

Logic, Computability and Incompleteness

Undecidability, Indefinability and
Gödel's First Theorem

Definability and Decidability

- Some important technical concepts and terminology:

Definability: a set of natural numbers Θ is

definable in a theory \mathbf{T} iff

there is a *formula* $\mathbf{B}(x)$ in the language of \mathbf{T} such that for any number k ,

if $k \in \Theta$ then $\vdash_{\mathbf{T}} \mathbf{B}(\mathbf{k})$, and

if $k \notin \Theta$ then $\vdash_{\mathbf{T}} \neg \mathbf{B}(\mathbf{k})$

in which case the formula $\mathbf{B}(x)$ **defines** the set Θ in \mathbf{T} .

Decidability: a set of expressions is decidable if the set of

Gödel numbers of its members is a **recursive set**, i.e.

if the characteristic function of the set is **recursive**.

Definability and Decidability

Thus, if Θ^{Gn} is the set of Gödel numbers of **expressions** in Θ

and if $f_{\Theta^{\text{Gn}}}$ is the characteristic function of Θ^{Gn}

then $f_{\Theta^{\text{Gn}}}(n) = 1$ iff $n \in \Theta^{\text{Gn}}$ (and $= 0$ otherwise)

and if $f_{\Theta^{\text{Gn}}}$ is **recursive** then the set of expressions Θ is decidable

So a **theory T** is **decidable** iff

the set of Gödel numbers of its theorems is a **recursive set**.

- Connection between the two notions:
 - if a set of expressions Θ is **decidable** then the respective characteristic function is **recursive**
 - and hence is representable in \mathcal{Q}

Definability and Decidability

Which in turn means that the set of Gödel numbers of expressions in Θ is **definable** in \mathcal{Q} .

This is because if the characteristic function

$f_{\Theta^{Gn}}$ of Θ^{Gn} is **recursive**

and the formula $A_{f_{\Theta^{Gn}}}(x,y)$ represents $f_{\Theta^{Gn}}$ in \mathcal{Q} ,

then $A_{f_{\Theta^{Gn}}}(x,1)$ **defines** Θ^{Gn} in \mathcal{Q} (!)

So if a **theory** **T** is **decidable** then
the set of Gödel numbers of its theorems is **definable** in \mathcal{Q} .

Definability and Decidability

- **Lemma**: if \mathbf{T} is a consistent extension of \mathcal{Q} , then the set of Gödel numbers of **theorems** of \mathbf{T} is **not definable** in \mathbf{T} .
- **proof**: by *reductio*, using basic template furnished by the diagonal lemma.

Let $C(y)$ define the set of Gödel numbers of **theorems** of \mathbf{T} .

The function **diag** is representable in \mathbf{T} and $\neg C(y)$ is a formula with only the variable y free.

So by the **diagonal lemma** there is a sentence G such that

$$(*) \quad \vdash_{\mathbf{T}} G \leftrightarrow \neg C(\ulcorner G \urcorner).$$

Suppose $gn[G] = k$, so $\ulcorner G \urcorner = \mathbf{k}$. Then

$$(i) \quad \vdash_{\mathbf{T}} G \leftrightarrow \neg C(\mathbf{k}).$$

Definability and Decidability

It follows by (sub) **reductio** that $\vdash_{\mathbf{T}} G$, for if **not** $\vdash_{\mathbf{T}} G$, then, since $C(y)$ defines the set of **theorems** of \mathbf{T} , we get $\vdash_{\mathbf{T}} \neg C(\mathbf{k})$ and hence $\vdash_{\mathbf{T}} G$ by (i) [going R to L]. So $\vdash_{\mathbf{T}} G$. Thus $k \in \Theta$ and $\vdash_{\mathbf{T}} C(\mathbf{k})$.

By (i) we get $\vdash_{\mathbf{T}} G \rightarrow \neg C(\mathbf{k})$
contraposition yields $\vdash_{\mathbf{T}} \neg \neg C(\mathbf{k}) \rightarrow \neg G$, which yields $\vdash_{\mathbf{T}} C(\mathbf{k}) \rightarrow \neg G$, and finally by modus ponens $\vdash_{\mathbf{T}} \neg G$. So both $\vdash_{\mathbf{T}} G$ **and** $\vdash_{\mathbf{T}} \neg G$, rendering \mathbf{T} **inconsistent**, contrary to initial hypothesis.

