Foundations of Natural Language Processing Lecture 8 Part-of-speech Tagging and HMMs

Henry S. Thompson

(based on slides by Alex Lascarides, Sharon Goldwater & Philipp Koehn)

8 February 2019



What is part of speech tagging?

• Given a string:

This is a simple sentence

• Identify parts of speech (syntactic categories):

This/DET is/VB a/DET simple/ADJ sentence/NOUN

Why do we care about POS tagging?

- POS tagging is a first step towards syntactic analysis (which in turn, is often useful for semantic analysis).
 - Simpler models and often faster than full parsing, but sometimes enough to be useful.
 - For example, POS tags can be useful features in text classification (see previous lecture) or word sense disambiguation (see later in course).
- Illustrates the use of hidden Markov models (HMMs), which are also used for many other tagging (sequence labelling) tasks.

Examples of other tagging tasks

Named entity recognition: e.g., label words as belonging to persons, organizations, locations, or none of the above:

Barack/PER Obama/PER spoke/NON from/NON the/NON White/LOC House/LOC today/NON ./NON

• Information field segmentation: Given specific type of text (classified advert, bibiography entry), identify which words belong to which "fields" (price/size/location, author/title/year)

3BR/SIZE flat/TYPE in/NON Bruntsfield/LOC ,/NON near/LOC main/LOC roads/LOC ./NON Bright/FEAT ,/NON well/FEAT maintained/FEAT ...

Sequence labelling: key features

In all of these tasks, deciding the correct label depends on

- the word to be labeled
 - NER: Smith is probably a person.
 - POS tagging: chair is probably a noun.
 - * but it could be a verb
- the labels of surrounding words
 - NER: if following word is an organization (say Corp.), then this word is more likely to be organization too.
 - POS tagging: if preceding word is a modal verb (say will) then this word is more likely to be a verb.

HMM combines these sources of information probabilistically.

Parts of Speech: reminder

- Open class words (or content words)
 - nouns, verbs, adjectives, adverbs
 - mostly content-bearing: they refer to objects, actions, and features in the world
 - open class, since there is no limit to what these words are, new ones are added all the time (selfie, Brexit, omnishambles).
- Closed class words (or function words)
 - pronouns, determiners, prepositions, connectives, ...
 - there are a limited number of these
 - mostly functional: to tie the concepts of a sentence together
 - new ones are rare:
 - * So far none of the attempts to introduce new gender-neutral pronouns have gotten much traction
 - * The only other example I'm aware is the use of real as an intensifier (older ones include very, slightly, too)

How many parts of speech?

- Both linguistic and practical considerations
- Corpus annotators decide. Distinguish between
 - proper nouns (names) and common nouns?
 - singular and plural nouns?
 - past and present tense verbs?
 - auxiliary and main verbs?
 - etc
- Commonly used tagsets for English usually have 40-100 tags. For example, the Penn Treebank has 45 tags.

Tag	Description	Example	Tag	Description	Example
CC	coordin. conjunction	and, but, or	SYM	symbol	+,%, &
CD	cardinal number	one, two	TO	"to"	to
DT	determiner	a, the	UH	interjection	ah, oops
EX	existential 'there'	there	VB	verb base form	eat
FW	foreign word	mea culpa	VBD	verb past tense	ate
IN	preposition/sub-conj	of, in, by	VBG	verb gerund	eating
JJ	adjective	yellow	VBN	verb past participle	eaten
JJR	adj., comparative	bigger	VBP	verb non-3sg pres	eat
JJS	adj., superlative	wildest	VBZ	verb 3sg pres	eats
LS	list item marker	1, 2, One	WDT	wh-determiner	which, that
MD	modal	can, should	WP	wh-pronoun	what, who
NN	noun, sing. or mass	llama	WP\$	possessive wh-	whose
NNS	noun, plural	llamas	WRB	wh-adverb	how, where
NNP	proper noun, sing.	IBM	\$	dollar sign	\$
NNPS	proper noun, plural	Carolinas	#	pound sign	#
PDT	predeterminer	all, both	"	left quote	or "
POS	possessive ending	's	,,	right quote	' or "
PRP	personal pronoun	I, you, he	(left parenthesis	[, (, {, <
PRP\$	possessive pronoun	your, one's)	right parenthesis],), }, >
RB	adverb	quickly, never	,	comma	,
RBR	adverb, comparative	faster		sentence-final punc	.!?
RBS	adverb, superlative	fastest	:	mid-sentence punc	: ;
RP	particle	up, off			

J&M Fig 5.6: Penn Treebank POS tags

POS tags in other languages

Morphologically rich languages often have compound morphosyntactic tags

- Hundreds or thousands of possible combinations
- Predicting these requires more complex methods than what we will discuss (e.g., may combine an FST with a probabilistic disambiguation system)

Why is POS tagging hard?

The usual reasons!

Ambiguity:

```
glass of water/NOUN vs. water/VERB the plants lie/VERB down vs. tell a lie/NOUN wind/VERB down vs. a mighty wind/NOUN (homographs)
```

How about time flies like an arrow?

