# Notes for "Elliptic Curves" by Vladimir Dokchitser

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Preparatory information:

- Books: Silverman's "The Arithmetic of Elliptic Curves"
- Prerequisites: basics of Galois theory, basics of number fields, basics of algebraic curves, complex analysis, and p-adic numbers
- Exercise sheets: 1 per lecture, 2 out of 5 exercises for assessment (marked with a "+")
- Lectures: 10 of them

Scribe's note: Exercises marked with a "!" here were marked with a skull and crossbones on the sheets. I have not included solutions to them since I cannot solve them.

Tentative lecture topics:

- 1) The group law
- 2) Elliptic curves over  $\mathbb{C}$
- 3) Heights
- 4) The Mordell-Weil theorem
- 5) Elliptic curves over  $\mathbb{Q}_p$
- 6) Formal groups
- 7) Explicit 2-descent
- 8) Tate modules
- 9) L-functions and BSD
- 10) Selmer groups

**Pre-waffle.** This is a number theory course, so we care about solving Diophantine equations. For example, what are the rational solutions of  $x^2 + y^2 = 1$ ?

$$x = \frac{2t}{t^2 + 1}, \quad y = \frac{t^2 - 1}{t^2 + 1}, \quad t \in \mathbb{Q}.$$

The general case is impossibly hard; it is formally undecidable. We will focus on curves, such as one equation with two variables. Life is strongly affected by the geometry over  $\mathbb{C}$ , where the curve is a closed orientable surface in projective space.

- Genus 0: The Riemann sphere;  $\mathbb{P}^1$ . The number theory is easy; either there are no  $\mathbb{Q}$ -solutions or infinitely many nicely parametrized, and we can decide which (Hasse principle).
- Genus 1 (this course): The torus. There can be no Q-solutions, or finitely many, or infinitely many. No proven algorithm exists for deciding which in general, although there are algorithms conditional on the Tate-Shafarevich conjecture or the BSD conjecture.
- Genus  $\geq 2$ : There are finitely many Q-solutions by a theorem of Faltings.

**Remark.** By Siegel's theorem there are only finitely many  $\mathbb{Z}$ -solutions for  $g \geq 1$ .

# 1 Group Law

**Definition.** An *elliptic curve* over a field K is a projective non-singular curve E of genus 1 over K, together with a given K-rational point  $\mathcal{O}$ .

**Example.** Take  $E: y^2 = x^3 - x$ , that is  $Y^2Z = X^3 - XZ^2$ , with  $\mathcal{O} = [0:1:0]$  the point at infinity.

**Definition.** A (generalized) Weierstrass equation over K is an equation of the form

$$Y^{2}Z + a_{1}XYZ + a_{3}YZ^{2} = X^{3} + a_{2}X^{2}Z + a_{4}XZ^{2} + a_{6}Z^{3}$$

with  $a_i \in K$ . For ease of notation we identify this with the affine equation

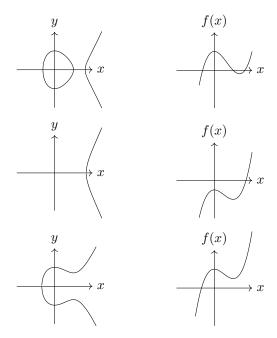
$$y^2 + a_1xy + a_3y = x^3 + a_2x^2 + a_4x + a_6.$$

**Remark.** At infinity we have the point [0:1:0] and no others. This is the standard choice for  $\mathcal{O}$ . If E is non-singular, the genus is 1.

Notation. We write

$$E(K) = \{ \text{solutions } [X : Y : Z] \text{ to the equation over } K \}$$
  
=  $\{ \mathcal{O} \} \cup \{ \text{solutions } (x, y) \text{ to the equation over } K \}.$ 

**Example.** If  $E: y^2 = f(x)$  with f(x) a monic cubic, then  $E(\mathbb{R})$  looks as follows:



**Theorem 1** (see Silverman, Chapter III). Let  $\mathcal{E}$  be an elliptic curve over K. Then there exists an isomorphism (of projective varieties) from  $\mathcal{E}$  to the projective curve defined by

$$E: y^2 + a_1xy + a_3y = x^3 + a_2x^2 + a_4x + a_6$$

for some  $a_i \in K$ , mapping the given K-rational point to the point at infinity.

**Remark.** To keep track of the indices for the Weierstrass equation, give x weight 2, y weight 3, and  $a_i$  weight i. The terms all have weight 6.

**Example.** Take  $\mathcal{E}: y^2 = x^4 - 1$  with point P = (1, 0).

- Let  $x_2 = x 1$ , giving  $y^2 = x_2(x_2 + 2)(x_2^2 + 2x_2 + 2)$ . (Move P to the origin.)
- Let  $x_3 = 1/x_2$ , giving  $(x_3^2y)^2 = (1+2x_3)(1+2x_3+2x_3^2)$ . (Move P to infinity.)
- Let  $y_2 = yx_3^2$ , giving  $y_2^2 = 4x_3^3 + 6x_3^2 + 4x_3 + 1$ . (Make monic in y.)

• Let  $y_3 = y_2/2$ , giving  $y_3^2 = x_3^3 + \frac{3}{2}x_3^2 + x_3 + \frac{1}{4}$ . (Make monic in x.)

Note here we need char  $K \neq 2$ . In fact this is a sloppy example, since the naive projectivization of the equation is singular. Instead one should use the equation  $t^2 = 1 - s^4$  at infinity, where s = 1/x,  $t = y/x^2$ .

Proposition 2 (see Silverman, Chapter III).

(i) One can further simplify the Weierstrass equation to

$$E: y^2 = x^3 + ax^2 + bx + c$$

when char  $K \neq 2$ , and to

$$E: y^2 = x^3 + Ax + B$$

when char  $K \neq 2, 3$ .

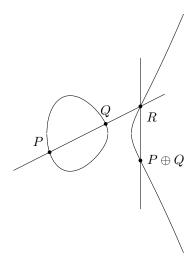
(ii) Two curves given by generalized Weierstrass equations E and E' are isomorphic over K iff they are related by a change of variables of the form

$$x = u^2x' + r$$
,  $y = u^3y' + u^2sx' + t$ 

for some  $u, r, s, t \in K$  with  $u \neq 0$ .

(iii) If char  $K \neq 2$ , and  $E: y^2 = x^3 + ax^2 + bx + c$ , then E is non-singular iff the RHS cubic has no repeated roots, i.e. iff its discriminant is non-zero.

**Definition.** Suppose E/K is an elliptic curve given by a Weierstrass equation. Let  $P, Q \in E(K)$ . Define their  $sum\ P \oplus Q$  (or just P + Q) by the following process:



The line through P and Q, or the tangent if P=Q, meets E at exactly one other point R when counting with multiplicity. Repeat the process with  $\mathcal{O}$  and R, i.e. reflect R across y=0, to obtain  $P\oplus Q$ .

**Remark.** If  $P, Q \in E(K)$  then  $P \oplus Q \in E(K)$ . (If two roots of a cubic are rational then the third is too.) This gives a process to construct new rational points from old ones.

**Theorem 3.** The operation  $\oplus$  makes E(K) an abelian group with identity  $\mathcal{O}$ .

*Proof.* See Silverman, Chapter III. See next section for the characteristic 0 case.

**Remark.** (i) If  $P = (x_1, y_1)$ , then  $\Theta P = (x_1, -y_1 - a_1x - a_3)$  for a generalized Weierstrass equation.

- (ii) If F/K is a field extension, then  $E(K) \subseteq E(F)$  is a subgroup.
- (iii) For  $E: y^2 = (x-a)(x-b)(x-c)$ , the points where y=0 are precisely the points of order 2.

**Example.** The equation  $y^2 = (x-1)(x-2)(x-3) \mod p$ , where  $p \neq 2$  is prime, has total number of solutions  $N \equiv 3 \mod 4$ . Indeed  $E(\mathbb{F}_p)$  has a subgroup isomorphic to  $C_2 \times C_2$  given by the points of order 2 and the identity, so  $4 \mid \#E(\mathbb{F}_p)$ , and removing the point at infinity gives  $N = \#E(\mathbb{F}_p) - 1$ .

**Theorem 4** (Mordell 1922). Let  $E/\mathbb{Q}$  be an elliptic curve. Then  $E(\mathbb{Q})$  is a finitely generated abelian group.

Proof. See section 4.

**Remark.** So  $E(\mathbb{Q}) \simeq \Delta \times \mathbb{Z}^r$  for some  $r \geq 0$  and finite group  $\Delta$ .

**Definition.** With  $E(\mathbb{Q}) \simeq \Delta \times \mathbb{Z}^r$  as above r is the rank of  $E/\mathbb{Q}$ , and  $\Delta$  the torsion subgroup of  $E(\mathbb{Q})$ .

**Remark.** The result also holds over number fields, and for all abelian varieties (Mordell-Weil theorem).

**Remark.** To describe  $E(\mathbb{Q})$ , one is happy with having generators for the group; finite data from which the points can be enumerated computationally. One cannot parametrize  $E(\mathbb{Q})$  like the conics: there are no non-constant  $P(t), Q(t) \in \mathbb{Q}(t)$  satisfying the equation of an elliptic curve. (Otherwise we get a rational map  $\mathbb{P}^1_{\mathbb{C}} \to E(\mathbb{C})$  contradicting the Riemann–Hurwitz formula.)

### Example.

- $E: y^2 y = x^3 x$  has  $E(\mathbb{Q}) = \{\mathcal{O}, (0,0), (0,1), (1,0), (1,1)\} \simeq C_5$ .
- $E: y^2 + y = x^3 x$  has  $E(\mathbb{Q}) \simeq \mathbb{Z}$  generated by (0,0).
- $E: y^2 + y = x^3 + x 2x$  has  $E(\mathbb{Q}) \simeq \mathbb{Z}^2$  generated by (0,0) and (1,0).
- $E: y^2 = x^3 2x$  has  $E(\mathbb{Q}) \simeq C_2 \times \mathbb{Z}$  generated by (0,0) and (-1,1) respectively.
- $E: y^2 = x^3 + 877x$  has  $E(\mathbb{Q}) \simeq C_2 \times \mathbb{Z}$  generated by (0,0) and (a horrid mess) respectively.

### Exercise Sheet 1

+1. Let E be the elliptic curve given by

$$y^2 - y = x^3 - x^2.$$

Verify that the point P = (0,0) has order 5.

Solution. The tangent at P is y = 0, which intersects E when  $x^3 - x^2 = 0$ . The third point is then (1,0), and the line through (1,0) and  $\mathcal{O}$  intersects E again at (1,1). Hence  $2 \cdot P = (1,1)$ .

The tangent at (1,1) is y=x, which intersects E when  $x^2-x=x^3-x^2$ . The third point is then (0,0), and the line through (0,0) and  $\mathcal{O}$  intersects E again at (0,1). Hence  $4 \cdot P = (0,1)$ .

The line through P and (0,1) is x=0, which meets E at the point  $\mathcal{O}$  at infinity. Hence  $5 \cdot P = \mathcal{O}$ , so P has order 5.

+2. Let  $E/\mathbb{Q}$  be an elliptic curve that has a rational point of order 3. Show that E is isomorphic to one of the form

$$y^2 = x^3 + (ax - b)^2.$$

(Hint: you may find it helpful to show that a point P has order 3 if and only if the tangent line to E through P intersects E at P with multiplicity 3.)

Solution. We have  $3 \cdot P = \mathcal{O}$  iff the tangent line at P intersects E at P only. By Proposition 1(i), we may assume E has an equation of the form  $y^2 = x^3 + px^2 + qx + r$ , and by translation we may assume the rational point P of order 3 is of the form  $(0,\beta)$ . If  $\beta = 0$  then r = 0 and  $q \neq 0$  by non-singularity, so the tangent line at P is the y-axis, whose intersections with E are given by the cubic equation  $x^3 + px^2 + qx = 0$ . This has at least two distinct roots, since  $q \neq 0$ , contradicting the fact that P is the only intersection of the tangent line with E. Hence  $\beta \neq 0$ , so the tangent line at P is

$$y = \beta + \frac{q}{2\beta}x,$$

which intersects E when

$$(\beta + \frac{q}{2\beta}x)^{2} = x^{3} + px^{2} + qx + r$$

$$\iff x^{3} + (p - \frac{q^{2}}{4\beta^{2}})x^{2} + r - \beta^{2} = 0.$$

Then since  $3 \cdot P = \mathcal{O}$  this cubic has only the one root at x = 0, meaning

$$p - \frac{q^2}{4\beta^2} = r - \beta^2 = 0,$$

so

$$E: y^{2} = x^{3} + \frac{q^{2}}{4\beta^{2}}x^{2} + qx + \beta^{2}$$
$$= x^{3} + \left(\frac{q}{2\beta}x + \beta\right)^{2},$$

which is of the desired form with  $a = \frac{q}{2\beta}$  and  $b = -\beta$ .

3. Determine the group  $E(\mathbb{F}_3)$  for the elliptic curves

$$E: y^2 = x^3 - x$$
 and  $E: y^2 = x^3 + x$ .

Solution. For  $E: y^2 = x^3 - x$  the polynomial  $x^3 - x$  vanishes on  $\mathbb{F}_3$ , so the points (apart from  $\mathcal{O}$ ) are  $\{(x,0): x \in \mathbb{F}_3\}$ . All have order 2 since y = 0, so  $E(\mathbb{F}_3) \simeq C_2 \times C_2$ .

For  $E: y^2 = x^3 + x$  the points (apart from  $\mathcal{O}$ ) are  $\{(0,0),(2,1),(2,2)\}$ . Since (2,1) and (2,2) do not have order 2, having  $y \neq 0$ , we have  $E(\mathbb{F}_3) \simeq C_4$ .

4. Let E and E' be two elliptic curves over a field K given by Weierstrass equations. Show that if the elliptic curves E and E' are isomorphic, then so are the groups E(K) and E'(K).

Solution. By Proposition 1(ii) we may assume E and E' are related by a linear change of coordinates. Now a K-linear change of coordinates preserves K-rational points, lines, incidence, and tangency, and therefore preserves the definition of the group law on E(K). Hence it gives a group isomorphism  $E(K) \simeq E'(K)$ .

!5. Prove that for every positive integer  $N \equiv 5 \mod 8$ , the elliptic curve

$$y^2 = x^3 - N^2 x$$

has a rational point with a non-zero y-coordinate.

# 2 Elliptic Curves / $\mathbb C$

Recall that for an elliptic curve E we defined an operation on rational points geometrically via intersections of lines with E. Now an elliptic curve  $E/\mathbb{C}$  is supposed to be a torus (a genus 1 Riemann surface), and the standard construction of a complex torus as  $\mathbb{C}/\Lambda$  for a lattice  $\Lambda$  has an obvious group structure as a quotient of  $(\mathbb{C}, +)$ . How does this relate to the group structure given by line intersections?

**Proposition 5** (Recall from complex analysis). A function  $f: \mathbb{C} \to \mathbb{C}$  is meromorphic iff at every  $a \in \mathbb{C}$  it has a Laurent series expression

$$f(z) = \sum_{n=n_0}^{\infty} c_n (z-a)^n$$

where  $n_0 \in \mathbb{Z}$  and  $c_{n_0} \neq 0$  unless  $f(z) \equiv 0$ . We write

$$\operatorname{ord}_a f = n_0 \quad and \quad \operatorname{res}_a f = c_{-1}.$$

**Definition.** A lattice  $\Lambda \subseteq \mathbb{C}$  is a discrete rank 2 subgroup of  $(\mathbb{C}, +)$ . Say

$$\Lambda = \mathbb{Z}\omega_1 \oplus \mathbb{Z}\omega_2.$$

The parallelogram spanned by  $\omega_1$  and  $\omega_2$  is the fundamental parallelogram, denoted  $\Pi$ .

*Idea:* Curves are essentially degree 1 transcendental extensions of  $\mathbb{C}$ , and the Riemann surface  $\mathbb{C}/\Lambda$  has a field of meromorphic functions, so we check if that field has transcendence degree 1 over  $\mathbb{C}$ .

**Definition.** An elliptic function (w.r.t.  $\Lambda$ ) is a meromorphic function f on  $\mathbb{C}$  such that f(z+w)=f(z) for all  $w\in\Lambda$ . In other words, a doubly-periodic meromorphic function.

**Remark.** These are precisely the meromorphic functions on the Riemann surface  $X = \mathbb{C}/\Lambda$ . They form a field, since we allow poles, denoted  $\mathbb{C}(X)$ .

**Lemma 6.** Suppose f is a non-zero elliptic function.

- (i) If f is analytic, then f is constant.
- (ii) We have  $\operatorname{ord}_a f \neq 0$  at only finitely many  $a \in \mathbb{C}/\Lambda$ .
- (iii)  $\sum_{a \in \mathbb{C}/\Lambda} \operatorname{res}_a f = 0$ .
- (iv)  $\sum_{a \in \mathbb{C}/\Lambda} \operatorname{ord}_a f = 0$ .
- (v)  $\sum_{a \in \mathbb{C}/\Lambda} \operatorname{ord}_a f \cdot a \in \Lambda$ .

*Proof.* (i) If f is analytic then f is continuous, and hence bounded on  $\Pi$  since  $\Pi$  is compact. By periodicity f is bounded on  $\mathbb{C}$ , and hence constant by Liouville's theorem.

- (ii) Otherwise, we have an accumulation point in  $\Pi$  either of zeros or of poles. In the former case f = 0 by the identity theorem, and in the latter case the limit point is an essential singularity. (One can also reduce to only one of these cases by considering 1/f.)
- (iii) After translating  $\Pi$  by some amount we can assume no zeros or poles like on  $\partial\Pi$ , since there are only finitely many. Then

$$\sum_{a \in \mathbb{C}/\Lambda} \operatorname{res}_a f = \frac{1}{2\pi i} \oint_{\partial \Pi} f(z) dz$$

by the residue theorem. The integral splits up into four parts

$$\oint_{\partial\Pi} = \left[ \int_0^{\omega_1} + \int_{\omega_1 + \omega_2}^{\omega_2} \right] + \left[ \int_{\omega_2}^0 + \int_{\omega_1}^{\omega_1 + \omega_2} \right],$$

but since the integrand is doubly periodic we have

$$\int_0^{\omega_1} = -\int_{\omega_1 + \omega_2}^{\omega_2} \quad \text{and} \quad \int_{\omega_2}^0 = -\int_{\omega_1}^{\omega_1 + \omega_2},$$

so the result is zero.

