

# **Problem Set 5**

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# 1 Problem 1: $G_n$ and $F_n$

**Claim:** Let  $P(n) := G_n = F_{n+3} - 1$ . The claim is that  $\forall n \in \mathbb{N}^{\geq -1} : P(n)$ .

*Proof.* We will prove by strong induction on  $n$ .

**Base cases:**  $n = -1$  and  $n = 0$ . For the first case,  $G_{-1} = F_2 - 1$ , and by the definition of  $F_n$  and  $G_n$ ,  $F_2 = 1$  and  $G_{-1} = 0$ , giving us  $0 = 1 - 1$ , thus the case is true for  $n = -1$ . For the second case,  $G_0 = F_3 - 1$ , so  $1 = 2 - 1$ , thus the second case is also true.

**Inductive case:** Let  $n \geq 1$ . We will show  $[P(-1) \wedge P(0) \wedge \dots \wedge P(n)] \implies P(n+1)$ .

- *Given:* Assume  $P(-1) \wedge \dots \wedge P(n-1) \wedge P(n)$  is true.
- *Want to show:*  $P(n+1)$  is true.

Since  $P(n-1)$  is true, we have  $G_n = F_{n+2} - 1$ . Since  $P(n)$  is true, we have  $G_{n-1} = F_{n+3} - 1$ .

We will use this fact to show  $P(n+1)$ :

$$\begin{array}{ll}
 P(n) := G_n = F_{n+3} - 1 & \text{definition of } P(n) \\
 P(n+1) := G_{n+1} = F_{n+4} - 1 & \text{inductive hypothesis} \\
 G_{n+1} = G_n + G_{n-1} + 1 & \text{definition of } G \\
 F_{n+4} = F_{n+3} + F_{n+2} & \text{definition of } F \\
 P(n+1) := G_n + G_{n-1} = F_{n+3} + F_{n+2} - 2 & \text{substituting/rearranging terms}
 \end{array}$$

By the claim, the following are given to be true:

$$\begin{array}{l}
 G_n = F_{n+3} - 1 \\
 G_{n-1} = F_{n+2} - 1
 \end{array}$$

By adding the two, we get:

$$G_n + G_{n-1} = F_{n+3} + F_{n+2} - 2$$

This is exactly equal to the rearranged inductive hypothesis for  $P(n+1)$ . Thus, the inductive hypothesis must be true.  $\square$

## 2 Problem 2: $G_n$ lower bound

**Claim:** Let  $P_2(h) := G_h \geq 2^{h/2}$ . The claim is that  $\forall h \in \mathbb{N}^{\geq 0} : P_2(h)$ .

*Proof.* We will prove by strong induction on  $h$ .

**Base cases:**  $h = 0$  and  $h = 1$ . For the first case,  $G_0 = 1$ , and  $2^{0/2} = 1$ , giving us  $1 \geq 1$  and thus the first case is true. For the second case,  $G_1 = 2$ , and  $2^{1/2} = \sqrt{2}$ , giving us  $2 \geq \sqrt{2}$ , thus the second case is also true.

**Inductive case:** Let  $h \geq 2$ . We will show  $[P_2(0) \wedge P_2(1) \wedge \dots \wedge P_2(h-2) \wedge P_2(h-1)] \implies P_2(h)$ .

- *Given:* Assume  $P_2(-1) \wedge \dots \wedge P_2(h-2) \wedge P_2(h-1)$  is true.
- *Want to show:*  $P_2(h)$  is true.

Since  $P_2(h-2)$  is true, we have  $G_{h-2} \geq 2^{(h-2)/2}$ . Since  $P_2(h-1)$  is true, we have  $G_{h-1} \geq 2^{(h-1)/2}$ .

We will use this fact to show  $P_2(h)$ :

$$\begin{aligned} P_2(h) &:= G_h \geq 2^{h/2} && \text{definition of } P_2(h) \\ G_h &= G_{h-2} + G_{h-1} + 1 && \text{definition of } G \end{aligned}$$

