

Data Science [ITE4005]

**Programming Assignment #2**

: Build a decision tree, and classify the test set

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## 0. Environment

- OS : Windows
- Language : Python3 (version : 3.4.4)
- Executable file : dt.py (../Programming\_Assignment\_2/project\_dt/dt.py)

## 1. Summary of Algorithm

This programming assignment's goal is "build a decision tree, and classify the test set using it." And, it can be separated "generate a decision tree" and "get test set and classify with decision tree"

I used gain ratio to select proper attribute to maximize the decrement of entropy, and generated tree recursively with classified in each node.

Generating tree, check the node's attribute name list or attribute list is empty, and if it is, return majority class in that node. And, if all values are in one same class, return that class. If node is not in that case, calculate gain ratio and select proper attribute and make child tree. Child tree has all classified tuples in parent tree.

To calculate Gain ratio, first, calculate expected information and residual information in dataset.

$$Info(D) = - \sum_{i=1}^m p_i \log_2(p_i) \quad Info_a(D) = \sum_{j=1}^v \frac{|D_j|}{|D|} \times Info(D_j)$$

and get information gain using  $Info(D)$  and  $Info_a(D)$ . Information gain  $Gain(A)$  is  $Gain(A) = Info(D) - Info_a(D)$

Since this gain is biased towards attributes with large amount of values, to normalize this gain to gain ratio, calculate splitinfo.

$$SplitInfo_a(D) = - \sum_{j=1}^v \frac{|D_j|}{|D|} \times \log_2 \frac{|D_j|}{|D|} \quad , \quad GainRatio(A) = Gain(A) / SplitInfo(A)$$

Choose the attribute which has the maximum gain ratio, and classify the tuples with that attribute.

After generate whole decision tree, get test tuples and trace the tree during the answer is found or tree is end and can't get answer. If tracing finished and can't get answer, find the label which has largest value.

## 2. Description of codes

This assignment program has 3 source code files. "DecisionTree.py", "TreeNode.py", "dt.py". "dt.py" has the main routine of this program.

### Treenode.py

```
3 class TreeNode:
4     value = ""
5     childs = []
6
7     def __init__(self, val, child):
8         self.value = val
9         if isinstance(child, dict):
10             self.childs = child.keys()
11
12     def __repr__(self):
13         return self.value
14
```

Treenode.py has a class named TreeNode. As its name shows, this contains each node's attribute name(value) and list of child node(childs). \_\_init\_\_(self, val, child) is initializer of this class, \_\_repr\_\_(self) returns its attribute name.

### DecisionTree.py

This file is implementing the method which generates tree recursively and functions need for implementing that method.

```
153 # Generate Tree recursively
154 def GenerateTree(attribute_list, attribute_name):
155     target = attribute_name[len(attribute_name)-1]
156     attribute_list = attribute_list[:]
157     values = []
158     idx = attribute_name.index(target)
159     for attr in attribute_list:
160         values.append(attr[idx])
161
162     # if attribute_list is empty or no choice of attribute name, return majority class
163     if not attribute_list or (len(attribute_name) - 1) <= 0:
164         return majorityClass(attribute_name, attribute_list, target)
165
166     #if all values are in same class
167     elif values.count(values[0]) == len(values):
168         return values[0]
169
170     else :
171         # select attribute using gain ratio and generate tree
172         selected = GainRatio(makeDataPartition(attribute_name, attribute_list), attribute_name, len(attribute_list))
173         tree = {selected: {}}
174
175         for value in getValues(attribute_list, attribute_name, selected):
176             all_attribute = getEntries(attribute_list, attribute_name, selected, value)
177             new_attribute = attribute_name[:len(attribute_name)-1]
178             new_attribute.remove(selected)
179
180             #generate child tree
181             child = GenerateTree(all_attribute, new_attribute)
182             tree[selected][value] = child
183             tree[selected]["num"] = getNumOfAnswer(attribute_list)
184
185     return tree
```

GenerateTree(attribute\_list, attribute\_name) is the function which generate tree recursively. In this Function, tree is generated in dictionary(key, value) form. Its terminal condition is ○ attribute list (list of all tuples) is empty or attribute name list is empty so can't choice next node ○ all tuples are in same class. In other case, calculate gain ratio and generate sub-tree with its attribute list and attribute name list except selected

just before.

After generate sub-tree, append the key named 'num' which has the number of each class label in that node's attribute list.