- (iv) Apply (iii) to f'(z)/f(z), whose residues are the orders of f(z) by local Taylor expansion.
- (v) Exercise. (Use zf'(z)/f(z).)

We are prompted to ask, are there any non-constant elliptic functions? From above they must have at least two poles, or a double pole. The answer is yes, via (almost) the most obvious construction.

**Definition.** The Weierstrass  $\wp$ -function (w.r.t.  $\Lambda$ ) is given by

$$\wp(z) = \wp_{\Lambda}(z) = \frac{1}{z^2} + \sum_{w \in \Lambda \setminus \{0\}} \left( \frac{1}{(z-w)^2} - \frac{1}{w^2} \right).$$

**Exercise.** The sum  $\sum_{w \in \Lambda \setminus \{0\}} \frac{1}{|w|^{\alpha}}$  converges iff  $\alpha > 2$ .

**Proposition 7.** The expression for  $\wp(z)$  converges locally uniformly to an elliptic function analytic on  $\mathbb{C} \setminus \Lambda$  with doubles poles on  $\Lambda$ .

*Proof.* If 2|z| < |w|, then

$$\left|\frac{1}{(z-w)^2} - \frac{1}{w^2}\right| = \left|\frac{z(2w-z)}{w^2(z-w)^2}\right| \leq \frac{\frac{5}{2}|zw|}{\frac{1}{4}|w|^4} = 10\frac{|z|}{|w|^3}.$$

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Hence

$$\sum_{|w|>2|z|} \left| \frac{1}{(z-w)^2} - \frac{1}{w^2} \right| \le 10|z| \sum_{w \in \Lambda \backslash \{0\}} \frac{1}{|w|^3},$$

which is a finite constant multiple of |z| by the exercise above. Therefore the series converges locally uniformly absolutely on  $\mathbb{C} \setminus \Lambda$ , and the limit is an analytic function on  $\mathbb{C} \setminus \Lambda$ . Clearly it has double poles on  $\Lambda$ . To see that  $\wp$  is elliptic, note that

$$\wp'(z) = -2\sum_{w \in \Lambda} \frac{1}{(z-w)^3}$$

which is clearly elliptic, so  $\wp(z+w)-\wp(z)=C(w)$  is a constant depending on  $w\in\Lambda$ . But we can see that  $\wp(z)=\wp(-z)$  by definition, so  $\wp(-w/2)=\wp(w/2)=\wp(-w/2+w)$ , so C(w)=0.

### Lemma 8.

- (i)  $\wp(z)$  is even.
- (ii)  $\wp'(z)$  is odd.
- (iii)  $\wp(z) \wp(\alpha)$  has a double pole at  $0 + \Lambda$ , simple zeros at  $\pm \alpha + \Lambda$  (or a double zero if  $2\alpha \in \Lambda$ ), and no other zeros or poles.

*Proof.* (i) was noted above. (ii) follows immediately. For (iii) the statement about poles is clear, and the statement about zeros follows by counting using Lemma 6(iv).

**Theorem 9.** Let  $\Lambda \subseteq \mathbb{C}$  be a lattice, and set  $X = \mathbb{C}/\Lambda$ .

- (i)  $\mathbb{C}(X) = \mathbb{C}(\wp(z), \wp'(z)).$
- (ii) Every even elliptic function lies in  $\mathbb{C}(\wp(z))$ .

*Proof.* For (ii), suppose an even elliptic function f(z) has zeros/poles away from  $\Lambda$  at  $\pm z_i \notin \Lambda$ , with  $\operatorname{ord}_{\pm z_i} f = n_i$ . (If  $2z_i \in \Lambda$  take  $n_i = \frac{1}{2}\operatorname{ord}_{z_i} f$ .) Consider

$$\tilde{f}(z) = \prod_{i} (\wp(z) - \wp(z_i))^{n_i} \in \mathbb{C}(\wp(z)).$$

Then  $f(z)/\tilde{f}(z)$  has no zeros/poles except possibly on  $\Lambda$  by Lemma 8(iii). By Lemma 6(iv) then  $f(z)/\tilde{f}(z)$  has no zeros/poles at all, and hence is constant. Therefore  $f(z) \in \mathbb{C}(\wp(z))$ . For (i), write an arbitrary elliptic function f(z) as a sum

$$f(z) = \frac{f(z) + f(-z)}{2} + \frac{f(z) - f(-z)}{2}$$

of an even and an odd elliptic function. Since an odd function is an even multiple of the odd function  $\wp'(z)$ , we are done by (ii). In fact we see that  $\mathbb{C}(\wp(z),\wp'(z))$  is a quadratic extension of  $\mathbb{C}(\wp(z))$ .

**Definition.** We define

$$G_{2k} = G_{2k}(\Lambda) = \sum_{w \in \Lambda \setminus \{0\}} \frac{1}{w^{2k}} \in \mathbb{C}$$

for  $k \geq 2$ . This is known as the *Eisenstein series* of weight 2k.

**Remark.** This is a two-dimensional version of the special values  $\zeta(2k)$  for  $k \geq 1$ .

**Lemma 10.** The Taylor series expansion around z = 0 of  $\wp(z)$  is

$$\wp(z) = \frac{1}{z^2} + \sum_{k=1}^{\infty} (2k+1)G_{2k+2}z^{2k}.$$

*Proof.* We have

$$\frac{1}{(z-w)^2} - \frac{1}{w^2} = \frac{1}{w^2} \cdot \frac{1}{\left(1 - \frac{z}{w}\right)^2 - 1} = \frac{1}{w^2} \sum_{k=1}^{\infty} \frac{2k+1}{w^{2k}} z^{2k}.$$

Summing over w gives the result.

## Lemma 11. We have the equation

$$\frac{1}{4}\wp'(z)^2 = \wp(z)^3 - 15G_4\wp(z) - 35G_6.$$

*Proof.* From the Taylor expansions

$$\wp(z) = \frac{1}{z^2} + 3G_4 z^2 + 5G_6 z^4 + \cdots$$

$$\wp(z)^3 = \frac{1}{z^6} + \frac{9G_4}{z^2} + 15G_6 + \cdots$$

$$\frac{1}{4}\wp'(z)^2 = \frac{1}{z^6} - \frac{6G_4}{z^2} - 20G_6 + \cdots$$

we see that the difference between the two sides of the equation is analytic and vanishes at the origin, whence it is identically zero.  $\Box$ 

**Theorem 12.** Let  $\Lambda \subseteq \mathbb{C}$  be a lattice. Then

$$E_{\Lambda}: y^2 = x^3 - 15G_4x - 35G_6$$

defines an elliptic curve over  $\mathbb{C}$ , i.e. it is non-singular, and

$$\varphi(z) = (\wp(z), \frac{1}{2}\wp'(z))$$
  $\wp(0) = \mathcal{O}$ 

is an isomorphism of groups  $\mathbb{C}/\Lambda \to E_{\Lambda}(\mathbb{C})$ .

*Proof.* •  $\varphi$  is well-defined by Lemma 11 and periodicity of  $\wp$ .

•  $\varphi$  is bijective: if  $(x_0, y_0) \in E_{\Lambda}(\mathbb{C})$ , then  $\wp(z) - x_0$  has one double pole, and therefore two zeros  $\pm \alpha$ , giving

$$\{\varphi(\alpha), \varphi(-\alpha)\} = \{(x_0, y_0), (x_0, -y_0)\}.$$

Hence  $\varphi$  is a bijection.

- $E_{\Lambda}$  is non-singular: as  $\wp'(z)$  is odd, it vanishes at the three points  $\tau$  of order 2 in  $\mathbb{C}/\Lambda$ , and hence the roots of the cubic in x are the images  $\wp(\omega_1/2)$ ,  $\wp(\omega_2/2)$ ,  $\wp((\omega_1 + \omega_2)/2)$  of these points. These roots are distinct, since  $\wp(z) \wp(\tau)$  has a double root at  $\tau$  and hence no other zeros.
- $\varphi$  is a group homomorphism:
  - $-\varphi(0)=\mathcal{O}.$
  - $-\varphi(-\alpha)$  and  $\varphi(\alpha)$  lie on a vertical line since  $\wp(z)$  is even.
  - Suppose  $P_1 \oplus P_2 = \ominus P_3$ , with  $\{P_i\}$  lying on the line

$$\lambda y + \mu x + \nu = 0.$$

Writing  $P_i = \varphi(\alpha_i)$ , the elliptic function  $\frac{\lambda}{2}\wp'(z) + \mu\wp(z) + \nu$  vanishes at each  $\alpha_i$ , and has a triple pole on  $\Lambda$ . By Lemma 6(v) we therefore have  $\alpha_1 + \alpha_2 + \alpha_3 = 0$ .

**Remark.** The result shows that  $(E_{\Lambda}(\mathbb{C}), \oplus)$  is indeed a group.

**Theorem 13** (Uniformization Theorem). Every elliptic curve over  $\mathbb{C}$  is isomorphic to  $E_{\Lambda}$  for some  $\Lambda$ .

Proof. Beyond the scope of the course.

Corollary 14. Let K be a number field, and E/K an elliptic curve. Then  $E(K)[n] \leq \mathbb{Z}/n\mathbb{Z} \oplus \mathbb{Z}/n\mathbb{Z}$ .

Here  $A[n] = \{a \in A : na = 0\}$  denotes the *n*-torsion subgroup of an abelian group A.

*Proof.* By the uniformization theorem we have  $E(K) \leq E(\mathbb{C}) \simeq E_{\Lambda}(\mathbb{C}) \simeq \mathbb{C}/\Lambda$  for some  $\Lambda$ . But by inspection  $(\mathbb{C}/\Lambda)[n] \simeq \mathbb{Z}/n\mathbb{Z} \oplus \mathbb{Z}/n\mathbb{Z}$ .

**Corollary 15.** Let  $E: y^2 + a_1xy + a_3y = x^3 + a_2x^2 + a_4x + a_6$  be an elliptic curve over a field of characteristic 0. Then  $(E(K), \oplus)$  is a group.

Note that K may have cardinality too large to embed into  $\mathbb{C}$ .

Proof. This is an example of the "Lefschetz principle". All group axioms apart from associativity are easy. Suppose  $P_i = (x_i, y_i) \in E(K)$  for i = 1, 2, 3. Define the field  $F = \mathbb{Q}(a_1, a_2, a_3, a_4, a_6, x_1, y_1, x_2, y_2, x_3, y_3)$ , which embeds into  $\mathbb{C}$  as a finitely generated  $\mathbb{Q}$ -extension. We may then view E over  $\mathbb{C}$  via this embedding, and  $P_i \in E(F) \leq E(\mathbb{C})$  where  $(P_1 \oplus P_2) \oplus P_3 = P_1 \oplus (P_2 \oplus P_3)$  by the previous result.  $\square$ 

## Exercise Sheet 2

+1. Let  $E/\mathbb{C}$  be an elliptic curve given by

$$y^2 = x^3 + Ax + B,$$

and let  $m \ge 1$  be an integer. Use the uniformization theorem to show that there are rational functions f, g such that for every  $P = (x_1, y_1) \in E(\mathbb{C})$ , the point mP is given by  $(f(x_1), y_1g(x_1))$ .

Solution. By the uniformization theorem  $E \simeq E_{\Lambda}$  for some  $\Lambda$ , and by Proposition 2(ii) there is an isomorphism given by a linear change of coordinates of the form

$$x = u^2x' + r$$
,  $y = u^3y' + u^2sx' + t$ .

Since  $E_{\Lambda}$  has no xy and no y term we have s=t=0, and so this change of coordinates preserves functions of the desired form (f(x), yg(x)). Hence we may assume  $E=E_{\Lambda}$ , where there is the group isomorphism  $\mathbb{C}/\Lambda \to E_{\Lambda}(\mathbb{C})$  given by  $z+\Lambda \mapsto (\wp(z), \frac{1}{2}\wp'(z))$ . Then if  $(x_1, y_1) = (\wp(z), \frac{1}{2}\wp'(z))$ , the coordinates of mP are  $(\wp(mz), \frac{1}{2}\wp'(mz))$ , and it suffices to note that  $\wp(mz)$  and  $\wp'(mz)/\wp'(z)$  are even elliptic functions, hence given by rational functions f, g of  $\wp(z)$ .

+2. Let  $\Lambda$  be a lattice in  $\mathbb{C}$  and f an elliptic function with respect to  $\Lambda$ . Prove that  $\sum_{z \in \mathbb{C}/\Lambda} (z \cdot \operatorname{ord}_z f)$  is an element of  $\Lambda$ . (*Hint: Integrate*  $\frac{zf'(z)}{z}$  over the boundary of the fundamental parallelogram and don't be afraid of logs.)

Solution. Assuming f is non-zero it has finitely many zeros/poles, so we may translate it so that none lie on the boundary of the fundamental parallelogram  $\Pi$ . Then by the residue theorem

$$\frac{1}{2\pi i} \oint_{\partial \Pi} \frac{zf'(z)}{f(z)} dz = \sum_{a \in \Pi} \operatorname{res}_a \frac{zf'(z)}{f(z)} = \sum_{a \in \Pi} \left( a \cdot \operatorname{res}_a \frac{f'(z)}{f(z)} \right) = \sum_{a \in \Pi} (a \cdot \operatorname{ord}_a f).$$

Now if  $\Lambda$  is generated by  $\omega_1, \omega_2$ , then

$$\oint_{\partial\Pi} \frac{zf'(z)}{f(z)} dz = \int_0^{\omega_1} \left[ \frac{zf'(z)}{f(z)} - \frac{(z + \omega_2)f'(z + \omega_2)}{f(z + \omega_2)} \right] dz 
+ \int_0^{\omega_2} \left[ \frac{(z + \omega_1)f'(z + \omega_1)}{f(z + \omega_1)} - \frac{zf'(z)}{f(z)} \right] dz 
= -\omega_2 \int_0^{\omega_1} \frac{f'(z)}{f(z)} dz + \omega_1 \int_0^{\omega_2} \frac{f'(z)}{f(z)} dz.$$

But the integral

$$\int_0^{\omega_i} \frac{f'(z)}{f(z)} dz = \int_0^{\omega_i} d\log f(z)$$

is the increment of the analytic continuation of the logarithm along  $f([0,\omega_i])$ ; a loop based at  $f(\omega_i) = f(0)$ . This is an integer multiple of  $2\pi i$ , so  $\frac{1}{2\pi i} \oint_{\partial \Pi} \frac{zf'(z)}{f(z)} dz \in \mathbb{Z}\omega_2 + \mathbb{Z}\omega_1 = \Lambda$ .

3. Let E/K be an elliptic curve over a field of characteristic zero. Prove that

$$E(\bar{K})[n] \simeq \mathbb{Z}/n\mathbb{Z} \oplus \mathbb{Z}/n\mathbb{Z}$$
.

Solution. By a change of coordinates we may assume  $E: y^2 = x^3 + Ax + B$  for some  $A, B \in K$ . The map  $P \mapsto nP$  on E(L) for any L/K is given by  $(x,y) \mapsto (p(x,y),q(x,y))$  for some  $p,q \in K(x,y)$ . Let  $F/\mathbb{Q}$  be the extension generated by A, B, and the coefficients of p and q. Then F embeds into  $\mathbb{C}$ , and we may view E/F. From exercise 1 we have that p(x,y) and q(x,y)/y lie in  $F(x,y) \cap \mathbb{C}(x) = F(x)$ ; say  $p(x,y) = P_1(x)/P_2(x)$  and  $q(x,y) = yQ_1(x)/Q_2(x)$  where  $P_i, Q_i \in F[x]$ . Then

$$E(\bar{K})[n] = \{(x,y) \in E(\bar{K}) : Q_2(x) = 0, y = 1/(Q_1(x)P_2(x))\} \cup \{\mathcal{O}\}\$$

is finite since  $Q_2(x)$  has finitely many roots, so we may assume F also contains the coordinates of all the points in  $E(\bar{K})[n]$ , meaning  $E(\bar{F})[n] = E(\bar{K})[n]$ . Now

$$E(\mathbb{C})[n] = \{(x,y) \in E(\mathbb{C}) : Q_2(x) = 0, y = 1/(Q_1(x)P_2(x))\} \cup \{\mathcal{O}\}$$

consists of points whose coordinates are algebraic over F, so since  $\bar{F}$  embeds into  $\mathbb{C}$  we must have  $E(\bar{F})[n] \simeq E(\mathbb{C})[n]$ . Hence

$$E(\bar{K})[n] = E(\bar{F})[n] \simeq E(\mathbb{C})[n] \simeq \mathbb{Z}/n\mathbb{Z} \oplus \mathbb{Z}/n\mathbb{Z}$$

by the uniformization theorem.

4. Let E be an elliptic curve over  $\mathbb{F}_p$  given by a Weierstrass equation. Prove that the operation  $\oplus$  is associative. (Hint: Write E as the reduction mod p of a suitable elliptic curve with coefficients in  $\mathbb{Z}_p$ . You'll need Hensel's Lemma to lift points from  $\mathbb{F}_p$  to  $\mathbb{Z}_p$ .)

Solution. Firstly, note that the associativity equation  $P \oplus (Q \oplus R) = (P \oplus Q) \oplus R$  for an elliptic curve is easily seen to be true in the following cases:

- If  $P = \mathcal{O}$ ,  $Q = \mathcal{O}$ , or  $R = \mathcal{O}$ .
- If  $P \oplus (Q \oplus R) = \mathcal{O}$  or  $(P \oplus Q) \oplus R = \mathcal{O}$ , since these both happen iff P, Q, R are collinear.
- If  $P \oplus Q = \mathcal{O}$  or  $Q \oplus R = \mathcal{O}$ , since  $(-P) \oplus (P \oplus Q) = Q$ : the points  $P, Q, -(P \oplus Q)$  are the intersections of a line with E, so the reflections  $-P, -Q, P \oplus Q$  are also. (For a Weierstrass equation negation  $(x, y) \mapsto (x, -y a_1x a_3)$  is linear and so sends lines to lines.)

Now suppose

$$y^2 + a_1xy + a_3y = x^3 + a_2x^2 + a_4x + a_6$$

is an equation over  $\mathbb{Z}_p$  reducing to E modulo p which defines an elliptic curve  $\tilde{E}/\mathbb{Q}_p$ . One exists by completing the square and applying Proposition 2(iii); the discriminant cannot vanish for the infinitely many possible lifts of the coefficients. Since  $\mathbb{Q}_p$  embeds into  $\mathbb{C}$ , we see that  $\oplus$  is associative on  $\tilde{E}(\mathbb{Q}_p)$  by the uniformization theorem.

