#### CHAPTER 2

#### $\pi$ -CALCULUS

#### 2.1 Introduction to $\pi$ -calculus

We saw that  $\lambda$ -calculus is a theory of sequential computation. Here, we are interested in the results of functions applied to data. In  $\pi$ -calculus, we are interested in concurrent and parallel computation, communication between computing agents and continuous exchanges of input and output. There are many theories for concurrent computation including  $\pi$ -calculus, and are described as process calculus or algebra, where process means an identifiable computing agent that can interact with the environment. So,  $\pi$ -calculus is a process calculus. Moreover, unlike other process calculi, it has mobility- we can send a communication link (channel) as data that can be sent across another link.

A process is a computing agent that can interact with other processes by sending and receiving messages. Messages can be sent on channels (or names). There can be several senders and receivers on a single channel, but each message is sent by one process and received by one process. Communication is synchronous- both sender and receiver block until the message is exchanged. There is no concept of location. If we define a system by two processes in parallel, we don't care about whether they are on the same CPU or at different places in a distributed system. Nonetheless, these concepts can be used to extend  $\pi$ -calculus.

Before defining the syntax, we will first consider  $\pi$ -calculus using some examples. These will involve numbers and arithmetic operations, which are not natively present in  $\pi$ -calculus, but still can be expressed by some  $\pi$ -calculus terms. This holds since  $\pi$ -calculus is a Turing-complete model of computation.

Consider the following term in  $\pi$ -calculus:

$$a(x).a(y).\overline{a}\langle x+y\rangle.0$$

In this term:

- the expression a(x) means that we receive a message on some channel a, and refer to it using x- x is like a function parameter, and is a bound variable.
- the dot means sequencing, and the sequences are left-to-right, i.e. first receive x on a, then receive y on b.
- $\overline{a}\langle x+y\rangle$  means that we are sending a message on channel a, and this is the result of the computation x+y.
- 0 is the process that does nothing, and represents termination.

We can think of this term as some server- it receives 2 numbers from some client and sends back the sum of these two numbers.

We can define a process that *communicates* on a in a dual way, i.e. a client for a server. So, consider the following term:

$$\overline{a}\langle 2\rangle.\overline{a}\langle 3\rangle.a(z).P(z)$$

In this case, we send the numbers 2 and 3 on the channel a and await its output. Then, we process the message in some way using the call P(z).

We can now put the two process in parallel so that they can communicate with each on the channel a. This is done by reduction:

$$a(x).a(y).\overline{a}\langle x+y\rangle.0 \mid \overline{a}\langle 2\rangle.\overline{a}\langle 3\rangle.a(z).P(z)$$

$$\downarrow$$

$$a(y).\overline{a}\langle 2+y\rangle.0 \mid \overline{a}\langle 3\rangle.a(z).P(z)$$

$$\downarrow$$

$$\overline{a}\langle 2+3\rangle.0 \mid a(z).P(z)$$

$$\downarrow$$

$$\overline{a}\langle 5\rangle.0 \mid a(z).P(z)$$

$$\downarrow$$

$$0 \mid P(5)$$

We will now look at some more operations in  $\pi$ -calculus. The choice operation + gives us a choice between two different ways of communication. For instance, consider the following term:

$$a(x).a(y).\overline{a}\langle x+y\rangle.0+b(x).b\langle x^2\rangle.0$$

We can think of this as the server providing multiple functionalities, and we can choose the one we want based on the channel name (a or b). The choice is non-deterministic and part of reduction. This means that the expression

$$a(x).a(y).\overline{a}\langle x+y\rangle.0+b(x).b\langle x^2\rangle.0\mid \overline{a}\langle 2\rangle.\overline{a}\langle 3\rangle.a(z).P(z)$$

reduces in one step to

$$a(y).\overline{a}\langle 2+y\rangle.0 \mid \overline{a}\langle 3\rangle.a(z).P(z)$$

We illustrate the choice operation for the following process:

$$a(x).a(y).\overline{a}\langle x+y\rangle.0+b(x).\overline{b}\langle x^2\rangle.0\mid \overline{b}\langle 3\rangle.b(z).P(z)$$

$$\downarrow$$

$$\overline{b}\langle 3^2\rangle.0\mid b(z).P(z)$$

$$\downarrow$$

$$\overline{b}\langle 9\rangle.0\mid b(z).P(z)$$

$$\downarrow$$

$$0\mid P(9)$$

We can add recursive definitions to the syntax. For instance, consider the following term:

$$A = b(x).\overline{b}\langle x^2 \rangle.A$$

Then, the following illustrates how we can reduce recursively:

$$b(x).\overline{b}\langle x^2\rangle.A \mid \overline{b}\langle 2\rangle.b(z).\overline{b}\langle 3\rangle.b(w).P(z,w)$$

$$\downarrow$$

$$\overline{b}(2^2).A \mid b(z).\overline{b}\langle 3\rangle.b(w).P(z,w)$$

$$\downarrow$$

$$A \mid \overline{b}\langle 3\rangle.b(w).P(4,w)$$

$$=$$

$$b(x).\overline{b}\langle x^2\rangle.A \mid \overline{b}\langle 3\rangle.b(w).P(4,w)$$

$$\downarrow$$

$$\overline{b}\langle 3^2\rangle.A \mid b(w).P(4,w)$$

$$\downarrow$$

$$A \mid P(4,9)$$

Instead of adding recursion, we can introduce replication to get a simpler theory. For a process P, the term !P represents a potentially unlimited number of copies of P in parallel. We can pull another copy out whenever we need to. For instance, the process

$$!(b(x).\overline{b}\langle x^2\rangle.0) \mid \overline{b}\langle 2\rangle.b(z).\overline{b}\langle 3\rangle.b(w).P(z,w)$$

is equal to

$$b(x).\overline{b}\langle x^2\rangle.0 \mid !(b(x).\overline{b}\langle x^2\rangle.0) \mid \overline{b}\langle 2\rangle.b(z).\overline{b}\langle 3\rangle.b(w).P(z,w)$$

which reduces (eventually) to

$$0 \mid !(b(x).\overline{b}\langle x^2\rangle.0) \mid \overline{b}\langle 3\rangle.b(w).P(4,w)$$

At this point, we can pull out another copy, to get the equivalent process

$$0 \mid b(x).\overline{b}\langle x^2 \rangle.0 \mid !(b(x).\overline{b}\langle x^2 \rangle.0) \mid \overline{b}\langle 3 \rangle.b(w).P(4,w)$$

and continue reduction.

The  $\pi$ -calculus is based on non-determinism, which can lead to some issues. For instance, there can be several senders and receivers on the same channel in parallel. Consider the following term:

$$a(x).a(y).\overline{a}\langle x+y\rangle.0 \mid \overline{a}\langle 2\rangle.\overline{a}\langle 3\rangle.a(z).P(z) \mid \overline{a}\langle 4\rangle.\overline{a}\langle 5\rangle.a(w).Q(w)$$

Then, this process can reduce to either

$$a(y).\overline{a}\langle 2+y\rangle.0 \mid \overline{a}\langle 3\rangle.a(z).P(z) \mid \overline{a}\langle 4\rangle.\overline{a}\langle 5\rangle.a(w).Q(w)$$

or

$$a(y).\overline{a}\langle 3+y\rangle.0 \mid \overline{a}\langle 2\rangle.\overline{a}\langle 3\rangle.a(z).P(z) \mid \overline{a}\langle 3\rangle.a(w).Q(w)$$

Now, for the process to not get stuck, we need to ensure that the channel a receives the second message from the same channel.

To avoid the issue above of getting stuck, we can make use of the restriction operator  $\nu$ . The restriction operator defines a local scope for a channel. It is

a binder, and we can use  $\alpha\text{-equivalence}$  to rename a local channel, e.g. the channel

$$(\nu \ a)(a(x).a(y).\overline{a}\langle x+y\rangle.0 \mid \overline{a}\langle 2\rangle.\overline{a}\langle 3\rangle.a(z).P(z))$$

is  $\alpha$ -equivalent to

$$(\nu \ b)(b(x).b(y).\overline{b}\langle x+y\rangle.0 \mid \overline{b}\langle 2\rangle.\overline{b}\langle 3\rangle.b(z).P(z))$$

Note that the channel also leads to a bound variable, i.e. x is bound in  $(\nu x)(\ldots)$ . Bound variables can be renamed using  $\alpha$ -equivalence.

Using restriction, we can share private channels to ensure complete interaction. This is done using scope extrusion, which is shown in the reduction below:

$$r(a).a(x).a(y).\overline{a}\langle x+y\rangle.0 \mid (\nu \ b)(\overline{r}\langle b\rangle.\overline{b}\langle 2\rangle.\overline{b}\langle 3\rangle.b(z).P(z))$$

$$=$$

$$(\nu \ b)(r(a).a(x).a(y).\overline{a}\langle x+y\rangle.0 \mid \overline{r}\langle b\rangle.\overline{b}\langle 2\rangle.\overline{b}\langle 3\rangle.b(z).P(z))$$

$$\downarrow$$

$$(\nu \ b)(b(x).b(y).\overline{b}\langle x+y\rangle.0 \mid \overline{b}\langle 2\rangle.\overline{b}\langle 3\rangle.b(z).P(z))$$

At the first step, we expand the scope to include both processes, and is called *scope expansion*. Then, we send in the channel that will be used in communication. The two steps are referred to as *scope extrusion*. The output  $\overline{r}\langle b\rangle$  carries the scope of b with it, which allows us to create a private channel for the rest of the communication.

