



# Chapter 12

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## The Laws of Thermodynamics



# First Law of Thermodynamics

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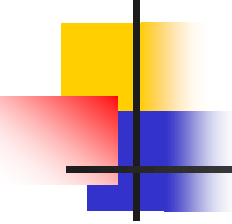
- The First Law of Thermodynamics tells us that the internal energy of a system can be increased by
  - Adding energy to the system
  - Doing work on the system
- There are many processes through which these could be accomplished
  - As long as energy is conserved



# Second Law of Thermodynamics

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- Constrains the First Law
- Establishes which processes actually occur
- Heat engines are an important application



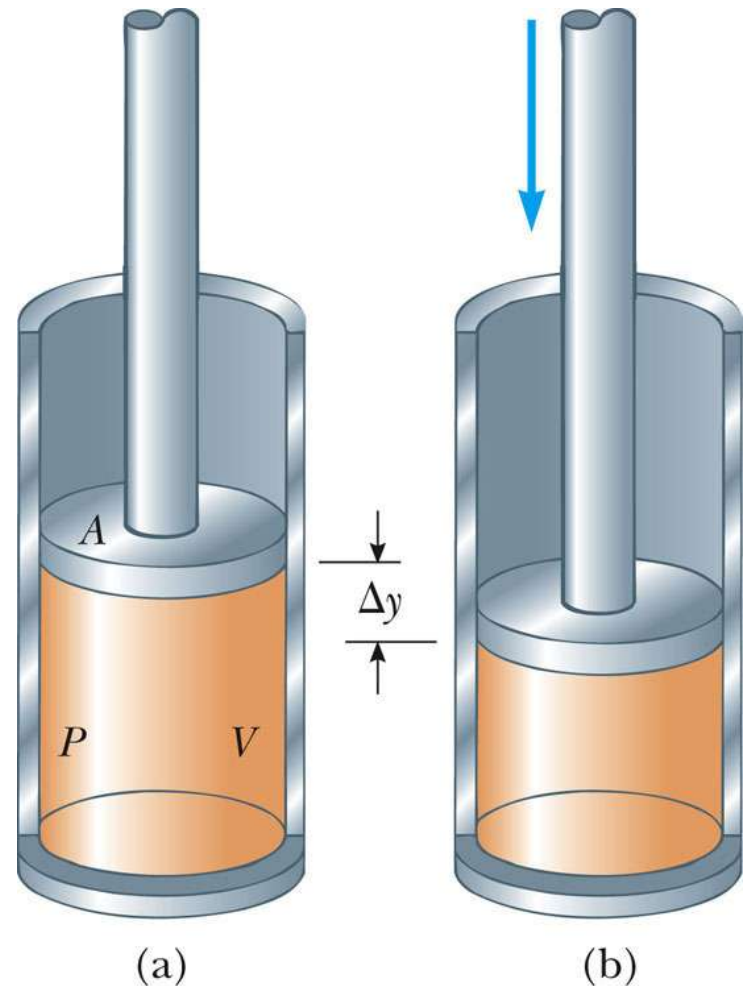
# Work in Thermodynamic Processes – Assumptions

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- Dealing with a gas
- Assumed to be in thermodynamic equilibrium
  - Every part of the gas is at the same temperature
  - Every part of the gas is at the same pressure
- Ideal gas law applies

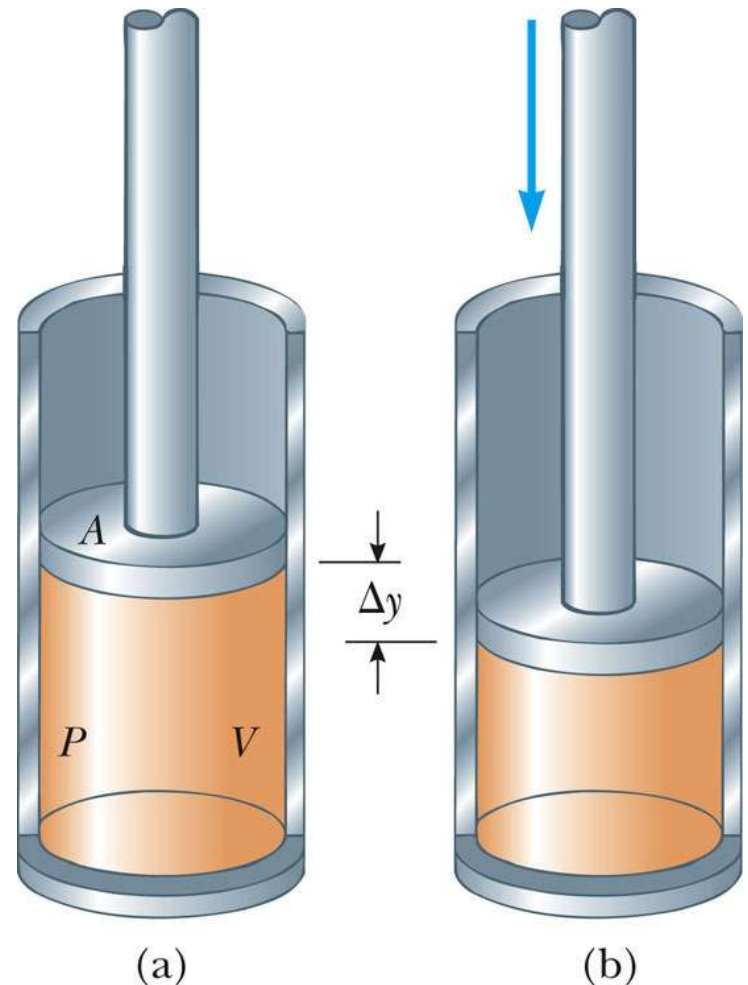
# Work in a Gas Cylinder

- The gas is contained in a cylinder with a moveable piston
- The gas occupies a volume  $V$  and exerts pressure  $P$  on the walls of the cylinder and on the piston



# Work in a Gas Cylinder, cont.

- A force is applied to slowly compress the gas
  - The compression is slow enough for all the system to remain essentially in thermal equilibrium
- $W = - P \Delta V$ 
  - This is the work done *on* the gas where  $P$  is the pressure throughout the gas





# More about Work on a Gas Cylinder

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- When the gas is compressed
  - $\Delta V$  is negative
  - The work done on the gas is positive
- When the gas is allowed to expand
  - $\Delta V$  is positive
  - The work done on the gas is negative
- When the volume remains constant
  - No work is done on the gas



