



# Week 5

# Priority Queues

# Maps and Sets

Algorithms and Data Structures

COMP3506/7505

# Week 5 – Priority Queues & Maps

1. Priority queues
2. Heaps
3. Adaptable priority queues
4. Maps
5. Sets

# Priority Queue ADT

- Each entry is a pair (key, value)
- Main methods
  - `insert(k, v)`
  - `removeMin()`
- Additional methods
  - `min()`
  - `size()`, `isEmpty()`

# Queue vs. Priority Queue

## ❑ Queue

- First in, first out (FIFO)
- Elements are added at end of queue

## ❑ Priority Queue

- Elements are stored as Entries
- Entry with highest priority is removed first
  - ◆ lowest key

# Example

## □ Sequence of priority queue methods

Method	Return Value	Priority Queue Contents
insert(5, A)	-	{ (5,A) }

# Example

## □ Sequence of priority queue methods

Method	Return Value	Priority Queue Contents
insert(5, A) insert(9, C)	— —	{ (5,A) } { (5,A), (9,C) }

# Example

## □ Sequence of priority queue methods

Method	Return Value	Priority Queue Contents
insert(5, A)	-	{ (5,A) }
insert(9, C)	-	{ (5,A), (9,C) }
insert(3, B)	-	{ (3,B), (5,A), (9,C) }

# Example

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insert(3, B)	–	{ (3,B), (5,A), (9,C) }
min()	(3,B)	{ (3,B), (5,A), (9,C) }

# Example

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# Example

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insert(3, B)	–	{ (3,B), (5,A), (9,C) }
min()	(3,B)	{ (3,B), (5,A), (9,C) }
removeMin()	(3,B)	{ (5,A), (9,C) }
insert(7, D)	–	{ (5,A), (7,D), (9,C) }

# Example

## □ Sequence of priority queue methods

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insert(5, A)	–	{ (5,A) }
insert(9, C)	–	{ (5,A), (9,C) }
insert(3, B)	–	{ (3,B), (5,A), (9,C) }
min()	(3,B)	{ (3,B), (5,A), (9,C) }
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insert(7, D)	–	{ (5,A), (7,D), (9,C) }
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# Example

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# Example

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# Example

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removeMin()	(7,D)	{ (9,C) }
removeMin()	(9,C)	{ }
removeMin()	null	{ }

# Example

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insert(7, D)	–	{ (5,A), (7,D), (9,C) }
removeMin()	(5,A)	{ (7,D), (9,C) }
removeMin()	(7,D)	{ (9,C) }
removeMin()	(9,C)	{ }
removeMin()	null	{ }
isEmpty()	true	{ }

# Entry ADT: “Maps” key to value

- An entry in a priority queue is simply a key-value pair
- Methods
  - getKey
  - getValue

```
public class Entry implements Comparable<Entry> {  
    private int key;  
    private String value;  
  
    public Entry(int k, String v) {  
        this.key = k;  
        this.value = v;  
    }  
  
    @Override  
    public int compareTo(Entry other) {  
        return Integer.compare(this.key, other.key);  
    }  
  
    public int getKey() { return key; }  
    public String getValue() { return value; }  
}
```

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    private int key;  
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    public Entry(int k, String v) {  
        this.key = k;  
        this.value = v;  
    }
```

```
Entry e1 = new Entry(5, "Five");  
Entry e2 = new Entry(10, "Ten");  
  
if (e1.compareTo(e2) < 0) {  
    System.out.println("e1 key smaller than e2 key");  
}
```

```
try other) {  
are(this.key, other.key);  
  
return key; }  
public String getValue() { return value; }  
}
```

# Total Order Relations

- Mathematical concept of total order relation  $\leq$ 
  - Comparability property
    - ◆ either  $x \leq y$  or  $y \leq x$
  - Antisymmetric property
    - ◆  $x \leq y$  and  $y \leq x \Rightarrow x = y$
  - Transitive property
    - ◆  $x \leq y$  and  $y \leq z \Rightarrow x \leq z$

Note: Imposing a total order on your keys means that trees are representing a kind of *ordered map*

Note, however, that true “maps” require key uniqueness  
– we **do not** enforce that here for trees

# Comparator ADT

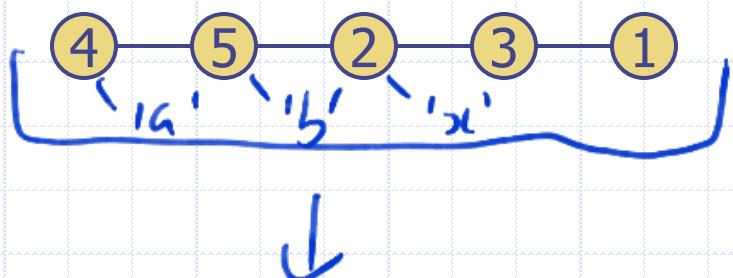
- Generic priority queue uses an auxiliary comparator
- `compare(a, b)` – returns an integer  $i$  such that
  - $i < 0$  if  $a < b$ ,
  - $i = 0$  if  $a = b$
  - $i > 0$  if  $a > b$
  - error occurs if  $a$  and  $b$  cannot be compared

# Example Comparator

```
public class Point implements Comparable<Point> {  
    private int x, y;  
  
    public Point(int x, int y) {  
        this.x = x;  
        this.y = y;  
    }  
    public int getX() { return x; }  
    public int getY() { return y; }  
  