We will now illustrate how we can combine replication and restriction:

$$!(r(a).a(x).\overline{a}\langle x^{2}\rangle.0) \mid (\nu \ b)(\overline{r}\langle b\rangle.\overline{b}\langle 2\rangle.b(z).P(z)) = \\ !(r(a).a(x).\overline{a}\langle x^{2}\rangle.0) \mid r(a).a(x).\overline{a}\langle x^{2}\rangle.0 \mid | (\nu \ b)(\overline{r}\langle b\rangle.\overline{b}\langle 2\rangle.b(z).P(z)) = \\ !(r(a).a(x).\overline{a}\langle x^{2}\rangle.0) \mid (\nu \ b)(r(a).a(x).\overline{a}\langle x^{2}\rangle.0 \mid | \overline{r}\langle b\rangle.\overline{b}\langle 2\rangle.b(z).P(z)) \downarrow \\ !(r(a).a(x).\overline{a}\langle x^{2}\rangle.0) \mid (\nu \ b)(b(x).\overline{b}\langle x^{2}\rangle.0 \mid \overline{b}\langle 2\rangle.b(z).P(z)) \downarrow \\ !(r(a).a(x).\overline{a}\langle x^{2}\rangle.0) \mid (\nu \ b)(\overline{b}\langle 2^{2}\rangle.0 \mid b(z).P(z)) \downarrow \\ !(r(a).a(x).\overline{a}\langle x^{2}\rangle.0) \mid (\nu \ b)(0 \mid P(4)) = \\ !(r(a).a(x).\overline{a}\langle x^{2}\rangle.0) \mid 0 \mid P(4)$$

The ability to send a channel as a message is called *mobility*. This was the key advance of  $\pi$ -calculus in comparison with previous process calculi such as CCS and CSP.  $\pi$ -calculus is called a theory of mobile processes, although actually it is the channels that are mobile. Moving a process around a network can be modelled- instead of process moving, a channel that gives access to it

can move. There are extensions of  $\pi$ -calculus in which *processes* can be sent as messages. This is called *higher-order* communication.

We will now define  $\pi$ -calculus formally. Let  $x, y, \ldots$  denote channel names or variables, and  $P, Q, \ldots$  denote processes. Then, the syntax of processes is defined by the BNF below:

| terminated process        | P,Q := 0                 |
|---------------------------|--------------------------|
| ${\rm input/receive}$     | x(y).P                   |
| ${\rm output/send}$       | $\mid \overline{x}(y).P$ |
| silent action             | $\mid \tau.P$            |
| choice                    | $\mid P + Q$             |
| ${\bf scope/restriction}$ | $\mid (\nu \ x)P$        |
| replication               | !P                       |
| parallel composition      | $\mid P \mid Q$          |

Other process constructions, like conditions, case, etc. can be added to the syntax of processes, but they are not in the core.

Now, we want to define the *semantics* by *reduction relation* on processes. The main rule of communication is:

$$a(x).P \mid \overline{a}\langle y \rangle.Q \to P[x := y] \mid Q$$

We want to be able to apply this rule in the presence of other parallel processes, i.e. in bigger *contexts*, e.g.

$$a(x).P \mid \mathbf{R} \mid \overline{a}\langle y \rangle.Q \rightarrow P[x := y] \mid \mathbf{R} \mid Q$$

We have to dom something about the fact that communicating parts of the process might not be written next to each other. Syntax is all in a line, but we want to think of parallel processes in a space where any process can interact with any other.

To do so, we define *structural congruence* ( $\equiv$ ) on processes; it compensates for inessential syntactic details, as well as defining some important aspects of the behaviour of processes. It is defined by several axioms, and is also a *congruence*, meaning that it is preserved by all the syntactic constructs, i.e. we can apply reduction in bigger contexts, and it is an equivalence relation. In particular, congruence means that:

- if  $P \equiv Q$ , then  $P \mid R \equiv Q \mid R$ ;
- if  $P \equiv Q$ , then  $P + R \equiv Q + R$ ;
- if  $P \equiv Q$ , then  $x(y).P \equiv x(y).Q$ ;
- if  $P \equiv Q$ , then  $\overline{x}\langle y \rangle . P \equiv \overline{x}\langle y \rangle . Q$ ;
- if  $P \equiv Q$ , then  $(\nu x)P \equiv (\nu x)Q$ ; and
- if  $P \equiv Q$ , then  $!P \equiv !Q$ .

And, equivalence relation means that:

- $P \equiv P$ ;
- if  $P \equiv Q$  then  $Q \equiv P$ ; and
- if  $P \equiv Q$  and  $Q \equiv R$ , then  $P \equiv R$ .

The full definition of structural congruence is given below:

$$\begin{array}{ll} P \mid Q \equiv Q \mid P & \text{parallel is commutative} \\ P \mid (Q \mid R) \equiv (P \mid Q) \mid R & \text{parallel is associative} \\ P \mid 0 \equiv P & \text{garbage collection} \\ P + Q \equiv Q + P & \text{choice is commutative} \\ P + (Q \mid R) \equiv (P + Q) + R & \text{choice is associative} \\ P + 0 \equiv P & \text{garbage collection} \\ (\nu \ x)(\nu \ y)P \equiv (\nu \ y)(\nu \ x)P & \text{reordering } \nu \\ (\nu \ x)0 \equiv 0 & \text{garbage collection} \\ !P \equiv P \mid !P & \text{replication} \\ P \mid (\nu \ x)Q \equiv (\nu \ x)(P \mid Q) \text{ if } x \not\in FV(P) & \text{scope expansion} \end{array}$$

It also includes  $\alpha$ -equivalence. Informally, the definition states that:

- we can ignore the order of processes in parallel and choice constructs;
- we do not need to write brackets in parallel and choice constructs;
- we can reorder  $\nu$  binders;
- we can remove 0 and  $(\nu x)$ 0 from parallel and choice constructs;
- ullet we can pull out a copy of P from !P if necessary; and
- we can expand the scope of  $(\nu x)$  whenever necessary, and rename x if we need to, so as to avoid a variable capture.

We can now define the reduction relation. Before doing so, there are two things to consider:

- substitution is defined in a similar way to  $\lambda$ -calculus, but we only substitute variables; and
- bound variables can be renamed if necessary to avoid variable capture. This can be done using Barendregt convention.

Now, these are the reduction axioms:

$$(\overline{a}\langle x\rangle.P+\dots)\mid (a\langle y\rangle.Q+\dots)\to P\mid Q[y:=x] \qquad \qquad \text{RCom}$$
 
$$\tau.P+\dots\to P \qquad \qquad \text{RTau}.$$

The first one allows us to substitute via communication, while the second one takes the  $\tau$  choice (which can always be chosen). We extend these using the following inference rules:

$$\begin{split} \frac{P \to Q}{(\nu \; x)P \to (\nu \; x)Q} \text{RNew} & \frac{P \to Q}{P \; | \; R \to Q \; | \; R} \text{RPar} \\ \frac{P' \equiv P \quad P \to R}{P' \equiv Q'} \text{RStruct} \end{split}$$

# 2.2 Modelling and Computation

There are two directions we can go with  $\pi$ -calculus:

- We can model systems by adding data and computation (e.g. integers and operations) when we need them. In this view,  $\pi$ -calculus is a concurrency layer on top of an assumed computational base.
- We can study  $\pi$ -calculus as a foundation for all computation, like  $\lambda$ -calculus.

# Modelling in $\pi$ -calculus

The mobile phones example is a classic  $\pi$ -calculus example that illustrates dynamic communication topology. Note that the key feature of  $\pi$ -calculus is mobility.

Here, we have a car, two transmitters and a controller. At any time, the car communicates with only one of the transmitters. The controller tells a transmitter to *lose* or *gain* connection to the car.

We will use parametrised recursive program definitions instead of replication and send/receive with multiple messages. We will later see how to translate recursive definitions into replication.

Trans is parametrised by the channels it shares with Control, which are lose and gain. On the other hand, Car is parametrised by talk and switch. It can either talk or obey an instruction to lose the connection.

Id Trans is the idle transmitter. It is parametrised by the channels it shares with Control, i.e. lose and gain. It can obey an instruction to gain a connection. Putting this all together, we get:

```
\begin{split} Trans(talk, switch, gain, lose) &= talk(). \, Trans(talk, switch, gain, lose) \\ &+ lose(t, s). \overline{switch} \langle t, s \rangle. Id \, Trans(gain, lose) \\ Id \, Trans(gain, lose) &= gain(t, s). \, Trans(t, s, gain, lose) \end{split}
```

Control will either be  $Control_1$  or  $Control_2$ . Control can tell one transmitter to lose a connection and the other to gain a connection. We ignore how it decides this. So, we have:

```
Control_1 = \overline{lose_1} \langle talk_2, switch_2 \rangle. \overline{gain_2} \langle talk_2, switch_2 \rangle. Control_2

Control_2 = \overline{lose_2} \langle talk_1, switch_1 \rangle. \overline{gain_1} \langle talk_1, switch_1 \rangle. Control_1
```

We treat  $lose_i$ ,  $talk_i$  and  $switch_i$  channels as global variables for  $i \in \{1, 2\}$ . We will define a system in which they are declared in a scope that includes Control.

Now, the Car can either talk or switch to a new pair of channels. We would expect switch to have priority over talk, but this is not enforced. The definition of Car is therefore the following:

```
Car(talk, switch) = \overline{talk}().Car(talk, switch) + switch(t, s).Car(t, s)
```

Finally, we define the System, with the starting state  $Trans_1$ . This is given by:

$$System_1 = (\nu \ talk_1, switch_1, gain_1, lose_1, talk_2, switch_2, gain_2, lose_2) \\ (Car(talk_1, switch_1) \mid Trans_1 \mid IdTrans_2 \mid Control_1)$$

where for  $i \in \{1, 2\}$ ,

$$Trans_i = Trans(talk_i, switch_i, gain_i, lose_i)$$
  
 $IdTrans_i = IdTrans(gain_i, lose_i)$ 

Using this definition, we can reduce  $System_1$  reduces to  $System_2$ : We defined Car as follows:

$$Car(talk, switch) = \overline{talk}().Car(talk, switch) + switch(t, s).Car(t, s)$$

This is recursive parametrised definition of Car. We can write it using replication using a replicated process that receives talk and switch on a channel called start. We first define NewCar:

$$New Car = !(start(t, s).(\overline{talk}.\overline{start}\langle t, s \rangle.0 + switch(x, y).\overline{start}\langle x, y \rangle.0))$$

We can now replace  $Car(talk_1, switch_1)$  with:

$$(\nu \ start)(\overline{start}\langle talk_1, switch_1 \rangle.0 \mid New Car)$$

That way, we can reduce the following process:

$$\overline{start}\langle talk_1, switch_1 \rangle.0 \mid NewCar \mid talk_1().0$$

$$\overline{start}\langle talk_1, switch_1 \rangle.0 \mid NewCar$$

#### Computation in $\pi$ -calculus

In this section, we discuss how we can represent booleans and natural numbers in  $\pi$ -calculus.

