

Miscellaneous notes for D. Huybrechts's Complex Geometry

Taper

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Abstract

Miscellaneous notes for D. Huybrechts's book *Introduction to Complex Geometry*, include some homeworks done.

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1 The structure of almost complex structures on \mathbb{R}^4 (exercise 1.2.1)

In exercise 1.2.1, it says that the set of all compatible almost complex structures on a euclidean space of dimension $2n$, is two copies of S^2 .

To show it, I tried first a straight calculation. Assuming the almost complex structure $I = (a_{ij})$. Then we have:

Section 1.2 of Intro to Complex Geometry.

Exercises:

1.2-1.

Q: Let (V, \langle, \rangle) : euclidian space of $\dim = 4$.

Show: $\{ \text{all compatible almost complex structures} \}$
 \cong
 $\text{two copies of } S^2 \cong \text{two balls.}$

Recap: compatible: $I: I^2 = -1, \langle I(v), I(w) \rangle = \langle v, w \rangle$.

Choose an orthogonal basis: e_1, \dots, e_4

Let $I = (a_{ij}^i)$ $\boxed{I^2 = a_{ij}^i a_{kl}^j = -\delta_{ik}^i}$

also $\langle, \rangle \approx \delta_{ij}^i$ \otimes

$$\langle I(v), I(w) \rangle = (a_{ij}^i v^j) \cdot \delta_{kl}^i (a_{kl}^i w^l) = v^i \delta_{ik}^i w^k$$

$(\forall \vec{v}, \vec{w})$

Hence $a_{ij}^i \delta_{kl}^i a_{kl}^j = \delta_{jl}^i$ or $\boxed{a_{ij}^i a_{kl}^j = \delta_{jk}^i}$

For example:

$$\sum_j a_{1j}^1 a_{2j}^2 = 0 = \sum_j a_{1j}^2 a_{2j}^1 \Rightarrow \sum_{j=2}^4 a_{1j}^1 a_{2j}^2 = \sum_{j=2}^4 a_{1j}^2 a_{2j}^1$$

This can be generalized:

$$\sum_{\substack{j=1 \\ j \neq k}}^4 a_{jk}^k a_{kl}^j = \sum_{\substack{j=1 \\ j \neq k}}^4 a_{kl}^j a_{jk}^k \quad (k \neq l) \quad \left. \begin{array}{l} \text{16 sets of} \\ \text{eq.} \end{array} \right\}$$

also: $a_{ij}^i \sum_{j=1}^4 a_{jk}^j a_{kl}^i = - \sum_{j=1}^4 a_{ij}^i a_{kl}^j a_{jk}^i$

Figure 1: Draft

Then I discover this too hard to work, because too many equations are involved, and none of them could be eliminated by other. Meanwhile, I found a post in Math.SE about this [2]. Here are several important concepts for understanding that post.

1.1 Understand $\frac{GL(2n, \mathbb{R})}{GL(n, \mathbb{C})}$

1.1.1 Why $M_n = \frac{GL(2n, \mathbb{R})}{GL(n, \mathbb{C})}$

This is a note of my question on Math.SE [5], which explains that we can identify the set of almost complex structures with $\frac{GL(2n, \mathbb{R})}{GL(n, \mathbb{C})}$.

First, I try to do it when $n = 1$. I inject a complex number $a + bi$ by identify it with $a\mathbb{I} + b\mathbb{J}$, where \mathbb{I} is the identify matrix and \mathbb{J} is $\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$. I take one set of basis of $GL(2, \mathbb{R})$ as:

$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$$

(I think this is a basis because the following matrix is non-singular:

$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 1 & 1 & 0 \\ 1 & 0 & 0 & 1 \end{pmatrix}$$

) Then the $\frac{GL(2n, \mathbb{R})}{GL(n, \mathbb{C})}$ becomes equivalent classes represented by

$$\begin{pmatrix} 0 & 0 \\ c & d \end{pmatrix}$$

However, I don't know how to link this with an almost complex structure.

