# PROBLEMS OF QUANTUM FIELD THEORIES IN CURVED SPACETIMES

#### A MASTER THESIS

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### Preface

Preface

### Introduction to QFT in curved spacetimes

$$dl^2 = g_{\mu\nu} dx^{\mu} dx^{\nu} \tag{1.1}$$

$$S = \int \left[ \frac{1}{2\kappa} \left( R - 2\Lambda \right) + \mathcal{L}_{\mathcal{M}} \right] \sqrt{-g} \, \mathrm{d}^4 x \tag{1.2}$$

 $\kappa \equiv \frac{8\pi G}{c^4}$  Variation of S with respect to the inverse metric  $(g^{\mu\nu})$  gives

$$\delta S = \int \left[ \frac{\sqrt{-g}}{2\kappa} \frac{\delta R}{\delta g^{\mu\nu}} + \frac{R}{2\kappa} \frac{1}{\sqrt{-g}} \frac{\delta \sqrt{-g}}{\delta g^{\mu\nu}} - \frac{\Lambda}{\kappa} \frac{1}{\sqrt{-g}} \frac{\delta \sqrt{-g}}{\delta g^{\mu\nu}} + \frac{\delta \mathcal{L}_{M}}{\delta g^{\mu\nu}} + \frac{\mathcal{L}_{M}}{\sqrt{-g}} \frac{\delta \sqrt{-g}}{\delta g^{\mu\nu}} \right] \delta g^{\mu\nu} \sqrt{-g} \, d^{4}x$$
(1.3)

 $\delta S = 0$  and

$$\frac{\delta R}{\delta q^{\mu\nu}} = R_{\mu\nu} \qquad \frac{1}{\sqrt{-g}} \frac{\delta \sqrt{-g}}{\delta q^{\mu\nu}} = -\frac{1}{2} g_{\mu\nu} \tag{1.4}$$

$$R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R + \Lambda g_{\mu\nu} = -2\frac{8\pi G}{c^4} \left(\frac{\delta \mathcal{L}_{\rm M}}{\delta g^{\mu\nu}} - \frac{1}{2}\mathcal{L}_{\rm M}g_{\mu\nu}\right)$$
(1.5)

$$T_{\mu\nu} \equiv \mathcal{L}_{\mathcal{M}} g_{\mu\nu} - \frac{\delta \mathcal{L}_{\mathcal{M}}}{\delta g^{\mu\nu}} = \frac{-2}{\sqrt{-g}} \frac{\delta \left(\mathcal{L}_{\mathcal{M}} \sqrt{-g}\right)}{\delta g^{\mu\nu}}$$
(1.6)

[1]

$$\nabla_{\mu}T^{\mu\nu} = 0 \tag{1.7}$$

(and its symmetric)

Now, in order to obtain the equations of motion for the matter fields, consider the lagrangian

$$\mathcal{L}_{\mathcal{M}} = \mathcal{L}_{\mathcal{M}} \left[ \phi^{\alpha}(x), \, \nabla_{\mu} \phi^{\alpha}(x) \right] \tag{1.8}$$

variations of S with respect of  $\phi^{\alpha}$  result in

$$\delta S = \int \left[ \frac{\partial \mathcal{L}_{M}}{\partial \phi^{\alpha}} \delta \phi^{\alpha} + \frac{\partial \mathcal{L}_{M}}{\partial (\nabla_{\mu} \phi^{\alpha})} \nabla_{\mu} (\delta \phi^{\alpha}) \right] \sqrt{-g} \, d^{4}x$$
 (1.9)

and thus, after applying the generalized Gauss Theorem on a curved background, and considering that field variations vanish at the boundaries, one obtains

$$\frac{\partial \mathcal{L}_{M}}{\partial \phi^{\alpha}} - \nabla_{\mu} \left[ \frac{\partial \mathcal{L}_{M}}{\partial \left( \nabla_{\mu} \phi^{\alpha} \right)} \right] = 0 \tag{1.10}$$

$$\Pi_{\alpha} \equiv \frac{\partial \mathcal{L}_{\mathcal{M}}}{\partial \left( \nabla_{0} \phi^{\alpha} \right)} \tag{1.11}$$

#### 1.1 Scalar field

$$S[\phi] = \int \frac{1}{2} \left[ \nabla_{\nu} \phi \nabla^{\nu} \phi - \mu^2 \phi^2 - \xi R \phi^2 \right] \sqrt{-g} \, \mathrm{d}^4 x \tag{1.12}$$

equations of motion (Klein-Gordon)

$$\left[\nabla_{\nu}\nabla^{\nu} - \mu^2 - \xi R\right]\phi = 0 \tag{1.13}$$

$$T_{\mu\nu} = \nabla_{\mu}\phi \,\nabla_{\nu}\phi - \frac{1}{2}g_{\mu\nu} \left[\nabla^{\sigma}\phi\nabla_{\sigma}\phi - \mu^{2}\phi^{2}\right] + \xi \left[R_{\mu\nu} - \frac{1}{2}g_{\mu\nu} + g_{\mu\nu}\nabla^{\sigma}\nabla_{\sigma} - \nabla_{\mu}\nabla_{\nu}\right]\phi^{2} \quad (1.14)$$

note that for minimally coupled field  $(\xi = 0)$  the energy-momentum tensor is equivalent to the Noether energy-momentum tensor.