In  $\pi$ -calculus, we can represent a boolean value by a process that is given two channels and communicates on one of them. This is similar to the  $\lambda$ -calculus representation, but we now also need to specify a channel for interaction with the boolean; this is called its *location*. We have

$$True(a) = a(t, f).\overline{t}\langle\rangle.0$$
  $False(a) = a(t, f).\overline{f}\langle\rangle.0$ 

We can abbreviate definitions by omitting the 0 at the end and empty braces. For instance, we have

$$True(a) = a(t, f).\overline{t}$$

We can define a choice between processes P and Q on a boolean located at channel a. This is given by:

$$Cond(P,Q)(a) = (\nu t, f)\overline{a}\langle t, f\rangle.(t.P + f.Q)$$

We illustrate how this works with an example. In particular, consider the following reduction:

$$True(a) \mid Cond(P,Q)(a)$$

Similarly,

$$False(a) \mid Cond(P,Q)(a) \rightarrow^* Q$$

Next, we can define the process Not(a, b), where b is where the boolean currently is located, and a is where it will be after the negation process. This process is given by:

$$Not(a,b) = (\nu t, f)(\overline{b}\langle t, f \rangle.(t.False(a) + f.True(a)))$$

We illustrate this with the following reduction:

$$Not(a,b) \mid True(b)$$

We now define the process And(a, b, c), which takes in two booleans at b and c and produces a boolean at a. This is given by:

$$And(a,b,c) = (\nu t, f)(\overline{b}\langle t, f \rangle.(f.False(a) + t.\overline{c}\langle t, f \rangle.(f.False(a) + t.True(b))))$$

We illustrate this with the following reduction:

$$And(a, b, c) \mid True(b) \mid False(c)$$

We will now use a similar idea to represent natural numbers. It is more direct than in  $\lambda$ -calculus since we do not need pair. We define it recursively, with

$$Z(n_0) = n_0(z, s).\overline{z}$$

$$S(n_k, N(n_{k-1})) = (\nu \ n_{k-1})(n_k(z, s).\overline{s}\langle n_{k-1}\rangle \mid N(n_{k-1}))$$

This way, we represent the value One as follows:

$$One(n_1) = S(n_1, Z(n_0)) = (\nu \ n_1)(n_0(z, s)\overline{s}\langle n_1\rangle \mid n_1(z, s).\overline{z})$$

Like Cond for booleans, we can define a case-analysis process for natural numbers, as follows:

$$Case(P,Q)(a,n) = (\nu z, s)\overline{a}\langle z, s\rangle.(z.P + s(n).Q(n)).$$

The process Cases interacts with a number located at a. If it is zero, it will next perform P; if it is instead S(n), then it will continue as Q(n). Using this, we can define the IsZero process:

$$IsZero(a, n) = Cases(True(a), False(a))(a, n).$$

We will now define the even process in  $\pi$ -calculus. Formally, the even function can be defined as follows:

$$even(Z) = true$$
  
 $even(S(n)) = not(even(n))$ 

In  $\pi$ -calculus, we can define *Even* using replication. This is given as follows:

$$Even = !(even(a, n). Cases(True(a), (\nu \ b)(Not(a, b) \mid \overline{even}(b, m))(n, m)))$$

The channel where we check is *even*. The value that we are checking is n, and the result is outputted into channel a.

The example of the even function looks complicated because it combined two ideas:

- the encoding of natural numbers as processes; and
- the use of replication to express a recursive function definition.

We can explain the second point more easily if we assume that we extend  $\pi$ -calculus with integers, booleans, expressions formed from standard operations, reduction of boolean and integer expressions, and a condition construct

It has the following reduction rules:

if true then 
$$P$$
 else  $Q \to P$  if false then  $P$  else  $Q \to Q$  
$$\frac{e \to e'}{if \ e \ then \ P \ else \ Q \to if \ e' \ then \ P \ else \ Q}$$

That way, we can write the even process as follows:

$$Even = !(even(n).(if\ IsZero(n)\ then\ true\ else\ not(Even(n-1))))$$

We can write the factorial function in a similar manner:

$$Fact = !(fact(a, n).ifisZero(n)then\overline{a}\langle 1\rangle else(\nu \ b)(\overline{fact}\langle b, n-1\rangle \ | \ b(x).\overline{a}\langle n\cdot x\rangle))$$

Note that the functions defined above consume the number they interact with. This makes it impossible to define functions that use their arguments more than once. It is possible to define persistent versions of the booleans and natural numbers, by using replication, e.g.  $True(a) = !(a(t, f).\bar{t}).$ 

Using these examples, and a formal proof, we can see that  $\pi$ -calculus can express all computable functions. It is possible to prove this by defining a translation from  $\lambda$ -calculus into  $\pi$ -calculus, or by directly showing that all recursive functions on natural numbers can be defined in  $\pi$ -calculus.

There is an argument that  $\pi$ -calculus is more fundamental than  $\lambda$ -calculus because  $\pi$ -calculus can easily express functional behaviour in terms of communication, whereas modeling concurrency behaviour in  $\lambda$ -calculus would require elaborate encodings.

# 2.3 Equivalence of Process

Part of the motivation for the topic of process calculus is to be able to reason about *communicating concurrent systems*: to specify how they behave and verify that they behave correctly.

The first step is to define the syntax and operational semantics (the reduction relation), which we have done. We have also seen how to define some interesting computational scenarios involving concurrency and communication.

We will now introduce a theory of equivalence of processes, which means equivalence of ongoing interactive behaviour (in contrast, to equivalence of a final result, as in  $\lambda$ -calculus).

Previously, we define the processes True(x) and False(x), and Not(x,y). We would like to have a theory in which

$$(\nu y)(Not(x,y) \mid True(y)) = False(x).$$

The fact that False(x) represents the boolean value false is to do with the way it interacts on the channel x, so the definition of equivalent must take that into account. Moreover, applying Not twice should be the identity function on booleans, i.e.

$$x(t, f).\overline{y}\langle t, f \rangle = (\nu z)(Not(x, z) \mid Not(z, y))$$

To develop the theory of equivalence, we will simplify  $\pi$ -calculus by assuming that all messages are empty. To reduce syntax, we will omit the empty messages and just write x or  $\overline{x}$ . We will refer to x and  $\overline{x}$  as actions. This means that we are working in an earlier process calculus called CCS-calculus of Communication Systems. We will start with examples and then give proper definitions.

Reductions of the form  $P \to Q$  tell us how a process evolves by *internal* communication. We need to be able to describe *potential* interaction between a process and its environment. We do this by introducing *labelled* transitions, e.g.

$$a.P \xrightarrow{a} P \qquad \overline{a}.Q \xrightarrow{\overline{a}} Q$$

We can describe actual interactions using  $\tau$  transitions, e.g.

$$a.P \mid \overline{a}.Q \xrightarrow{\tau} P \mid Q$$

We will now define labelled transitions. Let  $\alpha$  range over  $\{a, \overline{a}, \tau\}$ . The the inference rules for labelled transitions are given as follows:

$$\frac{P \xrightarrow{\alpha} P' \qquad Q \xrightarrow{\overline{a}} Q'}{P \mid Q \xrightarrow{\tau} P' \mid Q'} \qquad \frac{P \xrightarrow{\overline{a}} P' \qquad Q \xrightarrow{\alpha} Q'}{P \mid Q \xrightarrow{\tau} P' \mid Q'}$$

$$\frac{P \xrightarrow{\alpha} P'}{P \mid Q \xrightarrow{\alpha} P' \mid Q} \qquad \frac{Q \xrightarrow{\alpha} Q'}{P \mid Q \xrightarrow{\alpha} P \mid Q'}$$

$$\frac{P \xrightarrow{\alpha} P'}{P + Q \xrightarrow{\alpha} P' \mid Q} \qquad \frac{Q \xrightarrow{\alpha} Q'}{P + Q \xrightarrow{\alpha} P \mid Q'}$$

$$\frac{P \xrightarrow{\alpha} P' \qquad \alpha \in \{x, \overline{x}\}}{(\nu \ x)P \xrightarrow{\alpha} (\nu \ x)P'}$$

$$\frac{P \xrightarrow{\alpha} P'}{!P \xrightarrow{\alpha} P' \ |!P} \qquad \frac{P \xrightarrow{\overline{a}} P' \qquad P \xrightarrow{\alpha} P''}{!P \xrightarrow{\tau} (P' \mid P'') \mid !P}$$

We can now talk about traces of a process, which are its possible sequences of actions. The empty trace  $\epsilon$  is always a possibility. We look at some examples:

- a.b.0 has traces  $\epsilon$ , a, ab;
- a.0 + b.0 has traces  $\epsilon$ , a, b;
- $a.\overline{b}.0 + b.0$  has traces  $\epsilon$ , a, b,  $a\overline{b}$ ; and
- $a.b.0 + b.\overline{c}.0 + \overline{c}.0$  has traces  $\epsilon$ , a, b,  $\overline{c}$ , ab,  $b\overline{c}$ .

