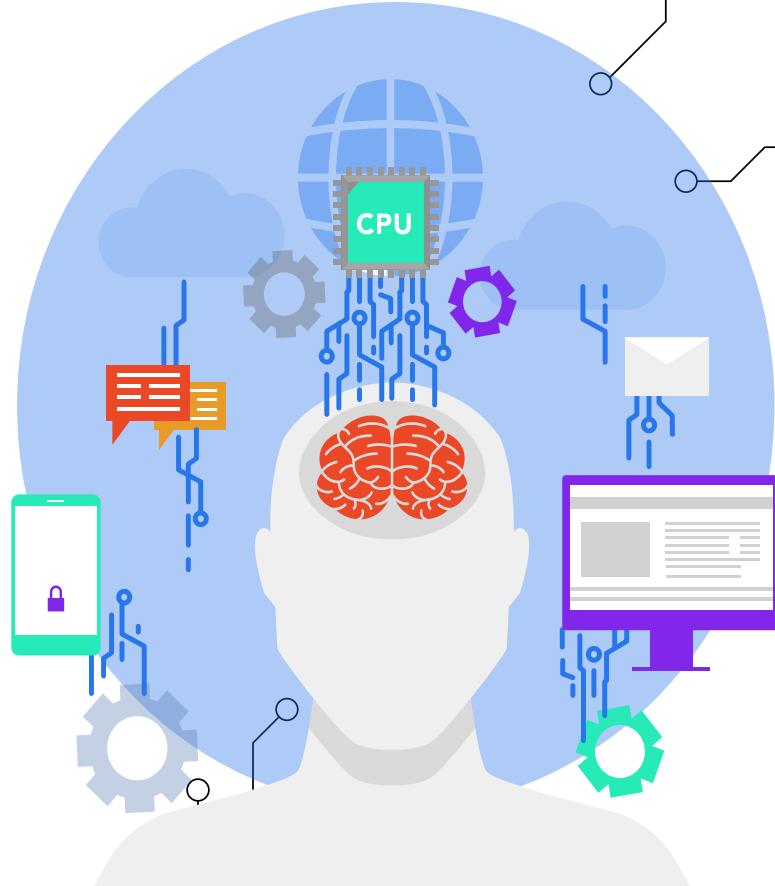
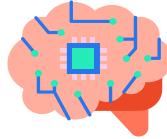


STATE ESTIMATION MODEL DEVELOPMENT FOR LITHIUM-ION BATTERY PACKS

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Programme: MH
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INTRODUCTION



PROBLEM STATEMENT

Transition from the **3IR** to the **4IR** has led to the widespread adoption of **AMRs** across various **Industrial Sectors**.



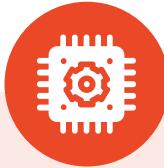
An effective and efficient **BMS** with accurate **SoC** estimation is crucial for **Discharging & Charging Cycles Schedule**.



CONVENTIONAL METHOD

- Linear Math-Based Models
- High Errors
- Low Accuracy
- **Dynamic and Non-Linear System**
- Tideous Parameters Optimizing

vs



DATA-DRIVEN METHOD

- Dynamic Data-Based Models
- Low Errors
- High Accuracy
- **Dynamic and Non-Linear System**
- Straightforward Hyperparameters Optimizing



LITERATURE REVIEW

Coulomb Counting Method

- Based on CC Equation
- **Process Experimental SoC**
- Predict (NO), Update (YES)

Model-Predictive Method

- Based on Kalman Filter
- **EKF, UKF, PF**
- Predict (YES), Update (YES)

Neural Network Method

- Based on Black Box Model
- **BPNN, RNN, LSTM, GRU**
- Predict (NO), Update (NO)

AIM & OBJECTIVE

- Necessity & Importance for the Data-Driven Models
- Best-Performing Data Driven & Conventional Models
- Minimum MAE at 10, RSME at 15, and R2 at 0.8 for Reliable SoC Estimation Evaluation

Look-Up Table Method

- Based on Lookup Table
- Current, f_{SOC} , Internal Parameters
- Predict (YES), Update (NO)
- Open Circuit Voltage, Internal Resistance ...

