

# Topological Insulators and Topological Superconductors

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## Abstract

This is a draft.

## Contents

<b>1</b>	<b>Lectures on the Frontiers of Physics</b>	<b>1</b>
1.1	By JQ. He. . . . .	2
1.2	By Lang. Chen . . . . .	2
1.3	By Alan . . . . .	2
1.4	By Li, Huang . . . . .	2
1.5	By Junfen, Liu . . . . .	3
1.6	By Haizhou, Lu . . . . .	4
1.7	By Kedong Wang . . . . .	4
<b>2</b>	<b>Problems in Bernevig's Topological ...</b>	<b>4</b>
2.1	Chapter 2 . . . . .	4
<b>3</b>	<b>Miscellaneous Notes</b>	<b>5</b>
3.1	Super conductor . . . . .	5
3.2	Why 0/0 is undefined? . . . . .	6
3.3	Preface of BSCS . . . . .	7
3.4	System of Differential Equations . . . . .	7
3.5	ODE by Arnold . . . . .	8
3.6	Appearance of Gauge Structure in Simple Dynamical Systems . . . . .	8
<b>4</b>	<b>Anchor</b>	<b>9</b>
<b>5</b>	<b>License</b>	<b>9</b>

## 1 Lectures on the Frontiers of Physics

Given by professor of physics in SUSTC

## 1.1 By JQ. He.

**Thermal electrics**

## 1.2 By Lang. Chen

Grow thin films.

- Rheed-Assited PLD/MBE. (Ray as an exmination).
- orbital contral of electrons -> orbitronics -> Control of Spin orbital coupling.
- Multiferroics -> multiple order parameters, and the interaction between them. E.g.  $BiFeO_3$ .
- Ferrotorodicity: Spontaneous Toroidal Momennt. Time and spacial symmetries simultaneous broken.
- What is a iridates  $Ir_2(X)O_4$ , (e.g.  $Sr_2RuO_4$ ) exactly in theoretical physics?
- $H_2S$ : 200K superconductor?
- The Double Exchange effect of oxygem -> Half-metal, phase transition.

## 1.3 By Alan

**Photocatalysis:**  $TiO_2$ . Hongkong has  $TiO_2$  spurred on the keys.

## 1.4 By Li, Huang

- Computational Physics
- Surface Dynamics
- Structural factor from 2D to 3D.
- Finding Order Amid the Chaos. amorphous -> spatially resolved distributed function.
- ?: What is genetically algorithm.

**Computational and theoretical studies of Surface dynamics**

- Surface atoms is immersed in a very different environment compared with the bulk atoms.
- First-principle calculations
- DFT + LDA -> Conser equation
- Plane wave basis + Ultrasoft pseudopotentials to solve the Conser equation
- Continumm method ?

## 1.5 By Junfen, Liu

- electronic transport in mesoscopic systems:
- Spintronics
- Graphene electronics
- Superconductors etc.

**Quantum wire conducting** The conduction channel in quantum wire is quantized, with discrete value of conductance.

- $\lambda_F$  Fermi wavelength
- $L_m$  Momentum relaxation length  $\leftarrow$  impurities.
- $L_\phi$  Phase relaxation length  $\leftarrow$  memory of phase, related to energy  $\omega = E/\hbar$ .
- $L$  Sample length
- Ballistic transport:  $L \ll L_m$  No scattering.
- Diffusive  $L > L_m$ , scattering, reduced transmission.
- Localization  $L_m \ll L \ll L_\phi \rightarrow$  Prof. Haizhou Lu.
- Classical. (Omitted)

**Conductance** No back-scattering

$$G = \frac{I}{V} = \frac{2e^2}{h}$$

Landauer formula  $G = \frac{2e^2}{h} \cdot T$ ,  $T$  is some coefficient accounting for the back scattering, perhaps the transmission probability. In reality,  $G = \sum_{\text{Different channels}} G_i$ ,

We can turn the  $G$  into resistivity:

$$\text{Resistance} = \frac{h}{2e^2} + \frac{h}{2} \frac{R}{e^2 T}$$

$$R + T = 1$$

**Resonant Transmission** (Omitted)

**Spintronics** Use the extra freedom of Spin.

Spin field electronics: Datta and Das, Appl. Phys. Lett. 56, 665(1990)

GMR: 2007 Nobel prize in Physics.

