# Code Optimization I: Machine Independent Optimizations

Chapter 5 of B&O

## Great Reality #4

#### There's more to performance than asymptotic complexity

- Constant factors matter too!
  - Easily see 10:1 performance range depending on how code is written
  - Must optimize at multiple levels:
    - algorithm, data representations, procedures, and loops
- Must understand system to optimize performance
  - How programs are compiled and executed
  - How to measure program performance and identify bottlenecks
  - How to improve performance without destroying code modularity and generality

# Optimizing Compilers

- Provide efficient mapping of program to machine
  - register allocation
  - code selection and ordering
  - dead code elimination
  - eliminating minor inefficiencies
- Don't (usually) improve asymptotic efficiency
  - up to programmer to select best overall algorithm
  - big-O savings are (often) more important than constant factors
    - but constant factors also matter
- Have difficulty overcoming "optimization blockers"
  - potential memory aliasing
  - potential procedure side-effects

# Limitations of Optimizing Compilers

- Operate Under Fundamental Constraint
  - Must not cause any change in program behavior under any possible condition
  - Often prevents it from making optimizations when would only affect behavior under pathological conditions.
- Behavior that may be obvious to the programmer can be obfuscated by languages and coding styles
  - e.g., data ranges may be more limited than variable types suggest
- Most analysis is performed only within procedures
  - whole-program analysis is too expensive in most cases
- Most analysis is based only on static information
  - compiler has difficulty anticipating run-time inputs
- When in doubt, the compiler must be conservative

# Machine-Independent Optimizations

- Optimizations you should do regardless of processor / compiler
- Code Motion
  - Reduce frequency with which computation performed
    - If it will always produce same result
    - Especially moving code out of loop

```
for (i = 0; i < n; i++)
  for (j = 0; j < n; j++)
    a[n*i + j] = b[j];

for (i = 0; i < n; i++) {
    int ni = n*i;
    for (j = 0; j < n; j++)
        a[ni + j] = b[j];
}</pre>
```

# Compiler-Generated Code Motion

- Most compilers do a good job with array code + simple loop structures
- Code Generated by GCC

```
for (i = 0; i < n; i++)
for (j = 0; j < n; j++)
a[n*i + j] = b[j];
```

```
for (i = 0; i < n; i++) {
  int ni = n*i;
  int *p = a+ni;
  for (j = 0; j < n; j++)
    *p++ = b[j];
}</pre>
```

```
imull %ebx,%eax
                        # i*n
 movl 8(%ebp),%edi
                        # a
 leal (%edi, %eax, 4), %edx # p = a+i*n (scaled by 4)
# Inner Loop
.L40:
 movl 12(%ebp),%edi # b
 movl (%edi,%ecx,4),%eax # b+j (scaled by 4)
 movl %eax,(%edx) # *p = b[j]
 addl $4,%edx
             # p++ (scaled by 4)
                        # j++
 incl %ecx
 jl .L40
                        # loop if j<n
```

### Reduction in Strength

- Replace costly operation with simpler one
  - Shift, add instead of multiply or divide
    - 16\*x --> x << 4
    - Utility machine dependent
    - Depends on cost of multiply or divide instruction
  - Recognize sequence of products

```
for (i = 0; i < n; i++)
  for (j = 0; j < n; j++)
    a[n*i + j] = b[j];

int ni = 0;
for (i = 0; i < n; i++) {
    for (j = 0; j < n; j++)
        a[ni + j] = b[j];
    ni += n;
}</pre>
```

### Make Use of Registers

- Reading and writing registers much faster than reading/writing memory
- Limitation
  - Compiler not always able to determine whether variable can be held in register
  - Possibility of Aliasing
  - See example later

# Machine-Independent Opts. (Cont.)

- Share Common Subexpressions
  - Reuse portions of expressions
  - Compilers often not very sophisticated in exploiting arithmetic properties

```
/* Sum neighbors of i,j */
up = val[(i-1)*n + j];
down = val[(i+1)*n + j];
left = val[i*n + j-1];
right = val[i*n + j+1];
sum = up + down + left + right;
```