I have a feeling that I might have been in the wrong direction. It was pointed out that $GL(2n, \mathbb{R})$ is not even a vector space. So what I did is in fact nonsense.

Below is one correct answer I got:

An almost-complex structure is a matrix J such that $J^2 = -I$ is the negative identity. As you said, one example of such a matrix J is

$$\begin{pmatrix} 0 & I \\ -I & 0 \end{pmatrix}.$$

Interpreting $GL(n, \mathbb{C})$ as a subgroup of $GL(2n; \mathbb{R})$ depends on having fixed such an almost-complex structure. Once we have a matrix J , we can call a matrix $A \in GL(2n; \mathbb{R})$ complex-linear if it commutes with J , i.e. $AJA^{-1} = J$.

(The idea is that \mathbb{C} -linear maps T are just real linear maps with the additional property that $T(iv) = iT(v)$ for all vectors v)

Given any matrix $A \in GL(2n; \mathbb{R})$, we get another almost-complex structure AJA^{-1} . This is the same almost-complex structure J if and

only if $A \in GL(n; \mathbb{C})$. On the other hand, all almost-complex structures are similar (although it may take some work to be convincing that they are similar over \mathbb{R} and not only \mathbb{C}) since they are diagonalizable with the same eigenvalues $\pm i$. That gives you a bijection

$$GL(2n; \mathbb{R})/GL(n; \mathbb{C}) \longrightarrow \{\text{almost - complex structures}\}$$

under which a class $A \cdot GL(n; \mathbb{C})$ corresponds to the almost-complex structure AJA^{-1} .

I questioned him:

1. Why AJA^{-1} is the same almost-complex structure J if and only if $A \in GL(n; \mathbb{C})$.
2. Why all almost-complex structures are similar over \mathbb{R} .

He responded that:

1. is the definition of $GL(n; \mathbb{C})$ as matrices A with $AJA^{-1} = J$.
2. comes from the fact that any real matrices that are similar over \mathbb{C} are already similar over \mathbb{R} . This isn't trivial but it has been asked and answered many times on this site: here is one reference [6].

Inside that reference, the following theorem is proved:

Theorem 1.1. *Let E be a field, let F be a subfield, and let A and B be $n \times n$ matrices with coefficients in F . If A and B are similar over E , they are similar over F .*

However, I still have doubts about the following question: For $A \in GL(2n, \mathbb{R})$, if $AJA^{-1} = J$, can we conclude that A is inside $GL(n, \mathbb{C})$?

The following is my solution:

Lemma 1.1. *There exists a injection ϕ of $GL(n, \mathbb{C}) \hookrightarrow GL(2n, \mathbb{R})$ such that:*

$$\phi(iB) = \phi(i)\phi(B) \tag{1.1.1}$$

for any $B \in GL(n, \mathbb{C})$. Also, for any $A \in GL(2n, \mathbb{R})$ we have $AJA^{-1} = J$ if and only if $A \in \text{Im}(\phi)$, where $J \equiv \phi(i)$.

Proof. The ϕ is construct as follows. Let $J_0 = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$, define $H(x + iy)$ for $x, y \in \mathbb{R}$ as

$$H(x + iy) = xI + yJ \tag{1.1.2}$$

Then:

$$\phi(A)_{ij} \equiv H(a_{ij}) \tag{1.1.3}$$

Then:

$$\phi(i) = \begin{pmatrix} J & 0 & 0 \\ 0 & \dots & 0 \\ 0 & 0 & J \end{pmatrix} \tag{1.1.4}$$

By direct simple calculation (remember to use the technique of block multiplication), we have: $\phi(iB) = \phi(i)\phi(B)$. for any $B \in GL(n, \mathbb{C})$. This shows also $BJB^{-1} = J$, since $iB = Bi$.

