

# **Term-Indexing for the Beagle Theorem Prover**

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Except where otherwise indicated, this thesis is my own original work.

Timothy Clarence Richard Cosgrove  
15 September 2013



For Dana.



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# Acknowledgements

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Thank you to my Supervisor and all...





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# Abstract

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This should be the abstract to your thesis. . .

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# Introduction

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## 1.1 Motivation

- Describe beagle
- Advantages of beagle
- drawbacks
- some instrumentation

### 1.1.1 A Theoretical Framework



# Background

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## 2.1 First-Order Logic Terms and Notation

This thesis focuses around the extension of *beagle*, a *first-order logic* (FOL) theorem prover. In order to understand beagle's purpose and functions a basic understanding of the FOL logical system is required. This section provides a rudimentary overview of FOL syntax and uses; but also includes an explanation of any specialised terms and notation used throughout the paper.

### 2.1.1 FOL basics

### 2.1.2 Calculi and FOL problems

### 2.1.3 The Superposition Calculus

Should contain

- Variables
- Symbols
- Predicates
- Quantifiers
- Notion of soundness and completeness
- Description of a 'calculus'

### 2.1.4 Specialised Syntax in this Paper

- Positions

## 2.2 Automated Reasoning and Theorem Proving

Automated Reasoning is a rapidly growing field of research where computer programs are used to solve problems stated in first order logic statments or other formal logics.

Some existing theorem provers include:

### SPASS

[Weidenbach et al. 1999]

### Vampire

[Riazanov and Voronkov 1999]

### E

[Schulz 2002]

Should contain

- Theorem prover examples

## 2.3 Term Indexing

Term indexing is a technique used to better locate logical terms which match rules in a prover's calculus.

### Top Symbol Hashing

### Discriminant Trees

## 2.4 Fingerprint Indexing

*Fingerprint Indexing* is a recent technique developed by Schulz [2012], the creator of the E prover.

Table 2.1: Fingerprint matches for Unification [Schulz 2012, p6]

|          | $f_1$ | $f_2$ | <b>A</b> | <b>B</b> | <b>N</b> |
|----------|-------|-------|----------|----------|----------|
| $f_1$    | Y     | N     | Y        | Y        | N        |
| $f_2$    | N     | Y     | Y        | Y        | N        |
| <b>A</b> | Y     | Y     | Y        | Y        | N        |
| <b>B</b> | Y     | Y     | Y        | Y        | Y        |
| <b>N</b> | N     | N     | N        | Y        | Y        |



Table 2.2: Fingerprint matches for Matching [Schulz 2012, p6]

|          | $f_1$ | $f_2$ | <b>A</b> | <b>B</b> | <b>N</b> |
|----------|-------|-------|----------|----------|----------|
| $f_1$    | Y     | N     | N        | N        | N        |
| $f_2$    | N     | Y     | N        | N        | N        |
| <b>A</b> | Y     | Y     | Y        | N        | N        |
| <b>B</b> | Y     | Y     | Y        | Y        | Y        |
| <b>N</b> | N     | N     | N        | N        | Y        |

## 2.5 The Beagle Theorem Prover

The core implementation of Beagle was developed by Peter Baumgartner et al. of NICTA. Its purpose was to demonstrate the capabilities of the *Weak Abstraction with Heirachic Superposition Calculus*; which allows the incorporation of prior knowledge via a ‘background reasoning’ modules.

### 2.5.1 The Heirachic Superposition with Weak Abstraction Calculus

### 2.5.2 Beagle’s Shortcomings

## 2.6 Tools Used

### 2.6.1 Scala

As mentioned above *beagle* is written in *Scala*, the Scalable Language. Scala is a functional language and may be confusing to those who are not familiar with the functional programming paradigm. This thesis will contain occasional snippets of Scala code; but note that any snippets used will be accompanied by an explanation and in general an understanding of Scala/functional programming is not required.

