

# CS174A : Introduction to Computer Graphics

Royce 190  
TT 4-6pm

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# Lighting and Shading

- Now that we have moved into 3D...
  - We need to talk about shading.
  - In order to enhance the effect of dimensionality.
  - Color in real world is rarely flat and uniform.
  - We would like to approximate how light interacts.
    - Both by the type of light source and how the light reflects off a surface.

# Lighting and Shading

- This means we need to describe
  - The various types of light sources available
  - The properties of a surface that will affect how light reflects off it.
- We will develop a model of how light interacts
- We will restrict ourselves to local interaction
- Global light models are, generally, too computationally intensive for interactive graphics.

# Lighting and Shading

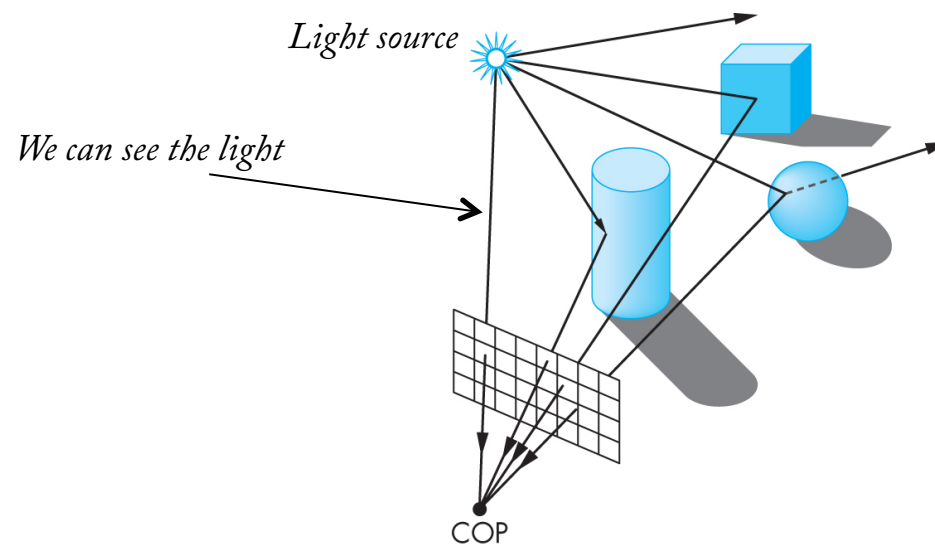
- What do we mean by Global?
- We could use the physics of light.
  - Surfaces either emit, absorb or reflect light.
  - Light reflects, scatters, bleeds, etc.
  - Unfortunately...
    - There is no analytical solution fast enough – even for offline rendering – to be useful.
    - Even approximate solutions are too slow for us...
      - » Radiosity (thermodynamics based)
      - » Ray Tracing (light ray based)
    - GPUs have sped some of this up but only for simple to moderately complex scenes.

# Lighting and Shading

- We will simplify things.
  - Single interactions only.
  - Between light source and a surface.
- There are two parts to this problem we need to understand in order to solve.
  - We need a model for a light source(s)
  - We need a model for how a surface can reflect that light source.