We can show the labelled transitions of a process in a diagram, with or without the process terms. We can then read off the traces. For example, the process  $a.\bar{b}.0 + b.0$  corresponds to the following figure:

A simple equivalence between processes is *trace equivalence*. We say that  $P =_{tr} Q$  if they have the same traces. For example,  $(\nu \ b)(a.\overline{b}.0 \mid b.c.0)$  and  $a.\tau.c.0$  are trace equivalent since they have the same traces:

There is an issue with trace equivalence- it is too weak. To see this, consider the two processes P = a.b.0 + a.c.0 and Q = a.(b.0 + c.0). They have the following trace diagrams: Both the processes have traces  $\epsilon$ , a, ab and ac. Hence, they are trace equivalent. However, P can go into a state in which only one of b and c is available, whereas Q offers both b and c from the same state.

Next, define  $R = \overline{a}.\overline{b}.0$ . We have that  $Q \mid R$  can communicate on a then b, and both processes reach 0. On the other hand,  $P \mid R$  can communicate with a, with P becoming either b.0 or c.0. In the second case, no further communication is possible. This is a deadlock. We would like a definition of equivalence in which P and Q are not equivalent.

To do this, we define bisimulation. This concept is fundamental in process calculus and concurrency theory. There are many forms of it. We look at  $strong\ bisimulation$ . The idea is that for processes P and Q to be bisimilar, it must be that:

- for any action  $\alpha \in \{a, \overline{a}, \tau\}$ , if  $P \xrightarrow{\alpha} P'$ , then there is a Q' such that  $Q \xrightarrow{\alpha} Q'$  and P' and Q' are bisimilar;
- this holds the other way as well, i.e. when  $Q \xrightarrow{\alpha} Q'$ , then there exists a P' bisimilar to Q' such that  $P \xrightarrow{\alpha} P'$  as well.

We now consider the processes  $P = (\nu \ b)(a.\overline{b}.0 \mid b.c.0)$  and  $Q = a.\tau.c.0$ . We previously saw that the two processes were trace equivalent. We will now see that they are also bisimilar, since they evolve in the following manner:

Now, we can work from the end to prove that P and Q are bisimilar. We use the symbol  $\sim$  for strong bisimulation. In this case,  $P_3 \sim Q_3$  because neither of them has any transitions; they are both structurally congruent to 0. So, there is nothing to check here. Now, consider  $P_2$  and  $Q_2$ . We have  $P_2 \stackrel{c}{\hookrightarrow} P_3$ , which can be matched by  $Q_2 \stackrel{c}{\hookrightarrow} Q_3$ , and we know that  $P_3 \sim Q_3$ . In the other

direction, the only transition of  $Q_2$  is  $Q_2 \xrightarrow{c} Q_3$ , which can equally be matched by  $P_2 \xrightarrow{c} P_3$ , with  $P_3 \sim Q_3$ .

Similarly, we can show that  $P_1 \sim Q_1$ - the only transition of  $P_1$  is  $P_1 \xrightarrow{\tau} P_2$  from communication on b. This can be matched by  $Q_1 \xrightarrow{\tau} Q_2$ , and we know that  $P_2 \sim Q_2$ . In the other direction, the only transition of  $Q_1$  is  $Q_1 \xrightarrow{\tau} Q_2$ , which can be matched by  $P_1 \xrightarrow{\tau} P_2$ , and we know that  $P_2 \sim Q_2$ .

Finally, we consider the transitions of P and Q in the same way. We can see that each process has an a-transition that can match the a-transition of the other process, resulting in  $P_1$  and  $Q_1$ , with  $P_1 \sim Q_1$ . We conclude that  $P \sim Q$ .

Now, consider P=a.b.0+a.c.0 and Q=a.(b.0+c.0). We saw that P and Q were trace equivalent. However, they are not bisimilar- consider the trace diagram for P and Q. We see that P has two transitions-  $P\stackrel{a}{\to}P_1$  and  $P\stackrel{a}{\to}P_2$ . We can match  $P\stackrel{a}{\to}P_1$  by  $Q\stackrel{a}{\to}Q_1$ , so we would need  $P_1\sim Q_1$ . Also,  $P\stackrel{a}{\to}P_2$  is matched by  $Q\stackrel{a}{\to}Q_1$ . But,  $Q_1$  has a transition  $Q_1\stackrel{b}{\to}0$ , which cannot be matched by  $P_2$ . So, we cannot have  $P\sim Q$ .

We have found two processes that are trace equivalent but not strongly bisimilar. Strong bisimulation is a better definition of equivalence when we have non-determinism. This is because it takes into account how a process can interact with other processes. If two processes are strongly bisimilar, then they are also trace equivalent. If two deterministic processes are trace equivalent, then they are also strongly bisimilar.

Note that the term 'strong' means that we include  $\tau$  transitions when matching. Sometimes, this is undesirable because  $\tau$  transitions are supposed to be internal unobservable actions. There is another form of equivalence, weak bisimulation, which ignores  $\tau$  transitions.

We will now aim to define strong bisimulation. A first attempt might be the following:

**Definition 2.3.1.** We say that P and Q are strongly bisimilar, denoted  $P \sim Q$  if for every action  $\alpha$ :

- if  $P \xrightarrow{\alpha} P'$ , then there is a Q' such that  $Q \xrightarrow{\alpha} Q'$  and  $P' \sim Q'$ ; and
- if  $Q \xrightarrow{\alpha} Q'$ , then there is a P' such that  $P \xrightarrow{\alpha} P'$  and  $P' \sim Q'$ .

This definition is recursive in nature, but does not have a base case. Moreover, there is no reason that P' and Q' are 'smaller' than P and Q.

For instance, consider the process P=!a.0. We want  $P\sim P$ . Because  $a.0\xrightarrow{a}0$ , we have

$$P \xrightarrow{a} 0 \mid !a.0 \equiv 0 \mid P.$$

Applying the attempted definition of strong bisimulation to P and P, we see that they can match each other's actions. Checking the subsequent processes tells us that  $P \sim P$  depends on  $0 \mid P \sim 0 \mid P$ . This is circular and not a valid definition. Moreover, the reduced process is equivalent to itself, meaning that it does not get smaller.

Instead of defining bisimulation for  $\pi$ -calculus processes, we can work with more abstract *labelled transition systems* (LTS). An LTS is a directed graph in which edges are labelled with actions. We use the transition notation

$$m \xrightarrow{a} n$$

where m and n are nodes in the graph. We can think of an LTS as a transition diagram for processes, in which the nodes have  $\pi$ -calculus processes associated with them.

The first step is to consider a general relation  $\mathcal{R}$  on processes (or nodes of an LTS). If  $P_1$  and  $P_2$  are processes related by  $\mathcal{R}$ , we write  $(P_1, P_2) \in \mathcal{R}$  or  $P_1\mathcal{R}P_2$ . Now, we define the property of being a strong bisimulation, which is a property that a relation might or might not have.

**Definition 2.3.2.** A relation  $\mathcal{R}$  on processes (or on nodes of an LTS) is a strong bisimulation if whenever  $P\mathcal{R}Q$ , for every action  $\alpha$ , it is the case that

- if  $P \xrightarrow{\alpha} P'$ , then there is Q' such that  $Q \xrightarrow{\alpha} Q'$ , and  $P'\mathcal{R}Q'$ ; and
- if  $Q \xrightarrow{\alpha} Q'$ , then there is P' such that  $P \xrightarrow{\alpha} P'$ , and  $P'\mathcal{R}Q'$ .

Consider a labelled transition system as follows.

- The empty relation  $\varnothing$  is a strong bisimulation- this is trivially the case.
- The relation  $\{(P_2, Q_2)\}$  is not a strong bisimulation- we have  $P_2 \xrightarrow{b} P_2$ , but in  $Q_2$ , the only b-transition is to  $Q_3$ , but  $(P_2, Q_3) \notin \mathcal{R}$ .
- The relation  $\{(P_2, P_2)\}$  is a strong bisimulation since it can only reduce to itself.
- The relation  $\{(P_2,Q_2),(P_2,Q_3)\}$  is a strong bisimulation- we have  $P_2 \xrightarrow{b} P_2$  matching  $Q_2 \xrightarrow{b} Q_3$  and  $Q_3 \xrightarrow{b} Q_2$ ; and  $P_2 \xrightarrow{a} P_2$  matching  $Q_2 \xrightarrow{a} Q_2$  and  $Q_3 \xrightarrow{a} Q_3$ .
- The relation  $\{(P_2,Q_2),(P_2,Q_3),(Q_2,P_2),(Q_3,P_2)\}$  is a strong bisimulation-we have added the symmetrical cases.
- The relation  $\{(P_1, Q_1), (P_2, Q_2), (P_2, Q_3)\}$  is a strong bisimulation since  $P_1 \xrightarrow{c} P_2$  is matched by  $Q_1 \xrightarrow{c} Q_2$ .
- The relation  $\{(P_1,Q_1),(P_2,Q_2),(P_2,Q_3),(Q_1,P_1),(Q_2,P_2),(Q_3,P_2)\}$  is a strong bisimulation.

For a given LTS (or a set of processes), there can be several relations that satisfy the conditions to be a strong bisimulation. We say that *strong bisimilarity*, denoted by  $\sim$ , is the largest strong bisimulation. We say that processes P and Q are strong bisimilar, denoted  $P \sim Q$ , if (P,Q) is in the largest strong bisimulation. To prove this, it is sufficient to find any bisimulation containing (P,Q).

We have previously used inductive definitions, e.g. the definitions of reduction and typing judgments. An inductive definition is when we define a set or relation to be the smallest entity satisfying certain conditions. Ordinary recursive function definitions are also in this category. A *coninductive* definition is when we define a set or a relation to be the largest entity satisfying certain conditions, such as strong bisimilarity.

We will now look at processes in the syntax  $\pi$ -calculus, rather than abstract LTS. When defining labelled transitions for  $\pi$ -calculus, we did not take structural congruence into account. So, if we want to show something like  $P \mid Q$  and  $Q \mid P$  to be bisimilar, we have to prove it by constructing a strong bisimulation that contains  $(P \mid Q, Q \mid P)$ .