Conclusion: *there can be no such* $C(y)$ ■

Undecidability of FOL (from a different angle)

- Bigger conclusion: **no consistent** extension of Q is **decidable**.

Why?

- Because if the theory **T** were **decidable**, then its set of theorems would be **definable** in Q and hence in **T**
- **Church's Theorem**: **FOL** is **undecidable**.
- **proof**: we have just established that Q is **undecidable**, since it is a consistent extension of itself.

Let Φ be the single sentence formed by conjoining all of the 7 **axioms** of Q .

Then a sentence **S** is a **theorem** of Q iff the conditional

$\Phi \rightarrow S$ is a **theorem** of **FOL**.

Undecidability of FOL (from a different angle)

In other words

$$\vdash_Q S \text{ iff } \vdash_{\text{FOL}} (\Phi \rightarrow S)$$

Hence (intuitively) if FOL were decidable then so would Q be.

To carry out this *reductio proof* more formally,

let $gn[\Phi] = q$ and let the function f be defined such that

$$f(n) = 1 * (q * (39999 * (n * 2)))$$

f is recursive (by construction)

and if n is the Gödel number of the sentence S ,

then $f(n)$ is the Gödel number of the sentence $(\Phi \rightarrow S)$

Undecidability of FOL (from a different angle)

- Let Θ be the set of Gödel numbers of **theorems** of **FOL**.

If Θ is recursive then so is $\{n: f(n) \in \Theta\}$.

But $\{n: f(n) \in \Theta\}$ is the set of Gödel numbers of **theorems** of \mathcal{Q} , which has just been shown **not** to be **decidable**.

- Thus Θ is **not** recursive and **FOL** is **not** **decidable** ■

Gödel's First Incompleteness Theorem

- A formal theory **T** is (negation) **complete** iff for **all** sentences S in the language of **T**, either $\vdash_{\mathbf{T}} S$ or $\vdash_{\mathbf{T}} \neg S$.
- So a formal theory **T** is **incomplete** iff it is **not** the case that for **all** sentences S in the language of **T**, either $\vdash_{\mathbf{T}} S$ or $\vdash_{\mathbf{T}} \neg S$.
- **Gödel's First Incompleteness Theorem** (1931):
If formal arithmetic is **consistent**, **then** it is **incomplete**.

Gödel's First Incompleteness Theorem

- **proof:** will construct a Gödel sentence S that
‘asserts its own **un**provability’,
and demonstrate that neither S nor $\neg S$ is **provable**
if the formal theory of arithmetic is consistent.

To do this, will first need to scrutinize (and then ‘arithmetize’) the structure of formal proofs.

For present purposes we’ll think of axiomatic (‘Hilbert style’) formal proofs.

Basic ingredients required for an axiomatic system **AX**:
a set of **axioms** and a set of **inference rules**.

Formal Axiomatic Proofs

Then a **proof** of some conclusion C

from premises B_1, \dots, B_n

is a **finite sequence of formulas**,

$$F_1, F_2, \dots, F_k$$

where F_k is the conclusion C ,

and where each F_1, F_2, \dots , in the sequence is either one of the premises B_i , or is one of the axioms, or is obtained from some earlier F_i 's in the sequence by using a **rule of inference**.

If there is such a **proof** sequence, then we write

$$B_1, \dots, B_n \vdash_{\text{AX}} C$$

Formal Axiomatic Proofs

For convenience, a proof sequence can also be written vertically, as follows:

$$\begin{array}{ll} 1. & F_1 \\ 2. & F_2 \\ & \vdots \\ k. & F_k \quad (\text{i.e., } C) \end{array}$$

- We will be concerned with axiomatic proofs of theorems of \mathcal{Q} (and axiomatic extensions), where **FOL=** can be formalized in terms of finite collection of **axiom schemas** and the *single inference rule* of modus ponens (**MP**).