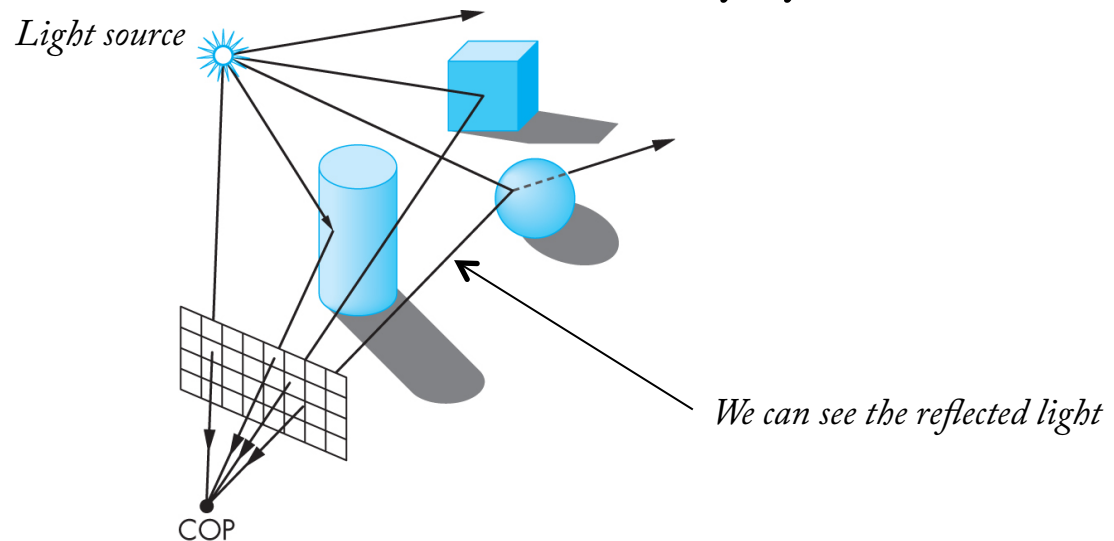
# Lighting and Shading

- First, what is going on?
  - We can see the light of the source.
    - Which would be the color of the source itself.



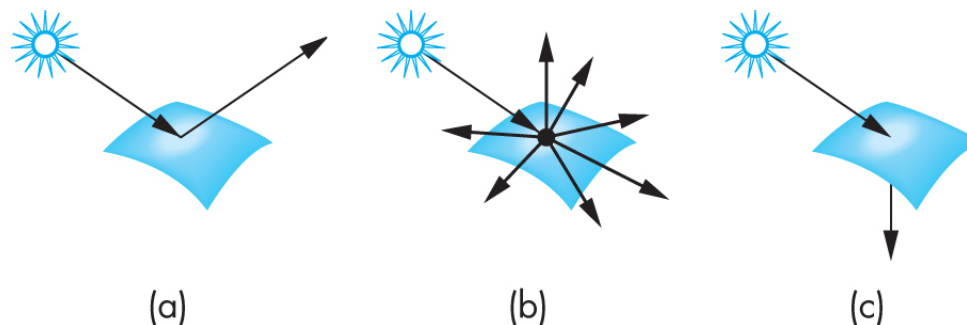
# Lighting and Shading

- First, what is going on?
  - We can also see the reflection of a light source.
    - The reflected light off a surface after some of the light has been absorbed or affected in some way by the material.



# Lighting and Shading

- Types of surfaces
  - Specular (a)
    - Most light is reflected in a narrow range (like a mirror)
  - Diffuse (b)
    - Light is reflected in all directions (very flat appearance)
  - Translucent (c)
    - Light is refracted, some may be reflected





# Lighting and Shading

- Light sources types (models)
  - Ambient
  - Point
  - Spotlight
  - Distant / Infinite
- They each have color and luminance (brightness)
  - Both are represented with an RGB value.

# Lighting and Shading

- Light sources – Ambient
  - Uniform illumination.
    - Think of a cloudy day.
    - Or, indirect lighting in your house.
  - Light is scattered in all directions.
  - We model our ambient light with a luminance,  $I_a$ .
  - Every point in our scene will receive the same illumination from  $I_a$ .
    - Every surface *may* reflect ambient light differently, however.

# Lighting and Shading

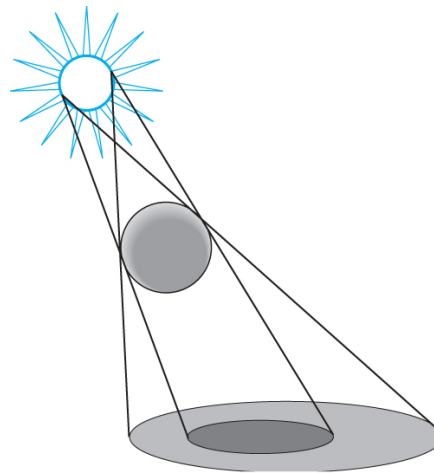
- Light sources – Point
  - Emits light equally in all directions.
  - Has a luminance like ambient light
  - Has a location as well,  $I(p_0)$ .
  - The amount of light received by a point in our scene is proportional to the distance from the point light source.
    - The terms  $a$ ,  $b$  and  $c$  are used to soften the light.