If  $P \in \tilde{E}(\mathbb{Z}_p)$ , write  $\bar{P} \in E(\mathbb{F}_p)$  for the reduction modulo p. Since E is non-singular, for every point in  $E(\mathbb{F}_p)$  one coordinate when fixed leaves the other as a simple root of the defining equation. By Hensel's lemma simple roots can be lifted to  $\mathbb{Z}_p$ , so all points of  $E(\mathbb{F}_p)$  are of the form  $\bar{P}$ .

Claim: If  $P, Q \in \tilde{E}(\mathbb{Z}_p)$  with  $\bar{P} \oplus \bar{Q} \neq \mathcal{O}$ , then  $P \oplus Q \in \tilde{E}(\mathbb{Z}_p)$  and  $\overline{P \oplus Q} = \bar{P} \oplus \bar{Q}$ .

Since we dealt with the case of vanishing sums earlier, this proves associativity on  $E(\mathbb{F}_p)$ :

$$\bar{P} \oplus (\bar{Q} \oplus \bar{R}) = \bar{P} \oplus \overline{Q \oplus R} = \overline{P \oplus Q \oplus R} = \overline{P \oplus Q} \oplus \bar{R} = (\bar{P} \oplus \bar{Q}) \oplus \bar{R}.$$

**Proof of claim:** Suppose  $P=(x_1,y_1),\ Q=(x_2,y_2),\ \text{and}\ P\oplus Q=(x_3,y_3).$  Write  $s=\frac{y_2-y_1}{x_2-x_1}\in\mathbb{Z}_p,$  where  $x_2-x_1$  is invertible in  $\mathbb{Z}_p$  since  $\bar{P}\oplus\bar{Q}\neq\mathcal{O}$ . We have a monic cubic

$$(y_1 + s(x - x_1))^2 + (a_1x + a_3)(y_1 + s(x - x_1)) = x^3 + a_2x^2 + a_4x + a_6$$

in x over  $\mathbb{Z}_p$ , whose roots in  $\mathbb{Q}_p$  are  $x_1, x_2, x_3$ , and whose roots upon reduction to  $\mathbb{F}_p$  are the x-coordinates of  $\bar{P}, \bar{Q}, \bar{P} \oplus \bar{Q}$ . Factoring out  $(x - x_1)(x - x_2)$  we see that  $x_3 \in \mathbb{Z}_p$ , since the equation is monic, and reducing to  $\mathbb{F}_p$  we see that  $x_3$  lifts the x-coordinate of  $\bar{P} \oplus \bar{Q}$ . Similarly, factoring out the root  $y_1 + s(x_1 - x_3)$  of the monic quadratic

$$y^2 + a_1 x_3 y + a_3 y = x_3^3 + a_2 x_3^2 + a_4 x_3 + a_6$$

in y over  $\mathbb{Z}_p$ , we see that  $y_3 \in \mathbb{Z}_p$  lifts the y-coordinate of  $\bar{P} \oplus \bar{Q}$ .

!5. Fix an elliptic curve  $E/\mathbb{Q}$  given by  $y^2 = x^3 + Ax + B$  and consider the family of its "quadratic twists",

$$d \cdot y^2 = x^3 + Ax + B,$$

where d runs over all square-free integers (ordered by absolute value). Show that 50% of the elliptic curves in this family have an infinite number of rational points.

# 3 Heights

**Definition.** For  $\alpha = p/q \in \mathbb{Q}$  with p and q coprime, define the height of  $\alpha$ 

$$H(\alpha) = H_{\mathbb{O}}(\alpha) = \max\{|p|, |q|\},\$$

and the logarithmic height of  $\alpha$ 

$$h(\alpha) = \log H(\alpha).$$

**Notation.** For a rational function  $f(x) = P(x)/Q(x) \in K(x)$  over a field K where  $P(x), Q(x) \in K[x]$  have no common factor, we define the degree of f to be max $\{\deg P, \deg Q\}$ .

## Proposition 16.

- (i)  $h(\alpha) \geq 0$  for all  $\alpha \in \mathbb{Q}$ .
- (ii)  $h(\alpha) = 0$  iff  $\alpha \in \{0, 1, -1\}$ .
- (iii)  $h(\alpha^d) = d \cdot h(\alpha)$  for each  $d \ge 1$ .
- (iv) If  $f(x) = (a_n x^n + \dots + a_0)/(b_m x^m + \dots + b_0) \in \mathbb{Q}(x)$  has degree d, then  $h(f(\alpha)) = d \cdot h(\alpha) + O(1)$ , i.e. there is a constant c such that

$$d \cdot h(\alpha) - c \le h(f(\alpha)) \le d \cdot h(\alpha) + c$$

for all  $\alpha \in \mathbb{Q}$ .

(v) The set  $\{\alpha \in \mathbb{Q} : h(\alpha) < c\}$  is finite for each c > 0.

*Proof.* All except (iv) are clear. For (iv), we may assume without loss of generality that  $n \geq m$ , otherwise considering 1/f(x), and that  $a_i, b_i \in \mathbb{Z}$ . Write

$$f(S/T) = \frac{a_n S^n + \dots + a_0 T^n}{(b_m S^m + \dots + b_0 T^m) T^{n-m}} = \frac{A(S, T)}{B(S, T)},$$

with A(S,1) and B(S,1) coprime in  $\mathbb{Q}[S]$ . Then for  $\alpha=p/q$ , we have

$$|a_n p^n + \dots + a_0 q^n| \le (n+1) \max\{|a_i|\} \max\{|p|, |q|\}^n$$

and

$$|(b_m p^m + \dots + b_0 q^m) q^{n-m}| \le (m+1) \max\{|b_j|\} \max\{|p|, |q|\}^n,$$

so  $H(f(\alpha)) \leq c_1 H(\alpha)^n$  where  $c_1 = (n+1) \max(\{|a_i|\} \cup \{|b_j|\})$ . On the other hand, since A(S,1) and B(S,1) are coprime in  $\mathbb{Q}[S]$  we have some  $\phi(S), \psi(S) \in \mathbb{Z}[S]$  with

$$A(S,1)\phi(S) + B(S,1)\psi(S) = d_1 \in \mathbb{Z}_{>0}.$$

By homogenizing terms, we get some  $\tilde{\phi}(S,T), \tilde{\psi}(S,T) \in \mathbb{Z}[S,T]$  homogeneous of degree N-n with

$$A(S,T)\tilde{\phi}(S,T) + B(S,T)\tilde{\psi}(S,T) = d_1T^N$$

for a sufficiently large N > n. We also have that A(1,T) and B(1,T) are coprime in  $\mathbb{Q}[T]$ , and so for N large enough we also get  $\tilde{\phi}'(S,T), \tilde{\psi}'(S,T) \in \mathbb{Z}[S,T]$  homogeneous of degree N-n with

$$A(S,T)\tilde{\phi}'(S,T) + B(S,T)\tilde{\psi}'(S,T) = d_2S^N,$$

where  $d_2 \in \mathbb{Z}_{>0}$ . Now  $\gcd\{A(p,q), B(p,q)\}$  divides  $d_1p^N$  and  $d_2q^N$ , and hence also  $d_1d_2$  since p and q are coprime. Then we have

$$H(f(\alpha)) \ge \frac{1}{d_1 d_2} \max\{|A(p,q)|, |B(p,q)|\},$$

and

$$\begin{aligned} d_1|p|^N &\leq |A(p,q)||\tilde{\phi}(p,q)| + |B(p,q)||\tilde{\psi}(p,q)| \\ &\leq 2g_1 \max\{|A(p,q)|, |B(p,q)|\} \max\{|p|, |q|\}^{N-n} \end{aligned}$$

where  $g_1$  is the maximal size of the coefficients in  $\tilde{\phi}(S,T)$  and  $\tilde{\psi}(S,T)$  multiplied by the number of monomials in both. Similarly

$$d_2|q|^N \le 2g_2 \max\{|A(p,q)|, |B(p,q)|\} \max\{|p|, |q|\}^{N-n}$$

for some constant  $g_2$ , and hence  $H(f(\alpha)) \geq c_2 H(\alpha)^n$  where  $c_2 = \frac{1}{2 \max\{q_1 d_2, q_2 d_1\}}$ .

**Definition.** Let  $E: y^2 = x^3 + Ax + B$  be an elliptic curve over  $\mathbb{Q}$ , and suppose  $P = (x_0, y_0) \in E(\mathbb{Q})$ . The naive height of P is  $h_E(P) = h_{\mathbb{Q}}(x_0)$ . (If  $P = \mathcal{O}$  then  $h_E(P) = 0$ .)

**Remark.** For all c > 0, the set  $\{P \in E(\mathbb{Q}) : h_E(P) < c\}$  is finite by Proposition 16(v).

**Lemma 17.** For  $m \ge 1$  we have

$$h_E(mP) = m^2 h_E(P) + O(1),$$

i.e. there is a constant c such that

$$m^2 h_E(P) - c \le h_E(mP) \le m^2 h_E(P) + c$$

for all  $P \in E(\mathbb{Q})$ .

*Proof.* This follows from Proposition 16, and the following lemma.

**Lemma 18.** For each  $m \ge 1$  there is an  $f(x) \in \mathbb{Q}(x)$  of degree  $m^2$  such that if  $P = (x_0, y_0) \in E(\mathbb{Q})$  then  $mP = (f(x_0), \cdots)$ .

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*Proof.* Applying the group law m times gives  $\mathcal{X}_m(x,y)$ ,  $\mathcal{Y}_m(x,y) \in \mathbb{Q}(x,y)$  such that for  $P = (x_0,y_0)$  we have  $mP = (\mathcal{X}_m(x_0,y_0), \mathcal{Y}_m(x_0,y_0))$ . Now since  $y^2 = x^3 + Ax + B$  on E, and 1,y is a basis for  $\mathbb{Q}(x,y)$  over  $\mathbb{Q}(x,y^2)$ , we can assume

$$\mathcal{X}_m(x,y) = g_1(x) + yg_2(x)$$

for some  $g_1(x), g_2(x) \in \mathbb{Q}(x)$ . By the uniformization theorem we have  $E_{\mathbb{C}} \simeq E_{\Lambda}$  for some  $\Lambda$ , and by Proposition 2(ii) this isomorphism is given by a linear change of variables which due to the form of our equations preserves the given condition on  $\mathcal{X}_m$  and  $\mathcal{Y}_m$ . Hence we may assume  $E = E_{\Lambda}$ , where we have

$$P = (x_0, y_0) = (\wp(z), \frac{1}{2}\wp'(z))$$

and

$$mP = (\mathcal{X}_m(x_0, y_0), \mathcal{Y}_m(x_0, y_0)) = (\wp(mz), \frac{1}{2}\wp'(mz))$$

according to the isomorphism with  $\mathbb{C}/\Lambda$ . Then  $\wp(mz) = g_1(\wp(z)) + \frac{1}{2}\wp'(z)g_2(\wp(z))$ , and since  $\wp(mz)$  is even we must have  $g_2(x) = 0$ . Writing

$$g_1(\wp(z)) = \frac{\prod_i (\wp(z) - \alpha_i)}{\prod_i (\wp(z) - \beta_i)}$$

we see that  $g_1(\wp(z))$  has  $2 \deg g_1$  poles; each factor in the denominator has two zeros by Lemma 8, and excess of factors in the numerator contributes multiples of the double pole of  $\wp(z)$ . As  $\wp(mz)$  has  $m^2$  double poles, we must have  $\deg g_1 = m^2$ .

**Theorem 19** (Parallelogram Law). Let  $E: y^2 = x^3 + Ax + B$  be an elliptic curve over  $\mathbb{Q}$ . Then for  $P, Q \in E(\mathbb{Q})$  we have

$$h(P \oplus Q) + h(P \ominus Q) = 2h(P) + 2h(Q) + O(1).$$

*Proof.* By applying the transformation  $P = P' \oplus Q'$ ,  $Q = P' \oplus Q'$  it suffices to prove the claim with  $\leq$  in place of =. The proof is omitted.

**Theorem 20.** Let  $E: y^2 = x^3 + Ax + B$  be an elliptic curve over  $\mathbb{Q}$ . There is a unique function  $\hat{h}: E(\mathbb{Q}) \to \mathbb{R}$ , called the canonical height, such that:

- (i)  $\hat{h}(P) = h(P) + O(1)$ , and
- (ii)  $\hat{h}(mP) = m^2 \hat{h}(P)$  for each  $P \in E(\mathbb{Q})$ .

Moreover, it satisfies:

- (iv) For each c > 0 the set  $\{P \in E(\mathbb{Q}) : \hat{h}(P) < c\}$  is finite.
- (v) The parallelogram law for  $\hat{h}$  is satisfied exactly.
- (vi) We have  $\hat{h}(P) \geq 0$ , with equality iff P has finite order.
- (vii) The height pairing  $\langle P, Q \rangle = \hat{h}(P \oplus Q) \hat{h}(P) \hat{h}(Q)$  is bilinear.

*Proof.* Suppose  $\hat{h}$  and  $\hat{h}'$  satisfy (i) and (ii). If  $\hat{h}(P) \neq \hat{h}'(P)$  for some  $P \in E(\mathbb{Q})$ , then by (ii) with m = 2 we have

$$\hat{h}(2^n P) - \hat{h}'(2^n P) = 4^n \hat{h}(P) - \hat{h}'(P).$$

This is unbounded as n varies, contradicting the fact that  $\hat{h}(P) - \hat{h}'(P) = O(1)$  from (i). To prove existence, define  $\hat{h}(P) = \lim_{n \to \infty} \frac{1}{4^n} h(2^n P)$ . By the parallelogram law  $h(2^n P) = 4^n h(P) + O(1)$ , which shows that the limit converges and  $\hat{h}(P) = h(P) + O(1)$ . By construction (ii) holds for m = 2. Now for a given  $k \ge 1$ , define

$$\hat{h}'(P) = \frac{1}{k^2}\hat{h}(kP).$$

This satisfies (i), and (ii) with m=2. Since the proof of uniqueness only used the m=2 case of (ii) we have  $\hat{h}'=\hat{h}$ , proving (ii) for  $\hat{h}$  with m=k. As k was arbitrary this proves (ii). The properties (iv) and (v) are clear from (i) and the corresponding facts about the naive height, while (vii) is a consequence of the parallelogram law. For (vi) the inequality is clear, and if  $\hat{h}(P)=0$  then h(mP) is bounded as m varies by (i) and (ii), so  $\{mP: m \geq 1\}$  is a finite set.

**Corollary 21.** Elliptic curves over  $\mathbb{Q}$  have only finitely many points of finite order.

**Lemma 22.** Let A be a countable abelian group with no elements of finite order, and  $h: A \to \mathbb{R}$  a positive-definite quadratic form such that

$$\{ p \in \mathcal{A} : h(p) < c \}$$

is finite for each c > 0. Then  $A \simeq \mathbb{Z}^{\oplus n}$  for some  $n \in \mathbb{N}$  or  $A \simeq \mathbb{Z}^{\oplus \mathbb{N}}$ .

**Theorem 23.** Let  $E/\mathbb{Q}$  be an elliptic curve. Then  $E(\mathbb{Q}) \simeq \Delta \times \mathbb{Z}^n$  or  $\Delta \times \mathbb{Z}^{\mathbb{N}}$  for some finite group  $\Delta$ .

*Proof.* If  $\Delta$  is the torsion subgroup of  $E(\mathbb{Q})$ , then by Corollary 21 it is a finite group, and by Theorem 20 the canonical height is a positive-definite quadratic form on  $E(\mathbb{Q})/\Delta$ , so we can apply Lemma 22.

#### Lemma 24.

- (i)  $P \in E(\mathbb{Q})$  has infinite order iff  $\hat{h}(P) \neq 0$ .
- (ii)  $P_1, \ldots, P_n \in E(\mathbb{Q})$  are linearly independent iff  $\det(\langle P_i, P_j \rangle) \neq 0$ .

*Proof.* This follows from Theorem 21.

## **Exercises**

+1. Let  $E/\mathbb{Q}$  be an elliptic curve given by  $E: y^2 = x^3 + Ax + B$ , and let  $P \in E(\mathbb{Q})$ . For  $n \geq 1$  write  $nP = (x_n, y_n)$ . Use the canonical height to prove that

$$h_{\mathbb{O}}(x_n) = n^2 a + O(1),$$

where the constant  $a \in \mathbb{R}$  and the error term O(1) may depend on E and P, but not on n.

Solution. We have

$$h_{\mathbb{Q}}(x_n) = \hat{h}(nP) + O(1) = n^2 \hat{h}(P) + O(1),$$

where the error term O(1) doesn't depend on the point nP, so  $a = \hat{h}(P)$  suffices.

+2. Let  $E/\mathbb{Q}$  be the elliptic curve given by  $y^2 = (x+1)(x+4)(x-5)$ . Assuming that its group of rational points is isomorphic to  $C_2 \times C_2 \times \mathbb{Z}$ , prove that it is generated by (-1,0), (5,0) and Q = (-3,4). You may assume that for this curve

$$-5.60 < h(P) - \hat{h}(P) < 1.58$$

for all  $P \in E(\mathbb{Q})$ , and may find it helpful to know that 10Q has x-coordinate

 $\frac{661822357518174342999917659646891158606732140305553705}{31166866709725719871202723091110962265223527659785616}$ 

(Hint: Find an upper bound on h(R) for the generator R of the copy of  $\mathbb{Z}$  in  $E(\mathbb{Q})$ .)