Formal Axiomatic Proofs

Here is such an axiomatic proof system for **Propositional Logic** using just the connectives \neg and \rightarrow

Logical Axioms Schemas

(I) $A \rightarrow (B \rightarrow A)$

(II) $((A \rightarrow (B \rightarrow C)) \rightarrow ((A \rightarrow B) \rightarrow (A \rightarrow C)))$

(III) $(\neg B \rightarrow \neg A) \rightarrow ((\neg B \rightarrow A) \rightarrow B)$

Any *instance* of an Axiom Schema is a **logical axiom**

Rule of Inference: **Modus Ponens**

If you have formulas **A** and **A** \rightarrow **B** at some point in the proof sequence (in either order), then you can add **B** at a later point in the proof sequence.

[This axiomatic system for propositional logic is **complete**]

Formal Axiomatic Proofs

Here is an axiomatic proof for

$\vdash P \rightarrow P$ (so no premises involved)

1. $(P \rightarrow ((P \rightarrow P) \rightarrow P)) \rightarrow ((P \rightarrow (P \rightarrow P)) \rightarrow (P \rightarrow P))$
instance of (II)
2. $P \rightarrow ((P \rightarrow P) \rightarrow P)$ instance of (I)
3. $(P \rightarrow (P \rightarrow P)) \rightarrow (P \rightarrow P)$ MP 1, 2
4. $P \rightarrow (P \rightarrow P)$ instance of (I)
5. $P \rightarrow P$ MP 3, 4

Formal Axiomatic Proofs

- To extend this Propositional fragment to a formalization of full **FOL=** we need the following **Logical Axiom Schemas**:

(IV) $\forall \mathbf{x}(\Phi \rightarrow \Psi) \rightarrow (\forall \mathbf{x}\Phi \rightarrow \forall \mathbf{x}\Psi)$

(V) $\Phi \rightarrow \forall \mathbf{x}\Phi$ if \mathbf{x} not free in Φ

(VI) $\forall \mathbf{x}\Phi \rightarrow \Phi(\mathbf{x}/\mathbf{t})$ where \mathbf{t} replaces all free occurrences of \mathbf{x} in Φ

(VII) $\mathbf{t} = \mathbf{t}$ for any term \mathbf{t}

(VIII) $\mathbf{s}_1 = \mathbf{t}_1 \rightarrow (\mathbf{s}_2 = \mathbf{t}_2 \rightarrow (\dots \rightarrow \mathbf{s}_n = \mathbf{t}_n \rightarrow (\mathbf{f}(\mathbf{s}_1, \dots, \mathbf{s}_n) = \mathbf{f}(\mathbf{t}_1, \dots, \mathbf{t}_n))))$

for any $n \geq 1$, and $2n$ terms $\mathbf{s}_1, \dots, \mathbf{s}_n, \mathbf{t}_1, \dots, \mathbf{t}_n$ and n -place function symbol \mathbf{f}

(IX) $\mathbf{s}_1 = \mathbf{t}_1 \rightarrow (\mathbf{s}_2 = \mathbf{t}_2 \rightarrow (\dots \rightarrow \mathbf{s}_n = \mathbf{t}_n \rightarrow (\mathbf{P}(\mathbf{s}_1, \dots, \mathbf{s}_n) \rightarrow \mathbf{P}(\mathbf{t}_1, \dots, \mathbf{t}_n))))$

for any $n \geq 1$, and $2n$ terms $\mathbf{s}_1, \dots, \mathbf{s}_n, \mathbf{t}_1, \dots, \mathbf{t}_n$ and n -place predicate symbol \mathbf{P}

As before, any *instance* of an Axiom Schema is a **logical axiom**

Formal Axiomatic Proofs

We then add the 7 **non-logical** axioms of \mathcal{Q} to the **set of axioms**.

- Thus the formal theory \mathcal{Q} is the deductive closure in the language L of the axiomatic system that we have just defined.
- Hence if sentence S of L is a theorem of \mathcal{Q}

(written $\vdash_{\mathcal{Q}} S$ and implying that $S \in \mathcal{Q}$),

then there is a finite sequence of formulas,

$$F_1, F_2, \dots, F_k$$

where F_k is the sentence S , and each F_1, F_2, \dots , in the sequence is either an axiom of **FOL=**,

or an axiom of \mathcal{Q} , or is obtained from two previous formulas in the sequence F_n and F_m using **MP**.

