# Group Theory in Physics (Course Note)

## Taper

## October 31, 2016

#### Abstract

This is our course note for the course about group theoy, with its application in physics.

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	1. Combine group theory with you research.	
	2. Mid-term and final.	
	3. No homework, cause it is already graduate level.	
	4. Professor Fei Ye. (Phone: 88018229, 228 in Research building T.A. Zhe Zhang. (110 Research building 2).	2)

## 1 20160919

He first introduces several common examples of symmetries in our life and physics. Omitted, with one exception:

He mentions that there is one more symmetry in the Hydrogen Hamiltonian: the Laplace-Runge-Lenz symmetry. (So its symmetry group is not just SO(3), but two copies of SO(3) that forms a SO(4). And using

the representation of SO(4), the complete spectrum of Hydrogen Hamiltonian is solved. Hence this SO(4) is the largest symmetry of Hydrogen Hamiltonian.

### 1.1 Digression about Lenz vector

Since the class is too boring, I checked about the Lenz vector via Google and found this Math.SE question [2]

The first answer to that post is:

1) **Problem**. The Kepler Problem has Hamiltonian

$$H := \frac{p^2}{2m} - \frac{k}{q},$$

where m is the 2-body reduced mass. The [Laplace–Runge–Lenz vector](http://en.wikipedia.org/wiki/Laplace

$$A^j \,:=\, a^j + km\frac{q^j}{q}, \qquad a^j \,:=\, (\mathbf{L} \times \mathbf{p})^j \,=\, \mathbf{q} \cdot \mathbf{p} \, p^j - p^2 \, q^j, \qquad \mathbf{L} \,:=\, \mathbf{q} \times \mathbf{p}.$$

2) Action. The Hamiltonian Lagrangian is

$$L_H := \dot{\mathbf{q}} \cdot \mathbf{p} - H,$$

and the action is

$$S[\mathbf{q}, \mathbf{p}] = \int \mathrm{d}t \ L_H.$$

The non-zero fundamental canonical Poisson brackets are

$$\{q^i, p^j\} = \delta^{ij}.$$

3) **Inverse Noether's Theorem**. Quite generally in the Hamiltonian formulation, given a constant of motion Q, then the infinitesimal variation

$$\delta = \varepsilon \{Q, \cdot\}$$

is a global off-shell symmetry of the action S (modulo boundary terms). Here  $\varepsilon$  is an infinitesimal global parameter, and  $X_Q = \{Q,\cdot\}$  is a Hamiltonian vector field with Hamiltonian generator Q. The full Noether current is (minus) Q, see e.g. my answer to [this question](http://physics.stackexchange.com/q/8626/2451). (The words **on-shell**. and **off-shell**. refer to whether the equations of motion are satisfied or not.)

4) Variation. Let us check that the three Laplace–Runge–Lenz components  $A^j$  are Hamiltonian generators of three continuous global off-shell symmetries of the action S. In detail, the infinitesimal variations  $\delta = \varepsilon_j \{A^j, \cdot\}$  read

$$\begin{split} \delta q^i &= \varepsilon_j \{A^j, q^i\}, \qquad \{A^j, q^i\} &= 2p^i q^j - q^i p^j - \mathbf{q} \cdot \mathbf{p} \ \delta^{ij}, \\ \delta p^i &= \varepsilon_j \{A^j, p^i\}, \qquad \{A^j, p^i\} &= p^i p^j - p^2 \ \delta^{ij} + km \left(\frac{\delta^{ij}}{q} - \frac{q^i q^j}{q^3}\right), \\ \delta t &= 0. \end{split}$$

where  $\varepsilon_i$  are three infinitesimal parameters.

5) Notice for later that

$$\mathbf{q} \cdot \delta \mathbf{q} = \varepsilon_j (\mathbf{q} \cdot \mathbf{p} \ q^j - q^2 \ p^j),$$

$$\mathbf{p} \cdot \delta \mathbf{p} = \varepsilon_j km (\frac{p^j}{q} - \frac{\mathbf{q} \cdot \mathbf{p} \ q^j}{q^3}) = -\frac{km}{q^3} \mathbf{q} \cdot \delta \mathbf{q},$$

$$\mathbf{q} \cdot \delta \mathbf{p} = \varepsilon_j (\mathbf{q} \cdot \mathbf{p} \ p^j - p^2 \ q^j) = \varepsilon_j a^j,$$

$$\mathbf{p} \cdot \delta \mathbf{q} = 2\varepsilon_j (p^2 \ q^j - \mathbf{q} \cdot \mathbf{p} \ p^j) = -2\varepsilon_j a^j.$$