Scalar product

$$\langle \phi_1(x), \phi_2(x) \rangle \equiv i \int g^{0\nu} \left( \phi_1 \overset{\leftrightarrow}{\nabla}_{\nu} \phi_2^* \right) \sqrt{-g} \, \mathrm{d}^3 \mathbf{x}$$
 (1.15)

Let v(x) be a solution of the Klein-Gordon equation, then  $v^*(x)$  will also be an (linearly independent) solution. Let i represent the set of parameters that univocally describe a par of solutions  $v_i(x)$ ,  $v^*(x)$ , therefore, the general solution of the Klein-Gordon equation will be of the form

$$\phi(x) = \sum_{i} \left[ a_i v_i(x) + a_i^* v_i^*(x) \right]$$
 (1.16)

where  $a_i$ ,  $a_i^*$  are constant factors that can be written as

$$a_i = \langle v_i(x), \phi(x) \rangle$$
  $a_i^* = \langle v_i^*(x), \phi(x) \rangle$  (1.17)

Quantization of the field is done by promoting the fields to operators

$$\phi(x) \longrightarrow \hat{\phi}(x) \qquad \Pi(x) \longrightarrow \hat{\Pi}(x)$$
 (1.18)

this is done by promoting the constant factors to operators as well, that is

$$a_i \longrightarrow \hat{a}_i \qquad a_i^* \longrightarrow \hat{a}_i^{\dagger}$$
 (1.19)

and therefore

$$\hat{\phi}(x) = \sum_{i} \left[ \hat{a}_i v_i(x) + \hat{a}_i^{\dagger} v_i^*(x) \right]$$
(1.20)

after the promotion of the fields to operators, commutation relations are imposed; the easiest choice would be to assume canonical quantization relations,

$$\left[\hat{\phi}(\mathbf{x}), \, \hat{\Pi}(\mathbf{y})\right] = i\hbar \, \delta^3 \left(\mathbf{x} - \mathbf{y}\right) \qquad \left[\hat{\phi}(\mathbf{x}), \, \hat{\phi}(\mathbf{y})\right] = \left[\hat{\Pi}(\mathbf{x}), \, \hat{\Pi}(\mathbf{y})\right] = 0 \tag{1.21}$$

It would be desirable to obtain a formulation similar to the well known scalar field in a flat background, where the Fock space is generated from a vacuum state and a set of creation and annihilation operators that follow some commutation rules. To do so, we will force the  $\hat{a}_i$ ,  $\hat{a}_i^{\dagger}$  operators to assume this roll, in such a way that

$$\left[\hat{a}_i, \, \hat{a}_j^{\dagger}\right] \propto \delta_{ij} \qquad \left[\hat{a}_i, \, \hat{a}_j\right] = \left[\hat{a}_i^{\dagger}, \, \hat{a}_j^{\dagger}\right] = 0 \tag{1.22}$$

Thanks to the relation between the constant factors  $a_i$  and the scalar product  $\langle v_i, \phi \rangle$ , one can obtain

$$\left[\hat{a}_{i}, \hat{a}_{j}^{\dagger}\right] = -\int \left[\left(v_{i}\hat{\Pi} - g^{0\nu}\left(\nabla_{\nu}v_{i}\right)\hat{\phi}\sqrt{-g}\right)\Big|_{\mathbf{x}}, \left(v_{j}^{*}\hat{\Pi} - g^{0\nu}\left(\nabla_{\nu}v_{j}\right)\hat{\phi}\sqrt{-g}\right)\Big|_{\mathbf{y}}\right] d^{3}\mathbf{x}d^{3}\mathbf{y} = 
= i\hbar \int g^{0\nu}\left(v_{i}\overset{\leftrightarrow}{\nabla}_{\nu}v_{j}^{*}\right)\sqrt{-g} d^{3}\mathbf{x} = \hbar\langle v_{i}, v_{j}\rangle \quad (1.23)$$

where the field commutators where used. Equivalently

$$\left[\hat{a}_{i},\,\hat{a}_{j}\right] = -\hbar \left\langle v_{i},\,v_{j}^{*}\right\rangle \qquad \left[\hat{a}_{i}^{\dagger},\,\hat{a}_{j}^{\dagger}\right] = -\hbar \left\langle v_{i}^{*},\,v_{j}\right\rangle \tag{1.24}$$

Therefore we must find a set of solutions  $\{v_i(x), v_i^*(x)\}$  such that

$$\langle v_i, v_j \rangle \propto \delta_{ij} \qquad \langle v_i, v_j^* \rangle = \langle v_i^*, v_j \rangle = 0$$
 (1.25)

With this, we can define the Fock space the usual way, starting with a vacuum state  $|0\rangle$  such that the action of the annihilation operation fulfils

$$\hat{a}_i |0\rangle = 0 \qquad \forall i \tag{1.26}$$

where single particle states are formed from the creation operator

$$|i\rangle \equiv \hat{a}_i^{\dagger} |0\rangle \tag{1.27}$$

and multiparticle states like

$$|i, j, \ldots\rangle = \ldots \hat{a}_i^{\dagger} \hat{a}_i^{\dagger} |0\rangle$$
 (1.28)

Since this is a scalar field, one might assume that the states are symmetric (describing boson particles), and this is easily confirmed, since

$$|i,j\rangle = \hat{a}_j^{\dagger} \, \hat{a}_i^{\dagger} \, |0\rangle = \left[ \hat{a}_i^{\dagger}, \, \hat{a}_j^{\dagger} \right] |0\rangle + \hat{a}_i^{\dagger} \, \hat{a}_j^{\dagger} |0\rangle = |j,i\rangle \tag{1.29}$$