    @Override  
    public int compareTo(Point other) {  
        if (this.x == other.x) {  
            return Integer.compare(this.y, other.y);  
        } else {  
            return Integer.compare(this.x, other.x);  
        }  
    }  
}
```

# Sequence-Based Priority Queue

- Implementation with an unsorted list



# Sequence-Based Priority Queue

- Implementation with an unsorted list



- Performance
  - insert takes  $O(1)$  time
  - removeMin and min take  $O(n)$  time

# Sequence-Based Priority Queue

- Implementation with an unsorted list



- Implementation with a sorted list



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- Implementation with an unsorted list



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# Sequence-Based Priority Queue

- Implementation with an unsorted list



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- Performance

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- removeMin and min take  $O(1)$  time

**Think:** Do these performance characteristics change if we use a linked list vs a (dynamic) array?

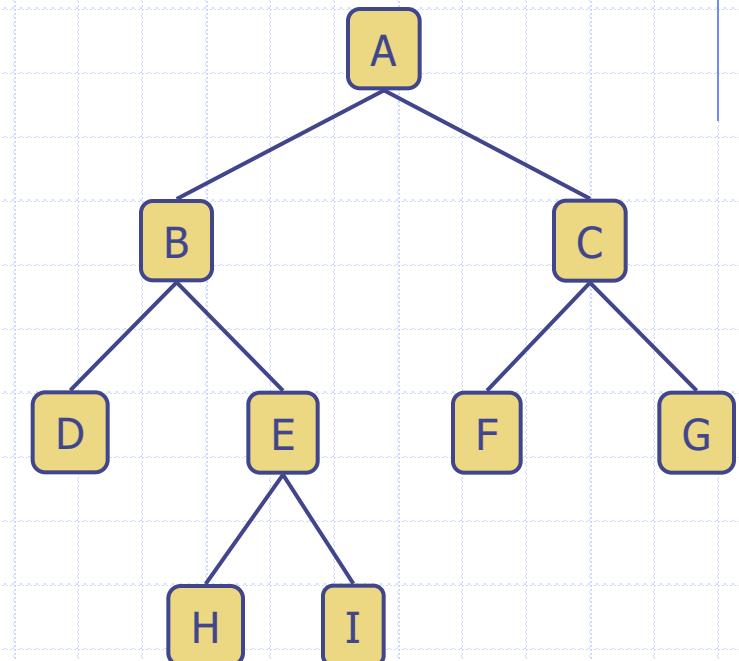
# Week 5 – Priority Queues and Maps

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# Recall Binary Trees

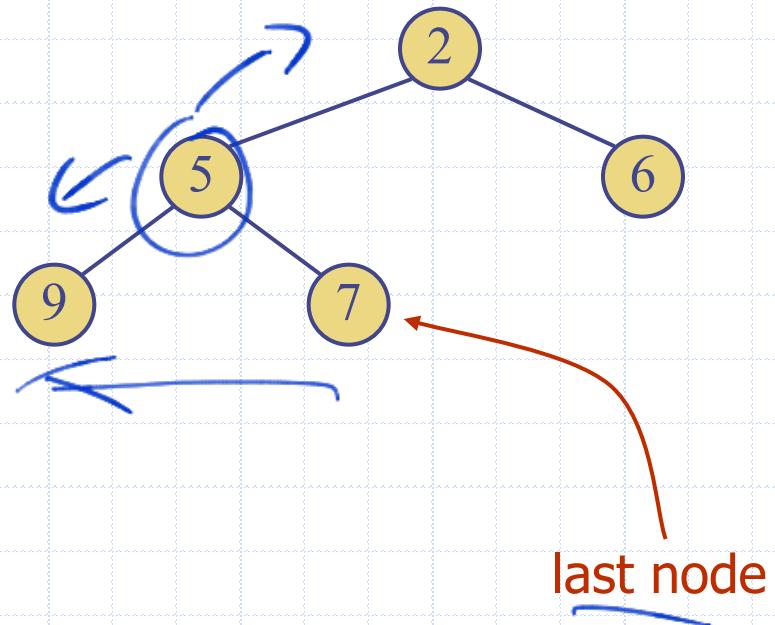
- Each internal node has at most two children
  - exactly two for **proper** binary trees
- Node's children are an ordered pair
- Internal nodes have a **left** and **right child**



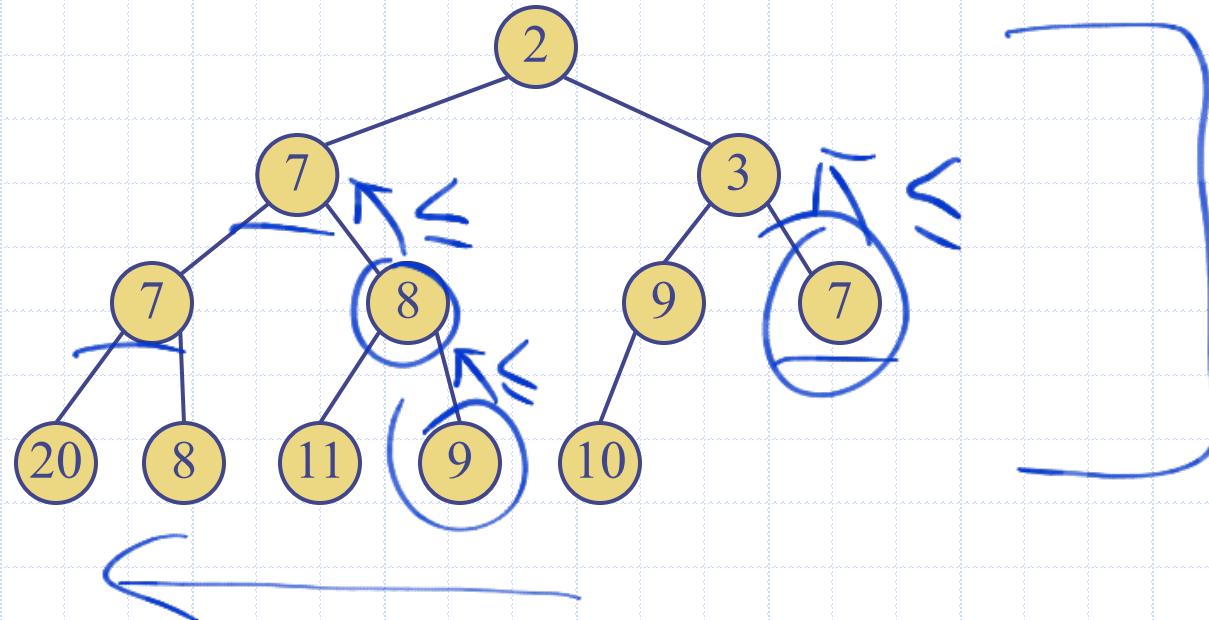
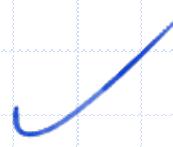
# Min Heaps

Max

- Binary tree storing keys at its nodes and satisfying the following properties:
- \*
  - **Heap-Order:** for every node  $v$  other than the root
    - $\text{key}(v) \geq \text{key}(\text{parent}(v))$
  - **Complete Binary Tree:** let  $h$  be the height of the heap
    - for  $i = 0, \dots, h - 1$ , there are  $2^i$  nodes of depth  $i$
    - at height  $h$ , the internal nodes are to the left of the external nodes

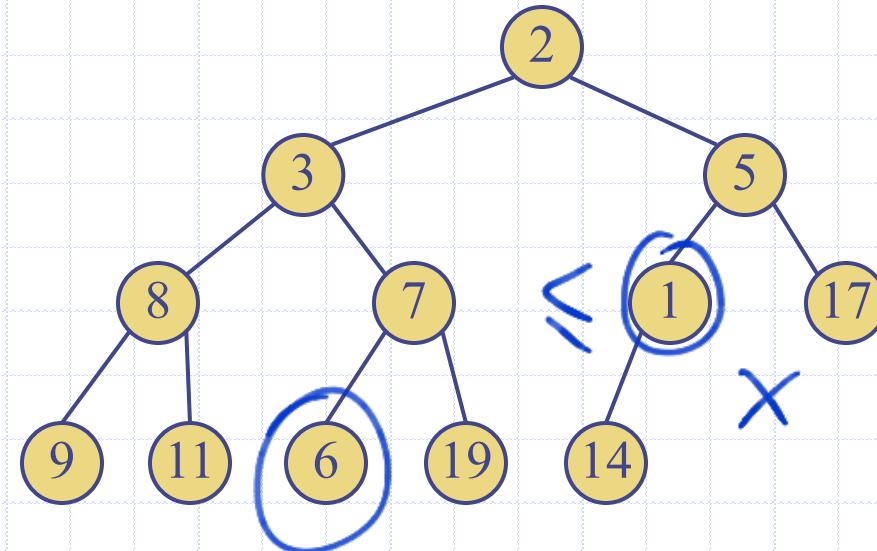


# Is this a (min) heap?



# Is this a min heap?

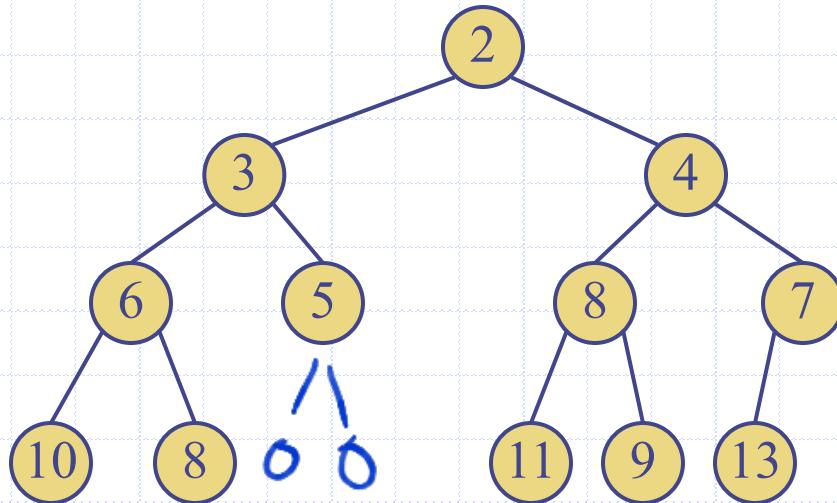
X



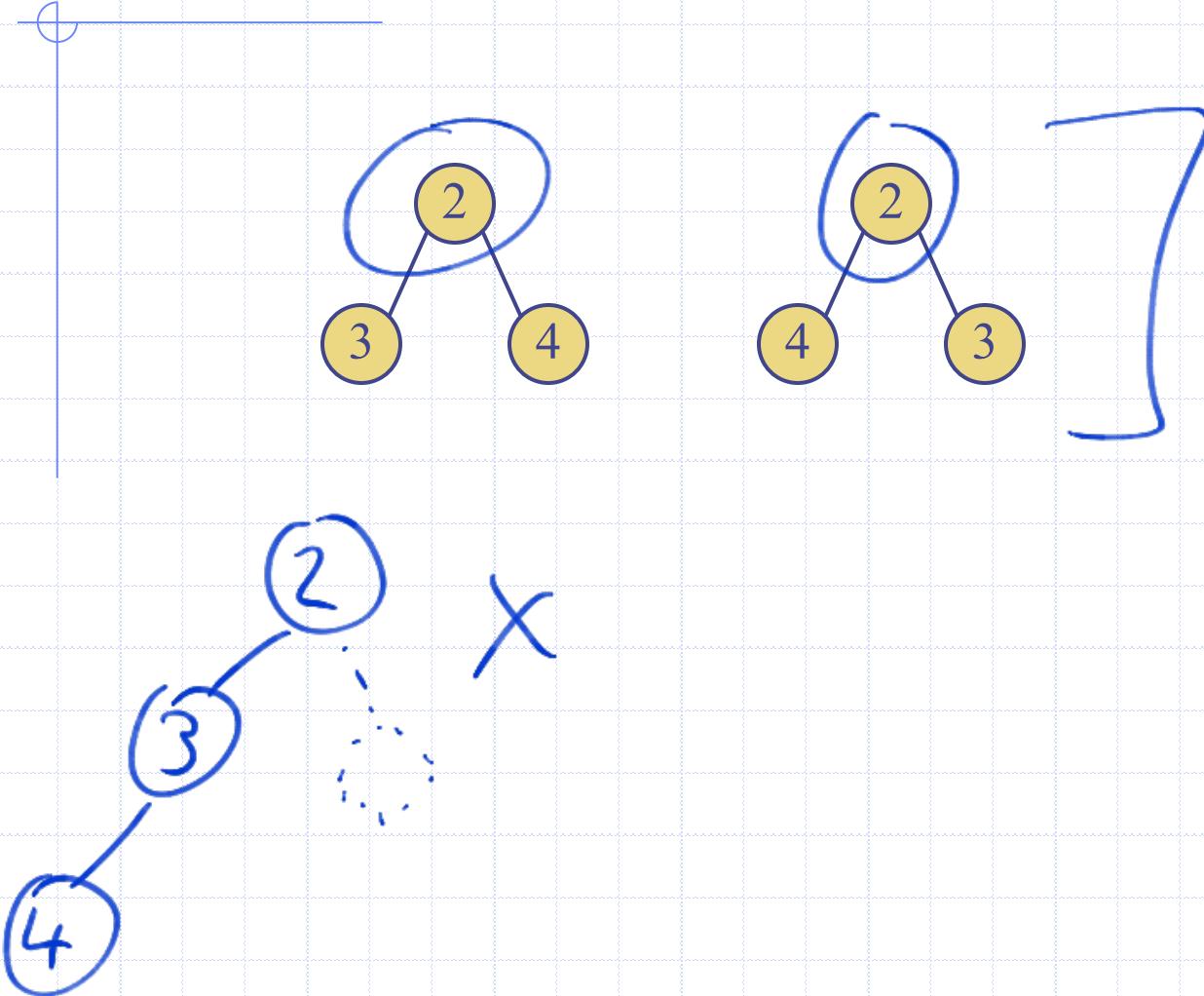
# Is this a min heap?

✗

Complete BT  
Heap order



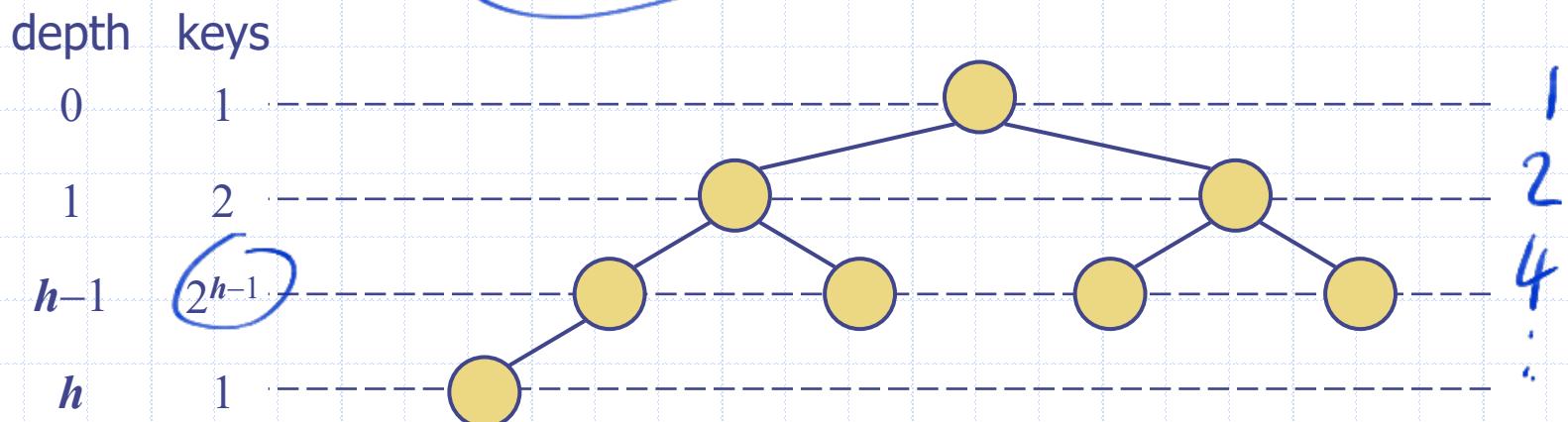
# How many <sup>min</sup>heaps are there containing the keys 2, 3, 4?





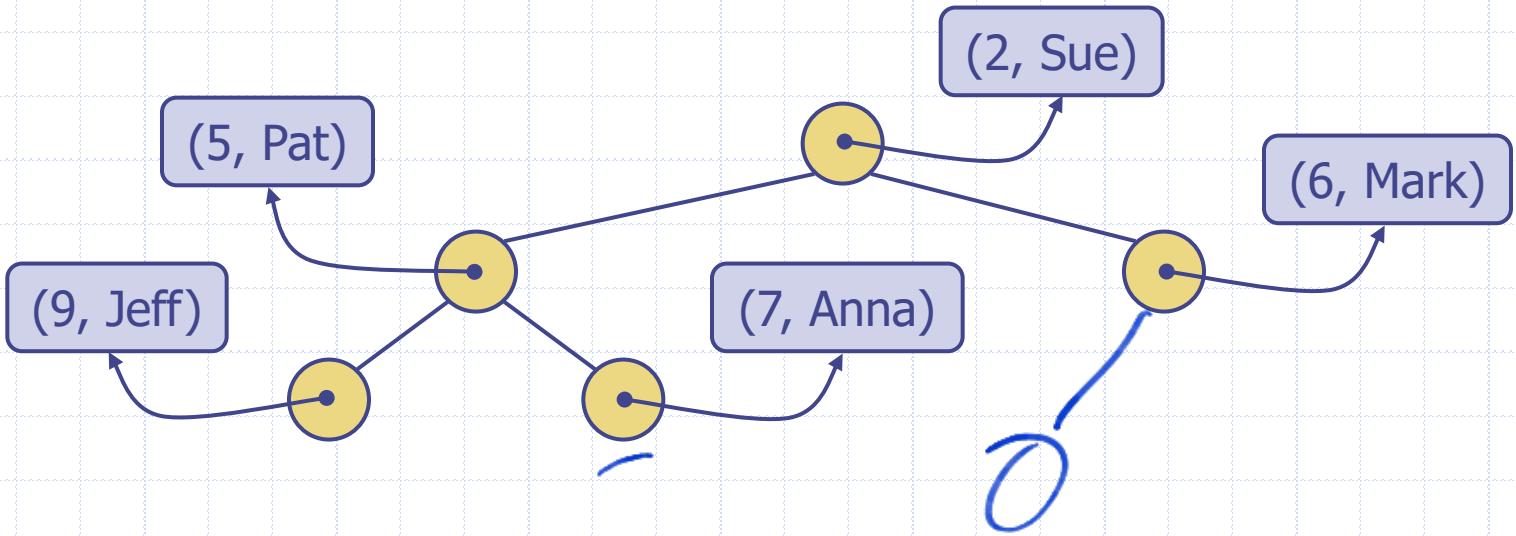
# Height of a Heap

- **Theorem:** A heap storing  $n$  keys has height  $\underline{O(\log n)}$
- **Proof:** (we apply the complete binary tree property)
  - Let  $\underline{h}$  be the height of a heap storing  $n$  keys
  - Since there are  $2^i$  keys at depth  $i = 0, \dots, h - 1$  and at least one key at depth  $h$ , we have  $n \geq 1 + 2 + 4 + \dots + 2^{h-1} + 1 = 2^h - 1 + 1$
  - Thus,  $\underline{n \geq 2^h}$ , i.e.  $h \leq \log n$



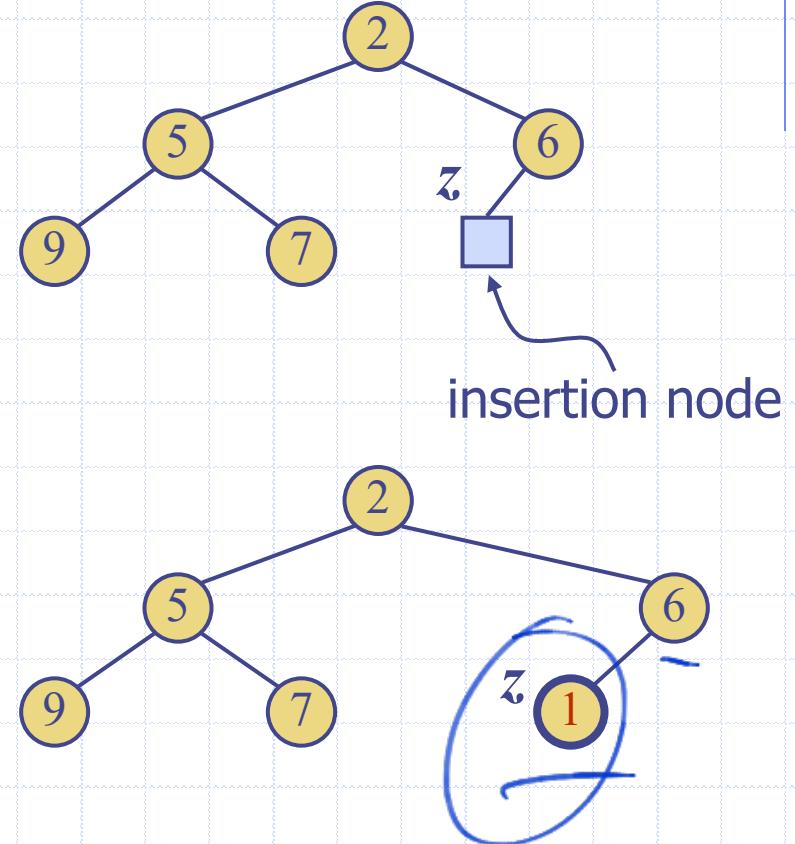
# Heaps and Priority Queues

- ❑ A heap can implement a priority queue
- ❑ Store a (key, element) entry at each node
- ❑ Keep track of the position of the last node



# Insertion into a Heap

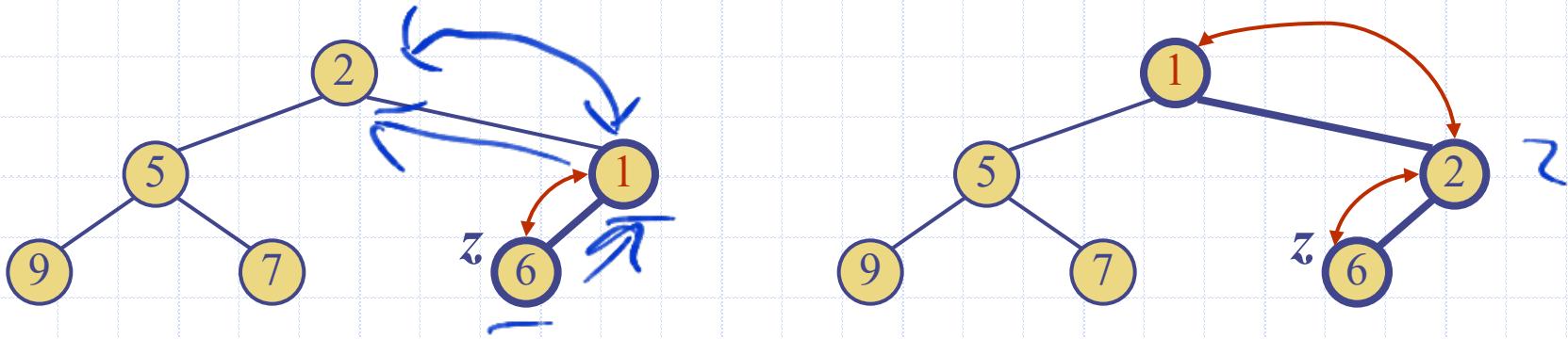
- ❑ insert in the PQ ADT corresponds to inserting key  $k$  into the heap
- ❑ Insertion algorithm
  1. Find the insertion node  $z$ 
    - ◆ new last node
  2. Store  $k$  at  $z$
  3. Restore the heap-order property
    - ◆ discussed next



# Upheap

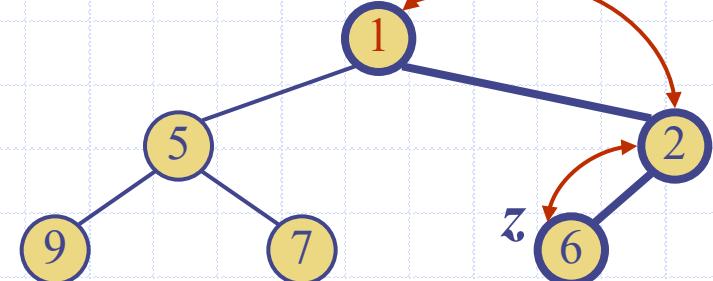
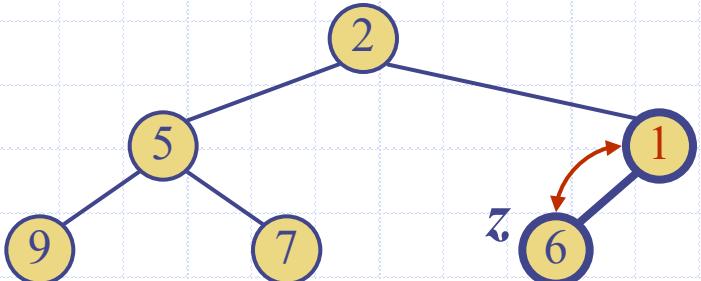
AKA  
Bubbling Up.

- After inserting a new key  $k$ , the heap-order property may be violated
- Think: What would the runtime of upheap be?
  - Hint: What do we know about the tree?



# Upheap

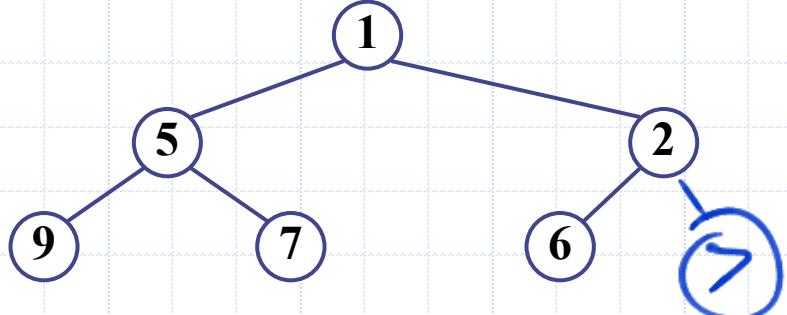
- After inserting a new key  $k$ , the heap-order property may be violated
- Think: What would the runtime of upheap be?
  - Hint: What do we know about the tree?
- Since a heap has height  $O(\log n)$ , upheap runs in  $O(\log n)$  time (worst case)



# Example: Insertion and Upheap Bubbling

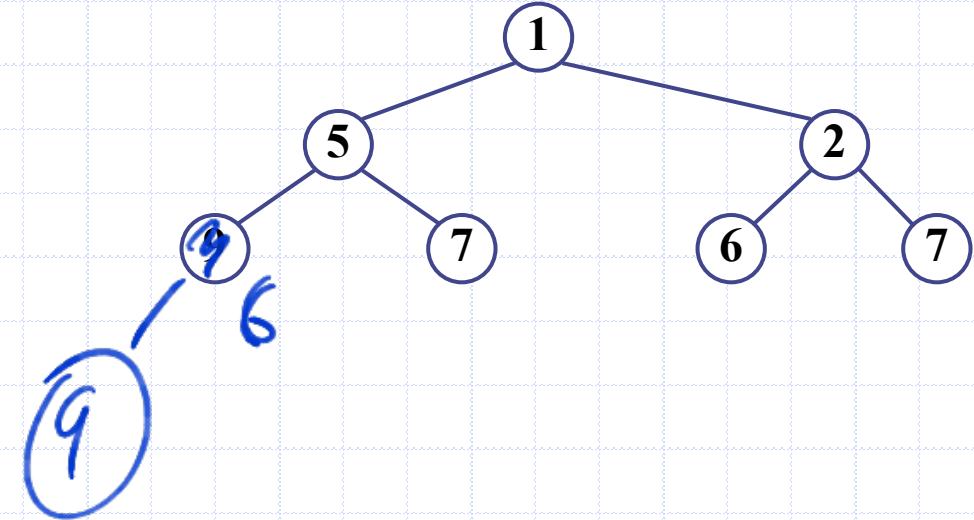
Upheap swaps  $k$  along an upward path from the insertion node, until  $k$  reaches the root or a node whose parent has a key less than or equal to  $k$

Add entries with the keys  
7, 3, and 4



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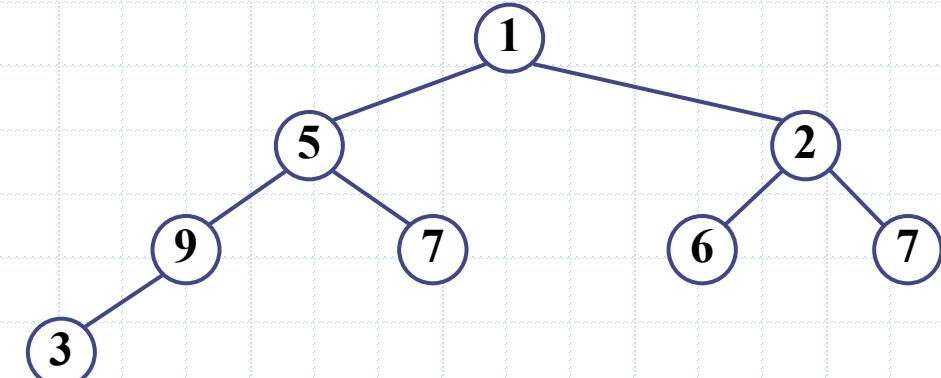


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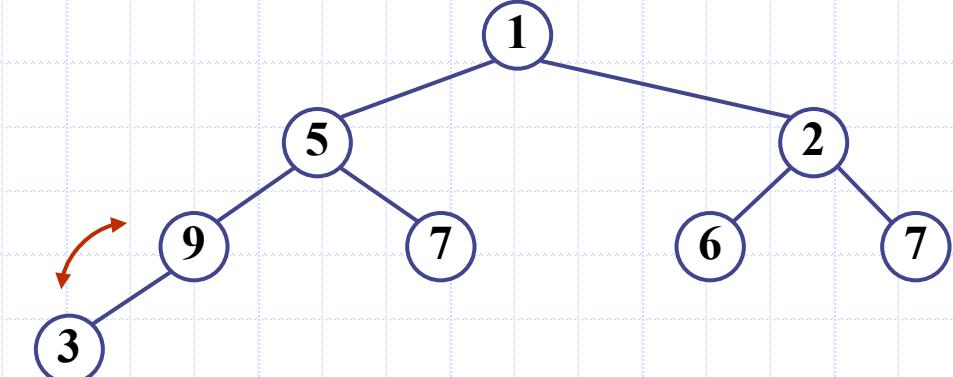
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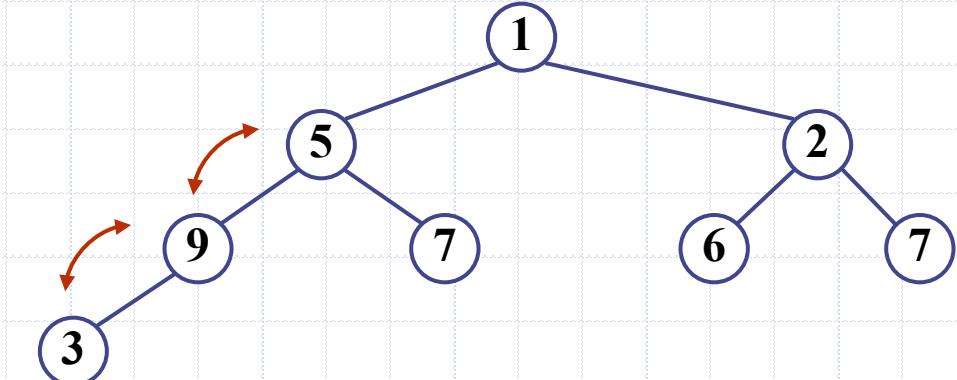
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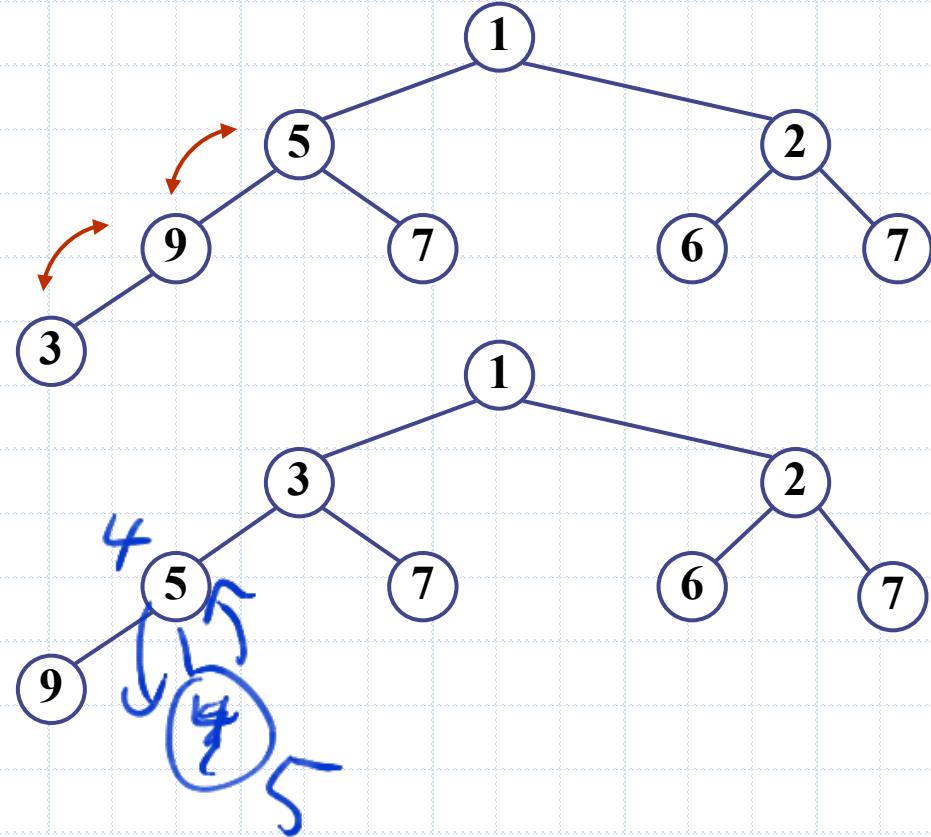
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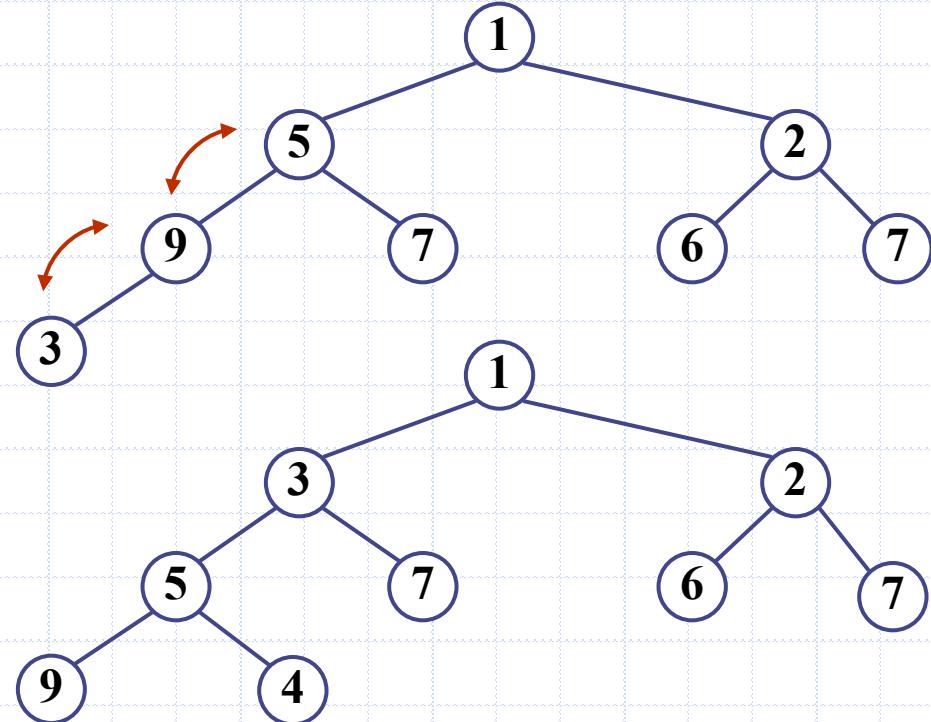
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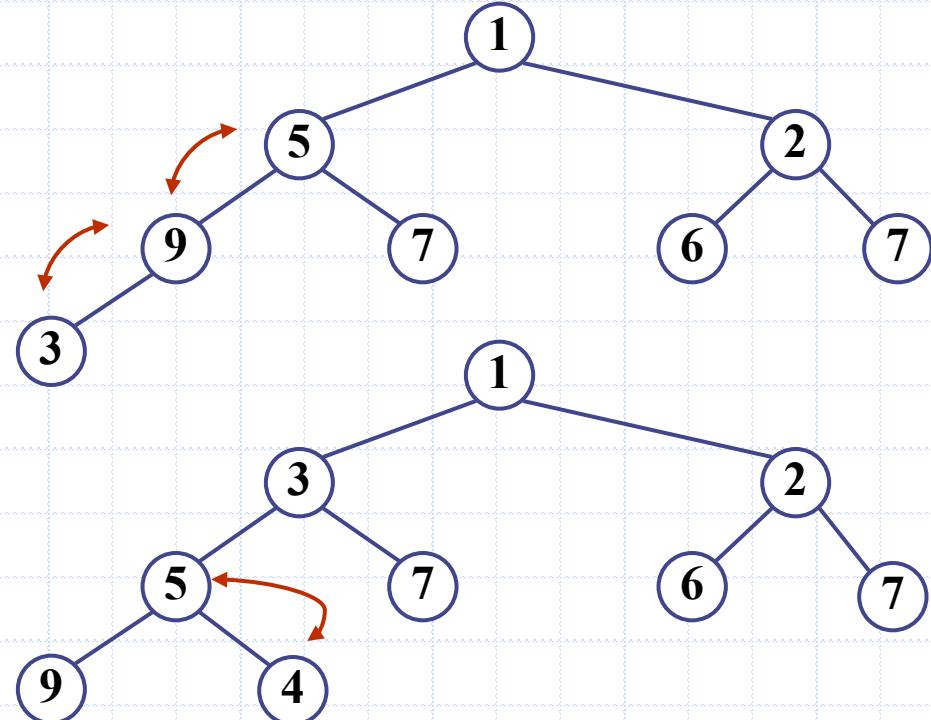
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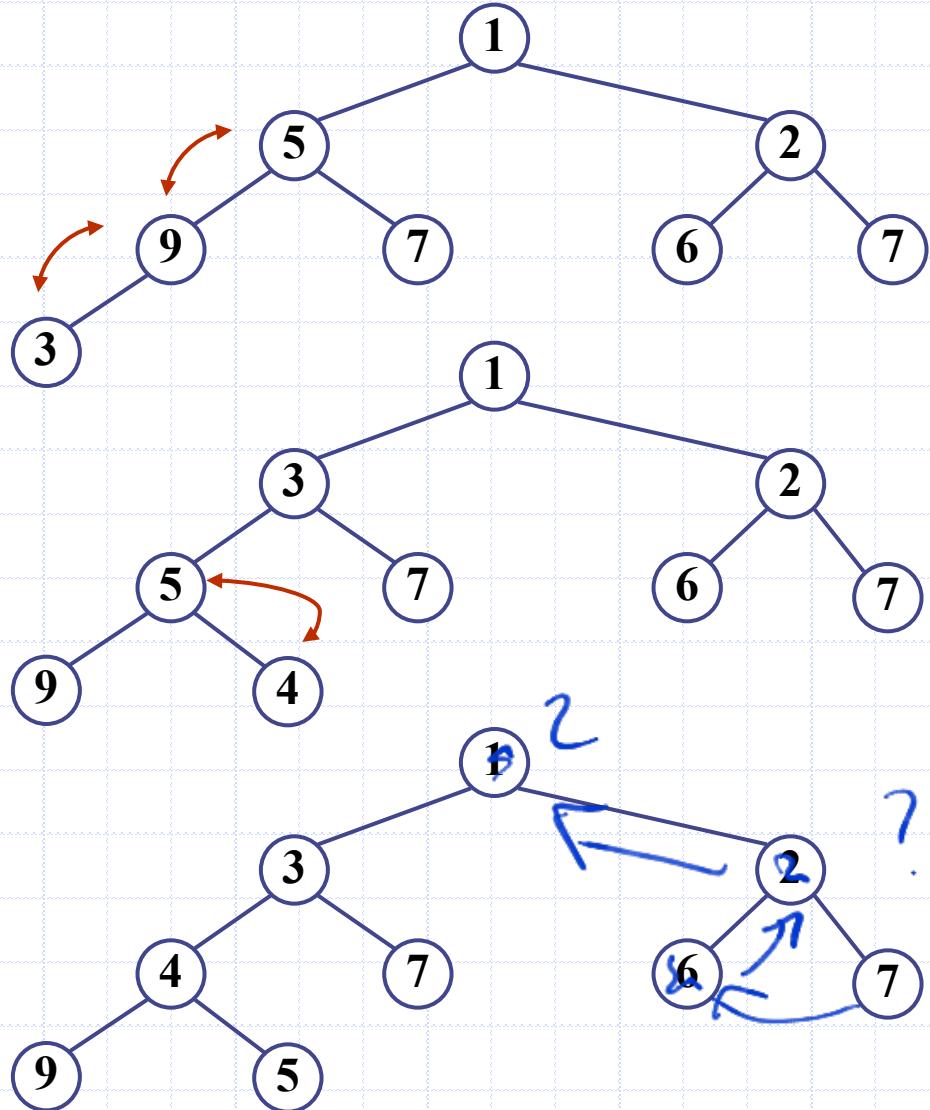
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# Example: Insertion and Upheap Bubbling

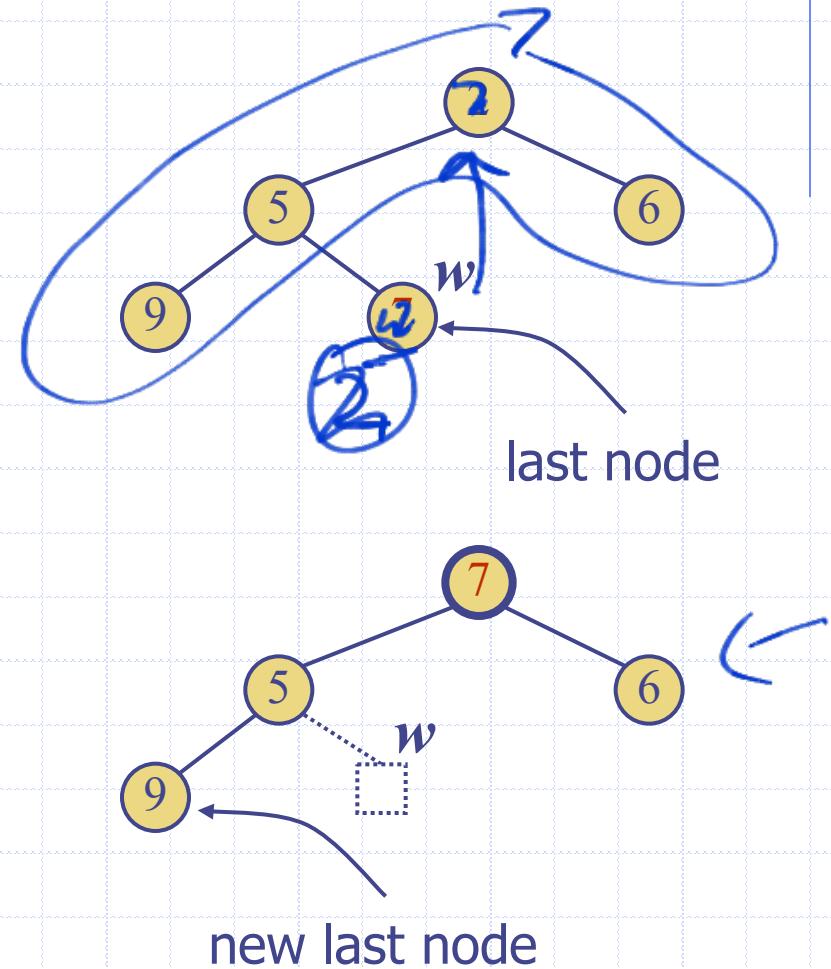
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Add entries with the keys  
7, 3, and 4



# Removal from a Heap

- removeMin in the PQ ADT corresponds to removing the root key from the heap
- Removal algorithm
  - Replace the root key with the key of the last node  $w$
  - Remove  $w$
  - Restore the heap-order property
    - discussed next



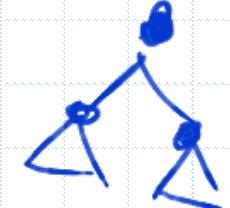
# Downheap

Bubbling down

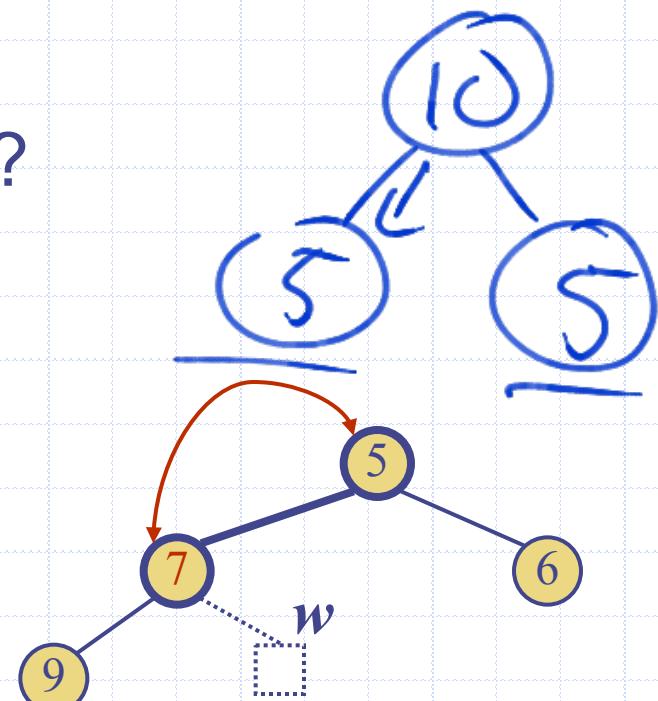
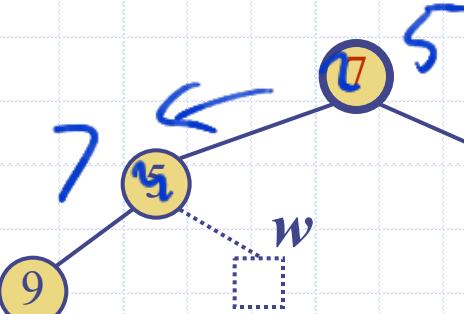
- Since a heap has height  $O(\log n)$ , downheap runs in  $O(\log n)$  time



# Downheap – Which Child?

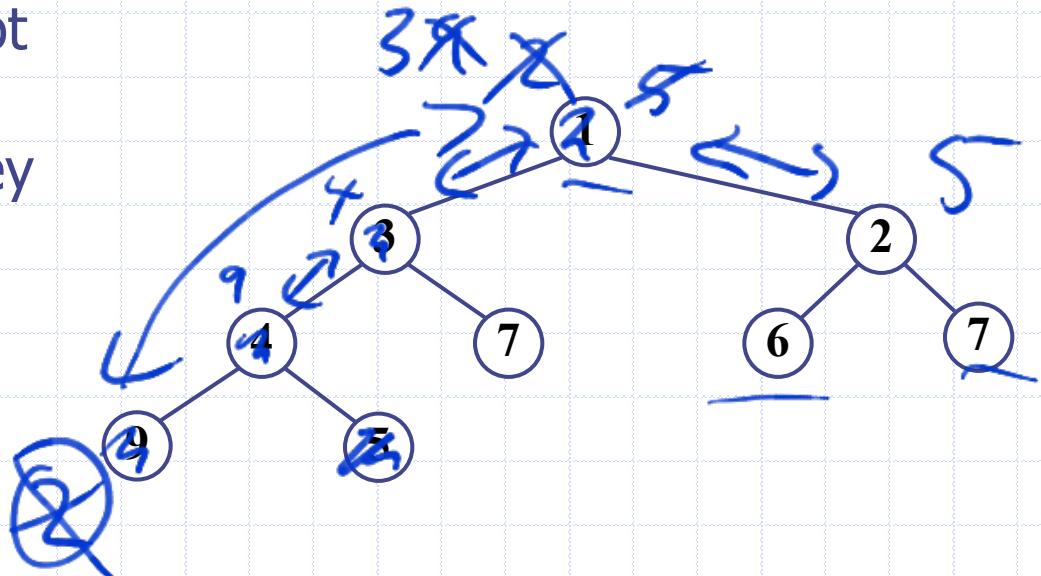


- If a node has no right child, choose the left child
  - If a node has both children, choose the one with the smallest key
- > What if the keys are equal?



# Example: Deletion and Downheap Bubbling

Downheap replaces root key with key  $k$  of the last node and swaps key  $k$  along a downward path from the root

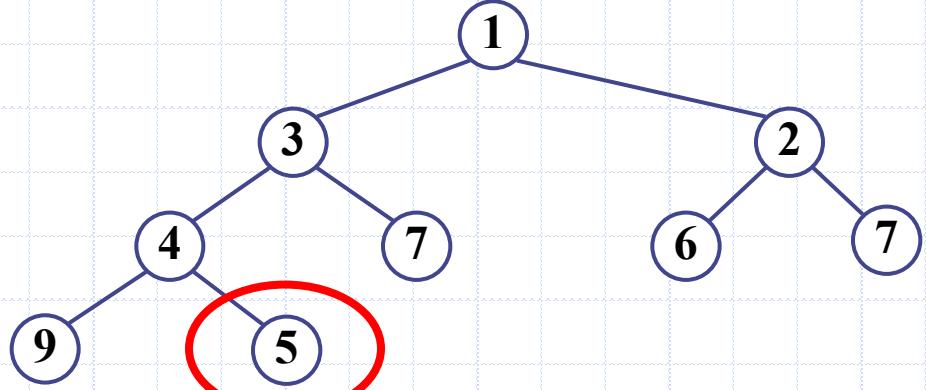


Delete two items from the heap:

1, 2

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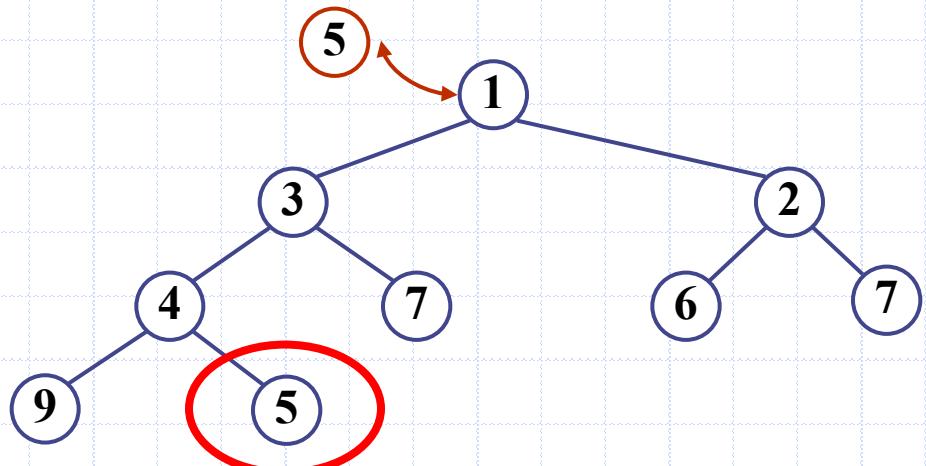


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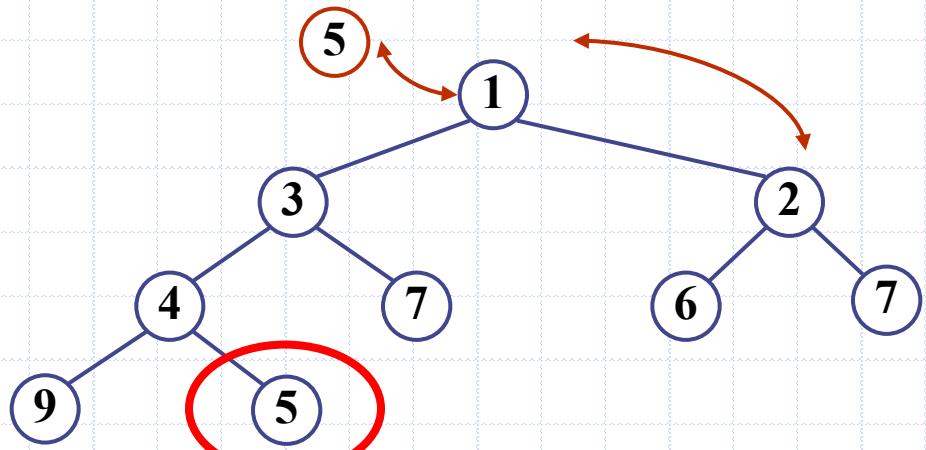


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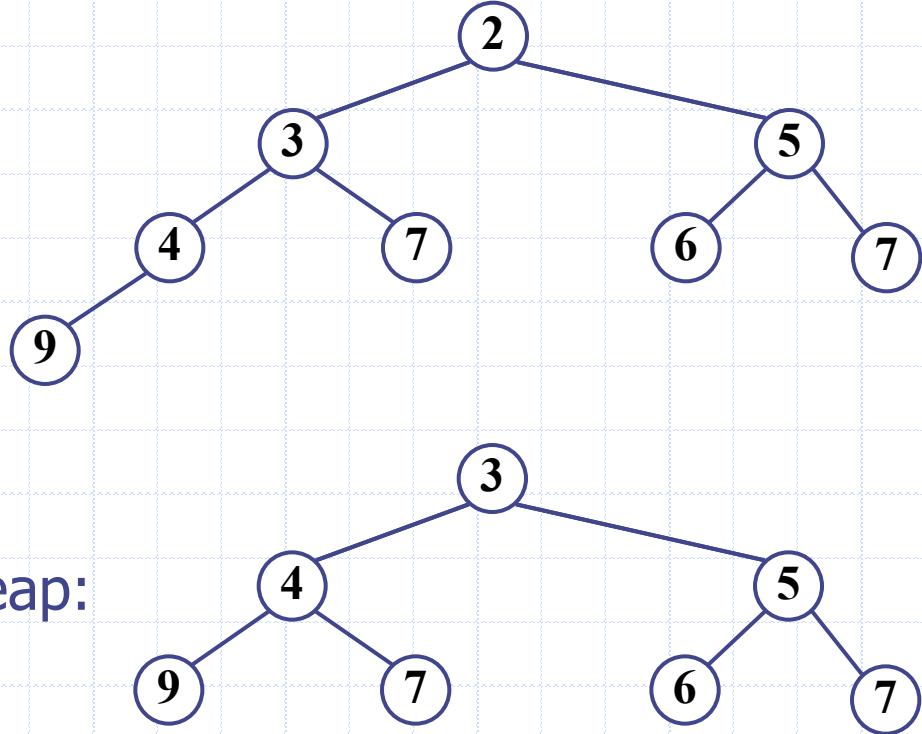


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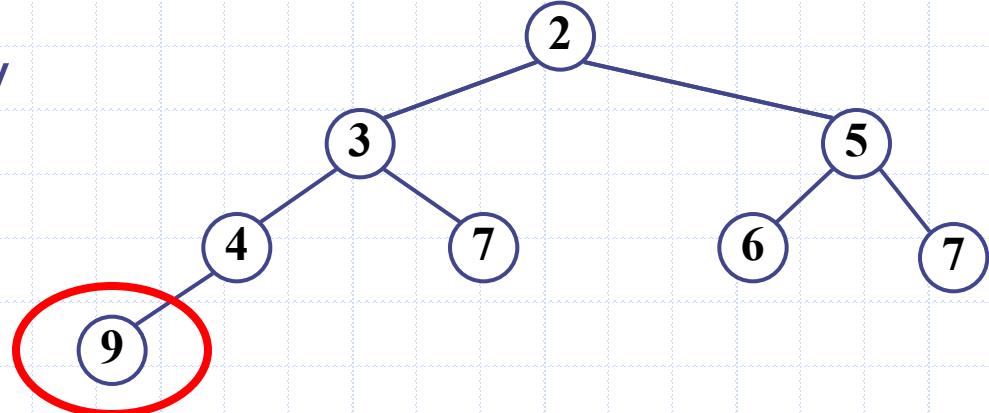


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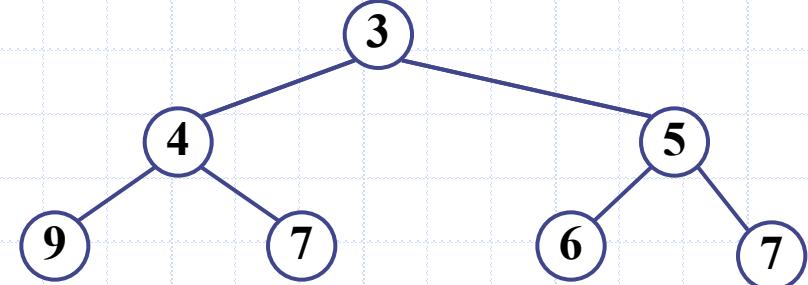
# Example: Deletion and downheap bubbling

Downheap replaces root key with key  $k$  of the last node and swaps key  $k$  along a downward path from the root



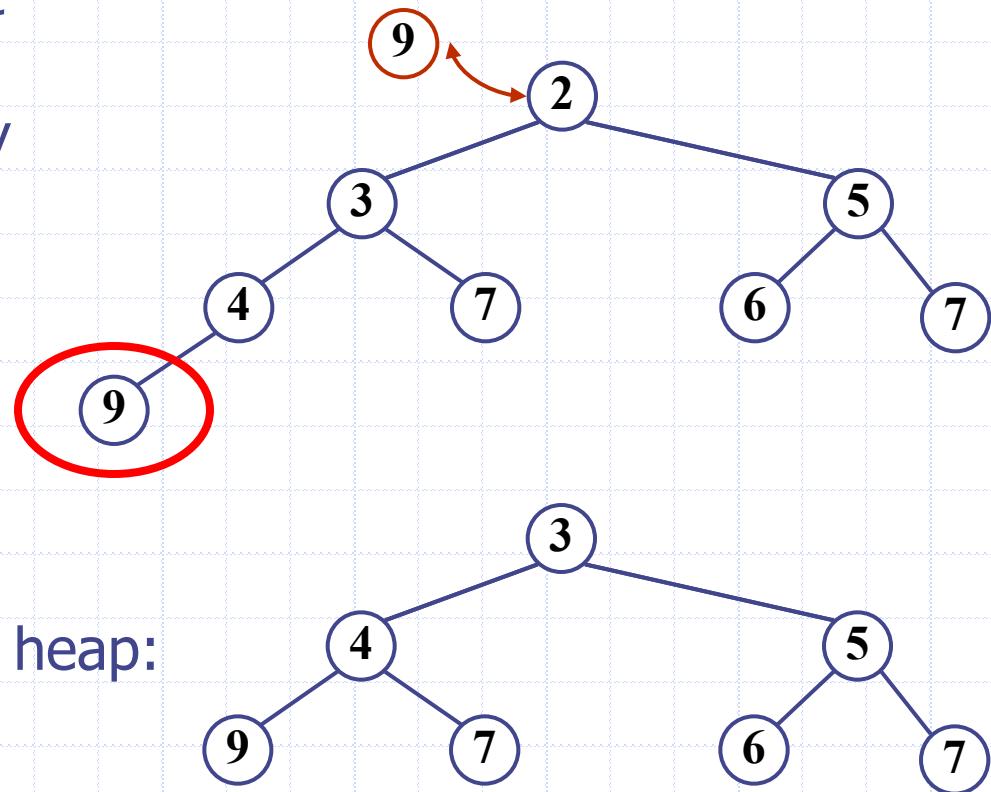
Delete two items from the heap:

1, 2



# Example: Deletion and downheap bubbling

Downheap replaces root key with key  $k$  of the last node and swaps key  $k$  along a downward path from the root

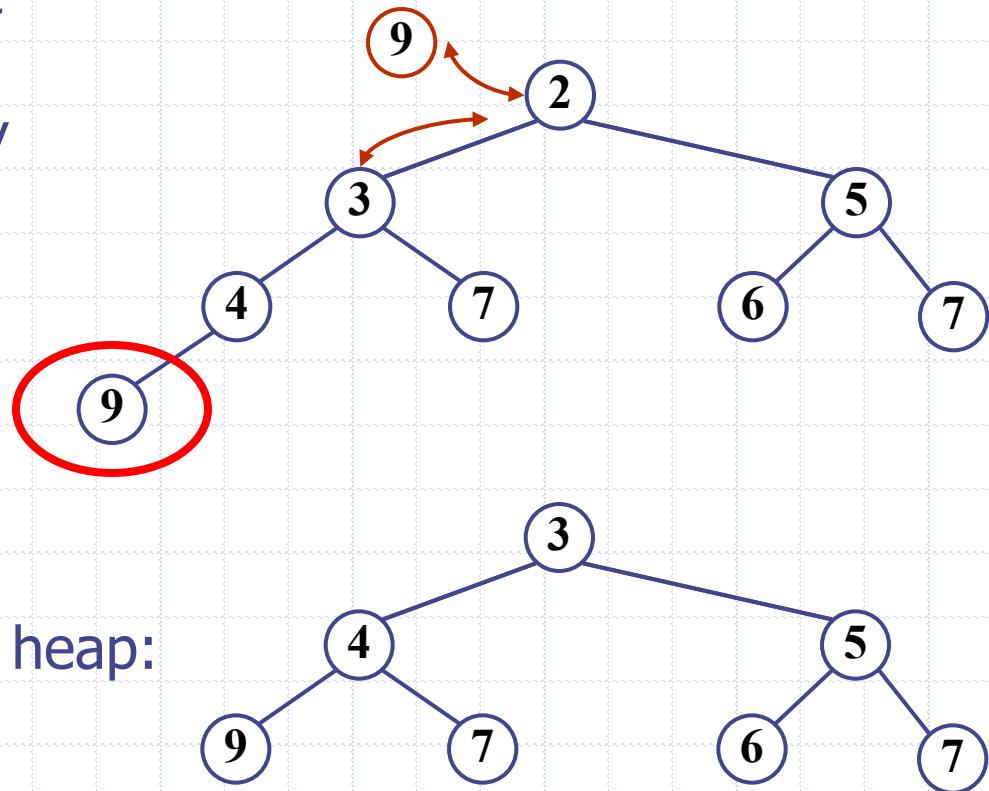


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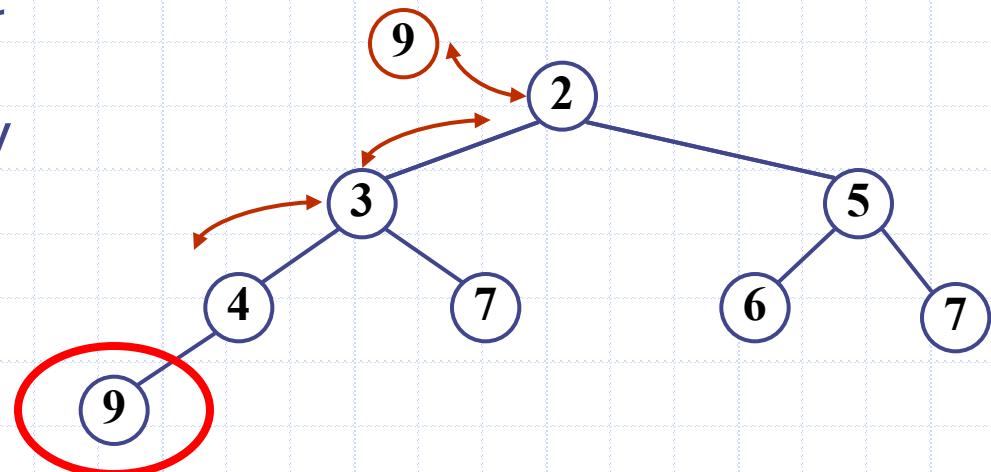


Delete two items from the heap:

1, 2

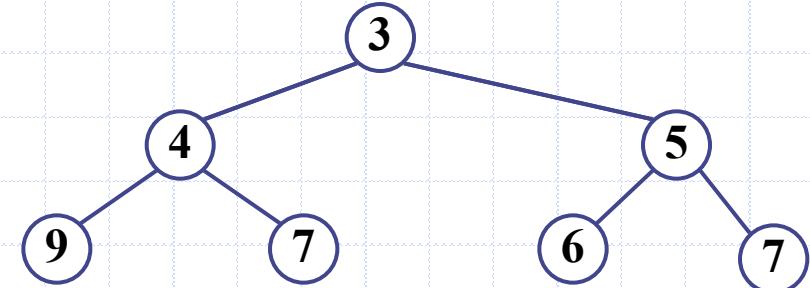
# Example: Deletion and downheap bubbling

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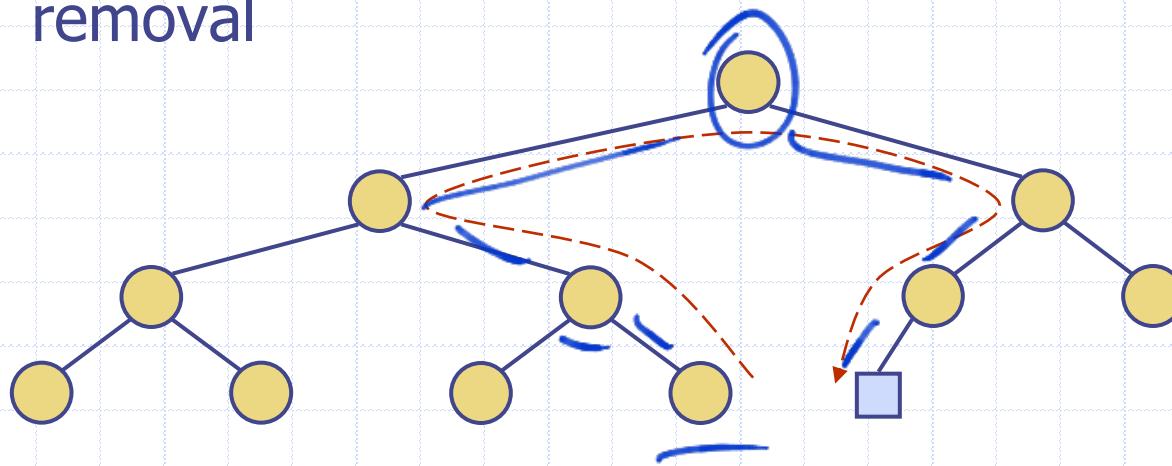
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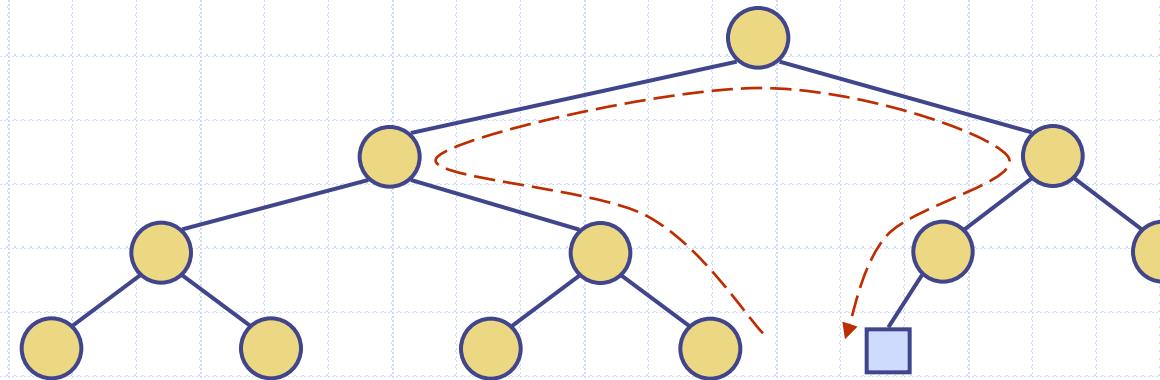


