

Tue

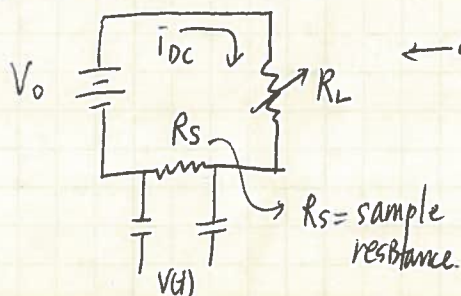
 $1/f$  noise.

✓ pink noise, flicker noise,

✓ form.  $S(f) \propto \frac{1}{f^\alpha}$   $0 < \alpha < 2$ , typically  $\alpha \approx 1$ .  
 $0 < \alpha \leq 3$ 

✓ ubiquitous in nature &amp; man-made processes

solids, condensed matter, electronic devices e.g. resistors, op-amps, etc.

Description of the phenomenon.

← a circuit to measure voltage noise.

 $V(t)$  = the instantaneous voltage drop across  $R_{\text{sample}}$ observation ①  $I_{DC} = \text{const}$ ,  $V(t)$  fluctuates about  $\langle V \rangle = V_{DC}$ .

$$S_V(\omega) = 4 \int_0^\infty \phi_V(\tau) \cos(\omega\tau) d\tau$$

↳ autocorrelation

When  $I_{DC} = 0$ ,  $V_{DC} = 0$ ,  $S_V(\omega)$  = Johnson-Nyquist noise

$$= 4k_B \Theta R(\omega) \quad (k_B \Theta \gg \hbar \omega)$$

for  $f < 10^{10}$  Hz,  $R(\omega) = R(0)$  for most conductors

$$\therefore S_V(\omega) = 4k_B \Theta R \quad (\text{freq. indep. at low freq.})$$

②  $I_{DC} \neq 0$ ,  $V(t)$  fluctuations are observed to increase over the eq. value,  $4k_B \Theta R(\omega)$ ~~the~~  $\exists$  two frequently observed sources of current-induced noise1) shot noise ~~ocf~~  $I_{DC}$ , arising from the finite size of the electron charge↳ as a fraction of the dc power, shot noise is larger at low currents where the discreteness of the charge is more important white noise at low frequencies2) flicker or  $1/f$  noise : at sufficiently low frequencies

→ extra low-freq. noise

Note: the shape of the power spectrum uniquely characterizes the process only if gaussian & stationary process

Tue.

 $1/f$  noise. phenomenological eq. by Hooge (1969)

$$\frac{S_V(f)}{V^2} = \frac{S_I(f)}{I^2} = \frac{S_R(f)}{R^2} = \frac{S_G(f)}{G^2} = \frac{\gamma^4}{N_c f^\alpha}$$

$N_c$  = # of the charge ~~chan~~ carriers in the sample

$\gamma$  = constant, dimensionless only if  $\alpha=1$  &  $\beta=0$

Hooge unified the case of metals & semiconductors by postulating } postulate  
the inverse dependence on  $N_c$  &  $\gamma \approx 2 \times 10^3$

→ the spectral density is indep. of temperature and material properties  
→ a powerlaw at all freq.

reality not all exp. data give the relation  $\frac{\gamma}{N_c f^\alpha}$  w/  $\gamma \approx 2 \times 10^3$   
 $\therefore$  different origins & properties  $\Rightarrow$  different source of excess noise

At least w/n the bulk metals //

- ①  $S_V \propto \langle V^2 \rangle \Rightarrow$  current does not drive fluctuations but merely makes resistance fluctuations  
 $1/f$  noise due to turbulent convection in ionic solution
- ②  $S_V \propto \frac{1}{N} \Rightarrow$  bulk property
- ③  $S_V \propto \frac{1}{f^\alpha}$  w/  $0.9 \leq \alpha \leq 1.4$

Several theoretical Approaches to explain the  $1/f$  noise

① Activated random process

a random process w/ a characteristic time  $\tau$ .

$$S(\omega) \propto \frac{\tau}{1 + (\omega\tau)^2} \quad \text{Debye-Lorentzian spectrum}$$

↑ generated by postulating an appropriate distribution  $D(\tau)$   
of the characteristic times w/n the sample



Tue

① If the sample was inhomogeneous &  $D(\tau) \propto \frac{1}{\tau}$  for  $\tau_1 \leq \tau \leq \tau_2$ .