#### 1.2 Bogoliubov transformations

Consider now a second set  $\{u_i(x), u_i^*(x)\}$  of solutions to the Klein-Gordon equation such that they meet the inner product rule; the field would then be written as

$$\phi(x) = \sum_{j} \left[ b_{j} u_{j}(x) + b_{j}^{*} u_{j}^{*}(x) \right]$$
(1.30)

quantization of the field and creation and annihilation is straightforward. The relation between the v and u solutions would be

$$v_i(x) \equiv \sum_{i} \left[ \alpha_{ij} u_j(x) + \beta_{ij} u_j^*(x) \right]$$
 (1.31)

where  $\alpha_{ij}$  and  $\beta_{ij}$  are known as Bogoliubov coefficients, that can be obtained as

$$\alpha_{ij} \propto \langle v_i, u_i \rangle \qquad \beta_{ij} \propto -\langle v_i, u_i^* \rangle$$
 (1.32)

Since the field is the same independently of the mode set chosen

$$\sum_{i} \left[ \hat{a}_{i} v_{i}(x) + \hat{a}_{i}^{\dagger} v_{i}^{*}(x) \right] = \sum_{j} \left[ \hat{b}_{j} u_{j}(x) + \hat{b}_{j}^{\dagger} u_{j}^{*}(x) \right]$$
(1.33)

and, as a result of the orthogonality of the mode functions

$$\hat{a}_i = \sum_j \left( \alpha_{ij}^* \hat{b}_j - \beta_{ij}^* \hat{b}_j^{\dagger} \right) \qquad \hat{a}_i^{\dagger} = \sum_j \left( -\beta_{ij} \hat{b}_j + \alpha_{ij} \hat{b}_j^{\dagger} \right)$$
 (1.34)

creation and annihilation commutation relations give new restrictions to the Bogoliubov coefficients

$$\left[\hat{a}_i, \, \hat{a}_j^{\dagger}\right] \propto \delta_{ij} \implies \sum_{k} \left(\alpha_{ik}^* \alpha_{jk} - \beta_{ik}^* \beta_{jk}\right) \propto \delta_{ij} \tag{1.35}$$

$$[\hat{a}_i, \hat{a}_j] = 0 \implies \sum_k \left( \alpha_{jk}^* \beta_{ik}^* - \alpha_{ik}^* \beta_{jk}^* \right) = 0 \tag{1.36}$$

Now, the relevance of the Bogoliubov transformations comes from the fact that the vacuum in the u solutions, have (in general) v particles,

$$\langle u0|\hat{N}_v|u0\rangle = \sum_i \langle u0|\hat{a}_i^{\dagger}\hat{a}_i|u0\rangle = \sum_i \left[\sum_{jk} \beta_{ij}\beta_{ik}^* \langle u0|\hat{b}_j\hat{b}_k^{\dagger}|u0\rangle\right] \propto \sum_{ij} |\beta_{ij}|^2$$
 (1.37)

therefore, there is not a unique vacuum.

#### 1.3 A leap towards a continuum

Until now, it has been considered that the set of Klein-Gordon solutions could be categorised by a discrete set of parameters i, from a standard course in QFT, one of the main results is the fact that the solutions of the flat Klein-Gordon equations can be parametrised by a continuous 3-dimensional vector  $\mathbf{k}$  (which is interpreted to be the momentum of the particle). Since all computations in this section where made by considering a discrete set of parameters, it is relevant to consider the continuum case.

A common computation in many fields of physics is the determination of the density of states  $D(\mathbf{k})$  describing the number of modes with momentum between  $\mathbf{k}$  and  $\mathbf{k}+d\mathbf{k}$ . Consider a system with volume V, where the field goes to zero at its boundary; in this case, the permitted values of momenta must meet

$$k^{i} = n^{i} \frac{\pi \hbar}{V^{1/3}}, \qquad n^{i} \in \mathbb{Z}$$

$$(1.38)$$

Let N(k) be the number of states with momentum modulus less than k, that is, the states such that

$$n = \sqrt{(n^1)^2 + (n^2)^2 + (n^3)^2} < k \frac{V^{1/3}}{\pi \hbar}$$
(1.39)

considering a flat momentum space<sup>1</sup> and a large enough volume, N(k) will be essentially equal to an eight of the volume of a sphere with radius  $kV^{1/3}/\pi\hbar$ , that is

$$N(k) \approx \frac{1}{8} \frac{4}{3} \pi \left( k \frac{V^{1/3}}{\pi \hbar} \right)^3 = \frac{V}{6\pi^2 \hbar^3} k^3$$
 (1.40)

meaning, that the density of states will be

$$D(\mathbf{k}) \equiv D(k) = \frac{\mathrm{d}N(k)}{\mathrm{d}k} \approx \frac{V}{2\pi^2 \hbar^3} k^2 \tag{1.41}$$

With this, one could approximate a discrete sum over a parameter i to an integral over a continuum  ${\bf k}$ 

$$\sum_{i} f_{i} = \int_{0}^{\infty} D(k) f_{k} dk \approx \int_{0}^{\infty} \frac{V}{2\pi^{2}\hbar^{3}} f_{k} k^{2} dk \equiv \int \frac{d^{3}\mathbf{k}}{(2\pi\hbar)^{3}} f_{\mathbf{k}}$$
(1.42)

where it has been defined.

$$4\pi V f_k k^2 \equiv \int_{\theta=0}^{2\pi} \int_{\varphi=0}^{\pi} f_k \sin \varphi d\theta d\varphi$$
 (1.43)

therefore  $d^3\mathbf{k}/(2\pi\hbar)^3$  is to be understood as the volume element of the momentum space.

