

# Artificial Intelligence



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# Outline

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# **CHAPTER 6: FIRST-ORDER LOGIC**

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6.3 Using First-Order Logic

# **6.1 Representation Revisited**

## 6.1 Propositional logic

- Propositional logic is declarative: pieces of syntax correspond to facts
- Propositional logic allows partial/disjunctive/negated information (unlike most data structures and databases)
- Propositional logic is compositional:  
meaning of  $B_{1,1} \wedge P_{1,2}$  is derived from meaning of  $B_{1,1}$  and of  $P_{1,2}$
- Meaning in propositional logic is context-independent (unlike natural language, where meaning depends on context)
- Propositional logic has very limited expressive power  
E.g., cannot say “pits cause breezes in adjacent squares”  
except by writing one sentence for each square

# 6.1 First-order logic

Whereas propositional logic assumes world contains facts, first-order logic (like natural language) assumes the world contains

- **Objects**: people, houses, numbers, theories, colors, baseball games
- **Relations**: red, round, bogus, prime, multistoried ...,  
brother of, bigger than, inside, part of, has color, occurred after, owns, comes  
between, ...
- **Functions**: father of, best friend, third inning of, one more than, end of

## **6.2 Syntax And Semantics Of First-Order Logic**

## 6.2 Syntax And Semantics Of First-Order Logic

- **Model** contains at least objects and relations among them
- Basic symbols:
  - constant symbols  $\rightarrow$  objects
  - predicate symbols  $\rightarrow$  relations
  - function symbols  $\rightarrow$  functional relations
- **Interpretation** specifies exactly which objects, relations and functions are referred to by the constant, predicate, and function symbols

Example:

*Richard* refers to *Richard the Lionheart*, *John* refers to *the evil King John*

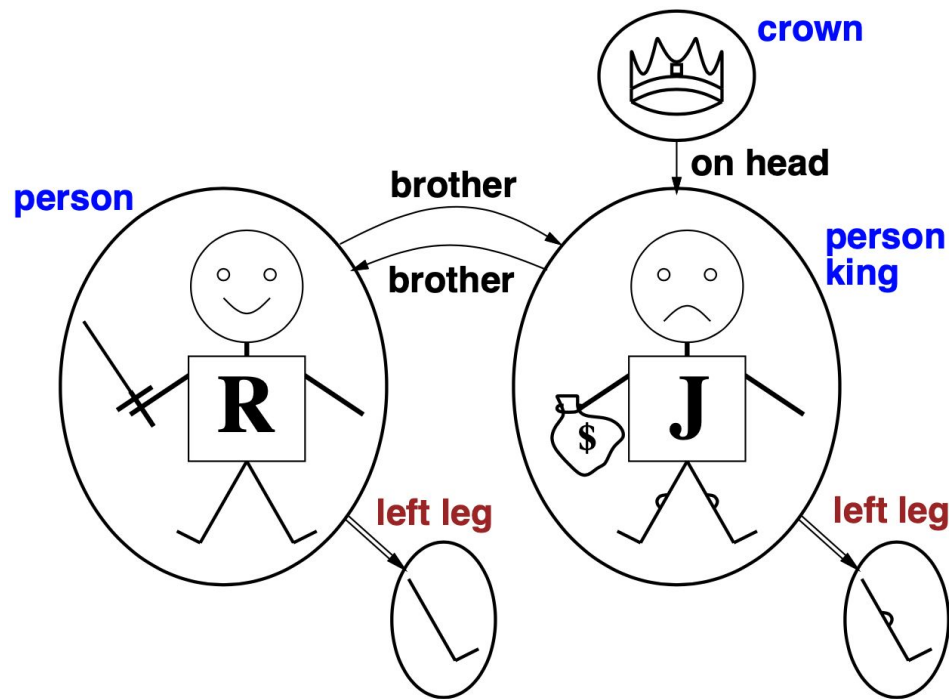
*Brother* refers to *the brotherhood relation*

