

The Mother of all Master Equations

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I. THE HAMILTONIAN

We start with a time-dependent Hamiltonian of the form:

$$H_T(t) = H_S(t) + H_I + H_B, \quad (1)$$

$$H_S(t) = \sum_i \varepsilon_i(t) |i\rangle\langle i| + \sum_{i \neq j} V_{ij}(t) |i\rangle\langle j|, \quad (2)$$

$$H_I = \sum_i |i\rangle\langle i| \sum_{\mathbf{k}} \left(g_{i\mathbf{k}} b_{\mathbf{k}}^\dagger + g_{i\mathbf{k}}^* b_{\mathbf{k}} \right), \quad (3)$$

$$H_B = \sum_{\mathbf{k}} \omega_{\mathbf{k}} b_{\mathbf{k}}^\dagger b_{\mathbf{k}}. \quad (4)$$

II. UNITARY TRANSFORMATION INTO THE VARIATIONALLY OPTIMIZABLE FRAME

We will apply to $H(t)$, the unitary transformation defined by $e^{\pm V}$ where V is the variationally optimizable anti-Hermitian operator:

$$V \equiv \sum_i |i\rangle\langle i| \sum_{\mathbf{k}} \left(\frac{v_{i\mathbf{k}}}{\omega_{\mathbf{k}}} b_{\mathbf{k}}^\dagger - \frac{v_{i\mathbf{k}}^*}{\omega_{\mathbf{k}}} b_{\mathbf{k}} \right) \quad (5)$$

in terms of the variational scalar parameters $v_{\mathbf{k}}$, which will soon be optimized in order to give the most accurate possible master equation for the system's dynamics in the presence of this bath. Operators O in the variational frame will be written as:

$$\bar{O} \equiv e^V O e^{-V}. \quad (6)$$

We assume that the bath starts equilibrium with inverse temperature $\beta = 1/k_B T$:

$$\rho_B \equiv \rho_B(0) = \frac{e^{-\beta H_B}}{\text{Tr}(e^{-\beta H_B})} \quad (7)$$

With the following definitions:

$$\begin{pmatrix} B_{iz} \\ B_x \\ B_y \\ B_{i\pm} \\ B_i \\ R_i \end{pmatrix} \equiv \begin{pmatrix} \sum_{\mathbf{k}} \left((g_{i\mathbf{k}} - v_{i\mathbf{k}}) b_{\mathbf{k}}^\dagger + (g_{i\mathbf{k}} - v_{i\mathbf{k}})^* b_{\mathbf{k}} \right) \\ \frac{B_1^+ B_0^- + B_0^+ B_1^- - B_{10} - B_{10}^*}{2} \\ \frac{B_0^+ B_1^- - B_1^+ B_0^- + B_{10} - B_{10}^*}{2i} \\ e^{\pm \sum_{\mathbf{k}} \frac{v_{i\mathbf{k}}}{\omega_{\mathbf{k}}} (b_{\mathbf{k}}^\dagger - b_{\mathbf{k}})} \\ e^{-(1/2) \sum_{\mathbf{k}} \left(\frac{v_{i\mathbf{k}}}{\omega_{\mathbf{k}}} \right)^2 \coth(\beta \omega_{\mathbf{k}}/2)} \\ \sum_{\mathbf{k}} \left(\frac{|v_{i\mathbf{k}}|^2}{\omega_{\mathbf{k}}} - \left(g_{i\mathbf{k}} \frac{v_{i\mathbf{k}}^*}{\omega_{\mathbf{k}}} + g_{i\mathbf{k}}^* \frac{v_{i\mathbf{k}}}{\omega_{\mathbf{k}}} \right) \right) \end{pmatrix} \quad (8)$$

$$(\cdot)^\Re \equiv \Re(\cdot) \quad (9)$$

$$(\cdot)^\Im \equiv \Im(\cdot) \quad (10)$$

we may write the transformed Hamiltonian as a sum of the form:

$$\overline{H}_T(t) \equiv \overline{H}_{\bar{S}}(t) + \overline{H}_{\bar{I}} + \overline{H}_{\bar{B}} \quad (11)$$

