Chapter 10 Symmetric-Key Cryptography

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Chapter 10 Objectives

- ☐ To distinguish between two cryptosystems: symmetric-key and asymmetric-key
- ☐ To introduce trapdoor one-way functions and their use in asymmetric-key cryptosystems
- ☐ To introduce the knapsack cryptosystem as one of the first ideas in asymmetric-key cryptography
- ☐ To discuss the RSA cryptosystem
- ☐ To discuss the Rabin cryptosystem
- ☐ To discuss the ElGamal cryptosystem
- ☐ To discuss the elliptic curve cryptosystem

10-1 INTRODUCTION

Symmetric and asymmetric-key cryptography will exist in parallel and continue to serve the community. We actually believe that they are complements of each other; the advantages of one can compensate for the disadvantages of the other.

Topics discussed in this section:

- **10.1.1 Keys**
- **10.1.2** General Idea
- 10.1.3 Need for Both
- **10.1.4** Trapdoor One-Way Function
- 10.1.5 Knapsack Cryptosystem

10-1 INTRODUCTION

Symmetric and asymmetric-key cryptography will exist in parallel and continue to serve the community. We actually believe that they are complements of each other; the advantages of one can compensate for the disadvantages of the other.

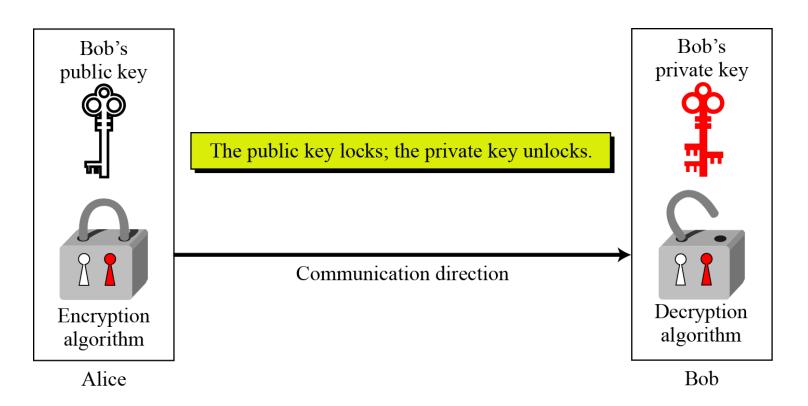
Note

Symmetric-key cryptography is based on sharing secrecy; asymmetric-key cryptography is based on personal secrecy.

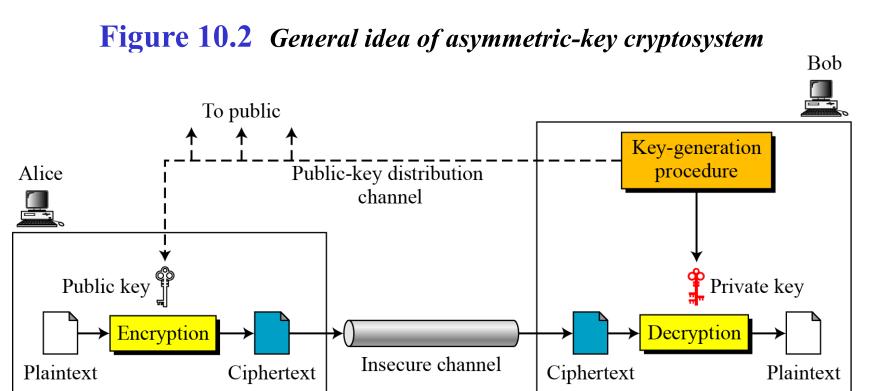
10.1.1 Keys

Asymmetric key cryptography uses two separate keys: one private and one public.

Figure 10.1 Locking and unlocking in asymmetric-key cryptosystem



10.1.2 General Idea







Unlike in symmetric-key cryptography, plaintext and ciphertext are treated as integers in asymmetric-key cryptography.

Encryption/Decryption

$$C = f(K_{public}, P)$$
 $P = g(K_{private}, C)$

10.1.3 Need for Both

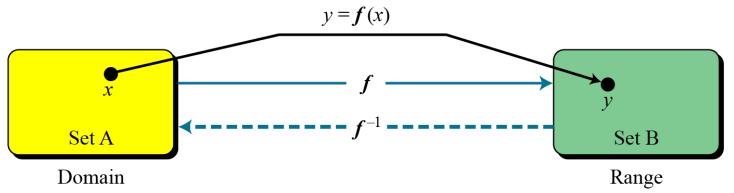
There is a very important fact that is sometimes misunderstood: The advent of asymmetric-key cryptography does not eliminate the need for symmetric-key key cryptography.

10.1.4 Trapdoor One-Way Function

The main idea behind asymmetric-key cryptography is the concept of the trapdoor one-way function.

