

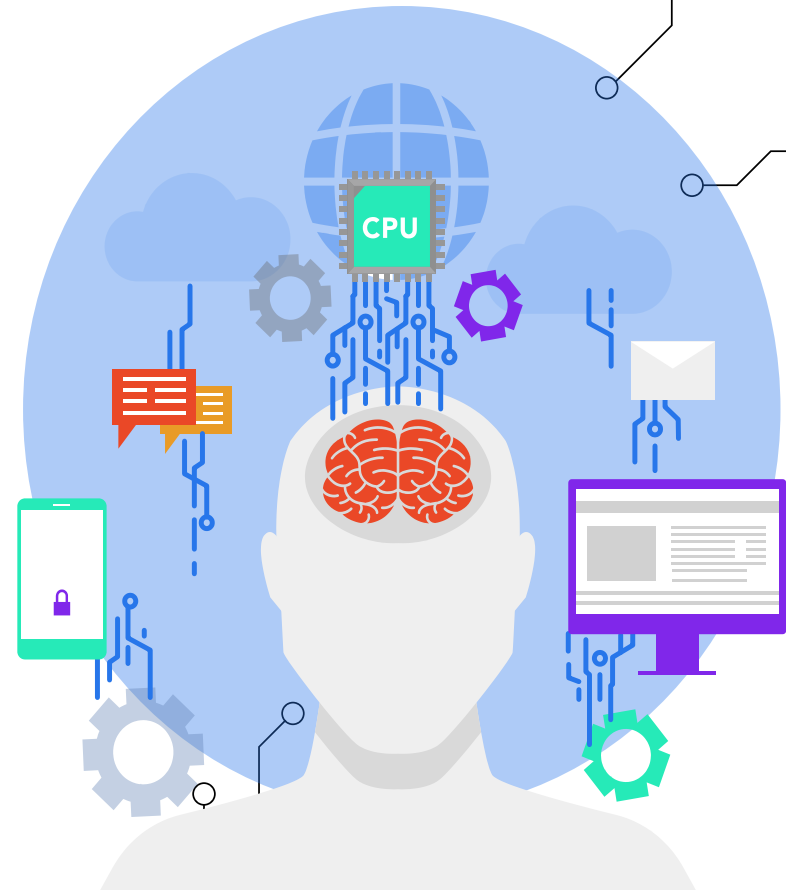
STATE ESTIMATION MODEL DEVELOPMENT FOR LITHIUM-ION BATTERY PACKS

Name: TEOW YEE TING

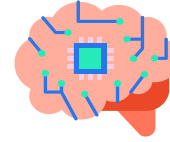
Student ID: 1903674

Programme: MH

Supervisor: Dr. Hau Lee Cheun



INTRODUCTION



PROBLEM STATEMENT

Transition from the **3IR** to the **4IR** has led to the widespread adoption of **AMRs** across various **Industrial Sectors**.



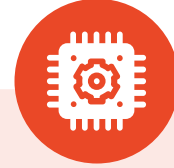
An effective and efficient **BMS** with accurate **SoC** estimation is crucial for **Discharging & Charging Cycles Schedule**.



CONVENTIONAL METHOD

- Linear Math-Based Models
- High Errors
- Low Accuracy
- **Dynamic and Non-Linear System**
- Tideous Parameters Optimizing

Vs



DATA-DRIVEN METHOD

- Dynamic Data-Based Models
- Low Errors
- High Accuracy
- **Dynamic and Non-Linear System**
- Straightforward Hyperparameters Optimizing



LITERATURE REVIEW

Coulomb Counting Method

- Based on CC Equation
- **Process Experimental SoC**
- Predict (NO), Update (YES)

Model-Based Method

- Based on Kalman Filter
- **EKF, UKF, etc.**
- Predict (YES)

Neural Network Method

- Based on Black Box Model
- **BPNN, LSTM, CNN**
- Predict (YES)

AIM & OBJECTIVE

- Necessity & Importance for the Data-Driven Models
- Best-Performing Data Driven & Conventional Models
- Minimum MAE at 10, RSME at 15, and R2 at 0.8 for Reliable SoC Estimation Evaluation

Look-Up Table Method

- Based on Relationship of SoC & Internal Parameters
- Predict (YES), Update (NO)
- Open Circuit Voltage, Internal Resistance ...