Hall Effect (Omitted) Spin Hall Effect: S. murakami, et.al. Science 301 1348(2004);

J. Sinova et.al. Phys.Rev.Lett. 92, 126603 (2004). (Omitted)

**Graphene** Carrier  $\rightarrow$  Relativistic Dirac fermions. **Klein Paradox**

**Josephson Junction** A phase difference could conduct electricity in Superconductors.

## 1.6 By Haizhou, Lu

**Quantum Anomalous Hall Effect** Requires strong magnetic field:  $\approx 10$  Tesla.

Anomalous Hall Effect: Without magnetic field.  $R_H = R_0 B + R_A M$  where  $M$  is the magnetic susceptibility. Two-factors: SO coupling. Spin-dependent Hall Effect.

An excellent illustrations is found in [4]:

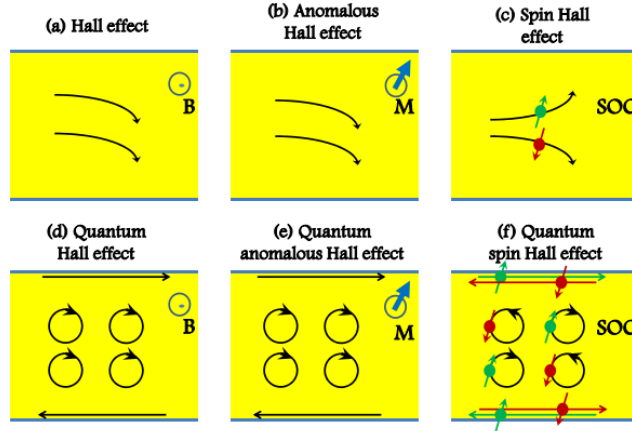


FIG. 1. (Color online) Six members in the family of Hall effect. (a) Hall effect; (b) Anomalous Hall effect; (c) Spin Hall effect; (d) Quantum Hall effect; (e) Quantum anomalous Hall effect; and (f) Quantum spin Hall effect.

Figure 1: Illustration

## 1.7 By Kedong Wang

Tunneling current  $I \propto V e^{-2kz}$ , where  $k = \frac{\sqrt{2m\phi}}{\hbar}$ ,  $\phi$  is the Work function.  $I$  is very sensitive to the distance  $z$ .

**Work function**  $\phi$  characterize the obstruction that prevents electron from escaping the sample.

## 2 Problems in Bernevig's Topological ...

### 2.1 Chapter 2

**Non-Abelian Berry Transport** Derive Berry curvature to the adiabatic transport of a degenerate multiplet of states separated by a gap from the excited states. (Cautious about rotation within degenerate states).

Answer:  $\gamma_{mn}(t) = i \int_0^t \langle m(R(t')) | \frac{d}{dt'} | n(R(t')) \rangle dt'$

Approach: Assuming that the those degenerate states are labeled by  $1 \dots N$ . Thus we have naturally:

$$H\phi = i\hbar \frac{\partial}{\partial t} \phi, \phi = \sum_n A_n \psi_n \quad (2.1.1)$$

Then we have:

$$\begin{aligned} H \sum_n A_n \psi_n &= i\hbar \frac{\partial}{\partial t} \sum_n A_n(t) \psi_n(R(t)) \\ \sum_n E A_n \psi_n &= i\hbar \sum_n \left( \frac{\partial A_n(t)}{\partial t} \psi_n(R(t)) + A_n(t) \frac{\partial \psi_n(R(t))}{\partial t} \right) \\ E A_m &= i\hbar \frac{\partial A_m(t)}{\partial t} + \sum_n A_n(t) \langle m | \frac{\partial}{\partial t} | n \rangle \end{aligned} \quad (2.1.2)$$

Put in another form:

$$\sum_n \left( \delta_m^n E - \langle m | \frac{\partial}{\partial t} | n \rangle \right) A_n = i\hbar \frac{\partial A_m(t)}{\partial t}$$

In matrix form:

$$(E - P)\mathbf{A} = i\hbar \dot{\mathbf{A}} \quad (2.1.3)$$

where:

$$E = \begin{pmatrix} \dots & & \\ & E & \\ & & \dots \end{pmatrix} \quad (2.1.4)$$

$$P = (P_n^m) = \left( \langle m | \frac{\partial}{\partial t} | n \rangle \right) \quad (2.1.5)$$

$$A = \begin{pmatrix} A_1(t) \\ A_2(t) \\ \dots \end{pmatrix} \quad (2.1.6)$$

Note that  $\langle n | \frac{\partial}{\partial t} | m \rangle^* \neq \langle m | \frac{\partial}{\partial t} | n \rangle$ , thus  $P$  may not be Hermitian. Ergo  $E - P$  is Hermitian. So it is diagonalizable.

