

# **Problem Set 7**

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# 1 Problem 1: Using induction to prove algorithm correctness, DLN 5.71

**Claim:** Let  $P(n)$  if for a sorted array  $A[1...n]$  of length  $n$ ,  $\text{binarySearch}(A, x) \iff x \in A$ . The claim is that  $\forall n \in \mathbb{Z}^{\geq 1} : P(n)$ .

*Proof.* We will prove by strong induction on  $n$ .

**Base cases:** The base case is  $n = 1$ .  $P(1)$  must be true, as an array with length 1 will only have a single element. That element will be  $x$  if  $x$  is present, in which case the  $\text{chooseRandom}(1, n)$  call will choose  $x$ , thus returning true. If  $x$  is not present, the recursive call will be made with an array of length 0, thus returning false. This means that the function will only return true if and only if  $x$  is present, thus we have  $P(1)$ .

**Inductive case:** Let  $n \geq 2$ . We will show  $P(1) \wedge \dots \wedge P(n-1) \iff P(n)$ .

- *Given:* Assume  $P(1) \wedge \dots \wedge P(n-1)$  is true.
- *Want to show:*  $P(n)$  is true.

We proceed by cases. There are three ways in which  $x$  can exist with relation to  $A[1...n]$  and  $\text{middle}$ . These cases are as such:

$$\begin{aligned} A[1...x = \text{middle}...n] \\ A[1...x... \text{middle}...n] \\ A[1... \text{middle}...x...n] \end{aligned}$$

In Case 1, we have that  $x = \text{middle}$ . In Case 2, we have that  $1 \leq x < \text{middle} \leq n$ . In Case 3, we have that  $1 \leq \text{middle} < x \leq n$ .

- Case 1: In the first case, the algorithm successfully found the item  $x$  it was searching for. Thus, the function returns true, acting correctly as  $x$  is present.
- Case 2: In the second case, the function will be called recursively on  $A[1... \text{middle} - 1]$ . The length of  $A[1... \text{middle} - 1]$  must be smaller than the length of  $A[1...n]$ , and thus by the assumption of the inductive case we must have that  $P(n')$ , where  $n'$  is the length of  $A[1... \text{middle} - 1]$ . Therefore, in the second case the function returns correctly.
- Case 3: In the second case, the function will be called recursively on  $A[\text{middle} + 1...n]$ . The length of  $A[\text{middle} + 1...n]$  must be smaller than the length of  $A[1...n]$ , and thus by the assumption of the inductive case we must have that  $P(n')$ , where  $n'$  is the length of  $A[\text{middle} + 1...n]$ . Therefore, in the third case the function returns correctly.

As in all three cases we have that the function returns correctly, we have that  $\forall n \in \mathbb{Z}^{\geq 1} : P(n)$ , thus proving the claim.

□

## 2 Problem 2: proving a relation is a partial order

### 2.1 DLN 8.131

A relation is a total order if it is a partial order where every pair is comparable ( $\langle a, b \rangle \in R$  or  $\langle b, a \rangle \in R$ ). To demonstrate that it is not a total order, we must simply identify an  $(a, b)$  pair that does not satisfy the total order condition. An example is as follows.

The pair  $\langle \langle 1, 2 \rangle, \langle 2, 2 \rangle \rangle$  would be in  $R$  as it meets the condition of the relation. Additionally, the pair  $\langle \langle 2, 1 \rangle, \langle 2, 2 \rangle \rangle$  would also be in  $R$ . However, neither  $\langle \langle 2, 1 \rangle, \langle 1, 2 \rangle \rangle$  nor  $\langle \langle 1, 2 \rangle, \langle 2, 1 \rangle \rangle$  would be in  $R$ , as neither meet the condition. Thus, this relation  $R$  does not meet the definition of a total order, and thus is not a total order.

### 2.2 DLN 8.132

For  $R$  to be a partial order, it must be reflexive, antisymmetric, and transitive. We will show that relation  $R$  meets each of these properties.