6) The Hamiltonian is invariant

$$\delta H \ = \ \frac{1}{m} {\bf p} \cdot \delta {\bf p} + \frac{k}{q^3} {\bf q} \cdot \delta {\bf q} \ = \ 0, \label{eq:deltaH}$$

showing that the Laplace–Runge–Lenz vector  ${\cal A}^j$  is classically a constant of motion

$$\frac{dA^j}{dt} \approx \{A^j, H\} + \frac{\partial A^j}{\partial t} = 0.$$

(We will use the  $\approx$  sign to stress that an equation is an on-shell equation.)

7) The variation of the Hamiltonian Lagrangian  $\mathcal{L}_H$  is a total time derivative

$$\delta L_H = \delta(\dot{\mathbf{q}} \cdot \mathbf{p}) = \dot{\mathbf{q}} \cdot \delta \mathbf{p} - \dot{\mathbf{p}} \cdot \delta \mathbf{q} + \frac{d(\mathbf{p} \cdot \delta \mathbf{q})}{dt} 
= \varepsilon_j \left( \dot{\mathbf{q}} \cdot \mathbf{p} \ p^j - p^2 \ \dot{q}^j + km \left( \frac{\dot{q}^j}{q} - \frac{\mathbf{q} \cdot \dot{\mathbf{q}} \ q^j}{q^3} \right) \right) 
-\varepsilon_j \left( 2\dot{\mathbf{p}} \cdot \mathbf{p} \ q^j - \dot{\mathbf{p}} \cdot \mathbf{q} \ p^j - \mathbf{p} \cdot \mathbf{q} \ \dot{p}^j \right) - 2\varepsilon_j \frac{da^j}{dt} 
= \varepsilon_j \frac{df^j}{dt}, \qquad f^j := A^j - 2a^j,$$

and hence the action S is invariant off-shell up to boundary terms.

8) Noether current. The bare Noether current  $j^k$  is

$$j^k := \frac{\partial L_H}{\partial \dot{q}^i} \{A^k, q^i\} + \frac{\partial L_H}{\partial \dot{p}^i} \{A^k, p^i\} = p^i \{A^k, q^i\} = -2a^k.$$

The full Noether current  $J^k$  (which takes the total time-derivative into account) becomes (minus) the Laplace–Runge–Lenz vector

$$J^k := j^k - f^k = -2a^k - (A^k - 2a^k) = -A^k.$$

 $J^k$  is conserved on-shell

$$\frac{dJ^k}{dt} \approx 0,$$

due to Noether's first Theorem. Here k is an index that labels the three symmetries.

However, I don't really understand the content inside. I asked professor Ye whether we can find some physics about this conserved quantity, and he answered with no.

The next answer is also interesting:

While Kepler second law is simply a statement of the conservation of angular momentum (and as such it holds for all systems described by central forces), the first and the third laws are special and are linked with the unique form of the newtonian potential -k/r. In particular, Bertrand theorem assures that \*only\* the newtonian potential and the harmonic potential  $kr^2$  give rise to closed orbits (no precession). It is natural to think that this must be due to some kind of symmetry of the problem. In fact, the particular symmetry of the newtonian potential is described exactly by the conservation of the RL vector (it can be shown that the RL vector is conserved iff the potential is central and newtonian). This, in turn, is due to a more general symmetry: if conservation of angular momentum is linked to the group of special orthogonal transformations in 3-dimensional space SO(3), conservation of the RL vector must be linked to a 6-dimensional group of symmetries, since in this case there are apparently six conserved quantities (3 components of L and 3 components of A). In the case of bound orbits, this group is SO(4), the group of rotations in 4-dimensional space.