Functions

Figure 10.3 A function as rule mapping a domain to a range



10.1.4 Continued

One-Way Function (OWF)

- f is easy to compute.
 f⁻¹ is difficult to compute.

Trapdoor One-Way Function (TOWF)

3. Given y and a trapdoor, x can be computed easily.

10.1.4 Continued

Example 10. 1

When n is large, $n = p \times q$ is a one-way function. Given p and q, it is always easy to calculate n; given n, it is very difficult to compute p and q. This is the factorization problem.

Example 10. 2

When n is large, the function $y = x^k \mod n$ is a trapdoor one-way function. Given x, k, and n, it is easy to calculate y. Given y, k, and n, it is very difficult to calculate x. This is the discrete logarithm problem. However, if we know the trapdoor, k' such that $k \times k' = 1 \mod \phi(n)$, we can use $x = y^{k'} \mod n$ to find x.

10-2 RSA CRYPTOSYSTEM

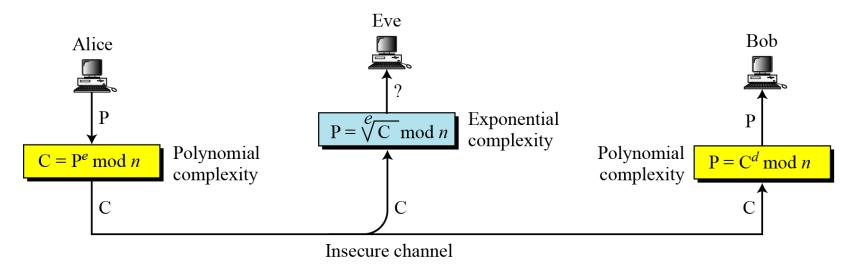
The most common public-key algorithm is the RSA cryptosystem, named for its inventors (Rivest, Shamir, and Adleman).

Topics discussed in this section:

- 10.2.1 Introduction
- 10.2.2 Procedure
- **10.2.3** Some Trivial Examples
- 10.2.4 Attacks on RSA
- 10.2.5 Recommendations
- **10.2.6** Optimal Asymmetric Encryption Padding (OAEP)
- 10.2.7 Applications

10.2.1 Introduction

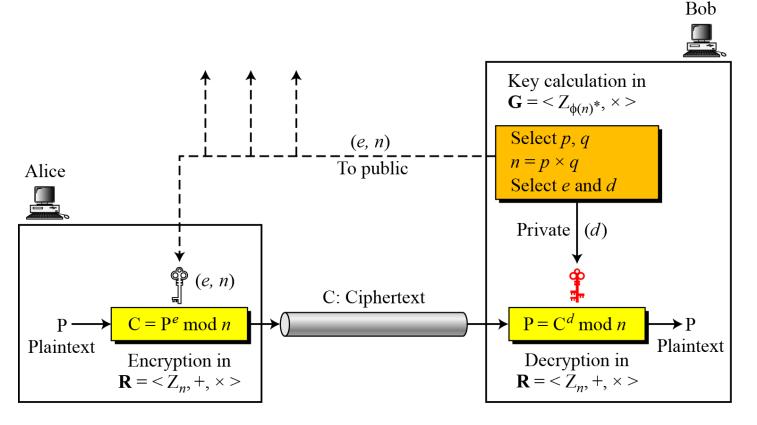
Figure 10.5 Complexity of operations in RSA



RSA uses modular exponentiation for encryption/decryption; To attack it, Eve needs to calculate $\sqrt[e]{C}$ mod n.

10.2.2 Procedure

Figure 10.6 Encryption, decryption, and key generation in RSA



Two Algebraic Structures

Encryption/Decryption Ring:

$$R = \langle Z_n, +, \times \rangle$$

Key-Generation Group:
$$G = \langle Z_{\phi(n)} *, X \rangle$$

RSA uses two algebraic structures: a public ring $R = \langle Z_n, +, \times \rangle$ and a private group $G = \langle Z_{\varphi(n)} *, \times \rangle$.

In RSA, the tuple (e, n) is the public key; the integer d is the private key.

Algorithm 10.2 RSA Key Generation

```
RSA_Key_Generation
   Select two large primes p and q such that p \neq q.
   n \leftarrow p \times q
   \phi(n) \leftarrow (p-1) \times (q-1)
   Select e such that 1 < e < \phi(n) and e is coprime to \phi(n)
   d \leftarrow e^{-1} \mod \phi(n)
                                                            // d is inverse of e modulo \phi(n)
   Public_key \leftarrow (e, n)
                                                             // To be announced publicly
   Private_key \leftarrow d
                                                              // To be kept secret
   return Public_key and Private_key
```

Encryption

Algorithm 10.3 RSA encryption

```
RSA_Encryption (P, e, n)  // P is the plaintext in \mathbb{Z}_n and \mathbb{P} < n

{
    C \leftarrow Fast_Exponentiation (P, e, n)  // Calculation of (\mathbb{P}^e \mod n)
    return C
}
```

In RSA, p and q must be at least 512 bits; n must be at least 1024 bits.