# Updating the Last Node

- Insertion node can be found by traversing a path of  $O(\log n)$  nodes
- Similar algorithm for updating the last node after a removal

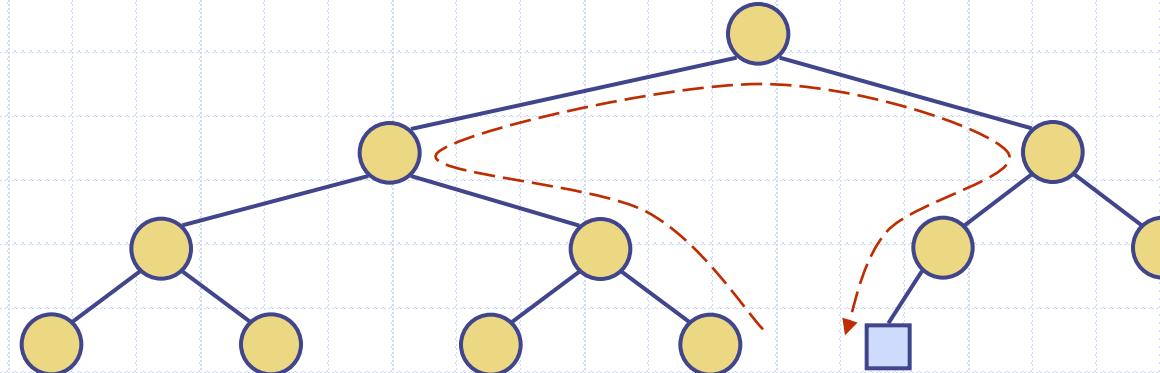


# Can we find the last node faster than $O(\log n)$ ?



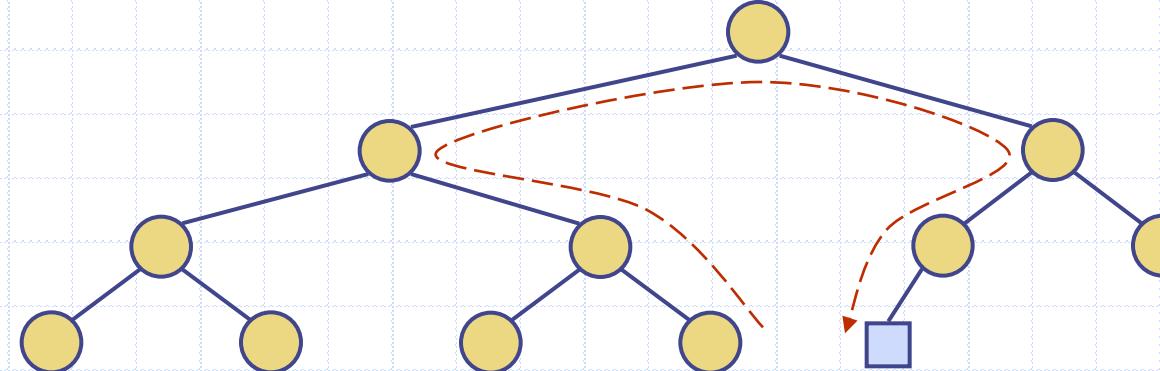
# Can we find the last node faster than $O(\log n)$ ?

- It depends on how the complete binary tree is implemented
  - Tree with augmentation?



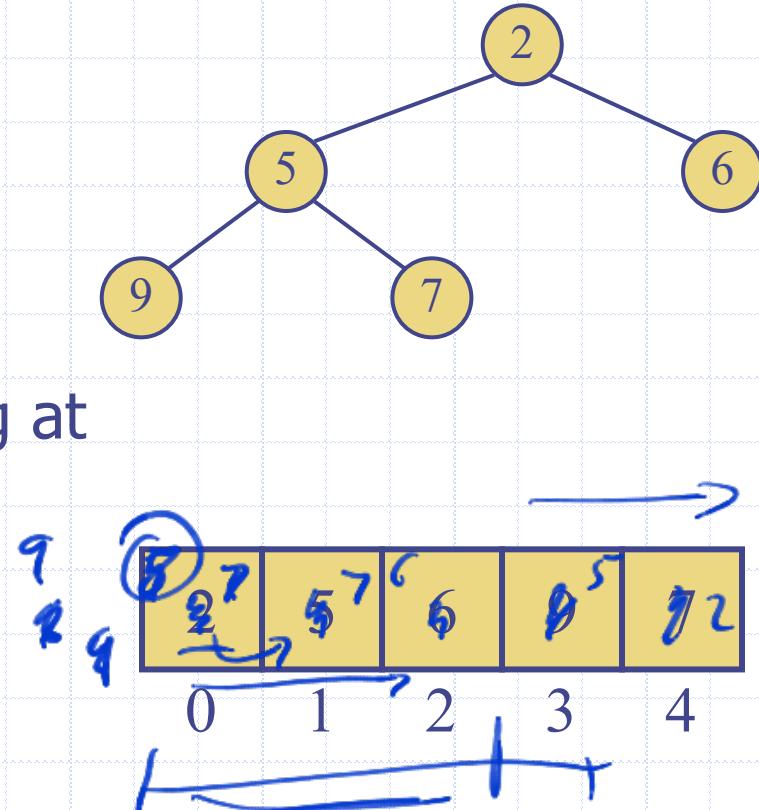
# Can we find the last node faster than $O(\log n)$ ?

- It depends on how the complete binary tree is implemented
  - Tree with augmentation?
  - **Array!**



# Array-Based Heap Implementation

- Can represent a heap with  $n$  keys by an array of length  $n$
- For node at index  $i$ 
  - left child is at index  $2i + 1$
  - right child is at index  $2i + 2$
- **insert** corresponds to inserting at index  $n$
- **removeMin** corresponds to swapping/removing at  $n - 1$
- Upheap and downheap implemented by swapping array elements



# Last Week – Does this generalize to $k$ -ary heaps?

Last week, one of our colleagues asked if this generalises to  $k$ -ary heaps.

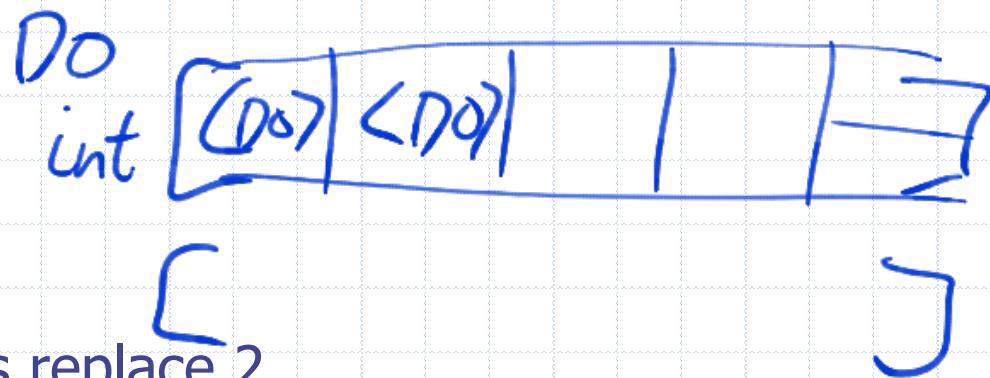
Yes, I believe it does!

And, all we need to do is replace 2 with  $k$  in our code!

That is: For node at index  $i$

- first child is at  $ki + 1$
- Last is at index  $ki + k$

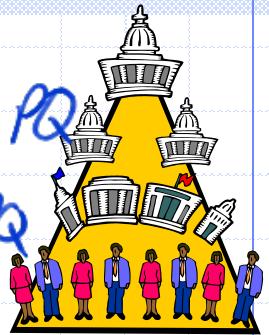
Use Struct



# Priority Q

## Heap-Sort

1. insert everything in PQ
2. remove everything from PQ



- Consider a priority queue with  $n$  items implemented by means of a heap
  - space used is  $O(n)$
  - insert and removeMin take  $O(\log n)$  time
  - size, isEmpty and min take  $O(1)$  time
- Heap-based priority queue can sort a sequence of  $n$  elements in  $O(n \log n)$  time
  - heap-sort



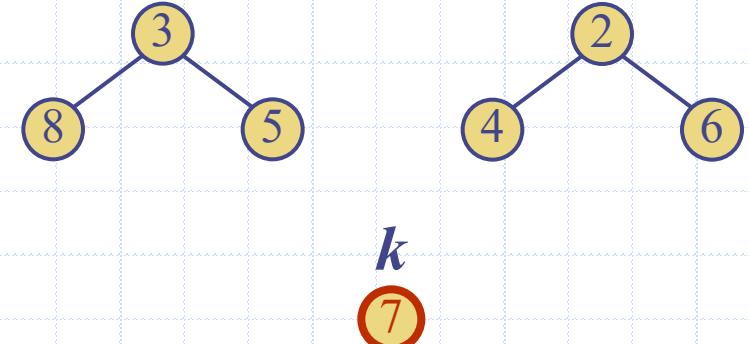
# Heap Construction

- Create a heap containing  $n$  items
- Using `insert`
  - $n$  calls gives  $O(n \log n)$  time
- Special case construction by merging
  - Bottom-up heap construction
  - Better than  $O(n \log n)$  or I wouldn't be telling you about it!

# Merging Two Heaps

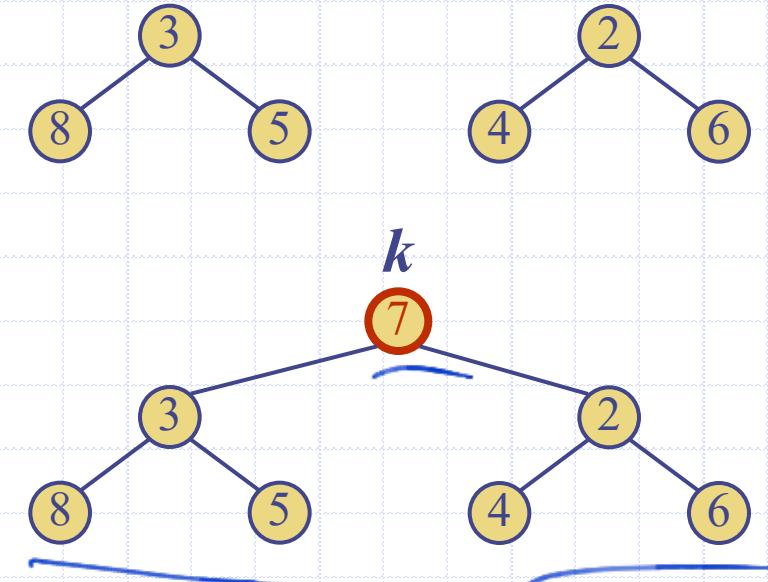
# Merging Two Heaps

- Given two heaps and a key  $k$



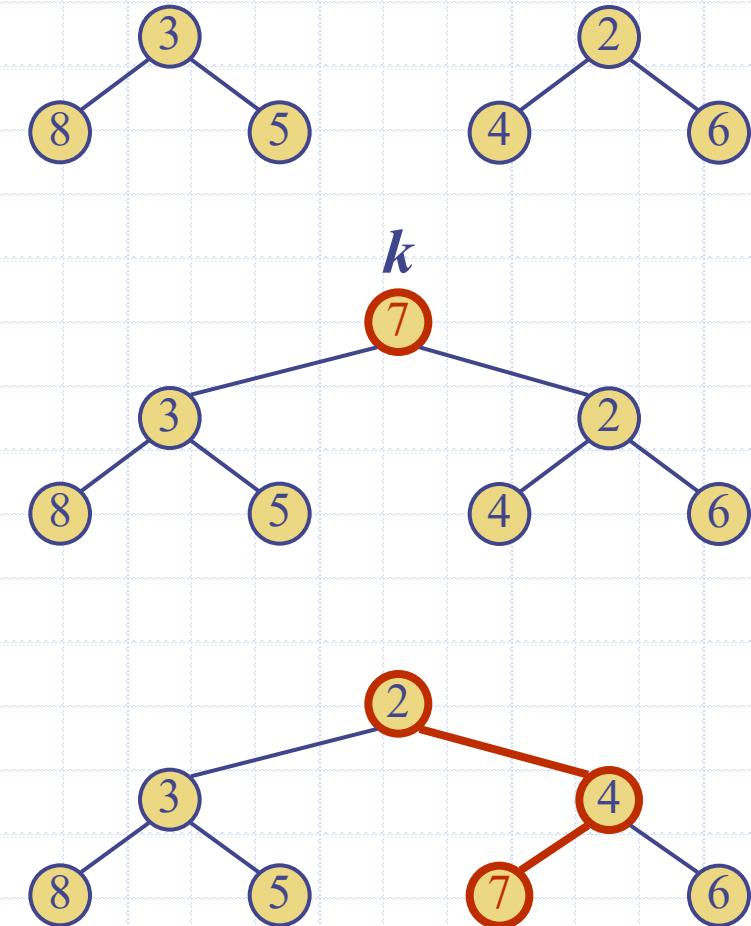
# Merging Two Heaps

- Given two heaps and a key  $k$
- Create a new heap with the root node storing  $k$  and with the two heaps as subtrees



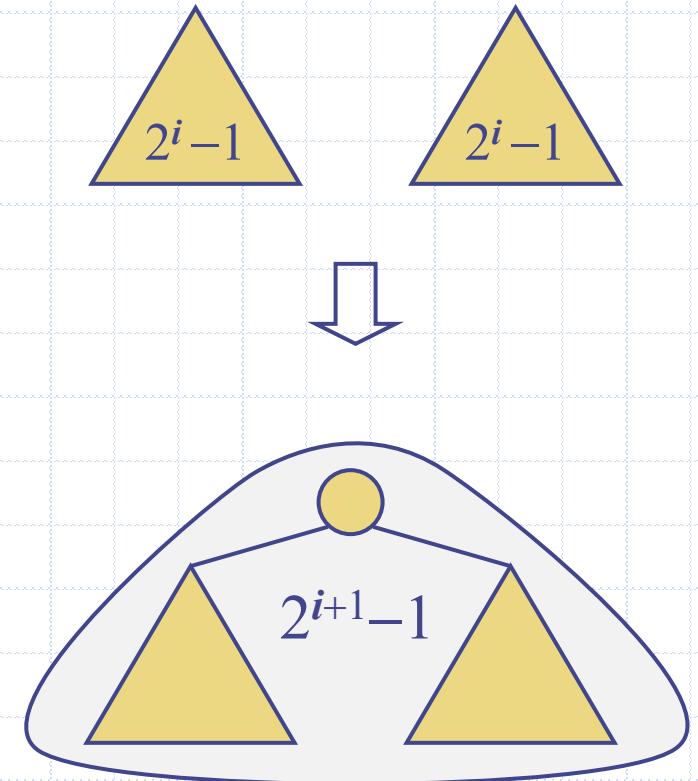
# Merging Two Heaps

- Given two heaps and a key  $k$
- Create a new heap with the root node storing  $k$  and with the two heaps as subtrees
- Perform downheap to restore the heap-order property



# Bottom-Up Heap Construction

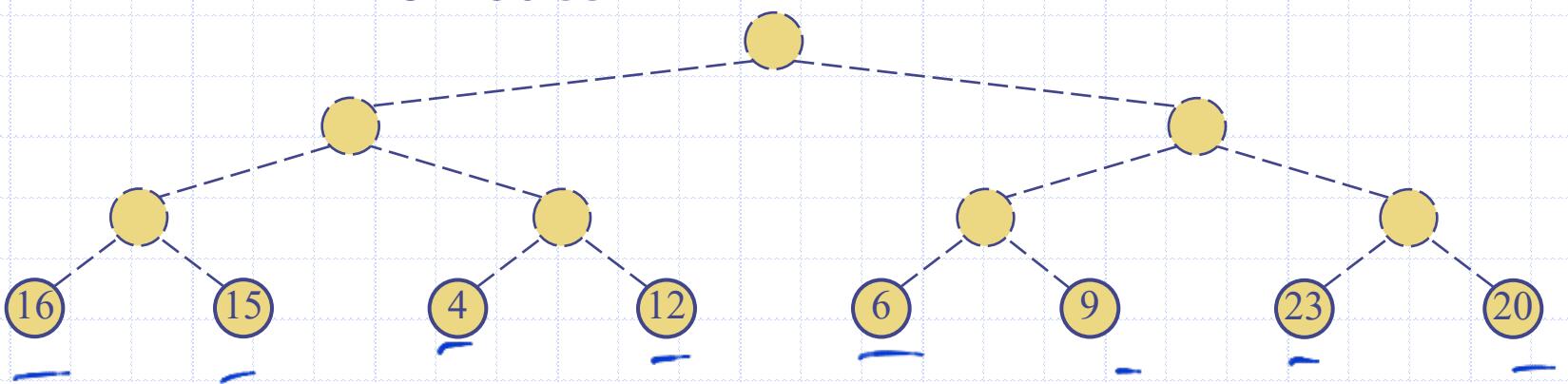
- Can construct a heap storing  $n$  given keys using bottom-up construction with  $\log n$  phases
- In phase  $i$ , pairs of heaps with  $2^i - 1$  keys are merged into heaps with  $2^{i+1} - 1$  keys
- $2^i - 1 + 2^i - 1 + 1 = 2^{i+1} - 1$



# Example: Bottom-Up Heap Construction

- Create a heap containing,

- 16, 15, 4, 12, 6, 9, 23, 20, 1, 25, 5, 11, 27, 7, 8, 10 \*
- 1+2+4+8 nodes



# Example (contd.)

16, 15, 4, 12, 6, 9, 23, 20,  
25, 5, 11, 27, 7, 8, 10



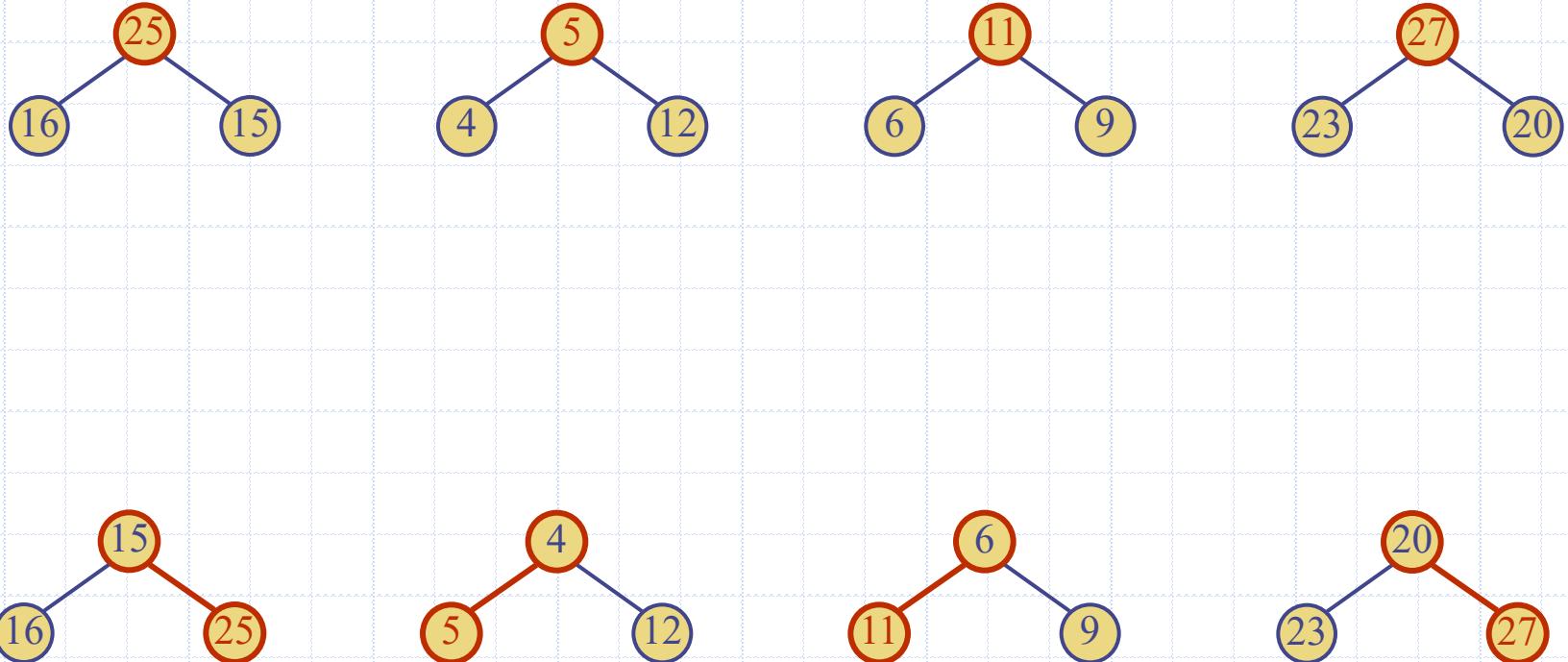
# Example (contd.)

16, 15, 4, 12, 6, 9, 23, 20,  
25, 5, 11, 27, 7, 8, 10



# Example (contd.)

16, 15, 4, 12, 6, 9, 23, 20,  
25, 5, 11, 27, 7, 8, 10



# Example (contd.)

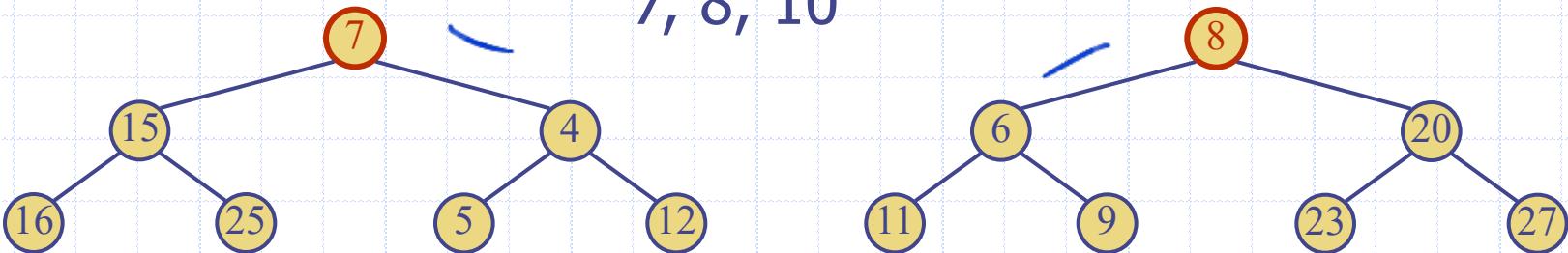
16, 15, 4, 12, 6, 9, 23, 20, 25, 5, 11, 27,  
7, 8, 10



# Example (contd.)

16, 15, 4, 12, 6, 9, 23, 20, 25, 5, 11, 27,

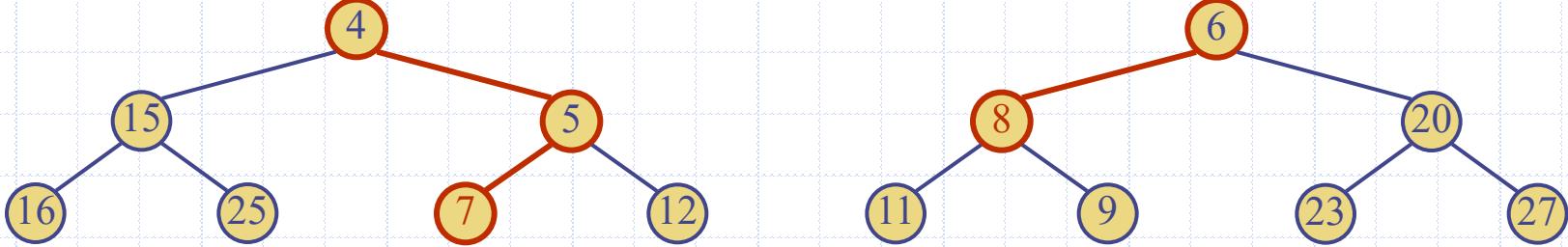
7, 8, 10