Just to fix the notation, the RL vector is:

$$\mathcal{A} = \mathbf{p} \times \mathbf{L} - \frac{km}{r} \mathbf{x} \tag{1.1.1}$$

Calculate its total derivative:

$$\frac{d\mathcal{A}}{dt} = -\nabla U \times (\mathbf{x} \times \mathbf{p}) + \mathbf{p} \times \frac{d\mathbf{L}}{dt} - \frac{k\mathbf{p}}{r} + \frac{km(\mathbf{p} \cdot \mathbf{x})}{r^3}\mathbf{x}$$
(1.1.2)

Make use of Levi-Civita symbol to develop the cross terms:

$$\epsilon_{sjk}\epsilon_{sil} = \delta_{ji}\delta_{kl} - \delta_{jl}\delta_{ki} \tag{1.1.3}$$

Finally:

$$\frac{d\mathcal{A}}{dt} = \left(\mathbf{x} \cdot \nabla U - \frac{k}{r}\right) \mathbf{p} + \left[ (\mathbf{p} \cdot \mathbf{x}) \frac{k}{r^3} - 2\mathbf{p} \cdot \nabla U \right] \mathbf{x} + (\mathbf{p} \cdot \mathbf{x}) \nabla U$$
(1.1.4)

Now, if the potential U = U(r) is central:

$$(\nabla U)_j = \frac{\partial U}{\partial x_j} = \frac{dU}{dr} \frac{\partial r}{\partial x_j} = \frac{dU}{dr} \frac{x_j}{r}$$
 (1.1.5)

so

$$\nabla U = \frac{dU}{dr} \frac{\mathbf{x}}{r} \tag{1.1.6}$$

Substituting back:

$$\frac{d\mathcal{A}}{dt} = \frac{1}{r} \left( \frac{dU}{dr} - \frac{k}{r^2} \right) [r^2 \mathbf{p} - (\mathbf{x} \cdot \mathbf{p}) \mathbf{x}]$$
(1.1.7)

Now, you see that if U has exactly the newtonian form then the first parenthesis is zero and so the RL vector is conserved. Maybe there's some slicker way to see it (Poisson brackets?), but this works anyway.

### 1.2 Coming back to the course

After mentioning the Poincáre group, he produces to review some concepts about linear algebra:

- 1. The axioms of linear space, using quantum mechanics as basic example (Omitted).
- 2. Some common concepts of linear space: linear-independence, subspace, direct sum, linear operators, its matrix representation. (Omitted)
- 3. Introducing the complete antisymmetric tensor  $\epsilon^{a_1,\cdots,a_n}$ . Some properties:

$$\frac{1}{(m-n)!} \sum_{a_{n+1}, \dots, a_m} \epsilon_{a_1, \dots, a_n, a_{n+1}, a_m} \epsilon_{b_1, \dots, b_n, a_{n+1}, a_m} 
= \sum_{p_1, \dots, p_n} \epsilon_{p_1, \dots, p_n} \delta_{a_1, b_{p_1}} \dots \delta_{a_n, b_{p_n}}$$
(1.2.1)

$$\epsilon_{ab}\epsilon_{rs} = \delta_{ar}\delta_{bs} - \delta_{as}\delta_{br} \tag{1.2.2}$$

$$\sum_{d} \epsilon_{abd} \epsilon_{rsd} = \delta_{ar} \delta_{bs} + \delta_{as} \delta_{br} \tag{1.2.3}$$

- 4. Some special matrices.
- 5. Fact: If  $R\Gamma = \Gamma R$ , and  $\Gamma$  is diagonal. (let  $\mu \neq \nu$ ) Then if  $\Gamma_{\mu\mu} \neq \Gamma_{\nu\nu}$ , we have:  $R_{\mu\nu} = R_{\nu\mu} = 0$ . On the other hand, if  $R_{\mu\nu} \neq 0$ , then  $\Gamma_{\mu\mu} = \Gamma_{\nu\nu}$ . This is obviously from:

$$\sum_{j} R_{j}^{i} \Gamma_{k}^{j} = \sum_{j} \Gamma_{j}^{i} R_{k}^{j} \Longrightarrow R_{k}^{i} \Gamma_{k}^{k} = \Gamma_{i}^{i} R_{k}^{i}$$

where the first is automatically summed, and the second is not.