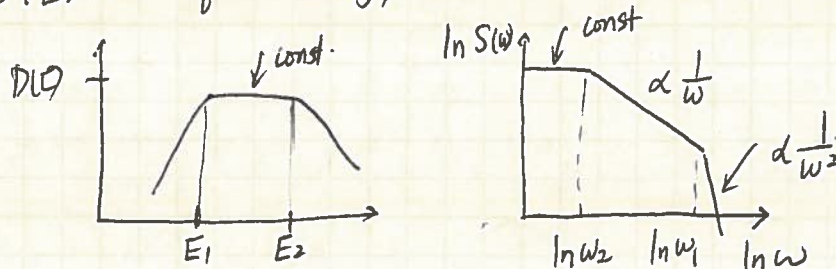
$$S(\omega) \propto \int \frac{\tau}{(\omega\tau)^2 + 1} D(\tau) d\tau = \int \frac{1}{1 + (\omega\tau)^2} d\tau$$

$$\boxed{S(\omega) \propto \frac{1}{\omega} \text{ for } \frac{1}{\tau_2} \ll \omega \ll \frac{1}{\tau_1}}$$

② If  $\tau$  is thermally activated.

$$\tau = \tau_0 e^{E/k_B \theta}$$

$\therefore D(E)$  the required energy distribution = const. for  $k_B \theta \ln(\frac{\tau_1}{\tau_0}) \leq E \leq k_B \theta \ln(\frac{\tau_2}{\tau_0})$



B. A random telegraph signal due to the trapping centers in system to capture and release electrons or holes in a random fashion.

Consider generation-recombination noise.

a physical quantity  $X$ , its fluctuation  $\Delta X$ .

Suppose  $\Delta X$  decays w/ time scale  $\tau$ .

then the differential equation of the decay is given by

$$-\frac{d\Delta X(t)}{dt} = \frac{\Delta X(t)}{\tau}$$

↓ integration

$$\boxed{\Delta X(t) = \Delta X(t_0) e^{-\frac{1}{\tau}(t-t_0)}}$$

then, the correlation fn of  $X$  is

$$\begin{aligned} \phi_X(t) &= \langle \Delta X(t_0) \Delta X(t_0+t) \rangle = \langle \Delta X(t_0) \Delta X(t_0) e^{-t/\tau} \rangle \\ &= \langle (\Delta X)^2 \rangle e^{-t/\tau} \end{aligned}$$

Tue

W-K. thm.

$$\begin{aligned}
 \rightarrow S_X(f) &= 4 \int_0^\infty \phi_X(t) \cos(2\pi f t) dt \\
 &= 4 \int_0^\infty \langle (\Delta X)^2 \rangle e^{-t/\tau} \cos(2\pi f t) dt \\
 &= 4 \langle (\Delta X)^2 \rangle \frac{\tau}{1 + (2\pi f \tau)^2}
 \end{aligned}$$

$\therefore$  the independent-electron process gives "Lorentzian spectrum"

$$\begin{cases} \text{if } f\tau \ll 1, & S_X(f) \rightarrow \text{white. const.} \\ \text{if } f\tau \gg 1, & S_X(f) \propto \frac{1}{f^2} \end{cases}$$

If there are a large # of Lorentzian spectra,

the overall noise is from the summation of independent process.