$$d = |p - p_0|^2$$

$$i(p, p_0) = \frac{1}{a + bd + cd^2} I(p_0)$$

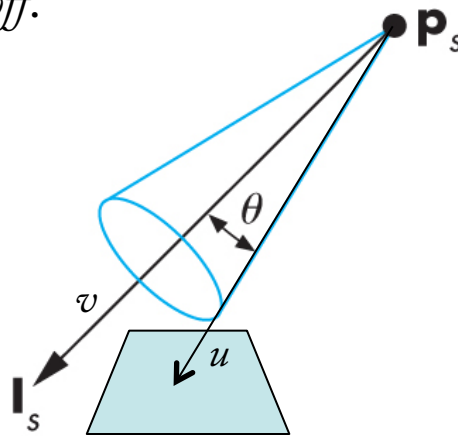
# Lighting and Shading

- Light sources – Point
  - Most *real* point sources are not points.
  - Most have a *finite* size
    - An object's shadow casts an *umbra* and *penumbra*.
    - Our idealized point source model does not automatically cause an umbra or penumbra.



# Lighting and Shading

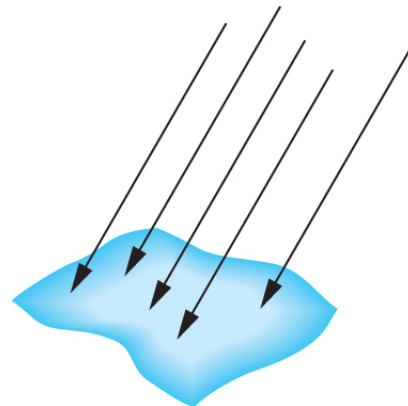
- Light sources – Spotlight
  - Like point lights, they have a position
  - Unlike point lights, are *directional*.
  - Have a distribution of light defined by an angle.
    - The intensity of the light is attenuated by the magnitude of the angle between the direction vector and a point on the surface.
    - Called the *falloff*.



$$\cos \theta = u \cdot v$$

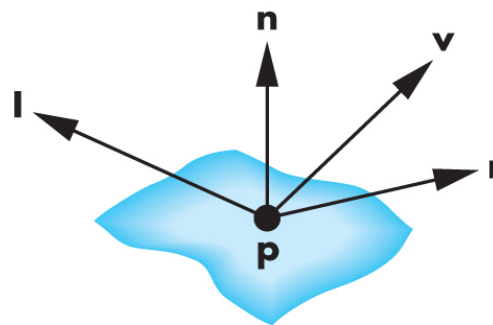
# Lighting and Shading

- Light sources – Distant / Infinite
  - When a light source is far enough away. (Sun)
  - All light rays are effectively parallel to each other.
  - We use a direction vector in place of  $p_0$ .
  - All surface points use that same vector.
    - No need to recompute the incidence vector for each point on a surface using  $p_0$ .



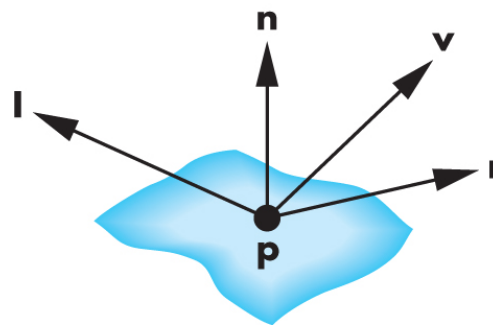
# Lighting and Shading

- Shading – The Phong Reflection Model
  - Rather than an exact physical model
  - We are using an efficient approximation.
  - The Phong model uses four vectors.
    - These enable the calculation of a color value for any point on a surface.



