

## 1.Data Structures: Rooted Trees (有根树)

定义: A rooted tree,  $T$ , is a set of nodes which store elements in a parent-child relationship. 节点间有明显的层次关系。

$T$  has a special node,  $r$ , called the **root** of  $T$ . 树的根节点 (没有父节点)。

Each node of  $T$  (excluding the root node  $r$ ) has a parent node. 除根节点外所有节点都有父节点。

相关术语:

子节点与父节点: If node  $u$  is the parent of node  $v$ , then  $v$  is a child of  $u$ .

同级节点: 拥有同一个父节点的子节点。Two nodes that are children of the same parent are called **siblings**.

外部节点与内部节点: A node is a **leaf (external)** if it has no children and **internal** otherwise.

有序树: A tree is ordered if there is a linear ordering defined for the children of each internal node (i.e. an internal node has a distinguished first child, second child, etc). 即一个内部节点的不同外部节点区分开来。

**Binary Trees (二叉树)** :

A binary tree is a rooted ordered tree in which every node has at most two children. 每个节点最多有两个子节点。

A binary tree is **proper** if each internal node has exactly two children. 合格的二叉树每个内部节点都恰好有两个子节点。

Each child in a binary tree is labeled as either **a left child** or **a right child**.

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## 2.Tree ADT Methods

访问方法:

- `root()`: return the root of the tree. 返回根节点。
- `parent(v)`: return parent of  $v$ . 返回 $v$ 的父节点。
- `children(v)`: return links to  $v$ 's children. 返回 $v$ 的子节点。

查询方法:

- `isInternal(v)`: test whether  $v$  is internal node. 判断是否为内部节点。
- `isExternal(v)`: test whether  $v$  is external node. 判断是否为外部节点。
- `isRoot(v)`: test whether  $v$  is the root. 判断是否为根节点。

其他方法:

- `size()`: return the number of nodes in the tree. 返回节点数。
- `elements()`: return a list of all elements. 返回树中所有的元素。
- `positions()`: return a list of addresses of all elements. 返回树中所有元素的位置。
- `swapElements(u,v)`: swap elements stored at positions  $u$  and  $v$ . 交换元素。
- `replaceElements(v,e)`: replace element at address  $v$  with element  $e$ . 取代元素。

**Depth (深度) of a node in a tree and Height (高度) of a tree:**

深度: The depth of a node,  $v$ , is number of ancestors of  $v$ , excluding  $v$  itself. This is easily computed by a recursive function.

DEPTH( $T, v$ )

```
1 if  $T.isRoot(v)$ 
2 then return 0
3 else return 1 + DEPTH( $T, T.parent(v)$ )
```

高度：The height of a tree is equal to the maximum depth of an external node in it. The following pseudo-code computes the height of the subtree rooted at  $v$ .

HEIGHT( $T, v$ )

```
1 if isEXTERNAL( $v$ )
2 then return 0
3 else
4    $h = 0$ 
5   for each  $w \in T.CHILDREN(v)$ 
6     do
7        $h = \text{MAX}(h, \text{HEIGHT}(T, w))$ 
8   return 1 +  $h$ 
```

注意：深度是针对一个节点，高度是针对一个树，深度是从下往上，高度是从上往下。树的高度就是所有节点的深度中最大的那个。

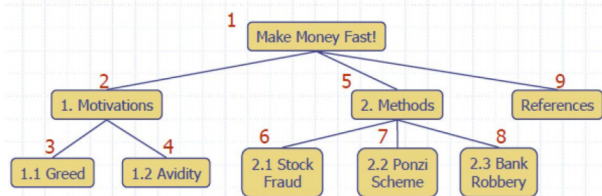
### 3.Tree Traversal (树的遍历)

遍历的目标：以某种特定的顺序访问树中的所有节点。二叉树有三种方式的遍历：

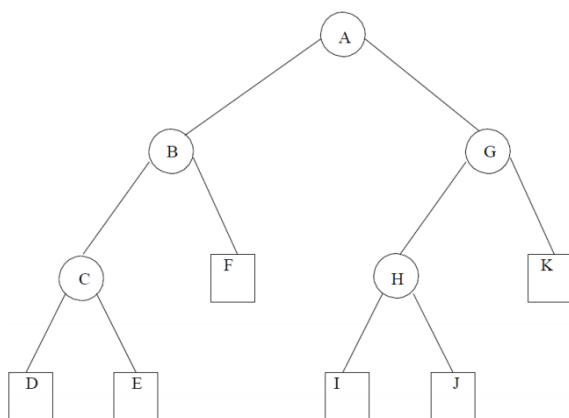
#### 3.1 Preorder traversal in trees

- A traversal visits the nodes of a tree in a systematic manner
- In a preorder traversal, a node is visited before its descendants
- Application: print a structured document

**Algorithm *preOrder*( $v$ )**  
*visit*( $v$ )  
for each child  $w$  of  $v$   
  *preorder*( $w$ )



类似深度优先搜索。首先访问根节点，然后递归的访问子节点。 例如：



► A call to *preorder*( $T, A$ ) would produce: A,B,C,D,E,F,G,H,I,J,K.