Mathematically, we need a weighting factor  $g(\tau) \propto \frac{1}{\tau}$  ( $\because$  difficulties at  $f=0$  or  $f=\infty$ )

for the Lorentzian spectra w/ the relaxation time  $\tau$ ,

$$\tau_1 < \tau < \tau_2, \quad g(\tau) d\tau = \frac{1}{\ln(\tau_2/\tau_1)} \frac{1}{\tau} d\tau \leftarrow \text{statistical weights.}$$

← When the spectrum is about  $1/f$  in a limited freq. range,  
the correlation fn goes  $\approx \ln t$  in a limited time interval  
this means  $-\frac{d\Delta X}{dt} = A e^{B\Delta X}$  w/  $B\Delta X \gg 1$ .

$$S_X(f) = \int_{\tau_1}^{\tau_2} g(\tau) \frac{\langle (\Delta X)^2 \rangle 4\tau}{1 + (2\pi f \tau)^2} d\tau = \frac{\langle (\Delta X)^2 \rangle}{\ln(\tau_2/\tau_1)} \frac{2}{\pi} (\tan^{-1} 2\pi f \tau_2 - \tan^{-1} 2\pi f \tau_1) \frac{1}{f}$$

$$\begin{cases} f < \frac{1}{2\pi\tau_2}, & S_X(f) = \frac{4\tau_2 \langle (\Delta X)^2 \rangle}{\ln \tau_2/\tau_1} \quad \text{white} \\ \frac{1}{2\pi\tau_2} < f < \frac{1}{2\pi\tau_1}, & S_X(f) = \frac{\langle (\Delta X)^2 \rangle}{\ln(\tau_2/\tau_1)} \cdot \frac{1}{f} \\ f > \frac{1}{2\pi\tau_1}, & S_X(f) = \frac{\langle (\Delta X)^2 \rangle}{\ln(\tau_2/\tau_1) \pi^2 \tau_1} \cdot \frac{1}{f^2} \end{cases}$$

if  $\tau_2 \gg 1$ ,  
the total integral  
from  $f=0$  &  $f=\infty$ ,  
only  $S_X(f) \propto \frac{1}{f}$   
dominates



Tue. Idea

A noisy electrical network = a noise-free network + external noise generator

Model of external noise generator

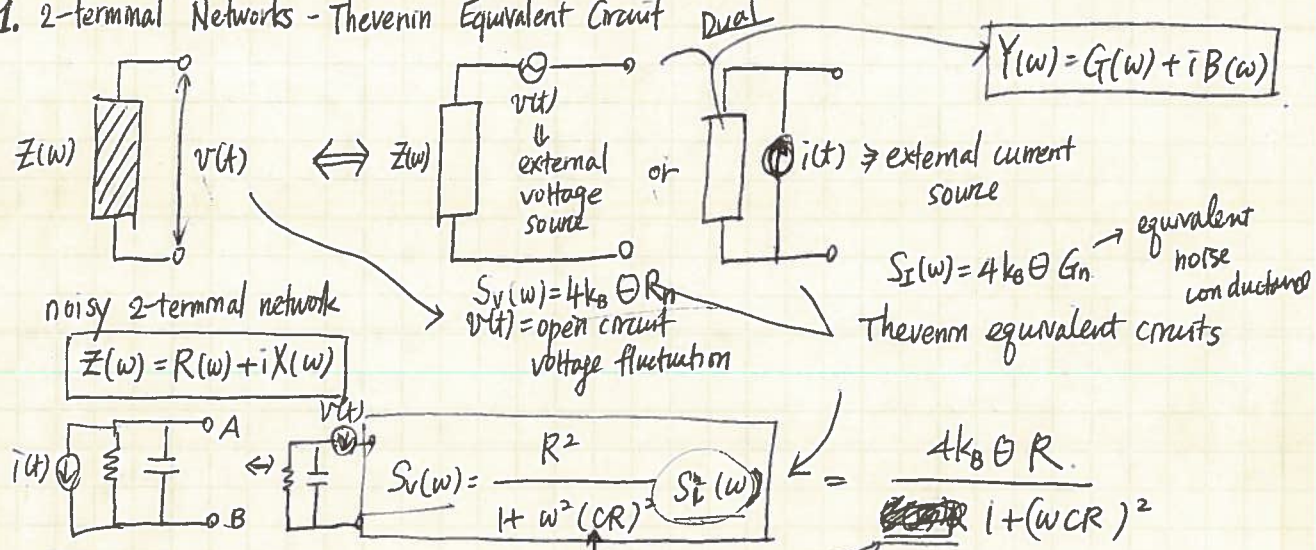
→ equivalent noise resistance or equivalent noise temperature

~~for a 2-terminal network~~,

A Noise figure = a figure of merit to describe the inherent noisiness of the circuit for a 2-port (4-terminal) network.