# Lighting and Shading

- Shading – The Phong Reflection Model
  - The Phong model's four vectors are
    - $\mathbf{n}$ , normal at point  $\mathbf{P}$  on the surface.
    - $\mathbf{v}$ , vector from point  $\mathbf{P}$  to the eye.
    - $\mathbf{I}$ , vector from the point  $\mathbf{P}$  to the light source (direction)
    - $\mathbf{r}$ , vector of the perfectly reflected ray from  $\mathbf{I}$ .
      - »  $\mathbf{r}$  is computed from  $\mathbf{n}$  and  $\mathbf{I}$ .





# Lighting and Shading

- Shading – The Phong Reflection Model
  - The Phong supports three types of light interaction
    - Ambient
    - Diffuse
    - Specular
  - Just like the light sources
    - We store these three values (colors) for a surface.
    - More generally we define a *material* and associate it with a surface.
    - So, a surface can have more than one color.

# Lighting and Shading

- Shading – The Phong Reflection Model
  - To compute the light (color) value at a point on a surface
  - We sum contributions from
    - Each light source interacting with the surface,  $R$  value
    - and the global ambient term.

$$I = \sum_i (I_{a_i} + I_{d_i} + I_{s_i}) + I_a \quad \text{where}$$

$$(I_{a_i} + I_{d_i} + I_{s_i}) = (L_{a_i} R_a + L_{d_i} R_d + L_{s_i} R_s)$$

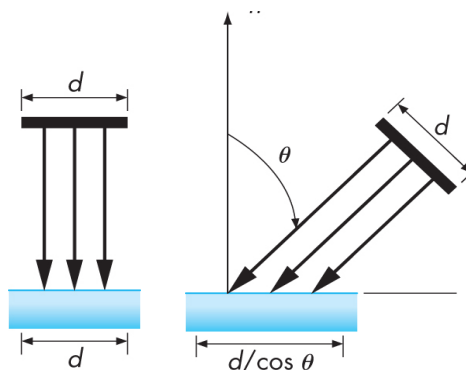
# Lighting and Shading

- Shading – The Phong Reflection Model
  - Ambient Reflection
    - The intensity of ambient light at each point on a surface is the same.
    - The amount of ambient light that is *reflected* is given by the coefficient  $k_a$ .
    - For any light source the resulting reflected light intensity is

$$I_a = k_a L_a \quad \text{where } 0 \leq k_a \leq 1$$

# Lighting and Shading

- Shading – The Phong Reflection Model
  - Diffuse Reflection
    - There is no preferred direction of reflection
    - Lambert's law is useful to model the behavior.
    - We only see the vertical component of the reflected light.
    - But as the angle becomes larger the light gets dimmer.
    - This happens because the light is spread out over a larger area.



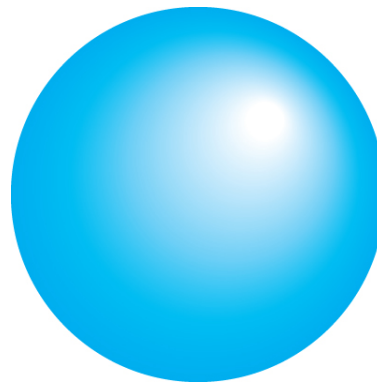
# Lighting and Shading

- Shading – The Phong Reflection Model
  - Diffuse Reflection
    - The direction of the light source  $\mathbf{l}$  and the surface normal  $\mathbf{n}$  determine the amount of reflected light.
    - Once again a reflection coefficient  $k_d$  can be factored in.
    - We also want to include the quadratic attenuation term to account for the surface's distance from the light.
    - The  $\max()$  is to handle when the light is below the horizon.

$$I_d = \frac{k_d}{a + bd + cd^2} \max((\mathbf{l} \cdot \mathbf{n})L_d, 0)$$

# Lighting and Shading

- Shading – The Phong Reflection Model
  - Specular Reflection
    - Adding some “shininess” to a smooth surface.
    - Reflection of part of the light source itself.
      - » The smoother the surface the more concentrated it is
      - » A mirror is a perfectly specular surface.