**Proposition 2.3.3.** Let P and Q be processes. Then  $P \mid Q \sim Q \mid P$ .

*Proof.* To prove this, it suffices to show that there is a relation containing  $(P \mid Q, Q \mid P)$ . So, define the relation

$$\mathcal{R} = \{ (P \mid Q, Q \mid P) \mid P, Q \text{ processes} \}.$$

We show that  $\mathcal{R}$  is a strong bisimulation. So, let  $(P \mid Q, Q \mid P) \in \mathcal{R}$  There are 3 transitions for  $P \mid Q$ :

- First assume that  $P \mid Q \xrightarrow{\alpha} P' \mid Q$  because  $P \xrightarrow{\alpha} P'$ . In that case, we find that  $Q \mid P \xrightarrow{\alpha} Q \mid P'$  by definition of parallel composition. We know that  $(P' \mid Q, Q \mid P') \in \mathcal{R}$  by definition of  $\mathcal{R}$ .
- The same result follows if  $P \mid Q \xrightarrow{\alpha} P \mid Q'$  because  $Q \xrightarrow{\alpha} Q'$ .
- Finally, assume that P is  $a.P_1 + P_2$  and Q is  $\overline{a}.Q_1 + Q_2$ , and  $P \mid Q \xrightarrow{\tau} P_1 \mid Q_1$ . Then, we also have  $Q \mid P \xrightarrow{\tau} Q_1 \mid P_1$ , and we have  $(P_1 \mid Q_1, Q_1 \mid P_1) \in \mathcal{R}$ .

So, it follows that  $\mathcal{R}$  is a strong bisimulation. Since  $(P \mid Q, Q \mid P) \in \mathcal{R}$ , we conclude that  $P \mid Q \sim Q \mid P$ .

We can do the same thing to show that structural congruence is included within strong bisimulation.

Now, let  $P = (\nu \ b, c)((a.b.0 + c.d.0) \mid \bar{b}.0)$  and  $Q = a.\tau.0$ . We show that P and Q are strongly bisimilar. In P, b and c are private channels, so we cannot transition using these channels. This means that our only option is:

$$P \xrightarrow{a} P_1 = (\nu \ b, c)(b.0 \mid \overline{b}.0).$$

Then, we have

$$P_1 \xrightarrow{\tau} P_2 = (\nu \ b, c)(0 \mid 0).$$

So, the relation we want is:

$$\mathcal{R} = \{ (P, Q), (P_1, \tau.0), (P_2, 0) \}.$$

We note that  $P_2$  and 0 are strongly bisimilar since they has no transitions. This means that  $\mathcal{R}$  is a strong bisimulation. Hence, P and Q are strongly bisimilar.

There are many extensions to strong bisimulation, including:

- allowing messages, including mobile names- this requires a more complex version of the labelled transition rules; and
- defining weak bisimulation, which ignores  $\tau$ -transitions. This allows internal interaction to be ignored and focuses on observable input-output.

#### 2.4 Types in $\pi$ -calculus

In general, static typechecking aims to eliminate errors. In terms of  $\pi$ -calculus, we want to ensure that whenever a message is sent, the sender and receiver agree on its structure. In particular, we would like to eliminate processes such as:

- $\overline{a}\langle u,v\rangle.0 \mid a(x).P$ , where the two subprocesses do not agree on the number of parameters; and
- $\overline{a}\langle true, false \rangle$ .0 |  $a(x,y).\overline{a}\langle x+y \rangle$ .0, where the two subprocesses do not agree on type.

We will now look at *simple* type system for  $\pi$ -calculus. It deals with these two issues by specifying:

- the number of components of messages on the channel and
- the type of each component.

We illustrate this with an example. So, assume that the channel a is of type Chan[int, bool], denoted by a: Chan[int, bool]. Then,

- $\overline{a}\langle 1+2, true \rangle$  is well-typed;
- a(x,y).P is well-typed if x:int and y:bool in P; while
- $\overline{a}\langle 1 \rangle$ ,  $\overline{a}\langle true, 1, false \rangle$  and a(x) are incorrect.

Instead, if a: Chan[Chan[int]]. Then, a(x).x(y).Q is well-typed if y: int in Q. Formally, types are specified by the following grammar:

$$T ::== \operatorname{Chan}[T, \dots, T]$$
 $\mid bool \mid$ 

where the final line can be used for any types we would want the  $\pi$ -calculus to have, e.g. int.

As for  $\lambda$ -calculus, we modify the syntax of processes so that binding occurrences are annotated with types, e.g.

$$a(x_1:T_1,\ldots,x_n:T_n)$$
  $(\nu x:T)$ 

We will consider polyadic  $\pi$ -calculus. This means that a message has zero or more components. We will also assume that we have an expression language which we will not define precisely, but contains primitives such as integers and booleans. We also assume that the expression language has a type system with types int and bool, along with a reduction relation.

Now, we define the modified syntax of  $\pi$ -calculus, including processes P, values v and expressions e:

$$\begin{array}{lll} P ::= 0 & \text{terminated process} \\ | \, \overline{x} \langle e_1, \ldots, e_n \rangle. P & \text{output/send} \\ | \, x(y_1 \colon T_1, \ldots, y_n \colon T_n). P & \text{input/receive} \\ | \, (\nu \, x \colon T) P & \text{scope/restriction} \\ | \, P \mid Q & \text{parallel composition} \\ | \, P + Q & \text{choice} \\ | \, \ldots & \\ v ::= x & \text{channel names} \\ | \, true, false & \text{boolean values} \\ | \, \ldots & \\ e ::= v & \text{values} \\ | \, e == e & \text{equality expressions} \\ | \, \ldots & \\ \end{array}$$

The dots allow for expansion of the syntax as required.

Assuming call-by-value, the reduction rule for communication, with polyadic messages, is:

$$(x(y_1: T_1, \dots, y_n: T_n).P + \dots) \mid (\overline{x}\langle v_1, \dots, v_n \rangle.P + \dots) \rightarrow P[y_1:=v_1, \dots, y_n:=v_n] \mid Q$$

If n = 0, then we do not send/receive any message- this is synchronisation. If we have a send and a receive on the same channel with different number of components in message, then there is no reduction. This is a communication error. We define the type system in a way that avoids such errors.

Let  $\Gamma = x_1 : T_1, \dots, x_n : T_n$  be a typing context or environment. We have typing judgment for processes and expressions.

- The typing judgment for processes is  $\Gamma \vdash P$  (i.e. under  $\Gamma$ , P is a process). A process does not have a type- the judgment just says that it uses channels in  $\Gamma$  correctly.
- The typing judgment for expressions is  $\Gamma \vdash e : T$  (i.e. under  $\Gamma$ , the expression e has type T). This is similar to simply typed  $\lambda$ -calculus. It includes the case where e is just a channel name.

We now define the typing rules. The process 0 is well-typed in any environment:

$$\overline{\Gamma \vdash 0}$$
TNil.

Well-typed processes can be put in parallel, or used as alternatives.

$$\frac{\Gamma \vdash P \quad \Gamma \vdash Q}{\Gamma \vdash P \mid Q} \text{TPar} \qquad \frac{\Gamma \vdash P \quad \Gamma \vdash Q}{\Gamma \vdash P + Q} \text{TPlus}$$

An output must send a message of the correct structure.

$$\frac{\Gamma \vdash x \colon \mathtt{Chan}[T_1, \dots, T_n] \qquad \Gamma \vdash e_1 \colon T_1, \dots, e_n \colon T_n \qquad \Gamma \vdash P}{\Gamma \vdash \overline{x} \langle e_1, \dots, e_n \rangle. P} \mathsf{TOut}$$

In an input, the message must have the correct structure, and its components must be used correctly.

$$\frac{\Gamma \vdash x \colon \mathtt{Chan}[T_1, \dots, T_n] \qquad \Gamma, y_1 \colon T_1, \dots, y_n \colon T_n \vdash P}{\Gamma \vdash x(y_1 \colon T_1, \dots, y_n \colon T_n).P} \mathsf{TIn}$$

When a new channel is created, it must be used correctly.

$$\frac{\Gamma, x \colon \mathtt{Chan}[T_1, \dots, T_n] \vdash P}{\Gamma \vdash (\nu \ x) \colon \mathtt{Chan}[T_1, \dots, T_n]P} \mathsf{TNew}$$

We now illustrate the types with an example. So, consider the following processes:

Server = 
$$a(x).x(y).\overline{x}\langle y+1\rangle.0$$
  
Client =  $(\nu \ c)\overline{a}\langle c\rangle.\overline{c}\langle 2\rangle.c(x).0$ 

The simple type system for  $\pi$ -calculus has issues. In particular,

- deadlock processes are typeable, e.g.  $\bar{b}\langle true \rangle.0 \mid c(y:int).0$ ; and
- recursive processes are not typeable, even though they should be.

Nonetheless, we will show that the simple type system is safe. This can be done by showing:

- type preservation: if  $\Gamma \vdash P$  and  $P \to Q$ , then  $\Gamma \vdash Q$ ;
- absence of immediate communication errors: if  $\Gamma \vdash P$  at the top level, P does not have an input and an output in parallel on the same channel with different number of message components (and types).

Proving these theorems allows us to show that well-typed processes do not generate communication errors.

To prove these theorems, we need some auxiliary results.

**Lemma 2.4.1** (Substitution in Processes). If  $\Gamma, x_1, : T_1, ..., x_n : T_n$  and for each  $1 \le i \le n$ ,  $\Gamma \vdash v_i : T_i$ , then

$$\Gamma \vdash P[x_1 := v_1, \dots, x_n := v_n].$$

If  $v_i$  are only allowed to be channel names, then we can prove this by induction on the derivation of  $\Gamma \vdash P$ .

Next, to handle values in the expression language, we need a similar lemma for substitution in expressions.