Decryption

Algorithm 10.4 RSA decryption

```
RSA_Decryption (C, d, n)  //C is the ciphertext in \mathbb{Z}_n {
 P \leftarrow \textbf{Fast\_Exponentiation} (C, d, n)  // Calculation of (C^d \bmod n) 
 \text{return P} 
}
```

Proof of RSA

If $n = p \times q$, a < n, and k is an integer, then $a^{k \times \phi(n) + 1} \equiv a \pmod{n}$.

4

10.2.3 Some Trivial Examples

Example 10. 5

Bob chooses 7 and 11 as p and q and calculates n = 77. The value of $\phi(n) = (7 - 1)(11 - 1)$ or 60. Now he chooses two exponents, e and d, from $Z_{60}*$. If he chooses e to be 13, then d is 37. Note that $e \times d \mod 60 = 1$ (they are inverses of each Now imagine that Alice wants to send the plaintext 5 to Bob. She uses the public exponent 13 to encrypt 5.

Plaintext: 5

$$C = 5^{13} = 26 \mod 77$$

Ciphertext: 26

Bob receives the ciphertext 26 and uses the private key 37 to decipher the ciphertext:

Ciphertext: 26

$$P = 26^{37} = 5 \mod 77$$

Plaintext: 5

10.2.3 Some Trivial Examples

Example 10. 6

Now assume that another person, John, wants to send a message to Bob. John can use the same public key announced by Bob (probably on his website), 13; John's plaintext is 63. John calculates the following:

Plaintext: 63

$$C = 63^{13} = 28 \mod 77$$

Ciphertext: 28

Bob receives the ciphertext 28 and uses his private key 37 to decipher the ciphertext:

Ciphertext: 28

$$P = 28^{37} = 63 \mod 77$$

Plaintext: 63

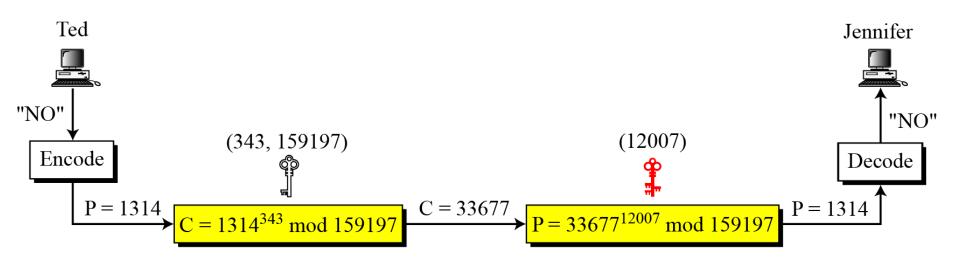
10.2.3 Some Trivial Examples

Example 10.7

Jennifer creates a pair of keys for herself. She chooses p = 397 and q = 401. She calculates n = 159197. She then calculates $\phi(n) = 158400$. She then chooses e = 343 and e = 12007. Show how Ted can send a message to Jennifer if he knows e and e.

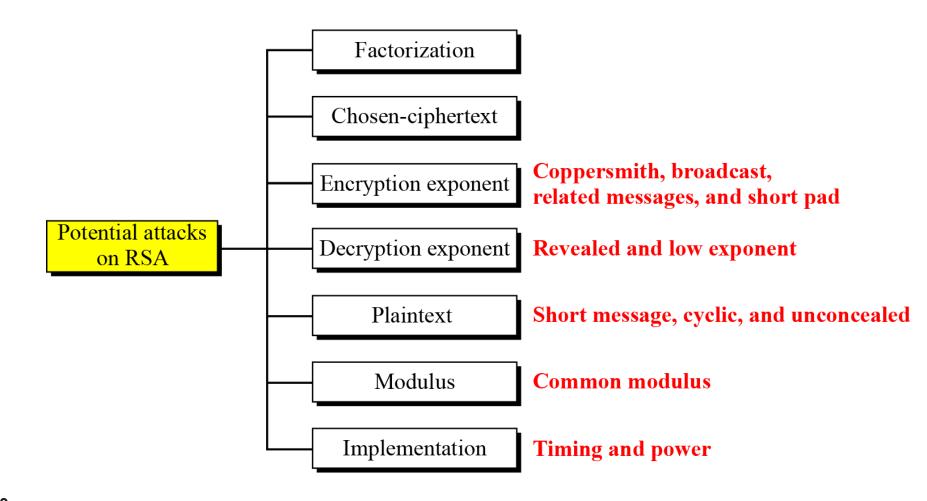
Suppose Ted wants to send the message "NO" to Jennifer. He changes each character to a number (from 00 to 25), with each character coded as two digits. He then concatenates the two coded characters and gets a four-digit number. The plaintext is 1314. Figure 10.7 shows the process.