Arithmetizing Proofs

- The set of (Gödel numbers of) axioms of **FOL=** plus axioms of ***Q*** is **recursive** and hence is **definable** in ***Q***
Furthermore, it's a **recursive** matter to determine whether a given sentence follows from 2 other sentences via **MP**:

Arithmetizing Proofs

E.g., consider the sequence $\dots, (A \rightarrow B), \dots, A, \dots, B$

$$gn[(] = 1, \quad gn[)] = 2, \quad gn[\rightarrow] = 39999$$

Suppose $gn[A] = n$ and $gn[B] = k$,

$$\text{then } gn[(A \rightarrow B)] = 1n39999k2$$

- So if sentence with Gödel number k follows in a proof sequence by **MP**,

then there had to be two previous entries in the sequence with Gödel numbers n and $1n39999k2$.

- Since there are only finitely many previous entries, in principle it's an **effective** matter to determine whether or not this is the case.

Arithmetizing Proofs

- Let the **Gödel number** of a **proof** be the Gödel number of the **total expression** consisting of the sentences of the proof sequence separated by commas, where $gn[,] = 29$.

As an example, consider a proof sequence of the form

$A, (A \rightarrow B), B$ [suppose A and $(A \rightarrow B)$ are axioms]

Since B is the last formula in the sequence, this is a proof of B .

Again, suppose $gn[A] = n$ and $gn[B] = k$

Then the Gödel number of the proof of B is $n291n39999k229k$

Let this number $n291n39999k229k = j$

Then j is the Gödel number of a **proof**
of the sentence with Gödel number k .

2-Place Proof Relation

- The technical relation **proof** is specified such that:
$$\mathbf{proof} = \{ \langle j, k \rangle : j \text{ is the Gödel number of a proof of the sentence with Gödel number } k \}.$$
proof is a recursive relation and hence is definable in \mathcal{Q} .
- Let the formula $Pr(x,y)$ define the relation **proof** in \mathcal{Q} .
So if $\langle j, k \rangle \in \mathbf{proof}$ then $\vdash_{\mathcal{Q}} Pr(j, k)$ and
if $\langle j, k \rangle \notin \mathbf{proof}$ then $\vdash_{\mathcal{Q}} \neg Pr(j, k)$

1-Place Proof Predicate

- Now take the formula $Pr(x,y)$ and bind the free variable x with an existential quantifier to get $\exists x Pr(x,y)$.
- This formula has only the variable y free, and we will abbreviate

$$\exists x Pr(x,y) \text{ as } Prov(y).$$

Thus $Prov(y)$ ‘asserts’, **in the theory Q** ,
that there is a proof in Q of the sentence with Gödel number y ,
and hence that this sentence is a **theorem** of Q (!)

$Prov(y)$ has 3 essential features that will be used to characterize the general notion of a **provability predicate**:

1-Place Proof Predicate

For all sentences A, B in the language of Q

(i) if $\vdash A$, then $\vdash \textit{Prov} (\ulcorner A \urcorner)$

This property follows directly from the fact that $\textit{Pr} (x,y)$ defines the relation **proof** in Q .

For suppose $\vdash_Q A$. Then there is a proof in Q of A .

Let the Gödel number of the proof be m .

Then $\langle m, \textit{gn}[A] \rangle \in \textbf{proof}$ and so $\vdash_Q \textit{Pr} (\mathbf{m}, \ulcorner A \urcorner)$

hence $\vdash_Q \exists x \textit{Pr} (x, \ulcorner A \urcorner)$,

i.e. $\vdash \textit{Prov} (\ulcorner A \urcorner)$

1-Place Proof Predicate

$$(ii) \quad \vdash \textit{Prov} (\ulcorner A \rightarrow B \urcorner) \rightarrow (\textit{Prov} (\ulcorner A \urcorner) \rightarrow \textit{Prov} (\ulcorner B \urcorner))$$

This property follows directly from the fact that
a proof of B can be obtained from a proof of $A \rightarrow B$
and a proof of A by writing one after the other.