# Example (contd.)

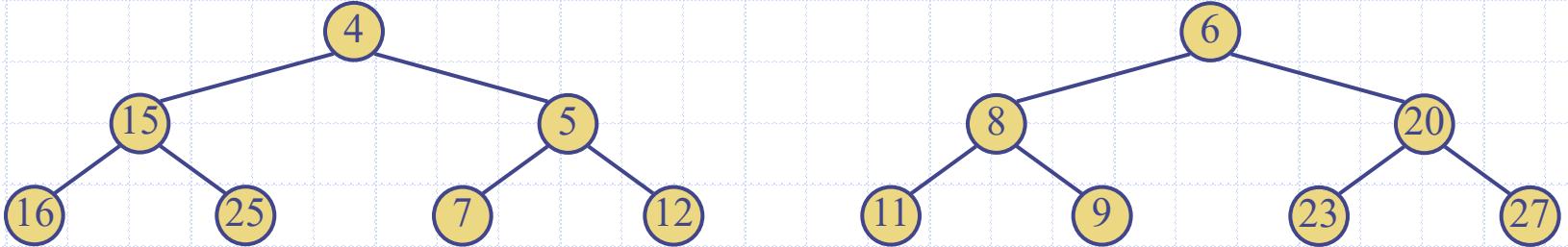
16, 15, 4, 12, 6, 9, 23, 20, 25, 5, 11, 27,

7, 8, 10



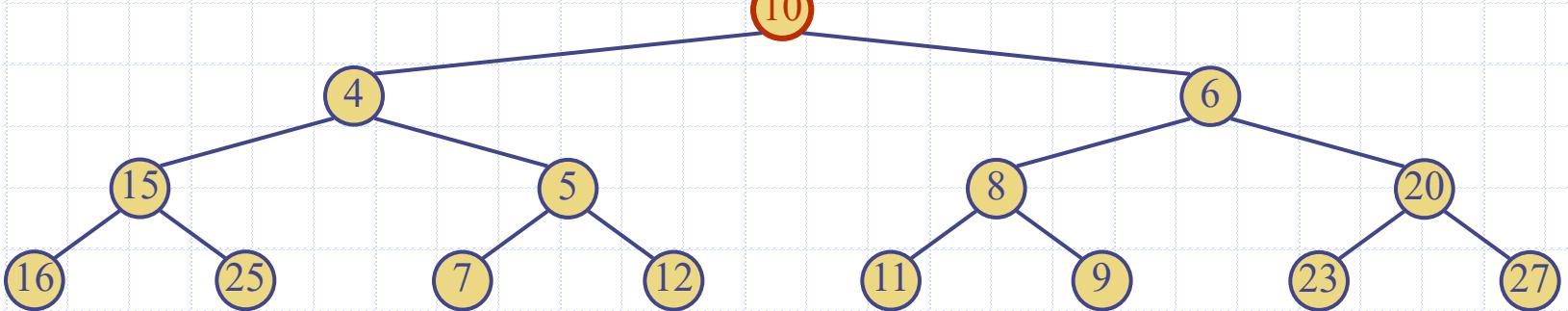
# Example (end)

16, 15, 4, 12, 6, 9, 23, 20, 25, 5, 11, 27, 7, 8,  
10



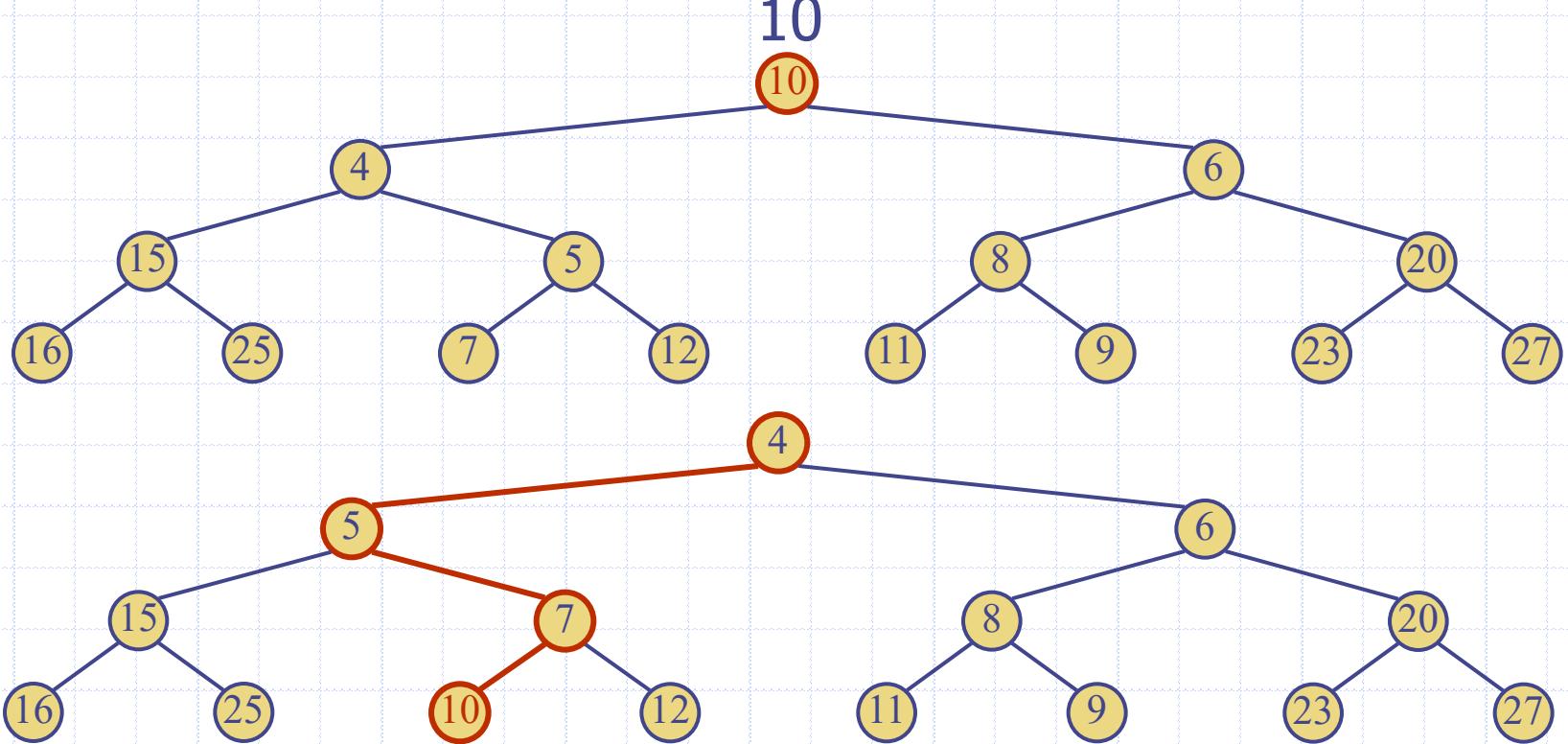
# Example (end)

16, 15, 4, 12, 6, 9, 23, 20, 25, 5, 11, 27, 7, 8,



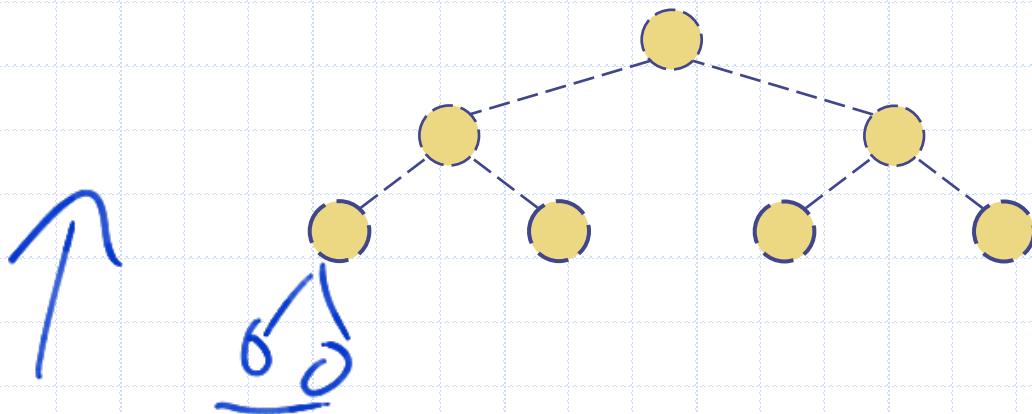
# Example (end)

16, 15, 4, 12, 6, 9, 23, 20, 25, 5, 11, 27, 7, 8,



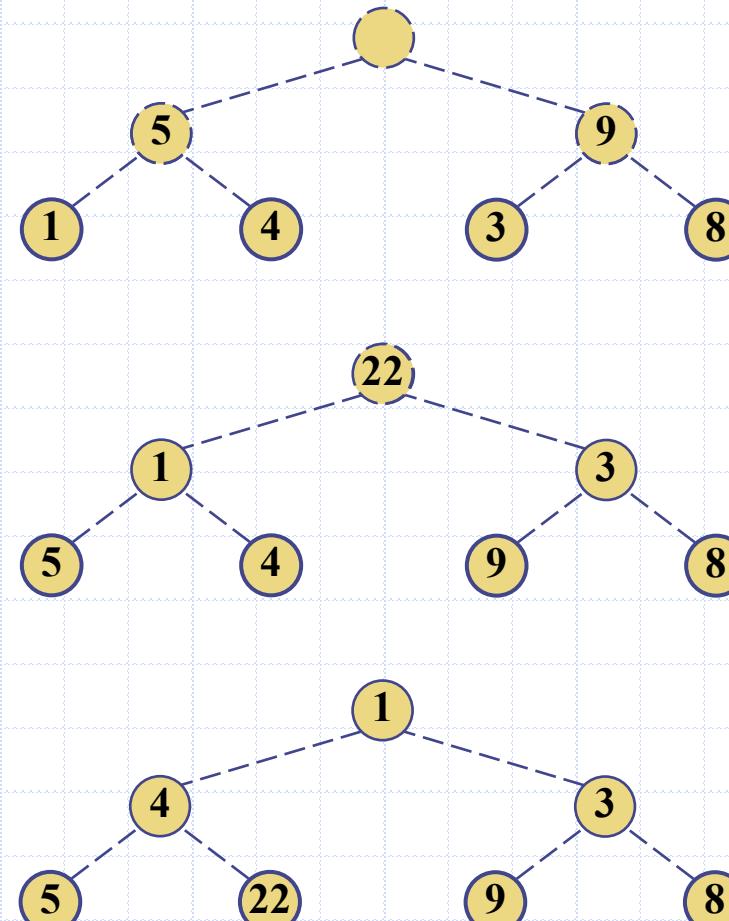
# Exercise: Insert 1, 4, 3, 8, 5, 9, 22 into a Heap

- How many elements should we place into leaves?



$$\begin{aligned} \text{No. leaves} &= n - 2^h + 1 \\ \text{where } h &= \text{floor of } \log_2 n \\ \text{So leaf count} &= 7 - \underline{2^2} + 1 = 4 \end{aligned}$$

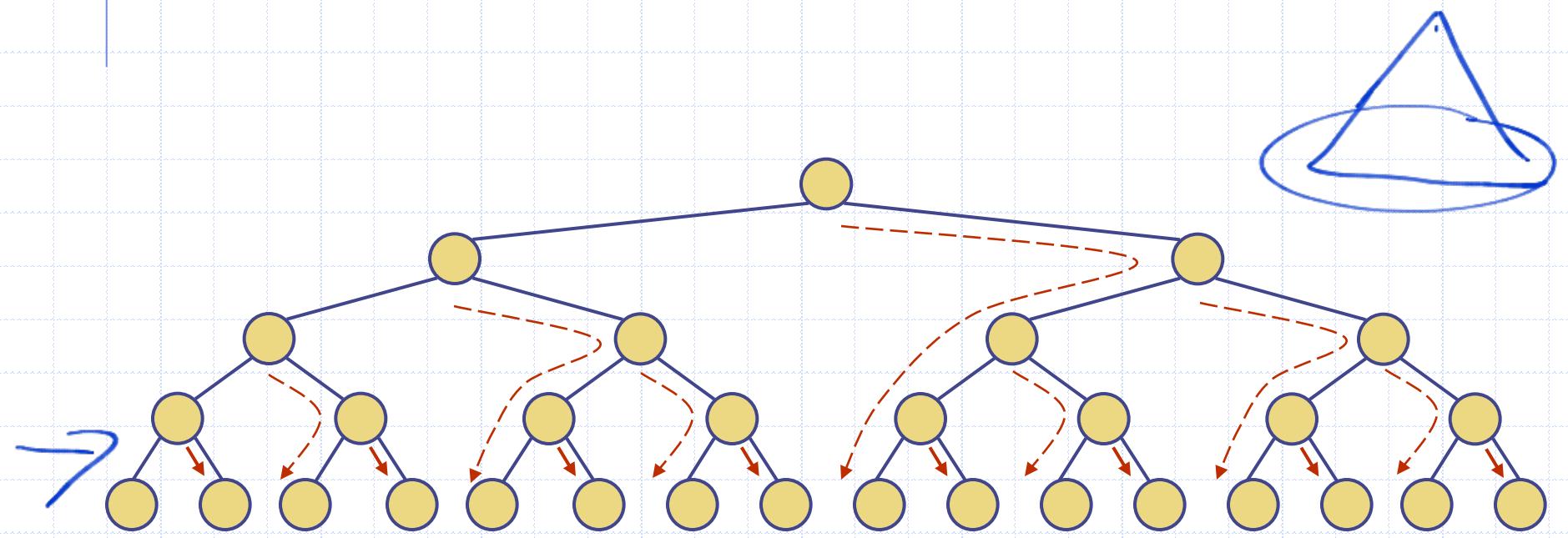
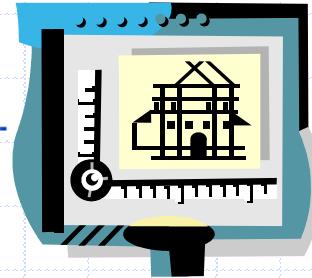
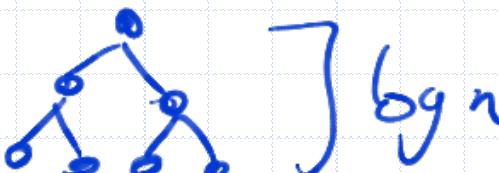
# Exercise Solution: Insert 1, 4, 3, 8, 5, 9, 22 into a Heap



Heaps

# Analysis

- Visualise worst-case time of a downheap with a proxy path
  - The total number of nodes of the proxy paths is  $O(n)$
  - Thus, bottom-up heap construction runs in  $O(n)$  time
  - Bottom-up heap construction speeds up the first phase of heap-sort



# Exercise

- ❑ Think about what building a max-heap (in place) looks like using an array-based heap implementation...
- ❑ That is: Given an unsorted array, turn it into a max heap.

# Week 5 – Priority Queues and Maps

1. Priority queues
2. Heaps
3. Adaptable priority queues
4. Maps
5. Sets

# Adaptable Priority Queue ADT

- ❑ **remove(*e*)** – remove an arbitrary element (not necessarily the minimum/maximum)
- ❑ **replaceKey(*e*, *k*)**
  - Error condition occurs if *k* is invalid
    - ◆ *k* cannot be compared with other keys
  - Essentially a “priority update”
- ❑ **replaceValue(*e*, *v*)**
  - Changing the value of an item (not the key)

# Example

*Operation*

insert(5, A)

*Output*

e<sub>1</sub>

*PQ*

{ (5,A) }

# Example

*Operation*

*Output*

*PQ*

insert(5, A)

$e_1$

{ (5,A) }

insert(3, B)

$e_2$

{ (3,B), (5,A) }

# Example

<i>Operation</i>	<i>Output</i>	<i>PQ</i>
insert(5, A)	$e_1$	$\{ (5,A) \}$
insert(3, B)	$e_2$	$\{ (3,B), (5,A) \}$
insert(7, C)	$e_3$	$\{ (3,B), (5,A), (7,C) \}$

# Example

<i>Operation</i>	<i>Output</i>	<i>PQ</i>
insert(5, A)	e <sub>1</sub>	{ (5,A) }
insert(3, B)	e <sub>2</sub>	{ (3,B), (5,A) }
insert(7, C)	e <sub>3</sub>	{ (3,B), (5,A), (7,C) }
<u>min()</u>	<u>e<sub>2</sub></u>	{ <u>(3,B)</u> , (5,A), (7,C) }

# Example

<i>Operation</i>	<i>Output</i>	<i>PQ</i>
insert(5, A)	e <sub>1</sub>	{ (5,A) }
insert(3, B)	e <sub>2</sub>	{ (3,B), (5,A) }
insert(7, C)	e <sub>3</sub>	{ (3,B), (5,A), (7,C) }
min()	<u>e<sub>2</sub></u>	{ (3,B), (5,A), (7,C) }
key( <u>e<sub>2</sub></u> )	3	{ (3,B), (5,A), (7,C) }

# Example

<i>Operation</i>	<i>Output</i>	<i>PQ</i>
insert(5, A)	<u>e<sub>1</sub></u>	{ (5,A) }
insert(3, B)	e <sub>2</sub>	{ (3,B), (5,A) }
insert(7, C)	e <sub>3</sub>	{ (3,B), (5,A), (7,C) }
min()	e <sub>2</sub>	{ (3,B), (5,A), (7,C) }
key(e <sub>2</sub> )	3	{ (3,B), <del>(5,A)</del> , (7,C) }
<u>remove(e<sub>1</sub>)</u>	<u>e<sub>1</sub></u>	{ (3,B), (7,C) }

# Example

<i>Operation</i>	<i>Output</i>	<i>PQ</i>
insert(5, A)	$e_1$	{ (5,A) }
insert(3, B)	<u><math>e_2</math></u>	{ (3,B), (5,A) }
insert(7, C)	$e_3$	{ (3,B), (5,A), (7,C) }
min()	$e_2$	{ (3,B), (5,A), (7,C) }
key( $e_2$ )	3	{ (3,B), (5,A), (7,C) }
remove( $e_1$ )	$e_1$	{ (3,B), (7,C) }
replaceKey( $e_2$ , 9) <u>  </u>	3	{ (7,C), (9,B) }

# Example

<i>Operation</i>	<i>Output</i>	<i>PQ</i>
insert(5, A)	$e_1$	$\{ (5,A) \}$
insert(3, B)	$e_2$	$\{ (3,B), (5,A) \}$
insert(7, C)	$e_3$	$\{ (3,B), (5,A), (7,C) \}$
min()	$e_2$	$\{ (3,B), (5,A), (7,C) \}$