→ this technique is useful for a cascaded amplifier system

1. 2-terminal Networks - Thevenin Equivalent Circuit



for a linear passive network,  
no energy flow  
∴ circuit = thermal eq.

Assume  $S_i(w) = \text{const}$  & flat in a freq. range of interest.

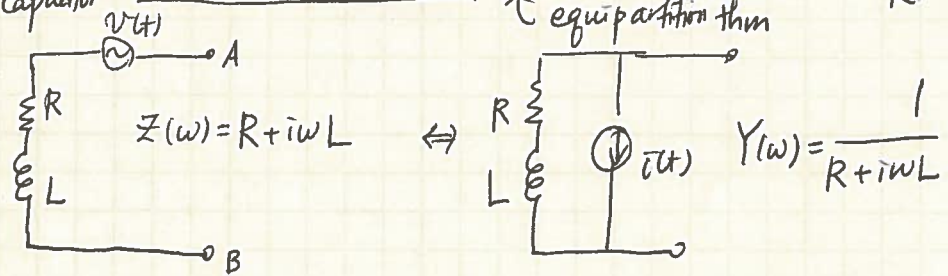
W-K thm

$\langle v^2 \rangle = \frac{1}{2\pi} \int_0^\infty S_v(w) dw = \dots = \frac{4k_B \Theta}{2\pi} \int_0^\infty \frac{R}{1 + (\omega CR)^2} d\omega = \frac{k_B \Theta}{C}$

fluctuation energy stored in capacitor

$\frac{1}{2} C \langle v^2 \rangle = \dots = \frac{1}{2} k_B \Theta$  ∴  $S_i(w) = \frac{4k_B \Theta}{R}$

Suppose



$S_v(w) = 4k_B \Theta \text{Re}(Z(w)) = 4k_B \Theta R$

$S_i(w) = 4k_B \Theta \text{Re}(Y(w)) = 4k_B \Theta \cdot \frac{R}{R^2 + (\omega L)^2} = S_v(R) \cdot \frac{1}{R^2 + \omega^2 L^2}$

F.T.  $V(w) = Z(w) I(w)$

Tue

W-K. Thm

$$\begin{aligned} \hookrightarrow \langle i(t)^2 \rangle &= \frac{1}{2\pi} \int_0^\infty S_i(\omega) d\omega \\ &= \frac{4k_B \theta}{2\pi} \int_0^\infty \frac{1}{1 + \left(\frac{\omega L}{R}\right)^2} d\omega \\ &= \frac{k_B \theta}{L} \end{aligned}$$

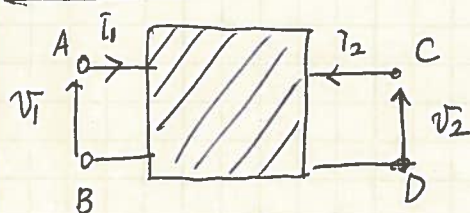
$\therefore$  fluctuation energy stored in the inductor

$$\boxed{\frac{1}{2} L \langle i(t)^2 \rangle = \frac{1}{2} k_B \theta} \quad \text{equipartition thm}$$

Note

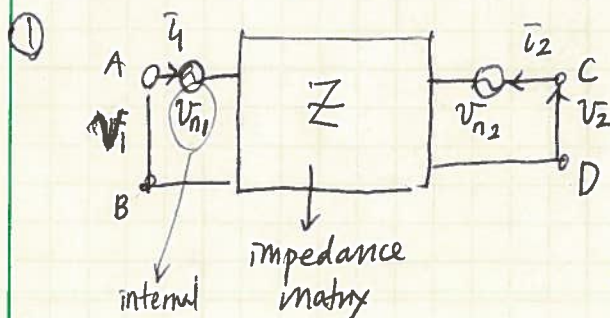
The resistance  $R$  does not affect the total fluctuation energy  $\frac{1}{2} k_B \theta$  per DOF, but  $R$  determines the magnitude and the bandwidth of the spectral density

Linear 2-Ports (= 4-terminal Network)



