## 论文阅读笔记 Step6

MF1833063, 史鹏, spwannasing@gmail.com  $2019~\rm{\fine}~7~\rm{\fine}~15~\rm{\fine}$ 

## 1 Cognitive Graph for Multi-Hop Reading Comprehension at Scale

这篇文章基于Bert和GNN,在迭代中逐步构建出cognitive graph:  $\mathcal{G}$ ,图中的每一个节点都和一个实体或者一个可能的答案有关。由两部分组成: implicit extraction (System 1) 和explicit reasoning (System 2)。

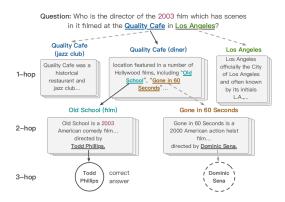


Figure 1: An example of cognitive graph for multi-hop QA. Each *hop node* corresponds to an entity (e.g., "Los Angeles") followed by its introductory paragraph. The circles mean *ans nodes*, answer candidates to the question. Cognitive graph mimics human reasoning process. Edges are built when calling an entity to "mind". The solid black edges are the correct reasoning path.

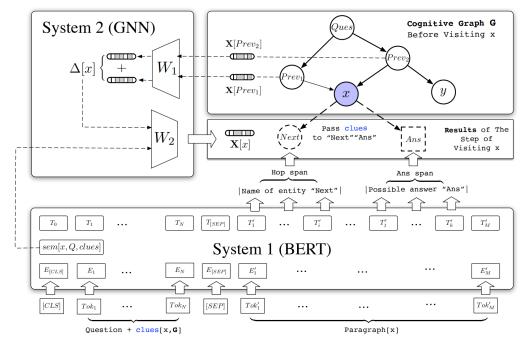


Figure 2: Overview of CogQA implementation. When visiting the node x, System 1 generates new hop and answer nodes based on the  $clues[x,\mathcal{G}]$  discovered by System 2. It also creates the inital representation sem[x,Q,clues], based on which the GNN in System 2 updates the hidden representations  $\mathbf{X}[x]$ .

Algorithm 1: Cognitive Graph QA

**Input:** 

### System 1 model $S_1$ , System 2 model $S_2$ , Question Q, Predictor $\mathcal{F}$ , Wiki Database $\mathcal{W}$ Initialize cognitive graph $\mathcal{G}$ with entities mentioned in Q and mark them *frontier nodes* repeat

```
pop a node x from frontier nodes
      collect clues[x, \mathcal{G}] from predecessor nodes of x
        // eg. clues can be sentences where x is mentioned
      fetch para[x] in \mathcal{W} if any
      generate sem[x, Q, clues] with S_1 // initial X[x]
      if x is a hop node then
         find hop and answer spans in para[x] with \mathcal{S}_1
         for y in hop spans do
           if y \notin \mathcal{G} and y \in \mathcal{W} then
10
11
             create a new hop node for y
           if y \in \mathcal{G} and edge(x, y) \notin \mathcal{G} then
12
              add edge (x, y) to \mathcal{G}
13
14
              mark node y as a frontier node
15
         end
         for y in answer spans do
16
         add new answer node y and edge (x, y) to \mathcal{G}
17
         end
18
      end
19
20
      update hidden representation X with S_2
   until there is no frontier node in G or G is large enough;
22 Return \arg\max \mathcal{F}(\mathbf{X}[x])
```

[注] 本文选取的数据集是HotpotQA, HotpotQA 是一个大型问答数据集, 它包含约 11.3 万个具备上述特征的问答对。也就是说, 这些问题要求问答系统能够筛选大量的文本文档, 以找到与生成答案相关的信息, 并对找到的多个支撑性事实进行多步推理, 从而得出最终答案。

answer node x

System 1从段落中提取与问题相关的实体和answer candidate,并对其语义信息进行编码。 提取的实体被组织成一个Cognitive Graph。然后,系统2对图进行推理,并收集线索指导系统1更好地提取下一跳实体。