*LeftLeg* refers to *the “left leg” function*



## 6.2 Models for FOL: Example

- 5 objects:
  - Richard the Lionheart;
  - the evil King John;
  - the left legs of Richard and John;
  - a crown
- Relations:
  - binary relations:
    - “brother” and “on head” (they relate pairs of objects)
  - unary relations, or properties
    - “person”, “king”, “crown”
  - functions: a given object must be related to exactly one object
    - “left leg”



## 6.2 Syntax of FOL: Basic elements

- Constants: KingJohn, 2, UCB,...
- Predicates: Brother, >,...
- Functions: Sqrt, LeftLegOf,...
- Variables: x, y, a, b,...
- Connectives:  $\wedge$   $\vee$   $\neg$   $\Rightarrow$   $\Leftrightarrow$
- Equality: =
- Quantifiers:  $\forall$ ,  $\exists$

## 6.2 Atomic sentences

- Atomic sentence:  $\text{predicate}(\text{term}_1, \dots, \text{term}_n)$  or  $\text{term}_1 = \text{term}_2$   
E.g.,  $\text{Brother}(\text{KingJohn}, \text{RichardTheLionheart})$
- Term:  $\text{function}(\text{term}_1, \dots, \text{term}_n)$  or constant or variable  
E.g.,  $> (\text{Length}(\text{LeftLegOf}(\text{Richard})), \text{Length}(\text{LeftLegOf}(\text{KingJohn})))$

## 6.2 Complex sentences

Complex sentences are made from atomic sentences using connectives

$$\neg S, S_1 \wedge S_2, S_1 \vee S_2, S_1 \Rightarrow S_2, S_1 \Leftrightarrow S_2$$

E.g.

$\text{Sibling}(\text{KingJohn}, \text{Richard}) \Rightarrow \text{Sibling}(\text{Richard}, \text{KingJohn})$

$>(1, 2) \vee \leq(1, 2)$

$>(1, 2) \wedge \neg >(1, 2)$

## 6.2 Universal quantification

$\forall \langle \text{variables} \rangle \langle \text{sentence} \rangle$

$\forall xP$  is true in a model  $m$  iff the sentence  $P$  is true with the variable  $x$  being each possible object in the model

Everyone at Berkeley is smart:  $\forall x \text{ At}(x, \text{Berkeley}) \Rightarrow \text{Smart}(x)$

Roughly speaking, equivalent to the conjunction of instantiations of  $P$

$(\text{At}(\text{KingJohn}, \text{Berkeley}) \Rightarrow \text{Smart}(\text{KingJohn}))$

$\wedge (\text{At}(\text{Richard}, \text{Berkeley}) \Rightarrow \text{Smart}(\text{Richard}))$

$\wedge (\text{At}(\text{Berkeley}, \text{Berkeley}) \Rightarrow \text{Smart}(\text{Berkeley}))$

$\wedge \dots$

## 6.2 Existential quantification

$\exists \langle \text{variables} \rangle \langle \text{sentence} \rangle$

$\exists x P$  is true in a model  $m$  iff the sentence  $P$  is true with the variable  $x$  being some possible object in the model

Someone at Stanford is smart:  $\exists x \text{At}(x, \text{Stanford}) \wedge \text{Smart}(x)$

Roughly speaking, equivalent to the **disjunction** of instantiations of  $P$

$(\text{At}(\text{KingJohn}, \text{Stanford}) \wedge \text{Smart}(\text{KingJohn}))$

$\vee (\text{At}(\text{Richard}, \text{Stanford}) \wedge \text{Smart}(\text{Richard}))$

$\vee (\text{At}(\text{Stanford}, \text{Stanford}) \wedge \text{Smart}(\text{Stanford}))$

$\vee \dots$

## 6.2 Properties of quantifiers

$\forall x \forall y$  is the same as  $\forall y \forall x$

$\exists x \exists y$  is the same as  $\exists y \exists x$

Quantifier duality: each can be expressed using the other

$\forall x \text{ Likes}(x, \text{IceCream}) \quad \neg \exists x \neg \text{Likes}(x, \text{IceCream})$

