

# Relational Algebra

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## Data model

**Relations** (tables) are **sets** of **records** of the same length

### Schema

- ▶ Set of **relation names**
  - ▶ Set of **distinct attributes** for each table
- Note that columns are not ordered**

### Instance

- ▶ Actual data (that is, the records in each relation)
- ▶ Each **record** is a **function from attributes to values**

# Relational algebra

## Procedural query language

A relational algebra expression

- ▶ takes as input one or more relations
- ▶ applies a **sequence of operations**
- ▶ returns a relation as output

### Operations:

Projection ( $\pi$ )

Selection ( $\sigma$ )

Product ( $\times$ )

Renaming ( $\rho$ )

Union ( $\cup$ )

Intersection ( $\cap$ )

Difference ( $-$ )

The application of each operation results in a new relation that can be used as input to other operations

## Projection

- ▶ **Vertical operation**: choose some of the columns
- ▶ **Syntax**:  $\pi_{\text{set of attributes}}(\text{relation})$
- ▶  $\pi_{A_1, \dots, A_n}(R)$  takes only the values of attributes  $A_1, \dots, A_n$  for each tuple in  $R$

Customer

| CustID | Name   | City      | Address         |
|--------|--------|-----------|-----------------|
| cust1  | Renton | Edinburgh | 2 Wellington Pl |
| cust2  | Watson | London    | 221B Baker St   |
| cust3  | Holmes | London    | 221B Baker St   |

$\pi_{\text{Name, City}}(\text{Customer})$

| Name   | City      |
|--------|-----------|
| Renton | Edinburgh |
| Watson | London    |
| Holmes | London    |

## Selection

- ▶ **Horizontal operation**: choose rows satisfying some condition
- ▶ **Syntax**:  $\sigma_{\text{condition}}(\text{relation})$
- ▶  $\sigma_{\theta}(R)$  takes only the tuples in  $R$  for which  $\theta$  is satisfied

**term** := attribute | constant

$\theta$  := **term** **op** **term** with **op**  $\in \{=, \neq, >, <, \geq, \leq\}$   
|  $\theta \wedge \theta$  |  $\theta \vee \theta$  |  $\neg\theta$

## Example of selection

Customer

| CustID | Name   | City      | Age |
|--------|--------|-----------|-----|
| cust1  | Renton | Edinburgh | 24  |
| cust2  | Watson | London    | 32  |
| cust3  | Holmes | London    | 35  |

$\sigma_{\text{City} \neq \text{'Edinburgh'} \wedge \text{Age} < 33}(\text{Customer})$

| CustID | Name   | City   | Age |
|--------|--------|--------|-----|
| cust2  | Watson | London | 32  |

## Efficiency (1)

Consecutive selections can be combined into a single one:

$$\sigma_{\theta_1}(\sigma_{\theta_2}(R)) = \sigma_{\theta_1 \wedge \theta_2}(R)$$

### Example

$$Q_1 = \sigma_{\text{City} \neq \text{'Edinburgh'}}(\sigma_{\text{Age} < 33}(\text{Customer}))$$

$$Q_2 = \sigma_{\text{City} \neq \text{'Edinburgh'} \wedge \text{Age} < 33}(\text{Customer})$$

$Q_1 \equiv Q_2$  but  $Q_2$  **faster** than  $Q_1$  in general

## Efficiency (2)

Projection can be pulled in front of selection

$$\sigma_{\theta}(\pi_{\alpha}(R)) = \pi_{\alpha}(\sigma_{\theta}(R))$$

**only if all attributes mentioned in  $\theta$  appear in  $\alpha$**

### Example

$$Q_1 = \pi_{\text{Name, City, Age}}(\sigma_{\text{City} \neq \text{'Edinburgh'} \wedge \text{Age} < 33}(\text{Customer}))$$

$$Q_2 = \sigma_{\text{City} \neq \text{'Edinburgh'} \wedge \text{Age} < 33}(\pi_{\text{Name, City, Age}}(\text{Customer}))$$