To prove the converse, we see that the following matrices forms a basis of $2n \times 2n$ real matrices:

$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$$

They are denoted, from left to right as I, J_0, K, L . Let any $A \in GL(2n, \mathbb{R})$, we can partition A into a matrix of 2×2 matrices (a_{ij}) . Each matrix can be expressed as $a_{ij} = x_{ij}I + y_{ij}J_0 + z_{ij}K + t_{ij}L$. Then if $AJA^{-1} = J$, by direct calculation we find:

$$(z_{ij}K + t_{ij}L)J_0 = J_0(z_{ij}K + t_{ij}L)$$

then also by direct calculation, it can be easily found that $z_{ij} = t_{ij} = 0$. Hence $A \in \text{Im}(\phi)$. \square

1.1.2 Why $GL(n, \mathbb{C}) \hookrightarrow GL^+(2n, \mathbb{R})$

To understand that post [2], I also read this [3]. In it, it asks how to prove that

$$GL(n, \mathbb{C}) \hookrightarrow GL^+(2n, \mathbb{R}) \quad (1.1.5)$$

for any n . The questioner gives the intuition for this fact:

how about since as Lie groups, $GL(n, \mathbb{C}) \subset GL(2n, \mathbb{R})$ and $GL(n, \mathbb{C})$ is connected but $GL(2n, \mathbb{R})$ has two connected components, one for positive determinant and one for negative determinant? And the identity has positive determinant, so it must lie in that component.

Someone answered that question:

The claim is: If V is an n -dimensional complex vector space with underlying $2n$ -dimensional real vector space W , then the canonical group monomorphism $GL(V) \rightarrow GL(W)$ lands inside $GL^+(W) = \{f \in GL(W) : \det(f) > 0\}$. The purpose of this abstract reformulation is that we may use operations on vector spaces in order to simplify the problem: If V' is another finite-dimensional complex vector space with underlying real vector space W' , the diagram

$$\begin{array}{ccc} GL(V) \times GL(V') & \rightarrow & GL(W) \times GL(W') \\ \downarrow & & \downarrow \\ GL(V \oplus V') & \rightarrow & GL(W \oplus W') \end{array} \quad (1.1.6)$$

commutes, and the image of $GL^+(W) \times GL^+(W')$ is contained in $GL^+(W \oplus W')$. Therefore, if some element in $GL(V \oplus V')$ lies in the image of $GL(V) \times GL(V')$, it suffices to consider the components. Combining this with the fact that $GL(V)$ is

Fun fact:
 $[K, J_0] = \sigma_z$,
 $[L, J_0] = \sigma_x$,
the pauli matrices!

generated by elementary matrices (after choosing a basis of V), we may reduce the whole problem to the following three types of matrices:

- the 1×1 -matrices (λ) ,
- the 2×2 -matrices $\begin{pmatrix} 1 & 0 \\ \lambda & 1 \end{pmatrix}$,
- and the 2×2 -matrix $\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$.

Write $\lambda = a + ib$ with $(a, b) \in \mathbb{R}^2 \setminus \{(0, 0)\}$. Then, the complex 1×1 -matrix (λ) becomes the real 2×2 -matrix $\begin{pmatrix} a & -b \\ b & a \end{pmatrix}$, which has determinant $a^2 + b^2 > 0$. The complex 2×2 -matrix $\begin{pmatrix} 1 & 0 \\ \lambda & 1 \end{pmatrix}$

becomes the real 4×4 -matrix $\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ a & -b & 1 & 0 \\ b & a & 0 & 1 \end{pmatrix}$, which has

determinant 1. Finally, the complex 2×2 -matrix $\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ be-

comes the real 4×4 -matrix $\begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix}$, which has determinant 1.

However, this proof is not complete because, to build the proof from \mathbb{R}^2 to \mathbb{R}^{2n} , it requires, in his argument, that any element in $\text{GL}(V \oplus V)$ is in the image of $\text{GL}(V) \times \text{GL}(V')$, which is not the case.

On the other hand, it seems that this property can be proved directly by calculation. The following will be a notes of a paper [4], which one comment mentions in the Math.SE post [3].

