

Security Plan Assessment Questionnaire

Security Assessment

1) Does your plan specifically address potential HM transportation security risks?

Comments:

2) Does assessment adequately capture specific threats and vulnerabilities (T&V)?

Comments:

3) Does the assessment adequately capture specific T&V of personnel security?

Comments:

4) Does the assessment adequately capture specific T&V of unauthorized access?

Comments:

5) Does the assessment adequately capture specific T&V of en route security?

Comments:

6) Is the organization's security posture periodically assessed?

Comments:

Security Plan

7) Does the security plan correlate to the security assessment in question 2 above?

Comments:

8) Is the security plan "specific" to the organization?

Comments:

9) Are there written procedures on actions to take in event of a security breach?

Comments:

10) Are there written procedures to report the above to law enforcement?

Comments:

11) Do security plan measures "vary commensurate with the level of threat"?

Comments:

Unauthorized Access

12) Are the personnel security measures appropriate for the assessment as written?

Comments:

13) Are the personnel security measures adequate even if not all risks identified?

Comments:

14) Are the security plan's personnel security measures being followed?

Comments:

15) Do all drivers required to have valid CDLs with required endorsements have them?

Comments:

16) Are required background checks on drivers conducted?

Comments:

17) Is information confirmed for applicants applying for positions dealing with HM?

Comments:

18) Are performance standards or PSOs used in the approach to personnel security?

Comments:

19) Is citizenship or legal residence status confirmed for all employees?

Comments:

20) Is the security plan's approach to unauthorized access operation specific?

Comments:

21) Are the unauthorized access measures appropriate for the assessment as written?

Comments:

22) Are the unauthorized access measures adequate even if not all risks identified?

Comments:

23) Are the security plan's unauthorized access measures being followed?

Comments:

En Route Security

24) Is the security plan's approach to en route security operation specific?

Comments:

25) Are the en route security measures appropriate for the assessment as written?

Comments:

26) Are State/Indian tribe NRHM (non-RAM) routing designations being followed?

Comments:

27) Are written route plans provided to drivers of Division 1.1, 1.2, and 1.3 HM?

Comments:

28) Are drivers of vehicles transporting HMCQ RAM complying with preferred routing?

Comments:

29) Are routing guidelines being followed for vehicles transporting NRHM (non-RAM)?

Comments:

30) Are hazardous materials delivered expeditiously?

Comments:

31) Are the routing regulations and emergency response instructions provided for Division 1.1, 1.2, and 1.3 HM?

Comments:

Security Plan Administration

32) Is the security plan written?

Comments:

33) Is the security plan retained for as long as it remains in effect?

Comments:

34) Is the plan (or parts) available to employees responsible for implementing it?

Comments:

35) Are all copies of the security plan updated and revised as necessary?

Comments:

36) Does the organization have fixed management responsibility for security?

Comments:

Security Training

37) Has security awareness training been provided to all HM employees on schedule?

Comments:

38) Has in-depth training been provided to HM employees with the plan responsibilities?

Comments:

39) Does the in-depth training include company security objectives?

Comments:

40) Does the in-depth training include specific personnel security procedures?

Comments:

41) Does in-depth training material include specific unauthorized access procedures?

Comments:

42) Does in-depth training material include specific en route security procedures?

Comments:

43) Does the in-depth training material include employee responsibilities?

Comments:

44) Does in-depth training include actions to take in event of a security breach?

Comments:

45) Does in-depth training material include an organizational security structure?

Comments:

46) Has the organization established partnerships with other agencies for security?

Comments:

47) Overall, does the security plan conform to Part 172, Subpart I?

Comments:

48) Ensure the individual for security reports to top management?

Comments: