

Alignment in linear space

Chapter 7 of Jones and Pevzner

Sequence Alignment: Linear Space

- Q. Can we avoid using quadratic **space**?
- Easy. Optimal **value** in $O(m + n)$ space and $O(mn)$ time.
 - Compute $OPT(i, \bullet)$ from $OPT(i-1, \bullet)$.
 - No easy way to recover alignment itself.
- Optimal **longest common subsequence** in $O(m + n)$ space and $O(mn)$ time [Hirschberg (1975)].
 - Clever combination of divide-and-conquer and dynamic programming.
- Application to sequence alignment:
E.W. Myers and W. Miller. Optimal alignments in linear space. Computer Applications in Biosciences, 4:11-17, 1988.

Divide and Conquer Algorithms

- **Divide** problem into sub-problems
- **Conquer** by solving sub-problems recursively. If the sub-problems are small enough, solve them in brute force fashion
- **Combine** the solutions of sub-problems into a solution of the original problem

Sorting

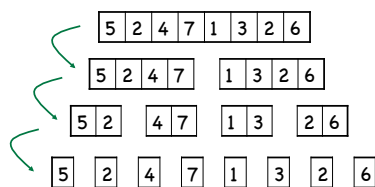
- Given: an unsorted array

5 2 4 7 1 3 2 6

- Goal: sort it

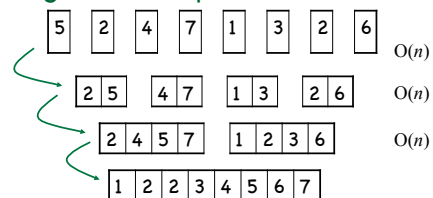
1 2 2 3 4 5 6 7

Mergesort: Divide



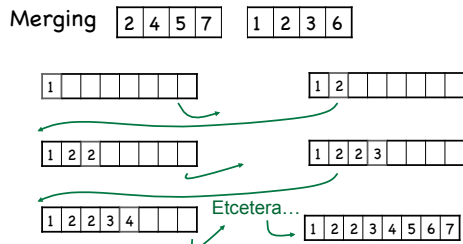
$\log(n)$ divisions to split an array of size n into single element arrays

Mergesort: Conquer



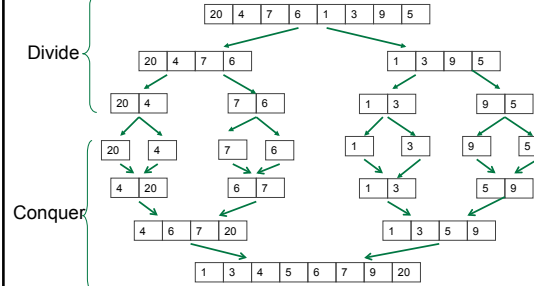
$\log(n)$ iterations, each iteration takes $O(n)$ time
Total time: $O(n \log n)$

Mergesort: Merge Step



- 2 sorted arrays of size n and m can be merged in $O(n+m)$ time to form a sorted array of size $n+m$

Mergesort: Example



MergeSort Algorithm

```

MergeSort(c)
  n ← size of array c
  if n = 1
    return c
  left ← list of first n/2 elements of c
  right ← list of last n-n/2 elements of c
  sortedLeft ← MergeSort(left)
  sortedRight ← MergeSort(right)
  sortedList ← Merge(sortedLeft, sortedRight)
  return sortedList
    
```

MergeSort: Running Time

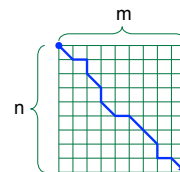
- in the i th iteration we do $O(n)$ work
- number of iterations is $O(\log n)$
- running time: $O(n \log n)$

Back to sequence alignment...