### 2.6.2 VisualVM

### 2.6.3 Eclipse



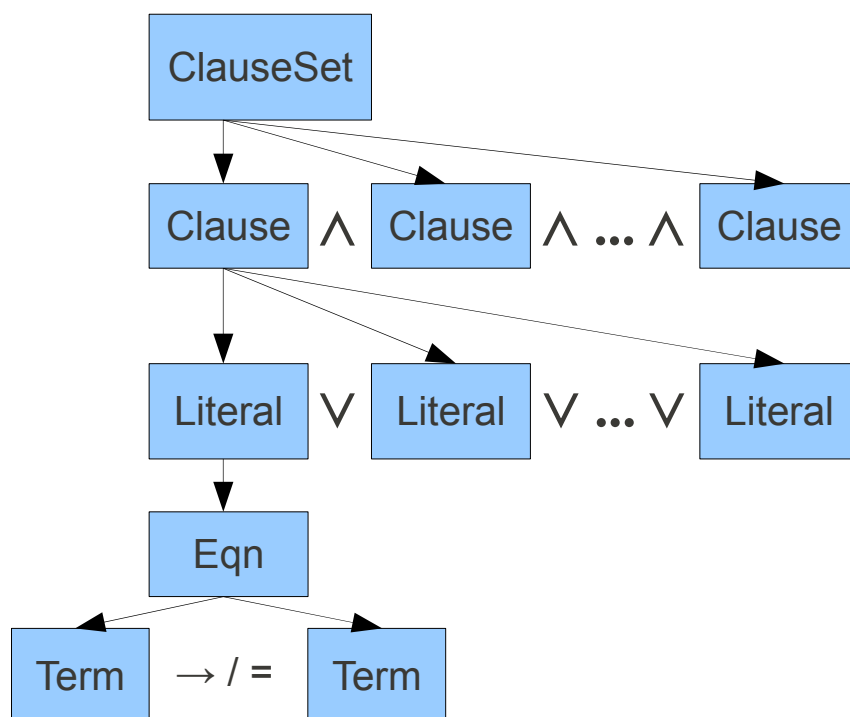
---

# Implementing Fingerprint Indexing

---

## 3.1 Structure of *Beagle*

To be able to make any significant contribution to the *beagle* project, I first had to gain a solid understanding of the existing Scala codebase.



**Figure 3.1:** Class structure for internal representation of logical formulae.

Describe main inference loop

Refer to results for instrumentation; showing what may be improved with indexing.

## 3.2 Implementing Fingerprint Indexing

The first step in adding Fingerprint Indexing to *beagle* is creating the indexer itself; a Scala class which will manage the index and provide functions for adding to/retrieving from the Index.

### 3.2.1 Building Term Fingerprints

The following block of Scala code extracts a single Fingerprint Feature from a Term at the given position.

```

1  /** Extract the operator at position pos. Note that matching Var
2   * and Funterm is an exhaustive pattern for Term. */
3  def extractFeature(term: Term, pos: Position) : FPFeature = pos match {
4      case Nil => term match {
5          case t:FunTerm => FPF(t.op) // Found function symbol, return it
6          case t:Var      => FPA      // Found variable, return A
7      }
8      case p :: ps => term match {
9          case t:FunTerm => try {extractFeature(t.args(p), ps) }
10             //Attempted to index non-existent position, return N
11             catch {case e:IndexOutOfBoundsException => FPN}
12             // Found variable BEFORE end of position, return B
13          case t:Var      => FPB
14      }
15  }

```

Listing 1: Scala code to extract fingerprint features for matching.

### 3.2.2 Adding Terms to the Index

HashMap tree structure!!

```

1  /** Algebraic Data type for our index. Either we are at a leaf (set of terms)
2   * or must continue traversal via the map (which should map to a new Index). */
3  sealed abstract class Index
4  case class Leaf(set: Set[Term]) extends Index
5  case class Node(map: HashMap[FPFeature, Index]) extends Index

```

Listing 2: Data type for the actual term index. [Schulz 2012, p7]

### 3.2.3 Retrieving Compatible terms

To compare two fingerprints with each other we look at them side-by-side and check that each position shows a Y in the Fingerprint unification table.

```

1  /** Check two Fingerprint features for compatibility based
2      * on the unification table (See page 6 of [Schulz 2012]).
3      * This table is reduced to 4 cases:
4      * - True if Features are equal,
5      * - True if at least one Feature is B,
6      * - True if at least one Feature is A; but no Ns,
7      * - False otherwise */
8  def compareFeaturesForUnification
9      (a:FPFeature, b:FPFeature) : Boolean =
10      (a == b) ||
11      (Set(a,b) match {
12          case x if (x contains FPB) => true
13          case x if (x contains FPA) => !(x contains FPN)
14          case _ => false})