### 2 Scalar field in an expanding universe

FLRW metric

$$dl^{2} = c^{2}dt^{2} - a^{2}(t) \left[ \frac{dr^{2}}{1 - \kappa r^{2}} + r^{2}d\Omega^{2} \right]$$
(2.1)

Weyl tensor =0 therefore the metric is conformally flat, i.e. independently of the curvature  $\kappa$  there must exist a coordinate system where

$$dl^{2} = a(t)\eta_{\mu\nu}dx^{\mu}dx^{\nu} = a(t)\left[c^{2}dt^{2} - d\mathbf{x}^{2}\right]$$
(2.2)

<sup>&</sup>lt;sup>1</sup>In contrast to modified theories of relativity in which this is not the case, like the  $\kappa$ -Poincaré relativity.

the standard action describing the dynamics of a (non-minimally coupled to gravity) real scalar field is

$$s = \int \frac{1}{2} \left[ \nabla_{\nu} \phi \, \nabla^{\nu} \phi - \mu^{2} \phi^{2} - \xi R \phi^{2} \right] \sqrt{-g} \, d^{4}x \tag{2.3}$$

 $\sqrt{-g} = a^4 \ \chi = a\phi$ 

$$s = \int \frac{1}{2} \left[ \partial_{\nu} \chi \, \partial^{\nu} \chi - \left( \mu^2 a^2 + \xi R a^2 - c^2 \frac{a''}{a} \right) \chi^2 - \partial_t \left( c^2 \chi^2 \frac{a'}{a} \right) \right] d^4 x \tag{2.4}$$

dropping the time derivative

$$s = \int \frac{1}{2} \left[ \partial_{\nu} \chi \, \partial^{\nu} \chi - \left( \mu^2 a^2 + \xi R a^2 - c^2 \frac{a''}{a} \right) \chi^2 \right] \mathrm{d}^4 x \tag{2.5}$$

by Euler-Lagrange

$$\left[\partial_{\nu}\partial^{\nu} + \mu_{\text{eff}}^{2}(t)\right]\chi = 0 \tag{2.6}$$

where

$$\mu_{\text{eff}}^{2}(t) = (\mu^{2} + \xi R) a^{2} - c^{2} \frac{a''}{a}$$
(2.7)

solutions of previous equation have the form

$$\chi = a v(t) e^{\pm i \mathbf{k} \mathbf{x} \hbar^{-1}} \tag{2.8}$$

meaning that, the dispersion relation is

$$v''\hbar^2 + \omega^2(t) v = 0 (2.9)$$

where  $\omega(t)$  is defined as

$$\omega^{2}(t) = \mathbf{k}^{2} + \hbar^{2} \mu_{\text{eff}}^{2}(t) = \mathbf{k}^{2} + \left(m^{2}c^{2} + \xi \hbar^{2}R\right) a(t) - \hbar^{2}c^{2} \frac{a''}{a}$$
(2.10)

now, proof that  $\text{Im}(vv'^*)$  is constant through time

$$\frac{\partial}{\partial t} \operatorname{Im}(vv^{\prime*}) = \frac{\partial}{\partial t} \left( \frac{vv^{\prime*} - v^*v^{\prime}}{2i} \right) = \frac{vv^{\prime\prime*} - v^*v^{\prime\prime}}{2i} = 0 \tag{2.11}$$

last step is result from dispersion relation. Since dispersion relation is scalable by a time independent function,  $\text{Im}(v'v^*)$  can be determined to be a chosen value, a particular useful choice is to consider it momentum independent.  $\text{Im}(v'v^*) = W[v, v^*]$  therefore, if its not equal to 0, they are linearly independent solutions to dispersion relation.

The most general solution to the main equation is

$$\chi = \int \frac{\mathrm{d}^3 \mathbf{k}}{(2\pi\hbar)^3} \left[ a_{\mathbf{k}} v_{\mathbf{k}}(t) e^{i\mathbf{k}\mathbf{x}\hbar^{-1}} + a_{\mathbf{k}}^* v_{\mathbf{k}}^*(t) e^{-i\mathbf{k}\mathbf{x}\hbar^{-1}} \right]$$
(2.12)

The field  $\chi$  and its conjugate momentum  $\Pi = \partial_{ct} \chi$  are promoted to operators on the quantum Hilbert space, with the standar canonical commutation relations

$$\left[\hat{\chi}(t, \mathbf{x}), \hat{\Pi}(t, \mathbf{y})\right] = i\hbar \,\delta^3(\mathbf{x} - \mathbf{y}) \tag{2.13}$$

$$\left[\hat{\chi}(t,\mathbf{x}),\hat{\chi}(t,\mathbf{y})\right] = \left[\hat{\Pi}(t,\mathbf{x}),\hat{\Pi}(t,\mathbf{y})\right] = 0$$
(2.14)

where the operational nature of the fields arrise from the promotion of the mode amplitudes, i.e.

$$a_{\mathbf{k}} \longrightarrow \hat{a}_{\mathbf{k}} \qquad a_{\mathbf{k}}^* \longrightarrow \hat{a}_{\mathbf{k}}^{\dagger}$$
 (2.15)

this operators fulfill the following commutation relations

$$[\hat{a}_{\mathbf{k}}, \hat{a}_{\mathbf{q}}^{\dagger}] = \frac{(2\pi\hbar)^3 \hbar c}{2\operatorname{Im}(v'v^*)} \delta^3(\mathbf{k} - \mathbf{q}), \qquad [\hat{a}_{\mathbf{k}}, \hat{a}_{\mathbf{q}}] = [\hat{a}_{\mathbf{k}}^{\dagger}, \hat{a}_{\mathbf{q}}^{\dagger}] = 0$$
 (2.16)