1.1.3 Determinants of Block Matrices

This paper tries to prove the theorem:

Theorem 1.2. *Let R be a commutative subring of ${}^nF^n$, where F is a field (or a commutative ring), and let $M \in {}^mR^m$. Then*

$$\det_F \mathbf{M} = \det_F(\det_R \mathbf{M}) \quad (1.1.7)$$

In particular, we have:

$$\det_F \begin{pmatrix} \mathbf{A} & \mathbf{B} \\ \mathbf{C} & \mathbf{D} \end{pmatrix} = \det_F(AD - BC) \quad (1.1.8)$$

Note that, that the ring being is commutative excludes some ambiguity. For example, when the ring 4 is not commutative, then the quantity:

$$\det_F \begin{pmatrix} \mathbf{A} & \mathbf{B} \\ \mathbf{C} & \mathbf{D} \end{pmatrix} \quad (1.1.9)$$

is not well-defined. It can be $AD - BC$, or $DA - CB$, etc.

Before the proof of the main theorem, it establishes several facts:

$$\det_F \begin{pmatrix} \mathbf{A} & \mathbf{0} \\ \mathbf{C} & \mathbf{D} \end{pmatrix} = \det_F \mathbf{A} \det_F \mathbf{D} \quad (1.1.10)$$

$$\det_F \begin{pmatrix} \mathbf{A} & \mathbf{B} \\ \mathbf{0} & \mathbf{D} \end{pmatrix} = \det_F \mathbf{A} \det_F \mathbf{D} \quad (1.1.11)$$

$$\det_F \begin{pmatrix} \mathbf{A} & \mathbf{B} \\ \mathbf{C} & \mathbf{0} \end{pmatrix} = \det_F -\mathbf{C} \det_F \mathbf{B} \quad (1.1.12)$$

$$\det_F \mathbf{A} \det_F \mathbf{D} = \det_F \mathbf{I}_n \det_F (\mathbf{A}\mathbf{D}) \quad (1.1.13)$$

He first builds up a seemingly simplified, but is actually different version of the main theorem:

Theorem 1.3. *Let $\mathbf{A}, \mathbf{B}, \mathbf{C}, \mathbf{D} \in {}^n F^n$. Let $\mathbf{M} = \begin{pmatrix} \mathbf{A} & \mathbf{B} \\ \mathbf{C} & \mathbf{D} \end{pmatrix}$.*

If $\mathbf{CD} = \mathbf{DC}$, then,

$$\det_F \mathbf{M} = \det_F (\mathbf{AD} - \mathbf{BC}) \quad (1.1.14)$$

and similar results:

$$\text{if } \mathbf{AC} = \mathbf{CA} \text{ then, } \det_F \mathbf{M} = \det_F (\mathbf{AD} - \mathbf{CB}) \quad (1.1.15)$$

$$\text{if } \mathbf{BD} = \mathbf{DB} \text{ then, } \det_F \mathbf{M} = \det_F (\mathbf{DA} - \mathbf{BC}) \quad (1.1.16)$$

$$\text{if } \mathbf{AB} = \mathbf{BA} \text{ then, } \det_F \mathbf{M} = \det_F (\mathbf{DA} - \mathbf{CB}) \quad (1.1.17)$$

These equalities can be proved easily by the following:

$$\begin{pmatrix} D & 0 \\ -C & i \end{pmatrix} \begin{pmatrix} A & B \\ C & D \end{pmatrix} = \begin{pmatrix} AD - BC & B \\ CD - DC & D \end{pmatrix} = \begin{pmatrix} AD - BC & B \\ 0 & D \end{pmatrix} \text{ when } C, D \text{ commutes}$$

$$\begin{pmatrix} D & -B \\ 0 & i \end{pmatrix} \begin{pmatrix} A & B \\ C & D \end{pmatrix} = \begin{pmatrix} DA - BC & DB - BD \\ C & D \end{pmatrix} = \begin{pmatrix} DA - BC & 0 \\ C & D \end{pmatrix} \text{ when } D, B \text{ commutes.}$$

The author also gives an illuminative explanation for why

$$(\det_F \mathbf{M} - \det_F (\mathbf{AD} - \mathbf{BC})) \det_F \mathbf{D} = 0$$

necessarily implies:

$$\det_F \mathbf{M} = \det_F (\mathbf{AD} - \mathbf{BC})$$

However, I am dubious about this conclusion, since I think it needs in addition that the polynomial ring $F[x]$ has not nonzero zero divisor.