System 1(Bert):

$$\underbrace{[CLS]Question[SEP] \text{ clues}[x,\mathcal{G}][SEP]}_{\text{Sentence } A} \underbrace{\text{Para}[x]}_{\text{Sentence } B}$$
(1.1)

System 2(GNN):

$$\Delta = \sigma \left( \left( AD^{-1} \right)^T \sigma \left( \mathbf{X} W_1 \right) \right)$$

$$\mathbf{X}' = \sigma \left( \mathbf{X} W_2 + \Delta \right)$$
(1.2)

## 2 Exploiting Explicit Paths for Multi-hop Reading Comprehension

本文基于WikiHop数据集,在该数据集中问题以三元组的形式出现 $(h_e, r, ?)$ , $h_e$ 代表head entity,r代表head entity和未知的tail entity之间的关系。任务是从给定的candidates集合中选出一个答案: $(c_1, c_2, \ldots, c_N)$ 。

所做的工作是在预测答案的同时,将推理的path展示出来。方法很intuitive,但难的是想到并去做这个工作。

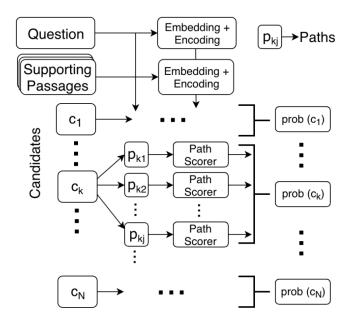


图 1: Architecture of the proposed model

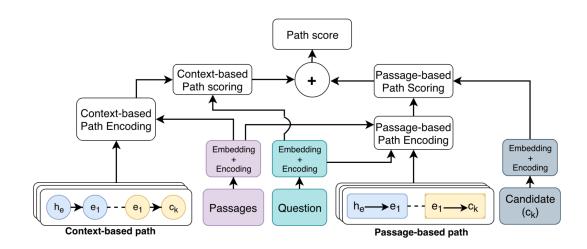


图 2: Architecture of the proposed path scoring module

模型的流程是:

- 1. 提取Path 在含有 $h_e$ 的第一篇文章中,找出在那句话或者下一句话中出现的所有实体,然后在其它的passage中寻找这些实体,如果该passge也包含candidate中的单词那么则构建出了一个path。为每一个candidate构建一个从 $h_e$ 的路径。
- 2. 对所有的path进行encoding以及score,选出answer以及输出path。
  - [1] Context-based Path Encoding:

对于2-hop的path:  $(h_e, e_1), (e_1, c_k)$ :

$$\mathbf{g}_{h_e} = \mathbf{s}_{p_1, i_1} \| \mathbf{s}_{p_1, i_2} \tag{2.1}$$

$$FFL(\mathbf{a}, \mathbf{b}) = \tanh\left(\mathbf{a}\mathbf{W}_a + \mathbf{b}\mathbf{W}_b\right) \tag{2.2}$$

$$\mathbf{r}_{h_e,e_1} = \text{FFL}\left(\mathbf{g}_{h_e}, \mathbf{g}_{e_1}\right) \tag{2.3}$$

$$\mathbf{x}_{ctx} = \text{FFL}\left(\mathbf{r}_{h_e,e_1}, \mathbf{r}_{e_1,c_k}\right) \tag{2.4}$$

[2] Passage-based Path Encoding:

首先计算相似矩阵:  $\mathbf{A}_p \in \mathbb{R}^{T \times U}$ , 然后分别计算question-aware passage和passage-aware question:  $\mathbf{S}_p^{q_1} = \mathbf{A}\mathbf{Q}\mathbf{p} = \mathbf{A}^{\mathsf{T}}\mathbf{S}_p$ , 根据更新的question表示再计算 $\mathbf{S}_p^{q_2} \in \mathbb{R}^{T \times H}$ , 其中 $\mathbf{S}_p^{q_2} = \mathbf{A}\mathbf{Q}_p$ 