(note that  $\hat{a}_{\mathbf{k}} \neq \hat{a}_{-\mathbf{k}}$ )

To prove this, consider that

$$\left[\hat{\chi}(\mathbf{x}), \, \hat{\Pi}(\mathbf{y})\right] = \frac{1}{c} \int \frac{\mathrm{d}^{3} \mathbf{k} \mathrm{d}^{3} \mathbf{q}}{(2\pi\hbar)^{6}} \left\{ \left[\hat{a}_{\mathbf{k}}, \hat{a}_{\mathbf{q}}\right] v_{\mathbf{k}} v_{\mathbf{q}}^{\prime} e^{i(\mathbf{k}\mathbf{x} + \mathbf{q}\mathbf{y})\hbar^{-1}} + \left[\hat{a}_{\mathbf{k}}^{\dagger}, \hat{a}_{\mathbf{q}}^{\dagger}\right] v_{\mathbf{k}}^{*} v_{\mathbf{q}}^{*\prime} e^{i(\mathbf{k}\mathbf{x} - \mathbf{q}\mathbf{y})\hbar^{-1}} + \left[\hat{a}_{\mathbf{k}}, \hat{a}_{\mathbf{q}}^{\dagger}\right] v_{\mathbf{k}}^{*} v_{\mathbf{q}}^{\prime\prime} e^{i(\mathbf{k}\mathbf{x} - \mathbf{q}\mathbf{y})\hbar^{-1}} - \left[\hat{a}_{\mathbf{q}}, \hat{a}_{\mathbf{k}}^{\dagger}\right] v_{\mathbf{k}}^{*} v_{\mathbf{q}}^{\prime} e^{-i(\mathbf{k}\mathbf{x} - \mathbf{q}\mathbf{y})\hbar^{-1}} \right\} (2.17)$$

if the operators  $\hat{a}$  and  $\hat{a}^{\dagger}$  are to be understood as creation and annihilation operators, they must fulfill

$$[\hat{a}_{\mathbf{k}}, \hat{a}_{\mathbf{q}}^{\dagger}] = \alpha \delta^{3}(\mathbf{k} - \mathbf{q}), \qquad [\hat{a}_{\mathbf{k}}, \hat{a}_{\mathbf{q}}] = [\hat{a}_{\mathbf{k}}^{\dagger}, \hat{a}_{\mathbf{q}}^{\dagger}] = 0$$
 (2.18)

where  $\alpha \in \mathbb{C}$ , and thus

$$\left[\hat{\chi}(\mathbf{x}), \,\hat{\Pi}(\mathbf{y})\right] = \frac{\alpha}{c} \int \frac{\mathrm{d}^3 \mathbf{k}}{(2\pi\hbar)^6} 2i \mathrm{Im}(v_{\mathbf{k}} v_{\mathbf{k}}^{*'}) e^{i(\mathbf{k}\mathbf{x} - \mathbf{q}\mathbf{y})\hbar^{-1}}$$
(2.19)

considering  $\text{Im}(v'v^*)$  momentum independent, and remembering the canonical commutation relations, one finds that

$$\alpha \text{Im}(vv^{*'}) = \frac{1}{2}\hbar c(2\pi\hbar)^3$$
 (2.20)

The hamiltonian

$$\hat{\mathcal{H}}(t) = \int \frac{c}{2} \left[ \hat{\Pi}^2 + \left( \nabla \hat{\chi} \right)^2 + \mu_{\text{eff}}^2(t) \hat{\chi}^2 \right] d^3 \mathbf{x}$$
 (2.21)

$$\hat{\Pi}^{2} = \frac{1}{c^{2}} \int \frac{\mathrm{d}^{3}\mathbf{k} \mathrm{d}^{3}\mathbf{q}}{(2\pi\hbar)^{6}} \left[ \hat{a}_{\mathbf{k}} \hat{a}_{\mathbf{q}} v_{\mathbf{k}}' v_{\mathbf{q}}' e^{i(\mathbf{k}+\mathbf{q})\mathbf{x}\hbar^{-1}} + \hat{a}_{\mathbf{k}} \hat{a}_{\mathbf{q}}^{\dagger} v_{\mathbf{k}}' v_{\mathbf{q}}' e^{i(\mathbf{k}-\mathbf{q})\mathbf{x}\hbar^{-1}} + \hat{a}_{\mathbf{k}}^{\dagger} \hat{a}_{\mathbf{q}}^{\dagger} v_{\mathbf{k}}'^{*} v_{\mathbf{q}}' e^{-i(\mathbf{k}-\mathbf{q})\mathbf{x}\hbar^{-1}} + \hat{a}_{\mathbf{k}}^{\dagger} \hat{a}_{\mathbf{q}}^{\dagger} v_{\mathbf{k}}'^{*} v_{\mathbf{q}}' e^{-i(\mathbf{k}+\mathbf{q})\mathbf{x}\hbar^{-1}} \right]$$
(2.22)