Having demonstrated the above simple case, the author continues to prove the main theorem. He proves by induction. He first uses:

$$\begin{pmatrix} A & b \\ c & d \end{pmatrix} \begin{pmatrix} d\mathbf{I} & 0 \\ -c & 1 \end{pmatrix} = \begin{pmatrix} A_0 & b \\ 0 & d \end{pmatrix} \quad (1.1.18)$$

where $A, A_0 \in {}^{m-1}R^{m-1}, b \in {}^{m-1}R, c \in R^{m-1}, d \in R$. Therefore, (let $M = \begin{pmatrix} A & b \\ c & d \end{pmatrix}$) with similar reason mentioned before, he shows if:

$$\det_F \mathbf{A}_0 = \det_F (\det_R \mathbf{A}_0) \quad (1.1.19)$$

(which is true by induction) then:

$$\det_F \mathbf{M} = \det_F(\det_{\mathbf{R}} \mathbf{M}) \quad (1.1.20)$$

Proof completes.

He also mentions a corollary:

Corollary 1.1. *Let $\mathbf{P} \in {}^n F^n$ and $\mathbf{Q} \in {}^m F^m$, then*

$$\det_F(\mathbf{P} \otimes \mathbf{Q}) = (\det_F \mathbf{P})^m (\det_F \mathbf{Q})^n \quad (1.1.21)$$

The proof is quite straightforward and is omitted.

1.1.4 Why $GL(n, \mathbb{C}) \hookrightarrow GL^+(2n, \mathbb{R})$ (continued)

With above theorem, the proof of equation 1.1.5 is straight forward. Since for $(a_{ij}) = A \in GL(n, \mathbb{C})$, it injects into $GL(2n, \mathbb{R})$ as matrices of the form:

$$\begin{pmatrix} \cdots & \cdots & \cdots \\ \cdots & H a_{ij} & \cdots \\ \cdots & \cdots & \cdots \end{pmatrix}$$

where:

$$H(z \equiv x + iy) = \begin{pmatrix} x & -y \\ y & x \end{pmatrix}$$

Since $H(a_{ij})$ commutes with each other (proved by calculation), we can use the theorem in previous part to show that:

$$\det_{\mathbb{R}}(A) = \det_{\mathbb{R}}(\det_{\mathbb{C}}(A)) = |\det_{\mathbb{C}}(A)|^2 \geq 0$$

Notice that I have been sloopy in language, but the meaning should be clear.

1.2 Math.SE answer in M_2 is two copies of S^2

Following is an answer [7] in Math.SE about this question:

As you noted, M is *not* diffeomorphic to $S^2 \coprod S^2$ for dimension reasons.

On the other hand, what is true is M is homotopy equivalent to $S^2 \coprod S^2$.

(The following argument is partly adapted from a [paper][1] of Montgomery)

To see this, it's enough to show that $Gl^+(4, \mathbb{R})/Gl(2, \mathbb{C})$ is homotopy equivalent to S^2 , where Gl^+ denotes those matrices of positive determinant.

Now, consider the subgroups $U(2) \subseteq Gl(2, \mathbb{C})$ and $SO(4) \subseteq Gl^+(4, \mathbb{R})$.

It's relatively well known that $Gl(2, \mathbb{C})$ is diffeomorphic to $U(2) \times \mathbb{R}^4$ and that $Gl^+(4, \mathbb{R})$ is diffeomorphic to $SO(4) \times \mathbb{R}^{10}$.

Further, in the usual inclusion $Gl(2, \mathbb{C}) \rightarrow Gl^+(4, \mathbb{R})$, $U(2)$ becomes a subgroup of $SO(4)$.