- Sparse data:
 - Words we haven't seen before (at all, or in this context)
 - Word-Tag pairs we haven't seen before (e.g., if we verb a noun)

Relevant knowledge for POS tagging

Remember, we want a model that decides tags based on

- The word itself
 - Some words may only be nouns, e.g. arrow
 - Some words are ambiguous, e.g. like, flies
 - Probabilities may help, if one tag is more likely than another
- Tags of surrounding words
 - two determiners rarely follow each other
 - two base form verbs rarely follow each other
 - determiner is almost always followed by adjective or noun

A probabilistic model for tagging

To incorporate these sources of information, we imagine that the sentences we observe were generated probabilistically as follows.

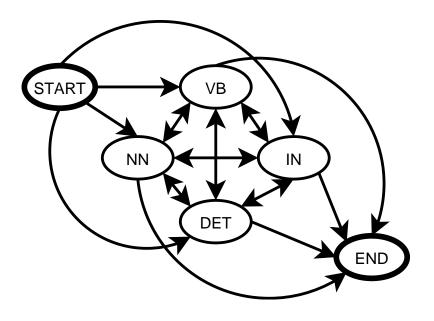
• To generate sentence of length n:

```
Let t_0 = < s > For i = 1 to n Choose a tag conditioned on previous tag: P(t_i|t_{i-1}) Choose a word conditioned on its tag: P(w_i|t_i)
```

- So, the model assumes:
 - Each tag depends only on previous tag: a bigram tag model.
 - Words are independent given tags

Probabilistic finite-state machine

• One way to view the model: sentences are generated by walking through states in a graph. Each state represents a tag.



• Prob of moving from state s to s' (transition probability): $P(t_i = s' | t_{i-1} = s)$

Example transition probabilities

$t_{i-1} \backslash t_i$	NNP	MD	VB	JJ	NN	
<s></s>	0.2767	0.0006	0.0031	0.0453	0.0449	
NNP	0.3777	0.0110	0.0009	0.0084	0.0584	
MD	0.0008	0.0002	0.7968	0.0005	0.0008	
VB	0.0322	0.0005	0.0050	0.0837	0.0615	
JJ	0.0306	0.0004	0.0001	0.0733	0.4509	

- Probabilities estimated from tagged WSJ corpus, showing, e.g.:
 - Proper nouns (NNP) often begin sentences: $P(NNP|<s>) \approx 0.28$
 - Modal verbs (MD) nearly always followed by bare verbs (VB).
 - Adjectives (JJ) are often followed by nouns (NN).

Table excerpted from J&M draft 3rd edition, Fig 8.5

Example transition probabilities

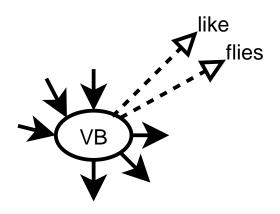
$t_{i-1} \setminus t_i$	NNP	MD	VB	JJ	NN	
<s></s>	0.2767	0.0006	0.0031	0.0453	0.0449	
NNP	0.3777	0.0110	0.0009	0.0084	0.0584	
MD	0.0008	0.0002	0.7968	0.0005	0.0008	
VB	0.0322	0.0005	0.0050	0.0837	0.0615	
JJ	0.0306	0.0004	0.0001	0.0733	0.4509	

- This table is incomplete!
- In the full table, every row must sum up to 1 because it is a **distribution** over the next state (given previous).

Table excerpted from J&M draft 3rd edition, Fig 8.5

Probabilistic finite-state machine: outputs

• When passing through each state, emit a word.



• Prob of emitting w from state s (emission or output probability): $P(w_i = w | t_i = s)$

Example output probabilities

$t_i \backslash w_i$	Janet	will	back	the	
NNP	0.000032	0	0	0.000048	
MD	0	0.308431	0	0	
VB	0	0.000028	0.000672	0	
DT	0	0	0	0.506099	

- MLE probabilities from tagged WSJ corpus, showing, e.g.:
 - 0.0032% of proper nouns are Janet: P(Janet|NNP) = 0.000032
 - About half of determiners (DT) are the.
 - the can also be a proper noun. (Annotation error?)
 - * Or maybe because of e.g. "Welcome to the official website of The Beatles." ...
- Again, in full table, rows would sum to 1.

From J&M draft 3rd edition, Fig 8.6

What can we do with this model?

- If we know the transition and output probabilities, we can compute the probability of a tagged sentence.
- That is,
 - suppose we have sentence $S=w_1\ldots w_n$ and its tags $T=t_1\ldots t_n$.
 - what is the probability that our probabilistic FSM would generate exactly that sequence of words and tags, if we stepped through at random?
- This is the **joint probability** $P(S,T) = \prod_{i=1}^{n} P(t_i|t_{i-1})P(w_i|t_i)$

Example: computing joint prob. P(S,T)

What's the probability of this tagged sentence?