Fuzzy Logic Method

- Based on 4-Layer Fuzzy Logic, Fuzzy Rule Base, Inference Engine, Defuzzification

Support Vector Machine Method

- Based on 2-Layers Kernel Regression

METHODOLOGY & WORKPLAN



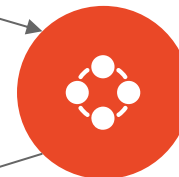
Collect the Dataset

- Li-NMC, Li-Ion, Li-NCA, Li-PO, Li-FP
- Terminal Voltage, Load Current, Ambient Temperature
- Operating Temperature, Rate, States



Process the Dataset

Prepare SoC with Coulomb Counting Method, and Guidance of Experiment Notes



Develop the Model

- Develop the Models with the Open-Sourced Codes from GitHub and Pytorch Library.
- Optimize the Models based on the Parameters and Hyperparameters.



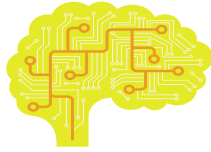
Evaluate the Model

Evaluate the Estimation Performance with MAE, RSME, R2 for each Model



Improve the Model

Fine-Tuning each Model to Exceed the Minimum MAE at 10, RSME at 15 and R2 at 0.8

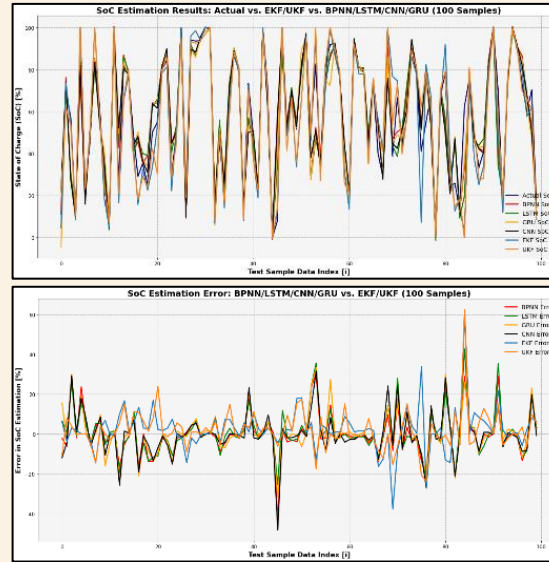


RESULT & DISCUSSION

Performance Metrics

| | MAE | RSME | R2 |
|------|--------|---------|--------|
| BPNN | 6.1325 | 10.1701 | 0.8806 |
| EKF | 6.3182 | 8.9174 | 0.8608 |
| LSTM | 6.4907 | 10.4336 | 0.8743 |
| GRU | 6.5692 | 10.8746 | 0.8634 |
| CNN | 6.8554 | 11.2293 | 0.8544 |
| UKF | 7.4654 | 10.0908 | 0.8279 |

SoC Estimation & Error



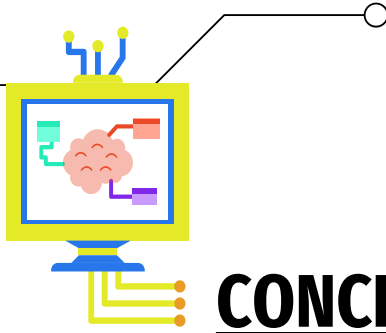
Key Points

BPNN MODEL

- Best: MAE & R2
- Hyperameter: 10
- APD: 26.98%
- Steady SoC Estimation & Error Values

EKF METHOD

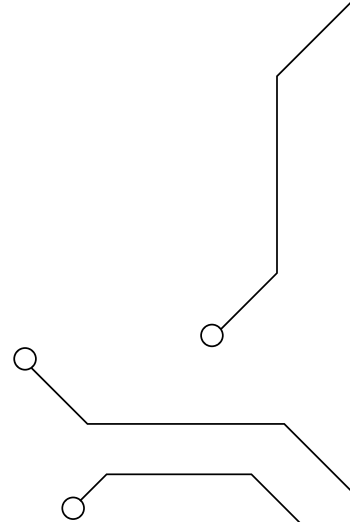
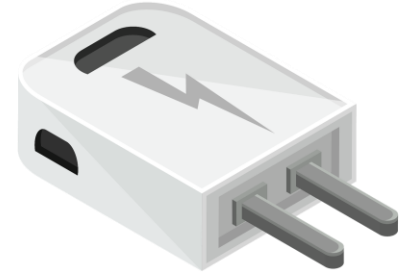
- Best: RSME
- Parameter: 300
- APD: 28.32%
- High Fluctuation in SoC Estimation & Error Values