- 6. A linear functional is closed w.r.t. a vector space. (Omitted)
- 7. ... then this linear functional can be expressed as a matrix w.r.t to a basis of this vector space. (Omitted)
- 8. Invariant subspace. (Omitted)
- 9. Transformation of basis. (Omitted)
- 10. Direct sum of operators:

Let vector spaces  $L = L_1 \oplus L_2$ , with  $L = \langle e_i \rangle$ ,  $L_1 = \langle e'_1, \dots e'_n \rangle$ ,  $L_2 = \langle e'_{n+1}, \dots, e'_m \rangle$ ,  $e'_{\nu} = \sum_{\mu} e_{\mu} S_{\mu\nu}$ . Assume that  $L_1, L_2$  are invariant w.r.t A, an linear operator. If:

$$Ae'_{\mu} = \sum_{\nu=1}^{m} e'_{\nu} R'_{\nu\mu} \tag{1.2.4}$$

we have obviously:

$$Ae'_{\mu} = \sum_{\nu=1}^{n} e'_{\nu} R'_{\nu\mu} \text{for } \mu \in \{1 \cdots n\}$$
 (1.2.5)

$$Ae'_{\mu} = \sum_{\nu=n}^{m} e'_{\nu} R'_{\nu\mu} \text{for } \mu \in \{n \cdots m\}$$
 (1.2.6)

i.e., A's matrix representation has two diagonal blocks. Using this fact, A after a linear transformation (by S), could be written as  $R_1 \oplus R_2$ , where the meaning of  $R_1/R_2$  is obvious.

- 11. Eigenvalues and the characteristic equation. (Omitted) Some properties:
  - (a) Trace =  $\sum_{i} \lambda_{i}$
  - (b) Determinant =  $\prod_i \lambda_i$
  - (c) Geometric multiplicity 

    Algebraic multiplicity, or

$$\dim V_{\lambda_1} \le n_1$$

12. Inner product and orthonormal basis. (Omitted) Here we define matrix  $\Omega$  to be, when a basis  $\{e_i\}$  is given:

Definition 1.1.

$$\Omega_{ij} \equiv \langle e_i, e_i \rangle \tag{1.2.7}$$

#### 13. Adjoint operator:

Let A be a linear operator represented by matrix  $A_j^i$ . Let its adjoint  $A^{\dagger}$  be represented by  $R_j^i$ . Then using  $\langle A^{\dagger}e_j, e_i \rangle = \langle e_j, Ae_i \rangle$ , we will get  $(R_j^k)^*\Omega_{ki} = \Omega_{jk}A_i^k$ , i.e.  $(R^T)^*\Omega = \Omega A$ , so:

$$R = \Omega^{-1} A^{\dagger} \Omega \tag{1.2.8}$$

where we have used the fact that  $\Omega^{\dagger} = \Omega$ .

Note that  $(R_j^k)^* \Omega_{ki}$  is not  $\Omega^T R^*$ . (Be careful and you will find out why.)

This is very different from my previous naive concept when  $\Omega$  is not identity matrix, i.e. when the basis is not orthonormal.

## 2 20160926

He first introduces some important matrices:

**Unitary matrix** Eigenvalues of Unitary matrices has modulus 1, i.e.  $|\lambda| = 1$ . This can be proved directly. Also, Unitary matrices are unitarily diagonalizable. This is a result of the following Spectral Theorem:

**Theorem 2.1** (Spectral Theorem). A matrix A, which is normal (i.e.  $A^{\dagger}A = AA^{\dagger}$ ), if and only if it is unitarily diagonalizable.

*Proof.* If A is normal, then by Schur decomposition, we can write  $A = UTU^{\dagger}$ , here U is unitary and T is upper-triangular. Using the condition of being normal, one can show directly that T is in fact also normal. Now we show that any triangular matrix that is normal must be diagonal. Observe that we have  $\langle e_i, T^{\dagger}Te_i \rangle = \langle e_i, TT^{\dagger}e_i \rangle$ , i.e.  $\langle T^{\dagger}e_i, T^{\dagger}e_i \rangle = \langle Te_i, Te_i \rangle$ . This is sayin g that the norm of the first column of  $A^{\dagger}$  is equal to the norm of the first column of A. Obviously A has to be diagonal.

The converse is obvious.  $\Box$ 

Also, unitary matrix's eigenvector corresponding to different eigenvalues are orthogonal. This is a direct result of fact mentioned above.

**Hermitian matrices** They have real eigenvalues and orthogonal eigenvectors (proof omitted). Also, if  $\det(R^{\dagger}R) \neq 0$ , then  $R^{\dagger}R > 0$ , i.e. it is positive-definite.

This is wong: An example is that the matrix  $\Omega$  introduced in the previous lecture has  $\det(\Omega^{\dagger}\Omega) = \det(\Omega)$ , hence  $\det(\Omega) = 1$  (it cannot be 0), hence it is positive definite.