To implement this function, I implemented functions majorityClass(attributes, data, target), GainRatio(D,attribute\_name, total\_attr), getValues(data, attributes, attribute), getEntries(data, attributes, selected, val), getNumOfAnswer(attribute\_list).

```
# follow majority rule to finish tree
def majorityClass(attributes, data, target):
    freq_value = {}
    index = attributes.index(target)
    for entry in data:
        if entry[index] in freq_value:
            freq_value[entry[index]] += 1
        else:
            freq_value[entry[index]] = 1
    max = 0
    major_label = ""
    for key in freq_value.keys():
        if freq_value[key] > max:
            max = freq_value[key]
            major_label = key
    return major_label
```

In majorityClass(attribute, data, target) function, get all class label attribute[index]'s number in parameter data, find most frequent class label and return it.

```
# get proper attributes's value in attribute
def getValues(data, attributes, attribute):
    index = attributes.index(attribute)
    values = []
    for entry in data:
        if entry[index] not in values:
            values.append(entry[index])
    return values
```

getValues(data, attributes, attribute) is the function to get class label in specific attribute attributes[index]. It returns like ['high', 'med', 'low'], ['unacc','acc','good', 'vgood']

```
# get proper entries in all attribute
def getEntries(data, attributes, selected, val):
    all_entries = [[]]
    index = attributes.index(selected)
    for entry in data:
        if entry[index] == val:
            new_entry = []
            for i in range(0, len(entry)):
                if i != index:
                    new_entry.append(entry[i])
            all_entries.append(new_entry)
    all_entries.remove([])
    return all_entries
```

getEntries(data, attributes, selected, val) is the function to get all proper entries in data. It returns like ['high','no','excellent','yes']

```
143 # check all answers in the node
144 def getNumOfAnswer(attribute_list):
145     result_dict = {}
146     for attr in attribute_list:
147         if attr[len(attr)-1] not in result_dict:
148             result_dict[attr[len(attr)-1]] = 1
149         else:
150             result_dict[attr[len(attr) - 1]] += 1
151     return result_dict
```

getNumOfAnswer(attribute\_list) makes dictionary whose key is last class label like ['yes','no'], ['unacc','acc','good', 'vgood'], and value is the number of each class label. The result of this function will be append in tree as {'num': {'yes':3, 'no':2}}

```

23 # calculate gain and splitInfo to get gain ratio
24 def GainRatio(D, attribute_name, total_attr):
25     #get Info(D)
26     info_dic = D[attribute_name[len(attribute_name)-1]]
27     info_val_list = []
28     for k, v in info_dic.items():
29         if k in v:
30             info_val_list.append(v[k])
31
32     info_d = 0.0
33     for i in info_val_list:
34         if i > 0:
35             info_d -= (float(i)/float(total_attr)) * math.log2((float(i)/float(total_attr)))
36         else:
37             info_d -= 0
38     del D[attribute_name[len(attribute_name)-1]]

```

GainRatio(D, attribute\_name, total\_attr) is the function which calculate gain ratio with data partition D, attribute name list, and the number of total attribute. This function returns attribute name which has the maximum gain ratio. So it has several calculation part. First, calculate  $Info(D)$ .

```

40 #calculate gain
41 gain_list = {}
42 for k,v in D.items():
43     calc_info = 0.0
44     for k1, v1 in v.items():
45         total_in_class = 0
46         list_in_class = []
47         for k2, v2 in v1.items():
48             list_in_class.append(v2)
49             total_in_class += v2
50         for num in list_in_class:
51             if num > 0:
52                 info_dj = (float(num)/float(total_in_class)) * math.log2(float(num)/float(total_in_class))
53             else:
54                 info_dj = 0
55             calc_info -= float(total_in_class)/float(total_attr) * info_dj
56     gain_list[k] = info_d - calc_info
57
58 # calculate splitInfo
59 split_info = {}
60 for k, v in D.items():
61     calc_info = 0.0
62     list_in_class = []
63     for k1, v1 in v.items():
64         total_in_class = 0
65         for k2, v2 in v1.items():
66             total_in_class += v2
67         list_in_class.append(total_in_class)
68     for num in list_in_class:
69         if num > 0:
70             calc_info -= (float(num)/float(total_attr)) * math.log2(float(num)/float(total_attr))
71         else:
72             calc_info -= 0
73     split_info[k] = calc_info

```

Make the dictionary gain\_list whose key is attribute name and value is the gain of each attribute. Same way, calculate splitInfo too.

```

75 # do gain/splitInfo to get gain ratio
76 gain_ratio = {}
77 for k, v in gain_list.items():
78     for k1, v1 in split_info.items():
79         if k == k1:
80             gain_ratio[k] = v / v1
81
82 # return max value in the list of gain ratio
83 attr_name = max(gain_ratio, key=gain_ratio.get)
84
85 return attr_name

```

Bind two dictionary as gain\_ratio. find same key in gain\_list and split\_info, calculate gain ratio and insert the dictionary gain\_ratio. Find max value of gain ratio, get its attribute name, and return it.

