# Colon Cancer Survival Analysis

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## Introduction

We explore the *colon* dataset found in the R "survival" package, containing data observed from a clinical trial where stage B/C colon cancer patients receive adjuvant chemotherapy. 929 independent patients–484 men and 445 women–were randomly assigned between two treatments and a control group: Levamisole, Levamisole and Fluorouracil, and control(denoted as Lev, Lev+5FU, and Obs in the dataset). Levamisole is a low-toxicity compound that was originally used to treat worm infestations in animals, while 5-FU is a moderately toxic chemotherapy agent used to treat cancer.

Patients were then observed until one of two events occurred: recurrence or death (denoted as "1" and "2" in its respective order under column etype). The time of occurrence, in days, was then recorded to later investigate and determine whether or not different treatments were effective in keeping the patients alive. Each patient in the dataset, identified by their id, has two rows for both recurrence and death. The status column indicates whether or not the event occurred or not ("0" indicates no and "1" indicates yes). If a patient has been recorded for 3000 days for both recurrence and death and the status remains 0 for both, it signifies that they did not experience any event for 3000 days and dropped out of the study for unknown reasons. Figure 1 and 2 below represents the Kaplan-Meier Survival Curve after splitting the dataset by etype (recurrence and death). The convex shape of Figure 1 conveys that many recurrences occur early on while the curve for death events show that deaths in patients are gradual and consistent.

The dataset contains the id, age(in years), sex ("1" indicates man and "0" indicates woman), rx (the treatment type or control), obstruct ("1" indicates a colon obstructed by a tumor and "0" indicates no obstruction), perfor ("1" indicates a perforated colon and "0" indicates no perforation), adhere ("1" indicates cancer adhering to other organs and "0" indicates no adherence), nodes (the number of lymph nodes with colon cancer), time (time until event occurrence or censoring), status (whether or not the event occurred or not), differ ("3" indicates quickly growing cancer, "2" indicates moderate growth, and "1" indicates slowly growing and less likely to spread), extent (describes the spread of the tumor and ranges from 1-4, where "1" indicates that the tumor is limited to the inner lining of the colon and "4" indicates invasion of tumor to nearby organs and tissues), surg ("1" indicates a long time between initial surgery and registering to the study while "0" indicates a short time), node4 ("1" indicates a patient has more than four positive lymph nodes and "0" indicates four or less), and etype (recurrence or death event) of each patient.

Taking all of the covariates we listed above into consideration, our aim is to determine whether or not a specific treatment has a significant effect on the survival of the patient. Our secondary objective is to assess which covariate(s) have a significant effect on the hazard risk. In the course of the analysis, we omit observations with N/A values, reducing our final dataset to 888 independent patients. A five percent significance level (0.05) will be used to balance the risk of false positives and ensure adequate sensitivity to detect meaningful effects.

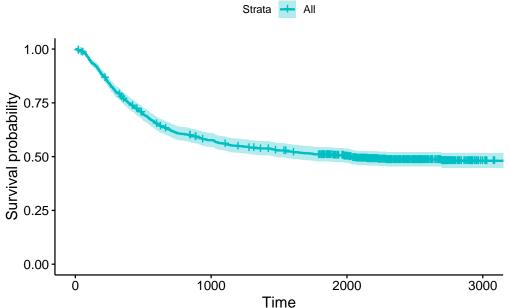
# **Model Fitting**

With the clinical context established and the relevant covariates explained, we begin to evaluate the effects of treatment and other factors on the patient. Given that our dataset includes two types of events — recurrence of cancer and death — we begin by modeling these outcomes separately using marginal Cox proportional hazards models. This allows us to estimate the hazard associated with each covariate for each event type independently and by fitting separate Cox models for recurrence and death, we can assess whether specific treatments or patient characteristics are associated with an increased or decreased risk for each type of event.

### Analysis on Recurrence Event

## Kaplan-Meier Estimate for Recurrence

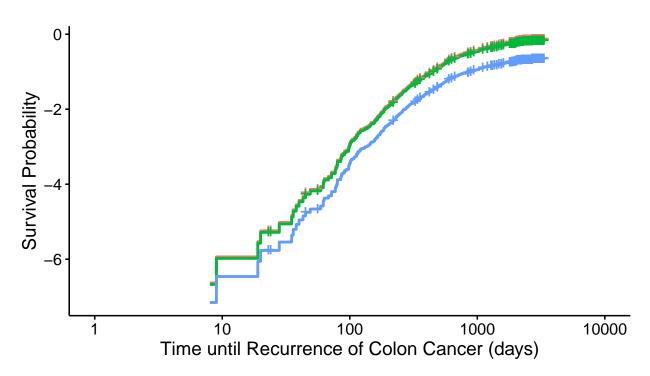
## Kaplan-Meier Survival Curve for Recurrence Events



Time Then we checked if the Cox Proportional Hazards assumption was violated or not in terms of the treatment covariate.