**Actually**  $\det(\Omega^{\dagger}\Omega) \neq \det(\Omega)$ , because

$$\sum_{\rho} |e_{\rho}\rangle \langle e_{\rho}| \neq 1 \text{(unless the basis is orthonormal)}$$
 (2.0.9)

Therefore we need anthoer argument for  $\Omega$  being positive-definite. It is provided in page 11 of [1].

**Orthogonal matrix** For an orthogonal matrix over  $\mathbb{C}$ , it is quite troublesome. For example, if  $Ra = \lambda a$  and  $\lambda \neq \pm 1$ , then we have  $a^T a = 0$ , which is quite bad because this force a to have complex components.

**Orthogonal matrix over**  $\mathbb{R}$  In this case, we have similar result. But it is easy to show that for an orthogonal matrix R having only real elements, then its eigenvalues  $\lambda = \pm 1$ .

Then he proceeds to direct product.

**Direct product** and also the Kronecker Product of two matrices. Properties (let  $T = R \otimes S$ ):

- 1.  $\dim T = \dim R \times \dim S$
- 2. tr(T) = tr(R)tr(S)
- $3. \ \otimes$  commutes with the operation of inverse, transpose, and transpose conjugation.

4.

$$\frac{d}{d\alpha}(R(\alpha)\otimes S(\alpha)) = R'(\alpha)\otimes S(\alpha) + R(\alpha)\otimes S'(\alpha) \qquad (2.0.10)$$

- 5. when the dimentions are the same:
  - (a)  $(R_1 \otimes S_1)(R_2 \otimes S_2) = (R_1 R_2) \otimes (S_1 S_2)$

Finally we arrived in the group theory.

**Symmetry examples** Dipole transition.  $\langle \phi_f | \hat{P} | \phi_i \rangle$ , must happen when the parity of  $\phi_i$  and  $\phi_f$  is of opposite parity. (pp.18 of [1])

#### Group

Definition 2.1 (Group). Omitted.

Some basic properties (Omitted).

Definition 2.2 (Abel Group). Omitted.

**Definition 2.3** (Cardinality of group #A). Omitted.

**Multiplication table** Facts: group of order 1, 2, and 3 are unique up to an isomorphism.

**Definition 2.4** (Cyclic group, generators). Omitted.

固有转动是指的那些 det(M) > 0 的转动. 用 $C_n$ 来表示他们.

Also, 周期 of R is just  $\langle R \rangle$ .

Let  $\sigma$  for spatial reflection.

**Definition 2.5**  $(C_N, \bar{C}_N)$ .  $\bar{C}_N = C_N * \sigma$ 

## 3 20161010

Introducing to various groups:  $S_4$ ,  $V_4$ ,  $D_3$ , all omited. (**pp.22-23 of [1]**)

 $D_n$  group. See pp. 25-26 of the book [1]. Note that here the n refers to the n-polygon, not that the group is of order n. For the mathematicians, they might be comfortable with  $D_n$  means the dihedral group of order n, but is actually the group of symmetries of n/2-polygon.

**Definition 3.1** (Subgroup). Omitted.

**Fact 3.1.** One only has to check the closeness for determining a subgroup, if it is of finite order.

However, for group of infinite order, one has to check the existance of unit and inverse elements.

Examples of subgroup (pp.26 of [1])

Noteworth:  $C_6$  has three copies of  $D_2$ , this can be intuitively guessed by the fact that a hexago has three rectanle in it.

Definition 3.2 (Coset). Omitted.

Properties of coset (omitted).

Definition 3.3 (Index of subgroup). Omitted.

**Proposition 3.1.** Two elements R and T belongs to the same coset kH, if and only if  $R^{-1}T \in H$ .

*Proof.* Omitted.  $\Box$ 

**Definition 3.4** (Normal/Invariant subgroup). A subgroupNormal/Invariant subgrouproup(also invariant), if and only if for any  $x \in G$ , we have xH = Hx.

**Fact 3.2.** If H has index 2, then it must be normal/invariant. This is obvious.

**Definition 3.5** (Quotient). Omitted.

Note that quotient group (a.k.a. factor group) is only defined for a normal subgroup.