In turn, this can be formalized in Q .

$$(iii) \quad \vdash \textit{Prov} (\ulcorner A \urcorner) \rightarrow \textit{Prov} (\ulcorner \textit{Prov} (\ulcorner A \urcorner) \urcorner)$$

This property is the **formalization** of (i) in the object language

Thus $\vdash A$ only if $\vdash \textit{Prov} (\ulcorner A \urcorner)$

$$\begin{array}{ccccc} / & | & | & | & \backslash \\ \textit{Prov} \ulcorner A \urcorner & \rightarrow & \textit{Prov} & \ulcorner \textit{Prov} (\ulcorner A \urcorner) \urcorner \end{array}$$

1-Place Proof Predicate

Prov (y) has the additional characteristic of ‘correctness’:

(iv) if $\vdash \textit{Prov} (\ulcorner A \urcorner)$, then $\vdash A$

This property also follows directly from the fact that *Pr* (x,y) defines the relation **proof** in *Q*.

if $\vdash \textit{Prov} (\ulcorner A \urcorner)$, i.e. $\vdash \exists x \textit{Pr} (x, \ulcorner A \urcorner)$,

then this is a true statement in arithmetic, so there is some number *m* such that *m* is the Gödel number of a proof of *A*.

thus $\vdash A$

Proof of Gödel's First Incompleteness Theorem

- The **diagonal lemma**, (i) and (iv) are sufficient to now prove **Gödel's First Incompleteness Theorem**:
if formal arithmetic is **consistent**, then it is **incomplete**.
- **proof**: Since $\neg \textit{Prov}$ (y) is a formula with only the variable y free, it follows by the **diagonal lemma** that there is a sentence S such that

$$(*''') \quad \vdash S \leftrightarrow \neg \textit{Prov} (\ulcorner S \urcorner)$$

Assume $\vdash S$.

Then $\vdash \neg \textit{Prov} (\ulcorner S \urcorner)$ by $(*''')$

and $\vdash \textit{Prov} (\ulcorner S \urcorner)$ by reductio hypothesis and (i)

and arithmetic is **inconsistent**.

Proof of Gödel's First Incompleteness Theorem

Assume $\vdash \neg S$.

By $(*''')$ alone we get $\vdash \neg \text{Prov}(\ulcorner S \urcorner) \rightarrow S$

and contraposition and double neg. elim. on this yield

$\vdash \neg S \rightarrow \text{Prov}(\ulcorner S \urcorner)$.

With the reductio hypothesis $\vdash \neg S$, MP, and distribution

of \vdash over the conditional we get $\vdash \text{Prov}(\ulcorner S \urcorner)$,

and (iv) yields $\vdash S$.

So $\vdash S$ and $\vdash \neg S$ and arithmetic is **inconsistent**.

Thus *if* formal arithmetic is consistent, *then*

neither $\vdash S$ *nor* $\vdash \neg S$, and formal arithmetic is **incomplete** ■

Consequences for Hilbert's Program

- A fundamental wedge has thereby been driven between **truth** in the **intended model** and **provability** in a **formal system**.
- So Gödel's First Incompleteness Theorem is generally taken to **refute** one of the basic tenets of Hilbert's Program by establishing that **not** all of the **true** statements in elementary arithmetic can be **proved** in an axiomatizable formal theory.
- All statements in the language of arithmetic are either **true** or **false** in the *intended model*, but neither S nor $\neg S$ is **provable**.
- Hence even elementary arithmetic cannot be reduced to
“... **an inventory of provable formulas**”

Consequences for Hilbert's Program

- So it would appear that the method of axiomatization and finitary proof is inherently too weak to capture all mathematical truths.
- And this type of 'foundation' thereby seems to be rendered inadequate, *in principle*.
- However, the areas in which such 'undecidable' sentences (i.e. where neither A nor $\neg A$ is provable) actually arise appears to be quite narrow and specialized.
- No arithmetical conjecture or problem that has occurred to mathematicians in contexts, outside of logic and the foundations of mathematics, has ever been proved to be **undecidable**.