# Comparison of Survival Functions for Different Treatments





### **Exploring Covariate**

#### **AIC**

Now that the treatment covariate has been confirmed to not violate the Cox Proportional Hazards Assumption and the recurrence subset of colon cancer data has been cleaned, the next step is to find the model with the most significant covariates under the AIC criterion.

For the AIC tests, the covariates study and id are not included. The id covariate is the same as the observation number, it doesn't have contextual significance to the event of relapse or death from colon cancer. The study covariate is not included as all of the subjects are from the same study.

```
## node4 nodes extent differ adhere surg obstruct perfor
## 5666.763 5677.508 5713.851 5728.289 5732.669 5733.290 5733.868 5735.037
## sex age
## 5735.202 5735.275
```

The model with the node4 covariate, the binary variable for whether the patient had more than 4 positive lymph nodes, had the lowest AIC.

Since the nodes covariate and node4 covariate are closely related, the nodes covariate will be skipped.

Therefore, forward selection proceeds with the above covariate.

```
## extent obstruct differ surg adhere perfor sex age
## 5650.922 5663.826 5663.897 5664.489 5664.654 5666.367 5667.227 5668.279
```

The model with the extent covariate, the description of the local spread of the tumor, had the lowest AIC. Therefore, forward selection proceeds with the above covariate.

## surg differ obstruct adhere perfor sex age ## 5647.952 5649.310 5649.831 5650.401 5651.370 5651.535 5652.557

The model with the surg covariate, the time from initial surgery to registration in the study, had the lowest AIC.

Therefore, forward selection proceeds with the above covariate.

## differ obstruct adhere perfor sex age ## 5646.470 5647.117 5647.543 5648.347 5648.412 5649.503 The model with the differ covariate, the description of the removed cancer cells from the colon, had the lowest AIC.

Therefore, forward selection proceeds with the above covariate.

```
## obstruct adhere sex perfor age
## 5645.417 5646.611 5646.921 5647.033 5647.914
```

The model with the obstruct covariate, the binary variable for whether the cancer had adhered to other organs, had the lowest AIC.

Therefore, forward selection proceeds with the above covariate.

```
## adhere sex perfor age
## 5645.561 5646.087 5646.407 5647.091
```

None of the AICs shown above are less than the previous model, so the chosen model has the following covariates: obstruct, surg, extent, node4, and differ.

Next, the model was summarized in order to conclude relationships between the different levels of covariates, such as the treatment covariate and the differentiation covariate.

#### Full Coxph Model for Recurrence

```
summary(coxph(Surv(time, status) ~ rx + node4 + extent + surg + differ + obstruct, data = recurrence_da
## Call:
  coxph(formula = Surv(time, status) ~ rx + node4 + extent + surg +
##
       differ + obstruct, data = recurrence_data)
##
##
     n= 888, number of events= 446
##
##
                 coef exp(coef) se(coef)
                                                z Pr(>|z|)
## rxLev
             -0.01639
                         0.98374
                                  0.11097 -0.148
                                                    0.8826
## rxLev+5FU -0.50013
                         0.60645
                                  0.12187 -4.104 4.06e-05 ***
## node41
              0.83424
                         2.30307
                                  0.09982
                                           8.358
                                                   < 2e-16 ***
## extent2
              0.24399
                         1.27634
                                  0.53027
                                           0.460
                                                    0.6454
## extent3
              0.79137
                         2.20642
                                  0.50568
                                           1.565
                                                    0.1176
## extent4
              1.29347
                         3.64541
                                  0.54350
                                           2.380
                                                    0.0173 *
## surg1
              0.22603
                         1.25362
                                  0.10408
                                           2.172
                                                    0.0299 *
## differ2
             -0.03295
                         0.96759
                                  0.16406 -0.201
                                                    0.8408
## differ3
              0.27195
                         1.31252
                                  0.19103
                                           1.424
                                                    0.1546
## obstruct1
             0.21014
                         1.23385
                                  0.11790
                                           1.782
                                                    0.0747 .
## Signif. codes: 0 '***' 0.001 '**' 0.05 '.' 0.1 ' ' 1
##
##
             exp(coef) exp(-coef) lower .95 upper .95
                            1.0165
## rxLev
                0.9837
                                      0.7914
                                                 1.2228
## rxLev+5FU
                0.6065
                            1.6489
                                      0.4776
                                                 0.7701
## node41
                2.3031
                            0.4342
                                      1.8938
                                                 2.8007
## extent2
                1.2763
                            0.7835
                                      0.4514
                                                 3.6086
## extent3
                2.2064
                            0.4532
                                      0.8189
                                                 5.9446
## extent4
                3.6454
                            0.2743
                                      1.2564
                                                10.5772
## surg1
                1.2536
                            0.7977
                                      1.0223
                                                 1.5373
## differ2
                0.9676
                            1.0335
                                      0.7015
                                                 1.3345
## differ3
                1.3125
                            0.7619
                                      0.9026
                                                 1.9086
## obstruct1
                1.2339
                            0.8105
                                      0.9793
                                                 1.5546
##
## Concordance= 0.662 (se = 0.013)
## Likelihood ratio test= 128.8 on 10 df,
                                              p=<2e-16
## Wald test
                         = 130.4 on 10 df,
                                              p=<2e-16
## Score (logrank) test = 138.3 on 10 df,
                                              p = < 2e - 16
```