Solution. The two given points of order 2 must generate  $C_2 \times C_2$ . Let R denote a generator for the copy of  $\mathbb Z$  in  $E(\mathbb Q)$ , and suppose  $Q = P \oplus nR$  where P is torsion and  $n \in \mathbb Z$ . If |n| = 1 we are done, so assume  $|n| \geq 2$ . We have  $\hat{h}(Q) = \hat{h}(nR) = n^2 \hat{h}(R)$  since the bilinear height pairing satisfies  $2\langle P, Q \rangle = \langle 2P, Q \rangle = 0$ . Counting digits in the above fraction gives  $h(10Q) \leq 54 \log 10$ , so

$$\begin{split} h(R) &\leq 1.58 + \hat{h}(R) = 1.58 + \frac{\hat{h}(10Q)}{100n^2} \\ &\leq 1.58 + \frac{\hat{h}(10Q)}{400} \\ &\leq 1.58 + \frac{h(10Q) + 5.60}{400} \\ &\leq 1.58 + \frac{54 \log 10 + 5.60}{400}. \end{split}$$

Then  $H(R) \le \exp(1.58 + \frac{54 \log 10 + 5.60}{400}) \le 6.72$ , i.e.  $H(R) \le 6$ . Since rational points of E have either  $-4 \le x \le -1$  or  $x \ge 5$ , the possibilities for the x-coordinate of R are:

x	(x+1)(x+4)(x-5)	$\exists y$
+5/1	0	yes
+6/1	70	no
-1/1	0	yes
-2/1	14	no
-3/1	16	yes
-3/2	65/8	no
-4/1	0	yes
-4/3	152/27	no
-5/2	135/8	no
-5/3	280/27	no
-5/4	275/64	no
-6/5	434/125	no

The only non-torsion points from this list are  $\pm Q$ , contradicting  $|n| \geq 2$  as required.

3. Prove that the two definitions of height on  $\mathbb{Q}$  agree, i.e. that for  $x = \frac{n}{m}$  with  $n, m \in \mathbb{Z} \setminus \{0\}$  coprime,

$$\max(|n|,|m|) = \max(1,|x|) \cdot \prod_p \max(1,|x|_p) \qquad \text{where } |p^r \tfrac{a}{b}|_p = p^{-r} \text{ for } p \nmid a,b.$$

- 4. (i) Show that the number of rational points on an elliptic curve  $E: y^2 = x^3 + Ax + B$  of height up to X is asymptotically  $C \cdot X^{r/2}$ , where r is the rank of  $E/\mathbb{Q}$  and  $C \in \mathbb{R}$  is some constant.
  - (ii) Show that  $C = |E(\mathbb{Q})_{\text{tors}}| \cdot \frac{\pi^{r/2}}{\Gamma(\frac{r}{2}+1)} \cdot \frac{1}{\sqrt{\text{Reg}(E/\mathbb{Q})}}$ , where  $E(\mathbb{Q})_{\text{tors}}$  is the torsion subgroup of points of finite order of  $E(\mathbb{Q})$  and  $\text{Reg}(E/\mathbb{Q})$  is the regulator of  $E/\mathbb{Q}$ , defined as

$$\operatorname{Reg}(E/\mathbb{Q}) = \det \begin{pmatrix} \langle P_1, P_1 \rangle & \langle P_1, P_2 \rangle & \cdots & \langle P_1, P_r \rangle \\ \vdots & & & \vdots \\ \langle P_r, P_1 \rangle & \langle P_r, P_2 \rangle & \cdots & \langle P_r, P_r \rangle \end{pmatrix}$$

for any basis  $P_1, \ldots, P_r$  of  $E(\mathbb{Q})/E(\mathbb{Q})_{\text{tors}}$  and where  $\langle, \rangle$  is the height pairing. (The volume of an n-sphere of radius R is  $\frac{\pi^{n/2}}{\Gamma(\frac{n}{2}+1)}R^n$ .)

## !5. Either

- (i) Show that there are elliptic curves over  $\mathbb{Q}$  with arbitrarily large rank, or
- (ii) Show that ranks of elliptic curves over  $\mathbb{Q}$  are bounded.

# 4 Mordell-Weil Theorem

**Notation.** Let E/K be an elliptic curve, and F/K a field extension. For  $P=(x_0,y_0)\in E(F)$  write

$$K(P) = K(x_0, y_0).$$

If F/K is Galois, write

$$\sigma(P) = (\sigma(x_0), \sigma(y_0))$$

for  $\sigma \in \operatorname{Gal}(F/K)$ . Note that  $\sigma(P) \in E(F)$  since it satisfies the same equation with coefficients in K, and if  $P, Q \in E(F)$  then

$$\sigma(P \oplus Q) = \sigma(P) \oplus \sigma(Q)$$

since  $\sigma$  sends lines to lines.

**Lemma 25.** Let E/K be an elliptic curve with  $K \subseteq \mathbb{C}$ . Let  $P \in E(K)$  and  $n \in \mathbb{N}$ .

- (i) There are  $n^2$  points  $Q \in E(\mathbb{C})$  with nQ = P.
- (ii) K(Q) is algebraic over K.
- (iii) If  $E(K)[n] = \mathbb{Z}/n\mathbb{Z} \times \mathbb{Z}/n\mathbb{Z}$  then
  - $K(Q_1) = K(Q_2)$  for  $nQ_1 = nQ_2 = P$ .
  - K(Q)/K is Galois.
  - $Gal(K(Q)/K) \leq \mathbb{Z}/n\mathbb{Z} \times \mathbb{Z}/n\mathbb{Z}$ .

*Proof.* Without loss of generality  $E: y^2 = x^3 + Ax + B$  since char K = 0.

- (i) True by the uniformization theorem.
- (ii) Recall from Lemma 18 that if  $P = (x_0, y_0)$  then  $nP = (f(x_0), \cdots)$  for some  $f(x) \in K(x)$ . Since  $f(x_0) \in K$  we get that  $x_0$  is algebraic over K, and since  $y_0^2 = x_0^3 + Ax_0 + B$  we get that  $y_0$  is algebraic over K.
- (iii) We have

$$n(Q_1 \ominus Q_2) = nQ_1 \ominus nQ_2 = P \ominus P = \mathcal{O},$$

so  $Q_1 = Q_2 \oplus T$  with  $T \in E(\mathbb{C})[n]$ . By assumption  $E(K)[n] = E(\mathbb{C})[n]$ , so  $T \in E(K)[n]$ , and hence  $K(Q_1) = K(Q_2 \oplus T) \subseteq K(Q_2)$ .

• If F/K is the Galois closure of K(Q) and  $\sigma \in \operatorname{Gal}(F/K)$ , then

$$n \cdot \sigma(Q) = \sigma(nQ) = \sigma(P) = P$$
,

so

$$\sigma(K(Q)) = K(\sigma(Q)) = K(Q).$$

As this holds for all  $\sigma \in \operatorname{Gal}(F/K)$  we get that F = K(Q) is Galois over K.

• Set  $\sigma(Q) = Q \oplus T_{\sigma}$ , so  $T_{\sigma} \in E(K)[n]$ . The map

$$\operatorname{Gal}(K(Q)/K) \to E(K)[n]$$
  
 $\sigma \mapsto T_{\sigma}$ 

is an injective group homomorphism:

- Homomorphism: We have  $T_{\sigma\tau} = T_{\sigma} \oplus T_{\tau}$  by applying  $\tau \in \operatorname{Gal}(F/K)$  to  $\sigma(Q) = Q \oplus T_{\sigma}$ .
- Injective: If  $\sigma(Q) = Q$  then  $\sigma$  fixes K(Q), and hence  $\sigma = id$ .

The strategy for proving the Mordell-Weil theorem is as follows:

- Enlarge K so that  $E(K)[2] = C_2 \times C_2$ , i.e.  $E: y^2 = (x \alpha)(x \beta)(x \gamma)$ .
- Note that  $Gal(K(\frac{1}{2}P)/K) \leq C_2 \times C_2$ , where  $K(\frac{1}{2}P)$  means K(Q) for Q satisfying 2Q = P.

- Note that  $K(\frac{1}{2}P)/K$  can only ramify at certain primes independent of the point P. (The primes dividing the discriminant of the curve.)
- Note that there are only finitely many such field extensions.
- Note that "Different points P give different fields  $K(\frac{1}{2}P)/K$ ", or more correctly we have a finite-to-one map

$$E(K)/2E(K) \rightarrow \{\text{fields } K(\frac{1}{2}P)\}$$

- Deduce that E(K)/2E(K) is finite.
- Using heights we know that  $E(K) \cong \Delta \times \mathbb{Z}^n$  where  $\Delta$  is a finite group and n is possibly infinite. But since E(K)/2E(K) is finite we must have that n is finite (as otherwise  $(\mathbb{Z}/2\mathbb{Z})^n$  is not finite.)

**Notation.** Let  $K/\mathbb{Q}$  be a number field (or a local field), and let  $\mathfrak{p}$  be a prime of K with residue field  $\mathbb{F}_{\mathfrak{p}} = \mathcal{O}_K/\mathfrak{p}$ . For  $P = (x_0 : \cdots : x_n) \in \mathbb{P}^n(K)$ , find an  $\alpha \in K$  such that  $\alpha x_i \in \mathcal{O}_K$  for all i, and  $\mathfrak{p} \nmid \alpha x_j$  for some j. Define the reduction  $mod \mathfrak{p}$  of P to be

$$\overline{P} = (\overline{\alpha x_0} : \cdots : \overline{\alpha x_n}) \in \mathbb{P}^n(\mathbb{F}_{\mathfrak{p}}).$$

Note that this is well-defined; different  $\alpha$  result in scalar multiples. If E/K is an elliptic curve given by

$$y^2 + a_1 xy + a_3 y = x^3 + a_2 x^2 + a_4 x + a_6 \tag{*}$$

with  $a_i \in \mathcal{O}_K$  (or at least  $\operatorname{ord}_{\mathfrak{p}} a_i \geq 0$ ), then reduction mod  $\mathfrak{p}$  gives a map

$$E(K) \to \overline{E}(\mathbb{F}_{\mathfrak{p}})$$

$$(x_0, y_0) \mapsto \begin{cases} (\overline{x_0}, \overline{y_0}) & \text{if } \mathfrak{p} \nmid \frac{1}{x_0}, \frac{1}{y_0} \\ \mathcal{O} & \text{otherwise,} \end{cases}$$

where  $\overline{E}$  is the (possibly singular) curve obtained by reducing (\*) mod  $\mathfrak{p}$ . If  $\overline{E}$  is non-singular then this is a group homomorphism (reduction mod  $\mathfrak{p}$  preserves lines).

**Lemma 26.** Let K be a number field, and consider an elliptic curve

$$E: y^2 = f(x) = (x - \alpha)(x - \beta)(x - \gamma)$$

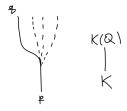
with  $\alpha, \beta, \gamma \in \mathcal{O}_K$  distinct. If  $Q \in E(\overline{K})$  with  $2Q \in E(K)$  then K(Q)/K can only ramify at primes dividing

$$2\operatorname{Disc}(f(x)) = 2(\alpha - \beta)^2(\beta - \gamma)^2(\alpha - \gamma)^2.$$

*Proof.* Let  $\mathfrak{p}$  be a prime of K with  $\mathfrak{p} \nmid 2(\alpha - \beta)(\beta - \gamma)(\alpha - \gamma)$ . Then  $\overline{E}$  is an elliptic curve over  $\mathbb{F}_{\mathfrak{p}}$ , and

$$\overline{E}(\mathbb{F}_{\mathfrak{p}})[2] = \{ \mathcal{O}, (\overline{\alpha}, 0), (\overline{\beta}, 0), (\overline{\gamma}, 0) \}.$$

Let  $\mathfrak{q}$  be a prime of K(Q) lying over  $\mathfrak{p}$ .



Recall the ramification index is given by  $e_{\mathfrak{q}/\mathfrak{p}} = |I_{\mathfrak{q}/\mathfrak{p}}|$ , where

$$I_{\mathfrak{q}/\mathfrak{p}} = \{\sigma \in \operatorname{Gal}(K(Q)/K) : \sigma(\mathfrak{q}) = \mathfrak{q} \text{ and } \sigma(t) = t \text{ mod } \mathfrak{q} \text{ for all } t \in \mathcal{O}_{K(Q)}\}$$

is the inertia group. Hence it suffices to show that  $\sigma(Q) = Q$  for all  $\sigma \in I_{\mathfrak{q/p}}$ , as then  $\sigma$  fixes K(Q) so  $\sigma = \mathrm{id}$ , meaning  $I_{\mathfrak{q/p}}$  has order 1. Now for  $\sigma \in I_{\mathfrak{q/p}}$ , if  $Q = (x_0, y_0)$  then

$$\sigma(x_0) = x_0 \mod \mathfrak{p}, \quad \sigma(y_0) = y_0 \mod \mathfrak{q}$$

so  $\overline{\sigma(Q)} = \overline{Q}$ , and  $2\sigma(Q) = \sigma(2Q) = 2Q$ , and hence  $\sigma(Q) = Q \oplus T$  for some  $T \in E(K)[2]$ . Then  $\overline{\sigma(Q)} = \overline{Q}$  implies  $\overline{T} = \mathcal{O}$ , and from the explicit list of points in E(K)[2] we must have  $T = \mathcal{O}$ . Hence  $\sigma(Q) = Q$  as required.

Lemma 27. Let K be a number field.

- (i) If  $a \in \mathcal{O}_K \setminus \{0\}$ , and  $(a) = \prod_i \mathfrak{p}_i^{n_i}$  for distinct primes  $\mathfrak{p}_i$ , then  $K(\sqrt{a})/K$  ramifies at the  $\mathfrak{p}_i$  where  $n_i$  is odd.
- (ii) If S is a finite set of primes of K, then there are only finitely many quadratic extensions that ramify only at primes in S.

*Proof.* Exercise.  $\Box$ 

**Lemma 28.** Let E/K be an elliptic curve over a number field with  $E(K)[2] = C_2 \times C_2$ . The map

$$E(K)/2E(K) \to \{F/K : \operatorname{Gal}(F/K) \le C_2 \times C_2\}$$
  
 $P \mapsto K(Q) \text{ where } Q \in E(\overline{K}) \text{ with } 2Q = P$ 

is well-defined, and finite-to-one.

*Proof.* Firstly, we check well-definedness:

- The Galois group satisfies the right condition by Lemma 25(iii).
- If P = 2Q = 2Q' then K(Q) = K(Q') by Lemma 25(iii).
- If  $P' = P \oplus 2R$ , and 2Q = P, then 2Q' = P' for  $Q' = Q \oplus R$ , and  $K(Q') \subseteq K(Q)$ . We get equality by symmetry.

Suppose  $P_1, \ldots, P_{17} \in E(K)$  have  $P_i = 2Q_i$ , where  $Q_i \in E(\overline{K})$ , and suppose all  $K(Q_i)$  are equal to one field F. Write  $Gal(F/K) = \langle \sigma_1, \sigma_2 \rangle \leq C_2 \times C_2$ , where possibly  $\sigma_i = 1$ . Then  $\sigma_i(Q_j) = Q_j \oplus T$  with  $T \in E(K)[2]$ , and since  $\#E(K)[2]^2 = 4^2 < 17$  we must have two points that have the same T for each  $\sigma_i$ ; without loss of generality

$$\sigma_1(Q_1) = Q_1 \oplus T \qquad \sigma_1(Q_2) = Q_2 \oplus T$$
  
$$\sigma_2(Q_1) = Q_1 \oplus T' \qquad \sigma_2(Q_2) = Q_2 \oplus T'$$

for some  $T, T' \in E(K)[2]$ . Then

$$\sigma_1(Q_1 \ominus Q_2) = Q_1 \ominus Q_2 = \sigma_2(Q_1 \ominus Q_2),$$

so  $R = Q_1 \oplus Q_2 \in E(K)$ . Hence  $P_1 \oplus P_2 = 2R \in 2E(K)$ , so the map is at most 16-to-1.

**Theorem 29** (Weak Mordell-Weil Theorem). Let E/K be an elliptic curve over a number field, with  $E(K)[2] = C_2 \times C_2$ . Then E(K)/2E(K) is finite.

*Proof.* Without loss of generality  $E: y^2 = (x - \alpha)(x - \beta)(x - \gamma)$  with  $\alpha, \beta, \gamma \in \mathcal{O}_K$  distinct. By the previous lemma we have a finite-to-one map

$$E(K)/2E(K) \rightarrow \{F/K : \operatorname{Gal}(F/K) \le C_2 \times C_2\}.$$

Now such F/K must be of the form  $K(\sqrt{a}, \sqrt{b})$  for some  $a, b \in K$ , and must only ramify at finitely many  $\mathfrak{p}$  (those satisfying  $\mathfrak{p} \mid 2(\alpha - \beta)(\beta - \gamma)(\alpha - \gamma)$ ). There are only finitely many fields  $K(\sqrt{a})$ ,  $K(\sqrt{b})$  satisfying this ramification property by Lemma 27, and hence only finitely many such  $K(\sqrt{a}, \sqrt{b})$ . Therefore the codomain of the map is finite, so the domain is finite.

**Remark 30.** By some algebra one can check that E(K)/2E(K) is finite even if  $E(K)[2] \neq C_2 \times C_2$ . (Apply the previous theorem over a splitting field of the cubic, and chase some diagrams.)

**Theorem 31** (Mordell–Weil Theorem). Let E/K be an elliptic curve over a number field. Then E(K) is finitely generated.

*Proof.* Let  $F = K(\alpha, \beta, \gamma)$ , where  $E : y^2 = f(x) = (x - \alpha)(x - \beta)(x - \gamma)$ . Then by Theorem 23 (over number fields) we have

$$E(F) \cong \Delta \times \mathbb{Z}^n$$

where  $\Delta$  is a finite group and n is possibly infinite. Then by Theorem 29 we have that E(F)/2E(F) is finite, so n must be finite. Since  $E(K) \leq E(F)$ , and E(F) is finitely generated, it follows that E(K) is finitely generated. (Once can avoid using heights over number fields when  $K = \mathbb{Q}$  by Remark 30.)

**Example.** Consider  $E: y^2 = x^3 - x$  over  $\mathbb{Q}$ . Now

$$E(\mathbb{Q})[2] = \{\mathcal{O}, (0,0), (1,0), (-1,0)\} = C_2 \times C_2,$$

so  $E(\mathbb{Q}) = \mathbb{Z}/n\mathbb{Z} \times \mathbb{Z}/m\mathbb{Z} \times \mathbb{Z}^r$  for some n, m even and  $r \geq 0$ . Our proof of Theorem 29 gives a bound on r as follows: For  $P \in E(\mathbb{Q})$ , we have  $\mathbb{Q}(\frac{1}{2}P) = \mathbb{Q}(\sqrt{a}, \sqrt{b})$  for some a, b, which only ramifies at  $p \mid 2\operatorname{Disc}(x^3 - x) = -8$ , i.e. at p = 2. Then 2 is the only prime factor of a and b, so  $\mathbb{Q}(\frac{1}{2}P) \subseteq \mathbb{Q}(\sqrt{2}, i)$ . By an argument as in Lemma 28, or see Exercise 3, we have an injective group homomorphism

$$E(\mathbb{Q})/2E(\mathbb{Q}) \to \operatorname{Hom}(\operatorname{Gal}(\mathbb{Q}(\sqrt{2},i)/\mathbb{Q}), E(\mathbb{Q})[2])$$
  
 $P \mapsto (\sigma \mapsto \sigma(\frac{1}{2}P) \ominus \frac{1}{2}P).$ 

Now the Galois group of  $\mathbb{Q}(\sqrt{2},i)/\mathbb{Q}$  is  $C_2 \times C_2$ , and  $E(\mathbb{Q})[2] = C_2 \times C_2$ , so we get

$$E(\mathbb{Q})/2E(\mathbb{Q}) \le C_2 \times C_2 \times C_2 \times C_2$$

and hence  $\operatorname{rk}(E/\mathbb{Q}) = r \leq 2$ . (In fact r = 0, which we will be able to prove later.)