This/DET is/VB a/DET simple/JJ sentence/NN

• First, add begin- and end-of-sentence <s> and </s>. Then:

$$\begin{split} p(S,T) &= \prod_{i=1}^n P(t_i|t_{i-1})P(w_i|t_i) \\ &= P(\mathsf{DET}|<\mathsf{s}>)P(\mathsf{VB}|\mathsf{DET})P(\mathsf{DET}|\mathsf{VB})P(\mathsf{JJ}|\mathsf{DET})P(\mathsf{NN}|\mathsf{JJ})P(|\mathsf{NN}) \\ &\cdot P(\mathsf{This}|\mathsf{DET})P(\mathsf{is}|\mathsf{VB})P(\mathsf{a}|\mathsf{DET})P(\mathsf{simple}|\mathsf{JJ})P(\mathsf{sentence}|\mathsf{NN}) \end{split}$$

• Then, plug in the probabilities we estimated from our corpus.

But... tagging?

Normally, we want to use the model to find the best tag sequence for an *untagged* sentence.

- Thus, the name of the model: hidden Markov model
 - Markov: because of Markov independence assumption (each tag/state only depends on fixed number of previous tags/states—here, just one).
 - hidden: because at test time we only see the words/emissions; the tags/states are hidden (or latent) variables.
- FSM view: given a sequence of words, what is the most probable state path that generated them?

Hidden Markov Model (HMM)

HMM is actually a very general model for sequences. Elements of an HMM:

- a set of states (here: the tags)
- a set of output symbols (here: words)
- intitial state (here: beginning of sentence)
- state transition probabilities (here: $p(t_i|t_{i-1})$)
- symbol emission probabilities (here: $p(w_i|t_i)$)

Relationship to previous models

- **N-gram model**: a model for sequences that also makes a Markov assumption, but has no hidden variables.
- Naive Bayes: a model with hidden variables (the classes) but no sequential dependencies.
- **HMM**: a model for sequences with hidden variables.

Like many other models with hidden variables, we will use Bayes' Rule to help us infer the values of those variables.

We usually assume hidden variables *are* observed during training—annotated data In the next class, we'll discuss what to do if we don't have that training data.

Formalizing the tagging problem

Find the best tag sequence T for an *untagged* sentence S:

$$\operatorname{argmax}_T p(T|S)$$

• Bayes' rule gives us:

$$p(T|S) = \frac{p(S|T) \ p(T)}{p(S)}$$

• We can drop p(S) if we are only interested in argmax_T :

$$\operatorname{argmax}_T p(T|S) = \operatorname{argmax}_T p(S|T) p(T)$$

Decomposing the model

Now we need to estimate P(S|T) and P(T) (actually, their product P(S|T)P(T) = P(S,T)).

- We already defined how!
- ullet P(T) is the probability of the state transition sequence
- Given the Markov assumption, we estimate this as

$$P(T) = \prod_{i} P(t_i|t_{i-1})$$

• P(S|T) are the emission probabilities:

$$P(S|T) = \prod_{i} P(w_i|t_i)$$

Search for the best tag sequence

- We have defined a model, but how do we use it?
 - given: word sequence S
 - wanted: best tag sequence T^*
- For any specific tag sequence T, it is easy to compute P(S,T) = P(S|T)P(T).

$$P(S|T) P(T) = \prod_{i} P(w_i|t_i) P(t_i|t_{i-1})$$

ullet So, can't we just enumerate all possible T, compute their probabilites, and choose the best one?

Enumeration won't work

- ullet Suppose we have c possible tags for each of the n words in the sentence.
- How many possible tag sequences?
- There are c^n possible tag sequences: the number grows exponentially in the length n.
- \bullet For all but small n, too many sequences to efficiently enumerate.
- This is starting to sound familiar...

The Viterbi algorithm

- As in the edit distance problem, we'll use a **dynamic programming** algorithm to solve the problem.
- The Viterbi algorithm finds the best tag sequence without explicitly enumerating all sequences.
- As for finding the minimum edit distance, the algorithm stores partial results in a **chart** to avoid recomputing them.
- Details next time.

Viterbi as a decoder

The problem of finding the best tag sequence for a sentence is sometimes called **decoding**.

- Because, like spell correction etc, HMM can also be viewed as a noisy channel model.
 - Someone wants to send us a sequence of tags: P(T)
 - During encoding, "noise" converts each tag to a word: P(S|T)
 - We try to decode the observed words back to the original tags.
- In fact, decoding is a general term in NLP for inferring the hidden variables in a test instance (so, finding correct spelling of a misspelled word is also decoding).

Summary

- Part-of-speech tagging is a sequence labelling task.
- HMM uses two sources of information to help resolve ambiguity in a word's POS tag:
 - The words itself
 - The tags assigned to surrounding words
- Can be viewed as a probabilistic FSM.
- Given a tagged sentence, easy to compute its probability. But finding the best tag sequence will need a clever algorithm.