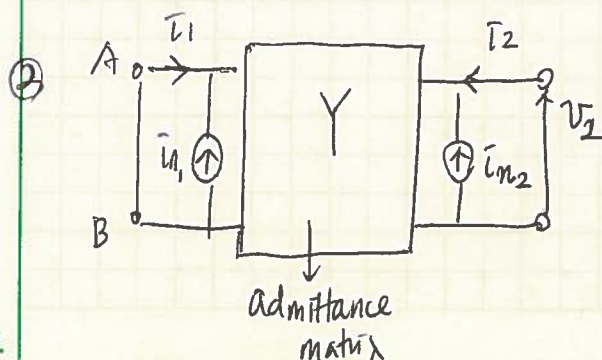
linear 2-port with internal noise generators

$\Downarrow$  Thevenin's thm.



$$\begin{bmatrix} V_1 + V_{n1} \\ V_2 + V_{n2} \end{bmatrix} = \begin{bmatrix} Z_{11} & Z_{12} \\ Z_{21} & Z_{22} \end{bmatrix} \begin{bmatrix} I_1 \\ I_2 \end{bmatrix}$$

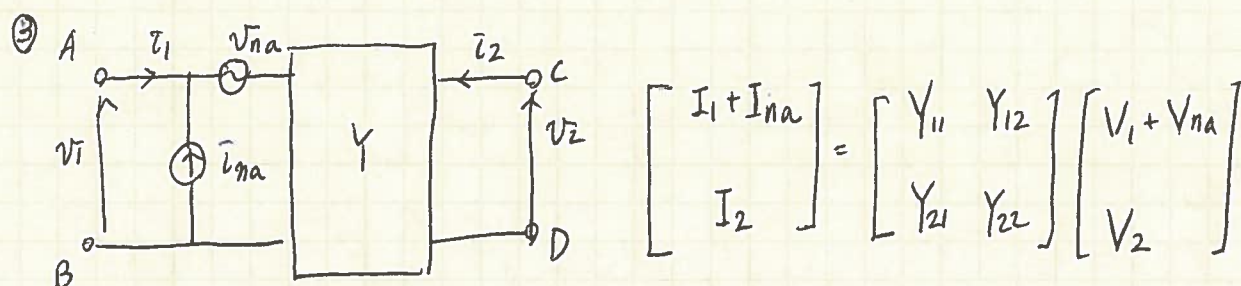
$\uparrow$  Fourier Transforms of  $V(t), V_{n1}(t)$ 
 $\uparrow$  F.T. of  $i(t)$



$$\begin{bmatrix} I_1 + I_{n1} \\ I_2 + I_{n2} \end{bmatrix} = \begin{bmatrix} Y_{11} & Y_{12} \\ Y_{21} & Y_{22} \end{bmatrix} \begin{bmatrix} V_1 \\ V_2 \end{bmatrix}$$



Tue



in this case

$$V_{na} = - \frac{I_{n2}}{Y_{21}}$$

$$I_{na} = I_{n1} - \frac{Y_{11}}{Y_{21}} I_{n2}$$

This last equivalent circuit is valid only for calculating the noise in the output circuit. It does not give the correct description for the input port. ( $I_{n1} \neq I_{na}$ ).

Suppose a weak signal is amplified. Then the noise associated with the signal is also amplified by a same factor.

If the amplifier is free from internal noise, the signal-to-noise (S/N) ratio is preserved. But, since an amplifier has always the internal noise that is added to the output signal, S/N ratio is  $\downarrow$  by an amplification process.

The reason to use an amplifier is that

the background noise of decision circuit are always larger than the input noise. Thus w/ trading off S/N ratio, we are using an amplifier.