$$\overline{H}_{\bar{S}}(t) \equiv \sum_i (\varepsilon_i(t) + R_i) |i\rangle \langle i| + \sigma_x (B_{10}^\Re(t) V_{10}^\Re(t) - B_{10}^\Im(t) V_{10}^\Im(t)) - \sigma_y (B_{10}^\Re(t) V_{10}^\Im(t) + B_{10}^\Im(t) V_{10}^\Re(t)) \quad (12)$$

$$\overline{H}_{\bar{I}} \equiv \sum_i B_{iz} |i\rangle \langle i| + V_{10}^\Re(t) (B_x \sigma_x + B_y \sigma_y) - V_{10}^\Im(t) (B_x \sigma_y - B_y \sigma_x) \quad (13)$$

$$\overline{H}_{\bar{B}} \equiv \sum_{\mathbf{k}} \omega_{\mathbf{k}} b_{\mathbf{k}}^\dagger b_{\mathbf{k}} \quad (14)$$

$$= H_B \quad (15)$$

III. FREE-ENERGY MINIMIZATION

The true free energy A is bounded by the Bogoliubov inequality:

$$A \leq A_B \equiv -\frac{1}{\beta} \ln \left(\text{Tr} \left(e^{-\beta \overline{H}_{\bar{S}}(t) + \overline{H}_{\bar{B}}} \right) \right) + \langle \overline{H}_{\bar{I}} \rangle_{\overline{H}_{\bar{S}}(t) + \overline{H}_{\bar{B}}} + O \left(\langle \overline{H}_{\bar{I}}^2 \rangle_{\overline{H}_{\bar{S}}(t) + \overline{H}_{\bar{B}}} \right) \quad (16)$$

We will optimize the set of variational parameters $\{v_{\mathbf{k}}\}$ in order to minimize A_B (i.e. to make it as close to the true free energy A as possible). Neglecting the higher order terms and using $\langle \overline{H}_{\bar{I}} \rangle_{\overline{H}_{\bar{S}}(t) + \overline{H}_{\bar{B}}} = 0$ we can obtain the following condition to obtain the set $\{v_{\mathbf{k}}\}$:

$$\frac{\partial A_B}{\partial v_{i\mathbf{k}}} = 0. \quad (17)$$

This leads us to:

$$v_i(\omega_{\mathbf{k}}) = \frac{g_i(\omega_{\mathbf{k}}) \left(1 - \frac{\tanh(\frac{\beta \eta(t)}{2})}{\eta(t)} (2\varepsilon_i(t) + 2R_i - \varepsilon(t)) \right) + 2 \frac{\tanh(\frac{\beta \eta(t)}{2})}{\eta(t)} \frac{v_{i'\mathbf{k}}}{\omega_{\mathbf{k}}} |B_{10}|^2 |V_{10}(t)|^2 \coth(\beta \omega_{\mathbf{k}}/2)}{1 - \frac{\tanh(\frac{\beta \eta(t)}{2})}{\eta(t)} \left(\varepsilon(t) - 2(\varepsilon(t) - \varepsilon_i(t) - R_i) - \frac{2|V_{10}(t)|^2 |B_{10}|^2 \coth(\beta \omega_{\mathbf{k}}/2)}{\omega_{\mathbf{k}}} \right)}, \quad (18)$$

with the following definitions:

$$\eta \equiv \sqrt{(\text{Tr}(\overline{H}_{\bar{S}}(t)))^2 - 4 \text{Det}(\overline{H}_{\bar{S}}(t))} \quad (19)$$

$$\varepsilon(t) \equiv \text{Tr}(\overline{H}_{\bar{S}}(t)). \quad (20)$$

IV. MASTER EQUATION

In order to describe the dynamics of the QD under the influence of the phonon environment, we use the time-convolutionless projection operator technique. We consider the QD in its ground state. The initial density operator $\rho_{\text{Total}}(0) = \rho_S(0) \otimes \rho_B(0)$, where $\rho_B(0) \equiv \rho_B^{\text{Thermal}} \equiv \rho_B$ the transformed density operator is equal to:

$$\text{for } \rho_S(0) = |0\rangle\langle 0| : = |0\rangle\langle 0| \otimes B_0^+ \rho_B B_0^- \quad (21)$$

$$\text{for } \rho_S(0) = |1\rangle\langle 1| : = |1\rangle\langle 1| \otimes B_1^+ \rho_B B_1^- \quad (22)$$

$$\text{for } \rho_S(0) = |0\rangle\langle 1| : = |0\rangle\langle 1| \otimes B_0^+ \rho_B B_1^- \quad (23)$$

$$\text{for } \rho_S(0) = |1\rangle\langle 0| : = |1\rangle\langle 0| \otimes B_1^+ \rho_B B_0^- \quad (24)$$