# Notes about the Work Equation

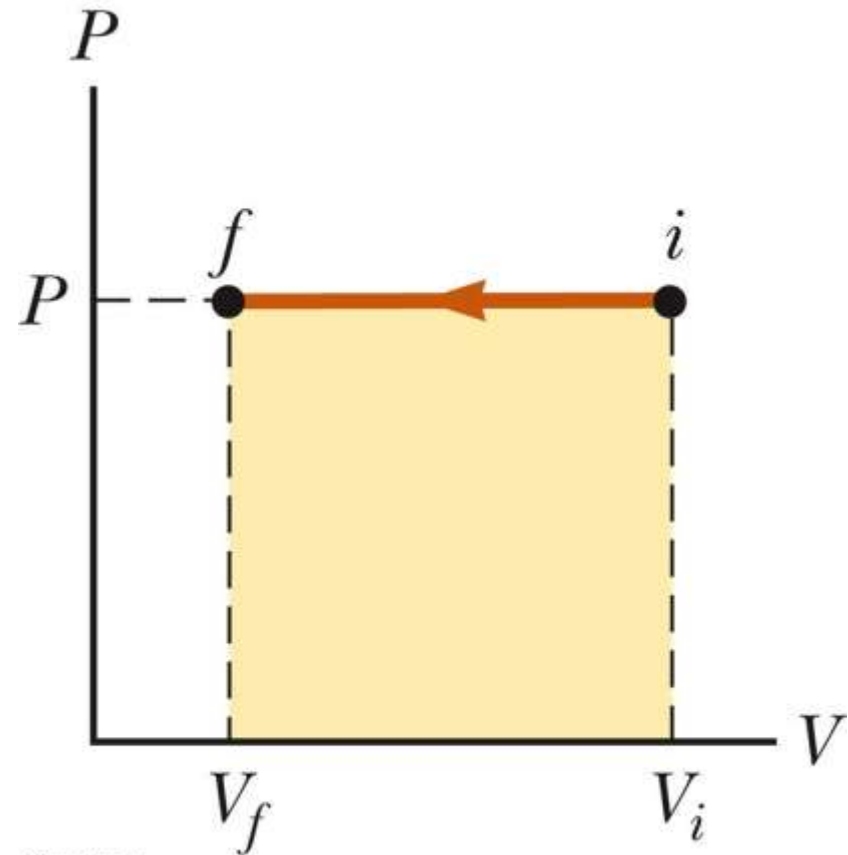
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- The pressure remains constant during the expansion or compression
  - This is called an *isobaric* process
- The previous work equation can be used only for an isobaric process



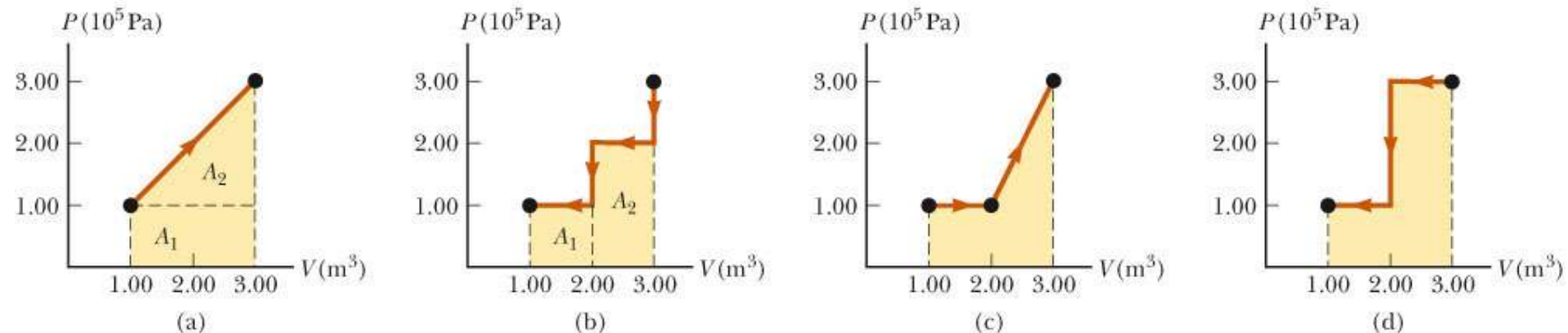
# PV Diagrams

- Used when the pressure and volume are known at each step of the process
- The work done on a gas that takes it from some initial state to some final state is equal in magnitude to the area under the curve on the PV diagram
  - This is true whether or not the pressure stays constant



# PV Diagrams, cont.

- The curve on the diagram is called the *path* taken between the initial and final states
- The work done depends on the particular path
  - Same initial and final states, but different amounts of work are done





# First Law of Thermodynamics

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- Energy conservation law
- Relates changes in internal energy to energy transfers due to heat and work
- Applicable to all types of processes
- Provides a connection between microscopic and macroscopic worlds



# First Law, cont.

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- Energy transfers occur due to
  - By doing work
    - Requires a macroscopic displacement of an object through the application of a force
  - By heat
    - Occurs through the random molecular collisions
- Both result in a change in the internal energy,  $\Delta U$ , of the system



# First Law, Equation

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- If a system undergoes a change from an initial state to a final state, then  $\Delta U = U_f - U_i = Q + W$ 
  - $Q$  is the energy transferred to the system by heat
  - $W$  is the work done on the system
  - $\Delta U$  is the change in internal energy



# First Law – Signs

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- Signs of the terms in the equation
  - $Q$ 
    - Positive if energy is transferred **to** the system by heat
    - Negative if energy is transferred **out of** the system by heat
  - $W$ 
    - Positive if work is done **on** the system
    - Negative if work is done **by** the system
  - $\Delta U$ 
    - Positive if the temperature increases
    - Negative if the temperature decreases



# Results of $\Delta U$

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- Changes in the internal energy result in changes in the measurable macroscopic variables of the system
  - These include
    - Pressure
    - Temperature
    - Volume



# Notes About Work

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- Positive work increases the internal energy of the system
- Negative work decreases the internal energy of the system
- This is consistent with the definition of mechanical work





# Molar Specific Heat

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- The molar specific heat at constant volume for a monatomic ideal gas
  - $C_v = 3/2 R$
- The change in internal energy can be expressed as  $\Delta U = n C_v \Delta T$ 
  - For an ideal gas, this expression is always valid, even if not at a constant volume



# Molar Specific Heat, cont

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- A gas with a large molar specific heat requires more energy for a given temperature change
- The value depends on the structure of the gas molecule
- The value also depends on the ways the molecule can store energy



# Degrees of Freedom

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- Each way a gas can store energy is called a ***degree of freedom***
- Each degree of freedom contributes  $\frac{1}{2} R$  to the molar specific heat
- See table 12.1 for some  $C_v$  values



# Types of Thermal Processes

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- ***Isobaric***

- Pressure stays constant
- Horizontal line on the PV diagram

- ***Isovolumetric***

- Volume stays constant
- Vertical line on the PV diagram

- ***Isothermal***

- Temperature stays the same

- ***Adiabatic***

- No heat is exchanged with the surroundings



# Isobaric Processes

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- The work done by an expanding gas in an isobaric process is at the expense of the internal energy of the gas
- $Q = \frac{5}{2} n R \Delta T = n C_p \Delta T$ 
  - $C_p$  is the molar heat capacity at constant pressure
  - $C_p = C_v + T$