### Exercises

+1. Let  $E/\mathbb{Q}$  be an elliptic curve over  $\mathbb{Q}$  given by

$$E: \quad y^2 = (x - \alpha)(x - \beta)(x - \gamma) \qquad \alpha, \beta, \gamma \in \mathbb{Z}.$$

Find a crude<sup>1</sup> but completely explicit bound on the rank of  $E/\mathbb{Q}$  in terms of  $\alpha, \beta, \gamma$ .

Solution. For  $P \in E(\mathbb{Q})/2E(\mathbb{Q})$  we have  $\mathbb{Q}(\frac{1}{2}P) = \mathbb{Q}(\sqrt{a}, \sqrt{b})$  with  $a, b \in \mathbb{Z}$  square-free and only divisible by the prime factors  $p_1, \ldots, p_N$  of  $2(\alpha - \beta)(\beta - \gamma)(\alpha - \gamma)$ . This is then a subfield of  $F = \mathbb{Q}(\sqrt{p_1}, \ldots, \sqrt{p_N}, i)$ , and as in Exercise 3 we have an injective group homomorphism

$$E(\mathbb{Q})/2E(\mathbb{Q}) \to \operatorname{Hom}(\operatorname{Gal}(F/\mathbb{Q}), E(\mathbb{Q})[2]) \cong \operatorname{Hom}(\overbrace{C_2 \times \cdots \times C_2}^{N+1 \text{ times}}, C_2 \times C_2)$$

$$\cong \underbrace{C_2 \times \cdots \times C_2}^{2N+2 \text{ times}},$$

implying that the rank of  $E/\mathbb{Q}$  is at most 2N. Now  $\log p > 1$  for primes p > 2, so taking the logarithm of a prime factorization we see that

$$N < 1 + \log(|\alpha - \beta||\beta - \gamma||\alpha - \gamma|).$$

Hence

$$\operatorname{rk}(E/\mathbb{Q}) \le 2 + 2\log(|\alpha - \beta||\beta - \gamma||\alpha - \gamma|).$$

- +2. Suppose A is an abelian group with A/2A finite that admits a function  $h:A\to\mathbb{R}_{>0}$  satisfying
  - For every  $C \in \mathbb{R}$  there are only finitely many  $x \in A$  with h(x) < C, and
  - h(x+y)+h(x-y)=2h(x)+2h(y)+O(1), where the implied constant is independent of  $x,y\in A$ .

By expressing  $x \in A$  as  $x = a_1 + 2a_2 + \cdots + 2^n a_n + 2^{n+1}y$ , where  $a_i$  are fixed representatives for A/2A, prove that A must be finitely generated. (This gives an elementary proof that Weak Mordell–Weil plus naive heights implies Mordell–Weil.)

Solution. Let C>0 be such that  $|h(x+y)+h(x-y)-2h(x)-2h(y)| \leq C$  for all  $x,y\in A$ . Fix a complete set  $S\subseteq A$  of representatives for the elements of A/2A. Given  $x\in A$ , we have some  $a_0\in S$  with  $x+a_0=2y$  for some  $y\in A$ , and continuing inductively we get  $a_0,a_1,\ldots\in S$  sastifying  $x+a_0+2a_1+\cdots+2^na_n=2^{n+1}y$  for some  $y\in A$  for each n. Now

$$h(2^{n+1}y) = h(2^ny + 2^ny) \ge 4h(2^ny) - h(0) - C$$
  
 
$$\ge 4^{n+1}h(y) - (1 + 4 + 4^2 + \dots + 4^n)(h(0) + C)$$
  
 
$$\ge 4^{n+1}(h(y) - h(0) - C).$$

<sup>&</sup>lt;sup>1</sup>ideally logarithmic

and

$$h(2^{n+1}y) = h(2^ny + 2^ny) \le 4h(2^ny) - h(0) + C$$

$$\le 4^{n+1}h(y) + (1 + 4 + 4^2 + \dots + 4^n)(C - h(0))$$

$$\le 4^{n+1}(h(y) - h(0) + C),$$

so we get that

$$\left| h(y) - \frac{h(2^{n+1}y)}{4^{n+1}} - h(0) \right| \le C.$$

Then

$$\begin{split} h(2^{n+1}y) &= h(x+a_0+2a_1+\dots+2^na_n) \\ &\leq 2h(2^na_n) + 2h(x+a_0+2a_1+\dots+2^{n-1}a_{n-1}) + C \\ &\leq 2h(2^na_n) + 2^2h(2^{n-1}a_{n-1}) + \dots + 2^{n+1}h(a_0) + 2^{n+1}h(x) + (1+2+2^2+\dots+2^n)C \\ &\leq 2 \cdot 4^n \left(h(a_n) + C - h(0)\right) \\ &+ 2^2 \cdot 4^{n-1} \left(h(a_{n-1}) + C - h(0)\right) \\ &+ \dots \\ &+ 2^n \cdot 4^1 \left(h(a_1) + C - h(0)\right) + 2^{n+1}h(a_0) + 2^{n+1}h(x) + 2^{n+1}C \\ &\leq 2^{2n+1} \cdot \max_{a \in S} \left(h(a) + C - h(0)\right) + 2^{n+1} \left(h(x) + C\right), \end{split}$$

noting that  $h(a_0) \leq h(a_0) + C - h(0)$  since  $|2h(0) - 4h(0)| \leq C$  implies  $h(0) \leq \frac{C}{2}$ . Hence

$$\begin{split} h(y) & \leq 4^{-n-1}h(2^{n+1}y) + h(0) + C \\ & \leq 2^{-1} \cdot \max_{a \in S} \bigl(h(a) + C - h(0)\bigr) + 2^{-n-1}(h(x) + C), \end{split}$$

so for n large enough we have

$$h(y) \le 2^{-1} \cdot \max_{a \in S} (h(a) + C - h(0)) + 1.$$

Now the set  $T \subseteq A$  of y satisfying this inequality is finite, and since f is a linear combination of  $a_0, a_1, \ldots \in S$  and  $y \in T$ , we see that the finite set  $S \cup T$  generates A.

3. Let E/K be an elliptic curve over a number field, such that  $E(K)[2] \cong C_2 \times C_2$ . Fix representatives  $P_1, \ldots, P_k$  for E(K)/2E(K), and let  $Q_i \in E(\bar{K})$  satisfy  $2Q_i = P_i$ . Show that the number field  $F = K(Q_1, \ldots, Q_k)$  generated by the x- and y-coordinates of the  $Q_i$  has Galois group of the form  $G = \operatorname{Gal}(F/K) \cong C_2 \times \cdots \times C_2$ .

Verify that for a fixed  $P_i$ , the map  $f_{P_i}: \sigma \mapsto \sigma(Q_i) \ominus Q_i$  is a homomorphism from G to E(K)[2]. Show furthermore that the association  $P_i \mapsto f_{P_i}$  is an injective homomorphism from E(K)/2E(K) to Hom(G, E(K)[2]).

Deduce that the rank of E/K is at most 2n-2, where  $G \cong C_2 \times \cdots \times C_2$  (n times).

Solution. For the Galois group, by Lemma 25 we have

$$Gal(K(Q_i)/K) \le C_2 \times C_2$$
,

so  $K(Q_i) = K(\sqrt{a}, \sqrt{b})$  for some  $a, b \in K$ . Therefore F is given by adjoining at most 2k square roots to K, and hence G is isomorphic to a product of at most 2k copies of  $C_2$ . Now the maps  $f_{P_i}$  are independent of the choice of  $Q_i$ , since if  $2Q'_i = P_i$  then  $Q_i \ominus Q'_i \in E(F)[2]$ , and E(F)[2] = E(K)[2] since  $E(K)[2] \cong C_2 \times C_2$ , so  $Q_i \ominus Q'_i \in E(K)$ . Hence for  $\sigma \in G$  we have

$$\sigma(Q_i \ominus Q_i') \ominus (Q_i \ominus Q_i') = (Q_i \ominus Q_i') \ominus (Q_i \ominus Q_i') = 0,$$

so  $\sigma(Q_i) \ominus Q_i = \sigma(Q_i') \ominus Q_i'$ . Also  $2f_{P_i}(\sigma) = \sigma(P_i) \ominus P_i = 0$ , and

$$f_{P_i}(\sigma\tau) = \sigma\tau(Q_i) \ominus Q_i$$

$$= (\sigma\tau(Q_i) \ominus \tau(Q_i)) \oplus (\tau(Q_i) \ominus Q_i)$$

$$= f_{\tau(P_i)}(\sigma) \oplus f_{P_i}(\tau)$$

$$= f_{P_i}(\sigma) \oplus f_{P_i}(\tau),$$

so  $f_{P_i}(\sigma) \in E(F)[2] = E(K)[2]$  and  $f_{P_i}$  is a homomorphism  $G \to E(K)[2]$ . Moreover

$$f_{P_i \oplus P_j}(\sigma) = \sigma(Q_i \oplus Q_j) \ominus Q_i \ominus Q_j$$
  
=  $\sigma(Q_i) \oplus \sigma(Q_j) \ominus Q_i \ominus Q_j$   
=  $f_{P_i}(\sigma) \oplus f_{P_i}(\sigma),$ 

so this gives a homomorphism  $E(K)/2E(K) \to \operatorname{Hom}(G, E(K)[2])$ . If  $f_{P_i} = 0$  then  $\sigma(Q_i) = Q_i$  for all  $\sigma \in G$ , and hence  $Q_i \in E(K)$ , so  $P_i \in 2E(K)$ . Therefore this homomorphism is injective.

Now by the Mordell–Weil Theorem we have  $E(K) \cong \Delta \times \mathbb{Z}^r$  for some finite group  $\Delta$ , where r is the rank. Then

$$E(K)/2E(K) \cong E(K)[2] \times C_2^r \cong C_2^{r+2},$$

and from above this is a subgroup of

$$\text{Hom}(G, E(K)[2]) = \text{Hom}(C_2^n, C_2 \times C_2) = C_2^{2n}.$$

Hence  $r+2 \leq 2n$ , i.e.  $r \leq 2n-2$ .

4. Let E/K be an elliptic curve over a number field given by  $y^2 = x^3 + ax^2 + bx + c$ . For  $d \in K^{\times}$ , the quadratic twist of E by d is the elliptic curve given by

$$E_d: d \cdot y^2 = x^3 + ax^2 + bx + c.$$

Prove that E and  $E_d$  are isomorphic over  $K(\sqrt{d})$  and that (for  $\sqrt{d} \notin K$ )

$$\operatorname{rk} E/K(\sqrt{d}) = \operatorname{rk} E/K + \operatorname{rk} E_d/K.$$

!5. Prove that  $y^2 + y = x^3 + x^2 + x$  has an infinite number of solutions over every cubic field of the form  $\mathbb{Q}(\sqrt[3]{m})$  for  $m \in \mathbb{Z}$ .

# 5 Reduction mod p and Torsion

**Definition.** For E/K given by

$$E: y^2 + a_1 xy + a_3 y = x^3 + a_2 x^2 + a_4 x + a_6$$
(\*)

the discriminant of E is

$$\Delta_E = -b_2^2 b_8 - 8b_4^3 - 27b_6^2 + 9b_2 b_4 b_6,$$

where

$$b_2 = a_1^2 + 4a_2$$
,  $b_4 = 2a_4 + a_1a_3$ ,  $b_6 = a_3^2 + 4a_6$ ,  $b_8 = b_2a_6 - a_1a_3a_4 + a_2a_3^2 - a_4^2$ .

**Remark.** If  $E: y^2 = f(x)$ , i.e.  $a_1 = 0 = a_3$ , then  $\Delta_E = 16 \operatorname{Disc}(f)$ . If  $E: y^2 = x^3 + Ax + B$  then  $\Delta_E = -16(4A^3 + 27B^2)$ .

**Proposition 32.** (i) E is non-singular iff  $\Delta_E \neq 0$ .

(ii) If E, E' are isomorphic, related by a change of coordinates of the form

$$y' = u^3y + sx + t, x' = u^2x + r,$$

then  $\Delta_{E'} = u^{12} \Delta_E$ .

*Proof.* (i) See Silverman, Ch III, Prop 1.4.

(ii) Computation.

**Definition.** Let K be a number field (or a non-Archimedean local field), and  $\mathfrak{p}$  a prime of K. Let E/K be given by (\*). The equation is integral at  $\mathfrak{p}$  if  $ord_{\mathfrak{p}}(a_i) \geq 0$  for all i. It is minimal at  $\mathfrak{p}$  (or a minimal model for E at  $\mathfrak{p}$ ) if it is integral with  $ord_{\mathfrak{p}} \Delta_E$  minimal among integral Weierstrass equations in the isomorphism class of E. The reduced curve at  $\mathfrak{p}$  is then

$$\tilde{E}/\mathbb{F}_{\mathfrak{p}}: y^2 + \bar{a}_1 xy + \bar{a}_3 y = x^3 + \bar{a}_2 x^2 + \bar{a}_4 x + \bar{a}_6$$

for any minimal model, where  $\mathbb{F}_{\mathfrak{p}}$  is the residue field at  $\mathfrak{p}$  and  $\bar{a}_i$  denotes the reduction of  $a_i \mod \mathfrak{p}$ .

Remark. The minimal model is unique up to transformations of the form

$$y' = u^3 y + s x_t, \ x' = u^2 x + r$$

where  $\operatorname{ord}_{\mathfrak{p}}$  of u, s, t, r is  $\geq 0$  to preserve integrality and  $\operatorname{ord}_{\mathfrak{p}}(u) = 0$  to preserve minimality by Proposition 32. This reduces to an isomorphism of reduced curves, so  $\tilde{E}/\mathbb{F}_{\mathfrak{p}}$  is well-defined up to isomorphism.

**Definition.** E/K has good reduction at  $\mathfrak{p}$  if  $\tilde{E}/\mathbb{F}_{\mathfrak{p}}$  is non-singular, and bad reduction otherwise. We write

$$\tilde{E}_{ns}(\mathbb{F}_{\mathfrak{p}}) := \tilde{E}(\mathbb{F}_{\mathfrak{p}}) \setminus \{\text{the singular point if it exists}\}.$$

**Proposition 33.** (i) E has good reduction at  $\mathfrak{p}$  iff  $\operatorname{ord}_{\mathfrak{p}}(\Delta_E) = 0$  for a minimal model.

- (ii) If E is integral at  $\mathfrak{p}$  and  $\operatorname{ord}_{\mathfrak{p}}(\Delta_E) < 12$  then E is a minimal model.
- (iii)  $\tilde{E}_{ns}(\mathbb{F}_{\mathfrak{p}})$  is an abelian group with identity  $\mathcal{O}$  and  $P \oplus Q \oplus R = \mathcal{O}$  iff P, Q, R are collinear.

*Proof.* (i) Good reduction is equivalent to  $\Delta_{\tilde{E}} \neq 0$  by Proposition 32(i), which is equivalent to  $\Delta_{E} \neq 0$  mod  $\mathfrak{p}$  for a minimal model.

- (ii) Follows from Proposition 32(ii).
- (iii) See Silverman, Ch III, Prop 2.5. (It is clear if  $\tilde{E}$  is non-singular.)

**Remark.** We have the following taxonomy of reduction types:

- Good reduction  $\iff \tilde{E}$  is non-singular.
- Split multiplicative reduction  $\iff \tilde{E}_{ns}(\mathbb{F}_{\mathfrak{p}}) \cong \mathbb{F}_{\mathfrak{p}}^{\times}$ .
- Non-split multiplicative reduction  $\iff \tilde{E}_{\rm ns}(\mathbb{F}_{\mathfrak{p}}) \cong \mathbb{F}_{q^2}^{\times}/\mathbb{F}_q^{\times} \cong C_{q+1} \text{ where } q = |\mathbb{F}_{\mathfrak{p}}|.$
- Additive reduction  $\iff \tilde{E}_{ns}(\mathbb{F}_{\mathfrak{p}}) \cong (\mathbb{F}_{\mathfrak{p}}, +).$

The last three are classified as bad reduction, and the first three are classified as "semistable" reduction. When  $\mathfrak{p} \nmid 2$  there is a minimal model of the form  $E: y^2 = f(x)$ , and then we have the following characterizations:

- Good reduction  $\iff f(x)$  has distinct roots mod  $\mathfrak{p}$ .
- Multiplicative reduction  $\iff f(x)$  has a double root mod  $\mathfrak{p}$ . Here  $\tilde{E}$  can be written as  $y^2 = x^2(x+\eta)$ , and  $\eta \in (\mathbb{F}_{\mathfrak{p}}^{\times})^2 \iff$  the reduction is split multiplicative. (This is equivalent to the slopes of the two tangent lines being defined over  $\mathbb{F}_{\mathfrak{p}}$ .) The isomorphism

$$\tilde{E}_{\mathrm{ns}}(\mathbb{F}_{\mathfrak{p}}) \cong \mathbb{F}_{\mathfrak{p}}^{\times} \quad \text{or} \quad \mathbb{F}_{q^2}^{\times}/\mathbb{F}_{q}^{\times}$$

is given by  $(x,y) \mapsto -y/x$ .

• Additive reduction  $\iff f(x)$  has a triple root mod  $\mathfrak{p}$ . Here the isomorphism

$$\tilde{E}_{ns}(\mathbb{F}_{\mathfrak{p}}) \cong (\mathbb{F}_{\mathfrak{p}}, +)$$

is given by  $(x,y) \mapsto -y/x$ .