Now, the chain of subgroups $U(2) \subseteq SO(4) \subseteq Gl^+(4, \mathbb{R})$ gives rise to a homogeneous fibration

$$SO(4)/U(2) \rightarrow Gl^+(4, \mathbb{R})/U(2) \rightarrow Gl^+(4, \mathbb{R})/SO(4).$$

In light of the above diffeomorphisms, $Gl^+(4, \mathbb{R})/SO(4)$ is diffeomorphic to \mathbb{R}^{10} . Since Euclidean spaces are contractible, it follows that the fibration is trivial, so $Gl^+(4, \mathbb{R})/U(2)$ is diffeomorphic to $SO(4)/U(2) \times \mathbb{R}^{10}$. In particular, $SO(4)/U(2)$ is homotopy equivalent to $Gl^+(4, \mathbb{R})/U(2)$.

Now, consider the chain of subgroups $U(2) \subseteq Gl(2, \mathbb{C}) \subseteq Gl^+(4, \mathbb{R})$. This gives rise to a homogeneous fibration

$$Gl(2, \mathbb{C})/U(2) \rightarrow Gl^+(4, \mathbb{R})/U(2) \rightarrow Gl^+(4, \mathbb{R})/Gl(2, \mathbb{C}).$$

In this case, the fiber is diffeomorphic to \mathbb{R}^4 , which immediately implies that $Gl^+(4, \mathbb{R})/U(2)$ is homotopy equivalent to $Gl^+(4, \mathbb{R})/Gl(2, \mathbb{C})$.

(Paused reading here)

Putting the last two paragraphs together, we now know that $SO(4)/U(2)$ is homotopy equivalent to $Gl^+(4, \mathbb{R})/Gl(2, \mathbb{C})$.

To finish off the argument, we need to show that $SO(4)/U(2)$ is diffeomorphic to S^2 . To see this, first note that $U(2)$ intersects the center $Z(SO(4)) = \{\pm I\}$ of $SO(4)$. It follows that

$$SO(4)/U(2) \cong [SO(4)/Z(SO(4))]/[U(2)/(Z(SO(4)) \cap U(2))].$$

But $SO(4)/Z(SO(4)) \cong SO(3) \times SO(3)$ and $U(2)/(Z(SO(4)) \cap U(2)) \cong SO(3) \times S^1$. So, $SO(4)/U(2) \cong (SO(3) \times SO(3))/(SO(3) \times S^1) \cong SO(3)/S^1$.

But the standard action of $SO(3)$ on S^2 is transitive with stabilizer S^1 , so $SO(3)/S^1 \cong S^2$.

[1]: <http://www.ams.org/journals/proc/1950-001-04/S0002-9939-1950-0037311-6/S0002-9939-1950-0037311-6.pdf>

I honestly know almost nothing about the concepts this response mentioned. Therefore, I try to dismantle the response into several parts:

Facts he mentioned that I am not familiar

1. $Gl(2, \mathbb{C})$ is diffeomorphic to $U(2) \times \mathbb{R}^4$.
2. $Gl^+(4, \mathbb{R})$ is diffeomorphic to $SO(4) \times \mathbb{R}^{10}$
3. In the usual inclusion $Gl(2, \mathbb{C}) \rightarrow Gl^+(4, \mathbb{R})$, $U(2)$ becomes a subgroup of $SO(4)$.

Concepts to be learnt:

1. fibration of above Lie groups

2. Highlight area 1: can fibration kill a subgroup?
3. Highlight area 2: contractible and fibration?
4. And the following sentence.
5. then the next sentence: diffeomorphism and homotopy?
6. How does a "chain of subgroups" gives rise to a fibration.

2 Anchor

References

- [1] D Huybrechts's Introduction to Complex Geometry.
- [2] set of almost complex structures on \mathbb{R}^4 as two disjoint spheres.
- [3] Does $GL(n, \mathbb{C})$ inject into $GL^+(2n, \mathbb{R})$ for all n ?
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- [5] Making sense of $\frac{GL(2n, \mathbb{R})}{GL(n, \mathbb{C})}$
- [6] Similar matrices and field extensions
- [7] set of almost complex structures on \mathbb{R}^4 as two disjoint spheres

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