# Adiabatic Processes

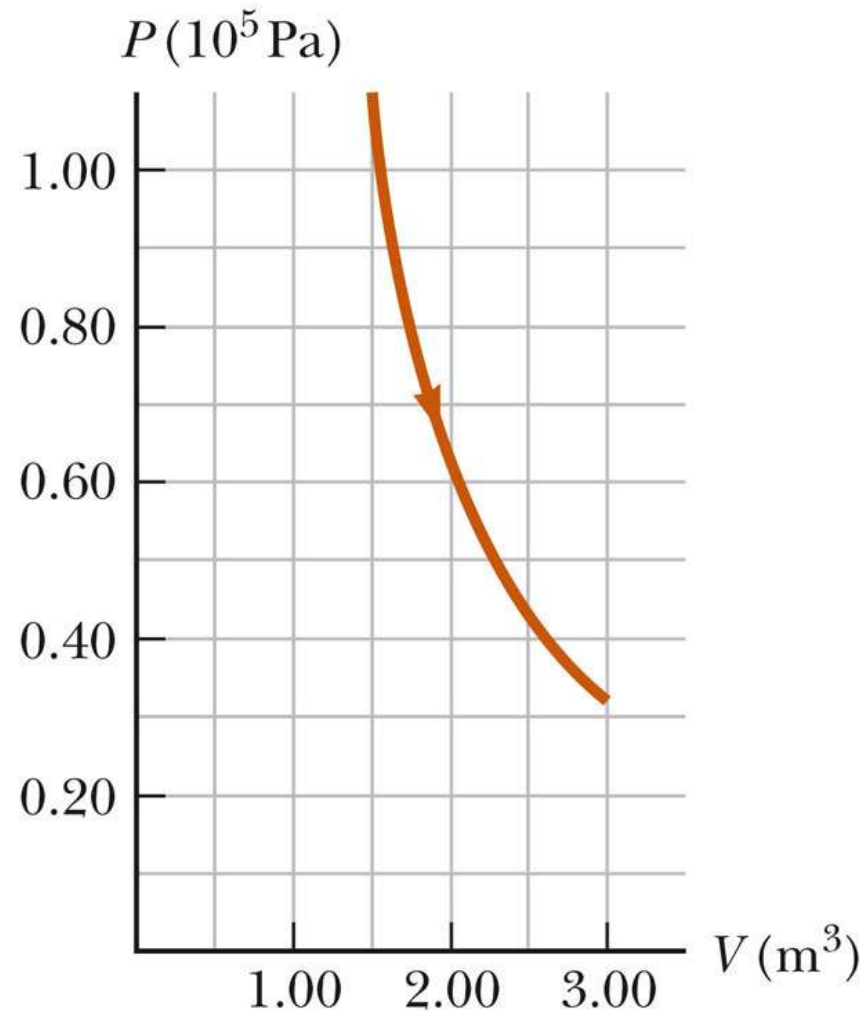
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- For an adiabatic process,  $Q = 0$
- First Law becomes  $\Delta U = W$
- For an ideal gas undergoing an adiabatic process

$$PV^\gamma = \text{constant} \quad \text{where} \quad \gamma = \frac{C_P}{C_v}$$

- $\gamma$  is called the *adiabatic index* of the gas

# Adiabatic Expansion, Diagram





# Isovolumetric Process

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- Also called *isochoric* process
- Constant volume
  - Vertical line on PV diagram
- $W = 0$  (since  $\Delta V = 0$ )
- First Law becomes  $\Delta U = Q$ 
  - The change in internal energy equals the energy transferred to the system by heat
- $Q = n C_v \Delta T$





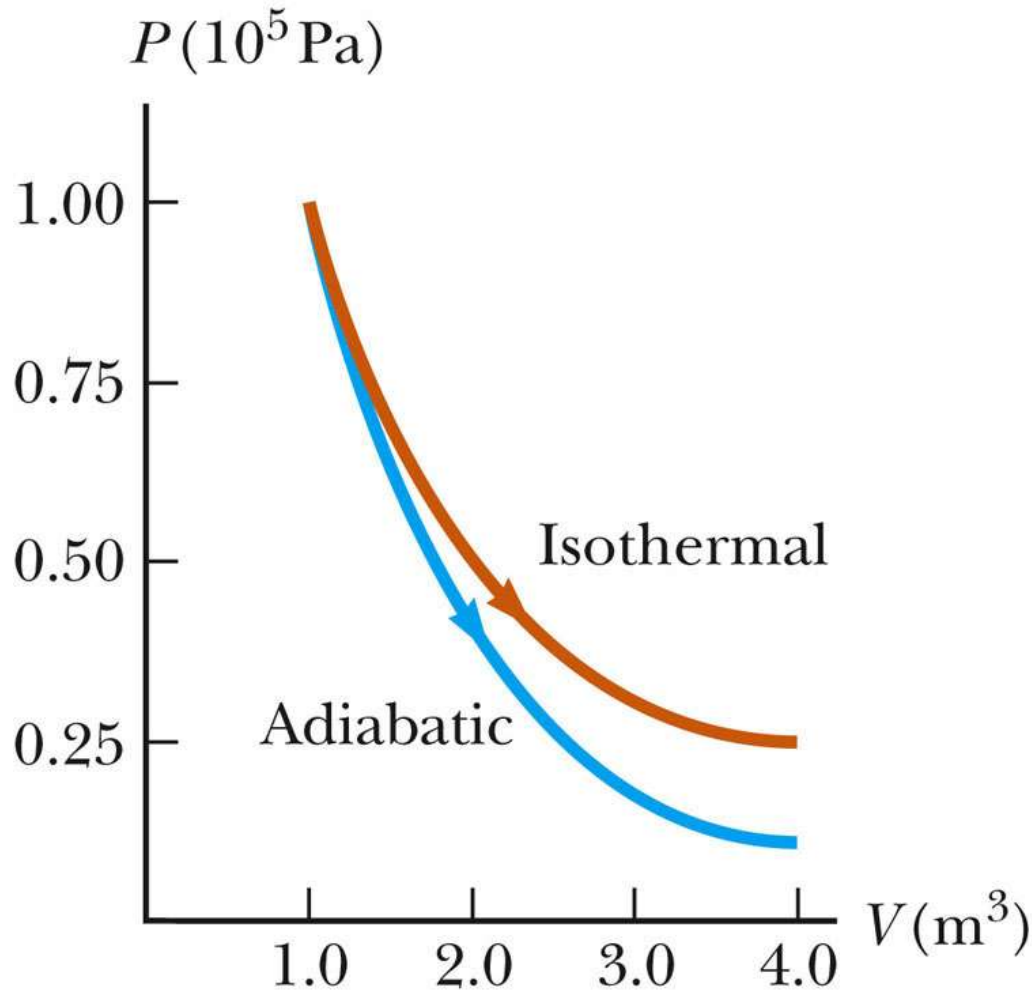
# Isothermal Process

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- The temperature doesn't change
  - In an ideal gas, since  $\Delta T = 0$ , the  $\Delta U = 0$
- First Law becomes  $W = -Q$  and

$$W = nRT \ln \left( \frac{V_f}{V_i} \right)$$

# Isothermal Process, Diagram





# General Case

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- Can still use the First Law to get information about the processes
- Work can be computed from the PV diagram
- If the temperatures at the endpoints can be found,  $\Delta U$  can be found



# Cyclic Processes

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- A cyclic process is one in which the process originates and ends at the same state
  - $U_f = U_i$  and  $Q = -W$
- The net work done per cycle by the gas is equal to the area enclosed by the path representing the process on a PV diagram