**Example 3.1.**  $D_3$  (Using the multiplication table). Omitted because it is too complex to be typed down here.

**Definition 3.6** (Conjugate). If exists  $S \in G$ , s.t.  $R' = S^{-1}RS$ , then we say R' is conjugate to R.

see (**pp.28-30 of [1]**) This is clearly an equivalence relationship. By this we can define conjugate class, denoted by  $C = \{R_1, \dots\}$ , then we have the characterization  $C = \{s^{-1}R_is | \forall s \in G\}$ , for any  $R_i$ . We then have the following facts (all are obvious):

#### Fact 3.3.

- 1. The unit class formed just by the unit element.
- 2. The inverse class formed by just all the inverse element.  $C^{-1} = \{R_i^{-1}\}$ . If  $C = C^{-1}$ , then C is called self-inverse.
- 3. The order of elements in a class is just the same.

- 4. For  $\forall T, S \in G, TS$  and ST are conjugate to each other. This means that all elements symmetric on the multiplication table is conjugate to each other.
- 5. For two elements R, R' conjugate to each other, both can be expressed by the products of two elements in two different way. (Isn't this too obvious to mention.)
- 6. Let G be a rotation group. Suppose it has an axis of the order of n, with its operation denoted as R,, we can get a new axis by the following steps. (Supose we have another rotation S),
  - (a)  $S^{-1}$ , rotate m back to n.
  - (b) R, rotate about n around  $2\pi/n$ ,
  - (c) S rotate n to m

Result:  $S^{-1}RS$  rotate around a new axis m about  $2\pi/n$ . So  $R' = S^{-1}RS$  and R is calle the equivalent axis.

Also, if m = -n, then they are called polar axis to each other.

7.  $C_n$ , which is an abel group, every element form a conjugate class by itself. Specifically, e and  $R^{n/2}$  are self inverse, if n is even.

**Proposition 3.2.** For an invariant subgroup, then every conjugate element is also inside the same invariant subgroup. This shows that an invariant subgroup can be decomposed into a series of sum of conjugate classes.

*Proof.* If  $R \in H$ , then we show that  $S^{-1}RS \in H$ , this is obviously since it belongs to  $S^{-1}HS$ .

**Example 3.2.** For  $D_3: E, D, F, A, B, C$ , their orders are respetively 1, 3, 3, 2, 2, 2. We have the following conjugate classes:

- 1.  $\{E\}$ . Is self inverse.
- 2.  $\{D, F\}$ . D is conjugate to F. We can see this physically by looking at rotation from the front or the below. This class is also self-inverse.
- 3.  $\{A, B, C\}$ , is clearly a self-inverse conjugate class.

**Example 3.3**  $(D_6)$ . Ramiliarize one with the formulae for  $D_n$ . Hint: use the order of elements to find classes of conjugate. Then use the proposition 3.2 to find the subgroups.

## 4 Skipped Two lectures

Due to GRE physics preparation. Concepts that may have been covered:

- Representation of Groups.
  - Character of representation.
  - Equivalence between representation.
- Transformation of Fields
- Regular Representation.

Now let's me cover these concepts quickly.

**Definition 4.1** (Representation). A representation of a group G is a continuous homomorphism D from G to the group of automorphisms of a vector space V:

$$D: G \mapsto \operatorname{Aut}V$$
 (4.0.11)

V is called the representation space, and the dimension of the representation is the dimension of V.

The following is copied from [3].

- There is always the representation D(g)=1 for all g. If  $\dim V=1$ , this is called the *trivial representation*.
- The matrix groups, i.e. GL(n, K) and subgroups, naturally have the representation "by themselves", i.e. by  $n \times n$  matrices acting on  $K_n$  and satisfying the defining constraints (e.g. nonzero determinant). This is loosely called the fundamental or defining representation.
- Two representations D and D' are called equivalent if they are related by a similarity transformation, i.e. if there is an operator S such that

$$SD(g)S^{-1} = D'(g)$$
 (4.0.12)

for all g. Note that S does not depend on g! Two equivalent representation can be thought of as the same representation in different bases. We will normally regard equivalent representations as being equal.

Note also here S is the transformation of basis. If we know the transformation of vectors X, then

$$X^{-1}D(g)X = D'(g) (4.0.13)$$

- A representation is called *faithful* if it is injective, i.e.  $\ker D = \{e\}$ , or in other words, if  $D(g_1) \neq D(g_2)$  whenever  $g_1 \neq g_2$ .
- If V is equipped with a (positive definite) scalar product, D is unitary
  if it preserves that scalar product, i.e if

$$\langle u, v \rangle = \langle D(g)u, D(g)v \rangle$$
 (4.0.14)

for all  $g \in G$ . (Here we assume that V is a complex vector space, as that is the most relevant case. Otherwise one could define orthogonal representations etc.)