key( $e_2$ )	3	$\{ (3,B), (5,A), (7,C) \}$
remove( $e_1$ )	$e_1$	$\{ (3,B), (7,C) \}$
replaceKey( $e_2$ , 9)	3	$\{ (\underline{7,C}), (9,B) \}$
replaceValue( $e_3$ , D)	C	$\{ (\underline{7,D}), (9,B) \}$

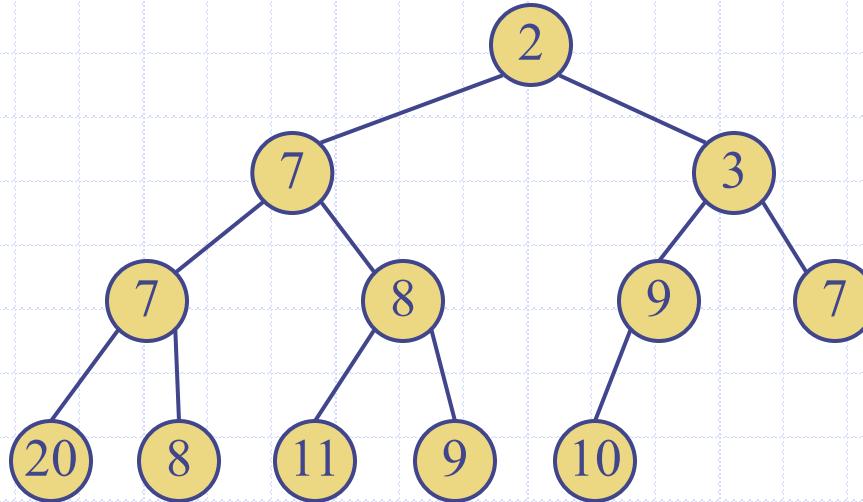
# Example

<i>Operation</i>	<i>Output</i>	<i>PQ</i>
insert(5, A)	$e_1$	{ (5,A) }
insert(3, B)	$e_2$	{ (3,B), (5,A) }
insert(7, C)	$e_3$	{ (3,B), (5,A), (7,C) }
min()	$e_2$	{ (3,B), (5,A), (7,C) }
key( $e_2$ )	3	{ (3,B), (5,A), (7,C) }
remove( $e_1$ )	$e_1$	{ (3,B), (7,C) }
replaceKey( $e_2$ , 9)	3	{ (7,C), (9,B) }
replaceValue( $e_3$ , D)	C	{ (7,D), (9,B) }
remove( $e_2$ )	$e_2$	{ (7,D) }

# Locating Entries

- ❑ `remove(e)`, `replaceKey(e, k)`, and `replaceValue(e, v)`, need fast ways of locating an entry  $e$  in a priority queue
- ❑ Can search the entire (queue) data structure to find an entry  $e$ 
  - but there are better ways for locating entries
- ❑ Position in the data structure implementing the PQ is called the location of the entry

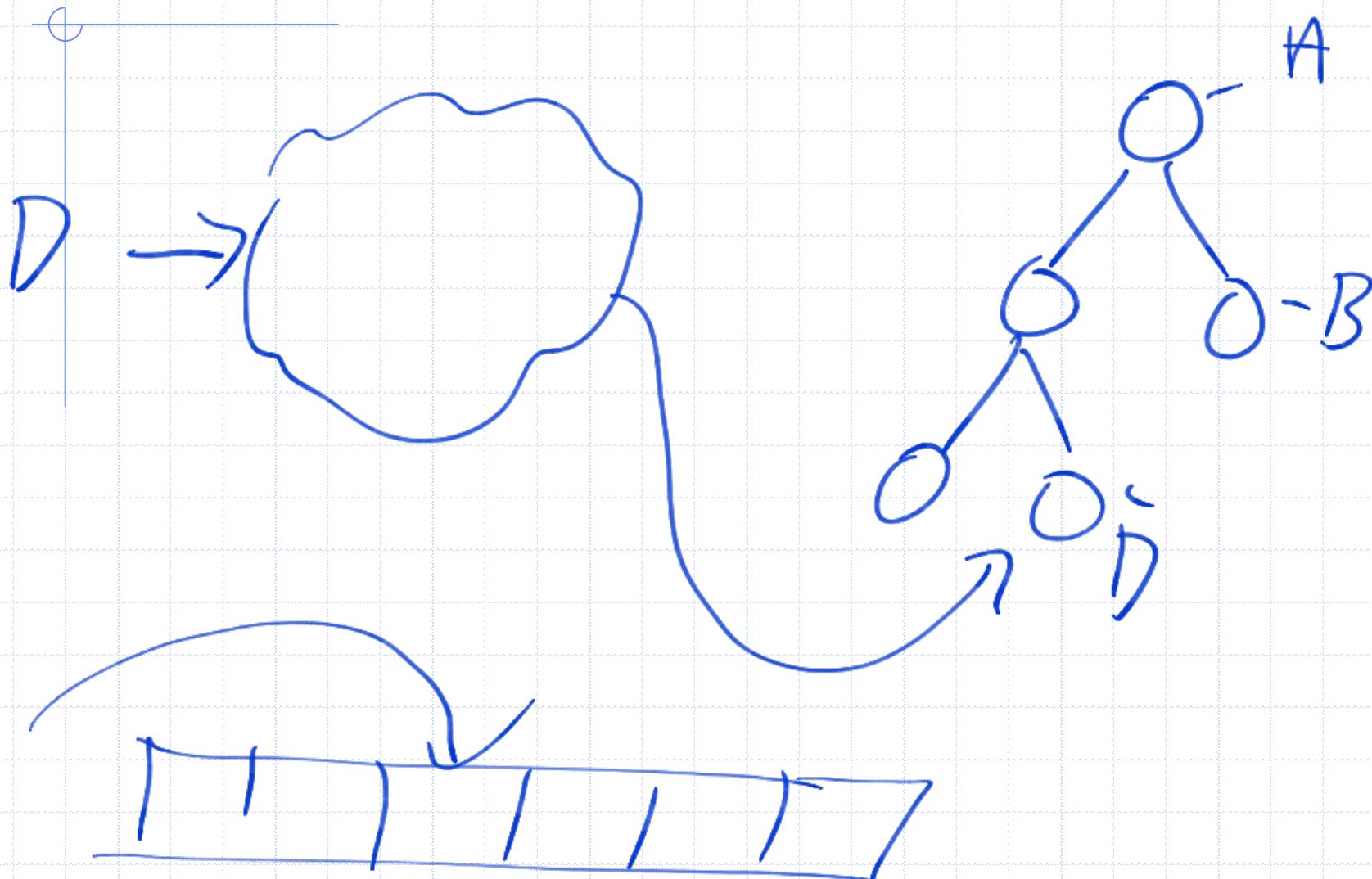
# How could you locate the entry with key 11?



# Location-Aware Entries

- Locators can be used to keep track of elements as they are moved around inside a container
- That is, keep “pointers” to keys inside the tree that follow each (key, value) node as they move around
  - In practice, assuming array-based storage, this just looks like each item storing a member variable containing the index of its current array position

# Sketch of this idea



# Week 5 – Priority Queues & Maps

- 
1. Priority queues
  2. Heaps
  3. Adaptable priority queues
  4. Maps
  5. Sets

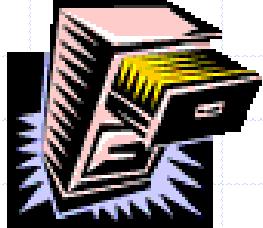
# Maps



- Searchable collection of key-value entries
- Main operations
  - searching, inserting and deleting items
- Keys are unique
  - cannot have multiple entries with same key
- Applications
  - address book
  - student-record database

# Map ADT

→  $\{k, v\} \rightarrow$



- **get(k)**
- **put(k, v)**
- **remove(k)**
- **size(), isEmpty()**
- **entrySet()** – yield a collection of key/value pairs
- **keySet()** – yield an collection of keys
- **values()** – yield a collection of values
- Note: The last three could return a container, or may just return an iterable.

3

# Use of null as a Sentinel

- get, put and remove return null (None) if a requested entry is not present
  - None is a sentinel value
- Thus, we cannot store None as a key!
  - Alternative: throw an exception for a key that is not in the map

# Example

Operation	Return	Map Contents

# Example

Operation	Return	Map Contents
isEmpty()	true	∅

# Example

Operation	Return	Map Contents
isEmpty() put(5,A)	true null	$\emptyset$ <u>(5,A)</u>

# Example

Operation	Return	Map Contents
isEmpty()	<b>true</b>	$\emptyset$
put(5,A)	<b>null</b>	(5,A)
put(7,B)	<b>null</b>	(5,A), (7,B)
put(2,C)	<b>null</b>	(5,A), (7,B), (2,C)
put(8,D)	<b>null</b>	(5,A), (7,B), (2,C), (8,D)

# Example

Operation	Return	Map Contents
isEmpty()	true	$\emptyset$
put(5,A)	null	(5,A)
put(7,B)	null	(5,A), (7,B)
put(2,C)	null	(5,A), (7,B), (2,C)
put(8,D)	null	(5,A), (7,B), (2,C), (8,D)
put(2,E)	C	(5,A), (7,B), (2,E), (8,D)

# Example

Operation	Return	Map Contents
isEmpty()	true	$\emptyset$
put(5,A)	null	(5,A)
put(7,B)	null	(5,A), (7,B)
put(2,C)	null	(5,A), (7,B), (2,C)
put(8,D)	null	(5,A), (7,B), (2,C), (8,D)
put(2,E)	C	(5,A), (7,B), (2,E), (8,D)
get(7)	B	(5,A), (7,B), (2,E), (8,D)

# Example

Operation	Return	Map Contents
isEmpty()	true	$\emptyset$
put(5,A)	null	(5,A)
put(7,B)	null	(5,A), (7,B)
put(2,C)	null	(5,A), (7,B), (2,C)
put(8,D)	null	(5,A), (7,B), (2,C), (8,D)
put(2,E)	C	(5,A), (7,B), (2,E), (8,D)
get(7)	B	(5,A), (7,B), (2,E), (8,D)