Figure 10.7 Encryption and decryption in Example 10.7



10.2.4 Attacks on RSA

Figure 10.8 Taxonomy of potential attacks on RSA



10.2.6 Continued Example 10.8

Here is a more realistic example. We choose a 512-bit p and q, calculate n and $\phi(n)$, then choose e and test for relative primeness with $\phi(n)$. We then calculate d. Finally, we show the results of encryption and decryption. The integer p is a 159-digit number.

p = 961303453135835045741915812806154279093098455949962158225831508796 479404550564706384912571601803475031209866660649242019180878066742 1096063354219926661209

q = 120601919572314469182767942044508960015559250546370339360617983217 314821484837646592153894532091752252732268301071206956046025138871 45524969000359660045617

10.2.6 Continued Example 10.8 Continued

The modulus $n = p \times q$. It has 309 digits.

$$n =$$

 $115935041739676149688925098646158875237714573754541447754855261376\\147885408326350817276878815968325168468849300625485764111250162414\\552339182927162507656772727460097082714127730434960500556347274566\\628060099924037102991424472292215772798531727033839381334692684137\\327622000966676671831831088373420823444370953$

$\phi(n) = (p-1)(q-1)$ has 309 digits.

$$\phi(n) =$$

 $115935041739676149688925098646158875237714573754541447754855261376\\147885408326350817276878815968325168468849300625485764111250162414\\552339182927162507656751054233608492916752034482627988117554787657\\013923444405716989581728196098226361075467211864612171359107358640\\614008885170265377277264467341066243857664128$

10.2.6 Continued Example 10.8 Continued

Bob chooses e = 35535 (the ideal is 65537) and tests it to make sure it is relatively prime with $\phi(n)$. He then finds the inverse of e modulo $\phi(n)$ and calls it d.

e =	35535
d =	580083028600377639360936612896779175946690620896509621804228661113 805938528223587317062869100300217108590443384021707298690876006115 306202524959884448047568240966247081485817130463240644077704833134 010850947385295645071936774061197326557424237217617674620776371642 0760033708533328853214470885955136670294831



Alice wants to send the message "THIS IS A TEST", which can be changed to a numeric value using the 00-26 encoding scheme (26 is the space character).

P = 1907081

1907081826081826002619041819

The ciphertext calculated by Alice is $C = P^e$, which is

C =

 $475309123646226827206365550610545180942371796070491716523239243054\\452960613199328566617843418359114151197411252005682979794571736036\\101278218847892741566090480023507190715277185914975188465888632101\\148354103361657898467968386763733765777465625079280521148141844048\\14184430812773059004692874248559166462108656$

10.2.6 Continued Example 10.8 Continued

Bob can recover the plaintext from the ciphertext using $P = C^d$, which is

P =

1907081826081826002619041819

The recovered plaintext is "THIS IS A TEST" after decoding.

10-3 RABIN CRYPTOSYSTEM

The Rabin cryptosystem can be thought of as an RSA cryptosystem in which the value of e and d are fixed. The encryption is $C \equiv P^2$ (mod n) and the decryption is $P \equiv C^{1/2}$ (mod n).

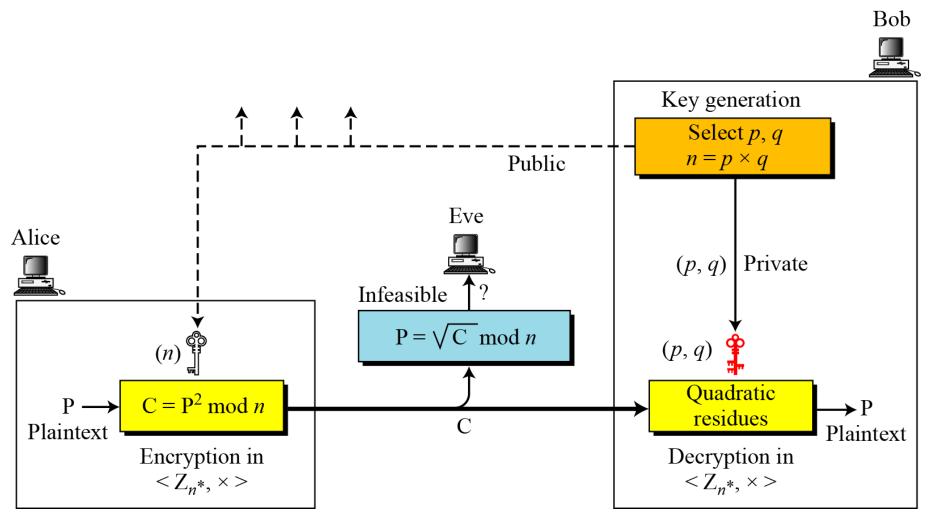
Topics discussed in this section:

10.3.1 Procedure

10.3.2 Security of the Rabin System

10-3 Continued

Figure 10.10 Rabin cryptosystem



10.3.1 Procedure

Key Generation

Algorithm 10.6 Key generation for Rabin cryptosystem

```
Rabin_Key_Generation {

Choose two large primes p and q in the form 4k + 3 and p \neq q.

n \leftarrow p \times q

Public_key \leftarrow n // To be announced publicly Private_key \leftarrow (q, n) // To be kept secret return Public_key and Private_key }
```

10.3.1 Continued

Encryption

Algorithm 10.7 Encryption in Rabin cryptosystem

10.3.1 Continued

Decryption

Algorithm 10.8 Decryption in Rabin cryptosystem

```
Rabin_Decryption (p, q, C)  // C is the ciphertext; p and q are private keys {  a_1 \leftarrow +(C^{(p+1)/4}) \bmod p \\ a_2 \leftarrow -(C^{(p+1)/4}) \bmod p \\ b_1 \leftarrow +(C^{(q+1)/4}) \bmod q \\ b_2 \leftarrow -(C^{(q+1)/4}) \bmod q   // The algorithm for the Chinese remainder algorithm is called four times.  P_1 \leftarrow \text{Chinese\_Remainder} (a_1, b_1, p, q) \\ P_2 \leftarrow \text{Chinese\_Remainder} (a_1, b_2, p, q) \\ P_3 \leftarrow \text{Chinese\_Remainder} (a_2, b_1, p, q) \\ P_4 \leftarrow \text{Chinese\_Remainder} (a_2, b_2, p, q) \\ \text{return } P_1, P_2, P_3, \text{ and } P_4  }
```

Note

The Rabin cryptosystem is not deterministic: Decryption creates four plaintexts.

10.3.1 Continued

Example 10. 9

Here is a very trivial example to show the idea.

- 1. Bob selects p = 23 and q = 7. Note that both are congruent to 3 mod 4.
- 2. Bob calculates $n = p \times q = 161$.
- 3. Bob announces n publicly; he keeps p and q private.
- 4. Alice wants to send the plaintext P = 24. Note that 161 and 24 are relatively prime; 24 is in Z_{161}^* . She calculates $C = 24^2 = 93$ mod 161, and sends the ciphertext 93 to Bob.



Example 10. 9

5. Bob receives 93 and calculates four values:

$$a_1 = +(93^{(23+1)/4}) \mod 23 = 1 \mod 23$$
 $a_2 = -(93^{(23+1)/4}) \mod 23 = 22 \mod 23$
 $b_1 = +(93^{(7+1)/4}) \mod 7 = 4 \mod 7$
 $b_2 = -(93^{(7+1)/4}) \mod 7 = 3 \mod 7$

6. Bob takes four possible answers, (a_1, b_1) , (a_1, b_2) , (a_2, b_1) , and (a_2, b_2) , and uses the Chinese remainder theorem to find four possible plaintexts: 116, 24, 137, and 45. Note that only the second answer is Alice's plaintext.

10-4 ELGAMAL CRYPTOSYSTEM

Besides RSA and Rabin, another public-key cryptosystem is ElGamal. ElGamal is based on the discrete logarithm problem discussed in Chapter 9.

Topics discussed in this section:

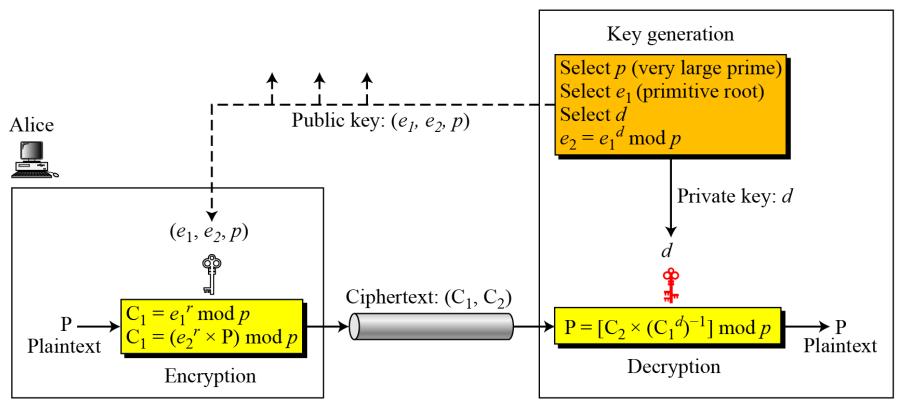
- 10.4.1 ElGamal Cryptosystem
- 10.4.2 Procedure
- **10.4.3 Proof**
- 10.4.4 Analysis
- 10.4.5 Security of ElGamal
- 10.4.6 Application

