb{Z}) \rightarrow \text{Shv}(X, \mathbb{Z})$   
is an exact functor (check stalks).  
and sends injectives to  $\Gamma$ -acyclic.

Lemma If  $X$  is an irreducible topological space and  $A$  is an abelian group then  $H^p(X, \underline{A}) = 0$  for  $p \geq 1$ .

Proof Every open  $U \subseteq X$  is connected  
so  $\underline{A}(U) = A$  and  $\underline{A}$  is flasque  
hence  $\Gamma$ -acyclic.

Remark: This shows  $H^1(X, \mathbb{Z}) = 0$  for all smooth proper curves  $\mathbb{A}^1$ .  
Motivated Grothendieck to introduce étale topology.

Sketch of proof of Thm:

Step 1 WLOG  $X$  is irreducible:

If  $j: Z \subseteq X$  closed immersion and

then  $U := X \setminus Z$   $j: U \subseteq X$  open  
we have SES

$$0 \rightarrow j_! j^* \mathcal{F} \rightarrow \mathcal{F} \rightarrow i_* i^* \mathcal{F} \rightarrow 0$$

the support of  $j_! j^* \mathcal{F}$  is

$$\overline{U} \subseteq X \text{ i.e. } j_! j^* \mathcal{F} = i_{\overline{U}*} \mathcal{G}$$

for some  $\mathcal{G}$ .

Appl. this to  $Z$  an irreducible component, then  $\dim(Z), \dim(\overline{U}) \leq \dim(X)$   
and # components  $Z, \overline{U} < \# \text{ components } X$

$$H^p(X, j_! j^* \mathcal{F}) \rightarrow H^p(X, \mathcal{F}) \rightarrow H^p(X, i_* i^* \mathcal{F})$$

$$\parallel$$
  

$$H^p(\overline{U}, \mathcal{G})$$

$$\parallel$$
  

$$H^p(Z, i^* \mathcal{F})$$

$\parallel$   
0 induction  $\parallel$   
0

## Step 2 Dimensional induction:

If  $\dim(X) = 0$  and  $X$  is irreducible  
then  $X = \{*\}$ ,  $\text{Shv}_{(X, \tau)} = \text{Ab}$   
and  $\Gamma(X, -)$  is an equivalence.

Let  $j: U \subseteq X$  any open with  
complement  $Z = X \setminus U$   $i: Z \subseteq X$ .

we have SES:

$$0 \rightarrow j_!^u \underline{\mathbb{Z}} \rightarrow \underline{\mathbb{Z}} \rightarrow i_* \underline{\mathbb{Z}} \rightarrow 0$$

and  $\dim(Z) \leq d-1$ .

$$\begin{array}{ccccc} H^{p-1}(Z, i_* \underline{\mathbb{Z}}) & \rightarrow & H^p(X, j_!^u \underline{\mathbb{Z}}) & \rightarrow & H^p(X, \underline{\mathbb{Z}}) \\ \parallel & & & & \parallel \\ 0 & & & & 0 \\ \text{induction.} & & & & \text{(flaskue)} \end{array}$$

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Intuition: The  $\{j_!^u \underline{\mathbb{Z}}\}_{u \subseteq X}$  as  $u$   
ranges over open subsets  
"generate"  $\text{Shv}_{(X, \tau)}$

Step 3: From general to local,  
generated by one section.

Let  $B$  denote the set of local sections i.e.

$$B = \left\{ (U, s) \mid U \subseteq X \text{ open and } s \in \Gamma(U, \mathcal{F}|_U) \right\}.$$

We have

$$\bigoplus_{(U, s) \in B} j_!^U \mathbb{Z} \rightarrow \mathcal{F}$$

is surjective. Given  $S \subseteq B$

let  $\mathcal{F}_S \subseteq \mathcal{F}$  the image of

$$\bigoplus_{(U, s) \in S} j_!^U \mathbb{Z} \rightarrow \mathcal{F}$$

then 
$$\mathcal{F} = \operatorname{colim}_{\substack{S \subseteq B \\ S \text{ finite}}} \mathcal{F}_S$$

and 
$$H^p(X, \mathcal{F}) = \operatorname{colim}_{\substack{S \subseteq B \\ S \text{ finite}}} H^p(X, \mathcal{F}_S)$$

Homework.