**Question:** Which one is more efficient?

## Cartesian product

$R \times S$  **concatenates** each tuple of  $R$  with all the tuples of  $S$

### Example

| $R$ | <b>A</b> | <b>B</b> | $\times$ | $S$ | <b>C</b> | <b>D</b> | $=$ | $R \times S$ | <b>A</b> | <b>B</b> | <b>C</b> | <b>D</b> |
|-----|----------|----------|----------|-----|----------|----------|-----|--------------|----------|----------|----------|----------|
|     | 1        | 2        |          |     | 1        | a        |     |              | 1        | 2        | 1        | a        |
|     | 3        | 4        |          |     | 2        | b        |     |              | 1        | 2        | 2        | b        |
|     |          |          |          |     | 3        | c        |     |              | 1        | 2        | 3        | c        |
|     |          |          |          |     |          |          |     |              | 3        | 4        | 1        | a        |
|     |          |          |          |     |          |          |     |              | 3        | 4        | 2        | b        |
|     |          |          |          |     |          |          |     |              | 3        | 4        | 3        | c        |

### Expensive operation:

- ▶  $\text{card}(R \times S) = \text{card}(R) \times \text{card}(S)$
- ▶  $\text{arity}(R \times S) = \text{arity}(R) + \text{arity}(S)$

## Joining relations

Combining Cartesian product and selection

**Customer:** ID, Name, City, Address

**Account:** Number, Branch, CustID, Balance

We can join customers with the accounts they own as follows

$$\sigma_{\text{ID}=\text{CustID}}(\text{Customer} \times \text{Account})$$

## Renaming

Gives a new name to some of the attributes of a relation

**Syntax:**  $\rho_{\text{replacements}}(\text{relation})$ ,  
where a replacement has the form  $A \rightarrow B$

$$\rho_{A \rightarrow A', C \rightarrow D} \left( \begin{array}{ccc} \mathbf{A} & \mathbf{B} & \mathbf{C} \\ \hline a & b & c \\ 1 & 2 & 3 \end{array} \right) = \begin{array}{ccc} \mathbf{A'} & \mathbf{B} & \mathbf{D} \\ \hline a & b & c \\ 1 & 2 & 3 \end{array}$$

### Example

**Customer:** **CustID**, Name, City, Address

**Account:** Number, Branch, **CustID**, Balance

$$\sigma_{\text{CustID}=\text{CustID}'}(\text{Customer} \times \rho_{\text{CustID} \rightarrow \text{CustID}'}(\text{Account}))$$

## Natural join

Joins two tables on their **common attributes**

### Example

**Customer:** **CustID**, Name, City, Address

**Account:** Number, Branch, **CustID**, Balance

$\text{Customer} \bowtie \text{Account} =$

$$\pi_{X \cup Y}(\sigma_{\text{CustID}=\text{CustID}'}(\text{Customer} \times \rho_{\text{CustID} \rightarrow \text{CustID}'}(\text{Account})))$$

where  $X = \{ \text{all attributes of Customer} \}$

$Y = \{ \text{all attributes of Account} \}$

# From SQL to relational algebra

**SELECT**  $\mapsto$  projection  $\pi$

**FROM**  $\mapsto$  Cartesian product  $\times$

**WHERE**  $\mapsto$  selection  $\sigma$

**SELECT**  $A_1, \dots, A_m$   
**FROM**  $T_1, \dots, T_n$   $\mapsto \pi_{A_1, \dots, A_m}(\sigma_{\langle \text{condition} \rangle}(T_1 \times \dots \times T_n))$   
**WHERE**  $\langle \text{condition} \rangle$

Common attributes in  $T_1, \dots, T_n$  must be renamed

## Set operations

Union

| R | A  | B  | $\cup$ | S | A  | B  | = | R $\cup$ S | A  | B  |
|---|----|----|--------|---|----|----|---|------------|----|----|
|   | a1 | b1 |        |   | a1 | b1 |   |            | a1 | b1 |
|   | a2 | b2 |        |   | a3 | b3 |   |            | a2 | b2 |
|   |    |    |        |   |    |    |   |            | a3 | b3 |