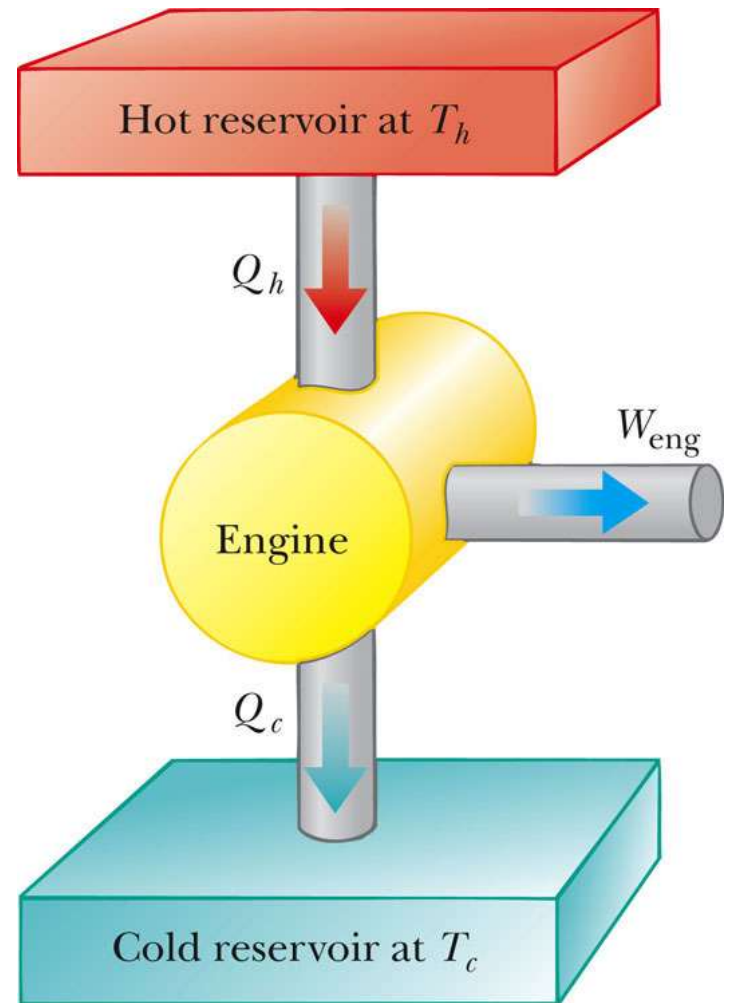
# Heat Engine

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- A heat engine takes in energy by heat and partially converts it to other forms
- In general, a heat engine carries some working substance through a cyclic process

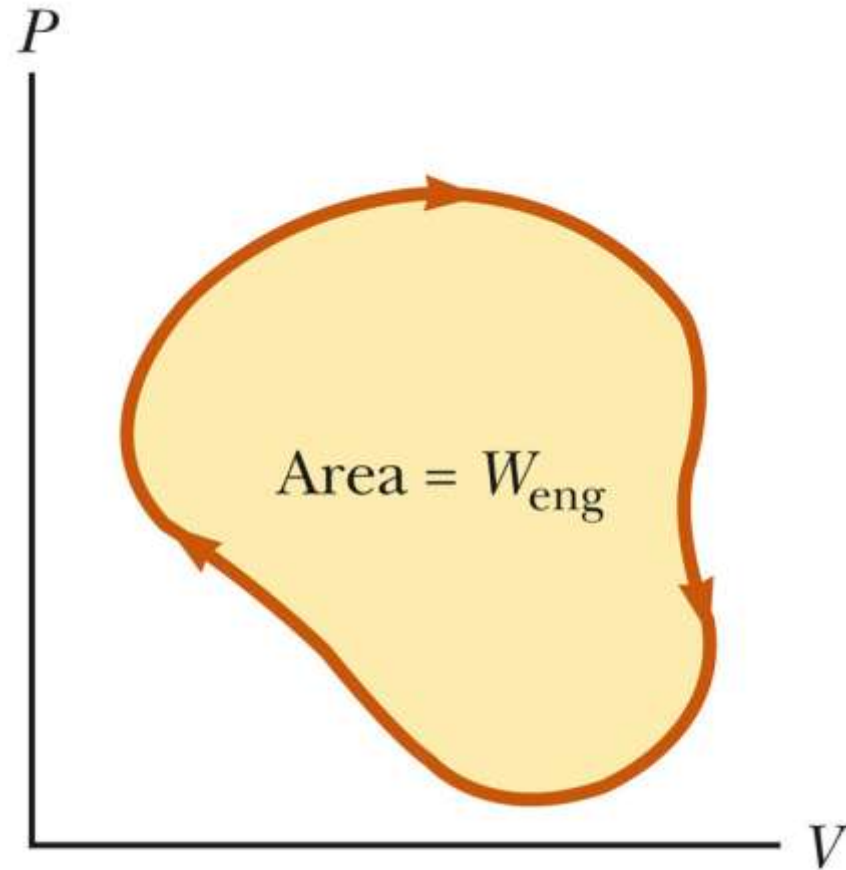
# Heat Engine, cont.

- Energy is transferred from a source at a high temperature ( $Q_h$ )
- Work is done by the engine ( $W_{\text{eng}}$ )
- Energy is expelled to a source at a lower temperature ( $Q_c$ )



# Heat Engine, cont.

- Since it is a cyclical process,  **$\Delta U = 0$** 
  - Its initial and final internal energies are the same
- Therefore,  $Q_{\text{net}} = W_{\text{eng}}$
- The work done by the engine equals the net energy absorbed by the engine
- The work is equal to the area enclosed by the curve of the PV diagram





# Thermal Efficiency of a Heat Engine

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- Thermal efficiency is defined as the ratio of the work done by the engine to the energy absorbed at the higher temperature

$$e \equiv \frac{W_{eng}}{|Q_h|} = \frac{|Q_h| - |Q_c|}{|Q_h|} = 1 - \frac{|Q_c|}{|Q_h|}$$

- $e = 1$  (100% efficiency) only if  $Q_c = 0$ 
  - No energy expelled to cold reservoir