To implement this function, we need to make data partition D.

```

111 # make data partition D with all attribute and attribute names to calculate gain ratio
112 def makeDataPartition(attribute_name, attribute_list):
113     possible_name = {}
114     for i in range(len(attribute_name)):
115         ith_class = []
116         for attr in attribute_list:
117             if attr[i] not in ith_class:
118                 ith_class.append(attr[i])
119             possible_name[attribute_name[i]] = ith_class
120     class_label = possible_name[attribute_name[len(attribute_name)-1]]
121
122     data_partition = {}
123     for i in range(len(attribute_name)):
124         ith_attr = {}
125
126         for attr in attribute_list:
127             if attr[i] not in ith_attr:
128                 ith_attr[attr[i]] = {attr[len(attribute_name)-1] : 1}
129             else :
130                 if attr[len(attribute_name)-1] not in ith_attr[attr[i]]:
131                     ith_attr[attr[i]][attr[len(attribute_name)-1]] = 1
132                 else :
133                     ith_attr[attr[i]][attr[len(attribute_name) - 1]] += 1
134
135         for k,v in ith_attr.items():
136             for label in class_label:
137                 if label not in v:
138                     v[label] = 0
139
140     data_partition[attribute_name[i]] = ith_attr
141     return data_partition

```

makeDataPartition(attribute\_name, attribute\_list) do that role in this program. Find the number of all class label in each attribute. It returns dictionary like {'credit\_rating': {'fair': {'no': 0, 'yes': 3}, 'excellent': {'no': 2, 'yes': 0}}, 'income': {'medium': {'no': 1, 'yes': 2}, 'low': {'no': 1, 'yes': 1}}, 'student': {'no': {'no': 1, 'yes': 1}, 'yes': {'no': 1, 'yes': 2}}, 'Class:buys\_computer': {'no': {'no': 2, 'yes': 0}, 'yes': {'no': 0, 'yes': 3}}}

## dt.py

This file has the main routine of program like file I/O, test program.

```

3 # written in Python3
4 import DecisionTree
5 import TreeNode
6 import sys
7
8 # test label which has largest value to estimate the best added attr
9
10 if __name__ == '__main__':
11     main()

```

It includes other two file and sys module, and main() function is in this file.

```

50 def main():
51     # get training file, test file and output file's name from command line argument
52     train_file = sys.argv[1]
53     test_file = sys.argv[2]
54     output_file = sys.argv[3]
55

```

In main function, get command line argument using sys module.

```

56 # get training file and make list of columns
57 with open(train_file) as f:
58     train_data = f.readlines()
59     train_data = [d.strip() for d in train_data]
60

```

open training dataset file.

```

61 # make train_data string to attribute list and get each attribute name and total number of columns
62 attribute_list = []
63 total_attribute = 0
64 for line in train_data:
65     each_line = line.split("\t")
66     attribute_list.append(each_line)
67     total_attribute += 1
68 attribute_name = attribute_list[0]
69 attribute_list.pop(0)
70 total_attribute -= 1

```

attribute\_list is the list of entry tuples. Tuples are splitted in list. while generating attribute\_list, count total number of attribute too.

```

72 possible_name = {}
73
74 # get possible classes
75 for i in range(len(attribute_name)):
76     ith_class = []
77     for attr in attribute_list:
78         if attr[i] not in ith_class:
79             ith_class.append(attr[i])
80     possible_name[attribute_name[i]] = ith_class

```

possible name has each attribute and class label. It contains like {'age': ['<=30', '31...40', '>40'], 'credit\_rating': ['fair', 'excellent'], 'Class:buys\_computer': ['no', 'yes'], 'student': ['no', 'yes'], 'income': ['high', 'medium', 'low']}

```

84 # get decision class's label and generate tree
85 decision_label = attribute_name[len(attribute_name) - 1]
86 tree = DecisionTree.GenerateTree(attribute_list, attribute_name)