$\exists x \text{ Likes}(x, \text{Broccoli}) \quad \neg \forall x \neg \text{Likes}(x, \text{Broccoli})$

## 6.2 Equality

$\text{term}_1 = \text{term}_2$  is true if and only if  $\text{term}_1$ ,  $\text{term}_2$  refer to the same object

E.g.,  $\text{Father}(\text{John}) = \text{Henry}$



## **6.3 Using First-Order Logic**

## 6.3 Using First-Order Logic

### Assertions and queries in first-order logic

Sentences are added to a knowledge base using TELL, (called as assertions)

For example, we can assert that John is a king, Richard is a person, and all kings are persons:

TELL(KB, King(John)) .

TELL(KB, Person(Richard)) .

TELL(KB,  $\forall x \text{ King}(x) \Rightarrow \text{Person}(x)$ ) .

We can ask questions of the knowledge base using ASK (queries or goals).

ASK(KB, King(John))

## 6.3 Inference rules for quantifiers

- **Universal Instantiation rule:**

$\text{SUBST}(\theta, \alpha)$  denote the result of applying the substitution  $\theta$  to the sentence  $\alpha$

**Rule:** substituting a ground term (a term without variables) for the variable

$$\frac{\forall v \ \alpha}{\text{SUBST}(\{v/g\}, \alpha)}$$

for any variable  $v$ , ground term  $g$

E.g.,  $\forall x \text{ King}(x) \wedge \text{Greedy}(x) \Rightarrow \text{Evil}(x)$  yields

$\text{King}(\text{John}) \wedge \text{Greedy}(\text{John}) \Rightarrow \text{Evil}(\text{John})$

$\text{King}(\text{Richard}) \wedge \text{Greedy}(\text{Richard}) \Rightarrow \text{Evil}(\text{Richard})$

$\text{King}(\text{Father}(\text{John})) \wedge \text{Greedy}(\text{Father}(\text{John})) \Rightarrow \text{Evil}(\text{Father}(\text{John}))$

## 6.3 Inference rules for quantifiers

- **Existential instantiation (EI)**

For any sentence  $\alpha$ , variable  $v$ , and constant symbol  $k$  that does not appear elsewhere in the knowledge base:

$$\frac{\exists v \alpha}{\text{SUBST}(\{v/k\}, \alpha)}$$

E.g.,  $\exists x \text{Crown}(x) \wedge \text{OnHead}(x, \text{John})$  yields

$$\text{Crown}(\text{C1}) \wedge \text{OnHead}(\text{C1}, \text{John})$$

provided **C1** is a new constant symbol, called a Skolem constant

## 6.3 Inference rules for quantifiers

- UI can be applied several times to add new sentences;  
the new KB is logically equivalent to the old
- EI can be applied once to replace the existential sentence;  
the new KB is not equivalent to the old,  
but is satisfiable iff the old KB was satisfiable

## 6.3 Reduction to propositional inference

Suppose the KB contains just the following:

$\forall x \text{ King}(x) \wedge \text{Greedy}(x) \Rightarrow \text{Evil}(x)$

$\text{King}(\text{John})$

$\text{Greedy}(\text{John})$

$\text{Brother}(\text{Richard}, \text{John})$

Instantiating the universal sentence in all possible ways, we have

$\text{King}(\text{John}) \wedge \text{Greedy}(\text{John}) \Rightarrow \text{Evil}(\text{John})$

$\text{King}(\text{Richard}) \wedge \text{Greedy}(\text{Richard}) \Rightarrow \text{Evil}(\text{Richard})$

$\text{King}(\text{John})$

$\text{Greedy}(\text{John})$

$\text{Brother}(\text{Richard}, \text{John})$

The new KB is **propositionalized**: proposition symbols are

$\text{King}(\text{John})$ ,  $\text{Greedy}(\text{John})$ ,  $\text{Evil}(\text{John})$ ,  $\text{King}(\text{Richard})$ , etc.