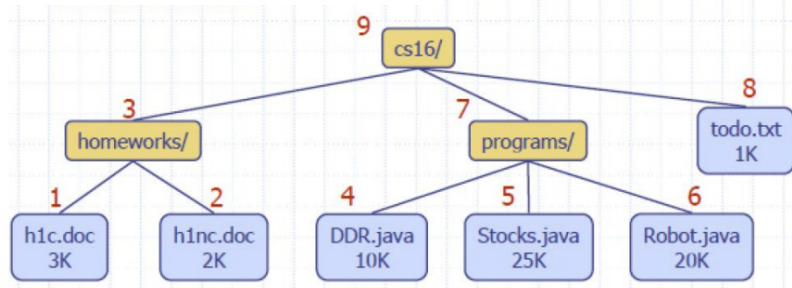
#### 3.2 Postorder traversal of trees

- In a postorder traversal, a node is visited after its descendants.
- Application: compute space used by files in a directory and its sub-directions.

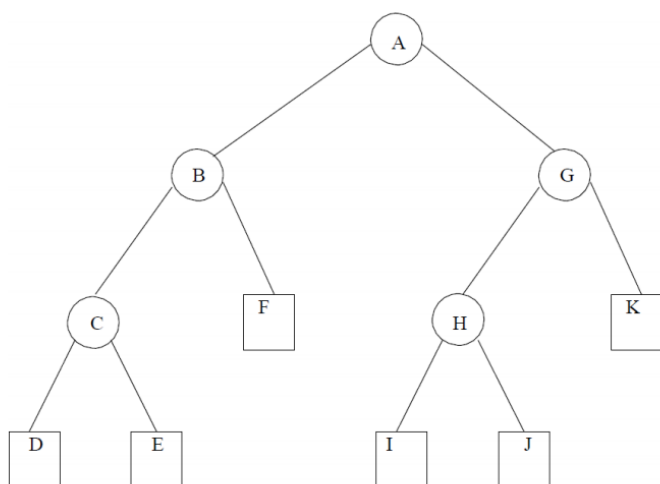
```

Algorithm postOrder(v)
  for each child w of v
    postOrder(w)
  visit(v)

```



对于一个父节点的子节点来说，先遍历完所有的子节点再浏览父节点，然后去遍历下一个父节点的子节点，直到遍历完根节点为止。例如：



► A call to `postorder(T,A)` would produce: D,E,C,F,B,I,J,H,K,G,A.

### 3.3 Inorder traversal in trees

- ◆ In an inorder traversal a node is visited after its left subtree and before its right subtree

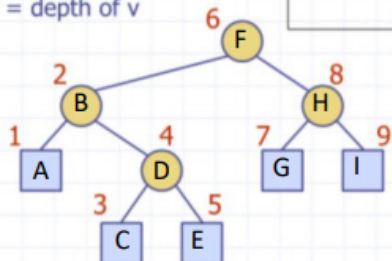
- ◆ Application: draw a binary tree

- $x(v)$  = inorder rank of v
- $y(v)$  = depth of v

```

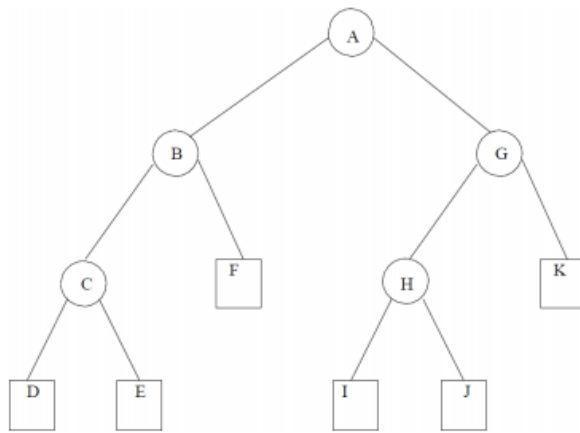
Algorithm inOrder(v)
  if hasLeft(v)
    inOrder(left(v))
  visit(v)
  if hasRight(v)
    inOrder(right(v))

```



这种方式的遍历按左子节点-根节点-右子节点的大致顺序。

首先遍历一个父节点是左子节点的节点，遍历完该节点后遍历该左子节点的父节点，然后转移到父节点的右子节点 (A)，然后依次遍历A的左子节点，A以及A的右子节点，重复上述步骤，或者从根节点开始建立子树来进行遍历。例如：

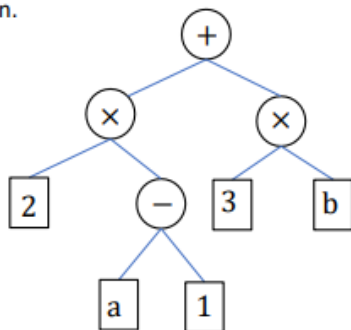


► A call to *inorder*(T,A) would produce: D,C,E,B,F,A,I,H,J,G,K.

实际应用：用于解析算数表达式（Parsing arithmetic expressions）

用二叉树的外部节点表示变量或者常数，内部节点表示操作符号。

- Each *external node* is a *variable* or a *constant*.
- Each *internal node* defines an *arithmetic operation* on its two children.



Traversing the tree in *inorder* gives the valid *postfix* expression that represents this arithmetic calculation:  $(2*(a-1)+(3*b))$

$$Y=[(4+7)*6+(11-5)+3]*[(4+6)*8-3]$$

$$(4+7)*6+(11-5)+3]*[(4+6)*8-3]$$