If  $S$  is finite  $S = \{(u_1, s_1), \dots, (u_n, s_n)\}$   
 $T = \{(u_1, s_1), \dots, (u_{n-1}, s_{n-1})\}$ .

$$\begin{array}{ccccccc}
 0 & \rightarrow & \bigoplus_{i=1}^{n-1} j_!^{u_i} \mathbb{Z} & \rightarrow & \bigoplus_{i=1}^n j_!^{u_i} \mathbb{Z} & \rightarrow & j_!^{u_n} \mathbb{Z} \rightarrow 0 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 0 & \rightarrow & \mathcal{F}_T & \rightarrow & \mathcal{F}_S & \rightarrow & \mathcal{F}_S / \mathcal{F}_T \rightarrow 0
 \end{array}$$

$$\begin{array}{ccccc}
 H^p(x, \mathcal{F}_T) & \rightarrow & H^p(x, \mathcal{F}_S) & \rightarrow & H^p(x, \mathcal{F}_S / \mathcal{F}_T) \\
 \parallel & & & & \parallel \\
 \circ & & & & \circ \\
 \text{induction} & & & & \text{assumption of step.}
 \end{array}$$

Step 4: subsequence of  $j_!^u \mathbb{Z}$

we have

$$0 \rightarrow G \rightarrow j_!^u \mathbb{Z} \rightarrow \mathcal{F} \rightarrow 0$$

let  $h \in X$  be the generic point.

for all  $x \in X$  we have

$$\begin{array}{ccc}
 G_x \hookrightarrow (j_!^u \mathbb{Z})_x & & \\
 \downarrow & & \downarrow \\
 G_h \hookrightarrow (j_!^u \mathbb{Z})_h = \mathbb{Z} & & 
 \end{array}$$

then  $G|_U = d\mathbb{Z} \subseteq \mathbb{Z}$  and

$G \rightarrow j_!^u \mathbb{Z}$  factors through

$$G \rightarrow j_!^u d\mathbb{Z} \subseteq j_!^u \mathbb{Z}$$

Consider  $0 \rightarrow G \rightarrow j_!^u d\mathbb{Z} \rightarrow \mathcal{F}' \rightarrow 0$

Suppose  $G(v) \neq 0$  for some  
 $v \in U$  then

$$j_!^v d\mathbb{Z} \subseteq G \subseteq j_!^u d\mathbb{Z}$$

this shows  $\mathcal{F}'|_U = 0$  Let  $Z = X \setminus U$

$i: Z \subseteq X$  then  $\mathcal{F}' = i_* i^* \mathcal{F}'$

by dimension induction

$$\begin{array}{ccccc} H^{p-1}(x, \mathcal{F}') & \rightarrow & H^p(x, G) & \rightarrow & H^p(x, j_!^u \mathbb{Z}) \\ \parallel & & & & \parallel \\ 0 & & & & 0 \end{array}$$

$$\therefore \begin{array}{ccccc} H^p(x, j_!^u \mathbb{Z}) & \rightarrow & H^p(x, \mathcal{F}) & \rightarrow & H^{p+1}(x, G) \\ \parallel & & & & \parallel \\ 0 & & & & 0 \end{array}$$

$Rf_*^i$  preserve  $Qcoh$  :

Proposition

Let  $f: X \rightarrow Y$  be a qcqs map of schemes and let  $F \in Qcoh(X)$ . Then  $R^i f_* F \in Qcoh(Y)$  and for all open affine  $V \subseteq Y$  with  $U = f^{-1}(V)$  we have

$$R^i f_* F(V) = \widetilde{H^i(U, F)}.$$

Proof  $R^i f_* F$  is the sheafification of  $V \mapsto H^i(f^{-1}(V), F|_V)$ .

so the formula holds

$$(R^i f_* F)|_V = R^i f_*^V (F|_{f^{-1}(V)}).$$

WLOG  $V = \text{Spec } B$  and we want to show  $R^i f_* F = \widetilde{H^i(X, F)}$ .



Let  $g \in B$  it suffices to show

$$H^i(X, \mathcal{F})[g^{-1}] = H^i(f^{-1}(D(g)), \mathcal{F}).$$

Case 1  $X$  is separated:

Write  $X = \bigcup_{i=1}^n U_i$  affine cover.

$H^i(X, \mathcal{F})$  is computed by Čech complex  $C^\bullet(\{U_i\}_{i=1}^n, \mathcal{F})$  with

$$C^k(\{U_i\}_{i=1}^n, \mathcal{F}) = \bigoplus_{\substack{J \subseteq \{1, \dots, n\} \\ |J|=k}} \mathcal{F}(U_J)$$

Moreover, each  $U_J$  is affine,

$$f^{-1}(D(g)) = \bigcup_{i=1}^n D_{U_i}(g) \quad \text{and}$$

$$\bigcap_{i \in J} D_{U_i}(g) = D_{U_J}(g)$$

is again affine.