A noise figure = the noisiness of a linear amplifier.

$$F = \frac{(S/N)_{in}}{(S/N)_{out}} = \frac{\text{input-SNR}}{\text{output SNR}}$$

a linear 2-port case

How?  $\downarrow$   $\frac{\text{total output noise power per unit bandwidth}}{\text{output noise power per unit bandwidth due to input noise}}$  at a specific freq. and temperature.

$$F = \frac{(S/N)_m}{(S/N)_{out}} \rightarrow 1 \text{ (0dB) for a noise-free amplifier}$$

$$(S/N)_m = \frac{\langle \bar{I}_s \rangle^2 \leftarrow \text{input signal}}{\langle \bar{I}_{ns}^2 \rangle \leftarrow \text{input noise}}$$

$$(S/N)_{out} = \frac{G \langle \bar{I}_s \rangle^2 \leftarrow \text{output signal}}{G \langle \bar{I}_{ns}^2 \rangle + \langle \bar{I}_{no}^2 \rangle \leftarrow \text{output noise}}$$

$\uparrow$   
 amplifier internal noise

$G = \text{gain}$

$$\therefore F = \frac{\frac{\langle \bar{I}_s \rangle^2}{\langle \bar{I}_{ns}^2 \rangle}}{\frac{G \langle \bar{I}_s \rangle^2}{G \langle \bar{I}_{ns}^2 \rangle + \langle \bar{I}_{no}^2 \rangle}} = \frac{G \langle \bar{I}_{ns}^2 \rangle + \langle \bar{I}_{no}^2 \rangle}{G \langle \bar{I}_{ns}^2 \rangle} = 1 + \frac{\langle \bar{I}_{no}^2 \rangle}{G \langle \bar{I}_{ns}^2 \rangle} = 1 + M$$

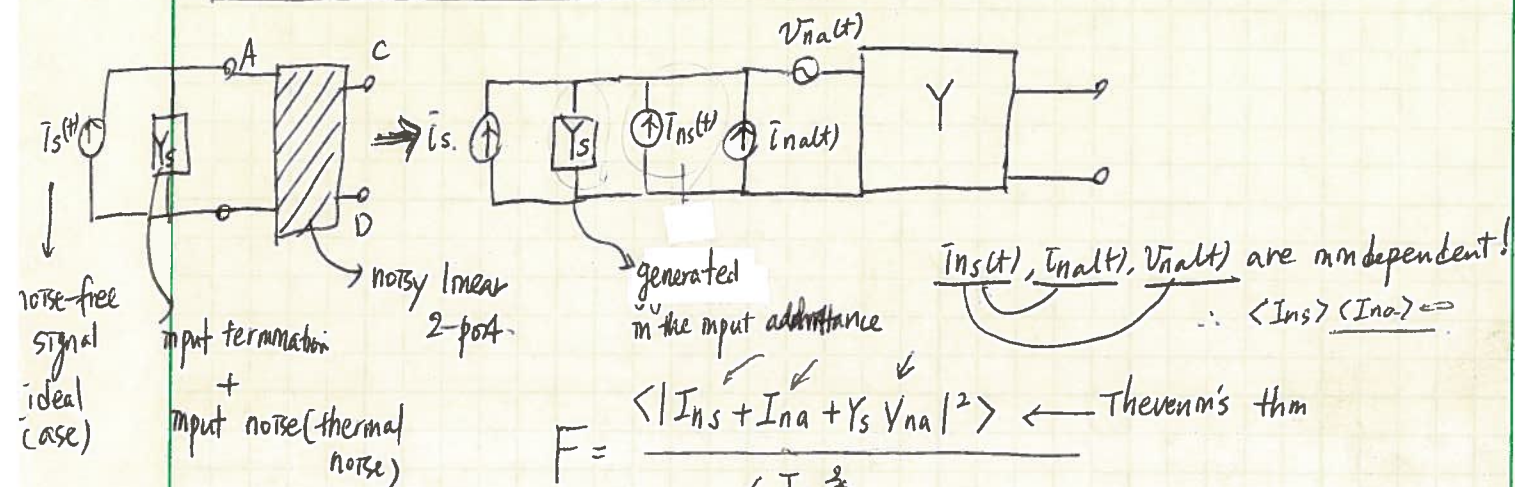
$\downarrow$   
 noise measure  
 for a noise-free amplifier

$$= \frac{\text{total output noise power}}{\text{output noise power due to input noise}} \quad @ \text{ 2-port case.}$$