get(4)	null	(5,A), (7,B), (2,E), (8,D)

# Example

Operation	Return	Map Contents
isEmpty()	true	$\emptyset$
put(5,A)	null	(5,A)
put(7,B)	null	(5,A), (7,B)
put(2,C)	null	(5,A), (7,B), (2,C)
put(8,D)	null	(5,A), (7,B), (2,C), (8,D)
put(2,E)	C	(5,A), (7,B), (2,E), (8,D)
get(7)	B	(5,A), (7,B), (2,E), (8,D)
get(4)	null	(5,A), (7,B), (2,E), (8,D)
get(2)	E	(5,A), (7,B), (2,E), (8,D)

# Example

Operation	Return	Map Contents
isEmpty()	true	$\emptyset$
put(5,A)	null	(5,A)
put(7,B)	null	(5,A), (7,B)
put(2,C)	null	(5,A), (7,B), (2,C)
put(8,D)	null	(5,A), (7,B), (2,C), (8,D)
put(2,E)	C	(5,A), (7,B), (2,E), (8,D)
get(7)	B	(5,A), (7,B), (2,E), (8,D)
get(4)	null	(5,A), (7,B), (2,E), (8,D)
get(2)	E	(5,A), (7,B), (2,E), (8,D)
size()	4	(5,A), (7,B), (2,E), (8,D)

# Example

Operation	Return	Map Contents
isEmpty()	true	$\emptyset$
put(5,A)	null	(5,A)
put(7,B)	null	(5,A), (7,B)
put(2,C)	null	(5,A), (7,B), (2,C)
put(8,D)	null	(5,A), (7,B), (2,C), (8,D)
put(2,E)	C	(5,A), (7,B), (2,E), (8,D)
get(7)	B	(5,A), (7,B), (2,E), (8,D)
get(4)	null	(5,A), (7,B), (2,E), (8,D)
get(2)	E	(5,A), (7,B), (2,E), (8,D)
size()	4	(5,A), (7,B), (2,E), (8,D)
remove(5)	A	(7,B), (2,E), (8,D)
remove(2)	E	(7,B), (8,D)

# Example

Operation	Return	Map Contents
isEmpty()	true	$\emptyset$
put(5,A)	null	(5,A)
put(7,B)	null	(5,A), (7,B)
put(2,C)	null	(5,A), (7,B), (2,C)
put(8,D)	null	(5,A), (7,B), (2,C), (8,D)
put(2,E)	C	(5,A), (7,B), (2,E), (8,D)
get(7)	B	(5,A), (7,B), (2,E), (8,D)
get(4)	null	(5,A), (7,B), (2,E), (8,D)
get(2)	E	(5,A), (7,B), (2,E), (8,D)
size()	4	(5,A), (7,B), (2,E), (8,D)
remove(5)	A	(7,B), (2,E), (8,D)
remove(2)	E	(7,B), (8,D)
get(2)	null	(7,B), (8,D)

# Example

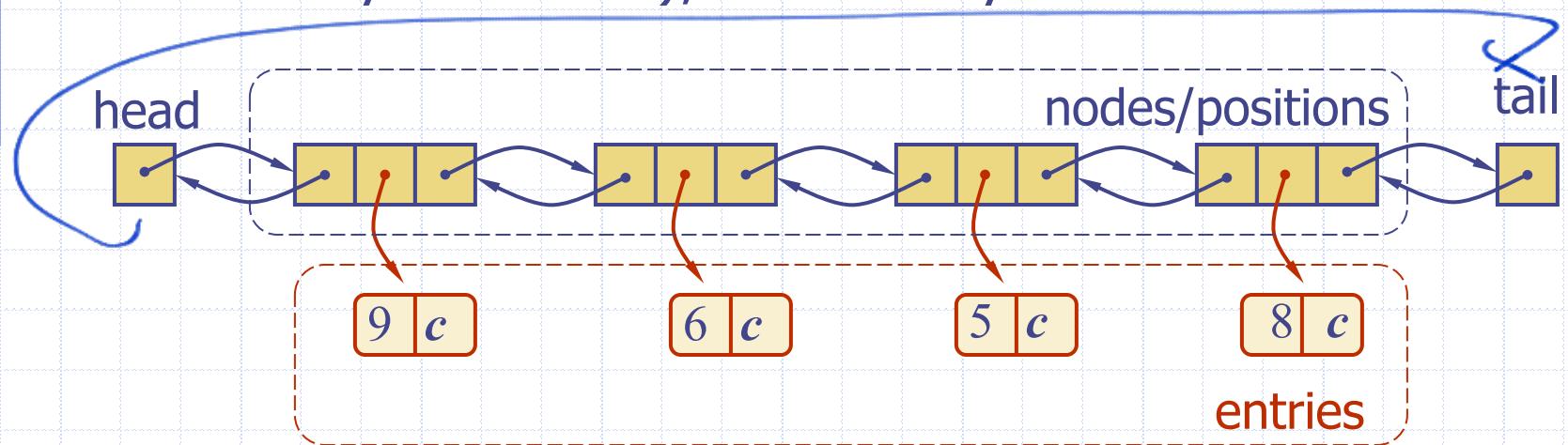
Operation	Return	Map Contents
isEmpty()	true	$\emptyset$
put(5,A)	null	(5,A)
put(7,B)	null	(5,A), (7,B)
put(2,C)	null	(5,A), (7,B), (2,C)
put(8,D)	null	(5,A), (7,B), (2,C), (8,D)
put(2,E)	C	(5,A), (7,B), (2,E), (8,D)
get(7)	B	(5,A), (7,B), (2,E), (8,D)
get(4)	null	(5,A), (7,B), (2,E), (8,D)
get(2)	E	(5,A), (7,B), (2,E), (8,D)
size()	4	(5,A), (7,B), (2,E), (8,D)
remove(5)	A	(7,B), (2,E), (8,D)
remove(2)	E	(7,B), (8,D)
get(2)	null	(7,B), (8,D)
isEmpty()	false	(7,B), (8,D)

# Comparison with Earlier Data Structures

- Priority queues and maps both store key-value pairs
  - We call these key-value pairs entries
- Keys
  - Map keys **must be unique**
  - Priority queue allows multiple entries to have same key
    - Two or more elements may share the same priority of course!
- Total order relation on keys
  - Required for priority queues
  - Optional for maps (may or may not be ordered)
- Accessing elements
  - Maps allow access to any entry by key
  - Priority queues allow access to highest priority element

# Simple List-Based Map

- ❑ Implemented using an unsorted list
  - store the items of the map in a list  $S$  (based on a doubly-linked list), in arbitrary order



Grand Idea: Use a linked list to store what you have in your collection! Right??

# get(k) Algorithm

**Algorithm** get(k):

    cur = head

**while** cur is not empty **do**

**if** cur.getKey() = k **then**

**return** cur.get\_value()

**return** null

*Complexity:  $O(n)$*

We can surely do better??

# put(k, v) Algorithm

**Algorithm** put(k, v):

    cur = tail

    new = Node(k, v)

    cur.set\_next = new

    new.set\_prev = cur

    self.\_tail = new

*Complexity: O(1)* – What if we  
hadn't augmented the tail pointer?

# put(k, v) Algorithm

**Algorithm** put(k, v):

    cur = tail

    new = Node(k, v)

    cur.set\_next = new

    new.set\_prev = cur

    self.\_tail = new

HANG ON!!! Something is wrong!!! What is it?

~~Complexity:  $O(1)$  – What if we hadn't augmented the tail pointer?~~