10.4.2 Procedure

Figure 10.11 Key generation, encryption, and decryption in ElGamal

Bob





Key Generation

Algorithm 10.9 ElGamal key generation

Table 8.3 Powers of Integers, Modulo 19

a	a^2	a^3	a^4	a ⁵	a ⁶	a^7	a^8	a ⁹	a ¹⁰	a ¹¹	a ¹²	a ¹³	a ¹⁴	a ¹⁵	a ¹⁶	a ¹⁷	a ¹⁸
1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
2	4	8	16	13	7	14	9	18	17	15	11	3	6	12	5	10	1
3	9	8	5	15	7	2	6	18	16	10	11	14	4	12	17	13	1
4	16	7	9	17	11	6	5	1	4	16	7	9	17	11	6	5	1
5	6	11	17	9	7	16	4	1	5	6	11	17	9	7	16	4	1
6	17	7	4	5	11	9	16	1	6	17	7	4	5	11	9	16	1
7	11	1	7	11	1	7	11	1	7	11	1	7	11	1	7	11	1
8	7	18	11	12	1	8	7	18	11	12	1	8	7	18	11	12	1
9	5	7	6	16	11	4	17	1	9	5	7	6	16	11	4	17	1
10	5	12	6	3	11	15	17	18	9	14	7	13	16	8	4	2	1
11	7	1	11	7	1	11	7	1	11	7	1	11	7	1	11	7	1
12	11	18	7	8	1	12	11	18	7	8	1	12	11	18	7	8	1
13	17	12	4	14	11	10	16	18	6	2	7	15	5	8	9	3	1
14	6	8	17	10	7	3	4	18	5	13	11	2	9	12	16	15	1
15	16	12	9	2	11	13	5	18	4	3	7	10	17	8	6	14	1
16	9	11	5	4	7	17	6	1	16	9	11	5	4	7	17	6	1
17	4	11	16	6	7	5	9	1	17	4	11	16	6	7	5	9	1
18	1	18	1	18	1	18	1	18	1	18	1	18	1	18	1	18	1

Algorithm 10.10 ElGamal encryption

Algorithm 10.11 ElGamal decryption

Note

The bit-operation complexity of encryption or decryption in ElGamal cryptosystem is polynomial.

Example 10. 10

Here is a trivial example. Bob chooses p = 11 and $e_1 = 2$. and d = 3 $e_2 = e_1^d = 8$. So the public keys are (2, 8, 11) and the private key is 3. Alice chooses r = 4 and calculates C1 and C2 for the plaintext 7.

Plaintext: 7

 $C_1 = e_1^r \mod 11 = 16 \mod 11 = 5 \mod 11$ $C_2 = (P \times e_2^r) \mod 11 = (7 \times 4096) \mod 11 = 6 \mod 11$ **Ciphertext:** (5, 6)

Bob receives the ciphertexts (5 and 6) and calculates the plaintext.

$$[C_2 \times (C_1^d)^{-1}] \mod 11 = 6 \times (5^3)^{-1} \mod 11 = 6 \times 3 \mod 11 = 7 \mod 11$$

Plaintext: 7

Example 10. 11

Instead of using $P = [C_2 \times (C_1^d)^{-1}] \mod p$ for decryption, we can avoid the calculation of multiplicative inverse and use $P = [C_2 \times C_1^{p-1-d}] \mod p$ (see Fermat's little theorem in Chapter 9). In Example 10.10, we can calculate $P = [6 \times 5^{11-1-3}] \mod 11 = 7 \mod 11$.

Note

For the ElGamal cryptosystem, p must be at least 300 digits and r must be new for each encipherment.

Example 10. 12

Bob uses a random integer of 512 bits. The integer p is a 155-digit number (the ideal is 300 digits). Bob then chooses e_1 , d, and calculates e_2 , as shown below:

<i>p</i> =	115348992725616762449253137170143317404900945326098349598143469219 056898698622645932129754737871895144368891765264730936159299937280 61165964347353440008577
$e_1 =$	2
d =	1007

Example 10. 10

Alice has the plaintext P = 3200 to send to Bob. She chooses r = 545131, calculates C1 and C2, and sends them to Bob.

D	2200
P =	3200
r =	545131
C ₁ =	887297069383528471022570471492275663120260067256562125018188351429 417223599712681114105363661705173051581533189165400973736355080295 736788569060619152881
C ₂ =	708454333048929944577016012380794999567436021836192446961774506921 244696155165800779455593080345889614402408599525919579209721628879 6813505827795664302950

Bob calculates the plaintext $P = C_2 \times ((C_1)^d)^{-1} \mod p = 3200 \mod p$.