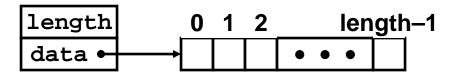
3 multiplications: i\*n, (i-1)\*n, (i+1)\*n

```
leal -1(%edx),%ecx # i-1
imull %ebx,%ecx # (i-1)*n
leal 1(%edx),%eax # i+1
imull %ebx,%eax # (i+1)*n
imull %ebx,%edx # i*n
```

```
int inj = i*n + j;
up = val[inj - n];
down = val[inj + n];
left = val[inj - 1];
right = val[inj + 1];
sum = up + down + left + right;
```

1 multiplication: i\*n

### Vector ADT



#### Procedures

```
vec_ptr new_vec(int len)
```

Create vector of specified length

```
int get_vec_element(vec_ptr v, int index, int *dest)
```

- Retrieve vector element, store at \*dest
- Return 0 if out of bounds, 1 if successful

```
int *get_vec_start(vec_ptr v)
```

- Return pointer to start of vector data
- Similar to array implementations in Pascal, ML, Java
  - E.g., always do bounds checking

## Optimization Example

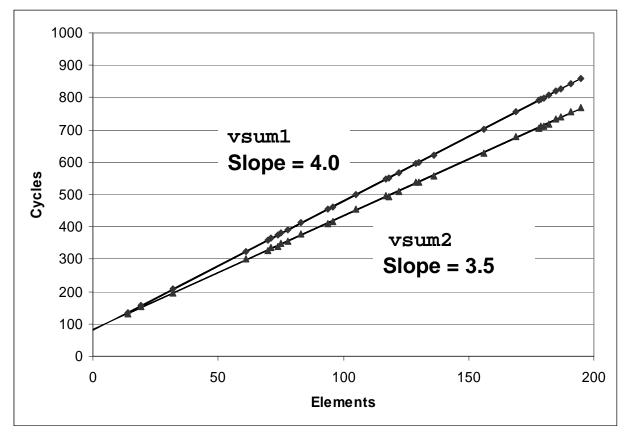
```
void combine1(vec_ptr v, int *dest)
{
  int i;
  *dest = 0;
  for (i = 0; i < vec_length(v); i++) {
    int val;
    get_vec_element(v, i, &val);
    *dest += val;
  }
}</pre>
```

#### Procedure

- -Compute sum of all elements of vector
- -Store result at destination location

### Cycles Per Element

- Convenient way to express performance of program that operators on vectors or lists
- Length = n
- T = CPE\*n + Overhead



## Optimization Example

```
void combine1(vec_ptr v, int *dest)
{
  int i;
  *dest = 0;
  for (i = 0; i < vec_length(v); i++) {
    int val;
    get_vec_element(v, i, &val);
    *dest += val;
  }
}</pre>
```

#### Procedure

- Compute sum of all elements of integer vector
- Store result at destination location
- Vector data structure and operations defined via abstract data type

#### Clock Cycles / Element

- 42.06 (Compiled -g)

31.25 (Compiled -O2)

## Understanding Loop

```
void combine1-goto(vec_ptr v, int *dest)
    int i = 0;
    int val;
    *dest = 0;
    if (i >= vec_length(v))
      goto done;
                                 1 iteration
  loop:
    get_vec_element(v, i, &val);
    *dest += val;
    i++;
    if (i < vec length(v))</pre>
      goto loop
  done:
```

#### Inefficiency

- Procedure vec\_length called every iteration
- Even though result always the same

### Move vec\_length Call Out of Loop

```
void combine2(vec_ptr v, int *dest)
{
  int i;
  int length = vec_length(v);
  *dest = 0;
  for (i = 0; i < length; i++) {
    int val;
    get_vec_element(v, i, &val);
    *dest += val;
  }
}</pre>
```