# Lighting and Shading

- Shading – The Phong Reflection Model
  - Specular Reflection
    - Phong only approximates the effect (can't do a mirror)
    - Depends on the angle between the perfect reflection vector  $\mathbf{r}$  and the direction to the viewer  $\mathbf{v}$ .
    - $k_s$ , is the reflection coefficient of the specular reflection.
    - $\alpha$ , is the shininess coefficient which controls how narrow or broad the reflection appears.

$$I_s = k_s L_s \max((\mathbf{r} \cdot \mathbf{v})^\alpha, 0)$$

# Lighting and Shading

- Shading – The Phong Reflection Model
  - Full Phong Model
  - Combining the diffuse, specular and ambient terms.
  - Computed for each light source in the scene.

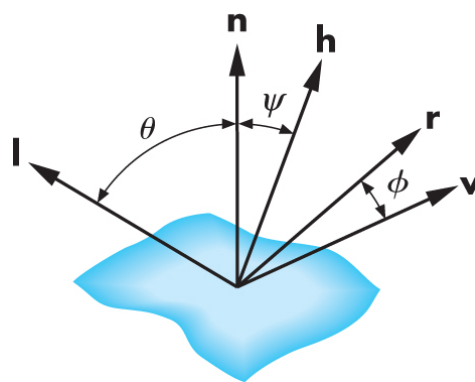
$$I = \frac{1}{a + bd + cd^2} (k_d L_d \max(\mathbf{l} \cdot \mathbf{n}, 0) + k_s L_s \max((\mathbf{r} \cdot \mathbf{v})^\alpha, 0)) + k_a L_a$$

$$\mathbf{l} = 2(\mathbf{l} \cdot \mathbf{n})\mathbf{n} - 1.$$



# Lighting and Shading

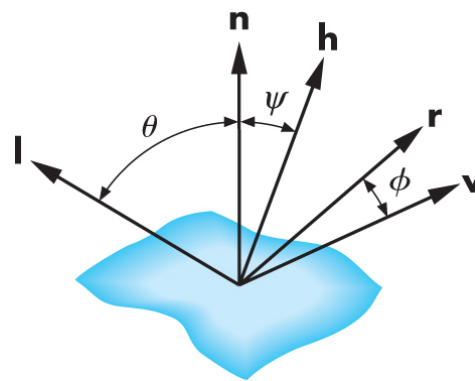
- Shading – The Phong Reflection Model
  - Blinn-Phong Model
    - Normally we have to *compute*  $\mathbf{r}$ , the reflection vector, for every point on the surface.
    - When  $\mathbf{l}$ ,  $\mathbf{n}$ ,  $\mathbf{r}$  and  $\mathbf{v}$  are in the same plane the half-way vector  $\mathbf{h}$  can be used to avoid computing  $\mathbf{r}$ .



$$\mathbf{h} = \frac{\mathbf{l} + \mathbf{v}}{|\mathbf{l} + \mathbf{v}|}$$

# Lighting and Shading

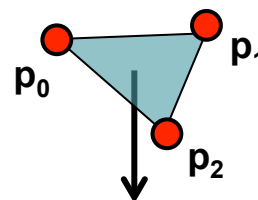
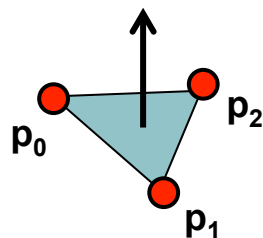
- Shading – The Phong Reflection Model
  - Blinn-Phong Model
    - The angle between  $\mathbf{n}$  and  $\mathbf{h}$  is smaller than the angle between  $\mathbf{r}$  and  $\mathbf{v}$ , however.
    - This means the shininess coefficient,  $\alpha$ , has to be raised to get approximately the same result as the pure Phong.



$$\phi = 2\psi$$

# Lighting and Shading

- Shading – The Normal
  - For flat surfaces we mean the normal to a plane.
  - This is a vector we have seen already.
  - For three non-collinear points, the normal is
$$\mathbf{n} = (\mathbf{p}_2 - \mathbf{p}_0) \times (\mathbf{p}_1 - \mathbf{p}_0).$$
  - The order we consider the points *matters*.
  - It will *determine* the direction of the normal  $\mathbf{n}$ .