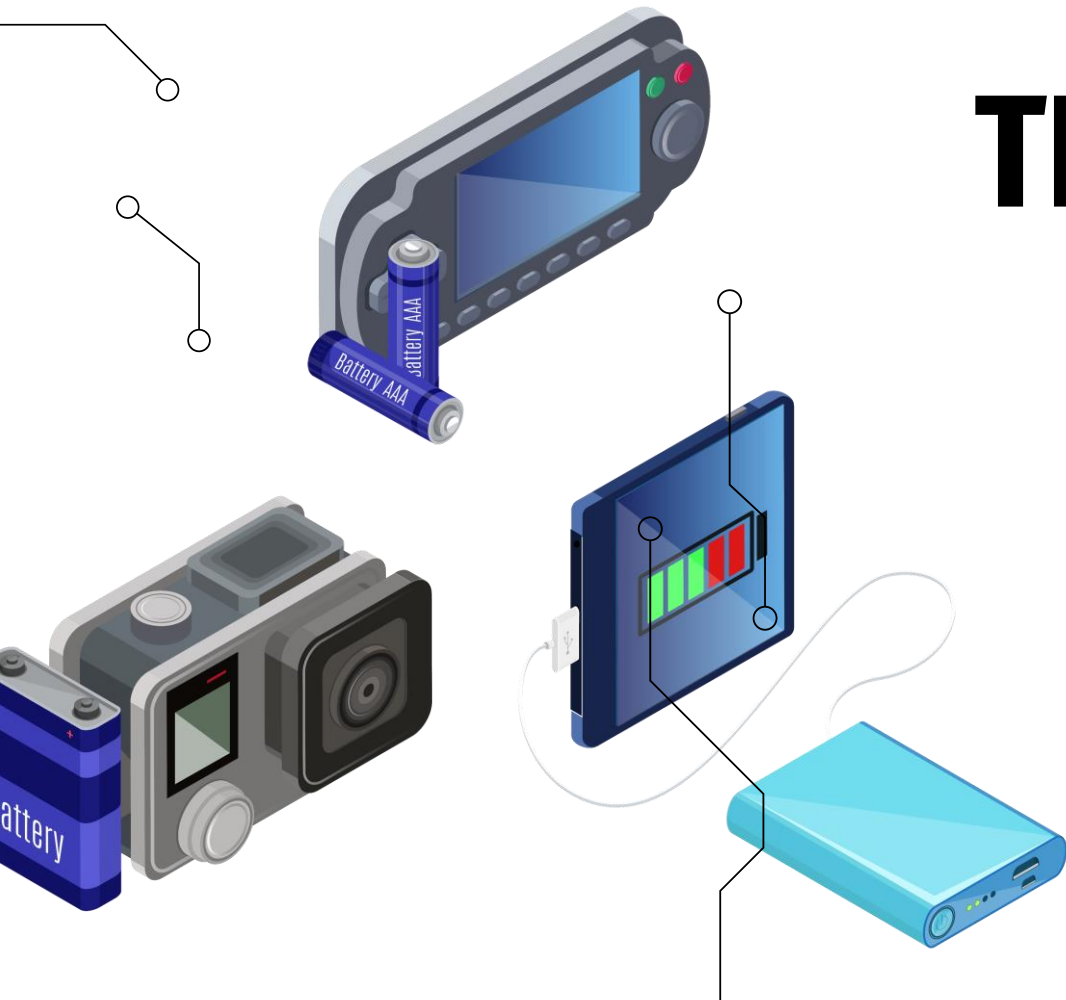


CONCLUSIONS

It is Necessary and Important to Implement the **Data-Driven Models** for **SoC Estimation** for the **LIB and LiBat Systems** in **AMR Sectors**.

- **Best-Performing Conventional Model** – EKF Method
- **Best Performing Data-Driven Model** – BPNN Model
- **Model Ranking** – BPNN, EKF, LSTM, GRU, CNN, UKF





THANKS FOR LISTENING!

Q & A SESSION
DO YOU HAVE ANY QUESTIONS?