Intersection

| R | A  | B  | $\cap$ | S | A  | B  | = | R $\cap$ S | A  | B  |
|---|----|----|--------|---|----|----|---|------------|----|----|
|   | a1 | b1 |        |   | a1 | b1 |   |            | a1 | b1 |
|   | a2 | b2 |        |   | a3 | b3 |   |            |    |    |

Difference

| R | A  | B  | $-$ | S | A  | B  | = | R $-$ S | A  | B  |
|---|----|----|-----|---|----|----|---|---------|----|----|
|   | a1 | b1 |     |   | a1 | b1 |   |         | a2 | b2 |
|   | a2 | b2 |     |   | a3 | b3 |   |         |    |    |

**The relations must have the same set of attributes**

## Union and renaming

| R | Father  | Child     | S | Mother    | Child   |
|---|---------|-----------|---|-----------|---------|
|   | George  | Elizabeth |   | Elizabeth | Charles |
|   | Philip  | Charles   |   | Elizabeth | Andrew  |
|   | Charles | William   |   |           |         |

We want to find the relation **parent-child**

|  |               |              |
|--|---------------|--------------|
| $\rho_{\text{Father} \rightarrow \text{Parent}}(R) \cup \rho_{\text{Mother} \rightarrow \text{Parent}}(S)$ | <b>Parent</b> | <b>Child</b> |
|  | George        | Elizabeth    |
|  | Philip        | Charles      |
|  | Charles       | William      |
|  | Elizabeth     | Charles      |
|  | Elizabeth     | Andrew       |

## Full relational algebra

Primitive operations:  $\pi$  ,  $\sigma$  ,  $\times$  ,  $\rho$  ,  $\cup$  ,  $-$

Removing any of these results in a **loss of expressive power**

### Derived operations

$\bowtie$  can be expressed in terms of  $\pi$  ,  $\sigma$  ,  $\times$  ,  $\rho$

$\cap$  can be expressed in terms difference:

$$R \cap S = R - (R - S)$$



## Other derived operations

|            |   |
|------------|---|
| Theta-join | $R \bowtie_{\theta} S = \sigma_{\theta}(R \times S)$  |
| Equijoin   | $\bowtie_{\theta}$ where $\theta$ is a <b>conjunction of equalities</b>                           |
| Semijoin   | $R \ltimes_{\theta} S = \pi_X(R \bowtie_{\theta} S)$<br>where $X$ is the set of attributes of $R$ |
| Antijoin   | $R \bar{\bowtie}_{\theta} S = R - (R \ltimes_{\theta} S)$   |

### Why use these operations?

- ▶ to write things more succinctly
- ▶ they can be optimized independently

## Division

$R$  over set of attributes  $X$

$S$  over set of attributes  $Y \subset X$

Let  $Z = X - Y$

$$\begin{aligned} R \div S &= \{ \bar{r} \in \pi_Z(R) \mid \forall \bar{s} \in S. \bar{r}\bar{s} \in R \} \\ &= \{ \bar{r} \in \pi_Z(R) \mid \{ \bar{r} \} \times S \subseteq R \} \\ &= \pi_Z(R) - \pi_Z(\pi_Z(R) \times S - R) \end{aligned}$$

Division: Example

| Exams   |             | DPT         |
|---------|-------------|-------------|
| Student | Course      | Course      |
| John    | Databases   | Databases   |
| John    | Networks    | Programming |
| Mary    | Programming |             |
| Mary    | Math        |             |
| Mary    | Databases   |             |

Exams ÷ DPT =

| Student |
|---------|
| Mary    |

$= \pi_{\text{Student}}(\text{Exams}) - \pi_{\text{Student}}(\pi_{\text{Student}}(\text{Exams}) \times \text{DPT} - \text{Exams})$