然后将两次计算的结果拼接:  $S_p^q \in \mathbb{R}^{T \times 2H} = \mathbf{S}_p^{q_1} \| \mathbf{S}_p^{q_2}$ 。

$$a_t^p \propto \exp\left(\mathbf{s}_{p,t}^q \mathbf{w}^\top\right)$$
  
 $\tilde{\mathbf{s}}_p = \mathbf{a}^p \mathbf{S}_p^q$  (2.5)

$$\mathbf{x}_{psg} = \text{FFL}\left(\tilde{\mathbf{s}}_{p1}, \tilde{\mathbf{s}}_{p_2}\right) \tag{2.6}$$

[3] Path Scoring:

$$\tilde{\mathbf{q}} = (\mathbf{q}_0 \| \mathbf{q}_U) \, \mathbf{W}_q \tag{2.7}$$

$$\mathbf{y}_{x_{ctx},q} = \text{FFL}\left(\mathbf{x}_{ctx}, \tilde{\mathbf{q}}\right) \tag{2.8}$$

$$z_{ctx} = \mathbf{y}_{x_{ctx},q} \mathbf{w}_{ctx}^{\top} \tag{2.9}$$

$$z_{psg} = \tilde{\mathbf{c}}_k \mathbf{x}_{psg}^{\top} \tag{2.10}$$

$$z = z_{ctx} + z_{psg} (2.11)$$

## 3 Relational inductive biases, deep learning, and graph networks

主要介绍了一些GNN的相关应用方法,是Section 1 的论文中System 2的组成部分。

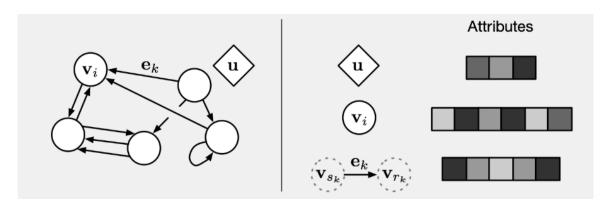


图 3: GNN的定义

GNN中节点更新方式:

$$\mathbf{e}'_{k} = \phi^{e} \left( \mathbf{e}_{k}, \mathbf{v}_{r_{k}}, \mathbf{v}_{sk}, \mathbf{u} \right) \quad \overline{\mathbf{e}}'_{i} = \rho^{e \to v} \left( E'_{i} \right)$$

$$\mathbf{v}'_{i} = \phi^{v} \left( \overline{\mathbf{e}}'_{i}, \mathbf{v}_{i}, \mathbf{u} \right) \qquad \overline{\mathbf{e}}' = \rho^{e \to u} \left( E' \right)$$

$$\mathbf{u}' = \phi^{u} \left( \overline{\mathbf{e}}', \overline{\mathbf{v}}', \mathbf{u} \right) \qquad \overline{\mathbf{v}}' = \rho^{v \to u} \left( V' \right)$$

$$(3.1)$$

#### Algorithm 1 Steps of computation in a full GN block.

```
function GraphNetwork(E, V, \mathbf{u})
        for k \in \{1 \dots N^e\} do
                \mathbf{e}_{k}^{\prime} \leftarrow \phi^{e}\left(\mathbf{e}_{k}, \mathbf{v}_{r_{k}}, \mathbf{v}_{s_{k}}, \mathbf{u}\right)
       end for
        for i \in \{1 \dots N^n\} do
               let E'_i = \{(\mathbf{e}'_k, r_k, s_k)\}_{r_k = i, \ k = 1:N^e}
                \mathbf{\bar{e}}_i' \leftarrow \rho^{e \to v} \left( E_i' \right)
                \mathbf{v}_i' \leftarrow \phi^v\left(\mathbf{\bar{e}}_i', \mathbf{v}_i, \mathbf{u}\right)
       end for
       let V'=\{\mathbf{v}'\}_{i=1:N^v}
       let E' = \{(\mathbf{e}'_k, r_k, s_k)\}_{k=1:N^e}
       \mathbf{\bar{e}}' \leftarrow \rho^{e \rightarrow u} (E')
        \mathbf{\bar{v}}' \leftarrow \rho^{v \to u} (V')
        \mathbf{u}' \leftarrow \phi^u \left( \mathbf{\bar{e}}', \mathbf{\bar{v}}', \mathbf{u} \right)
        return (E', V', \mathbf{u}')
end function
```