From the likelihood ratio test, the p-value is less than 2e - 16, which is much less than the critical value/significance level of 0.05.

The hazard rate for patients who took the treatment with just Levamisole is 1.163% less hazardous than taking no treatment at all. Those who took Fluoracil in addition to Levamisole benefited with a hazard ratio of 0.6065, 39.35% less hazardous than no treatment at all.

Patients who had more than 4 positive lymph nodes had over double the hazard rate of those who didn't.

As the spread of the tumor developed from muscles to continguous structures, the hazard ratio to those who only had submucosa development increased to as high as 3.64 times as likely to suffer a recurrence of colon cancer.

Patients with a long time from their initial surgery to registration in the study had a 25% greater hazard rate than those with a shorter time interval.

Patients whose removed cancer cells were "moderately differentiated" had a 3.24% lower hazard rate than patients whose cancer cells were "well differentiated", while those with "poorly differentiated" cells had 31.25% higher hazard rate compared to same base group.

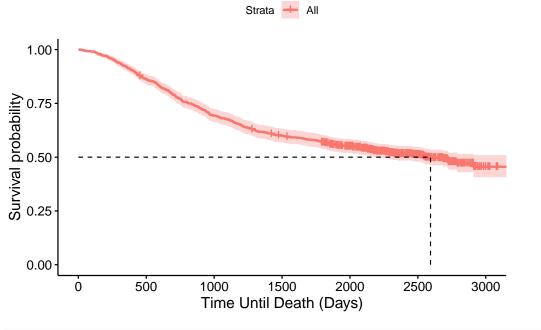
Patients whose colons were obstructed by a tumor had a 23.39% higher hazard rate compared to those who were obstruction-free.

With the following exceptions of the treatment level that included Fluoracil and Levamisole, the node level of patients who had more than 4 positive lymph nodes, and the long time interval level between initial surgery to registering for the study, all of the other covariates' levels had 95% confidence intervals which contained the baseline 1. This suggests that the most significant levels of covariates in their effect on the hazard rate of the recurrence of colon cancer are Levamisole + Fluoracil as a treatment, over 4 positive lymph nodes, spread of cancer to the contiguous structures, and a long time between initial surgery to registration for the study is the best fit for the recurrence data.

### Analysis on Death Event

### Kaplan-Meier Estimate for Death

## Kaplan-Meier Survival Curve for Death Events



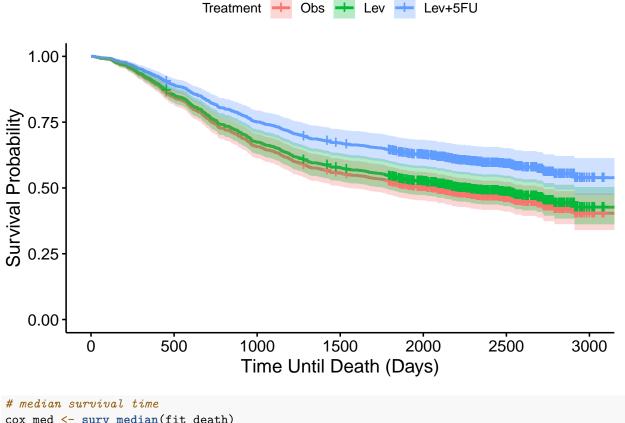
```
# Median survival time
Death_med <- surv_median(km_fit_death)
print(Death_med)</pre>
```

```
## strata median lower upper
## 1 All 2593 2174 NA
```