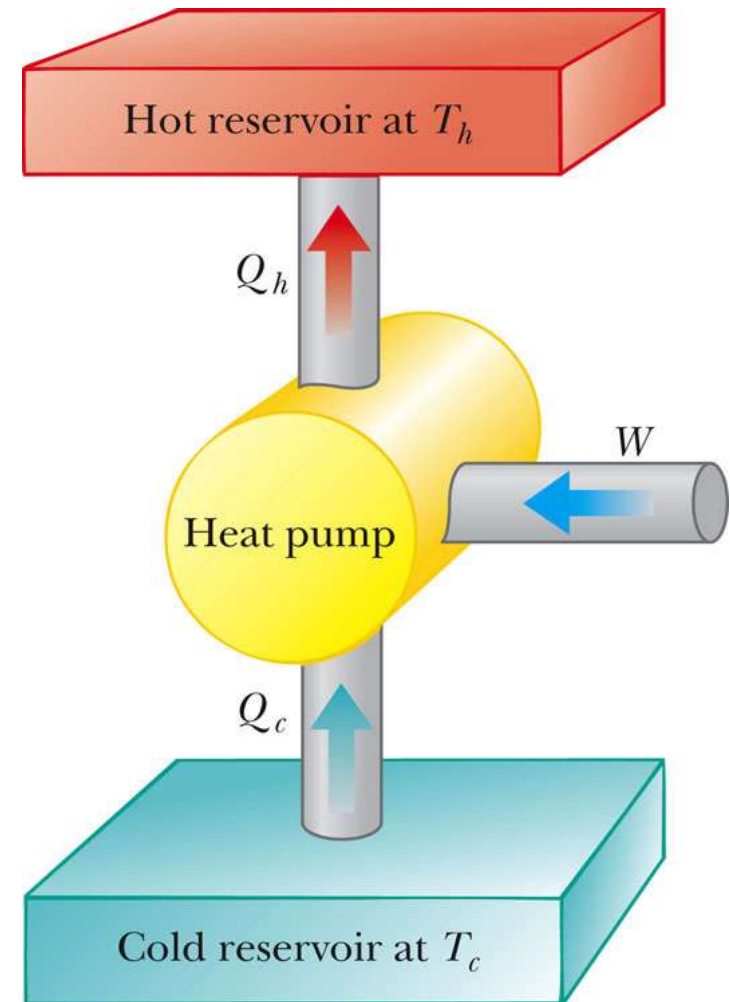
# Heat Pumps and Refrigerators

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- Heat engines can run in reverse
  - Energy is injected
  - Energy is extracted from the cold reservoir
  - Energy is transferred to the hot reservoir
- This process means the heat engine is running as a heat pump
  - A refrigerator is a common type of heat pump
  - An air conditioner is another example of a heat pump

# Heat Pump, cont

- The work is what you pay for
- The  $Q_c$  is the desired benefit
- The coefficient of performance (COP) measures the performance of the heat pump running in cooling mode





# Heat Pump, COP

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- In cooling mode,  $COP = \frac{|Q_c|}{W}$
- The higher the number, the better
- A good refrigerator or air conditioner typically has a COP of 5 or 6



# Heat Pump, COP

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- In heating mode,  $COP = \frac{|Q_H|}{W}$
- The heat pump warms the inside of the house by extracting heat from the colder outside air
- Typical values are greater than one



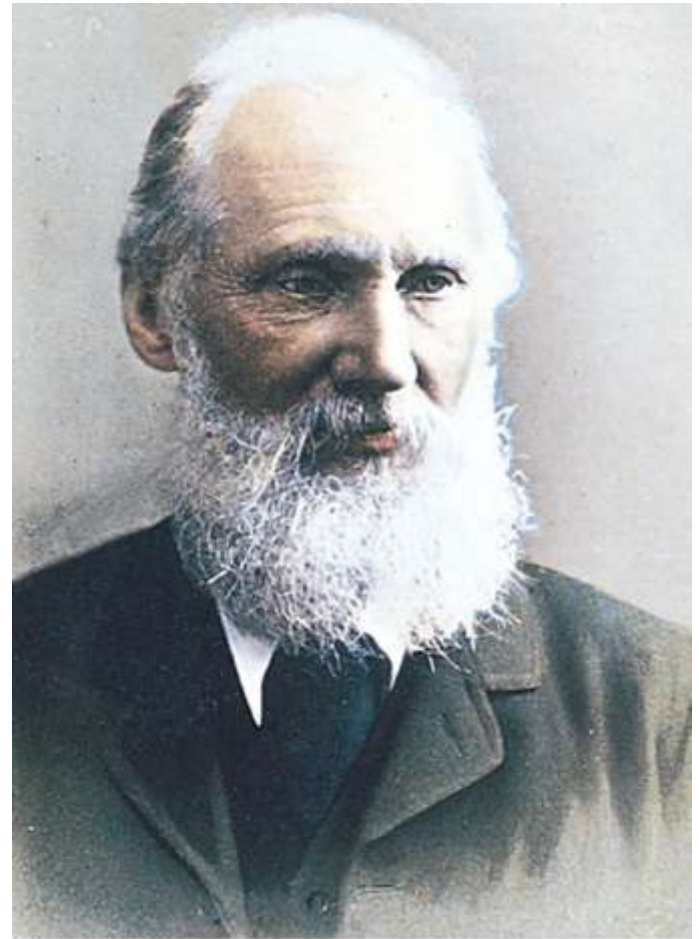
# Second Law of Thermodynamics

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- No heat engine operating in a cycle can absorb energy from a reservoir and use it entirely for the performance of an equal amount of work
  - Kelvin – Planck statement
  - Means that  $Q_c$  cannot equal 0
    - Some  $Q_c$  must be expelled to the environment
  - Means that  $e$  must be less than 100%

# William Thomson, Lord Kelvin

- 1824 – 1907
- British physicist
- First to propose the use of an absolute temperature scale
- Formulated a version of the Second Law





# Summary of the First and Second Laws

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- First Law
  - We cannot get a greater amount of energy out of a cyclic process than we put in
- Second Law
  - **We can't break even**



# Second Law, Alternative Statement

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- If two systems are in thermal contact, net thermal energy transfers spontaneously by heat from the hotter system to the colder system
  - The heat transfer occurs without work being done





# Reversible and Irreversible Processes

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- A ***reversible*** process is one in which every state along some path is an equilibrium state
  - And one for which the system can be returned to its initial state along the same path
- An ***irreversible*** process does not meet these requirements
  - Most natural processes are irreversible
- Reversible processes are an idealization, but some real processes are good approximations



# Sadi Carnot

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- 1796 – 1832
- French Engineer
- Founder of the science of thermodynamics
- First to recognize the relationship between work and heat



