

## color

- we have been using r, g, b..
- why
- what is a color?
- can we get all colors this way?
- how does wavelength fit in here, what part is physics, what part is physiology
- can i use r, g, b for simulation of reflection
- how should colors be stored

## different meanings of color

- neural response of cone cells: retinal color
- this is processed giving us: *perceived color* that we actually experience and base judgments upon.
  - note we do not have direct experiential access to retinal color
  - see constancy slides
  - ted's image and video
- The perceived color is often associated with the object we are observing, which we might call the *object color*.
- we organize colors and name them as well

## what can we say about color

- deep: what is the experience of color
- simple: are two experiences identical

## our plan

- mostly retinal color
- well understood, and is the starting point
- we will describe bio-physically
- we will re-describe using perceptual experiments and formal definitions

## light beams

- Visible light is electromagnetic radiation that falls roughly in the *wavelengths*  $380 < \lambda < 770$ , measured in nanometers.
- A *pure beam*  $l_\lambda$  has one “unit” of light of a specific wavelength  $\lambda$ .
- A *mixed beam*  $l(\lambda)$  has different amounts of various wavelengths.
  - These amounts are determined by the function  $l(\cdot) : R \rightarrow R_+$ ,
  - The value is always non-negative since there is no “negative light”.

## cones

- retinal has 3 kinds of light sensitive cone cells
  - color blind people have only 2 kinds
- called long, medium and short (after the wavelengths of light they are most sensitive to).
- three sensitivity functions  $k_l(\lambda)$ ,  $k_m(\lambda)$  and  $k_s(\lambda)$ .
  - describes how strongly one type of cone “responds” to pure beams of light of different wavelengths.

– see figure

## visualize

- each pure beam of light results in three cone response values on the retina,
- visualize this response as a single point in a 3D space.
- define a 3D linear space, with coordinates labeled  $[L, M, S]^t$
- for a fixed  $\lambda$ , we can draw the retinal response as a single vector with coordinates  $[k_l(\lambda), k_m(\lambda), k_s(\lambda)]^t$ .

## lasso curve

- As we let  $\lambda$  vary, such vectors will trace out a *lasso* curve in space (see demo)
- The lasso curve lies completely in the positive octant since all responses are positive.
- The curve both starts and ends at the origin since these extreme wavelengths are at the boundaries of the visible region, beyond which the responses are zero.
- The curve spends a short time on the  $S$  axis (shown with blue tinted points)
- finally comes close to the  $L$  axis (shown in red).
- curve never comes close to the  $M$  axis, as there is no light that stimulates these cones alone.

## color space

- $[L, M, S]^t$  coordinates of the pure light beam describe the (retinal) color sensation
- We use the symbol  $\vec{c}$  to represent this sensation
- in this lasso visualization we can think of each 3D vector as *potentially* representing some color.
  - we are not sure which ones are really achievable (negatives for starters, are not).
- Vectors on the lasso curve are the *actual* colors of pure beams.

## mixed beams

- for summed light  $\sum_i l(i)l_{\lambda_i}$ , the three responses  $[L, M, S]^t$  are

$$\begin{aligned} L &= \sum_i l(i) k_l(\lambda_i) \\ M &= \sum_i l(i) k_m(\lambda_i) \\ S &= \sum_i l(i) k_s(\lambda_i) \end{aligned}$$

- for a mixed beam of light  $l(\lambda)$ , the three responses  $[L, M, S]^t$  are

$$\begin{aligned} L &= \int_{\Omega} d\lambda l(\lambda) k_l(\lambda) \\ M &= \int_{\Omega} d\lambda l(\lambda) k_m(\lambda) \\ S &= \int_{\Omega} d\lambda l(\lambda) k_s(\lambda) \end{aligned}$$

where  $\Omega = [380..770]$ .

## mixed in vis

- As we look at all possible mixed beams  $l(\lambda)$ , the resulting  $[L, M, S]^t$  coordinates sweep out some set of vectors in 3D space.

- Since  $l(\lambda)$  can be any positive function, the swept set is comprised of all positive linear combinations of vectors on the lasso curve.
- Thus, the swept set is the *convex cone* over the lasso curve, which we call the *color cone*.
- Vectors inside the cone represent actual achievable color sensations.
- Vectors outside the cone, such as the vertical axis do not arise as the sensation from any actual light beam, whether pure or composite.