**Lemma 2.4.2** (Substitution in Expressions). If  $\Gamma, x_1 : T_1, \ldots, x_n : T_n \vdash e : T$  and for  $1 \le i \le n$ ,  $\Gamma \vdash v_i : T_i$ , then

$$\Gamma \vdash e[x_1 := v_1, \dots, x_n := v_n] \colon T.$$

This can also be proved using induction.

Because reduction allows the use of structural congruence, we need to prove that this does not affect typeability.

#### **Lemma 2.4.3.** *If* $\Gamma \vdash P$ *and* $P \equiv Q$ , *then* $\Gamma \vdash Q$ .

We can prove this by structural induction on  $\equiv$ . In particular, we consider that P is typeable and then show that Q is typeable, and vice versa.

*Proof for scope expansion.* The rule for structural congruence tells us that for processes P and Q, and a channel  $x \notin FV(P)$ ,

$$(\nu \ x)(P \mid Q) \equiv P \mid (\nu \ x)Q.$$

If the left hand side is typeable, then we have

$$\frac{\Gamma, x \colon T \vdash P \qquad \Gamma, x \colon T \vdash Q}{\frac{\Gamma, x \colon T \vdash P \mid Q}{\Gamma \vdash (\nu \ x \colon T)(P \mid Q)}}$$

using TPar and then TNew. Hence, we need  $\Gamma, x \colon T \vdash P$  and  $\Gamma, x \colon T \vdash Q$  for the left hand side to be typeable. Since  $x \notin FV(P)$ , we can show that  $\Gamma \vdash P$ . Hence,

$$\frac{\Gamma, x \colon T \vdash Q}{\Gamma \vdash P \quad \Gamma \vdash (\nu \; x \colon T)Q}$$
$$\frac{\Gamma \vdash P \mid (\nu \; x \colon T)Q}{\Gamma \vdash P \mid (\nu \; x \colon T)Q}$$

using TNew and then TPar. So, if the left hand side is typeable, then so is the right hand side. Using the reverse argument, we can show that if the right hand side is typeable, then so is the left hand side.  $\Box$ 

We now prove type preservation.

**Theorem 2.4.4** (Type Preservation). If  $\Gamma \vdash P$  and  $P \rightarrow Q$ , then  $\Gamma \vdash Q$ .

We prove this using structural induction on  $P \to Q$ , by considering each case for the reduction step. In each case, we then analyse the structure of the derivation of  $\Gamma \vdash P$  and use the component parts to show that  $\Gamma \vdash Q$ . The key case is that of communication, where we have

$$x(y_1:T_1,\ldots,y_n:T_n).P\mid \overline{x}\langle v_1,\ldots,v_n\rangle.Q\rightarrow P[y_1:=v_1,\ldots,y_n:=v_n]\mid Q.$$

We ignore non-deterministic choice for simplicity. The typing derivation of the left hand side has the following form:

$$\frac{\Gamma \vdash x \colon \mathtt{Chan}[T_1, \dots, T_n]}{ \begin{array}{l} \Gamma \vdash x \colon \mathtt{Chan}[T_1, \dots, T_n] \\ \Gamma, y_1 \colon T_1, \dots, y_n \colon T_n \vdash P \\ \hline \Gamma \vdash x (y_1 \colon T_1, \dots, y_n \colon T_n).P \end{array}} \frac{\Gamma \vdash x \colon \mathtt{Chan}[T_1, \dots, T_n]}{ \begin{array}{l} \Gamma \vdash v_1 \colon T_1, \dots, v_n \colon T_n & \Gamma \vdash Q \\ \hline \Gamma \vdash \overline{x} \langle v_1, \dots, v_n \rangle.Q \end{array}} \mathtt{TOut}}{\Gamma \vdash x (y_1 \colon T_1, \dots, y_n \colon T_n).P \mid \overline{x} \langle v_1, \dots, v_n \rangle.Q} \mathtt{TPar}$$

Using the Substitution Lemma 1 and the typing derivations, we get that

$$\Gamma \vdash P[y_1 := v_1, \dots, y_n := v_n]$$

Using TPar, we can derive that

$$\Gamma \vdash P[y_1 := v_1, \dots, y_n := v_n] \mid Q$$

hence the right hand side is well-typed. The other cases use induction in a similar manner.

We will now give a precise statement that a well-typed process does not have immediate communication errors. First, we can use scope expansion to write any process in the form

$$(\nu x_1) \dots (\nu x_n)(P_1 \mid \dots \mid P_n),$$

where immediate communications among the  $P_i$  are at the top level and not within  $\nu$  operators.

**Theorem 2.4.5** (Absence of immediate communication errors). If

$$\Gamma \vdash (\nu \ x_1 \colon T_1, \dots, x_k \colon T_k)(x(y_1 \colon U_1, \dots, y_r \colon U_r).P \mid \overline{x}\langle v_1, \dots, v_n \rangle.Q)$$

then

- n = r and
- $\Gamma \vdash v_i : U_i \text{ for all } 1 < i < n.$

So, the number of message components is the same as in the input and the output, and the messages/values components are of the types expected by the input.

*Proof.* The typing derivation must have the form

$$\Gamma_1 = \Gamma, x_1 : T_1, \ldots, x_n : T_m.$$

Considering  $\Gamma \vdash x(y_1 : U_1, \ldots, y_r : U_r).P$ ,  $\Gamma_1$  must contain  $x : \text{Chan}[U_1, \ldots, U_r].$ Moreover, since  $\Gamma \vdash \overline{x}\langle v_1, \ldots, v_n \rangle.Q$ , we see that  $x : \text{Chan}[U_1, \ldots, U_r]$  in the derivation. Hence, n = r and  $\Gamma \vdash v_i : U_i$  for  $1 \le i \le n$ .

The simple type system for  $\pi$ -calculus provides a way of statically checking that communication channels are used consistently throughout a program. This eliminates a certain class of communication error.

Several type systems have been developed for the  $\pi$ -calculus. Some aim to classify channels in more precise ways (input/output, linear, polymorphic, etc.). Others aim to eliminate more complex behavioural errors, such as deadlocks and livelocks. Lastly, behavioural type systems specify *order* and *sequence* of send and receive.

#### Advanced Types

We will now look at an advanced type system for  $\pi$ -calculus that aims to classify channels in a more precise way.

A fairly straightforward refinement of the simple type system introduces two new channel type constructors:

- $In[T_1, ..., T_n]$  is the type of channels that can be used to *input* messages of type  $T_1, ..., T_n$ ; and
- $\operatorname{Out}[T_1,\ldots,T_n]$  is the type of channels that can be used to *output* messages of type  $T_1,\ldots,T_n$ .

Now, types are specified by the following grammar:

$$\begin{split} T &::= \mathtt{Chan}[T_1, \dots, T_n] \\ &\mid \mathtt{In}[T_1, \dots, T_n] \\ &\mid \mathtt{Out}[T_1, \dots, T_n] \\ &\mid \dots \end{split}$$

Input/output channel types introduce a notion of subtyping. A subtyping relation connects the new constructors and the original constructor:

$$\mathtt{Chan}[T_1,\ldots,T_n]<:\mathtt{In}[T_1,\ldots,T_n]$$
  $\mathtt{Chan}[T_1,\ldots,T_n]<:\mathtt{Out}[T_1,\ldots,T_n]$ 

The following defines the subtyping rules in general- we have reflexivity and transitivity, which make it a partial order:

$$\frac{}{T <: T} \text{SRefl} \qquad \frac{R <: S \qquad S <: T}{R <: T} \text{STrans}$$

We also have the rules we saw above:

$$\frac{\operatorname{Chan}[T_1,\ldots,T_n]<:\operatorname{In}[T_1,\ldots,T_n]}{\operatorname{Chan}[T_1,\ldots,T_n]} {\operatorname{SChanOut}}$$

We have covariance in subtyping with respect to input:

$$\frac{S_1 <: T_1 \qquad \dots \qquad S_n <: T_n}{\operatorname{In}[S_1, \dots, S_n] <: \operatorname{In}[T_1, \dots, T_n]} \operatorname{SInIn}$$

In terms of programming, consider the types *num* and its subtype *num*. Then, a channel accepting num can accept a value of type *int*. We have contravariance in subtyping with respect to output:

$$\frac{T_1 <: S_1 \quad \dots \quad T_n <: S_n}{\mathtt{Out}[S_1, \dots, S_n] <: \mathtt{Out}[T_1, \dots, T_n]} \mathtt{SOutSOut}$$

In terms of programming, a channel that outputs an int can be thought of as a channel that outputs num. For subtyping with a general channel, we require both covariance and contravariance:

$$\frac{S_1 <: T_1 \qquad \dots \qquad S_n <: T_n \qquad T_1 <: S_1 \qquad \dots \qquad T_n <: S_n}{\mathsf{Chan}[S_1, \dots, S_n] <: \mathsf{In}[T_1, \dots, T_n]} \mathsf{SChanChan}$$

To make use of subtyping, the typing judgment can be extended with the subsumption rule:

$$\frac{\Gamma \vdash x \colon S \qquad S <: T}{\Gamma \vdash T} \mathsf{TSub}$$

An output must send a message of the correct structure on a channel having an output channel type. The inference rule is now:

$$\frac{\Gamma \vdash x \colon \mathtt{Out}[T_1, \dots, T_n]}{\Gamma \vdash \overline{x} \langle e_1, \dots, e_n \rangle. P} \Gamma \to \frac{\Gamma \vdash P}{\Gamma} T \text{Out}$$

The change is highlighted in red- we have Out instead of Chan.

In an input, the message must have the correct structure and its components must be used correctly.

$$\frac{\Gamma \vdash x \colon \mathbf{In}[T_1, \dots, T_n]}{\Gamma \vdash x (y_1 \colon T_1, \dots, y_n \colon T_n \vdash P)} \text{TIn}$$

A channel can only be created of type Chan in TNew; it cannot be the other way round.