$$(\nabla \hat{\chi})^{2} = -\frac{1}{\hbar^{2}} \int \frac{\mathrm{d}^{3}\mathbf{k} \mathrm{d}^{3}\mathbf{q}}{(2\pi\hbar)^{6}} \mathbf{k}\mathbf{q} \left[ \hat{a}_{\mathbf{k}} \hat{a}_{\mathbf{q}} v_{\mathbf{k}} v_{\mathbf{q}} e^{i(\mathbf{k}+\mathbf{q})\mathbf{x}\hbar^{-1}} - \hat{a}_{\mathbf{k}} \hat{a}_{\mathbf{q}}^{\dagger} v_{\mathbf{k}} v_{\mathbf{q}}^{*} e^{i(\mathbf{k}-\mathbf{q})\mathbf{x}\hbar^{-1}} - \hat{a}_{\mathbf{k}}^{\dagger} \hat{a}_{\mathbf{q}}^{\dagger} v_{\mathbf{k}}^{*} v_{\mathbf{q}}^{*} e^{i(\mathbf{k}-\mathbf{q})\mathbf{x}\hbar^{-1}} + \hat{a}_{\mathbf{k}}^{\dagger} \hat{a}_{\mathbf{q}}^{\dagger} v_{\mathbf{k}}^{*} v_{\mathbf{q}}^{*} e^{-i(\mathbf{k}+\mathbf{q})\mathbf{x}\hbar^{-1}} \right]$$

$$(2.23)$$

$$\hat{\chi}^{2} = \int \frac{\mathrm{d}^{3}\mathbf{k}\mathrm{d}^{3}\mathbf{q}}{(2\pi\hbar)^{6}} \left[ \hat{a}_{\mathbf{k}}\hat{a}_{\mathbf{q}}v_{\mathbf{k}}v_{\mathbf{q}}e^{i(\mathbf{k}+\mathbf{q})\mathbf{x}\hbar^{-1}} + \hat{a}_{\mathbf{k}}\hat{a}_{\mathbf{q}}^{\dagger}v_{\mathbf{k}}v_{\mathbf{q}}^{*}e^{i(\mathbf{k}-\mathbf{q})\mathbf{x}\hbar^{-1}} + \right. \\ \left. + \hat{a}_{\mathbf{k}}^{\dagger}\hat{a}_{\mathbf{q}}v_{\mathbf{k}}^{*}v_{\mathbf{q}}e^{-i(\mathbf{k}-\mathbf{q})\mathbf{x}\hbar^{-1}} + \hat{a}_{\mathbf{k}}^{\dagger}\hat{a}_{\mathbf{q}}^{\dagger}v_{\mathbf{k}}^{*}v_{\mathbf{q}}^{*}e^{-i(\mathbf{k}+\mathbf{q})\mathbf{x}\hbar^{-1}} \right]$$
(2.24)

$$\hat{\mathcal{H}} = \frac{c}{2} \int \frac{\mathrm{d}^{3} \mathbf{k} \mathrm{d}^{3} \mathbf{q}}{(2\pi\hbar)^{3}} \left\{ \hat{a}_{\mathbf{k}} \hat{a}_{\mathbf{q}} \left[ \frac{1}{c^{2}} v_{\mathbf{k}}' v_{\mathbf{q}}' - \left( \frac{1}{\hbar^{2}} \mathbf{k} \mathbf{q} - \mu_{\mathrm{eff}}^{2} \right) v_{\mathbf{k}} v_{\mathbf{q}} \right] \delta^{3}(\mathbf{k} + \mathbf{q}) + \right. \\
\left. + \hat{a}_{\mathbf{k}} \hat{a}_{\mathbf{q}}^{\dagger} \left[ \frac{1}{c^{2}} v_{\mathbf{k}}' v_{\mathbf{q}}'^{*} + \left( \frac{1}{\hbar^{2}} \mathbf{k} \mathbf{q} + \mu_{\mathrm{eff}}^{2} \right) v_{\mathbf{k}} v_{\mathbf{q}}^{*} \right] \delta^{3}(\mathbf{k} - \mathbf{q}) + \\
\left. + \hat{a}_{\mathbf{k}}^{\dagger} \hat{a}_{\mathbf{q}} \left[ \frac{1}{c^{2}} v_{\mathbf{k}}' v_{\mathbf{q}}' + \left( \frac{1}{\hbar^{2}} \mathbf{k} \mathbf{q} + \mu_{\mathrm{eff}}^{2} \right) v_{\mathbf{k}}^{*} v_{\mathbf{q}} \right] \delta^{3}(\mathbf{k} - \mathbf{q}) + \\
\left. + \hat{a}_{\mathbf{k}}^{\dagger} \hat{a}_{\mathbf{q}}^{\dagger} \left[ \frac{1}{c^{2}} v_{\mathbf{k}}' v_{\mathbf{q}}'^{*} - \left( \frac{1}{\hbar^{2}} \mathbf{k} \mathbf{q} - \mu_{\mathrm{eff}}^{2} \right) v_{\mathbf{k}}^{*} v_{\mathbf{q}}^{*} \right] \delta^{3}(\mathbf{k} + \mathbf{q}) \right\} (2.25)$$