REFERENCE

McNulty, D., Hennessy, A., Li, M., Armstrong, E. and Ryan, K.M., 2022. A review of Li-ion batteries for autonomous mobile robots: Perspectives and outlook for the future. *Journal of Power Sources*, 545, p.231943.

○ [INTRO – Problem Statement: Trend For Implementation of AMR in Industrial Sector]

Xiong, R., Cao, J., Yu, Q., He, H. and Sun, F. 2018, Critical Review on the Battery State of Charge Estimation Methods for Electric Vehicles. in *IEEE Access*, vol. 6, pp. 1832-1833.

[INTRO – Problem Statement: State Estimation Methods(Conventional vs. Data Driven)]

[LR – Conventional Methods(Look-Up Table/Coulomb Counting/Model-Based Methods)]

[LR – Model-Based Methods : Mathematical Models(ECM/EIM/EM Methods)]

Ali, M.U., Zafar, A., Nengroo, S.H., Hussain, S., Alvi, M.J. and Kim, H.J. 2019, Towards a Smarter Battery Management System for Electric Vehicle Applications: A Critical Review of Lithium-Ion Battery State of Charge Estimation. *Energies*, 12(3), pp. 446

[LR – Conventional Methods(Look-Up Table/Coulomb Counting/Model-Based Methods)]

[LR – Model-Based Methods : Adaptive Models]

[LR – Data-Driven Methods(ANN/FL/SVM Methods)]

Chang, W.Y. 2013, *The State of Charge Estimating Methods for Battery: A Review*. *ISRN Applied Mathematics*, pp. 1-7.

[LR – Conventional Methods(Look-Up Table/Coulomb Counting Methods)]

[LR – Data-Driven Methods(ANN/FL/SVM Methods)]

REFERENCE

Chang, W.Y. 2013, The State of Charge Estimating Methods for Battery: A Review. ISRN Applied Mathematics, pp. 1-7.

[LR – Conventional Methods (Look-Up Table/Coulomb Counting Methods)]

[LR – Data-Driven Methods (ANN/FL/SVM Methods)]

Moussalli, Z., Brahim Sedra, M. and Ait Laachir, A., 2018, State of Charge estimation algorithms in Lithium-ion battery-powered Electric Vehicles, 2018 International Conference on Electronics, Control, Optimization and Computer Science (ICECOCS), pp. 1-6.

[LR – Model-Based Methods : Adaptive Models – Filter-Based Methods (KF/EKF/UKF)]

Umair Ali, M., Hussain Nengroo, S., Adil Khan, M., Zeb, K., Ahmad Kamran, M. and Kim, H.-J., 2018, A Real-Time Simulink Interfaced Fast-Charging Methodology of Lithium-Ion Batteries under Temperature Feedback with Fuzzy Logic Control. Energies

[LR – Data Driven Methods : FL Method]

Patle. A. and Chouhan D. S., 2013, SVM kernel functions for classification, International Conference on Advances in Technology and Engineering (ICATE), 2013, pp. 1-9.

[LR – Data Driven Methods : SVM Method]

APPENDICES

| Items | Website Link |
|------------------|--|
| Source Codes | EKF Method: https://github.com/larchuto/Battery-Kalman |
| | UKF Method: https://github.com/AlterWL/Battery_SOC_Estimation |
| | ANN Models: https://colab.research.google.com/drive/1KkYprjWm3QDoHpZ2NA0cj5Cyxoc530cF |
| Battery Datasets | LG 18650HG2 3000mAh 3.6V Li-NMC: https://doi.org/10.17632/cp3473x7xv.3 |
| | Samsung IN21700-30T 3000mAh 3.6V Li-Ion: https://doi.org/10.17632/9xyvy2njj3.1 |
| | SB LiMotive 5200mAh 3.7V Li-NCA: https://doi.org/10.5683/SP3/LFPKAS |
| | Turnigy Graphene 5000mAh 3.7V Li-PO: https://doi.org/10.17632/4fx8cjprxm.1 |
| | A123 1100mAh 3.2V Li-FP: https://data.mendeley.com/datasets/kxsbr4x3j2/2 |
| | A123 2000mAh 3.2V Li-FP: https://doi.org/10.1016/j.apenergy.2013.07.008 . |
| | A123 4400mAh 3.2V Li-FP: https://doi.org/10.57745/XIDTZH |