We transform any operator O into the interaction picture in the following way:

$$\tilde{O} \equiv U^\dagger(t) O U(t) \quad (25)$$

$$U(t) \equiv \mathcal{T} \exp \left(-i \int_0^t dt' \overline{H}_T(t') \right). \quad (26)$$

Therefore:

$$\widetilde{\overline{\rho}_S}(t) = U^\dagger(t) \overline{\rho}_S(t) U(t) \quad (27)$$

We define the following matrix:

$$\begin{pmatrix} A \\ B \\ C(t) \end{pmatrix} = \begin{pmatrix} \sigma_x & \sigma_y & \frac{I-\sigma_z}{2} & \sigma_x & \sigma_y & \frac{I+\sigma_z}{2} \\ B_x & B_y & B_{1z} & B_y & B_x & B_{0z} \\ V_{10}^{\Re}(t) & V_{10}^{\Im}(t) & 1 & V_{10}^{\Im}(t) & -V_{10}^{\Re}(t) & 1 \end{pmatrix} \quad (28)$$

Then we have:

$$\overline{H}_I(t) = \sum_i C_i(t) (A_i \otimes B_i(t)) \quad (29)$$

$$\widetilde{H}_I(t) = \sum_i C_i(t) (\widetilde{A}_i(t) \otimes \widetilde{B}_i(t)) \quad (30)$$

Taking as reference state ρ_B and truncating at second order in $H_I(t)$, we obtain our master equation in the interaction picture:

$$\frac{d\widetilde{\overline{\rho}_S}(t)}{dt} = - \int_0^t \text{Tr}_B \left[\widetilde{H}_I(t), \left[\widetilde{H}_I(s), \widetilde{\overline{\rho}_S}(t) \rho_B \right] \right] ds \quad (31)$$

$$= - \int_0^t \sum_{ij} \left(C_i(t) C_j(s) \left(\Lambda_{ij}(\tau) \left[\widetilde{A}_i(t), \widetilde{A}_j(s) \widetilde{\overline{\rho}_S}(t) \right] + \Lambda_{ji}(-\tau) \left[\widetilde{\overline{\rho}_S}(t) \widetilde{A}_j(s), \widetilde{A}_i \right] \right) \right) ds \quad (32)$$

where:

$$\Lambda(\tau) \equiv \begin{pmatrix} \Lambda_{11}(\tau) & 0 & 0 & 0 & -\Lambda_{11}(\tau) \\ 0 & \Lambda_{22}(\tau) & \Lambda_{23}(\tau) & \Lambda_{22}(\tau) & 0 \\ 0 & \Lambda_{32}(\tau) & \Lambda_{33}(\tau) & \Lambda_{32}(\tau) & 0 \\ 0 & \Lambda_{22}(\tau) & \Lambda_{23}(\tau) & \Lambda_{22}(\tau) & 0 \\ -\Lambda_{11}(\tau) & 0 & 0 & 0 & \Lambda_{11}(\tau) \end{pmatrix}, \quad (33)$$

$$\Lambda_{11}(\tau) \equiv \frac{B(\tau)B(0)}{2} \left(e^{\phi(\tau)} + e^{-\phi(\tau)} - 2 \right) \quad (34)$$

$$\Lambda_{22}(\tau) \equiv \frac{B(\tau)B(0)}{2} \left(e^{\phi(\tau)} + e^{-\phi(\tau)} \right) \quad (35)$$

$$\Lambda_{33}(\tau) \equiv \int_0^\infty d\omega J(\omega) \left(1 - \frac{v(\omega)}{g(\omega)} \right)^2 G_+(\tau) \quad (36)$$

$$\Lambda_{32}(\tau) \equiv B(\tau) \int_0^\infty d\omega \frac{J(\omega)v(\omega)}{\omega g(\omega)} \left(1 - \frac{v(\omega)}{g(\omega)} \right) iG_-(\tau) \quad (37)$$