Consequences for Hilbert's Program

- But there has been work to find statements closer to 'ordinary mathematics' than Gödel sentences that *are* **undecidable** in PA.
e.g. **The Paris-Harrington Theorem** (1976)

Consequences for Philosophy of Mind?

- Since $S \leftrightarrow \neg \textit{Prov}(\ulcorner S \urcorner)$, the ‘Gödel sentence’ S can be interpreted as ‘asserting its own unprovability’,
and if arithmetic is consistent then S is unprovable, hence **true**.
- The human mind seems able to intuitively grasp the **truth** of the Gödel sentence,
even though the sentence does not follow as a consequence of the finitary deductive system.
- Does this show that the human mind cannot be reduced to a finitary deductive system?
- And given the relationship between computation and finitary deductive systems, does this show that
the **Computational Theory of Mind** is **false**??

Consequences for Philosophy of Mind?

- Both Lucas, and more recently Penrose, have put forward arguments to this effect.
- But are such arguments convincing?
- Gödel's theorem establishes that if the formal theory of arithmetic is consistent, then S is not provable.
- Thus in order for the human mind to 'know' that S is **not provable** and hence **true**,
the human mind must first 'know' that arithmetic is consistent.
- Q is quite a simple theory,
so suppose we grant the claim that we *know* that Q is consistent and that S is **unprovable** and thus **true**.

Consequences for Philosophy of Mind?

- Indeed, *whenever* we know a formal theory **T** (in which **diag** is representable) to be consistent, we also know the truth of a corresponding sentence *S* that is not provable in **T**.
- Does this show that the human mind has a special power and can thereby outperform any given formal system?
- **No** –
- While it *does* follow that **we know** the conditional
- ‘**If** the formal system **T** is consistent **then** the corresponding sentence *S* is true’
this is not equivalent to the claim
- ‘**If** the formal system **T** is consistent **then** **we know** that the corresponding sentence *S* is true’

Consequences for Philosophy of Mind?

- Thus consider cases where the formal system is so complex that we have **no idea** whether or not it is **consistent**.
- Then we also have **no idea** whether or not the corresponding sentence S is **true**!
- So what strictly follows from the claim that **we know** the simple system Q is consistent, and hence that the original **Gödel sentence** *is* true?
- Only that, *if* some version of CTM is the case, then the formal system on which the human mind operates isn't Q !
- But the possibility still remains open that it could be some other, much more sophisticated and elaborate formal system (of which we are unaware).

Inexhaustability

- While these standard attempts to derive anti-CTM consequences from Gödel's Theorem are not successful, Gödel himself put forward a potential argument based on the seeming 'inexhaustability' of human mathematical knowledge: it may not be possible to specify **any one** formal system which completely **exhausts** all of our mathematical knowledge.
- If this is true, then perhaps human mathematical knowledge cannot ultimately be captured in terms of computations and finitary deductive mechanisms?

Proving Gödel's First Theorem the Fast Way

- We have stated and proved Gödel's First Incompleteness Theorem in the 'classic' manner,
by constructing a sentence S that 'asserts its own unprovability'.
- However, since the time of Gödel's original Theorem, more streamlined methods have been devised for establishing a theoretically equivalent result:
- A formal theory T is **axiomatizable** iff there is a **decidable subset** of T whose logical consequences are the **theorems** of T .
- So any **decidable** theory is **axiomatizable** (why?),
but not every **axiomatizable** theory is **decidable** (why?).

Proving Gödel's First Theorem the Fast Way

- As above, a formal theory **T** is **complete** iff for **all** sentences S in the language of **T**, either $\vdash_{\mathbf{T}} S$ or $\vdash_{\mathbf{T}} \neg S$.
- Furthermore,
 - (i) any **axiomatizable, complete** theory is **decidable**
(Theorem 5, B&J p. 177).
- Recall the previous result that
 - (ii) **no consistent** extension of Q is **decidable**.
- It follows as an immediate consequence of (i) and (ii) that:
Gödel's First Incompleteness Theorem (reformulated)
There is **no consistent, complete, and axiomatizable**
extension of Q ■