- ▶ 1. Compute updated edge attributes
  - ▷ 2. Aggregate edge attributes per node
  - ▷ 3. Compute updated node attributes
  - ▶ 4. Aggregate edge attributes globally
  - ▷ 5. Aggregate node attributes globally
  - ▷ 6. Compute updated global attribute

# 4 BAM! Born-Again Multi-Task Networks for Natural Language Understanding

多任务模型的性能通常比它们的单任务对应对象差,本文就提出了了一种通用的解决方案。通过Knowledge Distillation,Multi-Task Distillation和Teacher Anneall来解决这个问题。主要思想是对于每一个Task,由Teacher(Teacher的结构和student一样)来"教导"它们该如何去做,在训练一定时间之后,"student"再去向golden answer学习。理由是teacher提供的answer的预测是一个分布,比golden answer的one-hot能够提供更多的信息。比如在图像分类的时候,该图片为马,one-hot只会标注这是一只马,而Teacher预测的distribution不仅能知道是马,还能够知道,相比于自行车飞机什么的,更有可能是一只驴。

#### 1.Kownledge Distillation:

传统的one-hot: 
$$\mathcal{L}(\theta) = \sum_{x_{\tau}^i, y_{\tau}^i \in \mathcal{D}_{\tau}} \ell(y_{\tau}^i, f_{\tau}(x_{\tau}^i, \theta))$$

Distillation: 
$$\mathcal{L}(\theta) = \sum_{x_{\tau}^{i}, y_{\tau}^{i} \in \mathcal{D}_{\tau}} \ell\left(f_{\tau}\left(x_{\tau}^{i}, \theta'\right), f_{\tau}\left(x_{\tau}^{i}, \theta\right)\right)$$

2.Multi-Task Distillation:

$$\mathcal{L}(\theta) = \sum_{\tau \in \mathcal{T}} \sum_{x_{\tau}^{i}, y_{\tau}^{i} \in \mathcal{D}_{\tau}} \ell\left(f_{\tau}\left(x_{\tau}^{i}, \theta_{\tau}\right), f_{\tau}\left(x_{\tau}^{i}, \theta\right)\right)$$

3. Teacher Annealing:

$$\ell\left(\lambda y_{\tau}^{i}+(1-\lambda)f_{\tau}\left(x_{\tau}^{i},\theta_{\tau}\right),f_{\tau}\left(x_{\tau}^{i},\theta\right)\right)$$

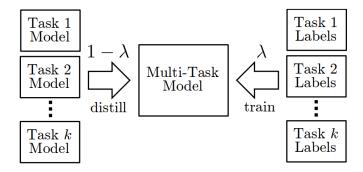
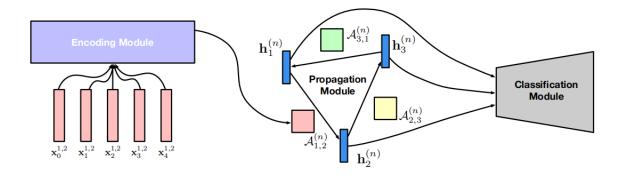


Figure 1: An overview of our method.  $\lambda$  is increased linearly from 0 to 1 over the course of training.