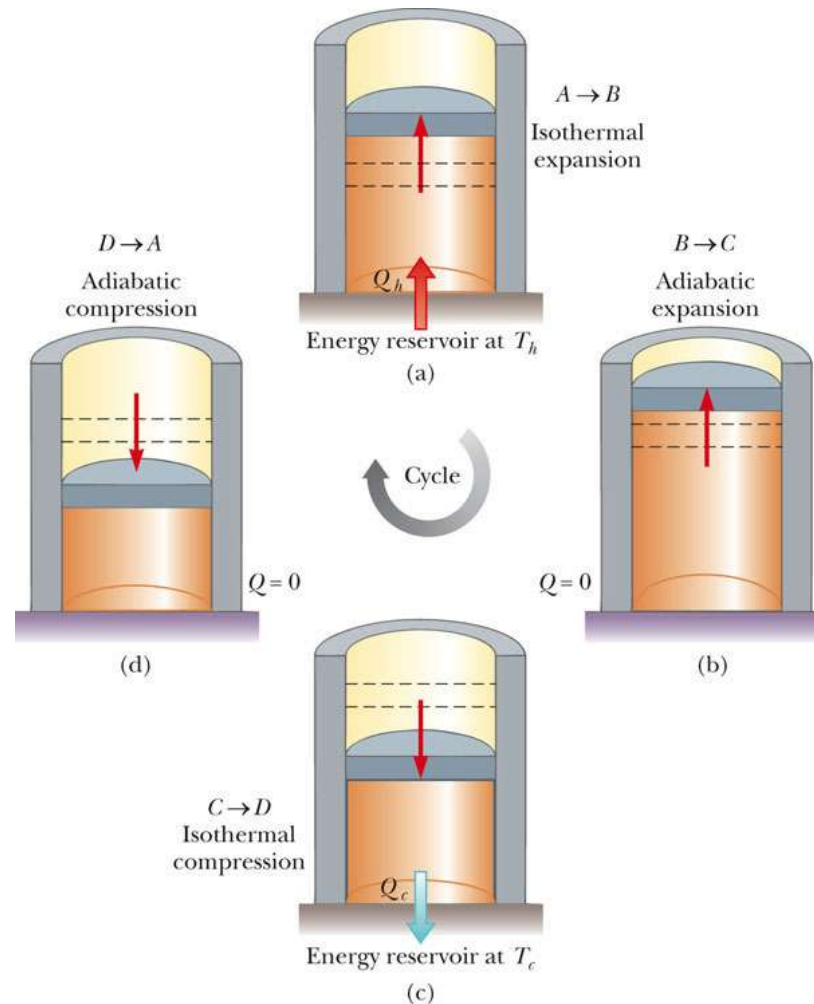


# Carnot Engine

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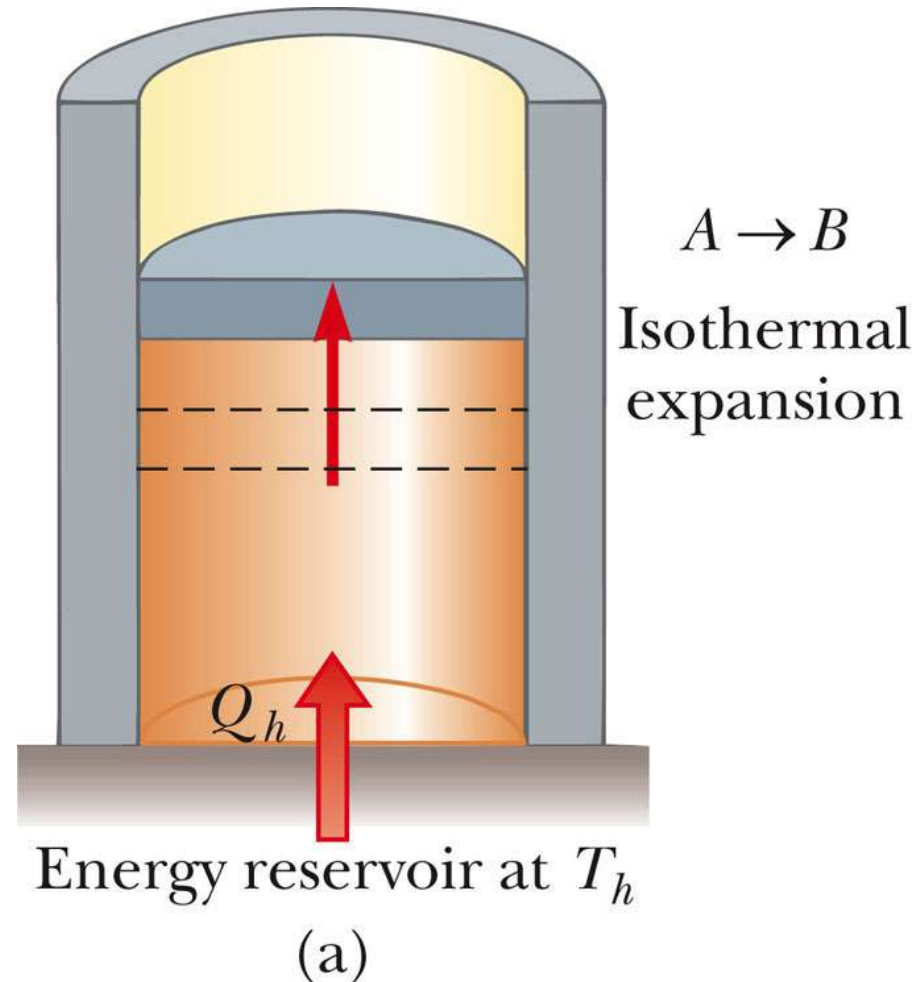
- A theoretical engine developed by Sadi Carnot
- A heat engine operating in an ideal, reversible cycle (now called a ***Carnot Cycle***) between two reservoirs is the most efficient engine possible
- ***Carnot's Theorem***: No real engine operating between two energy reservoirs can be more efficient than a Carnot engine operating between the same two reservoirs

# Carnot Cycle



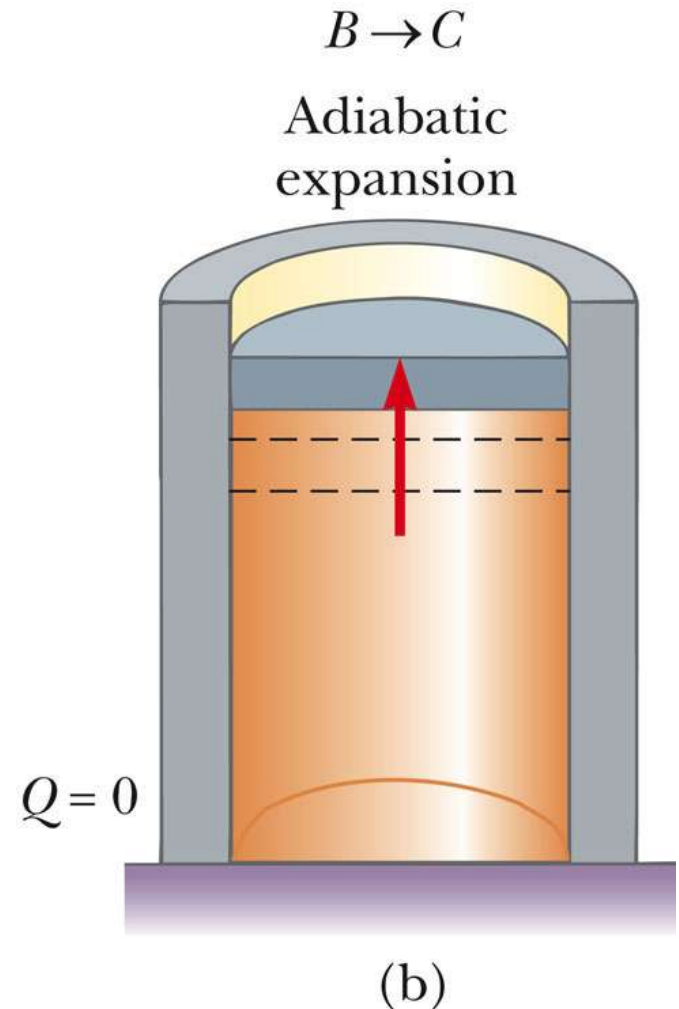
# Carnot Cycle, A to B

- A to B is an isothermal expansion at temperature  $T_h$
- The gas is placed in contact with the high temperature reservoir
- The gas absorbs heat  $Q_h$
- The gas does work  $W_{AB}$  in raising the piston