## 6.3 Reduction to propositional inference

- Claim: every FOL KB can be propositionalized so as to preserve entailment
- Idea: propositionalize KB and query, apply propositional resolution, obtain result
- Problem: with function symbols, there are infinitely many ground terms, e.g., `Father(Father(Father(John)))`
- Theorem: Herbrand (1930).

If a sentence  $\alpha$  is entailed by an FOL KB, it is entailed by a finite subset of the propositional KB

Idea: For  $n=0$  to  $\infty$  do

    create a propositional KB by instantiating with depth- $n$  terms

    see if  $\alpha$  is entailed by this KB

Problem: works if  $\alpha$  is entailed, loops if  $\alpha$  is not entailed

- Theorem: Turing (1936), Church (1936), entailment in FOL is **semidecidable**

## 6.3 Generalized Modus Ponens (GMP)

- For atomic sentences  $p_i$ ,  $p'_i$ , and  $q$ , where there is a substitution  $\theta$ , such that  $\text{SUBST}(\theta, p_i) = \text{SUBST}(\theta, p'_i)$ , for all  $i$

$$\frac{p_1', p_2', \dots, p_n', (p_1 \wedge p_2 \wedge \dots \wedge p_n \Rightarrow q)}{\text{SUBST}(\theta, q)}$$

$p_1'$ is King(John)	$p_1$ is King(x)
$p_2'$ is Greedy(y)	$p_2$ is Greedy(x)
$\theta$ is $\{x/\text{John}, y/\text{John}\}$	$q$ is Evil(x)
$\text{SUBST}(\theta, q)$ is Evil(John)	



## 6.3 Unification

- We can get the inference if we can find a substitution  $\theta$  such that  $\text{King}(x)$  and  $\text{Greedy}(x)$  match  $\text{King}(\text{John})$  and  $\text{Greedy}(y)$

$\text{UNIFY}(p, q) = \theta$  where  $\text{SUBST}(\theta, p) = \text{SUBST}(\theta, q)$ .

$p$	$q$	$\theta$
$\text{Knows}(\text{John}, x)$	$\text{Knows}(\text{John}, \text{Jane})$	$\{x/\text{Jane}\}$
$\text{Knows}(\text{John}, x)$	$\text{Knows}(y, \text{OJ})$	$\{x/\text{OJ}, y/\text{John}\}$
$\text{Knows}(\text{John}, x)$	$\text{Knows}(y, \text{Mother}(y))$	$\{y/\text{John}, x/\text{Mother}(\text{John})\}$
$\text{Knows}(\text{John}, x)$	$\text{Knows}(x, \text{OJ})$	<i>fail</i>

Standardizing apart (renaming) eliminates overlap of variables, e.g.,  $\text{Knows}(x_{17}, \text{OJ})$

## 6.3 Example knowledge base

The law says that it is a crime for an American to sell weapons to hostile nations.

The country Nono, an enemy of America, has some missiles, and all of its missiles were sold it by Colonel West, who is American.

Prove that Col. West is a criminal.

## 6.3 Example knowledge base contd.

It is a crime for an American to sell weapons to hostile nations:

$$\text{American}(x) \wedge \text{Weapon}(y) \wedge \text{Sells}(x, y, z) \wedge \text{Hostile}(z) \Rightarrow \text{Criminal}(x) \quad (9.3)$$

Nono . . . has some missiles, i.e.,  $\exists x \text{ Owns}(\text{Nono}, x) \wedge \text{Missile}(x)$ :

$$\text{Owns}(\text{Nono}, \text{M1}) \quad (9.4)$$

$$\text{Missile}(\text{M1}) \quad (9.5)$$

with M1 as a new constant

. . . all of its missiles were sold to it by Colonel West

$$\forall x \text{ Missile}(x) \wedge \text{Owns}(\text{Nono}, x) \Rightarrow \text{Sells}(\text{West}, x, \text{Nono}) \quad (9.6)$$

$$\text{Missiles are weapons: } \text{Missile}(x) \Rightarrow \text{Weapon}(x) \quad (9.7)$$

$$\text{An enemy of America counts as “hostile”: } \text{Enemy}(x, \text{America}) \Rightarrow \text{Hostile}(x) \quad (9.8)$$

$$\text{West, who is American . . . : } \text{American}(\text{West}) \quad (9.9)$$

$$\text{The country Nono, an enemy of America . . . } \text{Enemy}(\text{Nono}, \text{America}) \quad (9.10)$$