```

decision\_label is the attribute name to predict in testset. and tree is the dictionary which has decision tree.

```

88 # get test input from test file
89 with open(test_file) as f:
90     test_input = f.readlines()
91 test_input = [d.strip() for d in test_input]
92 test_input.pop(0)
93 test_data = [[]]
94 for line in test_input:
95     test_data.append(line.split("\t"))
96 test_data.remove([])

```

open test\_file and get test input in list.

```

98 # predict decision class and write in output file
99 f = open(output_file, "w")
100 del attribute_name[attribute_name.index(decision_label)]
101 for name in attribute_name:
102     f.write(name + "\t")
103 f.write(decision_label + "\n")

```

open output file and write test input's attribute name.

```

104 for entry in test_data:
105     # call decision tree
106     tempDict = tree.copy()
107     parentDict = tempDict
108     rootDict = tree.copy()
109     rootDict = rootDict[list(rootDict.keys())[0]]
110     result = ""

```

for each testset tuple, copy decision tree dictionary and make it as TreeNode,



```

111 # trace tree while the answer is found or tree is end
112 while isinstance(tempDict, dict):
113     root = TreeNode.TreeNode(list(tempDict.keys())[0], tempDict[list(tempDict.keys())[0]])
114
115     tempDict = tempDict[list(tempDict.keys())[0]]
116     index = attribute_name.index(root.value)
117     value = entry[index]
118
119     if (value in list(tempDict.keys())):
120         child = TreeNode.TreeNode(value, tempDict[value])
121         result = tempDict[value]
122         parentDict = tempDict
123         tempDict = tempDict[value]
124     # can't find the entry in tree, follow majority vote
125     else:
126         result = getMaxLabel(parentDict, tempDict, rootDict)
127         break

```

trace tree while the value is in tree and find the result. Find the attribute value in tree. If it is not exist call the function getMaxLabel(parent\_attr, this\_attr, root\_attr) to choose result.

```

128 for i in entry:
129     f.write(i+"\t")
130     f.write(result+"\n")
131 f.close()

```

After choice result, write entry in output file and repeat with next entry. After predict all entry, close output file. The program is end in this part.

```

8 #get label which has largest value. to estimate non-leaf ended entry
9 def getMaxLabel(parent_attr, this_attr, root_attr):
10     max_array = []
11     max_val = 0
12     #find largest number label
13     for k, v in this_attr["num"].items():
14         if v > max_val:
15             max_array = []
16             max_array.append(k)
17             max_val = v
18         elif v == max_val:
19             max_array.append(k)
20
21     #if two or more label has same value, find parent node's largest label
22     if len(max_array) > 1:
23         parent_max = 0
24         parent_array = []
25         for k, v in parent_attr["num"].items():
26             if v > parent_max:
27                 parent_array = []
28                 parent_array.append(k)
29                 parent_max = v
30             elif v == parent_max:
31                 parent_array.append(k)
32
33     #if two or more label has same value in parent node, find root node's largest label
34     if len(parent_array) > 1:
35         root_array = []
36         root_val = 0
37         for k, v in root_attr["num"].items():
38             if v > root_val:
39                 root_array = []
40                 root_array.append(k)
41                 root_val = v
42             elif v == root_val:
43                 root_array.append(k)
44         return root_array[0]
45     else:
46         return parent_array[0]
47     else:
48         return max_array[0]

```

getMaxLabel(parent\_attr, this\_attr, root\_attr) is the function follows the majority rule. use the dictionary whose key is 'num'. and find max value in num. If max value is duplicate, find same thing in parent node's 'num', and if parent node's max value is duplicate, find in root node.