The Problem: Computing Alignment Path Requires Quadratic Memory

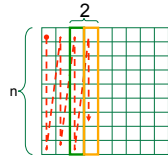
Alignment Path

- Space complexity for computing alignment path for sequences of length n and m is $O(nm)$
- We need to keep all backtracking references in memory to reconstruct the path (backtracking)

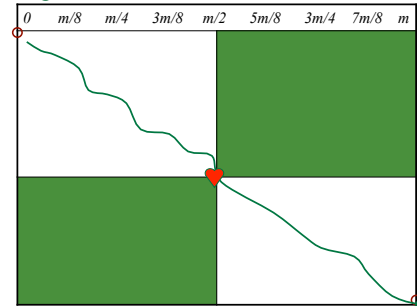


Computing Alignment Score using Linear Memory

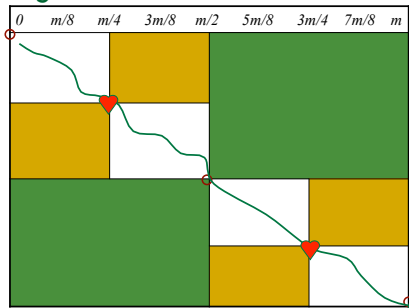
- Space complexity of computing just the score itself is $O(n)$
- Only need the previous column to calculate the current column



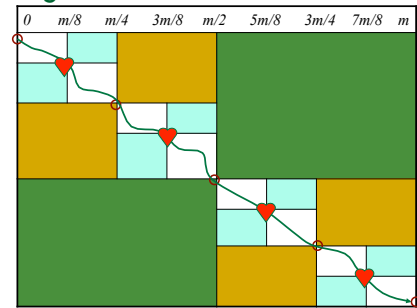
Finding the Middle Point



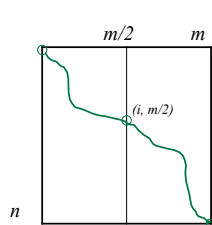
And Again



And Again

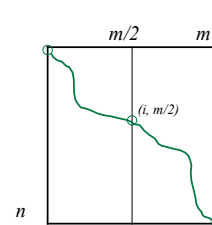


Crossing the Middle Line



Define:
 $score(i)$ - the score of the optimal path from $(0,0)$ to (n,m) that passes through $(i, m/2)$

Crossing the Middle Line



$(mid, m/2)$: the position where the optimal path crosses the middle column.

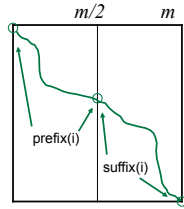
$$mid = \operatorname{argmin}_{0 \leq i \leq n} score(i)$$

Crossing the Middle Line

$$\text{score}(i) = \text{prefix}(i) + \text{suffix}(i)$$

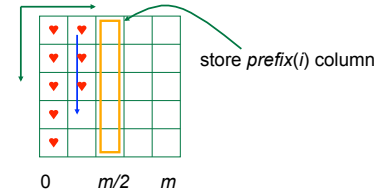
$\text{prefix}(i)$: score of the optimal alignment of a length $m/2$ prefix of y to a prefix of x (takes a path from $(0,0)$ to $(i, m/2)$)

$\text{suffix}(i)$: score of the optimal alignment of a length $m/2$ suffix of y to a suffix of x (takes a path from $(i, m/2)$ to (n, m))



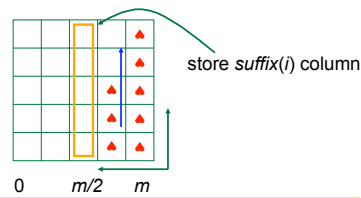
Computing $\text{prefix}(i)$

- $\text{prefix}(i)$: length of the longest path from $(0,0)$ to $(i, m/2)$
- Compute $\text{prefix}(i)$ by dynamic programming in the left half of the matrix