## 6.3 Forward chaining algorithm

**function** FOL-FC-ASK( $KB, \alpha$ ) **returns** a substitution or *false*

**inputs:**  $KB$ , the knowledge base, a set of first-order definite clauses

$\alpha$ , the query, an atomic sentence

**local variables:** *new*, the new sentences inferred on each iteration

**repeat until** *new* is empty

$new \leftarrow \{ \}$

**for each** *rule* **in**  $KB$  **do**

$(p_1 \wedge \dots \wedge p_n \Rightarrow q) \leftarrow \text{STANDARDIZE-VARIABLES}(\text{rule})$

**for each**  $\theta$  **such that**  $\text{SUBST}(\theta, p_1 \wedge \dots \wedge p_n) = \text{SUBST}(\theta, p'_1 \wedge \dots \wedge p'_n)$

for some  $p'_1, \dots, p'_n$  **in**  $KB$

$q' \leftarrow \text{SUBST}(\theta, q)$

**if**  $q'$  **does not unify with some sentence already in**  $KB$  **or** *new* **then**

add  $q'$  to *new*

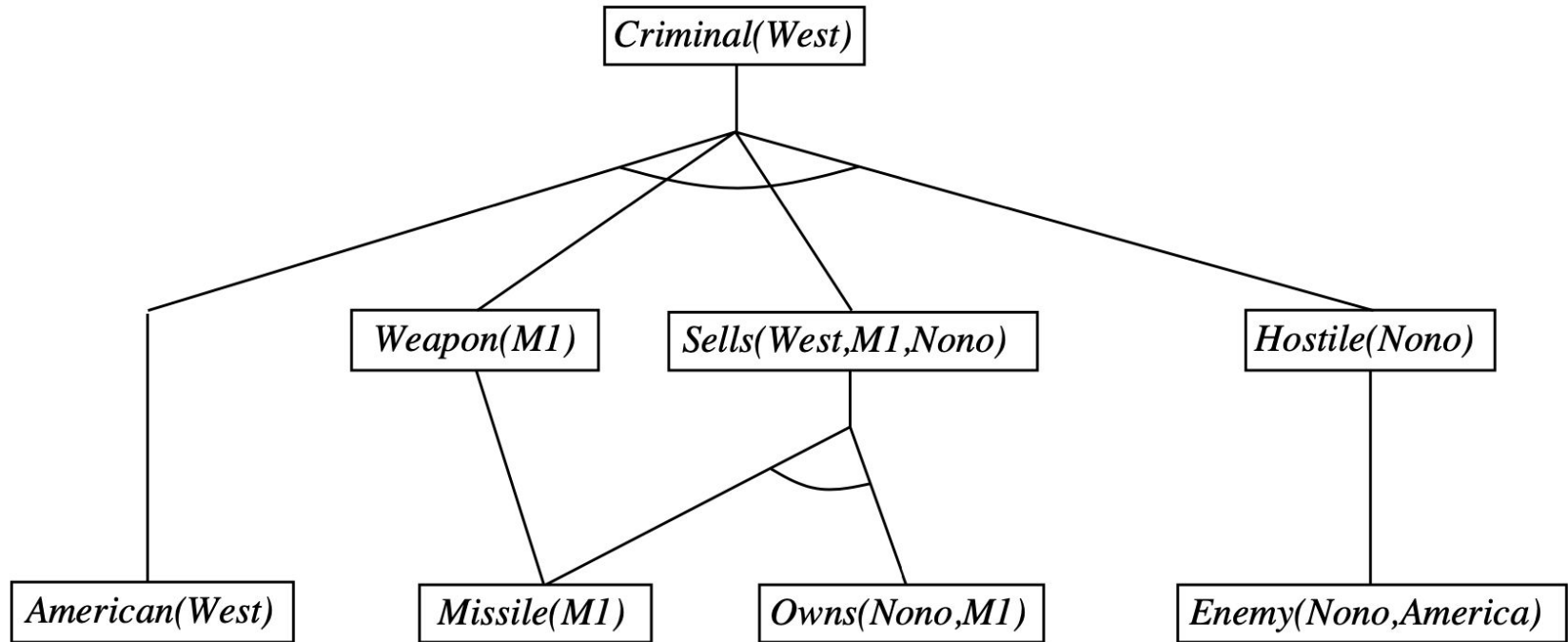
$\phi \leftarrow \text{UNIFY}(q', \alpha)$