**Example.**  $E: y^2 = x^3 - 3 \cdot 5^4 x - 3 \cdot 5^6$  has  $\Delta_E = -2^4 \cdot 3^3 \cdot 5^{13}$ , and is integral but not minimal at 5; we can take

$$x = 5^2 x', y = 5^3 y'$$

to get

$$E': y'^2 = x'^3 - 3x' - 3$$

which is integral, and has  $\Delta_{E'} = -2^4 \cdot 3^3 \cdot 5$ , so it is minimal by Proposition 33(ii). The reduced curve is then

$$\tilde{E}: y^2 = x^3 + 2x + 2 = (x-1)^2(x+2)/\mathbb{F}_5$$

which is isomorphic to  $y^2 = x^2(x+3)$ , and hence has multiplicative reduction which is non-split as  $3 \notin (\mathbb{F}_5^{\times})^2$ . The points are

$$\tilde{E}(\mathbb{F}_5) = \{ \text{the singular point } (1,0) \} \cup \{ (2,\pm 2), (3,0), (4,\pm 2), \mathcal{O} \},$$

and we see  $\tilde{E}_{ns}(\mathbb{F}_5) \cong C_6$ .

**Remark.** We have  $\mathbb{Q} \subseteq \mathbb{Q}_p$ , so  $E(\mathbb{Q}) \subseteq E(\mathbb{Q}_p)$ . In this and the following section we will describe  $E(\mathbb{Q}_p)$ .

**Definition.** Let E/K be an elliptic curve over a non-Archimedean local field (e.g.  $\mathbb{Q}_p$ ). Then

$$E_0(K) := \{ P \in E(K) : P \text{ reduces to a point in } \tilde{E}_{ns}(\mathbb{F}_{\mathfrak{p}}) \}$$
  
 $E_1(K) := \{ P \in E(K) : P \text{ reduces to } \mathcal{O} \in \tilde{E}_{ns}(\mathbb{F}_{\mathfrak{p}}) \}.$ 

**Lemma 34.** (i)  $E_1(K) \leq E_0(K) \leq E(K)$  are subgroups.

(ii) The reduction mod  $\mathfrak{p}$  map  $P \mapsto \tilde{P}$  is a homomorphism  $E_0(K) \to \tilde{E}_{\rm ns}(\mathbb{F}_{\mathfrak{p}})$ .

*Proof.* The inclusions are clear,  $E_0(K)$  is a subgroup since  $\tilde{E}_{ns}(\mathbb{F}_{\mathfrak{p}})$  is a group and the reduction map respects the group structure by Proposition 33(iii). Then  $E_1(K)$  is also a subgroup, being the kernel of the reduction map.

**Theorem 35.** Let E/K be an elliptic curve over a non-Archimedean local field. Let  $n = \operatorname{ord}_{\mathfrak{p}} \Delta_{E'}$  for a minimal model E' of E. Then  $E(K)/E_0(K)$  is finite, and

- (i)  $E(K)/E_0(K) = 1$  if E/K has good reduction.
- (ii)  $E(K)/E_0(K) \cong \mathbb{Z}/n\mathbb{Z}$  if E/K has split multiplicative reduction.
- (iii) We have

$$E(K)/E_0(K) \cong \begin{cases} 1 & n \text{ odd} \\ \mathbb{Z}/2\mathbb{Z} & n \text{ even.} \end{cases}$$

if E/K has non-split multiplicative reduction.

(iv)  $|E(K)/E_0(K)| \le 4$  if E/K has additive reduction.

Proof. (i) is clear. For the rest, see Silverman's "Advanced Topics...".

**Remark.** The order  $|E(K)/E_0(K)|$  is called the *local Tamagawa number*, usually written  $c_{\mathfrak{p}}$  or c(E/K). The group  $E(K)/E_0(K)$  and its order  $c_{\mathfrak{p}}$  are fully determined by "Tate's algorithm".

**Theorem 36.** Let E/K be an eelliptic curve over a non-Archimedean local field, given by a minimal Weierstrass equation. The reduction mod  $\mathfrak{p}$  map induces an isomorphism

$$E_0(K)/E_1(K) \xrightarrow{\sim} \tilde{E}_{\rm ns}(\mathbb{F}_{\mathfrak{p}}).$$

*Proof.* By Lemma 34 this is a homomorphism, which is injective by the definition of  $E_1(K)$ , so it suffices to prove surjectivity. Write the equation for E as

$$E: g(x,y) = 0, \quad g(x,y) = y^2 + a_1xy + a_3y - x^3 - a_2x^2 - a_4x - a_6,$$

with  $a_i \in \mathcal{O}_K$ . If  $P_0 = (x_0, y_0) \in \tilde{E}_{ns}(\mathbb{F}_{\mathfrak{p}})$ , we have either  $\frac{\partial g}{\partial x}|_{P_0} \neq 0$  or  $\frac{\partial g}{\partial y}|_{P_0} \neq 0$ . By symmetry, assume  $\frac{\partial g}{\partial y}|_{P_0} \neq 0$ , and pick some  $x \in \mathcal{O}_K$  with  $\bar{x} = x_0$ . Then by Hensel's lemma, we can solve g(x, y) = 0 for y subject to  $\bar{y} = y_0$ .

**Theorem 37.** Let  $E/\mathbb{Q}_p$  be an elliptic curve. Then  $E_1(\mathbb{Q}_p)$  contains no non-trivial points of finite order, except possibly points of order 2 if p=2.

*Proof.* This will be proved in the next section.

Corollary 38. Let  $E/\mathbb{Q}$  be an elliptic curve, with p a prime of good reduction. Then the reduction map

$$E(\mathbb{Q})_{\text{tors}} \to \tilde{E}(\mathbb{F}_n)$$

is injective, except possibly if p=2 where it may have kernel contained in  $E(\mathbb{Q})[2]$ .

*Proof.* The kernel is  $(E(\mathbb{Q}) \cap E_1(\mathbb{Q}_p))_{\text{tors}}$ . Now apply Theorem 37.

Corollary 39 (Nagell-Lutz Theorem). Let  $E/\mathbb{Q}$  be an elliptic curve given by

$$y^2 = x^3 + Ax + B$$
,  $A, B \in \mathbb{Z}$ .

If  $P = (x_0, y_0)$  is a non-trivial point of finite order, then

- (i)  $x_0, y_0 \in \mathbb{Z}$ , and
- (ii)  $y_0 = 0$  or  $y_0^2$  divides  $4A^3 + 27B^2$ .
- Proof. (i) If P has order 2 then  $y_0 = 0$ , and  $x_0$  is a root of  $x^3 + Ax + B$ , and hence is an integer by the rational root theorem. Now suppose P has order greater than 2. Let p be a prime, with E' a minimal model at p, and let  $P' = (x_1, y_1)$  be the corresponding point on E'. By Theorem 37 we have  $P' \notin E_1(\mathbb{Q}_p)$ , so P' does not reduce to  $\mathcal{O}$ , and hence  $x_1, y_1 \in \mathbb{Z}_p$  are p-adic integers. The change of coordinates

$$y' = u^3y + sx + t, x' = u^2x + r$$

with some algebra then gives that  $x_0, y_0 \in \mathbb{Z}_p$ . Since  $x_0, y_0 \in \mathbb{Q}$  it follows that  $x_0, y_0 \in \mathbb{Z}$ .

(ii) If  $y_0 \neq 0$  we may check that

$$y_0^2(4f(x_0)x_1 - g(x_0)) = 4A^3 + 27B^2,$$

where  $f(x) = 3x^2 + 4A$ ,  $g(x) = 3x^2 - 5Ax - 27B$ , and  $x_1$  is the x-coordinate of 2P, which is an integer by (i).

**Remark.** Corollaries 38 and 39 give practical ways to determine  $E(\mathbb{Q})_{\text{tors}}$ ; either compute  $\tilde{E}(\mathbb{F}_p)$  for a few primes to bound  $E(\mathbb{Q})_{\text{tors}}$ , or factorize  $4A^3 + 27B^2$  for possible  $y_0$  and solve for  $x_0$ .

## **Exercises**

+1. Let  $E/\mathbb{Q}$  be the elliptic curve given by  $y^2 + y = x^3 - x^2$ . Show that E has discriminant -11 and that it has good reduction at 2 and split multiplicative reduction at 11. Prove that  $E(\mathbb{Q})_{\text{tors}} \cong C_5$ . (Recall from Exercise Sheet 1 that  $(0,0) \in E(\mathbb{Q})$  has order 5.)

Solution. Using the formula for the discriminant, we have  $a_1 = a_4 = a_6 = 0$  and  $a_3 = -a_2 = 1$ , so

$$b_2 = 0 - 4, b_4 = 0 + 0, b_6 = 1 + 0, b_8 = 0 + 0 - 1 + 0,$$

giving  $\Delta_E = -(-4)^2(-1) + 0 - 27 + 0 = -11$ . This is odd, so E has good reduction at 2. At 11 we have

$$y^{2} + y = (y + 2^{-1})^{2} - 4^{-1} = (y + 6)^{2} - 3,$$

so E is given by  $(y-5)^2=x^3-x^2+3=(x-8)^2(x-7)$ . This is singular at (8,5) from the double root of  $(x-8)^2(x-7)$ , with split multiplicative reduction since  $(x-7)-(x-8)=1\in (\mathbb{F}_{11}^\times)^2$ . Now  $E(\mathbb{Q})[2]=\{\mathcal{O}\}$ , since  $E:(y+\frac{1}{2})^2=x^3-x^2+\frac{1}{4}$  with the cubic in x having no rational root. Hence by Corollary 38 the reduction mod 2 map  $E(\mathbb{Q})_{\text{tors}}\to \tilde{E}(\mathbb{F}_2)$  is injective. But

$$\tilde{E}(\mathbb{F}_2) = \{\mathcal{O}\} \cup \{(x, y) \in \mathbb{F}_2^2 : y^2 + y = x^3 + x^2\}$$
$$= \{\mathcal{O}\} \cup \mathbb{F}_2^2$$

has order 5, so we see that  $E(\mathbb{Q})_{\text{tors}}$  is either trivial or  $C_5$ . Since  $(0,0) \in E(\mathbb{Q})$  is a point of order 5, we must have  $E(\mathbb{Q})_{\text{tors}} \cong C_5$ .

+2. Show that  $y^2 + y = x^3 - x$  has infinitely many rational solutions.

Solution. We have good reduction at 2, where the derivative of  $y^2 + y$  is a non-zero constant, and also at 3, where the derivative of  $x^3 - x$  is a non-zero constant. Writing E for the given curve, we have

$$\tilde{E}(\mathbb{F}_2) = \{\mathcal{O}\} \cup \{(x,y) \in \mathbb{F}_2^2 : y^2 + y = x^3 + x\}$$
  
=  $\{\mathcal{O}\} \cup \mathbb{F}_2^2$ 

since  $y^2 + y = 0 = x^3 + x$  for  $x, y \in \mathbb{F}_2$ , so we get  $\tilde{E}(\mathbb{F}_2) \cong C_5$ . Also

$$\tilde{E}(\mathbb{F}_3) = \{\mathcal{O}\} \cup \{(x, y) \in \mathbb{F}_3^2 : y(y+1) = x^3 - x\}$$
$$= \{\mathcal{O}\} \cup (\{0, -1\} \times \mathbb{F}_3)$$

since  $x^3 - x = 0$  for  $x \in \mathbb{F}_3$ , so we get  $\tilde{E}(\mathbb{F}_3) \cong C_7$ . Hence by Corollary 38 we have only 2-torsion;  $E(\mathbb{Q})_{\text{tors}} = E(\mathbb{Q})_{\text{tors}}[2]$ . But the points of order 2 are given by  $y = -\frac{1}{2}$  and  $x^3 - x - \frac{1}{4} = 0$ , and this cubic in x has no rational roots. Therefore  $E(\mathbb{Q})_{\text{tors}}$  is trivial, so the point  $(0, -1) \in E(\mathbb{Q})$  is non-torsion, and hence  $E(\mathbb{Q})$  is infinite.

- 3. Show that if E does not have multiplicative reduction at 2, 3 or 5, then  $|E(\mathbb{Q})_{\text{tors}}| \leq 6$ .
- 4. Suppose the elliptic curve  $E: y^2 + a_1xy + a_3y = x^3 + a_2x^2 + a_4x + a_6$ , with  $a_i \in \mathbb{Q}$  is integral at p. Check that a substitution of the form  $x = u^2x' + r$ ,  $y = u^3y' + su^2x' + t$ , for  $r, s, t \in \mathbb{Z}$  and  $u \in \mathbb{Q}^\times$  with  $\operatorname{ord}_p u = 0$ , yields another equation E' that is integral at p and with  $\operatorname{ord}_p \Delta_E = \operatorname{ord}_p \Delta_{E'}$ .

Show also that there must be a substitution of this form with  $r, s, t \in \mathbb{Z}$  and u purely a power of p, that will make the equation minimal at p.

Prove that every elliptic curve over  $\mathbb{Q}$  has a model which is minimal at all primes simultaneously. (This is called a *global minimal model*. What goes wrong over larger number fields?)

!5. Prove that there is a constant  $C \in \mathbb{R}$  such that for every elliptic curve  $E/\mathbb{Q}$ ,

$$\Delta_E < C \cdot P_E^{13}$$
,

where  $\Delta_E$  is the minimal discriminant of E and  $P_E$  is the product of the primes at which E has bad reduction.

# 6 Formal Groups

**Proposition 40.** Let  $E/\mathbb{Q}_p$  be an elliptic curve given by a minimal Weierstrass equation

$$E: y^2 + a_1 xy + a_3 = x^3 + a_2 x^2 + a_4 x + a_6, \quad a_i \in \mathbb{Z}_p.$$

Then

- (i) The map  $E_1(\mathbb{Q}_p) \to \mathbb{Z}_p$  given by  $(x_0, y_0) \mapsto -x_0/y_0$  and  $\mathcal{O} \mapsto 0$  is a bijection.
- (ii) There are Laurent series  $x(t), y(t) \in \frac{1}{t^3} \mathbb{Z}_p[\![t]\!]$  such that the inverse of the above map is  $t \mapsto (x(t), y(t))$ . These are given by

$$x(t) = \frac{1}{t^2} - \frac{a_1}{t} - a_2 - a_3 t - (a_4 + a_1 a_3) t^2 + \cdots$$
$$y(t) = -\frac{1}{t^3} + \frac{a_1}{t^2} + \frac{a_2}{t} + a_3 + (a_4 + a_1 a_3) t + \cdots$$

*Proof.* Set w = -1/y and t = -x/y to get a chart for E near O with the following equation:

$$w = t^3 + a_1 t w + a_2 t^2 w + a_3 w^2 + a_4 t w^2 + a_6 w^3.$$
 (†)

In these coordinates  $\mathcal{O}$  is (0,0) and  $E_1(\mathbb{Q}_p)$  is precisely the set of points with  $w,t\in p\mathbb{Z}_p$ .

(i) For each  $t \in p\mathbb{Z}_p$ , the equation (†) has a unique solution  $w(t) \in p\mathbb{Z}_p$  by Hensel's lemma:

$$\left.\frac{\partial}{\partial w}\right|_{(0,0)} = 1 \neq 0 \quad \text{in } \mathbb{F}_p \quad \Longrightarrow \ \exists ! \text{ lift of } 0 \in \mathbb{F}_p \text{ to } w \equiv 0 \text{ mod } p \text{ for any } t \equiv 0 \text{ mod } p.$$

So  $E_1(\mathbb{Q}_p) \to p\mathbb{Z}_p$ ;  $(x_0, y_0) \mapsto (t, w) \mapsto t$  is a bijection.

(ii) Solving (†) for  $w(t) \in \mathbb{Z}_p[\![t]\!]$  expicitly (again Hensel's lemma) gives

$$w(t) = t^3 + a_1 t^4 + (a_1^2 + a_2)t^5 + (a_1^3 + 2a_1a_2 + a_3)t^6 + \cdots$$

Note that this converges for  $t \in p\mathbb{Z}_p$  as all the coefficients are integral, so this gives the value of w(t) for  $t \in p\mathbb{Z}_p$ . Hence

$$x(t) = t/w(t) = \frac{1}{t^2} - \frac{a_1}{t} - a_2 - a_3t - (a_4 + a_1a_3)t^2 + \cdots$$
$$y(t) = -1/w(t) = -\frac{1}{t^3} + \frac{a_1}{t^2} + \frac{a_2}{t} + a_3 + (a_4 + a_1a_3)t + \cdots$$

**Proposition 41.** Let  $E/\mathbb{Q}_p$  be an elliptic curve given by a minimal Weierstrass equation.

$$E: y^2 + a_1 xy + a_3 = x^3 + a_2 x^2 + a_4 x + a_6, \quad a_i \in \mathbb{Z}_p.$$

Then

(i) There is a unique power series

$$\mathcal{F}_E(t_1, t_2) = t_1 + t_2 - a_1 t_1 t_2 - a_2 (t_1^2 t_2 + t_1 t_2^2) + \dots \in \mathbb{Z}[a_1, \dots, a_6] \llbracket t_1, t_2 \rrbracket$$

such that for  $t_3 = \mathcal{F}_E(t_1, t_2)$ , we have

$$(x(t_1), y(t_1)) \oplus (x(t_2), y(t_2)) = (x(t_3), y(t_3))$$

in E(K) for  $K = \mathbb{Q}(a_1, \dots, a_6)((t_1, t_2))$ .

(ii) There is a unique power series  $\iota_E(t) \in \mathbb{Z}[a_1,\ldots,a_6][\![t]\!]$  such that

$$\ominus(x(t),y(t))=(x(\iota_E(t)),y(\iota_E(t))).$$

(iii) These describe the elliptic curve's addition law on  $p\mathbb{Z}_p$ :

$$(P,Q) \longmapsto P \oplus Q \qquad P \longmapsto \bigoplus P$$

$$E_1(\mathbb{Q}_p) \times E_1(\mathbb{Q}_p) \longrightarrow E_1(\mathbb{Q}_p) \qquad E_1(\mathbb{Q}_p) \longrightarrow E_1(\mathbb{Q}_p)$$

$$t = -x/y \downarrow \qquad \downarrow \qquad t = -x/y \downarrow \qquad \downarrow \qquad \downarrow$$

$$p\mathbb{Z}_p \times p\mathbb{Z}_p \longrightarrow p\mathbb{Z}_p \qquad p\mathbb{Z}_p \longrightarrow p\mathbb{Z}_p$$

$$(t_1, t_2) \longmapsto \mathcal{F}_E(t_1, t_2) \qquad t \longmapsto \iota_E(t)$$

Proof. Let  $(x(t), y(t)) \in E(K)$ .