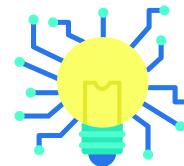
Fuzzy Logic Method

- Based on 4 Layers Fuzzy Logic, Fuzzy Rule Base, Inference Engine, Defuzzification

Support Vector Machine Method

- Based on 2-Layers Kernel Function, Regression Function

METHODOLOGY & WORKPLAN



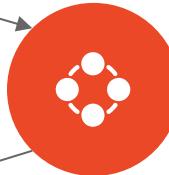
Collect the Dataset

- Li-NMC, Li-Ion, Li-NCA, Li-PO, Li-FP
- Terminal Voltage, Load Current, Ambient Temperature
- Operating Temperature, Rate, States



Process the Dataset

Prepare SoC with Coulomb Counting Method, and Guidance of Experiment Notes



Develop the Model

- Develop the Models with the Open-Sourced Codes from GitHub and Pytorch Library.
- Optimize the Models based on the Parameters and Hyperparameters.



Evaluate the Model

Evaluate the Estimation Performance with MAE, RSME, R2 for each Model

Improve the Model

Fine-Tuning each Model to Exceed the Minimum MAE at 10, RSME at 15 and R2 at 0.8

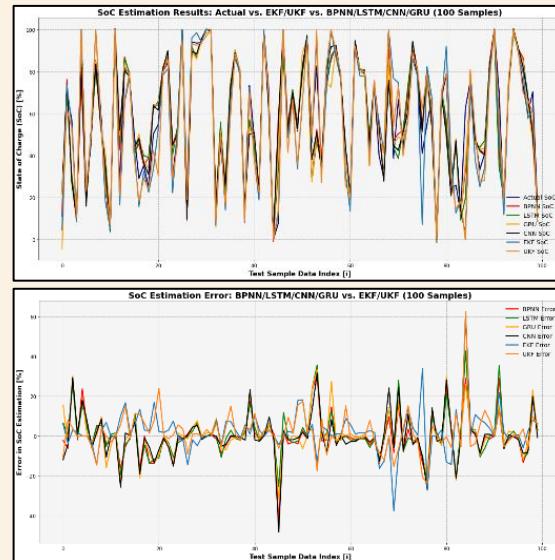


RESULT & DISCUSSION

Performance Metrics

	MAE	RSME	R2
BPNN	6.1325	10.1701	0.8806
EKF	6.3182	8.9174	0.8608
LSTM	6.4907	10.4336	0.8743
GRU	6.5692	10.8746	0.8634
CNN	6.8554	11.2293	0.8544
UKF	7.4654	10.0908	0.8279

SoC Estimation & Error



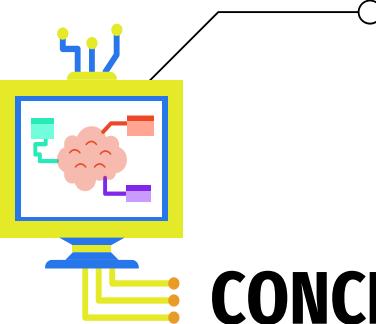
Key Points

BPNN MODEL

- Best: MAE & R2
- Hyperparameter: 10
- APD: 26.98%
- Steady SoC Estimation & Error Values

EKF METHOD

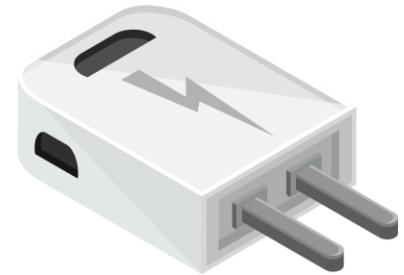
- Best: RSME
- Parameter: 300
- APD: 28.32%
- High Fluctuation in SoC Estimation & Error Values