**if**  $\phi$  is not *fail* **then return**  $\phi$

add *new* to  $KB$

**return** *false*

## 6.3 Forward chaining proof



## 6.3 Backward chaining algorithm

**function** FOL-BC-ASK( $KB, goals, \theta$ ) **returns** a set of substitutions

**inputs:**  $KB$ , a knowledge base  
 $goals$ , a list of conjuncts forming a query ( $\theta$  already applied)  
 $\theta$ , the current substitution, initially the empty substitution  $\{ \}$

**local variables:**  $answers$ , a set of substitutions, initially empty

**if**  $goals$  is empty **then return**  $\{ \theta \}$

$q' \leftarrow \text{SUBST}(\theta, \text{FIRST}(goals))$

**for each** sentence  $r$  **in**  $KB$

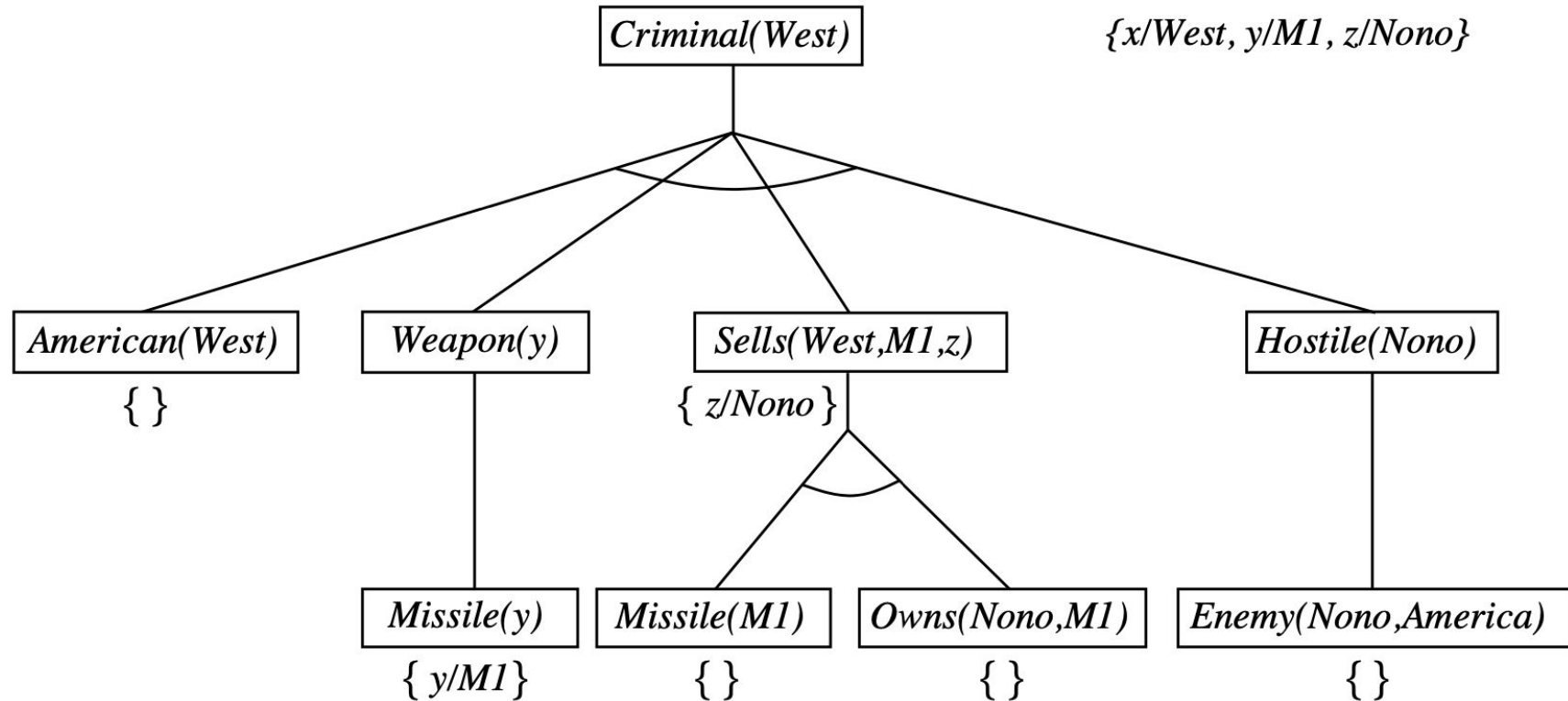
    where  $\text{STANDARDIZE-APART}(r) = (p_1 \wedge \dots \wedge p_n \Rightarrow q)$   
    and  $\theta' \leftarrow \text{UNIFY}(q, q')$  succeeds