#### Optimization

- Move call to vec\_length out of inner loop
  - Value does not change from one iteration to next
  - Code motion
- CPE: 20.66 (Compiled -O2)
  - vec\_length requires only constant time, but significant overhead

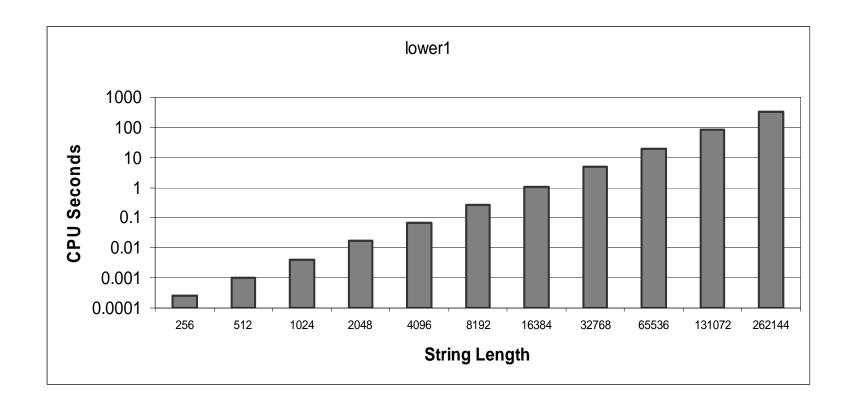
## Code Motion Example #2

Procedure to Convert String to Lower Case

```
void lower(char *s)
{
  int i;
  for (i = 0; i < strlen(s); i++)
    if (s[i] >= 'A' && s[i] <= 'Z')
      s[i] -= ('A' - 'a');
}</pre>
```

### Lower Case Conversion Performance

- Time quadruples when double string length
- Quadratic performance



### Convert Loop To Goto Form

```
void lower(char *s)
{
   int i = 0;
   if (i >= strlen(s))
      goto done;
loop:
   if (s[i] >= 'A' && s[i] <= 'Z')
      s[i] -= ('A' - 'a');
   i++;
   if (i < strlen(s))
      goto loop;
   done:
}</pre>
```

- strlen executed every iteration
- strlen linear in length of string
  - Must scan string until finds '\0'
- Overall performance is quadratic

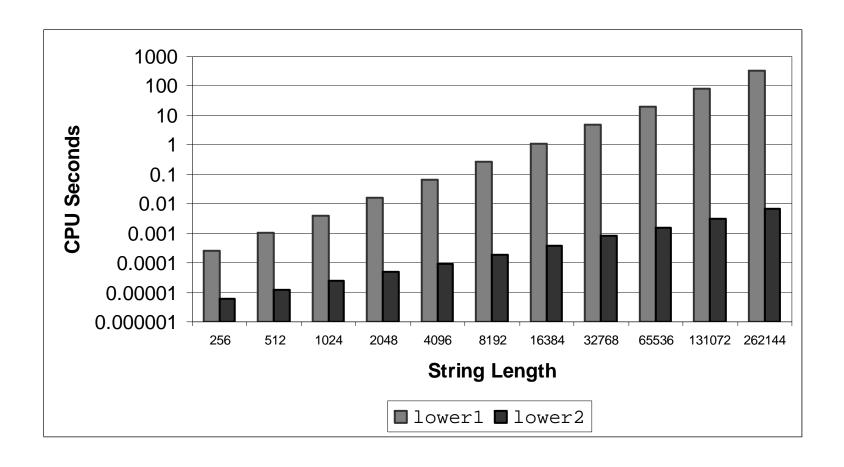
## Improving Performance

```
void lower(char *s)
{
   int i;
   int len = strlen(s);
   for (i = 0; i < len; i++)
      if (s[i] >= 'A' && s[i] <= 'Z')
      s[i] -= ('A' - 'a');
}</pre>
```

- Move call to strlen outside of loop
- Since result does not change from one iteration to another
- Form of code motion