## Map of Color Space

- Scales of vectors in the cone correspond to brightness changes in our perceived color sensation, so lets normalize by scale
  - see figure
- we only draw colors in the gamut of the RGB monitor
- Colors along the boundary of the cone are vivid and are perceived as “saturated”.
- As as we circle around the boundary, we move through the different “hues” of color.
- Starting from the  $L$  axis, we move along the rainbow colors from red to green to violet.
  - achievable by pure beams
- color cone’s boundary has a planar wedge (a line segment in the 2D figure).
  - The colors on this wedge are the pinks and purples.
  - They do not appear in the rainbow and can only be achieved by appropriately combining beams of red and violet.
- As we move in from the boundary towards the central region of the cone, the colors, while maintaining their hue, de-saturate, becoming pastel and eventually grayish or whitish.

## metamers

- There are an infinite number of vectors making up the lasso curve,
  - certainly more than three!
- Thus, for vectors strictly inside the color cone, there are many ways to generate some fixed  $[L, M, S]^t$  coordinates using positive linear combinations of vectors on the lasso curve.
- Each of these is equivalent to some light beam that produces this fixed response.
- Thus, there must be many physically distinct beams of light, with different amounts of each wavelengths, that generate the same color sensation. We call any two such beams *metamers*.
- webdemo (wiki metamerism)

## Mathematical Model

- this basic model was established using some perceptual experiments and math.
- no microscopes
- we will re-derive this model, and get a clearer idea about color as a vector space.
- to do experiments we use a basic setup (see fig)
- ask user if two patches match
- simple scene ==> perceived color is the same as retinal.

## transitivity

- In our very first experiment, we test that the metameric relation is transitive

- In particular we find that, if  $l_1(\lambda)$  is indistinguishable to  $l'_1(\lambda)$ , and  $l'_1(\lambda)$  is indistinguishable to  $l''_1(\lambda)$ , then  $l'_1(\lambda)$  will always be indistinguishable to  $l''_1(\lambda)$ .
- Due to this transitivity, we actually *define*  $\vec{c}(l_1(\lambda))$ , “the color of the beam  $l_1(\lambda)$ ”, as the collection of light beams that are indistinguishable to a human observer from  $l_1(\lambda)$ .
- in this language  $\vec{c}(l_1(\lambda)) = \vec{c}(l'_1(\lambda)) = \vec{c}(l''_1(\lambda))$ .
- a (retinal) color is an equivalence class of light beams

### linear structure

- we want to use linear algebra to work on colors
- this will give us a theory of primary light mixing
  - different from paint mixing.
- but this will take a little bit of abstraction.
- We know from physics that when two light beams,  $l_1(\lambda)$  and  $l_2(\lambda)$ , are added together, they simply form a combined beam with light distribution  $l_1(\lambda) + l_2(\lambda)$ .
- Thus, we attempt to define the *addition* of two colors, as the color of the addition of two beams.

$$\vec{c}(l_1(\lambda)) + \vec{c}(l_2(\lambda)) := \vec{c}(l_1(\lambda) + l_2(\lambda))$$

- For this to be well defined, we must experimentally verify that it does not make a difference which beam we choose as representative for each color.
  - if  $\vec{c}(l_1(\lambda)) = \vec{c}(l'_1(\lambda))$ , then we must verify that, for all  $l_2(\lambda)$ , we have  $\vec{c}(l_1(\lambda) + l_2(\lambda)) = \vec{c}(l'_1(\lambda) + l_2(\lambda))$
- experiment confirms!

### nonneg scalar mult

- since we can multiply a light beam by a nonneg scalar, we try the definition

$$\alpha \vec{c}(l_1(\lambda)) := \vec{c}(\alpha l_1(\lambda))$$

- Again, we need to verify that the behavior of this operation does not depend on our choice of beam.
- experiment confirms

### annoying technicality

- we do not have a definition for scalar multiply with a negative number.
  - since there is no such thing as negative light
  - later we will see that we will really need negative combinations to create a desired color.
- lets think about subtraction: when we say  $\vec{c}_1 - \vec{c}_2 = \vec{c}_3$ , we could define this to mean  $\vec{c}_1 = \vec{c}_3 + \vec{c}_2$ .
  - negatives jump over the equal sign and give us something well defined.
- now we can give meaning to negative colors
- actual and negative colors will be part of a larger space of things called extend colors, which will be a full linear space.