The Kaplan-Meier curve declines slowly and almost linearly over the 3000 days follow-up and the median survival time is 2593 days. At the beginning of the study before one year (365 days), the survival probability is roughly above 90%, which indicate that patients in the study begin with a near-perfect chance of remaining alive. Also, the numerous tick marks in the late tail indicate that many individuals were censored alive at the later stage of the study, which is common because it is hard to follow-up for a long period.

#### Proportional Hazard Model for Death

# Coxph of Death Event by Treatment



```
# median survival time
cox_med <- surv_median(fit_death)
print(cox_med)</pre>
```

```
## strata median lower upper
## 1 1 2052 1550 2718
## 2 2 2257 1767 NA
## 3 3 NA 2789 NA
```

This plot show the survival curves for three treatment after fitting a Cox model with only treatment (rx) as the covariate. Lev+5FU (blue) curve locate above the other two curves, which might indicate that Levamisole+5-FU (Lev+5FU) can increase patients' survival rate. And the its survival probability decrease from 1 and end above 0.5, show that most of patient survive after the study.

Lev (green) and obs (red) lines do not show much difference. The median survival time for obs is 2052 days and for Lev is 2257 days greater than obs. 95% confidence interval of median survival time for obs is (1550, 2718) and the lower bound for confidence interval for Lev is 1767. Since the two confidence interval is overlap, there is not statistically significant different between the median survival time of obs and Lev.

```
# Summary of PH model
summary(cox_death)
## Call:
```

```
## coxph(formula = Surv(time, status) ~ rx, data = colon_death)
##
## n= 888, number of events= 430
```

```
##
##
                 coef exp(coef) se(coef)
                                               z Pr(>|z|)
## rxLev
             -0.06269
                        0.93923 0.11319 -0.554 0.57967
## rxLev+5FU -0.38280
                        0.68195 0.12110 -3.161 0.00157 **
##
## Signif. codes: 0 '*** 0.001 '** 0.01 '* 0.05 '.' 0.1 ' 1
##
##
             exp(coef) exp(-coef) lower .95 upper .95
## rxLev
                0.9392
                             1.065
                                      0.7524
                                                1.1725
## rxLev+5FU
                0.6819
                             1.466
                                      0.5379
                                                0.8646
##
## Concordance= 0.537 (se = 0.013)
                                             p=0.003
## Likelihood ratio test= 11.41 on 2 df,
## Wald test
                        = 10.9 \text{ on } 2 \text{ df},
                                            p=0.004
## Score (logrank) test = 11.02 on 2 df,
                                             p=0.004
```

Since the p-value of likelihood ratio test is 0.003 less than  $\alpha = 0.05$ , there is sufficient evidence to conclude that rx has significant impact on the survival time of patients.

Since hazard ratio for Lev is 0.9392, patients on Lev has 6.08% lower hazard rate than observation. Also the 95% confidence interval for Lev is (0.7524, 1.1725) including 1. Thus, Levamisole does not have significant impact on the survival probability.

The hazard ratio for Lev+5FU is 0.6819, Lev+5FU has 32% lower hazard rate than Observation. Also, 95 confidence interval (0.5379, 0.8646) does not include 1. Treatment Lev+5FU significantly lower the hazard rate and increase the survival probability of patient.

### **Exploring Covariate**

#### AIC

```
## node4 nodes extent differ adhere obstruct surg age ## 5446.81 5460.49 5507.84 5519.59 5525.62 5526.58 5527.03 5529.74
```

```
## perfor sex
## 5530.06 5530.38
```

Since node4 has smallest AIC, node4 will be first covariate.

## extent differ obstruct adhere surg age perfor sex ## 5432.64 5443.44 5443.53 5444.36 5444.46 5445.52 5448.34 5448.79

extent has smallest AIC and will be second covariate.