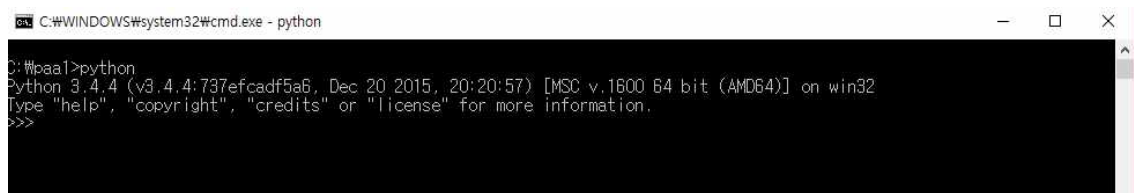
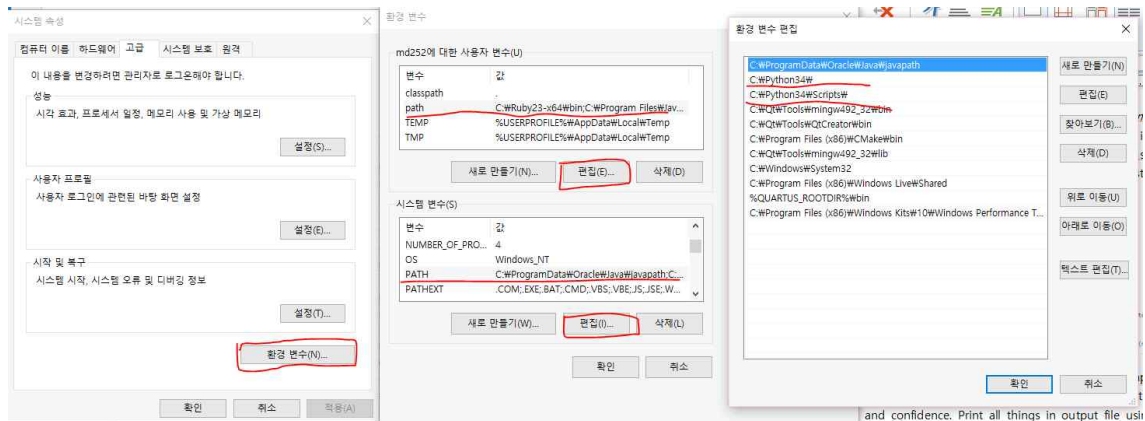


### 3. Instructions for compiling this code

This code is written in Python3 and tested in Python 3.4.4. Since this code has **log2**(to calculate gain ratio), and it only exist in Python3 math module, to run this code, we need python3 interpreter.

We can get python 3.4.4 in (<https://www.python.org/downloads/release/python-344/>)

If python2 is already installed in PC, change path Python2x to Python34 in advanced system settings – environment variables.



After environment variables setting, we can get python3 default in cmd.

In code directory(python file is in /project\_dt), we can run this program on cmd "python dt.py [training data file name] [test set file name] [output file name]".



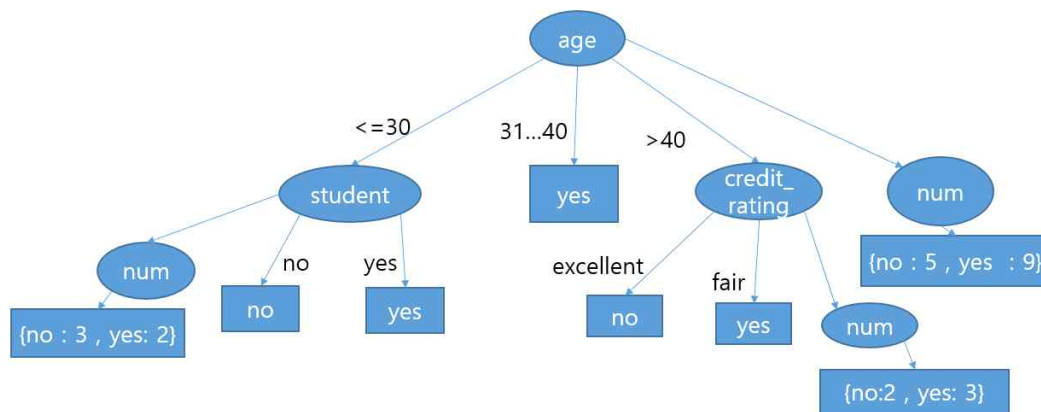
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g\_Assignment\_2/project\_dt/dt.py data/dt\_train.txt data/dt\_test.txt data/dt\_result.txt

#### 4. Other Specifications

I implemented this tree with all attribute node should have the number of its class labels as child of themselves. It was used to classify by majority vote which can't reach leaf node. So tree looks like below.

In dictionary shaped tree, they all have key named 'num' and it contains number of class labels the node has.



tree generated by dt\_train.txt

```
C:\WINDOWS\system32\cmd.exe
C:\Users\md252\Desktop\2017-1수업\데이터사이언스\test>dt_test.exe dt_result.txt dt_answer.txt
5 / 5
C:\Users\md252\Desktop\2017-1수업\데이터사이언스\test>dt_test.exe dt_result1.txt dt_answer1.txt
315 / 345
C:\Users\md252\Desktop\2017-1수업\데이터사이언스\test>
```

the accuracy of this program