$new\_goals \leftarrow [p_1, \dots, p_n | \text{REST}(goals)]$

$answers \leftarrow \text{FOL-BC-ASK}(KB, new\_goals, \text{COMPOSE}(\theta', \theta)) \cup answers$

**return**  $answers$

## 6.3 Backward chaining example



# Backward chaining example

- Proof tree constructed by backward chaining to prove that West is a criminal.
- The tree should be read depth first, left to right.
- To prove Criminal (West ), we have to prove the four conjuncts below it.
- Some of these are in the knowledge base, and others require further backward chaining.
- Bindings for each successful unification are shown next to the corresponding subgoal. Note that once one subgoal in a conjunction succeeds, its substitution is applied to subsequent subgoals.
- Thus, by the time FOL-BC-ASK gets to the last conjunct, originally Hostile(z), z is already bound to Nono.



## 6.3 Resolution

Full first-order version:

$$\frac{\ell_1 \vee \dots \vee \ell_k, \quad m_1 \vee \dots \vee m_n}{(\ell_1 \vee \dots \vee \ell_{i-1} \vee \ell_{i+1} \vee \dots \vee \ell_k \vee m_1 \vee \dots \vee m_{j-1} \vee m_{j+1} \vee \dots \vee m_n)\theta}$$

where  $\text{Unify}(\ell_i, \neg m_j) = \theta$ .