$\mathbf{P} = \begin{bmatrix} 320 \\ \end{bmatrix}$

10-5 ELLIPTIC CURVE CRYPTOSYSTEMS

Although RSA and ElGamal are secure asymmetrickey cryptosystems, their security comes with a price, their large keys. Researchers have looked for alternatives that give the same level of security with smaller key sizes. One of these promising alternatives is the elliptic curve cryptosystem (ECC).

Topics discussed in this section:

- 10.5.1 Elliptic Curves over Real Numbers
- 10.5.2 Elliptic Curves over GF(p)
- **10.5.3** Elliptic Curves over GF(2ⁿ)
- 10.5.4 Elliptic Curve Cryptography Simulating ElGamal



10.5.1 Elliptic Curves over Real Numbers

The general equation for an elliptic curve is

$$y^2 + b_1 xy + b_2 y = x^3 + a_1 x^2 + a_2 x + a_3$$

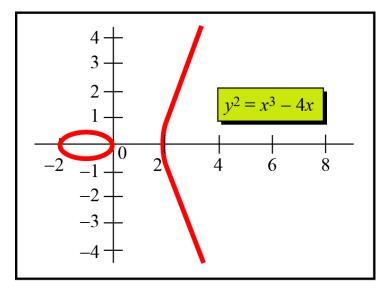
Elliptic curves over real numbers use a special class of elliptic curves of the form

$$y^2 = x^3 + ax + b$$

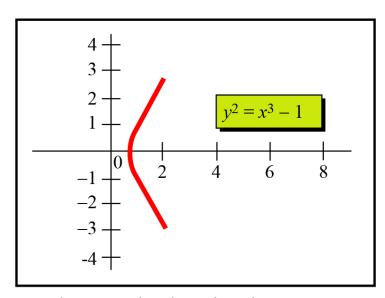
Example 10. 13

Figure 10.12 shows two elliptic curves with equations $y^2 = x^3 - 4x$ and $y^2 = x^3 - 1$. Both are nonsingular. However, the first has three real roots (x = -2, x = 0, and x = 2), but the second has only one real root (x = 1) and two imaginary ones.

Figure 10.12 Two elliptic curves over a real field



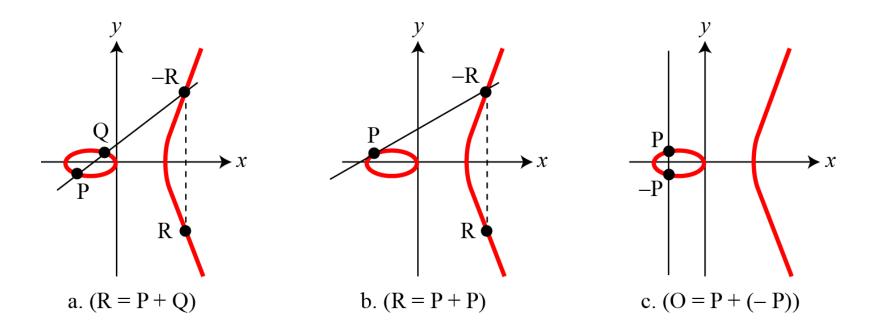
a. Three real roots



b. One real and two imaginary roots

10.5.1 Continued

Figure 10.13 Three adding cases in an elliptic curve



10.5.1 Continued

$$\lambda = (y_2 - y_1) / (x_2 - x_1)$$

$$x_3 = \lambda^2 - x_1 - x_2 \qquad y_3 = \lambda (x_1 - x_3) - y_1$$

$$\lambda = (3x_1^2 + a)/(2y_1)$$

$$x_3 = \lambda^2 - x_1 - x_2 \qquad y_3 = \lambda (x_1 - x_3) - y_1$$

3. The intercepting point is at infinity; a point O as the point at infinity or zero point, which is the additive identity of the group.

10.5.2 Elliptic Curves over GF(p)

Finding an Inverse

The inverse of a point (x, y) is (x, -y), where -y is the additive inverse of y. For example, if p = 13, the inverse of (4, 2) is (4, 11).

Finding Points on the Curve

Algorithm 10.12 shows the pseudocode for finding the points on the curve Ep(a, b).