- **Reflexivity:**  $\langle \langle a, b \rangle, \langle x, y \rangle \rangle \in R$  if  $a \leq x$  and  $b \leq y$ . Thus  $\langle \langle a, b \rangle, \langle a, b \rangle \rangle \in R$  as  $a \leq a$  and  $b \leq b$ . Therefore this relation is reflexive, as for any  $(a, b)$  pair  $A$ ,  $\langle A, A \rangle \in R$ .
- **Antisymmetry:** The antisymmetry property is only present if  $\langle A, B \rangle \in R \wedge \langle B, A \rangle \in R \implies (A = B)$ , where  $A$  is the pair  $(a, b)$  and  $B$  is the pair  $(x, y)$ . If  $\langle A, B \rangle \in R \wedge \langle B, A \rangle \in R$ , then by the definition of  $R$ ,  $(a \leq x) \wedge (b \leq y)$  as well as  $(x \leq a) \wedge (y \leq b)$ . This is only possible if  $a = x$  and  $b = y$ , as it is not possible to have  $a < x < a$  or  $b < y < b$ . Additionally, if  $a = x$  and  $b = y$ , then we must have  $A = B$ , and thus the relation  $R$  is antisymmetric.
- **Transitivity:** The property of transitivity holds that if  $\langle A, B \rangle \in R \wedge \langle B, C \rangle \in R$ , then we must have that  $\langle A, C \rangle \in R$ . We have that  $A$  is the pair  $(a, b)$  and  $B$  is the pair  $(x, y)$ , and  $C$  is the pair  $(c, d)$ . If we have  $\langle A, B \rangle \in R \wedge \langle B, C \rangle \in R$ , then it must be that  $(a \leq x) \wedge (b \leq y)$ , as well as  $(x \leq c) \wedge (y \leq d)$ . It also holds that  $(a \leq c) \wedge (b \leq d)$ , and thus  $\langle A, C \rangle \in R$ , fulfilling the property of transitivity.

As the relation  $R$  is reflexive, antisymmetric, and transitive, it is a partial order.

### 3 Problem 3: an equivalence relation and a partial order? DLN 8.155

**Claim:** There exists a relation  $\preceq$  on the set  $A$  that is both an equivalence relation and a partial order.

*Proof.* We will prove by direct proof, by showing an example of a relation that fits both the definition of an equivalence relation and a partial order.

A relation is an equivalence relation if it is reflexive, symmetric, and transitive. A relation is a partial order if it is reflexive, antisymmetric, and transitive. Thus for a relation to be both, it must be reflexive, symmetric, transitive, and antisymmetric simultaneously. We will show that the relation  $R$  fits all of these properties. The relationship  $R$  is defined as such: with relation to  $A[1..n]$ ,  $\langle a, b \rangle \in R$  if  $a = b$ . We will show that each property holds individually.

- **Reflexivity:** As for any element  $a \in A[1..n]$ ,  $a = a$ , then  $\forall a \in A$ ,  $\langle a, a \rangle \in R$ . This is exactly the definition of reflexivity, and thus the relationship  $R$  is reflexive.
- **Symmetry:** The property of symmetry exists if  $\forall \langle a, b \rangle \in R$ , we must have  $\langle b, a \rangle \in R$ . Since we have  $\langle a, b \rangle \in R$ , it must be that  $a = b$ , and that  $b = a$ . Thus, it holds that  $\langle b, a \rangle \in R$ . Therefore, the relationship  $R$  is symmetric.
- **Antisymmetry:** The property of symmetry exists if for any  $(a, b)$  pair where  $\langle a, b \rangle \in R$  and  $\langle b, a \rangle \in R$ , we must have that  $a = b$ . By the definition of  $R$ , if we have  $\langle a, b \rangle \in R$ , then we will have  $a = b$ , fulfilling the property of antisymmetry, as this will always be true, and thus true when  $\langle b, a \rangle \in R$ .
- **Transitivity:** The transitivity property states that if you have  $\langle a, b \rangle \in R$  and  $\langle b, c \rangle \in R$ , you must have that  $\langle a, c \rangle \in R$ . Given the conditions, we would have that  $a = b$  and that  $b = c$ . As the  $=$  comparator is transitive, we would have that  $a = c$ , and by the definition of  $R$ ,  $\langle a, c \rangle \in R$ . Thus, the relation  $R$  is transitive.

As we have shown that relation  $R$  is simultaneously reflexive, symmetric, transitive, and antisymmetric, we can conclude that  $R$  is both an equivalence relation and a partial order. Thus, we have directly proven the claim by showing an example.  $\square$