### Lower Case Conversion Performance

- Time doubles when double string length
- Linear performance



### Optimization Blocker: Procedure Calls

- Why couldn't the compiler move vec\_len or strlen out of the inner loop?
  - Procedure may have side effects
    - Alters global state each time called
  - Function may not return same value for given arguments
    - Depends on other parts of global state
    - Procedure lower could interact with strlen
- Why doesn't compiler look at code for vec\_len or strlen?
  - Linker may overload with different version
    - Unless declared static
  - Interprocedural optimization is not used extensively due to cost
- Warning:
  - Compiler treats procedure call as a black box

### Reduction in Strength

```
void combine3(vec_ptr v, int *dest)
{
  int i;
  int length = vec_length(v);
  int *data = get_vec_start(v);
  *dest = 0;
  for (i = 0; i < length; i++) {
    *dest += data[i];
}</pre>
```

#### Optimization

- Avoid procedure call to retrieve each vector element
  - Get pointer to start of array before loop
  - Within loop just do pointer reference
  - Not as clean in terms of data abstraction
- CPE: 6.00 (Compiled -O2)
  - Procedure calls are expensive!
  - Bounds checking is expensive

### Eliminate Unneeded Memory Refs

```
void combine4(vec_ptr v, int *dest)
{
  int i;
  int length = vec_length(v);
  int *data = get_vec_start(v);
  int sum = 0;
  for (i = 0; i < length; i++)
    sum += data[i];
  *dest = sum;
}</pre>
```

#### Optimization

- Don't need to store in destination until end
- Local variable sum held in register
- Avoids 1 memory read, 1 memory write per cycle
- CPE: 2.00 (Compiled -O2)
  - Memory references are expensive!

### Optimization Blocker: Memory Aliasing

#### Aliasing

Two different memory references specify single location

#### Example

```
- v: [3, 2, 17]
```

```
- combine3(v, get_vec_start(v)+2) --> ?
```

```
- combine4(v, get_vec_start(v)+2) --> ?
```

#### Observations

- Easy to have happen in C
  - Since allowed to do address arithmetic
  - Direct access to storage structures
- Get in habit of introducing local variables
  - Accumulating within loops
  - Your way of telling compiler not to check for aliasing

### Machine-Independent Opt. Summary

#### Code Motion

- Compilers are good at this for simple loop/array structures
- Don't do well in presence of procedure calls and memory aliasing
- Reduction in Strength
  - Shift, add instead of multiply or divide
    - compilers are (generally) good at this
    - Exact trade-offs machine-dependent
  - Keep data in registers rather than memory
    - compilers are not good at this, since concerned with aliasing
- Share Common Subexpressions
  - compilers have limited algebraic reasoning capabilities

### Important Tools

- Measurement
  - Accurately compute time taken by code
    - Most modern machines have built in cycle counters
    - Using them to get reliable measurements is tricky
  - Profile procedure calling frequencies
    - Unix tool gprof
- Observation
  - Generating assembly code
    - Lets you see what optimizations compiler can make
    - Understand capabilities/limitations of particular compiler

# Code Profiling Example

#### Task

- Count word frequencies in text document
- Produce sorted list of words from most frequent to least

#### Steps

- Convert strings to lowercase
- Apply hash function
- Read words and insert into hash table
  - Mostly list operations
  - Maintain counter for each unique word
- Sort results

#### Data Set

- Collected works of Shakespeare
- 946,596 total words, 26,596 unique
- Initial implementation: 9.2 seconds

### Shakespeare's most frequent words

_	
29,801	the
27,529	and
21,029	
20,957	to
18,514	of
15,370	а
14,010	you
12,936	my
11,722	in
11,519	that

## Code Profiling

- Augment Executable Program with Timing Functions
  - Computes (approximate) amount of time spent in each function
  - Time computation method
    - Periodically (~ every 10ms) interrupt program
    - Determine what function is currently executing
    - Increment its timer by interval (e.g., 10ms)
  - Also maintains counter for each function indicating number of times called
- Using

```
gcc -02 -pg prog.c -o prog./prog
```