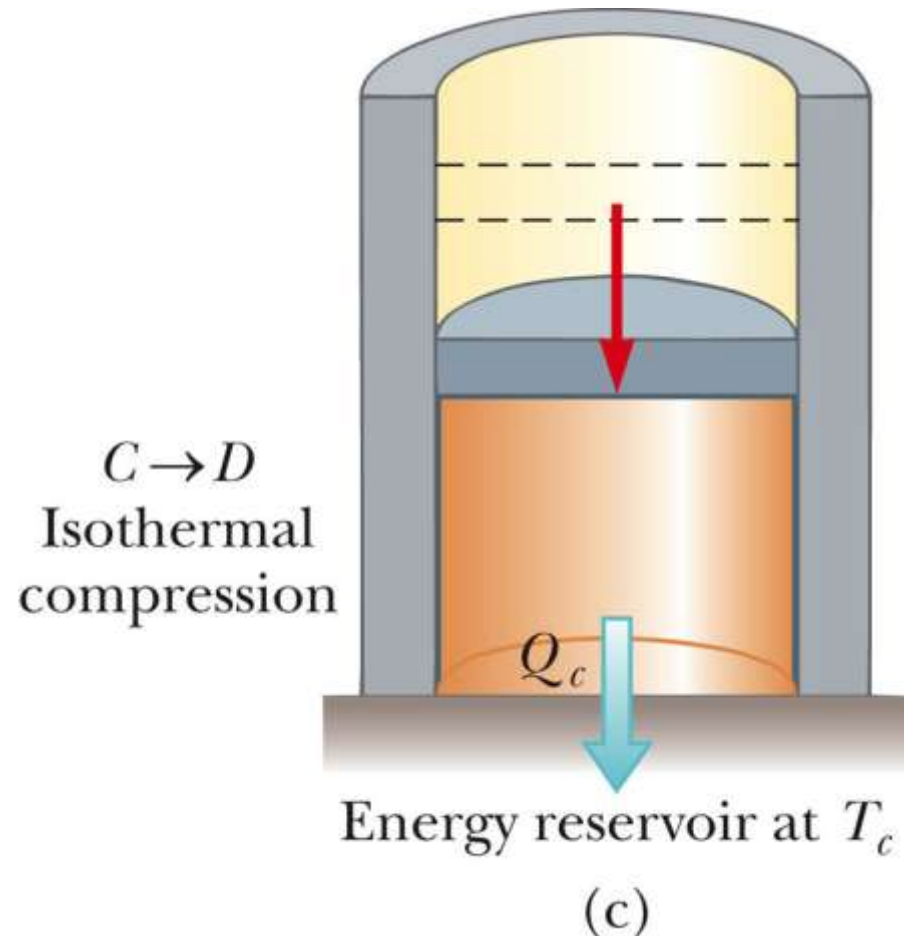
# Carnot Cycle, B to C

- B to C is an adiabatic expansion
- The base of the cylinder is replaced by a thermally nonconducting wall
- No heat enters or leaves the system
- The temperature falls from  $T_h$  to  $T_c$
- The gas does work  $W_{BC}$



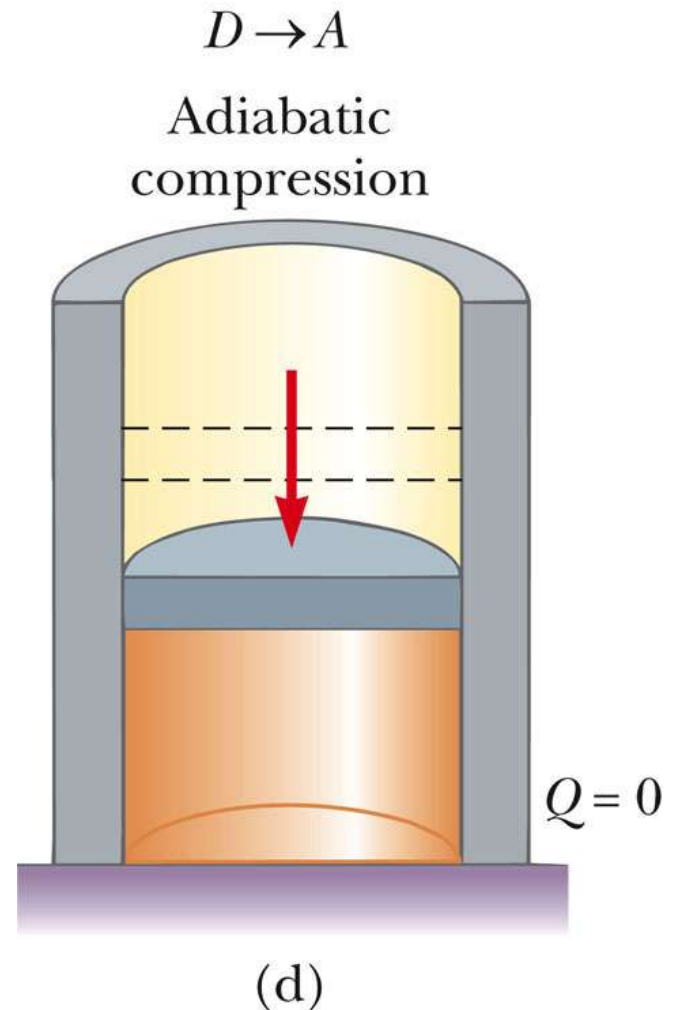
# Carnot Cycle, C to D

- The gas is placed in contact with the cold temperature reservoir at temperature  $T_c$
- C to D is an isothermal compression
- The gas expels energy  $Q_c$
- Work  $W_{CD}$  is done on the gas



# Carnot Cycle, D to A

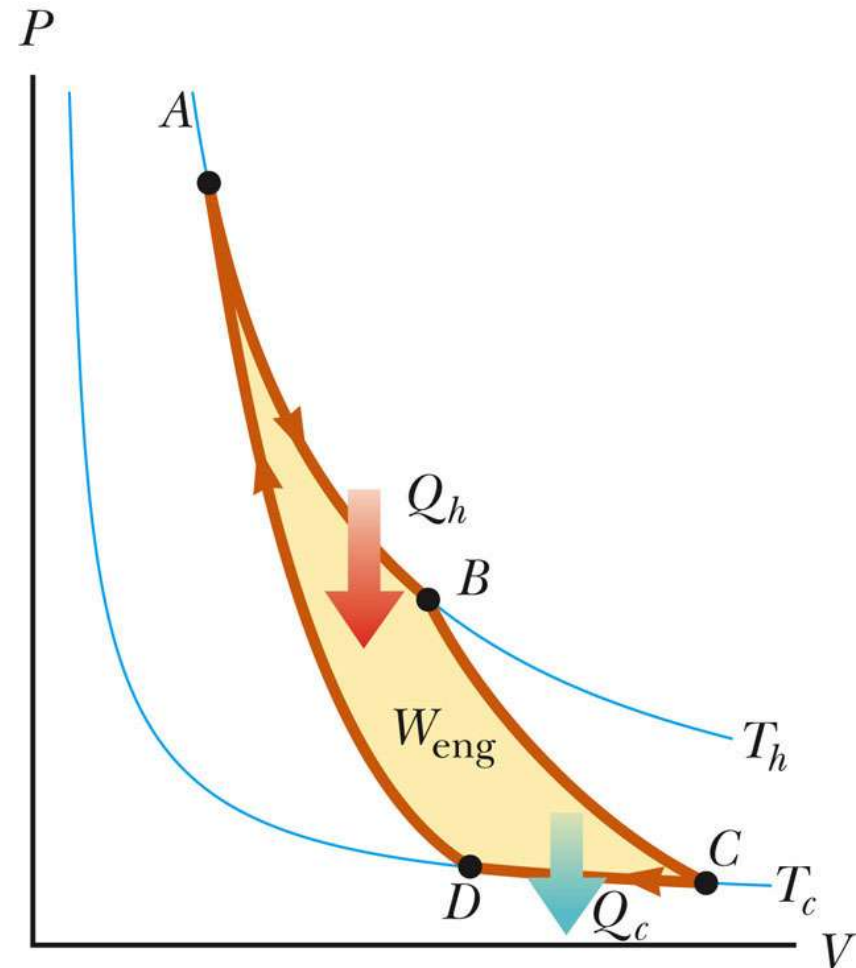
- D to A is an adiabatic compression
- The gas is again placed against a thermally nonconducting wall
  - So no heat is exchanged with the surroundings
- The temperature of the gas increases from  $T_C$  to  $T_h$
- The work done on the gas is  $W_{CD}$