$H^i(f^{-1}(D(g)), \mathcal{F})$  is computed by Čech complex  $C^\bullet(\{D_{U_i}(g)\}_{i=1}^n, \mathcal{F})$

Note that

$$C(\{D_{u_i}(s)\}_{i=1}^n, \mathcal{F}) = C(\{u_i\}_{i=1}^n, \mathcal{F}) \left[ \frac{1}{s} \right]$$

Since localization is exact, it commutes with cohomology

$$\begin{aligned} \text{So } H^i_{\text{ét}}(f^{-1}(D(s)), \mathcal{F}) &= H^i_{\text{ét}}(X, \mathcal{F}) \left[ \frac{1}{s} \right] \\ \text{"} \quad \quad \quad \text{"} \quad \quad \quad \text{"} \\ H^i(f^{-1}(D(s)), \mathcal{F}) &= H^i(X, \mathcal{F}) \left[ \frac{1}{s} \right]. \end{aligned}$$

Case 2, general  $X$ :

We only assume  $X$  is qcqs over  $Y = \text{Spec } B$ .

We have finite covers by affines

$$X = \bigcup_{i=1}^n U_i \quad \text{and the } U_i \text{ are}$$

quasicompact separated schemes

but not necessarily affine,  
in particular  $H^p(U_i, \mathcal{F})$  might not  
vanish for  $p \geq 1$ .

We still have Čech-to-cohomology spectral sequence.

we have a map of Čech complexes

$$C^*(\{u_i\}_{i=1}^n, \underline{H^i(-, \mathcal{F})})$$



$$C^*(\{D u_i(g)\}_{i=1}^n, \underline{H^i(-, \mathcal{F})}) \text{ and}$$

by the separated case this map induces an isomorphism.

$$C^*(\{D u_i(g)\}_{i=1}^n, \underline{H^i(-, \mathcal{F})})$$

$$= C^*(\{u_i\}_{i=1}^n, \underline{H^i(-, \mathcal{F})}) \left[ \frac{1}{g} \right]$$

Let  $U = \{u_i\}_{i=1}^n$  and

$$V = \{D_{u_i}(g)\}$$

we have functors

$$F_x: \text{Shv}_{(x, \mathcal{O}_x)\text{-mod}} \longrightarrow \text{PSh}_x$$

$$\mathcal{F} \longmapsto [u \mapsto H^i(u, \mathcal{F})]$$

$$F_{D(g)}: \text{Shv}_{(x, \mathcal{O}_x)} \longrightarrow \text{PSh}_x$$

$$\mathcal{F} \longmapsto [u \mapsto H^i(\text{unf}^{-1}(D(g)), \mathcal{F})]$$

and natural transformation

$$F_x \Rightarrow F_{D(g)}$$

$$\begin{array}{ccc} \text{Moreover,} & H^0_u \circ F_x & \Rightarrow H^0 \circ F_{D(g)} \\ & \parallel & \parallel \\ & H^0(x, -) & H^0(D(g), -) \end{array}$$

We set morphism of convergent  
Grothendieck spectral sequences

$${}^u E_2^{p,q} = \check{H}_u^p(X, H^q(u_*, F)) \Rightarrow H^{p+q}(X, F)$$

$${}^v E_2^{p,q} = \check{H}_v^p(f^{-1}(D(s)), H^q(f^{-1}(D(s))u_*, F)) \Rightarrow H^{p+q}(f^{-1}(D(s)), F)$$

By the separated case

$${}^u E_2^{p,q} \left[ \frac{1}{g} \right] \xrightarrow{\sim} {}^v E_2^{p,q}$$

since each  $u_*$  is separated.

Since localization is exact this

$$\text{shows } {}^u E_r^{p,q} \left[ \frac{1}{g} \right] \xrightarrow{\sim} {}^v E_r^{p,q} \quad \forall 2 \leq r \leq \infty.$$

By construction

$$H^{p+q}(X, F) \left[ \frac{1}{g} \right] \rightarrow H^{p+q}(f^{-1}(D(s)), F)$$

is compatible with filtration  
and isomorphism on graded pieces  
since it is at  $E_\infty^{p,q}$ .