• Executes in normal fashion, but also generates file gmon.out gprof prog

• Generates profile information based on gmon.out

## Profiling Results

% CU	mulative	self		self	total	
time	seconds	seconds	calls	ms/call	ms/call	name
86.60	8.21	8.21	1	8210.00	8210.00	sort_words
5.80	8.76	0.55	946596	0.00	0.00	lower1
4.75	9.21	0.45	946596	0.00	0.00	find_ele_rec
1.27	9.33	0.12	946596	0.00	0.00	h_add

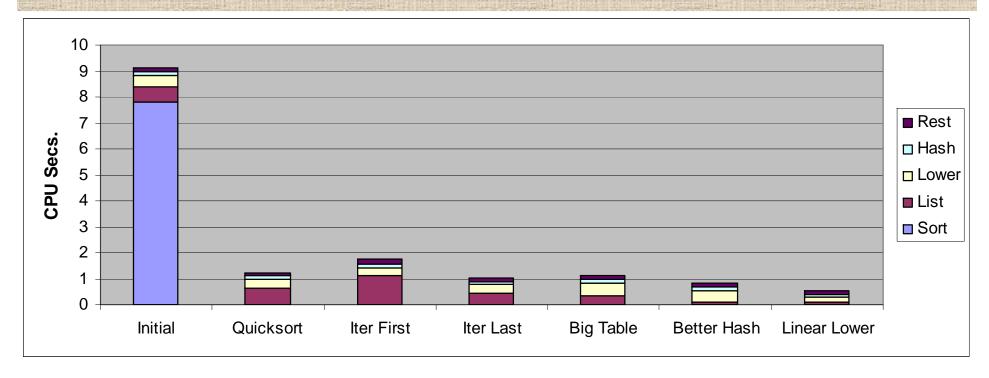
#### Call Statistics

Number of calls and cumulative time for each function

#### Performance Limiter

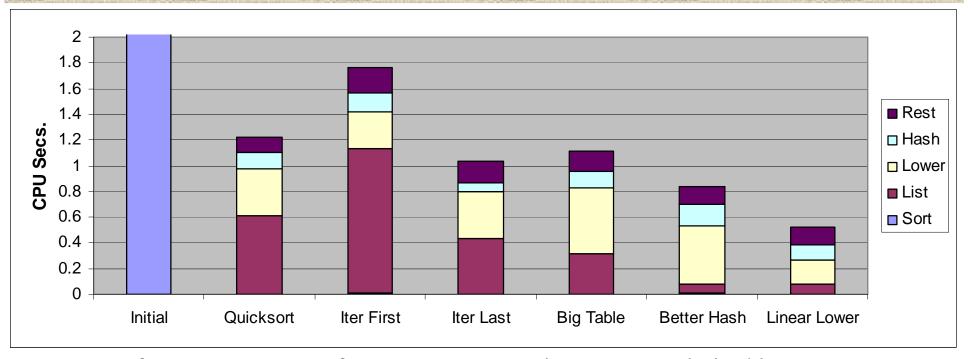
- Using inefficient sorting algorithm
- Single call uses 87% of CPU time

# Code Optimizations



- First step: Use more efficient sorting function
- Library function qsort

# Further Optimizations



- Iter first: Use iterative function to insert elements into linked list
  - Causes code to slow down
- Iter last: Iterative function, places new entry at end of list
  - Tend to place most common words at front of list
- Big table: Increase number of hash buckets
- Better hash: Use more sophisticated hash function
- Linear lower: Move strlen out of loop

### Profiling Observations

#### Benefits

- Helps identify performance bottlenecks
- Especially useful when have complex system with many components

#### Limitations

- Only shows performance for data tested
- E.g., linear lower did not show big gain, since words are short
  - Quadratic inefficiency could remain lurking in code
- Timing mechanism fairly crude
  - Only works for programs that run for > 3 seconds