Complexity is  $O(n)$  – We need to check for duplicates of course...

Ouch!

# put(k, v) Algorithm

**Algorithm** put(k, v):

    cur = head

**while** cur is not empty **do**

**if** cur.getKey() = k **then**

            cur.set\_value(v)

**return**

    cur = tail

    new = Node(k, v)

    cur.set\_next = new

    new.set\_prev = cur

    self.\_tail = new



# remove(k) Algorithm

**Algorithm** remove(k):

    cur = head

**while** cur is not empty **do**

**if** cur.getKey() = k **then**

            # Typical linked list deletion

✓  $O(n)$   
 $\alpha(1)$

*Complexity:  $O(n)$*

# Can we do better?

- Yes, of course!
- This is our focus for the next **2 weeks**
  - Hashing + Hash Tables
  - Tree-Based Maps

# A few final words on sets and multi-sets/maps

- ❑ Ultimately, a very similar set of CDTs to Maps with a few minor implementation differences; so we don't stress too hard about these.

# Multimaps

`get(k)`  
`put(k, v)`  
`remove(k, v)`  
`removeAll(k)`  
`size()`

`entries()`  
`keys()`

`keySet()`  
`values()`

Similar to a map but **can** store multiple entries with the **same** key.

Returns collections of values associated with the given key.

# Week 5 – Priority Queues & Maps

- 
1. Priority queues
  2. Heaps
  3. Adaptable priority queues
  4. Maps
  5. Sets

# Definitions

- **Set** – unordered collection of elements, without duplicates
  - elements of a set are like keys of a map, but without any auxiliary values
- **Multiset** (alias **Bag**) – set-like container that allows duplicates

# Set ADT

- `add(e)`: Adds the element *e* to *S* (if not already present).
- `remove(e)`: Removes the element *e* from *S* (if it is present).
- `contains(e)`: Returns whether *e* is an element of *S*.
- `iterator()`: Returns an iterator of the elements of *S*.

There is also support for the traditional mathematical set operations of ***union***, ***intersection***, and ***subtraction*** of two sets *S* and *T*:

$$\left[ \begin{array}{lcl} S \cup T & = & \{e: e \text{ is in } S \text{ or } e \text{ is in } T\}, \\ S \cap T & = & \{e: e \text{ is in } S \text{ and } e \text{ is in } T\}, \\ S - T & = & \{e: e \text{ is in } S \text{ and } e \text{ is not in } T\}. \end{array} \right]$$

- `addAll(T)`: Updates *S* to also include all elements of set *T*, effectively replacing *S* by  $S \cup T$ .
- `retainAll(T)`: Updates *S* so that it only keeps those elements that are also elements of set *T*, effectively replacing *S* by  $S \cap T$ .
- `removeAll(T)`: Updates *S* by removing any of its elements that also occur in set *T*, effectively replacing *S* by  $S - T$ .

# Intuition: Union on sorted lists

- Generalised merge of two sorted lists  $A$  and  $B$
- Auxiliary methods
  - `removeFirst`
- Runs in  $O(n_A + n_B)$  time assuming the auxiliary methods run in  $O(1)$  time

Algorithm `genericMerge(A, B)`:

```
 $S \leftarrow$  empty sequence  
while not A.isEmpty()  $\wedge$  not B.isEmpty()  
     $a \leftarrow A.\text{first}().\text{value}(); b \leftarrow B.\text{first}().\text{value}()$   
    if  $a < b$   
        append  $a$  to  $S$ ;  $A.\text{removeFirst}()$   
    else if  $b < a$   
        append  $b$  to  $S$ ;  $B.\text{removeFirst}()$   
    else {  $b = a$  }  
        append  $a$  to  $S$   
         $A.\text{removeFirst}()$   
         $B.\text{removeFirst}()$   
while  $\neg A.\text{isEmpty}()$   
    append  $a$  to  $S$ ;  $A.\text{removeFirst}()$   
while  $\neg B.\text{isEmpty}()$   
    append  $b$  to  $S$ ;  $B.\text{removeFirst}()$   
return  $S$ 
```

# Further Reading

- Data Structures and Algorithms in Python
  - Chapter 9
  - Chapter 10.1, 10.5
- Introduction to Algorithms
  - Chapter 6