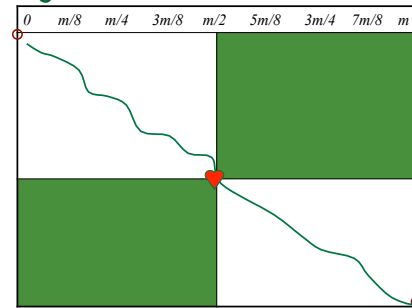


Computing $\text{suffix}(i)$

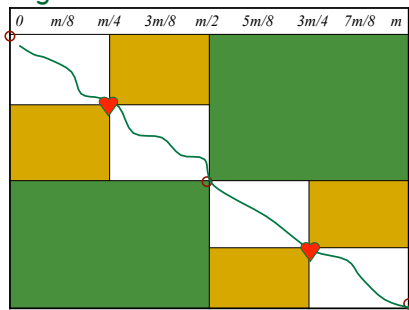
- $\text{suffix}(i)$: score of optimal alignment from $(i, m/2)$ to (n, m)
- Can be computed by going in "reverse" from (n, m) to $(i, m/2)$



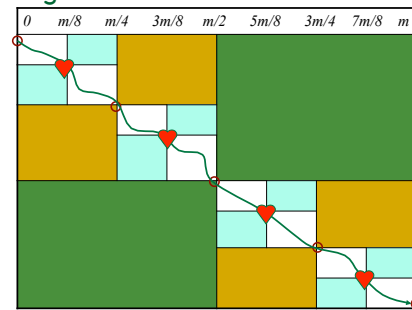
Finding the Middle Point



And Again



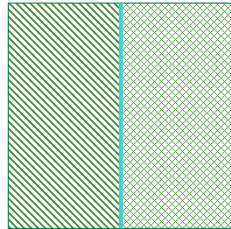
And Again



Time = Area: First Pass

- On first pass, the algorithm covers the entire area

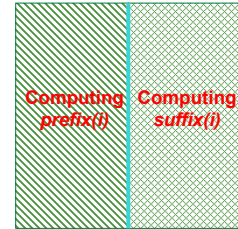
$$\text{Area} = n \cdot m$$



Time = Area: First Pass

- On first pass, the algorithm covers the entire area

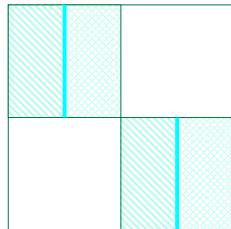
$$\text{Area} = n \cdot m$$



Time = Area: Second Pass

- On second pass, the algorithm covers only 1/2 of the area

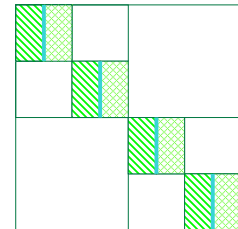
$$\text{Area}/2$$



Time = Area: Third Pass

- On third pass, only 1/4th is covered.

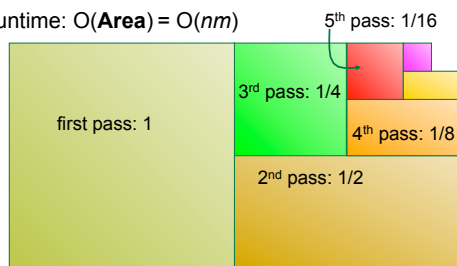
$$\text{Area}/4$$



Geometric Reduction At Each Iteration

$$1 + \frac{1}{2} + \frac{1}{4} + \dots + (\frac{1}{2})^k \leq 2$$

- Runtime: $O(\text{Area}) = O(nm)$



Run Time Analysis

- Let $T(m, n)$ = max running time of algorithm on strings of length m and n .
 - $O(mn)$ time to compute $\text{prefix}(\bullet, m/2)$ and $\text{suffix}(\bullet, m/2)$ and find midpoint q .
 - $T(q, m/2) + T(n - q, m/2)$ time for two recursive calls.
 - Choose constant c so that:

$$\begin{aligned} T(m, 2) &\leq 2cm \\ T(2, n) &\leq 2cn \\ T(m, n) &\leq cmn + T(q, m/2) + T(n - q, m/2) \end{aligned}$$

- Claim: $T(m, n) \leq 2cmn$ (proof by induction)

Is it Possible to Align Sequences in Subquadratic Time?

- Dynamic programming takes $O(n^2)$ for various alignment methods
- Can we do better?
- Yes: *The Four-Russians Speedup* (works for LCS but not for general sequence alignment problem) $O(n^2/\log n)$