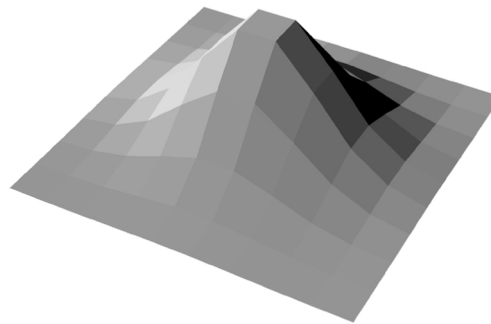


# Lighting and Shading

- Shading – The Normal
  - For curved surfaces, how the normal is computed depends on how the surface is represented.
  - Take the unit sphere at the origin.
  - The normal at any point on the sphere is simply
$$\mathbf{n} = \mathbf{p}$$
  - Often, in OpenGL we are approximating the normal using nearby points and planes.
  - This is because we can only represent objects, including surfaces, with these basic primitives.

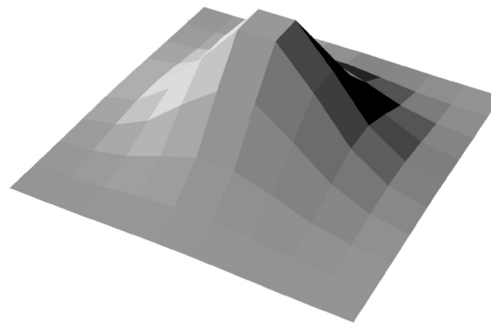
# Lighting and Shading

- Shading – flat
  - Vectors  $\mathbf{l}$ ,  $\mathbf{n}$  and  $\mathbf{v}$  vary as we move across a surface.
  - However, if the surface is flat, and the light source and viewer are far these vectors can be held constant.
  - Then we have *flat shading* where every point on a surface primitive receives the same shading.



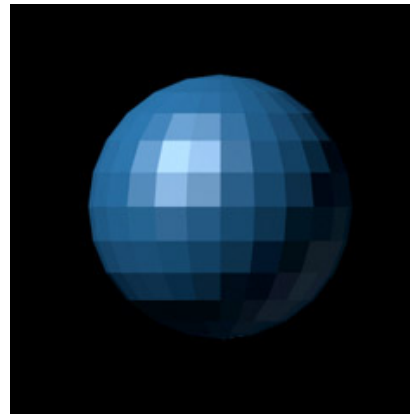
# Lighting and Shading

- Shading – flat
  - This can look funny for a non-flat surface or if the light source or viewer are not far away.
  - But, depending on how small the elements that make up the surface are it may not be as visible.
  - Squint your eyes and look at this surface.



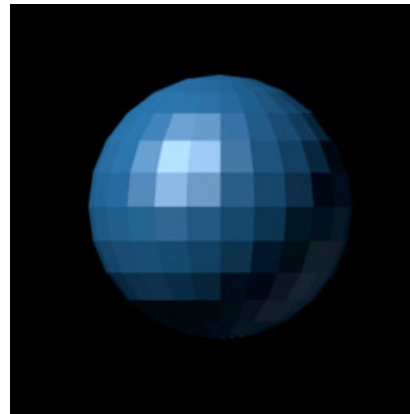
# Lighting and Shading

- Shading – flat
  - Your brain has an amazing ability to fill in what *should* be there – including interpolating the colors.
  - Flat shading is also called *per primitive* in OpenGL since we are applying a single color (light) value to the entire primitive.