## 5 20161031

**Theorem 5.1** (Unitary Representation). For finite groups and for compact Lie groups, all representations are equivalent to a unitary representation.

*Proof.* For D(g), we need to find a X such that  $\bar{D}(g) \equiv X^{-1}D(g)X$  is unitary.

Since

$$1 = \bar{D}^{\dagger} \bar{D}$$

One will find

$$(XX^{\dagger})^{-1} = D^{\dagger}(XX^{\dagger})^{-1}D$$

Then let

$$H \equiv \sum_{s \in G} D^{\dagger}(s)D(s) \tag{5.0.15}$$

One can verify that

$$D^{\dagger}(g)HD(g) = H \tag{5.0.16}$$

Now we construct X from H. We have

$$H = (XX^{\dagger})^{-1} \tag{5.0.17}$$

Notice we have H is Hermitian by above equation. Also, H is positive definite (easily seen from the definition of H and  $a^{\dagger}Ha \geq 0$ . Also H is of full rank.).

Then we have  $UHU^{-1} = \text{diag}\{\gamma_1, \gamma_2, \cdots\}$  and  $\gamma_i > 0$ . The rest for constructing X should be obvious.

Another way to prove this is to imbe

**Theorem 5.2.** For any two equivalent representation, there is always a unitary matrix to relate the two, i.e. exits Y unitary, s.t.

$$\bar{D}(g) = Y^{-1}D(g)Y$$

*Proof.* Suppose we have two unitary representation D(g) and  $\bar{D}(g)$ , related by

$$\bar{D}(g) = X^{-1}D(g)X$$

where X is not necessarily unitary.

Let  $H\equiv X^{\dagger}X,$  it is easy to prove that H is Hermitian and positive definite. Direct calculation shows that

$$\bar{D}^{-1}(q)H\bar{D}(q) = H$$

That is  $\bar{D}(g)$  and H commute. Then we construct Y from H. Let V be such that

$$V^{-1}HV = \Gamma \tag{5.0.18}$$

where  $\Gamma$  is a diagonal matrix of H's eigenvalues. Obviously V has to be unitary. Define Y to be

$$Y \equiv V\sqrt{\Gamma}V^{-1} \tag{5.0.19}$$

By direct calculation, we have

$$(XY)^{\dagger}(XY) = 1$$

One can show that

$$[Y, \bar{D}(g)] = 0$$

with laborious calculation.

**Definition 5.1** (Reducibility of Representation). A representation D is called *reducible* if V contains an invariant subspace. Otherwise D is called irreducible.

A representation is called *fully reducible* if V can be written as the direct sum of irreducible invariant subspaces, i.e.  $V = V_1 \oplus \cdots \oplus V_p$ , all the  $V_i$  are invariant and the restriction of D to each  $V_i$  is irreducible.

#### Example 5.1.

- The representation of finite group is obviously fully reducible or irreducible.
- The representation of translation group is not fully reducible. For example, for translation we have:  $T_aT_b = T_{a+b}$ , One can confirm that the following representation obeys the above relationship:

$$T_a = \left(\begin{array}{cc} 1 & a \\ 0 & 1 \end{array}\right)$$

but this is obviously not fully reducible.

**Definition 5.2** (Interwiner). Given two representations  $D_1$  and  $D_2$  acting on  $V_1$  and  $V_2$ , an intertwiner between  $D_1$  and  $D_2$  is a linear operator

$$F: V_1 \mapsto V_2 \tag{5.0.20}$$

which "commutes" with G in the sense that

$$FD_1(g) = D_2(g)F$$
 (5.0.21)

for all  $g \in G$ .