(ii) We have

$$\iota_E(t) = \frac{-x(t)}{-u(t) - a_1 x(t) - a_2} \cdot \frac{t^3}{t^3} = \frac{t - a_1 t^2 + \dots}{1 + a_1 t + \dots} \in \mathbb{Z}[a_1, \dots, a_6][t].$$

(i) Let  $P_1 = (x(t_1), y(t_1)), P_2 = (x(t_2), y(t_2)) \in E(K)$ . The x- and y- coordinates of  $P_1 \oplus P_2$  are rational functions in  $x(t_i)$  and  $y(t_i)$ , hence lie in K, say

$$P \oplus Q = (x_3(t_1, t_2), y_3(t_1, t_2)) \in E(K).$$

Then

$$\mathcal{F}_E(t_1, t_2) = -x_3(t_1, t_2)/y_3(t_1, t_2) \in K$$

suffices. By an explicit computation we have  $\mathcal{F}_E \in \mathbb{Z}[a_1,\ldots,a_6][\![t_1,t_2]\!]$  with the given leading terms.

(iii) This holds by construction, noting that the expressions converge for  $t_1, t_2 \in p\mathbb{Z}_p$ .

**Definition.** A (one-parameter, commutative) formal group over a ring R is a power series  $\mathcal{F} \in R[\![X,Y]\!]$ , such that

- (i)  $\mathcal{F}(X,Y) \in X + Y + (X,Y)^2$ ,
- (ii)  $\mathcal{F}(X, \mathcal{F}(Y, Z)) = \mathcal{F}(\mathcal{F}(X, Y), Z)$  (associativity),
- (iii)  $\mathcal{F}(X,Y) = \mathcal{F}(Y,X)$  (commutativity),
- (iv)  $\mathcal{F}(X,0) = X$ ,  $\mathcal{F}(0,Y) = Y$  (identity), and
- (v) There exists a unique  $i(T) \in \mathbb{R}[T]$  such that  $\mathcal{F}(T, i(T)) = 0 = \mathcal{F}(i(T), T)$  (inverses).

**Notation.** We write  $X \oplus_{\mathcal{F}} Y$  for  $\mathcal{F}(X,Y)$ . For  $R = \mathbb{Z}_p$ , we write  $\mathcal{F}(p\mathbb{Z}_p)$  for the group  $(p\mathbb{Z}_p, \oplus_{\mathcal{F}})$ . Note that we have convergence of  $\mathcal{F}(X,Y)$  to an element of  $p\mathbb{Z}_p$  for  $X,Y \in p\mathbb{Z}_p$ .

**Examples.** •  $\hat{\mathbb{G}}_a(X,Y) = X + Y$ ,  $\hat{\mathbb{G}}_a(p\mathbb{Z}_p) = (p\mathbb{Z}_p, +)$ .

• 
$$\hat{\mathbb{G}}_m(X,Y) = (1+X)(1+Y) - 1 = X + Y + XY, \ \hat{\mathbb{G}}_m(p\mathbb{Z}_p) \cong (1+p\mathbb{Z}_p, \times).$$

**Corollary 42.**  $\mathcal{F}_E$  is a formal group over any ring  $R \supseteq \mathbb{Z}[a_1, \ldots, a_6]$ .

**Theorem 43.** Let  $E/\mathbb{Q}_p$  be an elliptic curve given by a minimal Weierstrass equation. Then

$$\hat{E}(p\mathbb{Z}_p) \cong E_1(\mathbb{Q}_p)$$

where  $\hat{E} = \mathcal{F}_E$  is the formal group associated to E.

*Proof.* This follows from Corollary 42, Proposition 40, and Proposition 41.

**Lemma 44.** Let  $\mathcal{F}$  be a formal group over R, and let [n] denote the multiplication by n map, i.e.

$$[n](T) = \underbrace{T \oplus_{\mathcal{F}} \cdots \oplus_{\mathcal{F}} T}_{n \text{ times}}.$$

Then  $[n](T) = nT + (T^2) \in R[T]$ .

*Proof.* The case n=1 is clear, and the rest follows by induction and part (i) of the definition.

**Lemma 45.** Suppose  $f(T) = aT + O(T^2) \in R[T]$  with  $a \in R^{\times}$ . Then there is a power series  $g(T) \in R[T]$  of the form  $g(T) = a^{-1}T + O(T^2)$  such that f(g(T)) = T = g(f(T)).

Proof. Write  $f(T)=aT+a_2T^2+a_3T^3+\cdots$ . Construct  $g(T)=b_1T+b_2T^2+\cdots$  as follows. Let  $g_1(T)=b_1T$  where  $b_1=a^{-1}\in R$ , so  $f(g_1(T))=T+c_2T^2+\cdots$ . Suppose we have  $g_n(T)=b_1T+\cdots+b_nT^n$  such that  $f(g_n(T))=T+c_{n+1}T^{n+1}+\cdots$ . Then let  $g_{n+1}(T)=g_n(T)-\frac{c_{n+1}}{a}T^{n+1}$ , i.e.  $b_{n+1}=-\frac{c_{n+1}}{a}$ . We get

$$f(g_{n+1}(T)) = f(g_n(T) - \frac{c_{n+1}}{a}T^{n+1})$$

$$= a(g_n(T) - \frac{c_{n+1}}{a}T^{n+1}) + a_2(\cdots)^2 + \cdots$$

$$= f(g_n(T)) - c_{n+1}T^{n+1} + O(T^{n+2})$$

$$= T + O(T^{n+2}).$$

Thus f(g(T)) = T. Similarly we can construct  $h(T) \in R[T]$  such that g(h(T)) = T, and h(T) = f(T) by a simple argument about monoids.

Corollary 46. Let  $E/\mathbb{Q}_p$  be an elliptic curve. Then for  $p \nmid n$ , multiplication by n is an isomorphism

$$E_1(\mathbb{Q}_p) \to E_1(\mathbb{Q}_p).$$

In particular  $E_1(\mathbb{Q}_p)[n] = \{\mathcal{O}\}.$ 

*Proof.* Theorem 43 implies  $E_1(\mathbb{Q}_p) \cong \hat{E}(p\mathbb{Z}_p)$  for a minimal model. As  $n \in \mathbb{Z}_p^{\times}$  we get that  $[n] : \hat{E}(p\mathbb{Z}_p) \to \hat{E}(p\mathbb{Z}_p)$  is both surjective and injective by Lemma 45.

**Remark.** This works equally well over non-Archimedean local fields when n is coprime to the residue characteristic.

**Theorem 47.** Let  $E/\mathbb{Q}_p$  be an elliptic curve given by a minimal Weierstrass equation

$$E: y^2 + a_1xy + a_3 = x^3 + a_2x^2 + a_4x + a_6, \quad a_i \in \mathbb{Z}_p.$$

- (i) If  $p \neq 2$ , then  $E_1(\mathbb{Q}_p)$  has no elements of order p.
- (ii) If p = 2 and  $a_1 \equiv 0 \mod 2$ , then  $E_1(\mathbb{Q}_p)$  has no elements of order 2.
- (iii) If p = 2, then  $E_1(\mathbb{Q}_p)$  has no elements of order 4.

*Proof.* (i) and (ii): Set x = x',  $y = y' - \frac{a_1}{2}x$ . This gives another minimal model with no xy term, so we may assume  $a_1 = 0$ . Then

$$\mathcal{F}_E(t_1, t_2) = t_1 + t_2 - a_1 t_1 t_2 + 0 \cdots,$$

so by induction on n we get

$$[n](T) = nT + O(T^3).$$

If  $\alpha \in p\mathbb{Z}_p \setminus \{0\}$  with  $\operatorname{ord}_p(\alpha) = k$ , then

$$[p](\alpha) = p\alpha + O(p^{3k}),$$

and  $\operatorname{ord}_p(p\alpha) = k+1 < 3k$ , so  $[p](\alpha) \neq 0$ . Hence multiplication by p has trivial kernel on  $\hat{E}(p\mathbb{Z}_p)$  and hence also on  $E_1(\mathbb{Q}_p)$  by Theorem 43.

(iii) Exercise (hint: 2+2=4).

**Corollary 48** (Theorem 37). If  $E/\mathbb{Q}_p$  is an elliptic curve, then  $E_1(\mathbb{Q}_p)$  has no points of finite order, except possibly those of order 2 when p=2.

### Exercises

+1. Without moaning, honestly compute the first two leading terms of x(t), y(t), w(t) and  $\mathcal{F}_E(t_1, t_2)$ .

Solution. Taking w = -1/y, t = -x/y we have the equation

$$w = t^3 + a_1 t w + a_2 t^2 w + a_3 w^2 + a_4 t w^2 + a_6 w^3$$

which we want to solve for w(t). Letting  $w(t) = At^3 + Bt^4 + Ct^5 + O(t^6)$  we expand:

$$At^3 + Bt^4 + Ct^5 = t^3 + a_1At^4 + (a_1B + a_2A)t^5 + O(t^6),$$

so A = 1,  $B = a_1$ ,  $C = a_1^2 + a_2$ , i.e.  $w(t) = t^3 + a_1 t^4 + (a_1^2 + a_2)t^5 + O(t^6)$ . Hence

$$y(t) = -1/w(t) = -\frac{1}{t^3} \cdot \frac{1}{1 + a_1 t + (a_1^2 + a_2)t^2 + O(t^3)} = -\frac{1}{t^3} + \frac{a_1}{t^2} + \frac{a_2}{t} + O(1),$$

and  $x(t) = -ty(t) = \frac{1}{t^2} - \frac{a_1}{t} - a_2 + O(t)$ . Note that the change of coordinates (t, w) = (-x/y, -1/y) comes from a projective transformation, so we can compute the group law using lines in the (t, w)-plane. For formal variables  $t_1, t_2$  with  $w_i = w(t_i)$ , the line through  $(t_i, w_i)$  has slope

$$d = \frac{w(t_2) - w(t_1)}{t_2 - t_1} = (t_1^2 + t_1t_2 + t_2^2) + a_1(t_1^3 + t_1^2t_2 + t_1t_2^2 + t_2^3) + O(t_1, t_2)^4.$$

The two leading terms of the cubic giving the intersection of the line with the curve are

$$(1 + a_2d + a_4d^2 + a_6d^3)t^3 + (a_2w_1 + (a_1 - a_2t_1 + 2w_1)d + (a_3 - 2t_1 + 3w_1)d^2 - 3t_1d^3)t^2$$

so the roots  $t_1, t_2, t_3$  satisfy

$$-(t_1 + t_2 + t_3) = \frac{a_2w_1 + (a_1 - a_2t_1 + 2w_1)d + (a_3 - 2t_1 + 3w_1)d^2 - 3t_1d^3}{1 + a_2d + a_4d^2 + a_6d^3}.$$

Hence

$$-t_3 = t_1 + t_2 + a_1 d + O(t_1, t_2)^3 = t_1 + t_2 + a_1(t_1^2 + t_1 t_2 + t_2^2) + O(t_1, t_2)^3,$$

and the t coordinate  $\mathcal{F}_E(t_1, t_2)$  of the sum of the points is given by the inverse  $\iota_E(t_3)$ , where

$$\iota_E(t) = \frac{x(t)}{y(t) + a_1 x(t) + a_3} = \frac{t - a_1 t^2 + O(t^3)}{-1 + 2a_1 t + O(t^2)} = -t - a_1 t^2 + O(t^3),$$

so

$$\mathcal{F}_E(t_1, t_2) = t_1 + t_2 + a_1(t_1^2 + t_1t_2 + t_2^2) - a_1(t_1 + t_2)^2 + O(t_1, t_2)^3$$
  
=  $t_1 + t_2 - a_1t_1t_2 + O(t_1, t_2)^3$ .

(Here I wrote  $O(t_1,t_2)^3$  to denote an element of the ideal  $(t_1,t_2)^3\subseteq \mathbb{Q}(a_1,\ldots,a_6)[\![t_1,t_2]\!]$  and similar.)

+2. Let  $E/\mathbb{Q}_2$  be an elliptic curve. Use the expression for the formal group law to show that  $E_1(\mathbb{Q}_2)$  has no elements of order 4.

Solution. For  $t \in 2\mathbb{Z}_2 \setminus \{0\}$  we have

$$[2](t) = \mathcal{F}_E(t,t) = 2t - a_1 t^2 + O(t^3) \in 2^2 \mathbb{Z}_2,$$

so  $[2](t) = 2^2 u$  for some  $u \in \mathbb{Z}_2$ . If  $\operatorname{ord}_2(u) = k < \infty$  then

$$[4](t) = [2]([2](t)) = [2](4u) = 2^3u - 2^4a_1u^2 + O((4u)^3) \equiv 2^3u \mod 2^{2k+4},$$

and hence [4](t) = 0 iff u = 0 iff [2](t) = 0 since k + 3 < 2k + 4. Hence  $\hat{E}(2\mathbb{Z}_2) \cong E_1(\mathbb{Q}_2)$  has no elements of order 4.

- 3. Let p be an odd prime and  $E/\mathbb{Q}_p$  an elliptic curve given by a minimal Weierstrass equation. Show that the x-coordinate of any point in  $E_1(\mathbb{Q}_p)$  is a perfect square.
- 4. Let  $\mu$  be the Haar measure on  $E(\mathbb{Q}_p)$  that, under the isomorphism  $E_1(\mathbb{Q}_p) \cong p\mathbb{Z}_p$ , maps to the usual Haar measure on  $p\mathbb{Z}_p$  (i.e. the one that's inherited from  $(\mathbb{Z}_p, +)$  and gives  $\mathbb{Z}_p$  measure 1). Show that

$$\int_{E(\mathbb{Q}_p)} d\mu = c_p \cdot \frac{\#\tilde{E}(\mathbb{F}_p)}{p}.$$

!5. Let  $E/\mathbb{Q}$  be an elliptic curve. Show that the product over all primes  $\prod_p \frac{\#E(\mathbb{F}_p)}{p}$  converges if and only if  $E(\mathbb{Q})$  is finite.

## 7 Descent

**Lemma 49.** Let E/K be an elliptic curve with (for simplicity)  $K \subseteq \mathbb{C}$ , given by

$$y^2 = (x - \alpha)(x - \beta)(x - \gamma)$$
  $\alpha, \beta, \gamma \in K$ .

For  $P \in E(K)$  write  $\frac{1}{2}P \in E(\bar{K})$  for some point with  $\frac{1}{2}P \oplus \frac{1}{2}P = P$ .

- (i)  $K(\frac{1}{2}P)/K$  is Galois with  $Gal(K(\frac{1}{2}P)/K) \leq C_2 \times C_2$ .
- (ii) The map

$$\phi_P : \operatorname{Gal}(\bar{K}/K) \to E(K)[2]; \quad \phi_P(g) = g(\frac{1}{2}P) \ominus \frac{1}{2}P$$

is a well-defined homomorphism with kernel  $\operatorname{Gal}(\bar{K}/K(\frac{1}{2}P))$ .

(iii) The map

$$\phi: E(K)/2E(K) \to \operatorname{Hom}_{\operatorname{cts}}(\operatorname{Gal}(\bar{K}/K), E(K)[2]); \quad P \mapsto \phi_P$$

 $is\ a\ well-defined\ injective\ homomorphism.$ 

**Remark.** A homomorphism  $\phi : \operatorname{Gal}(\bar{K}/K) \to G$  for a finite group G is continuous if it comes from a finite Galois extension, i.e. there is F/K finite and Galois with  $\tilde{\phi} : \operatorname{Gal}(F/K) \to G$  such that  $\phi$  is the composition  $\operatorname{Gal}(\bar{K}/K) \to \operatorname{Gal}(F/K) \to G$ . We say  $\phi$  factors through F/K.

*Proof.* (i) By Lemma 25, since  $E(K)[2] = \{ \mathcal{O}, (0, \alpha), (0, \beta), (0, \gamma) \}.$ 

- (ii) See the proof of Lemma 25(iii).
- (iii) See Sheet 4, Exercise 3. Note that  $\phi_P$  is continuous by (ii).

**Remark.** This is a refinement of our 16-to-1 map  $P \mapsto K(\frac{1}{2}P)$ ;  $P \mapsto \phi_P$  is now injective, respects addition, and recovers  $K(\frac{1}{2}P)$  as the fixed field of ker  $\phi_P$ .

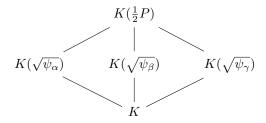
**Lemma 50.** Let E/K be an elliptic curve with  $K \subseteq \mathbb{C}$ , given by

$$y^2 = (x - \alpha)(x - \beta)(x - \gamma)$$
  $\alpha, \beta, \gamma \in K$ .

(i) We have a map

$$\eta: \operatorname{Hom}_{\operatorname{cts}}(\operatorname{Gal}(\bar{K}/K), E(K)[2]) \to \frac{K^{\times}}{K^{\times 2}} \times \frac{K^{\times}}{K^{\times 2}} \times \frac{K^{\times}}{K^{\times 2}}; \quad \psi \mapsto (\psi_{\alpha}, \psi_{\beta}, \psi_{\gamma})$$

where  $\psi(g) \in \{\mathcal{O}, (\alpha, 0)\}$  iff  $g \in \operatorname{Gal}(\bar{K}/K(\sqrt{\psi_{\alpha}}))$ , and similar for  $\beta, \gamma$ . Then  $\eta$  is an injective homomorphism; an isomorphism onto the subgroup of triples a, b, c with  $abc \in K^{\times^2}$ .



(ii) If  $P = (x_0, y_0) \in E(K)$  then  $\eta(\phi_P) = (x_0 - \alpha, x_0 - \beta, x_0 - \gamma)$  unless  $x_0 = \alpha$ , in which case the first entry is  $(x_0 - \beta)(x_0 - \gamma)$ , and similar for  $\beta, \gamma$ .

**Remark.** (i) simply records the subfields of  $K(\frac{1}{2}P)$  associating each quadratic to a specific 2-torsion point. (ii) says that these quadratics are just  $K(\sqrt{x_0-\alpha})$ ,  $K(\sqrt{x_0-\beta})$ ,  $K(\sqrt{x_0-\gamma})$ . Keeping this extra structure preserves the group structure on E(K).