### 5 Graph Neural Networks with Generated Parameters for Relation Extraction

本文是刘知远老师组里的工作,是GNN在推理和关系抽取上的一次应用: Graph Neural Network with Generated Parameter (GP-GNNs)。



结构分为三部分:

#### 1. Encoding Module:

$$s = (x_0, x_1, \dots, x_{l-1}) \tag{5.1}$$

$$E\left(x_{t}^{i,j}\right) = \left[\boldsymbol{x}_{t}; \boldsymbol{p}_{t}^{i,j}\right] \tag{5.2}$$

$$\mathcal{A}_{i,j}^{(n)} = f\left(E\left(x_0^{i,j}\right), E\left(x_1^{i,j}\right), \cdots, E\left(x_{l-1}^{i,j}\right); \theta_e^n\right)$$

$$(5.3)$$

i,j分别是对应的entity的索引, $x_t$ 是一个sequence中t位置的word。 $p_t$ 是相对位置向量,即表示该单词是否在实体i,实体j中还是都不在。

#### 2. Propagation Module:

$$\mathbf{h}_{i}^{(n+1)} = \sum_{v_{j} \in \mathcal{N}(v_{i})} \sigma\left(\mathcal{A}_{i,j}^{(n)} \mathbf{h}_{j}^{(n)}\right)$$
(5.4)

#### 3. Classification Module:

$$\mathcal{L} = g\left(\mathbf{h}_{0:|\nu|-1}^{0}, \mathbf{h}_{0:|\nu|-1}^{1}, \dots, \mathbf{h}_{0:|\mathcal{V}|-1}^{K}, Y; \theta_{c}\right)$$
(5.5)

$$\boldsymbol{r}_{v_i,v_j} = \left[ \left[ \boldsymbol{h}_{v_i}^{(1)} \odot \boldsymbol{h}_{v_j}^{(1)} \right]^\top ; \left[ \boldsymbol{h}_{v_i}^{(2)} \odot \boldsymbol{h}_{v_j}^{(2)} \right]^\top ; \dots ; \left[ \boldsymbol{h}_{v_i}^{(K)} \odot \boldsymbol{h}_{v_j}^{(K)} \right]^\top \right]$$
(5.6)

$$\mathcal{L} = \sum_{s \in S} \sum_{i \neq j} \log \mathbb{P}\left(r_{v_i, v_j} | i, j, s\right)$$
(5.7)

## 6 Multi-hop Reading Comprehension across Multiple Documents by Reasoning over Heterogeneous Graphs

本文所解决的问题是跨文档的多跳阅读理解,提出的模型是Heterogeneous Document-Entity Graph(HDEGraph)。

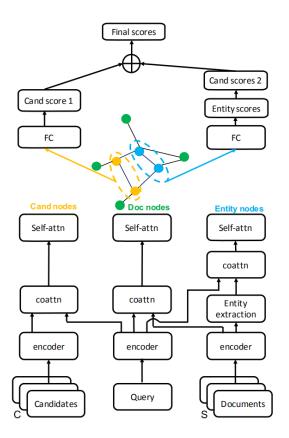


Figure 2: System diagram. S and C are the number of support documents and candidates respectively. We use yellow nodes to represent query-aware candidate representation, blue nodes to represent extracted query-aware entity representation and green nodes to represent query-aware document representation.

#### 1. Context Encoding

以support document的encoding距离, candidates和query的情况类似。

$$\mathbf{A}_{qs}^{i} = \mathbf{H}_{s}^{i} \left(\mathbf{H}_{q}\right)^{\top} \in \mathbb{R}^{l_{s}^{i} \times l_{q}} \tag{6.1}$$

$$\mathbf{C}_{q} = \operatorname{softmax} \left( \mathbf{A}_{qs}^{\top} \right) \mathbf{H}_{s} \in \mathbb{R}^{l_{q} \times h}$$

$$\mathbf{C}_{s} = \operatorname{softmax} \left( \mathbf{A}_{qs} \right) \mathbf{H}_{q} \in \mathbb{R}^{l_{s} \times h}$$
(6.2)

$$\mathbf{D}_{s} = f\left(\operatorname{softmax}\left(\mathbf{A}_{qs}\right) C_{q}\right) \in \mathbb{R}^{l_{s} \times h}$$
(6.3)

$$\mathbf{S}_{ca} = [\mathbf{C}_s; \mathbf{D}_s] \in \mathbb{R}^{l_s \times 2h} \tag{6.4}$$

$$\mathbf{a}_{s} = \operatorname{softmax} (MLP(\mathbf{S}_{ca})) \in \mathbb{R}^{l_{s} \times 1}$$

$$\mathbf{s}_{sa} = \mathbf{a}_{s}^{\top} \mathbf{S}_{ca} \in \mathbb{R}^{1 \times 2h}$$

$$9$$
(6.5)