$$\Lambda_{23}(\tau) \equiv -B(0) \int_0^\infty d\omega \frac{J(\omega)v(\omega, \tau)}{\omega g(\omega, \tau)} \left(1 - \frac{v(\omega, \tau)}{g(\omega, \tau)} \right) iG_-(\tau) \quad (38)$$

with the phonon propagator given by:

$$\phi(\tau) = \int_0^\infty d\omega \frac{J(\omega)}{\omega^2} F(\omega)^2 G_+(\tau), \quad (39)$$

$$G_\pm(\tau) = (n(\omega) + 1) e^{-i\tau\omega} \pm n(\omega) e^{-i\tau\omega} \quad (40)$$

$$n(\omega) = (e^{\beta\omega} - 1)^{-1}, \quad (41)$$

and the spectral density is defined in the usual way:

$$J(\omega) \equiv \sum_{\mathbf{k}} |g_{\mathbf{k}}|^2 \delta(\omega - \omega_{\mathbf{k}}). \quad (42)$$

and the

$$\frac{d\overline{\rho_S}(t)}{dt} = -i[H_S(t), \overline{\rho_S}(t)] - \sum_{ij} \int_0^t C_i(t) C_j(t-\tau) \Lambda_{ij}(\tau) [A_i, \widetilde{A}_j(t-\tau, t) \overline{\rho_S}(t)] + C_j(t) C_i(t-\tau) \Lambda_{ji}(-\tau) [\overline{\rho_S}(t) \widetilde{A}_j(t-\tau, t), A_i] d\tau. \quad (43)$$

We still have interaction picture versions of A_j , so we will decompose $\widetilde{A}_j(\tau)$ in terms of the Schroedinger picture version A_i :

$$\widetilde{A}_j(\tau) = \sum_w e^{-i\omega\tau} A_j(w) \quad (44)$$

$$\widetilde{A}_j(t) = \sum_{w(t)} e^{-i\omega(t)\tau} A_j(w(t)) \quad (45)$$

$$\widetilde{A}_j(t-\tau, t) = \sum_{w(t), w'(t-\tau)} e^{-i\omega(t)t} e^{i\omega'(t-\tau)} A'_j(w(t), w'(t-\tau)) \quad (46)$$

Where the sum is defined on the set of all the differences between the eigenvalues of the system, in our case $w \in \{0, \pm\eta\}$. We also have that $w(t)$ belongs to the set of differences of eigenvalues that depends of the time. As we can see the eigenvectors are time dependent as well. Also, $w'(t-\tau)$ and $w(t)$ belong to the set of the differences of the eigenvalues of the Hamiltonian $H_S(t-\tau)$ and $H_S(t)$ respectively. In matrix form, these are:

$$A_i(0) = \langle + | A_i | + \rangle | + \rangle \langle + | + \langle - | A_i | - \rangle | - \rangle \langle - | \quad (47)$$

$$A_i(w) = \langle + | A_i | - \rangle | + \rangle \langle - | \quad (48)$$

$$A_i(-w) = \langle - | A_i | + \rangle | - \rangle \langle + |. \quad (49)$$

We define the following response functions:

$$K_{ijww'}(t) = \int_0^t C_i(t) C_j(t-\tau) \Lambda_{ij}(\tau) e^{i\omega\tau} e^{-it(w-w')} d\tau \quad (50)$$

Finally we end up with our final master equation in the variationally optimized frame in the Schroedinger picture:

$$\frac{d\overline{\rho_S}(t)}{dt} = -i [H_S(t), \overline{\rho_S}] - \sum_{ijww'} K_{ijww'}(t) \left[A_i, A_{jww'} \overline{\rho_S(t)} - \overline{\rho_S}(t) A_{jww'}^\dagger \right] \quad (51)$$

$$\dot{\rho} = -i [H_S(t), \rho] - \sum_{ijww'} K_{ijww'}(t) \left[A_i, A_{jww'} \rho - \rho A_{jww'}^\dagger \right] \quad (52)$$

$$\dot{\rho} = -i [H_S(t), \rho] - \sum_{ijww'} K_{ijww'}(t) \left([A_i, A_{jww'} \rho] - [A_i, \rho A_{jww'}^\dagger] \right) \quad (53)$$

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