### extended color space

- let us call any of our original equivalence classes of light beams using the term: *actual color*.
- Let us define an *extended color* as a formal expression of the form

$$\vec{c}_1 - \vec{c}_2$$

where the  $\vec{c}$  are actual colors.

- We define two extended colors  $\vec{c}_1 - \vec{c}_2$  and  $\vec{c}_3 - \vec{c}_4$ , to be equal if  $\vec{c}_1 + \vec{c}_4 = \vec{c}_3 + \vec{c}_2$ ,
- Any extended color that is not an actual color will be called an *imaginary color*.

### ecs ops

- Multiplication by  $-1$  is  $-(\vec{c}_1 - \vec{c}_2) := (\vec{c}_2 - \vec{c}_1)$
- addition is  $(\vec{c}_1 - \vec{c}_2) + (\vec{c}_3 - \vec{c}_4) := (\vec{c}_1 + \vec{c}_3) - (\vec{c}_2 + \vec{c}_4)$ .
- With these operations, we indeed have a linear space of extended colors!
- some extended colors are actual colors.
- we will typically drop the word “extended”
- going back to figure, vectors inside the cone are actual colors, while vectors outside the cone are imaginary colors.

### Color Matching

- goal1: establish the dimensionality of color space
- goal2: give us a form for mapping light beams to color coordinates
- user watches two screens
- on left they are shown a a pure *test beam*  $l_\lambda$
- on the right, they observe a light that is made up of positive combinations of three pure *matching beams*, with wavelengths 435, 545 and 625 nanometers.
- observer must adjust three intensity knobs on the right side,  $k_{435}(\lambda)$ ,  $k_{545}(\lambda)$  and  $k_{625}(\lambda)$  to get a match
- If the user cannot succeed, then they are allowed to move one or more of the matching beams over to the left side
  - like letting the intensity become negative.
- This process is repeated for all  $\lambda$
- webdemo

### results

- user can indeed succeed in obtaining a match for *all* visible wavelengths.
- so color space is 3D
- we get 3 so-called matching functions  $k_{435}(\lambda)$ ,  $k_{545}(\lambda)$  and  $k_{625}(\lambda)$ , (see Figure)
- Notice that, at each of the wavelengths 435, 545, and 625, one of the matching functions is set to 1, while the other two are set to 0.
- in summary

$$\vec{c}(l_\lambda) = [\vec{c}(l_{435}) \ \vec{c}(l_{545}) \ \vec{c}(l_{645})] \begin{bmatrix} k_{435}(\lambda) \\ k_{545}(\lambda) \\ k_{625}(\lambda) \end{bmatrix}$$

- and for mixed beams we get

$$\vec{c}(l(\lambda)) = [\vec{c}(l_{435}) \ \vec{c}(l_{545}) \ \vec{c}(l_{645})] \begin{bmatrix} \int_{\Omega} d\lambda \ l(\lambda) \ k_{435}(\lambda) \\ \int_{\Omega} d\lambda \ l(\lambda) \ k_{545}(\lambda) \\ \int_{\Omega} d\lambda \ l(\lambda) \ k_{625}(\lambda) \end{bmatrix}$$

- and we can compute the mapping from light to color

### visualize

- we can visualized like LMS space
- lasso passes through axes
- lasso does leave the first octant

#### summary

- light comes in beams  $l(\lambda)$ .
- actual colors are equivalence classes of metameric beams
- these live inside of the mathematical “color space”
  - color space includes imaginary colors as well.
- this is a 3d linear space
- one basis is  $[\vec{c}(l_{435}) \ \vec{c}(l_{545}) \ \vec{c}(l_{645})]$
- we have an equation to compute color coordinates in this basis given a beam.
- This linear space is consistant with beam addition
- note: this linear space is not consistant with paint mixing!