# Lighting and Shading

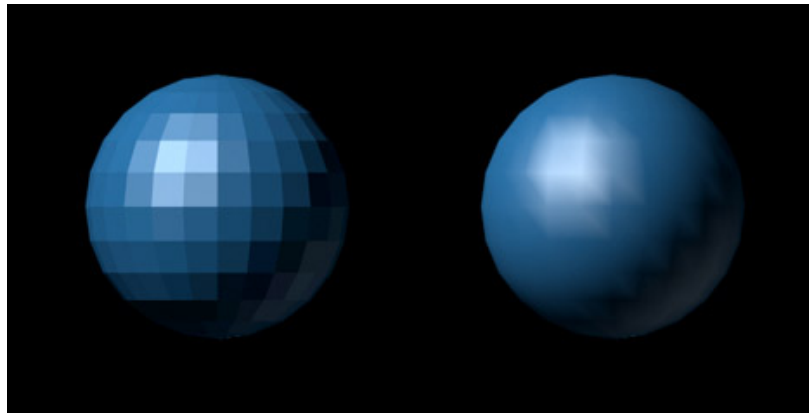
- Shading – smooth
  - By providing color and normal information *per vertex* we can achieve a much smoother shading result.
  - Here we let the rasterizer interpolate the color values across the surface of the primitive.
  - This requires us to think about how to compute the normal for a surface.





# Lighting and Shading

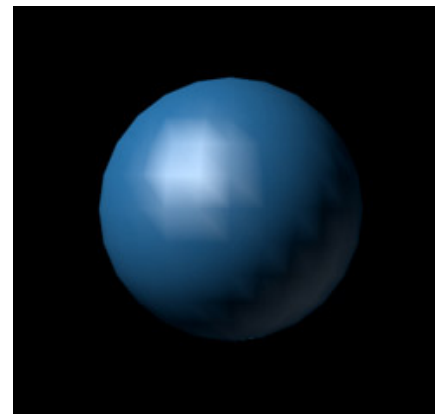
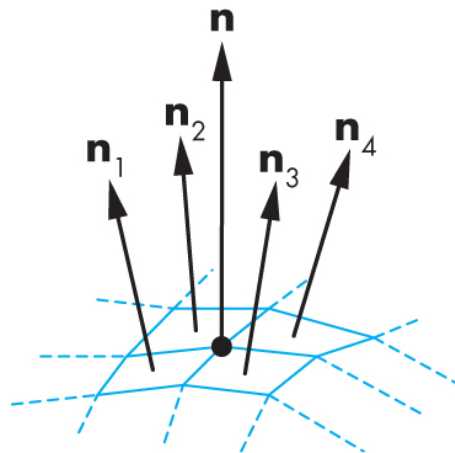
- Shading – smooth
  - *Gouraud* shading informs how we might define the normals we use.
  - Gouraud shading uses the average of the surface normals of the primitive they are part of.



# Lighting and Shading

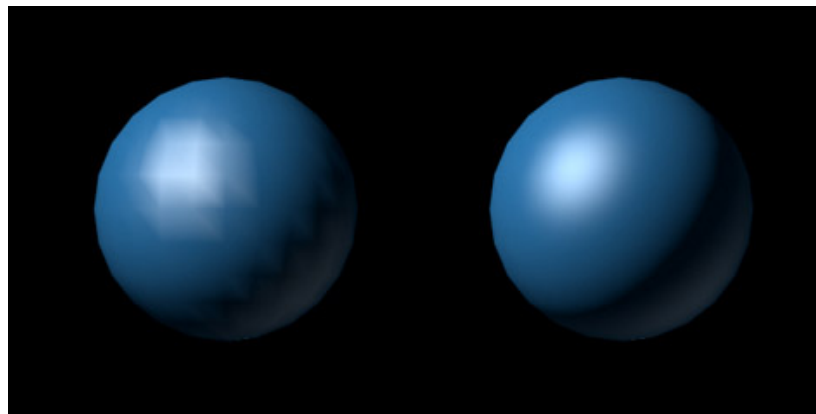
- Shading – smooth
  - For a vertex that is shared by four surfaces we would compute the vertex normal as

$$\mathbf{n} = \frac{\mathbf{n}_1 + \mathbf{n}_2 + \mathbf{n}_3 + \mathbf{n}_4}{|\mathbf{n}_1 + \mathbf{n}_2 + \mathbf{n}_3 + \mathbf{n}_4|}.$$



# Lighting and Shading

- Shading – smooth
  - A variation takes Gouraud shading one step further.
  - *Phong shading* interpolates the normals themselves.
  - Phong shading requires us to compute lighting at the vertex or *fragment* level.



# Lighting and Shading

- Shading – smooth