In addition to capabilities of input/output, channel types can be refined by introducing *multiplicities* for linear and shared channels. *Linearity* restricts channel usage exactly once, in accordance with its capability:

- linear input type  $\ell_i[\cdot]$  can only be used once in input;
- linear output type  $\ell_o[\cdot]$  can only be used once in out; and
- linear channel type  $\ell_{Chan}[\cdot]$  can only be used once in input and output.

Linear types allow for resource-aware programming and have inspired ownership types and unique types in PLs. The updated types are the following:

$$\begin{split} T &:= \mathtt{Chan}[T_1, \dots, T_n] \\ &\mid \ell_i[T_1, \dots, T_n] \\ &\mid \ell_o[T_1, \dots, T_n] \\ &\mid \ell_{\mathit{Chan}}[T_1, \dots, T_n] \\ &\mid \dots \end{split}$$

In order to respect linearity, a linear type system must keep track of the resources used, without duplicating or discarding any. We need a notion of  $type\ combination$  on types, contexts and ultimately on typing rules. We will use the symbol  $\mbox{$\uplus$}$  for the type combination operator, or simply +.

The combination  $' \uplus'$  of types is a symmetric operation and is defined by the following rules:

$$\ell_i[T_1,\ldots,T_n] \uplus \ell_o[T_1,\ldots,T_n] riangleq \ell_{\mathit{Chan}}[T_1,\ldots,T_n]$$
  $\mathtt{Chan}[T_1,\ldots,T_n] \uplus \mathtt{Chan}[T_1,\ldots,T_n] riangleq \mathtt{Chan}[T_1,\ldots,T_n]$   $bool \uplus bool riangleq bool$   $T \uplus T' riangleq \mathtt{undef}$  otherwise.

Now, we extend '⊎' on typing contexts based on these rules:

$$(\Gamma_1 \uplus \Gamma_2)(x) \triangleq \begin{cases} \Gamma_1(x) \uplus \Gamma_2(x) & \text{if both } \Gamma_1(x) \text{ and } \Gamma_2(x) \text{ are defined} \\ \Gamma_1(x) & \text{if } \Gamma_1(x) \text{ is defined but } \Gamma_2(x) \text{ is not defined} \\ \Gamma_2(x) & \text{if } \Gamma_2(x) \text{ is defined but } \Gamma_1(x) \text{ is not defined} \\ \text{undef} & \text{otherwise.} \end{cases}$$

We also add typing rules for linear output and input.

$$\frac{\Gamma_0 \vdash x \colon \ell_o[T_1, \dots, T_n]}{\Gamma_0 \uplus \Gamma_i \uplus \Gamma_m \vdash \overline{x} \langle e_1, \dots, e_n \rangle. P} \text{TOut}$$

$$\frac{\Gamma_0 \vdash x \colon \boldsymbol{\ell_i}[T_1, \dots, T_n]}{\Gamma_0 \uplus \Gamma_1 \vdash x (y_1 \colon T_1, \dots, y_n \colon T_n \vdash P)} \text{TIn}$$

Also, when a new channel is created, this must be a linear channel.

$$\frac{\Gamma, x \colon \ell_{Chan}[T_1, \dots, T_n] \vdash P}{\Gamma \vdash (\nu \ x) \colon \ell_{Chan}[T_1, \dots, T_n]P} \text{TNew}$$

Linear type systems guarantee that resources will be used exactly once. However, a process can be built in such a way that resources are not used at all! For instance, consider the following linear process:

$$a: \ell_i[bool] \vdash (\nu \ b: \mathtt{Chan}[bool]) \overline{b} \langle false \rangle. a(x). 0$$

Although this is a well-typed process under the linear type system, it is dead-locked. Further extension to types and type systems for  $\pi$ -calculus deal with this, along with lock freedom and termination.

# 2.5 Session Types

In complex distributed systems, communicating participants agree on a protocol to follow, specifying type and direction of data exchanged. Session types are a type formalism used to model structured communication-based programming. They guarantee privacy, communication safety and session fidelity. It was initially designed for  $\pi$ -calculus, but has now been designed for functional languages, object-oriented languages, and more!

Session types were introduced in the early 90s. Since their appearance, they have developed into a significant theme in programming languages. This is because computing has now moved from the era of data processing to the era of communication. Data types codify the structure of data and make it available to programming tools. Similarly, session types codify the structure of communication and make it available to programming tools.

We will now have a look at an example of session types using a server and a client. The session type for the server is given as follows:

```
S \triangleq \& \{add: ?int.?int.!int.S \\ neg: ?int.!int.S \\ quit: end \}
```

The symbol & denotes that the server S is offering 3 services- add, neg and quit. This is called external choice (i.e. the client chooses). The quit service would end in termination of the protocol. On the other hand, the add service takes in 2 integers (as denoted by ?int) and then returns an integer (as denoted by !int). Similarly, neg takes an integer and returns an integer.

From the client endpoint, the session type is the following:

```
C \triangleq \bigoplus \{add: !int.!int.?int.C
neg: !int.?int.C
quit: end\}
```

The symbol  $\oplus$  denotes that the client must select one of the 3 services. This is called *internal choice*. Note that a send in the server is a receive in the client and vice versa. This is called *duality*, and is denoted  $S = \overline{C}$ .

We will now instantiate a server srv. It is parametrised on the channel endpoint:

```
srv(x : S) = x \rhd \{add : x(a : int).x(b : int).\overline{x}\langle a + b \rangle.srv(x)neg : x(a : int).\overline{x}\langle -a \rangle.srv(x)quit : 0\}
```

Next, we instantiate a client clt. It is of type C:

$$clt(x:C) = x \triangleleft neg.\overline{x}\langle 2 \rangle.x(a:int).x \triangleleft quit.P(a)$$

Note that since  $\oplus$  denotes an internal choice, the client clt has already chosen a sequence- it first chooses the neg service and then quit.

We can put srv and clt in parallel for communication to occur, using the reduction rules:

$$(\nu\ c: S)(srv(c^+)\ |\ clt(c^-))$$

$$\downarrow$$

$$(\nu\ c: ?int.!int.S)(c^+(a:int).\overline{c^+}\langle -a\rangle.srv(c^+)\ |\ \overline{c^-}\langle 2\rangle.c^-(a:int).c^- \vartriangleleft quit.P(a))$$

$$\downarrow$$

$$(\nu\ c: !int.S)(\overline{c^+}\langle -2\rangle.srv(c^+)\ |\ c^-(a:int).c^- \vartriangleleft quit.P(a))$$

$$\downarrow$$

$$(\nu\ c: S)(srv(c^+)\ |\ c^- \vartriangleleft quit.P(-2))$$

$$\downarrow$$

$$(\nu\ c: end)(0\ |\ P(-2))$$

$$\equiv$$

$$P(-2)$$

The communication occurs at channel c. The server and the client are at the opposite ends of the channel. To distinguish this, we make use of polarities-  $c^+$  for one and  $c^-$  for the other. We keep track of the type using the server; the client type could have also been used here. Note that the type of the channel c changes during the reduction process.

As we can see, the communication occurs in a private channel c. To accommodate this, the server listens on a standard channel a of type  $\mathtt{Chan}[S]$  and receives a session channel for srv to use. That is,

$$server(a) = a(x: S).srv(x)$$

The global declaration a: Chan[S] advertises the server and its protocol. The client creates a session channel and sends it to the server, i.e.

$$client(a) = (\nu \ c : S)(\overline{a}\langle c^+ \rangle . clt(c^-))$$

After one step reduction, execution proceeds as above.

The main features of session types are the following:

- Duality- the relationship between types of opposite endpoints of a session channel;
- Linearity- each channel endpoint occurs exactly once in a collection of parallel processes;
- The structure of session types matches the structure of communication;
- Session types *change* as communication occurs;
- Connection is established among participants before communication begins.

Session types guarantee the following:

• communication safety- the exchanged data has the expected type;

- session fidelity- the session channel has the expected structure; and
- privacy- the session channel is owned only by the communicating parties.

This allows us to conclude that, at runtime, communication follows the protocol.

We will now define session types using a grammar:

| $S ::= \mathtt{end}$                  | termination |
|---------------------------------------|-------------|
| !T.S                                  | send        |
| ?T.S                                  | receive     |
| $\oplus \{I_i \colon S_i\}_{i \in I}$ | select      |
| $\&\{I_i\colon S_i\}_{i\in I}$        | branch      |

The value T refers to a type. Now, session types are also types in  $\pi$ -calculus. The syntax of the processes has also changed, to the following grammar:

| inaction    | P, Q ::= 0                              |
|-------------|---|
| composition | $P \mid Q$                              |
| restriction | $(\nu x)P$                              |
| output      | $\overline{x^p}\langle v^q \rangle . P$ |
| input       | $x^p(y).P$                              |
| selection   | $x^p \lhd l_j.P$                        |
| branching   | $x^p \rhd \{I_i \colon P_i\}_{i \in I}$ |

The value v refers to values, which includes channel names and other values (e.g. booleans). The values p and q are optional polarities for channels (i.e. + or -).

The typing rules are given as follows:

$$\frac{\Gamma_1 \vdash P \quad \Gamma_2 \vdash Q}{\Gamma_1 + \Gamma_2 \vdash P \mid Q} \text{TPar}$$
 
$$\frac{\Gamma, x^p \colon S, x^{\overline{p}} \colon \overline{S} \vdash P}{\Gamma \vdash (\nu x)P} \text{TRes}$$
 
$$\frac{\Gamma, x^p \colon S, y \colon T \vdash P}{\Gamma, x^p \colon ?T.S \vdash x^p(y).P} \text{TIn}$$
 
$$\frac{\Gamma_1, x^p \colon S \vdash P \quad \Gamma_2 \vdash v^q \colon T}{(\Gamma_1, x^p \colon !T.S) + \Gamma_2 \vdash \overline{x^p} \langle v^q \rangle.P} \text{TOut}$$
 
$$\frac{\Gamma, x^p \colon S_i \vdash P_i}{\Gamma, x^p \colon S_i \vdash P_i} \text{TBrch}$$
 
$$\frac{f_i \colon S_i \mid_{i \in I} \vdash x^p \rhd \{I_i \colon P_i\}_{i \in I}}{\Gamma, x^p \colon S_j \vdash P} \text{TSel}$$
 
$$\frac{f_i \in I \quad \Gamma, x^p \colon S_j \vdash P}{\Gamma, x^p \colon \Theta \{I_i \colon S_i\}_{i \in I} \vdash x^p \vartriangleleft I_j.P} \text{TSel}$$

For a polarity p, its dual is denoted by  $\overline{p}$ . Note that the types change as we saw above.