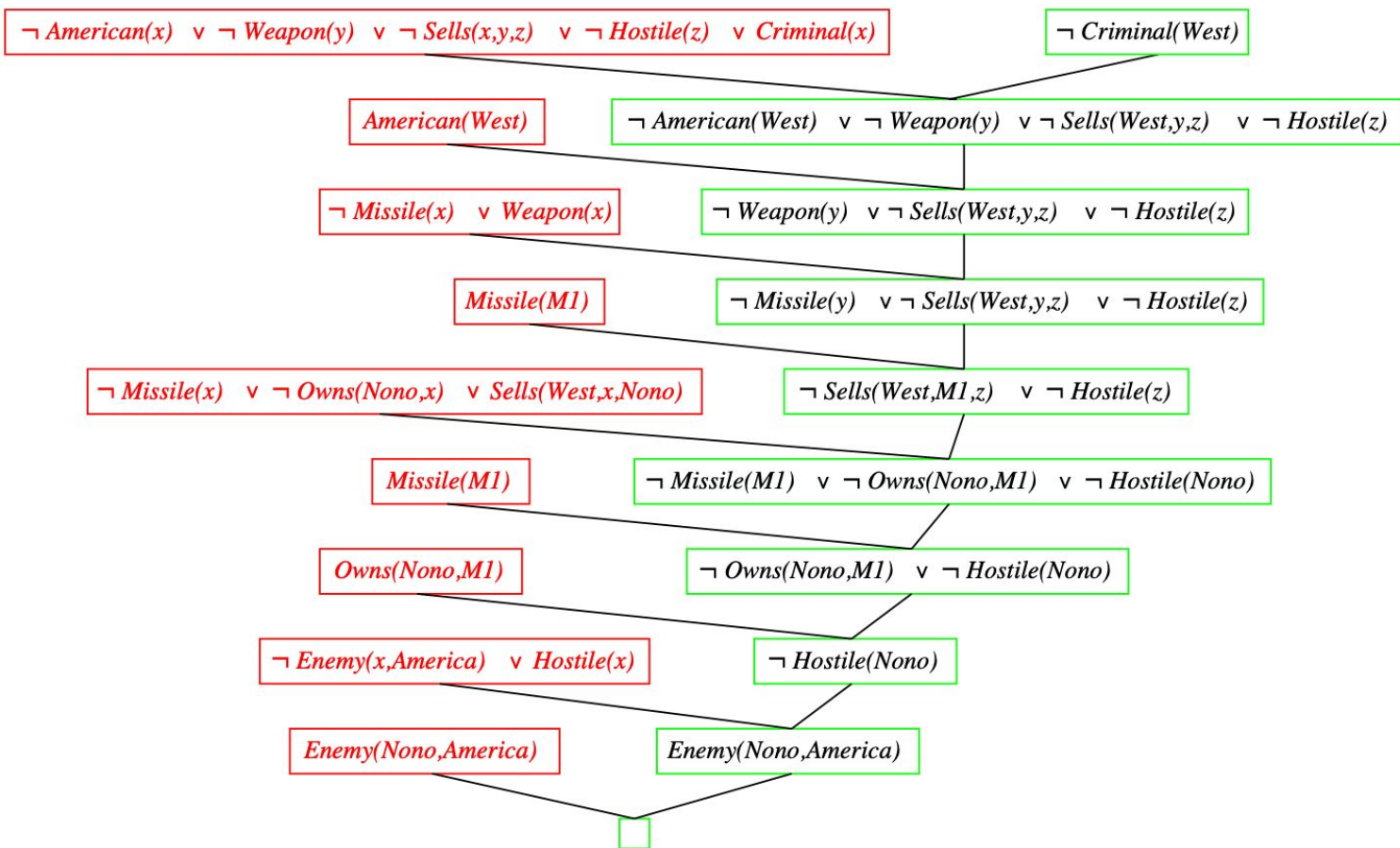
For example,

$$\frac{\neg \text{Rich}(x) \vee \text{Unhappy}(x) \quad \text{Rich}(\text{Ken})}{\text{Unhappy}(\text{Ken})}$$

with  $\theta = \{x/\text{Ken}\}$

Apply resolution steps to  $\text{CNF}(\text{KB} \wedge \neg \alpha)$ ; complete for FOL

## 6.3 Resolution proof: definite clauses



## 6.3 Conversion to CNF

1. Eliminate biconditionals and implications

$$S_1 \Rightarrow S_2 \equiv \neg S_1 \vee S_2$$

$$S_1 \Leftrightarrow S_2 \equiv (S_1 \Rightarrow S_2) \wedge (S_2 \Rightarrow S_1)$$

2. Move  $\neg$  inwards:

$$\neg \forall x, p \equiv \exists x \neg p, \neg \exists x, p \equiv \forall x \neg p$$

3. Standardize variables: each quantifier should use a different one

4. Skolemize: each **existential variable** is replaced by a Skolem function of the enclosing **universally quantified variables**

5. Drop universal quantifiers

6. Distribute  $\wedge$  over  $\vee$