2 Scalar field in an expanding universe

$$\hat{\mathcal{H}} = \frac{c}{2} \int \frac{d^{3}\mathbf{k}}{(2\pi\hbar)^{3}} \left\{ \hat{a}_{\mathbf{k}} \hat{a}_{-\mathbf{k}} \left[ \frac{1}{c^{2}} v_{\mathbf{k}}' v_{\mathbf{k}}' + \frac{1}{\hbar^{2}} \omega_{\mathbf{k}}^{2}(t) v_{\mathbf{k}} v_{\mathbf{k}} \right] + \right. \\
\left. + \hat{a}_{\mathbf{k}} \hat{a}_{\mathbf{k}}^{\dagger} \left[ \frac{1}{c^{2}} v_{\mathbf{k}}' v_{\mathbf{k}}'^{*} + \frac{1}{\hbar^{2}} \omega_{\mathbf{k}}^{2}(t) v_{\mathbf{k}} v_{\mathbf{k}}^{*} \right] + \\
\left. + \hat{a}_{\mathbf{k}}^{\dagger} \hat{a}_{\mathbf{k}} \left[ \frac{1}{c^{2}} v_{\mathbf{k}}'^{*} v_{\mathbf{k}}' + \frac{1}{\hbar^{2}} \omega_{\mathbf{k}}^{2}(t) v_{\mathbf{k}}^{*} v_{\mathbf{k}} \right] + \\
\left. + \hat{a}_{\mathbf{k}}^{\dagger} \hat{a}_{-\mathbf{k}} \left[ \frac{1}{c^{2}} v_{\mathbf{k}}'^{*} v_{\mathbf{k}}' + \frac{1}{\hbar^{2}} \omega_{\mathbf{k}}^{2}(t) v_{\mathbf{k}}^{*} v_{\mathbf{k}}' \right] \right\} \quad (2.26)$$

$$\hat{\mathcal{H}} = \frac{c}{2} \int \frac{\mathrm{d}^3 \mathbf{k}}{(2\pi\hbar)^3} \left[ \hat{a}_{\mathbf{k}} \hat{a}_{-\mathbf{k}} F_{\mathbf{k}} + \hat{a}_{\mathbf{k}}^{\dagger} \hat{a}_{-\mathbf{k}}^{\dagger} F_{\mathbf{k}}^* + \left( 2\hat{a}_{\mathbf{k}}^{\dagger} \hat{a}_{\mathbf{k}} + \frac{(2\pi\hbar)^3 \hbar c}{2\mathrm{Im}(v'v^*)} \delta^3(\mathbf{0}) \right) E_{\mathbf{k}} \right]$$
(2.27)

where

$$F_{\mathbf{k}}(t) = \left(\frac{1}{\hbar c}\right)^2 \left[\hbar^2 v_{\mathbf{k}}^{\prime 2} + \omega_{\mathbf{k}}^2(t) c^2 v_{\mathbf{k}}^2\right]$$
(2.28)

$$E_{\mathbf{k}}(t) = \left(\frac{1}{\hbar c}\right)^2 \left[\hbar^2 |v_{\mathbf{k}}'|^2 + \omega_{\mathbf{k}}^2(t) c^2 |v_{\mathbf{k}}|^2\right]$$
(2.29)

Now, the expectation value of the hamiltonian at time  $t_0$  in the state  $|v_0\rangle$ 

$$\langle (v)0|\hat{\mathcal{H}}(t_0)|_{(v)}0\rangle = \rho(t_0)\delta^3(\mathbf{0}) = \frac{\hbar c^2 \,\delta^3(\mathbf{0})}{4\mathrm{Im}(v'v^*)} \int \mathrm{d}^3\mathbf{k} \, E_\mathbf{k}$$
 (2.30)

To minimise the energy density of de vacuum state is to fin the set of functions  $v_{\mathbf{k}}$  that minimise  $E_{\mathbf{k}}$ . Suppose that  $v_{\mathbf{k}}$  can be written as

$$v_{\mathbf{k}} = r_{\mathbf{k}} e^{i\alpha_{\mathbf{k}}} \tag{2.31}$$

since  $\operatorname{Im}(vv'^*)$  was constant through time

$$\operatorname{Im}(v_{\mathbf{k}}v_{\mathbf{k}}^{\prime *}) = -r_{\mathbf{k}}^{2}\alpha_{\mathbf{k}}^{\prime} \tag{2.32}$$

this means

$$E_{\mathbf{k}} = \left(\frac{1}{\hbar c}\right)^{2} \left\{ \hbar^{2} \left[ r_{\mathbf{k}}^{'2} + \operatorname{Im}^{2} \left( v_{\mathbf{k}} v_{\mathbf{k}}^{'*} \right) \frac{1}{r_{\mathbf{k}}^{2}} \right] + \omega_{\mathbf{k}}^{2} c^{2} r_{\mathbf{k}}^{2} \right\}$$
(2.33)

the minimum of this function must fulfil  $r'_{\mathbf{k}}(t_0) = 0$ . Now, if  $\omega_{\mathbf{k}}^2(t_0)$  and  $\operatorname{Im}(v_{\mathbf{k}}v'^*_{\mathbf{k}})$  have the same sign, the minimum of  $E_{\mathbf{k}}$  happens when  $r_{\mathbf{k}}(t_0) = \left[\frac{\hbar \operatorname{Im}(v_{\mathbf{k}}v'^*_{\mathbf{k}})}{\omega_{\mathbf{k}}(t_0) \, c}\right]^{1/2}$ .