## surg differ obstruct age adhere perfor sex ## 5429.86 5430.15 5431.04 5431.17 5431.66 5434.44 5434.61

surg with smallest AIC will be third covariate.

```
## differ obstruct age adhere perfor sex
## 5427.51 5428.30 5428.62 5429.07 5431.68 5431.85
```

Differ has smallest AIC and will be fourth covariate.

```
## obstruct age adhere perfor sex
## 5425.77 5426.61 5427.38 5429.36 5429.50
```

We selected extra covariates by forward AIC while always keeping treatment (rx) in the model. Adding node4, extent, and surg each cut AIC by > 2 points, and differ lowered it by another 2.4; obstruct reduced AIC by < 2. Because 2 points is the standard threshold for a meaningful gain, we stopped at rx + node4 + extent + surg + differ. This captures nearly all improvement in fit without adding unnecessary parameters.

#### Full Coxph Model for Death

```
##
       differ + rx, data = colon_death)
##
     n= 888, number of events= 430
##
##
##
                       coef exp(coef) se(coef)
                                                     z Pr(>|z|)
                              2.47645
                                       0.10076
                                                        < 2e-16 ***
## node41
                    0.90682
                                                9.000
                                                 0.972
## extent2
                    0.58647
                              1.79764
                                       0.60331
                                                        0.33100
## extent3
                    1.10438
                              3.01735
                                       0.58214
                                                 1.897
                                                        0.05781 .
## extent4
                    1.56152
                              4.76605
                                       0.61527
                                                 2.538
                                                        0.01115 *
## surglong
                    0.23256
                              1.26182
                                       0.10625
                                                 2.189
                                                        0.02862 *
## differmoderate -0.08838
                              0.91541
                                       0.16812 -0.526
                                                        0.59910
## differpoor
                              1.26620
                                                1.211
                    0.23602
                                       0.19489
                                                        0.22587
## rxLev
                  -0.04548
                              0.95554
                                       0.11429 -0.398
                                                        0.69066
                                       0.12185 -3.058
## rxLev+5FU
                  -0.37257
                              0.68896
                                                        0.00223 **
## ---
## Signif. codes: 0 '*** 0.001 '** 0.01 '* 0.05 '.' 0.1 ' 1
##
##
                  exp(coef) exp(-coef) lower .95 upper .95
                                            2.0326
                                 0.4038
## node41
                      2.4764
                                                      3.0172
## extent2
                      1.7976
                                 0.5563
                                           0.5510
                                                      5.8646
## extent3
                      3.0173
                                 0.3314
                                           0.9641
                                                      9.4437
## extent4
                      4.7661
                                 0.2098
                                            1.4271
                                                     15.9176
## surglong
                      1.2618
                                 0.7925
                                            1.0246
                                                      1.5540
## differmoderate
                      0.9154
                                 1.0924
                                           0.6584
                                                      1.2727
## differpoor
                      1.2662
                                 0.7898
                                            0.8642
                                                      1.8552
## rxLev
                      0.9555
                                 1.0465
                                            0.7638
                                                      1.1954
## rxLev+5FU
                      0.6890
                                 1.4515
                                            0.5426
                                                      0.8748
## Concordance= 0.662 (se = 0.013)
## Likelihood ratio test= 126.3 on 9 df,
                                              p = < 2e - 16
## Wald test
                         = 129.1
                                  on 9 df,
                                              p = < 2e - 16
## Score (logrank) test = 139.3
                                  on 9 df,
                                              p=<2e-16
```

After adjusting for the four strongest prognostic factors—node4, extent, surg, and differ—the overall likelihood-ratio test is highly significant ( $p < 2\ddot{O}10^{-16}$ ), confirming that the set of covariates is statistically significant to explain variation in survival model. From the summary of the cox proptional model, we can observe the following effect of treatment and prognostic covariate:

#### Treatment effect:

The combination therapy Levamisole+5-FU has statistically significant survival benefit, reducing the hazard of death by approximately 31% with (HR = 0.689, 95% CI 0.54 0.88). Levamisole alone does not show significant benefit because the 95% CI (0.7638 - 1.1954) include 1.

#### Prognostic covariates:

node4: having more than 4 positive lymph nodes has hazard ratio of 2.4764 and significantly increase the hazard risk by 147% compared to less than 4 lymph nodes (95% CI 2.03~3.02).

extent: Contiguous structures of local spread (extent = 4) raises the hazard by 377% compared to to submucosa of local spread (extent=1) (HR = 4.77, 95 % CI 1.96-15.9).

surg: Long time from surgery to registration (surg = 1) also raise the hazard rate by 26.18% compared to shorter time (surg = 0) (HR = 1.26, 95% CI 1.02 $^{\circ}$ 1.55).