(From pp.30 of [3])

The existence of an intertwiner has a number of consequences. First,  $D_1$  and  $D_2$  are equivalent exactly if there exists an invertible intertwiner. Second, the kernel and the image of F are invariant subspaces: Assume  $v \in \text{Ker } F$ , i.e. Fv = 0. Then

$$FD_1v = D_2Fv = D_20 = 0 (5.0.22)$$

so  $D_1v \in \text{Ker } F$ . On the other hand, let  $w_2 = Fw_1$  be an arbitrary element of the image of F. Then from the definition we have

$$D_2 w_2 = D_2 F w_1 = F D_1 w_1 \tag{5.0.23}$$

which is again in the image of F. Now if  $D_1$  is irreducible, the only invariant subspaces, hence the only possible kernels, are  $\{0\}$  and  $V_1$  itself, so F is either injective or zero. Similarly, if  $D_2$  is irreducible, F is either surjective or zero. Taking these statements together, we arrive at Schur's Lemma:

**Lemma 5.1** (Schur's lemma 1). An intertwiner between two irreducible representations is either an isomorphism, in which case the representations are equivalent, or zero

An important special case is the one where  $D_1 = D_2$ . In that case, we see that F is essentially unique. More precisely, we have the following theorem, also often called Schur's Lemma:

**Lemma 5.2** (Schur's lemma 2). If D is an irreducible finite-dimensional representation on a complex vector space and there is an endomorphism F of V which satisfies

$$FD(g) = D(g)F (5.0.24)$$

for all  $g \in G$ , then F is a multiple of the identity,  $F = \lambda \mathbb{1}$ 

*Proof.* Note that F has at least one eigenvector v with eigenvalue  $\lambda$ . (This is where we need V to be a complex vector space: A real matrix might have complex eigenvalues, and hence no real eigenvectors.) Clearly,  $F - \lambda \mathbb{1}$  is also an intertwiner, and it is not an isomorphism since it annihilates v. Hence, by Schur's Lemma, it vanishes, thus  $F = \lambda \mathbb{1}$ .

(From the book [1])

**Theorem 5.3** (Orthogonal Theorem). For finite group G, let  $D^i(G)$  and  $D^j(G)$  be its two irreducible, non-equivalent, and unitary representation. Then, as a vector in group algebra, they have the following orthogonal relationship:

$$\sum_{R \in G} D_{\mu\rho}^{i*}(R) D_{\nu\lambda}^{j}(R) = \frac{g}{m_j} \delta_{ij} \delta_{\mu\nu} \delta_{\rho\lambda}$$
 (5.0.25)

g is the order of the group, and  $m_j$  is the dimension of representation  $D^j(G)$ .

Proof. Let

$$Y^{\mu\nu}_{\rho\lambda} \equiv \delta_{\rho\lambda}\delta_{\mu\nu} \tag{5.0.26}$$

Then let

$$X^{\mu\nu} = \sum_{R \in G} D^{i}(R^{-1}) Y^{\mu\nu} D^{j}(R)$$

One can find by direct calculation

$$X^{\mu\nu}_{\rho\lambda} = \sum_{R \in G} D^{i*}_{\mu\rho}(R) D^{j}_{\nu\lambda}(R)$$
 (5.0.27)

And also through direct calculation, one finds

$$D^i(s)X^{\mu\nu} = X^{\mu\nu}D^j(s)$$

for any  $s \in G$ . Then  $X^{\mu\nu}$  is a interwiner. So the case for  $i \neq j$  is obvious. When i = j, we have:

$$X = \lambda 1$$

Now we find the  $\lambda$ , i.e. the eigenvalue of  $X^{\mu\nu}$ .

Now since

$$X_{\rho\lambda}^{\mu\nu} = \lambda^{\mu\nu} \delta_{\rho\lambda}$$

One can find two fact by direct calculation:

$$\sum_{\rho} X^{\mu\nu}_{\rho\lambda} = m_j \lambda^{\mu\nu}$$
$$\sum_{\rho} X^{\mu\nu}_{\rho\lambda} = g \delta^{\mu\nu}$$

Hence 
$$\lambda^{\mu\nu} = \frac{g}{m_j} \delta^{\mu\nu}$$
.

**Remark 5.1.** For the first orthogonality  $\delta_{ij}$ , one actually do not required that  $D^i$  and  $D^j$  is unitary.

Corollary 5.1.

$$\sum_{j=1}^{l} m_j^2 \le g \tag{5.0.28}$$

Corollary 5.2.

$$\sum_{R \in G} \chi^{i*}(R)\chi^{j}(R) = g\delta_{ij}$$
(5.0.29)

## 6 Anchor

## References

- [1] Zhongqi Ma, Group Theory in Physics
- [2] What symmetry causes the Runge-Lenz vector to be conserved?
- [3] Lecture Notes for physics751: Group Theory (for Physicists), by C Ludeling. Link

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