if  $F = 1$  ( $= 0\text{dB}$ ) for a noise-free amplifier

A circuit for calculating  $F$  of a 2-port network



$$= 1 + \frac{S_{ia}(\omega)}{S_{is}(\omega)} + |Y_s|^2 \frac{S_{va}(\omega)}{S_{is}(\omega)} + 2 \operatorname{Re}(\Gamma_{iv} Y_s^*) \frac{\sqrt{S_{ia}(\omega) S_{va}(\omega)}}{S_{is}(\omega)}$$

$$\left. \begin{matrix} S_{ia}(\omega) \\ S_{va}(\omega) \\ S_{is}(\omega) \end{matrix} \right\} = \text{power spectral density of } \left\{ \begin{matrix} I_{na}(t) \\ V_{na}(t) \\ I_{ns}(t) \end{matrix} \right.$$

$\Gamma_{iv}$  = normalized cross-correlation spectral density (coherence fn)  
btw  $I_{na}(t)$  and  $V_{na}(t)$

$$\therefore \Gamma_{iv}^*(\omega) = \frac{\langle I_{na}^* V_{na} \rangle}{\sqrt{\langle I_{na}^2 \rangle \langle V_{na}^2 \rangle}} = \frac{S_{iva}(\omega)}{\sqrt{S_{ia}(\omega) S_{va}(\omega)}}$$

Since  $S_{ia}(\omega) = 4k_B \Theta G_{ni}$   $\left( \begin{matrix} G_{ni} \\ G_{nv} \end{matrix} \right) = \text{equivalent noise conductances}$   
 $S_{va}(\omega) = \frac{4k_B \Theta}{G_{nv}}$  not necessarily actual conductances

$G_s = \operatorname{Re}(Y_s) = \text{actual source conductance}$

Note that  $I_{na}(t)$  is split into 2-ports

- ① one port which is uncorrelated with  $V_{na}(t)$
- ② the other port which is fully correlated w/  $V_{na}(t)$

correlation admittance of  $I_{na}(t)$  &  $V_{na}(t)$

$$I_{na} = I_{nb} + Y_c V_{na}$$

Since  $\langle I_{nb} V_{na}^* \rangle = 0$  (independent.)

$$I_{na} = I_{nb} + Y_c V_{na} \rightarrow 0$$

$$\langle I_{na} V_{na}^* \rangle = \langle I_{nb} V_{na}^* \rangle + \langle Y_c V_{na} V_{na}^* \rangle \quad \checkmark$$

$$F_{iv} = \frac{\langle I_{na} V_{na}^* \rangle}{\sqrt{\langle I_{na}^2 \rangle \langle V_{na}^2 \rangle}} = Y_c \sqrt{\frac{|V_{na}|^2}{|I_{na}|^2}} = \frac{Y_c}{\sqrt{G_{ni} G_{nv}}}$$

↑  
HW

$$\therefore F = 1 + \frac{G_{ni}}{G_s} + \frac{(G_s + G_c)^2 + (B_s + B_c)^2 - (G_s^2 + B_c^2)}{G_{nv} G_s}$$

Where  $G_c$  = the real part of  $Y_c$ .

$B_c$  = the imaginary part of  $Y_c$

To find the optimal source admittance to minimize  $F$ ,

$$\frac{\partial F}{\partial B_s} = 0 \quad \& \quad \frac{\partial F}{\partial G_s} = 0$$

$$\rightarrow F = F_0 + \frac{(G_s - G_{s0})^2 + (B_s - B_{s0})^2}{G_{nv} G_s}$$

where  $F_0 = 1 + \frac{2}{G_{nv}} (G_{s0} + G_c)$  min. noise figure achieved

When  $G_s, B_s$  satisfy the following matching condition

$$\left. \begin{aligned} G_s = G_{s0} &= \sqrt{(G_{nv} G_{ni} - B_c^2)} \\ B_s = B_{s0} &= -B_c \end{aligned} \right\} \begin{array}{l} \text{noise tuning} \\ \text{or} \\ \text{noise matching} \end{array}$$

(F) increases quadratically when  $G_s$  &  $B_s$  are deviated from the optimum value

4 parameters  $F_0, G_{s0}, B_{s0}, G_{nv}$   $\Rightarrow$  completely characterize the noise of the 2-port network.