We define the combination + operation on typing judgments as follows:

$$\Gamma + x^+ \colon S = \Gamma, x^+ \colon S \qquad \qquad \text{if } x, x^+ \not\in \text{dom}(\Gamma)$$
 
$$\Gamma + x^- \colon S = \Gamma, x^- \colon S \qquad \qquad \text{if } x, x^- \not\in \text{dom}(\Gamma)$$
 
$$\Gamma + x \colon S = \Gamma, x \colon S \qquad \qquad \text{if } x, x^+, x^- \not\in \text{dom}(\Gamma)$$
 
$$(\Gamma, x \colon T) + x \colon T = \Gamma, x \colon T \qquad \qquad \text{if } T \text{ is not a session type}$$

We will now have a look at some terms and see whether they are well-typed:

• The process

$$(\nu \ x)(x^+(t:bool).0 \mid \overline{x^-}\langle true \rangle.0)$$

is well-typed since the types of  $x^+$  and  $x^-$  are dual.

• The process

$$(\nu \ x)(\overline{x^+}\langle t\rangle.0 \mid \overline{x^-}\langle true\rangle.0)$$

is not well-typed since both  $x^+$  and  $x^-$  send a *bool*, and hence are not dual of each other.

• The process

$$(\nu x)(\overline{x^-}\langle false \rangle.0 \mid x^+(t:bool).0 \mid x^+(w:bool).0)$$

is not well-typed since it violates linearity- there can only be one occurrence of  $x^+$  and  $x^-$ .

• The process

$$(\nu x)(\nu y)(x^+(z:int).\overline{y^-}\langle 42\rangle.0 \mid \overline{x^-}\langle 11\rangle.y^+(w:int).0)$$

is well-typed since the types of  $x^-, x^+$  and  $y^-, y^+$  are dual.

• The process

$$(\nu x)(\nu y)(\overline{y}(42).x^+(z:int).0 \mid \overline{x}(11).y^+(w:int).0)$$

is well-typed since the types of  $x^-, x^+$  and  $y^-, y^+$  are dual, even though the process is actually deadlocked.

• The process

$$(\nu \ x)(x^- \lhd k.0 \mid x^+ \rhd \{I_i : P_i\}_{i \in I}.0)$$

is well-typed if and only if  $k = I_i$  for some  $i \in I$ .

# Standard types and session types

We will now aim to connect standard types (e.g. the simple type system  $\pi$ -calculus) on  $\pi$ -calculus and session types. We saw the following types:

- Chan  $[T_1, \ldots, T_n]$  for input/output for data of type  $T_1, \ldots, T_n$ ;
- $In[T_1, \ldots, T_n]$  and  $Out[T_1, \ldots, T_n]$  for only input or only output for data of type  $T_1, \ldots, T_n$ ;

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- $\ell_i[T_1, \ldots, T_n]$  and  $[\ell_o[T_1, \ldots, T_n]]$  for only linear input or linear output (i.e. transmit data exactly once); and
- $\langle l_1: T_n, \ldots, l_n: T_n \rangle$  for a disjoint union of types that are labelled.

On the other hand, session types have:

- 1. structure;
- 2. duality;
- 3. restriction; and
- 4. branch/select.

This can also be seen in standard  $\pi$ -calculus. In particular,

- 1. linearity forces a channel to be used exactly once;
- 2. we have the *capability* to split a channel into input/output channels;
- 3. we have restriction that allows for creation of private channels; and
- 4. variant type allows for choice.

Because of the similarities, we might ask about the difference in expressivity of standard  $\pi$ -calculus and session types. It turns out that they have equal expressivity.

We can make use of standard  $\pi$ -calculus within session types. Hence, to prove that they have equal expressivity, we just need to show that we can convert a process that makes use of session types to a process without the session types, but the same functionality.

The encoding is based on:

- linearity of channel types;
- input and output channel capabilities;
- the continuation-passing principle; and
- variant types.

The way the encoding works, informally, is as follows:

- session types are encoded as linear channels;
- ? and ! are encoded as  $\ell_i$  and  $\ell_o$  respectively;
- & $\{I_i \mid S_i\}_{i \in I}$  and  $\oplus \{I_i \mid S_i\}_{i \in I}$  are encoded using variant types;
- $\bullet$  continuation of a session type becomes the carried type; and
- dual operations in continuation become equal when carried.

We will now consider the encoding process with an example. So, assume that S is a process with session type:

$$S = ?int.?int.!bool.end$$

Then, it is encoded as the following type in standard  $\pi$ -calculus:

$$||S|| = \ell_i[int, \ell_i[int, \ell_o[bool, \varnothing]]]$$

As we can see, an input type ?int becomes  $\ell_i[int,\cdot]$ , where  $\cdot$  contains the continuation process. Similarly, an output type !int becomes  $\ell_o[int,\cdot]$ . Finally, end is denoted by the empty symbol  $\varnothing$ .

Now, consider the dual type of S:

$$\overline{S}= !int.!int.?bool.\mathtt{end}$$

Then, it is encoded as the following type:

$$\|\overline{S}\| = \ell_o[int, \ell_i[int, \ell_o[bool, \varnothing]]]$$

Note that although the first ?int has been converted into  $\ell_o[int,\cdot]$ , this is not the case for the second one. This is because both S and its dual  $\overline{S}$  have to agree on the type of input/output for them to be able to communicate. Hence, the second parameter of the dual  $\overline{S}$  must be the same as the second parameter of S. We can also think of this as encoding its dual after a receive type. We can think of this in general- in session types, dual types have opposite types throughout, whereas in normal  $\pi$ -calculus, only the topmost type is of opposite type.

Formally, the algorithm for encoding is given as follows:

$$\begin{split} \|\text{end}\| &\triangleq \varnothing[] \\ \|?T.S\| &\triangleq \ell_i[\|T\|, \|S\|] \\ \|!T.S\| &\triangleq \ell_o[\|T\|, \|\overline{S}\|] \\ \|\&\{I_i \mid S_i\}_{i \in I}\| &\triangleq \ell_i[\langle l_i \mid \|S_i\| \rangle_{i \in I}] \\ \|\oplus \{I_i \mid S_i\}_{i \in I}\| &\triangleq \ell_o[\langle l_i \mid \|\overline{S_i}\| \rangle_{i \in I}] \end{split}$$

The encoding obeys many properties, such as:

- it preserves typeability of programs;
- it preserves evaluation of programs; and
- encoding of dual session types gives dual linear  $\pi$ -types.

The encoding has quite powerful applications, including:

- large usability of standard typed  $\pi$ -calculus theory;
- derivation of processes for session  $\pi$ -calculus from the standard  $\pi$ -calculus (e.g. type safety);
- elimination of redundancy in the syntax of types and terms, and in theory;
- the robustness of the encoding (subtyping, polymorphism and higher-order);
- expressivity result for session types;
- implementation of session types in mainstream programming languages.

#### Curry-Howard Isomorphism

We will now look at the Curry-Howard isomorphism for session types. Since the beginning of linear logic, there were suggestions that it should be relevant to concurrency. The logically approach for session types has been studied since the 1990s. It has been extended to dependent types, failures, sharing and races.

The correspondence between session types as linear logic is the following:

- propositions are equivalent to session types;
- proofs are equivalent to  $\pi$ -processes; and
- proof normalisation and cut elimination are equivalent to communication.

#### Moreover,

- the proposition  $A \Im B$  is interpreted as 'input A then behave like B', i.e. ?A.B;
- the proposition  $A \otimes B$  is interpreted as 'output A then behave like B', i.e. !A.B;
- & and  $\oplus$  are interpreted to branch and select.

The correspondence has lead to a re-examination of all aspects of session types from a logical viewpoint.

These are the connections between session types and classical linear logic.

$$\frac{P \vdash \Delta \ y \colon A, x \colon B}{x(y).P \vdash \Delta, x \colon A \ \mathfrak{P} B} T \mathfrak{P}$$

$$\frac{P \vdash \Delta, y \colon A \quad Q \vdash \Delta', x \colon B}{\overline{x}\langle y \rangle.(P \mid Q) \vdash \Delta, \Delta', x \colon A \otimes B} T \otimes$$

$$\frac{P \vdash \Delta, x \colon \overline{A} \quad Q \vdash \Delta', x \colon A}{(\nu \ x)(P \mid Q) \vdash \Delta, \Delta'} T Cut$$

$$\frac{P_i \vdash \Delta, x \colon A_i}{x \rhd \{l_i \colon P_i\}_{i \in I} \vdash \Delta, x \colon \&\{I_i \colon A_i\}_{i \in I}} T \&$$

$$\frac{P \vdash \Delta, x \colon A_j \quad j \in I}{x \lhd l_j.P \vdash \oplus \{I_i \mid A_i\}_{i \in I}} T \oplus$$

The red values are propositions, while the black ones are classical linear logic. Note that the judgment is reversed.

The session type system based on classical linear logic propositions guarantees:

- type preservation (or subject reduction)- well-typed processes reduce to well-typed processes;
- deadlock-freedom (by design)- if a process P is well-typed and it is a cut, then there is some Q such that P reduces to Q and Q is not a cut.

Curry-Howard correspondences between session-typed languages and linear logic are a vivid research area. Recent work includes extending these correspondences to include more expressive processes-containing 'good' cycles, sharing, recursion, dependent types and so on.