2. Reasoning over HDE graph

$$\mathbf{z}_{i}^{k} = \sum_{r \in \mathcal{R}} \frac{1}{|\mathcal{N}_{i}^{r}|} \sum_{j \in \mathcal{N}_{i}^{r}} f_{r} \left(\mathbf{h}_{j}^{k}\right)$$
(6.6)

$$\mathbf{u}_{i}^{k} = f_{s}\left(\mathbf{h}_{i}^{k}\right) + \mathbf{z}_{i}^{k} \tag{6.7}$$

$$\mathbf{g}_{i}^{k} = \operatorname{sigmoid}\left(f_{g}\left(\left[\mathbf{u}_{i}^{k}; \mathbf{h}_{i}^{k}\right]\right)\right)$$

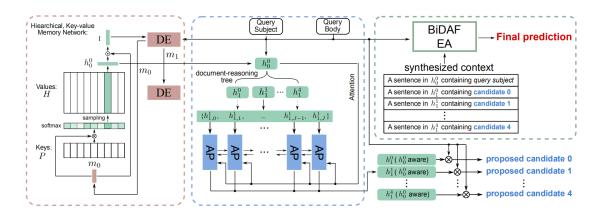
$$\mathbf{h}_{i}^{k+1} = \tanh\left(\mathbf{u}_{i}^{k}\right) \odot \mathbf{g}_{i}^{k} + \mathbf{h}_{i}^{k} \odot \left(1 - \mathbf{g}_{i}^{k}\right)$$
(6.8)

3. Score accumulation

$$\mathbf{a} = f_C \left( \mathbf{H}^C \right) + ACC_{\text{max}} \left( f_E \left( \mathbf{H}^E \right) \right) \tag{6.9}$$

### 7 Explore, Propose, and Assemble: An Interpretable Model for Multi-Hop Reading Comprehension

本文也是应用于multi-hop multi-document的一篇文章,提出了Explore-Propose-Assemble reader (EPAr)模型。



#### 1. Retrieval and Encoding:

使用TF-IDF算法来先筛选出一部分的document,减少计算量。

#### 2. Document Explorer:

用document-level的representation和word-level的representation分别作为Memory Network 的Key和value。

Read:

$$x_n = p_n^T \mathbf{W_r} m^t \quad \chi = \operatorname{softmax}(x) \quad P(d_i) = \chi_i$$
 (7.1)

Write:

$$w_{k} = h_{k}^{T} \mathbf{W}_{\mathbf{w}} m \qquad \tilde{h} = \sum_{k=1}^{K} h_{k} \omega_{k}$$

$$\omega = \operatorname{softmax}(w) \quad m^{t+1} = \mathbf{GRU} \left( \tilde{h}, m^{t} \right)$$
(7.2)

3. Answer Proposer

$$e_i^k = \mathbf{v}^T \tanh\left(\mathbf{W_h} \hat{h}_{cct}^i + \mathbf{W_s} s^k + \mathbf{b}\right)$$

$$a^k = \operatorname{softmax}\left(e^k\right); \quad c^k = \sum_i a_i^k h_{cct}^i$$

$$y^k = \mathbf{LSTM}\left(\hat{h}_T^{k-1}, s^{k-1}, c^{k-1}\right)$$
(7.3)

$$w^{k} = \boldsymbol{\alpha} (y^{k}, u_{s}) + \boldsymbol{\alpha} (y^{k}, u_{b}); \epsilon = \operatorname{softmax}(w)$$

$$a = \sum_{k=1}^{K} \hat{h}_{T}^{k} \epsilon_{k}; \quad \text{Score } l = \beta(c_{l}, a)$$

$$(7.4)$$

4. Evidence Assembler:

将相关的sentence拼接起来,送入传统的单document算法模型。

5. Joint Optimization