Notice that

$$0 = \frac{\partial}{\partial t} \langle m | n \rangle = \langle \frac{\partial}{\partial t} m | n \rangle + \langle m | \frac{\partial}{\partial t} n \rangle \quad (\text{any } m, n) \quad (2.1.7)$$

temporary mathematica code:

## 3 Miscellaneous Notes

### 3.1 Super conductor

Mean-field approach to deal with a four operator diagonalization.

Suppose we have:  $D^* C^* C D$ , then let  $\delta = CD - \langle CD \rangle = CD - \text{avg}$ . Then if we assume  $\langle CD \rangle \neq 0$ , and  $\delta \approx 0$ . Then we have:

$$\delta^2 \approx 0$$

i.e.:

$$((CD)^* - avg)(CD - avg) = 0 \quad (3.1.1)$$

$$D^*C^*CD = avg * (CD + D^*C^*) - avg^2 \quad (3.1.2)$$

Hence a four operator is reduced into a few of two operators. Such method could be naturally extended to treat the operator  $\sum_{i,j} D_i^* C_i^* C_j D_j$ .

A copper pair has the energy of:

$$\Delta = \langle C_{k\uparrow} C_{-k\downarrow} \rangle$$

To resist the flow of current carried by Copper Pair, is equivalent to destroying a pair of Copper Pair:

$$\langle C_{k\uparrow} C_{-k\downarrow} \rangle \longrightarrow C_{k\uparrow} C_{-k\downarrow}$$

This will require an additional energy of  $2\Delta$ .

The exact meaning of "equivalent to" is as follows:

break a copper pair  $\longrightarrow$  scatter two electrons consecutively  
 $\longrightarrow$  create two electron-hole mixed type quasi-particle  $\longrightarrow 2\Delta$

### 3.2 Why 0/0 is undefined?

If we suppose

$$\frac{0}{0} = \Delta$$

Consider the following derivation:

$$\frac{0}{0} \cdot 1 = \Delta \cdot 1 = \Delta \quad (3.2.1)$$

$$0 \cdot \frac{1}{0} = \Delta \quad (3.2.2)$$

$$\Rightarrow \Delta = 0 \quad (3.2.3)$$

This is already bad enough. And we are forced to define  $\frac{1}{0}$ . Let  $\frac{1}{0} = \square$ , which literally means  $1 = 0 \cdot \square = 0$ . This is disastrous.

Alternatively, we could let

1. Let  $\frac{1}{0}$  be undefined.
2. Or let  $\frac{1}{0} = \infty$ .
3. Or, let  $\frac{a}{b} \cdot c = a \cdot \frac{c}{b}$  be not true when  $b = 0$ .

The third idea is disastrous for algebraic manipulation.<sup>1</sup> The first idea is not good. Since defining  $\frac{1}{0} = \infty$  turns out to be very useful in both mathematics and physics. Actually, in physics it is common practice to set  $\frac{a}{0} = \pm\infty$  for any nonzero number  $a$ , where the sign of  $\infty$  is determined by the sign of  $a$ . The second idea is okay. But then we are faced with a serious problem. We have to define  $\Delta \equiv 0 \cdot \infty$

$\Delta \cdot 2 = \Delta$ , What will be of  $\Delta + 1$ ?

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<sup>1</sup> Or more specifically, it is a disaster for field theory.

### 3.3 Preface of BSCS

BSCS: see [2]. Parallism between theories in condensed matter physics and those in particle physics.

- Anderson-Higgs Phenomenon (Paritcle), Meissner effect (C.M.P.)
- 'inflation' in Cosmology, first order phase transition
- 'cosmic strings', magnetic field vortex lines in type II superconductors
- Hadron-meson interaction, Ginzburg-Landau theory of superfluid  $He^3$ .

Same ideas on different space-time scales, different hierachical 'layers'.

Strong parallism: **strongly correlated low dimensional system**

E.g.:

The problem of formation and structure of heavy particles - hadrons and mesons. The corresponding fine structure constant  $\alpha_G \approx 1$ .