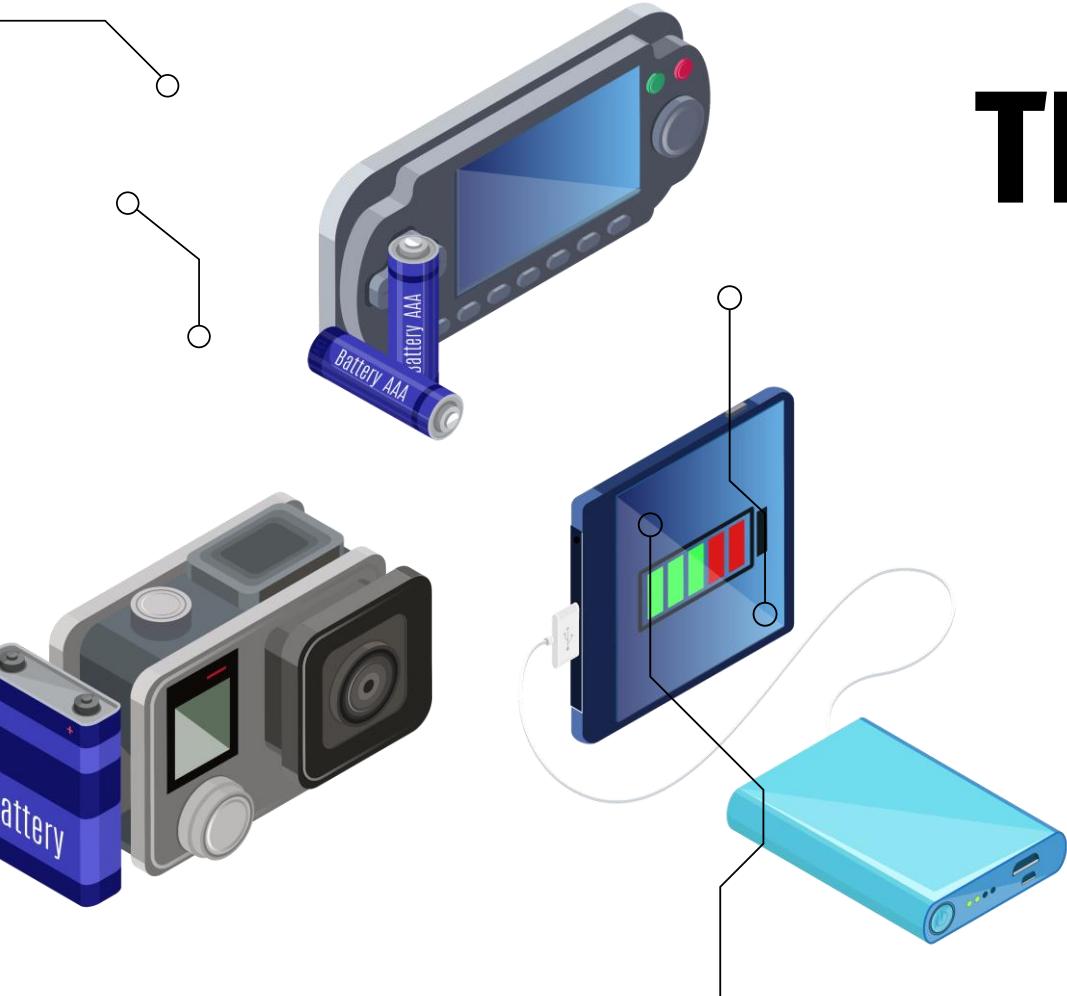


CONCLUSIONS

It is Necessary and Important to Implement the **Data-Driven Models** for **SoC Estimation** for the **LIB** and **LiBat** Systems in AMR Sectors.

- **Best-Performing Conventional Model – EKF Method**
- **Best Performing Data-Driven Model – BPNN Model**
- **Model Ranking – BPNN, EKF, LSTM, GRU, CNN, UKF**





THANKS FOR LISTENING!

Q & A SESSION
DO YOU HAVE ANY QUESTIONS?