If there is a minimum, then

$$v_{\mathbf{k}}(t_0) = \left[\frac{\hbar \operatorname{Im}(v_{\mathbf{k}}v_{\mathbf{k}}^{'*})}{\omega_{\mathbf{k}}(t_0)c}\right]^{1/2} e^{i\alpha_{\mathbf{k}}(t_0)} \qquad v_{\mathbf{k}}'(t_0) = -c\frac{\omega_{\mathbf{k}}(t_0)}{ih}v_{\mathbf{k}}(t_0)$$
(2.34)

under these functions,

$$E_{\mathbf{k}}(t_0) = 2 \frac{\operatorname{Im}(v_{\mathbf{k}} v_{\mathbf{k}}^{\prime *})}{\hbar c} \omega_{\mathbf{k}}(t_0) \qquad F_{\mathbf{k}}(t_0) = 0$$
(2.35)

meaning

$$\hat{\mathcal{H}}(t_0) = \operatorname{Im}(vv^{\prime *}) \frac{1}{\hbar} \int \frac{\mathrm{d}^3 \mathbf{k}}{(2\pi\hbar)^3} \left( 2\hat{a}_{\mathbf{k}}^{\dagger} \hat{a}_{\mathbf{k}} + \frac{(2\pi\hbar)^3 \hbar c}{2\operatorname{Im}(v^{\prime}v^*)} \delta^3(\mathbf{0}) \right) \omega_{\mathbf{k}}(t_0)$$
(2.36)

which is equivalent to the standard Hamiltonian for a scalar field without the presence of gravity.

**Bogolyubov Transformation** The expression of the field  $\chi$  at two different times must be related to a Bogoliubov transformation, with coefficients

$$\alpha_{\mathbf{k}\mathbf{p}} = \frac{(2\pi\hbar)^3\hbar c}{2\mathrm{Im}(v'v^*)} \langle \chi_{\mathbf{k}}(t_0), \chi_{\mathbf{p}}(t) \rangle \qquad \beta_{\mathbf{k}\mathbf{p}} = -\frac{(2\pi\hbar)^3\hbar c}{2\mathrm{Im}(v'v^*)} \langle \chi_{\mathbf{k}}(t_0), \chi_{\mathbf{p}}^*(t) \rangle \qquad (2.37)$$

since the field can be written as  $\chi_{\mathbf{k}} = v_{\mathbf{k}} e^{-i\mathbf{k}\mathbf{x}/h}$  from the expression of the inner product one can see that

$$\alpha_{\mathbf{k}\mathbf{p}} \propto \delta^3(\mathbf{k} - \mathbf{p}) \qquad \beta_{\mathbf{k}\mathbf{p}} \propto \delta^3(\mathbf{k} + \mathbf{p})$$
 (2.38)

therefore it is possible to write

$$v_{\mathbf{k}}(t) = \alpha_{\mathbf{k}} v_{\mathbf{k}}(t_0) + \beta_{\mathbf{k}} v_{\mathbf{k}}^*(t_0)$$
(2.39)

where, recalling that  $\operatorname{Im}(v_{\mathbf{k}}'v_{\mathbf{k}}^*)$  is constant through time,

$$|\alpha_{\mathbf{k}}|^2 - |\beta_{\mathbf{k}}|^2 = 1 \tag{2.40}$$

To obtain the value of  $\langle (t_0)0|\hat{\mathcal{H}}(t)|_{(t_0)}0\rangle$  lets first compute

$$\langle_{(t_0)} 0 | \hat{\mathcal{N}}_{\mathbf{k}}^{(a)}(t) |_{(t_0)} 0 \rangle = \langle_{(t_0)} 0 | \hat{a}_{\mathbf{k}}^{\dagger}(t) \hat{a}_{\mathbf{k}}(t) |_{(t_0)} 0 \rangle = \left| \beta_{\mathbf{k}} \right|^2 \frac{(2\pi\hbar)^3 \hbar c}{2 \text{Im}(vv'^*)} \delta^3(\mathbf{0})$$
(2.41)

therefore

$$\langle (t_0)0|\hat{\mathcal{H}}(t)|_{(t_0)}0\rangle = \delta^3(\mathbf{0}) \int d^3\mathbf{k} \left(\frac{1}{2} + \left|\beta_{\mathbf{k}}\right|^2\right) c\,\omega_{\mathbf{k}}(t) \ge \langle (t_0)0|\hat{\mathcal{H}}(t_0)|_{(t_0)}0\rangle \tag{2.42}$$

meaning, if  $\beta_{\mathbf{k}} \neq 0$  for all  $\mathbf{k}$  then, at a time  $t > t_0$  the energy density will be different in relation to the original vacuum.

#### 3 de Sitter Universe

The de Sitter Universe is a flat FLRW metric with no matter or radiation, but it does have a a positive cosmological constant  $\Lambda$ . Per the Friedmann equations,

$$\left(\frac{\dot{a}}{a}\right)^2 = \frac{8\pi G + \Lambda c^2}{3} - \frac{\kappa c^2}{a^2} \tag{3.1}$$

the expansion parameter a(t) will be equal to

$$a(t) = a_1 e^{H_{\Lambda}t} + a_2 e^{-H_{\Lambda}t} , \qquad H_{\Lambda} = \sqrt{\frac{\Lambda c^2}{3}}$$
 (3.2)

### Scalar field in Minkowski background

$$\eta_{\mu\nu}$$
 (1)

#### Units

- $[s] = [\hbar]$
- $[a] = [\xi] = 1$
- $[\mu] = [L]^{-1}$
- $[R] = [L]^{-2}$
- $[\phi] = [\chi] = [\hbar]^{1/2} [L]^{-1}$
- $[\Pi] = [\hbar]^{1/2} [L]^{-2}$
- $\bullet \ [a_{\mathbf{k}}]=[\hbar]^{1/2}[L]^2$

# Questions

- How do you know that there is a set of solutions of Klein Gordon such that the inner product fulfils the given results?
- It's the Hamiltonian well defined?
- Can you always write a FLRW metric as a flat one with a coordinate change?

## Computations

# Bibliography

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