Approaches:

1. Exact solutions
2. Reformulate complicated interacting models in such a way that they become weakly interacting.  $\rightarrow$  Bosonization.  
Spin 1/2 anisotropic Hisenberg chain  $\approx$  Model of interacting fermions. (Jordan and Wigner, 1928)

Bosonization: transformation from fermions to a scalar massless bosonic field.

### 3.4 System of Differential Equations

This is a small note of [3].

pp. 266.

**Definition 3.1.**  $\mathbf{x}(t)$  is a vector whose elements are  $x_i(t)$ .  $\frac{d}{dt}$  acts on vector  $\mathbf{x}$  element-wise.  $\dot{\mathbf{x}}$  is abbreviation for  $\frac{d}{dt}\mathbf{x}$

pp. 291.

**Theorem 3.1** (Existence-uniqueness theorem). *There exists one, and only one, solution of the initial-value problem*

$$\dot{\mathbf{x}} = \mathbf{A}\mathbf{x}, \mathbf{x}(t_0) = \mathbf{x}^0 = \begin{pmatrix} x_1^0 \\ x_2^0 \\ \dots \end{pmatrix} \quad (3.4.1)$$

Moreover, this solution exists for  $-\infty < t < \infty$ .

**Remark 3.1.** By this, any non-trivial solution  $\mathbf{x}(t) \neq 0$  at any time  $t$ . Also notice that the elements of  $\mathbf{A}$  are just numbers.

**Theorem 3.2.** *The dimension of the space  $\mathbf{V}$  of all solutions of the homogeneous linear system of differential equations:*

$$\frac{d\mathbf{x}}{dt} = \mathbf{A}\mathbf{x} \quad (3.4.2)$$

is  $n$ , i.e. the dimension of vector  $\mathbf{x}$ .

### 3.5 ODE by Arnold

sec. 14

**Definition 3.2.**

$$e^A = I + A + \frac{A^2}{2!} + \frac{A^3}{3!} \quad (3.5.1)$$

or

$$e^A = \lim_{n \rightarrow \infty} \left(I + \frac{A}{n}\right)^n \quad (3.5.2)$$

where  $I$  is the identity matrix.

Equivalence of the two definition will be addressed in the Theorem on pp. 165.

Important theorems:

**Theorem 3.3** (pp. 158). *The series  $e^A$  converges for any  $A$  uniformly on each set  $X = \{A : \|A\| \leq a\}$ ,  $a \in \mathbb{R}$ .*

**Theorem 3.4** (pp. 160).

$$e^{At} = H^t$$

where  $H^t$  is the translation operator which sends every polynomial  $p(x)$  into  $p(x+t)$ .

**Theorem 3.5** (pp. 163).

$$\frac{d}{dt} e^{tA} = A e^{tA}$$

**Theorem 3.6** (Fundamental Theorem of the Theory of Linear Equations with Constant Coefficients). *The solution of:*

$$\dot{\mathbf{x}} = A\mathbf{x} \quad (3.5.3)$$

with initial condition  $\phi(0) = \mathbf{x}_0$  is

$$\phi(t) = e^{tA} \mathbf{x}_0 \quad (3.5.4)$$

Practically solution to

$$\dot{\mathbf{x}} = A\mathbf{x}$$

(pp. 173, Sec 17) (Assuming  $A$  is diagonalizable.)

- Find the eigenvectors  $\xi_1, \dots, \xi_n$  and eigenvalues  $\lambda_1, \dots, \lambda_n$ . Use them as basis.
- Expand the initial condition in the new basis.

$$\mathbf{x}_0 = \sum_{k=1}^n C_k \xi_k \quad (3.5.5)$$

- Then  $\phi(t) = \sum_{k=1}^n C_k e^{\lambda_k t} \xi_k$

### 3.6 Appearance of Gauge Structure in Simple Dynamical Systems

$$0 = (\eta_b, \eta_a) = (\eta_b, \dot{U}_{ac} \psi) + (\eta_b, U_{ac} \dot{\psi}_c) \quad (3.6.1)$$



## 4 Anchor

### References

- [1] Sakurai, J. J. Modern Quantum Mechanics, Addison Wesley.
- [2] Bosonization and Strongly Correlated Systems. Cambridge.  
Cambridge Press Link
- [3] Martin Braun. Differential Equations and Their Applications. 4ed.  
Springer.
- [4] <http://arxiv.org/abs/1508.07106v1>

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