#### Bases

- we can insert any (non singular) 3-by-3 matrix  $M$  and its inverse to obtain

$$\begin{aligned}\vec{c}(l(\lambda)) &= ([\vec{c}(l_{435}) \ \vec{c}(l_{545}) \ \vec{c}(l_{645})]M^{-1}) \left( M \begin{bmatrix} \int_{\Omega} d\lambda \ l(\lambda) \ k_{435}(\lambda) \\ \int_{\Omega} d\lambda \ l(\lambda) \ k_{545}(\lambda) \\ \int_{\Omega} d\lambda \ l(\lambda) \ k_{625}(\lambda) \end{bmatrix} \right) \\ &= [\vec{c}_1 \ \vec{c}_2 \ \vec{c}_3] \begin{bmatrix} \int_{\Omega} d\lambda \ l(\lambda) \ k_1(\lambda) \\ \int_{\Omega} d\lambda \ l(\lambda) \ k_2(\lambda) \\ \int_{\Omega} d\lambda \ l(\lambda) \ k_3(\lambda) \end{bmatrix}\end{aligned}$$

- where the  $\vec{c}_i$  describe a new color basis defined as

$$[\vec{c}_1 \ \vec{c}_2 \ \vec{c}_3] = [\vec{c}(l_{435}) \ \vec{c}(l_{545}) \ \vec{c}(l_{645})]M^{-1}$$

- the  $k(\lambda)$  functions form the new associated matching functions, defined by

$$\begin{bmatrix} k_1(\lambda) \\ k_2(\lambda) \\ k_3(\lambda) \end{bmatrix} = M \begin{bmatrix} k_{435}(\lambda) \\ k_{545}(\lambda) \\ k_{625}(\lambda) \end{bmatrix}$$

#### basis specification

- Starting from any fixed basis for color space, such as  $[\vec{c}(l_{435}) \ \vec{c}(l_{545}) \ \vec{c}(l_{645})]$ ,
- 1: specify an invertible 3-by-3 matrix  $M$ .
- 2: specify three actual colors  $\vec{c}_i$ .
  - Each such  $\vec{c}_i$  can be specified by some light beam  $l_i(\lambda)$  that generates it.
  - plug each such light beam into above calculation to obtain its 456 color coordinates, determining the matrix.
  - plugging in each new light gives you one column of  $M^{-1}$ .
- directly specify three new matching functions.
  - To be valid matching functions, they must arise from a basis change like the above Equation, and so each matching function must be some linear combination of  $k_{435}(\lambda)$ ,  $k_{545}(\lambda)$  and  $k_{625}(\lambda)$
  - each new matching fuction corresponds to a row of  $M$ .
  - else we will not respect metamerism

- some cameras can mess this up
- see fig

## LMS revisited

- the LMS matching functions we saw originally describe a basis for color space
- the coordinates of a color are called  $[L, M, S]^t$ .
- The actual basis is made up of three colors we can call  $[\vec{c}_l, \vec{c}_m, \vec{c}_s]$ .
- The color  $\vec{c}_m$  is a very imaginary color
  - there is no real light beam with LMS color coordinates  $[0, 1, 0]^t$ .

## Gamut

- observe: we cannot find three vectors that both hit the lasso curve and contain the entire curve in their positive span.
- so if we want a basis where all actual colors have non-negative coordinates, at least one of the basis vectors defining this octant must lie outside of the cone of actual colors.
  - Such a basis vector must be an imaginary color.
- Conversely, if all of our basis vectors are actual colors, and thus within the color cone, then there must be some actual colors that cannot be written with non-negative coordinates
- in this basis. We say that such colors lie outside the *gamut* of this color space.

## XYZ space

- central standardized space
- specified by the three matching functions called  $k_x(\lambda)$ ,  $k_y(\lambda)$  and  $k_z(\lambda)$ , (see figure).
- The coordinates for some color with respect to this basis is given by a coordinate vector that we call  $[X, Y, Z]^t$ .
- These particular matching functions were chosen such that they are always positive, and so that the Y-coordinate of a color represents its overall perceived “luminance”. Thus,  $Y$  is often used as a black and white representation of the color.
- The associated basis  $[\vec{c}_x, \vec{c}_y, \vec{c}_z]$  is made up of three imaginary colors; the axes in are outside of the color cone.

## RGB

- there are a variety of RGB standards
- current one is called *Rec. 709 RGB space*.
- basis  $[\vec{c}_r, \vec{c}_g, \vec{c}_b]$  is made up of three actual colors intended to match the colors of the three phosphors of an ideal monitor/tv display.
- Colors with non-negative RGB coordinates can be produced on a monitor and are said to lie inside the *gamut* of the color space. These colors are in the first octant of the Figure.
- some actual colors lie outside the gamut
- Additionally, on a monitor, each phosphor maxes out at “1”, which also limits the achievable outputs.
- images with colors outside the gamut need some kind of mapping/clipping to keep in the gamut. (advanced topic)
- later we see another type of animal called sRGB