- Proof. (i)  $\operatorname{Hom}_{\operatorname{cts}}(\operatorname{Gal}(\bar{K}/K), C_2) \simeq K^{\times}/K^{\times^2}$  via  $\psi \mapsto d$  for  $\ker \psi = \operatorname{Gal}(\bar{K}/K(\sqrt{d}))$ . This is a homomorphism since if  $\ker \psi_i = \operatorname{Gal}(\bar{K}/K(\sqrt{d_i}))$  for i = 1, 2 then  $\ker(\psi_1\psi_2) = \operatorname{Gal}(\bar{K}/K(\sqrt{d_1}\sqrt{d_2}))$ . Now apply to  $E(K)[2] \cong C_2 \times C_2$  to get  $K^{\times}/K^{\times^2} \times K^{\times}/K^{\times^2} \times K^{\times}/K^{\times^2}$ . Recording the third homomorphism gives the required map  $\eta$ .
  - (ii) (Sketch) If  $E: y^2 = x^3 + Ax^2 + Bx$ , then for  $Q = (x_0, y_0)$  we have

$$2Q = \left( \left( \frac{x_0 - B}{2y_0} \right)^2, \dots \right).$$

Hence if  $2Q = P = (x_1, y_1)$  then  $K(\frac{1}{2}P)$  contains  $\sqrt{x_1}$ , so if  $E: y^2 = (x - \alpha)(x - \beta)(x - \gamma)$  with  $P = (x_2, y_2)$  then

$$K(\frac{1}{2}P) \supseteq K(\sqrt{x_2 - \alpha}, \sqrt{x_2 - \beta}, \sqrt{x_2 - \gamma}).$$

Using  $\alpha, \beta, \gamma$  as variables, and that  $Gal(K(\frac{1}{2}P)/K) \leq C_2 \times C_2$ , we can deduce that

$$K(\frac{1}{2}P) = K(\sqrt{x_2 - \alpha}, \sqrt{x_2 - \beta}, \sqrt{x_2 - \gamma})$$

and no larger. Keep track of the Galois action to identify subfields for the final result.

**Example.** Consider  $E: y^2 = x(x-1)(x+1)$ . Recall for  $P \in E(\mathbb{Q})$  that  $\mathbb{Q}(\frac{1}{2}P)/\mathbb{Q}$  only ramifies at p=2, so  $\mathbb{Q}(\frac{1}{2}P) \subseteq \mathbb{Q}(\sqrt{2},i)$ . Now  $P=(x_0,y_0) \mapsto (x_0,x_0-1,x_0+1) \in (\mathbb{Q}^\times/\mathbb{Q}^{\times^2})^3$  is a homomorphism giving the quadratic subfields of  $\mathbb{Q}(\frac{1}{2}P)$ . Hence  $x_0,x_0-1,x_0+1$  are given up to squares by elements of  $\{\pm 1,\pm 2\}$ . We go through the possibilities:

$x_0$	$x_0 - 1$	$x_0 + 1$	$\in$ image?
+1	+1	+1	yes; 1)
+1	-1	-1	no; 2)
+1	+2	+2	yes; 1)
+1	-1	-1	no; 2)
-1	+1	-1	no; 2)
-1	-2	+2	yes; 1)
-1	+2	-2	no; 2)
-1	-1	+1	yes; 1)
+2	+1	+2	no; 3)
+2	-2	-1	no; 2)
+2	+2	+1	no; 4)
+2	-1	-2	no; 2)
-2	+1	-2	no; 2)
-2	-1	+2	no; 4)
-2	+2	-1	no; 2)
-2	-2	+1	no; 4)

- 1) We have the 2-torsion points  $(0,0),(1,0),(-1,0),\mathcal{O}\in E(\mathbb{Q})$ .
- 2) We must have  $x_0 + 1 > 0$ , and  $x_0(x_0 1) > 0$ .
- 3) We prove directly that the triple (2,1,2) cannot occur. If  $x_0=2a^2$ ,  $x_0-1=b^2$  and  $x_0+1=2c^2$  with  $a,b,c\in\mathbb{Q}^\times$ , take a denominator  $z\in\mathbb{Z}$  such that  $az\in\mathbb{Z}$  and (az,z)=1. Then  $2(az)^2-z^2=(bz)^2$  and  $2(az)^2+z^2=2(cz)^2$ , so A=az,B=bz,C=cz are integers satisfying  $2A^2-z^2=B^2$ ,  $2A^2+z^2=2C^2$ , and (A,z)=1.
  - If A is even then z is odd, so  $B^2 \equiv -1 \mod 8$ , which is impossible.
  - If A is odd, then  $A^2 \equiv 1 \mod 8$ . If z is also odd then  $2C^2 \equiv 3 \mod 8$ , which is impossible, and if z is even then  $B^2 \equiv 2$  or 6 mod 8, which is also impossible.
- 4) As the map is a homomorphism, the image is a subgroup.

Hence  $\#E(\mathbb{Q})/2E(\mathbb{Q}) = 4$  so  $\operatorname{rk} E = 0$ .

**Theorem 51** (Complete 2-descent). Let K be a field of characteristic 0, and E/K an elliptic curve given by

$$E: y^2 = (x - \alpha)(x - \beta)(x - \gamma), \quad \alpha, \beta, \gamma \in K.$$

- (i) The map  $P \mapsto (x_0 \alpha, x_0 \beta, x_0 \gamma)$ , replacing terms with the product of the other two if they vanish, and letting  $\mathcal{O} \mapsto (1, 1, 1)$ , is an injective homomorphism  $E(K)/2E(K) \to (K^{\times}/K^{\times^2})^3$ .
- (ii) The triples (a,b,c) that lie in the image satisfy  $abc \in K^{\times 2}$ . Either they are in the image of E(K)[2], or

$$cz_3^2 - \alpha + \gamma = az_1^2, \quad cz_3^2 - \beta + \gamma = bz_2^2$$

is soluble with  $z_i \in K^{\times}$ , in which case  $P = (az_1^2 + \alpha, \sqrt{abc}z_1z_2z_3)$  maps to (a, b, c).

(iii) If K is a number field, and (a,b,c) is in the image, then  $K(\sqrt{a},\sqrt{b},\sqrt{c})/K$  only ramifies at primes dividing  $2(\alpha-\beta)(\beta-\gamma)(\alpha-\gamma)$ . If  $K=\mathbb{Q}$ , then taking  $a,b,c\in\mathbb{Z}$  square-free we get that a,b,c only have prime factors  $p\mid 2(\alpha-\beta)(\beta-\gamma)(\alpha-\gamma)$ , assuming  $\alpha,\beta,\gamma\in\mathbb{Z}$ .

Proof. (i) Lemma 50.

- (ii) Solve  $x_0 \alpha = az_1^2$ ,  $x_0 \beta = bz_2^2$ ,  $x_0 \gamma = cz_3^2$ .
- (iii) Lemma 26.

**Proposition 52.** Suppose  $E/\mathbb{Q}_p$  is an elliptic curve, given by

$$E: y^2 = (x - \alpha)(x - \beta)(x - \gamma), \quad \alpha, \beta, \gamma \in \mathbb{Z}_p.$$

- (i) If  $p \neq 2$  then  $\#E(\mathbb{Q}_p)/2E(\mathbb{Q}_p) = 4$ .
- (ii) If  $p \nmid 2\Delta_E$  then  $\mathbb{Q}_p(\frac{1}{2}P)/\mathbb{Q}_p$  is unramified for  $P \in E(\mathbb{Q}_p)$ .
- (iii) If  $p \nmid 2\Delta_E$  then (a, b, c) lies in the image of  $E(\mathbb{Q}_p)/2E(\mathbb{Q}_p)$  iff  $\operatorname{ord}_p(a), \operatorname{ord}_p(b), \operatorname{ord}_p(c)$  are all even and  $abc \in \mathbb{Q}_p^{\times 2}$ .

**Remark.** (iii) says that only p dividing  $2\Delta_E$  give interesting constraints on the triples (a, b, c).

Proof. (i) Consider

$$0 \longrightarrow E_1(\mathbb{Q}_p) \longrightarrow E(\mathbb{Q}_p) \longrightarrow E(\mathbb{Q}_p)/E_1(\mathbb{Q}_p) \longrightarrow 0$$

$$\downarrow^{\times 2} \qquad \qquad \downarrow^{\times 2} \qquad \qquad \downarrow^{\times 2}$$

$$0 \longrightarrow E_1(\mathbb{Q}_p) \longrightarrow E(\mathbb{Q}_p) \longrightarrow E(\mathbb{Q}_p)/E_1(\mathbb{Q}_p) \longrightarrow 0.$$

If  $K_1, K_2, K_3, C_1, C_2, C_3$  are the kernels and cokernels, we have the snake lemma:

$$0 \to K_1 \to K_2 \to K_3 \to C_1 \to C_2 \to C_3 \to 0.$$

By Corollary 46 the map  $E_1(\mathbb{Q}_p) \xrightarrow{\times 2} E_1(\mathbb{Q}_p)$  is an isomorphism, so  $K_1 = C_1 = 0$ . Therefore

$$\#E(\mathbb{Q}_n)/2E(\mathbb{Q}_n) = \#C_2 = \#C_3 = \#K_3 = \#K_2 = \#E(\mathbb{Q}_n)[2] = 4.$$

- (ii) Lemma 26.
- (iii) Exercise (use (i) and (ii)).

**Example.** Consider  $E: y^2 = x(x-5)(x+5)$ , with  $\Delta_E = -2^6 5^6$ , and recall the map

$$E(\mathbb{Q})/2E(\mathbb{Q}) \to (\mathbb{Q}^{\times}/\mathbb{Q}^{\times^2})^3$$
  
 $P = (x_0, y_0) \mapsto (x_0, x_0 - 5, x_0 + 5).$ 

Possible triples (a, b, c) in the image have  $a, b, c \in \{\pm 1, \pm 2, \pm 5, \pm 10\}$  and abc = 1 up to squares.

- Over  $\mathbb{R}$ : The image of  $E(\mathbb{R})$  is  $\{(+,+,+),(-,-,+)\}$ .
- Over  $\mathbb{Q}_5$ : We have representatives for  $\mathbb{Q}_5^{\times}/\mathbb{Q}_5^{\times 2}$  given by 1, 2, 5, 10. Note that  $-1 \in \mathbb{Q}_5^{\times 2}$ . We know there are only 4 triples coming from  $E(\mathbb{Q}_5)$  by Proposition 52(i). These must be (1,1,1), (1,5,5), (5,2,10), (5,10,2) from the 2-torsion points.

Combining this information we deduce that  $P \in E(\mathbb{Q})$  can only have image in

$$\{(1,1,1),(-1,-1,1),(1,5,5),(-1,-5,5),(5,2,10),(-5,-2,10),(5,10,2),(-5,-10,2)\}.$$

Now  $\mathcal{O} \mapsto (1,1,1)$ ,  $(0,0) \mapsto (-1,-5,5)$ ,  $(5,0) \mapsto (5,2,10)$ ,  $(-5,0) \mapsto (-5,-10,2)$ , and we have the point  $P = (-4,6) \mapsto (-1,-1,1)$ , so the image (which is a subgroup) must be the whole of this set. From this we deduce that  $\operatorname{rk} E(\mathbb{Q}) = 1$ .

### Exercises

+1. Compute the rank of  $E: y^2 = x(x+3)(x-6)$  over  $\mathbb{Q}$ . (Hint:  $(-2,4) \in E(\mathbb{Q})$ .)

Solution. The discriminant of the cubic is  $((-3)(6)(9))^2$ , so in Theorem 51 the extensions only ramify at 2 or 3. Hence the image of the injective homomorphism  $E(\mathbb{Q})/2E(\mathbb{Q}) \to (\mathbb{Q}^{\times}/\mathbb{Q}^{\times^2})^3$ ;  $P = (x_0, y_0) \mapsto (x_0, x_0 + 3, x_0 - 6)$  consists of triples (a, b, c) with  $a, b, c \in \{\pm 1, \pm 2, \pm 3, \pm 6\}$ .

- Over  $\mathbb{R}$ , the possible triples are (-,+,-) and (+,+,+).
- Over  $\mathbb{Q}_3$ , a complete set of representatives for  $\mathbb{Q}_3^{\times}/\mathbb{Q}_3^{\times^2}$  is  $\{1,2,3,6\}$ , and the kernel of the map from  $\{\pm 1, \pm 2, \pm 3, \pm 6\} \leq \mathbb{Q}^{\times}/\mathbb{Q}^{\times^2}$  to  $\mathbb{Q}_3^{\times}/\mathbb{Q}_3^{\times^2}$  is generated by -2. By Proposition 52 there are only 4 triples coming from  $E(\mathbb{Q}_3)$ , which must be the images of the 2-torsion points:

$$(1,1,1) \sim_{\mathbb{Q}_{3}^{\times 2}} (1,1,1), \qquad (-2,3,-6) \sim_{\mathbb{Q}_{3}^{\times 2}} (1,3,3), (-3,2,-1) \sim_{\mathbb{Q}_{3}^{\times 2}} (6,2,3), \qquad (6,1,6) \sim_{\mathbb{Q}_{3}^{\times 2}} (6,1,6).$$

Hence the image of  $E(\mathbb{Q})$  is contained in

$$\{(1,1,1), (6,3,3), (6,2,2), (6,1,6), (-2,1,-2), (-3,3,-6), (-3,2,-4), (-3,1,-3)\}.$$

Now  $(-2,4) \in E(\mathbb{Q})$  maps to (-2,1,-2), so the image has order a power of 2 greater than 5 and at most 8. The only such power of 2 is 8, so  $|E(\mathbb{Q})/2E(\mathbb{Q})| = 8 = 2^{2+1}$  and  $\operatorname{rk} E(\mathbb{Q}) = 1$ .

+2. Compute the rank of  $E: y^2 = x^3 - 49x$  over  $\mathbb{Q}$ . (Hint:  $(25, 120) \in E(\mathbb{Q})$ .)

Solution. The discriminant of the cubic is  $((7)(-7)(14))^2$ , so in Theorem 51 the extensions only ramify at 2 or 7. Hence the image of the injective homomorphism  $E(\mathbb{Q})/2E(\mathbb{Q}) \to (\mathbb{Q}^\times/\mathbb{Q}^{\times^2})^3$ ;  $P = (x_0, y_0) \mapsto (x_0, x_0 + 3, x_0 - 6)$  consists of triples (a, b, c) with  $a, b, c \in \{\pm 1, \pm 2, \pm 7, \pm 14\}$ . Over  $\mathbb{Q}_7$ , a complete set of representatives for  $\mathbb{Q}_7^\times/\mathbb{Q}_7^{\times^2}$  is  $\{1, 3, 7, 21\}$ , and the kernel of the map from  $\{\pm 1, \pm 2, \pm 7, \pm 14\} \leq \mathbb{Q}^\times/\mathbb{Q}^{\times^2}$  to  $\mathbb{Q}_7^\times/\mathbb{Q}_7^{\times^2}$  is generated by 2. By Proposition 52 there are only 4 triples coming from  $E(\mathbb{Q}_7)$ , which must be the images of the 2-torsion points:

$$\begin{aligned} (1,1,1) \sim_{\mathbb{Q}_{7}^{\times^{2}}} (1,1,1), & (-1,-7,7) \sim_{\mathbb{Q}_{7}^{\times^{2}}} (3,21,7), \\ (7,2,14) \sim_{\mathbb{Q}_{7}^{\times^{2}}} (7,1,7), & (-7,-14,2) \sim_{\mathbb{Q}_{7}^{\times^{2}}} (21,21,1). \end{aligned}$$

Hence the image of  $E(\mathbb{Q})$  consists of these, and triples obtained from these by multiplying two coordinates by 2. Triples (a, b, c) not coming from 2-torsion have some  $z_1, z_2, z_3 \in \mathbb{Q}^{\times}$  satisfying

$$cz_3^2 - 7 = az_1^2$$
,  $cz_3^2 - 7 - 7 = bz_2^2$ 

by Theorem 51. If N is a common denominator for  $z_3$  and  $z_1$ , say  $A=z_3N$  and  $B=z_1N$ , with A,B,N having no common factor. If a is even then N must be odd: otherwise A is even, so  $4 \mid 2B^2$ , and  $2 \mid A,B,N$ . Then from  $7N^2=aB^2-b(z_2N)^2$  we see that a and b cannot both be even, as multiplying a rational square by an integer of 2-adic valuation 1 cannot give an odd integer. Combining this with the observations over  $\mathbb{Q}_7$ , we see that the image must be contained in

$$\{(1,1,1),(-1,-7,7),(7,2,14),(-7,-14,2) \\ (2,1,2),(-2,-7,14),(14,1,14),(-14,-7,2) \\ (1,2,2),(-1,-14,14),(7,1,7),(-7,-7,2)\}.$$

Now  $(25,120) \in E(\mathbb{Q})$  maps to (1,2,2), so the image has order a power of 2 greater than 5 and at most 12. The only such power of 2 is 8, so  $|E(\mathbb{Q})/2E(\mathbb{Q})| = 8 = 2^{2+1}$  and  $\operatorname{rk} E(\mathbb{Q}) = 1$ .

- 3. Let  $E/\mathbb{Q}_2$  be an elliptic curve with  $E(\mathbb{Q}_2)[2] = C_2 \times C_2$ . Show that  $|E(\mathbb{Q}_2)/2E(\mathbb{Q}_2)| = 8$ .
- 4. Let K be a field of characteristic 0 that contains the  $p^{\text{th}}$  roots of unity, for some prime p. Show that  $\operatorname{Hom}_{\operatorname{cts}}(\operatorname{Gal}(\bar{K}/K),\mathbb{Z}/p\mathbb{Z})\cong K^\times/K^{\times p}$ .
- !5. Let  $E/\mathbb{Q}$  be an elliptic curve given by  $E: y^2 = (x-\alpha)(x-\beta)(x-\gamma)$  for some  $\alpha, \beta, \gamma \in \mathbb{Z}$ . Let S denote the group of those triples  $(a,b,c) \in \mathbb{Q}^{\times}/\mathbb{Q}^{\times^2} \times \mathbb{Q}^{\times}/\mathbb{Q}^{\times^2} \times \mathbb{Q}^{\times}/\mathbb{Q}^{\times^2}$  with  $abc \in \mathbb{Q}^{\times^2}$ , which (when working modulo  $\mathbb{R}^{\times^2}$  or  $\mathbb{Q}_p^{\times^2}$ ) lie in the image of  $E(\mathbb{R})/2E(\mathbb{R})$  and  $E(\mathbb{Q}_p)/2E(\mathbb{Q}_p)$  for every prime p. Prove that  $|S| = 2^{\text{rk}(E/\mathbb{Q})+n}$  for some even integer n.