# Carnot Cycle, PV Diagram

- The work done by the engine is shown by the area enclosed by the curve
- The net work is equal to  $Q_h - Q_c$





# Efficiency of a Carnot Engine

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- Carnot showed that the efficiency of the engine depends on the temperatures of the reservoirs

$$e_c = 1 - \frac{T_c}{T_h}$$

- Temperatures must be in Kelvins
- All Carnot engines operating reversibly between the same two temperatures will have the same efficiency



# Notes About Carnot Efficiency

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- Efficiency is 0 if  $T_h = T_c$
- Efficiency is 100% only if  $T_c = 0$  K
  - Such reservoirs are not available
- The efficiency increases as  $T_c$  is lowered and as  $T_h$  is raised
- In most practical cases,  $T_c$  is near room temperature, 300 K
  - So generally  $T_h$  is raised to increase efficiency



# Real Engines Compared to Carnot Engines

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- All real engines are less efficient than the Carnot engine
  - Real engines are irreversible because of friction
  - Real engines are irreversible because they complete cycles in short amounts of time

# Rudolf Clausius

- 1822 – 1888
- German physicist
- Ideas of entropy





# Entropy

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- A state variable related to the Second Law of Thermodynamics, the entropy
- Let  $Q_r$  be the energy absorbed or expelled during a reversible, constant temperature process between two equilibrium states
  - Then the change in entropy during any constant temperature process connecting the two equilibrium states can be defined as the ratio of the energy to the temperature



# Entropy, cont.

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- Mathematically,  $\Delta S = \frac{Q_r}{T}$
- This applies only to the reversible path, even if the system actually follows an irreversible path
  - To calculate the entropy for an irreversible process, model it as a reversible process
- When energy is absorbed,  $Q$  is positive and entropy increases
- When energy is expelled,  $Q$  is negative and entropy decreases



# More About Entropy

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- Note, the equation defines the *change in entropy*
- The entropy of the Universe increases in all natural processes
  - This is another way of expressing the Second Law of Thermodynamics
- There are processes in which the entropy of a system decreases
  - If the entropy of one system, A, decreases it will be accompanied by the increase of entropy of another system, B.
  - The change in entropy in system B will be greater than that of system A.





# Perpetual Motion Machines

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- A perpetual motion machine would operate continuously without input of energy and without any net increase in entropy
- Perpetual motion machines of the first type would violate the First Law, giving out more energy than was put into the machine
- Perpetual motion machines of the second type would violate the Second Law, possibly by no exhaust
- Perpetual motion machines will never be invented



# Entropy and Disorder

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- Entropy can be described in terms of disorder
- A disorderly arrangement is much more probable than an orderly one if the laws of nature are allowed to act without interference
  - This comes from a statistical mechanics development



# Entropy and Disorder, cont.

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- Isolated systems tend toward greater disorder, and entropy is a measure of that disorder
  - $S = k_B \ln W$ 
    - $k_B$  is **Boltzmann's constant**
    - $W$  is a number proportional to the probability that the system has a particular configuration
- This gives the Second Law as a statement of what is most probable rather than what must be
- The Second Law also defines the direction of time of all events as the direction in which the entropy of the universe increases



# Grades of Energy

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- The tendency of nature to move toward **a state of disorder affects a system's** ability to do work
- Various forms of energy can be converted into internal energy, but the reverse transformation is never complete
- If two kinds of energy, A and B, can be completely interconverted, they are of the ***same grade***



# Grades of Energy, cont.

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- If form A can be completely converted to form B, but the reverse is never complete, A is a ***higher grade*** of energy than B
- When a high-grade energy is converted to internal energy, it can never be fully recovered as high-grade energy
- ***Degradation of energy*** is the conversion of high-grade energy to internal energy
- In all real processes, the energy available for doing work decreases



# Heat Death of the Universe

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- The entropy of the Universe always increases
- The entropy of the Universe should ultimately reach a maximum
  - At this time, the Universe will be at a state of uniform temperature and density
  - This state of perfect disorder implies no energy will be available for doing work
- This state is called the ***heat death*** of the Universe



# The First Law and Human Metabolism

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- The First Law can be applied to living organisms
- The internal energy stored in humans goes into other forms needed by the organs and into work and heat
- The ***metabolic rate*** ( $\Delta U / \Delta t$ ) is directly proportional to the rate of oxygen consumption by volume



# Measuring Metabolic Rate

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- The metabolic rate is related to oxygen consumption by

$$\frac{\Delta U}{\Delta t} = 4.8 \frac{\Delta V_{o_2}}{\Delta t}$$

- About 80 W is the basal metabolic rate, just to maintain and run different body organs





# Various Metabolic Rates

**TABLE 12.4**

**Oxygen Consumption and Metabolic Rates for Various Activities  
for a 65-kg Male<sup>a</sup>**

Activity	O <sub>2</sub> Use Rate (mL/min · kg)	Metabolic Rate (kcal/h)	Metabolic Rate (W)
Sleeping	3.5	70	80
Light activity (dressing, walking slowly, desk work)	10	200	230
Moderate activity (walking briskly)	20	400	465
Heavy activity (basketball, swimming a fast breaststroke)	30	600	700
Extreme activity (bicycle racing)	70	1 400	1 600



# Aerobic Fitness

- One way to measure a **person's physical** fitness is their maximum capacity to use or consume oxygen

**TABLE 12.5**

**Physical Fitness and  
Maximum Oxygen  
Consumption Rate<sup>a</sup>**

<b>Fitness Level</b>	<b>Maximum Oxygen Consumption Rate (mL/min · kg)</b>
Very poor	28
Poor	34
Fair	42
Good	52
Excellent	70



# Efficiency of the Human Body

**TABLE 12.6**

**Metabolic Rate, Power Output, and Efficiency for Different Activities<sup>a</sup>**

Activity	Metabolic Rate	Power Output	Efficiency <i>e</i>
	$\frac{\Delta U}{\Delta t}$ (watts)	$\frac{W}{\Delta t}$ (watts)	
Cycling	505	96	0.19
Pushing loaded coal cars in a mine	525	90	0.17
Shoveling	570	17.5	0.03

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- Efficiency is the ratio of the mechanical power supplied to the metabolic rate or total power input