By substituting  $G_{h-2}$  and  $G_{h-1}$  with the given cases, we get:

$$G_h \geq 2^{(h-2)/2} + 2^{(h-1)/2} + 1$$

It also follows that  $2^{(h-2)/2} + 2^{(h-1)/2} + 1 > 2^{h/2}$ , for all  $h \geq 1$ . This is because  $2^{(h-2)/2}$  is equal to exactly half of  $2^{h/2}$ , and because  $2^{(h-1)/2} > 2^{(h-2)/2}$ . Thus  $2^{h/2}$  must be smaller than  $2^{(h-2)/2} + 2^{(h-1)/2} + 1$ , as it is a number exactly half of  $2^{h/2}$  being added to a number bigger than half of  $2^{h/2}$ , plus one. Thus, if:

$$2^{(h-2)/2} + 2^{(h-1)/2} + 1 > 2^{h/2}$$

And:

$$G_h \geq 2^{(h-2)/2} + 2^{(h-1)/2} + 1$$

It follows that:

$$G_h \geq 2^{h/2}$$

Thus, the claim must be true. □

### 3 Problem 3: lower bound on height balanced binary trees

**Claim:** For any height balanced binary tree  $T$ ,  $nodes(T) \geq G_{h(T)}$ .

*Proof.* We will prove by structural induction on  $h(T)$ .

**Base cases:**  $n = 1$ . There are two base cases. The first base case is an empty tree. For an empty tree,  $h(T) = -1$ , and  $nodes(T) = 0$ . Thus,  $G_{h(T)} = 0$ , and since  $0 \geq 0$ , the claim is true for this base case. The second base case is a tree with a single node, and two empty subtrees. For this tree,  $h(T) = 1 + \max\{h(T_l), h(T_r)\} = 1 + -1 = 0$ , and so  $G_{h(T)} = 1$ . In this case,  $nodes(T) = 1$ , and since  $1 \geq 1$ , the claim is also true for this base case.

**Inductive case:** The inductive case is a height balanced binary tree  $T$  that contains *at least* one non-empty subtree.

- *Given:* Assume the claim is true for the subtrees  $T_l$  and  $T_r$  of tree  $T$ .
- *Want to show:* The claim must be true for  $T$ .

By the definition of a binary tree, we have

$$nodes(T) = 1 + nodes(T_l) + nodes(T_r)$$

and,

$$h(T) = 1 + \max\{h(T_l), h(T_r)\}$$

By rearranging the second, we have

$$\max\{h(T_l), h(T_r)\} = h(T) - 1$$

Since the tree is height balanced,  $h(T_l)$  and  $h(T_r)$  differ by at most 1, thus the smaller of the two must be equal to  $h(T) - 2$ . It is irrelevant which one of the two the larger one. Thus, we can rearrange the definition of  $G_h$  to have the following

$$G_{h(T)} = G_{h(T)-2} + G_{h(T)-1} + 1$$

$$G_{h(T)} = G_{h(T_l)} + G_{h(T_r)} + 1$$

We will use this fact to show that the claim is true for  $T$ :

$nodes(T) \geq G_{h(T)}$	claim
$nodes(T) \geq G_{h(T_l)} + G_{h(T_r)} + 1$	substituting terms
$1 + nodes(T_l) + nodes(T_r) \geq G_{h(T_l)} + G_{h(T_r)} + 1$	definition of nodes(T)
$nodes(T_l) + nodes(T_r) \geq G_{h(T_l)} + G_{h(T_r)}$	algebra

□

## 4 Problem 4: false lower bound on binary trees

## 5 Problem 5: bound on height

## 6 Example of proof by induction

*This is an example proof, provided in LaTeX so that you may copy its basic formatting.*

**Claim:** Let  $P(n) := \sum_{i=1}^n i = \frac{n(n+1)}{2}$ . The claim is that  $\forall n \in \mathbb{Z}^{\geq 1} : P(n)$ .

*Proof.* We will prove by weak induction on  $n$ .

**Base case:**  $n = 1$ . In this case  $\sum_{i=1}^n i = \sum_{i=1}^1 i = 1$  and  $\frac{n(n+1)}{2} = \frac{1 \times (1+1)}{2} = 1$ . Thus  $P(1)$  is true.

**Inductive case:** Let  $n \geq 2$ . We will show  $P(n-1) \implies P(n)$ .

- *Given:* Assume  $P(n-1)$  is true.
- *Want to show:*  $P(n)$  is true.

Since  $P(n-1)$  is true, we have

$$\sum_{i=1}^{n-1} i = \frac{(n-1)((n-1)+1)}{2}$$

We will use this fact to show  $P(n)$ :

$$\begin{aligned} \sum_{i=1}^n i &= \left( \sum_{i=1}^{n-1} i \right) + n && \text{definition of summation} \\ &= \frac{(n-1)((n-1)+1)}{2} + n && \text{inductive hypothesis} \\ &= \frac{(n-1)n + 2n}{2} && \text{rearranging/simplifying terms} \\ &= \frac{n^2 - n + 2n}{2} = \frac{n^2 + n}{2} && \text{algebra} \\ &= \frac{n(n+1)}{2} && \square \end{aligned}$$

□