REFERENCE

- McNulty, D., Hennessy, A., Li, M., Armstrong, E. and Ryan, K.M., 2022. A review of Li-ion batteries for autonomous mobile robots: Perspectives and outlook for the future. *Journal of Power Sources*, 545, p.231943.
- [INTRO – Problem Statement: Trend For Implementation of AMR in Industrial Sector]

Xiong, R., Cao, J., Yu, Q., He, H. and Sun, F. 2018, Critical Review on the Battery State of Charge Estimation Methods for Electric Vehicles. in *IEEE Access*, vol. 6, pp. 1832–1833.
[INTRO – Problem Statement: State Estimation Methods (Conventional vs. Date Driven)]
[LR – Conventional Methods (Look-Up Table/Coulomb Counting/Model-Based Methods)]
[LR – Model-Based Methods : Mathematical Models (ECM/EIM/EM Methods)]

Ali, M.U., Zafar, A., Nengroo, S.H., Hussain, S., Alvi, M.J. and Kim, H.J. 2019, Towards a Smarter Battery Management System for Electric Vehicle Applications: A Critical Review of Lithium-Ion Battery State of Charge Estimation. *Energies*, 12(3), pp. 446
[LR – Conventional Methods (Look-Up Table/Coulomb Counting/Model-Based Methods)]
[LR – Model-Based Methods : Adaptive Models]
[LR – Data-Driven Methods (ANN/FL/SVM Methods)]

Chang, W.Y. 2013, The State of Charge Estimating Methods for Battery: A Review. *ISRN Applied Mathematics*, pp. 1-7.
[LR – Conventional Methods (Look-Up Table/Coulomb Counting Methods)]
[LR – Data-Driven Methods (ANN/FL/SVM Methods)]

REFERENCE

Chang, W.Y. 2013, The State of Charge Estimating Methods for Battery: A Review. ISRN Applied Mathematics, pp. 1-7.

[LR - Conventional Methods (Look-Up Table/Coulomb Counting Methods)]

[LR - Data-Driven Methods (ANN/FL/SVM Methods)]

Moussalli, Z., Brahim Sedra, M. and Ait Laachir, A., 2018, State of Charge estimation algorithms in Lithium-ion battery-powered Electric Vehicles, 2018 International Conference on Electronics, Control, Optimization and Computer Science (ICECOCS), pp. 1-6.

[LR - Model-Based Methods : Adaptive Models - Filter-Based Methods (KF/EKF/UKF)]

Umair Ali, M., Hussain Nengroo, S., Adil Khan, M., Zeb, K., Ahmad Kamran, M. and Kim, H.-J., 2018, A Real-Time Simulink Interfaced Fast-Charging Methodology of Lithium-Ion Batteries under Temperature Feedback with Fuzzy Logic Control. Energies

[LR - Date Driven Methods : FL Method]

Patle. A. and Chouhan D. S., 2013, SVM kernel functions for classification, International Conference on Advances in Technology and Engineering (ICATE), 2013, pp. 1-9.

[LR - Date Driven Methods : SVM Method]

APPENDICES

Items	Website Link
Source Codes	EKF Method: https://github.com/larchuto/Battery-Kalman UKF Method: https://github.com/AlterWL/Battery_SOC_Estimation ANN Models: https://colab.research.google.com/drive/1KkYprjWm3QDoHpZ2NA0cj5Cyxoc530cF
Battery Datasets	LG 18650HG2 3000mAh 3.6V Li-NMC: https://doi.org/10.17632/cp3473x7xv.3 Samsung IN21700-30T 3000mAh 3.6V Li-Ion: https://doi.org/10.17632/9xyvy2njj3.1 SB LiMotive 5200mAh 3.7V Li-NCA: https://doi.org/10.5683/SP3/LFPKAS Turnigy Graphene 5000mAh 3.7V Li-PO: https://doi.org/10.17632/4fx8cjprxm.1 A123 1100mAh 3.2V Li-FP: https://data.mendeley.com/datasets/kxsbr4x3j2/2 A123 2000mAh 3.2V Li-FP: https://doi.org/10.1016/j.apenergy.2013.07.008 A123 4400mAh 3.2V Li-FP: https://doi.org/10.57745/XIDTZH