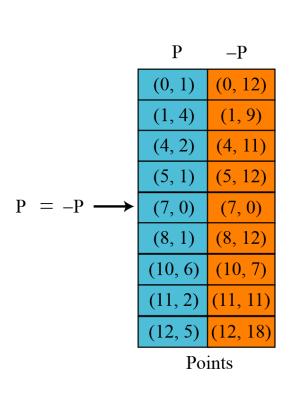
10.5.2 Continued

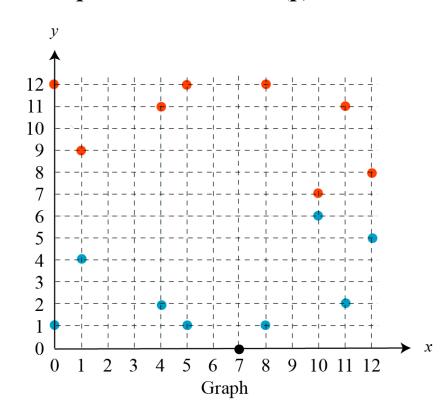
Algorithm 10.12 Pseudocode for finding points on an elliptic curve

Example 10. 14

The equation is $y^2 = x^3 + x + 1$ and the calculation is done modulo 13.

Figure 10.14 Points on an elliptic curve over GF(p)





10.5.2 Continued

Example 10. 15

Let us add two points in Example 10.14, R = P + Q, where P = (4, 2) and Q = (10, 6).

- a. $\lambda = (6-2) \times (10-4)^{-1} \mod 13 = 4 \times 6^{-1} \mod 13 = 5 \mod 13$.
- b. $x = (5^2 4 10) \mod 13 = 11 \mod 13$.
- c. $y = [5 (4-11) 2] \mod 13 = 2 \mod 13$.
- d. R = (11, 2), which is a point on the curve in Example 10.14.

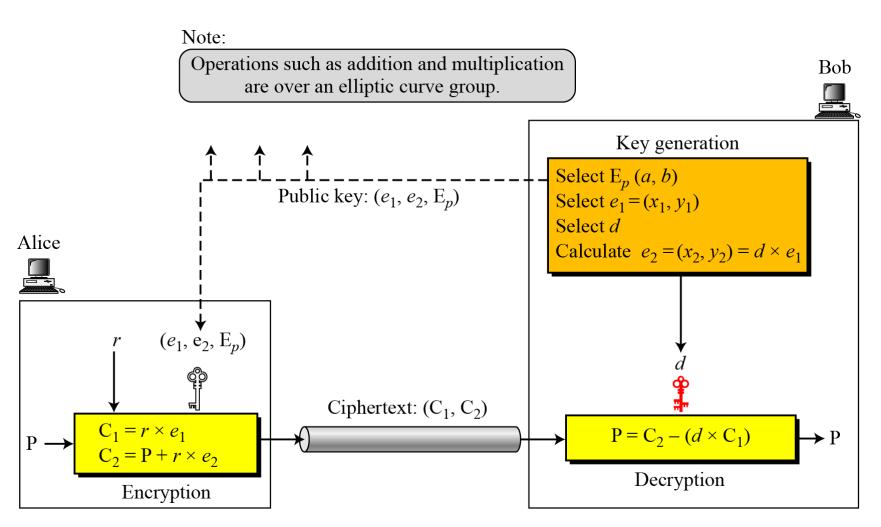
How about $E_{23}(1,1)$, let P=(3, 10) and Q=(9,7)

$$P+Q$$
?

2P?

10.5.4 ECC Simulating ElGamal

Figure 10.16 ElGamal cryptosystem using the elliptic curve



10.5.4 Continued

Generating Public and Private Keys

$$E(a, b)$$
 $e_1(x_1, y_1)$ d $e_2(x_2, y_2) = d \times e_1(x_1, y_1)$

Encryption
$$C_1 = r \times e_1$$

$$C_1 = r \times e_1$$

$$C_2 = P + r \times e_2$$

Decryption

$$\mathbf{P} = \mathbf{C}_2 - (d \times \mathbf{C}_1)$$

The minus sign here means adding with the inverse.

Note

The security of ECC depends on the difficulty of solving the elliptic curve logarithm problem.

10.5.4 Continued

Example 10. 19

- 1. Bob selects $E_{67}(2, 3)$ as the elliptic curve over GF(p).
- 2. Bob selects $e_1 = (2, 22)$ and d = 4.
- 3. Bob calculates $e_2 = (13, 45)$, where $e_2 = d \times e_1$.
- 4. Bob publicly announces the tuple (E, e_1, e_2) .
- 5. Alice sends the plaintext P = (24, 26) to Bob. She selects r = 2.
- 6. Alice finds the point C_1 =(35, 1), C_2 =(21, 44).
- 7. Bob receives C_1 , C_2 . He uses $4xC_1(35,1)$ to get (23, 25), inverts the points (23, 25) to get the points (23, 42).
- 8. Bob adds (23, 42) with C_2 =(21, 44) to get the original one P=(24, 26).

10.5.4 Comparable Key Sizes for Equivalent Security

Symmetric scheme	ECC-based scheme	RSA/DSA (modulus size in			
(key size in bits)	(size of <i>n</i> in bits)	bits)			
56	112	512			
80	160	1024			
112	224	2048			
128	256	3072			
192	384	7680			
256	512	15360			