```

Listing 3: Scala implementation of the Fingerprint unification table. [Schulz 2012, p6]

```

1  private def retrieveCompatible
2      (t: Term, fp: Fingerprint, index: Index) : TermSet =
3      (fp, index) match {
4          //Collect all compatible (Feature,Index) pairs and continue traversal
5          case (fp::fps, Node(map)) => (for ((k,v) <- map if compare(fp, k))
6              yield retrieveCompatible(t, fps, v))
7          //Collapse all retrieved sets together with the union operator (:::)
8          .foldLeft( Nil:TermSet ) ((a,b) => a ::: b)
9          case (Nil, Leaf(set)) => set
10         case (_, Node(_)) => throw new IllegalArgumentException
11             ("Fingerprint is over but we are not at a leaf")
12         case (_, Leaf(_)) => throw new IllegalArgumentException
13             ("Reached a leaf but Fingerprint is not over")
14     }

```

Listing 4: Scala code to collect compatible terms from the index.

### 3.2.4 Matching with Subterms

discuss how we are required to match against subterms. Requires significant modification to the fingerprint indexer

So our indexer will now be capable of comprehensively indexing all subterms as required. However; this ability has been introduced at the cost of many grave errors which (if not detected at this stage)

#### 3.2.4.1 Data loss

In listing 2 we presented the actual data structure for storing indexed Terms. It presented the leaves of this index as simply a set of term objects; but this is actually no longer sufficient for our current uses. Now that we are indexing full subterms we must be able to extract the original parent term; which is impossible given only the low-level subterm representation.

#### 3.2.4.2 Non-unique Storage

It is possible to fix our data loss issue by giving each Expression a pointer to its parent Expression (see Figure 3.1). However, as our index currently only keeps a Set of Terms, If multiple terms possessing the same subterm are ever indexed we will only keep one of them; losing any representation of the other!

#### 3.2.4.3

### 3.2.5 Term Tracing

All the above issues can be solved by adding *Term Traces*.

Term tracing also solves a horrendous error introduced by matching subterms.

By indexing subterms we lose information. Must construct a trace in order to restore all required data

### 3.2.6 Unit Testing with ScalaTest

As with any component of a large software project; it is vital to ensure that the Fingerprint Index functions on its own. Otherwise if there are issues when adding the indexer to functional use there will be no way of knowing what component is causing the problem.

## 3.3 Adding Indexing to *Beagle*

### 3.3.1 Refactoring Current Implementation

Actually making use of our indexer class will require significant modification to *beagle*'s structure and proving sequence.

Refer to class and flow diagrams from 3.2

### 3.3.2 Initial Problems

## 3.4 Extending the Indexer

With *beagle* now fully indexing terms for superposition

### 3.4.1 Matching and Simplification in *Beagle*

### 3.4.2 Generalising our FingerprintIndex

explain available options, their reasoning and their implementations.

### 3.4.3 Applying new Indices to Simplification

## 3.5 Tailoring to *Beagle*'s Heirachic Superposition with Weak Abstraction Calculus

In this section we discuss the thought process in developing and implementing extensions to Fingerprint Indexing in order to better tailor it to *beagle*'s rather unique logical calculus.

### 3.5.1 Foreground and Background Terms

In the Heirachic Superposition with Weak Abstraction Calculus all terms have a concept of being 'Foreground' or 'Background'. In Section 2.5 we discussed this concept; referring to it as the *layer* of a term. It is worht noting at this stage that computing the layer of a term is cheap (or rather, zero, as it is computed on the fly during term generation and stored for later use).

Recall the four original fingerprint feature symbols from 2.3:

- *f*: arbitrary constant function symbols.
- **A**: Variable at the exact position.
- **B**: A variable could be expanded to meet the position.
- **N**: Position can never exist regardless of variable assignment.

We introduce two new fingerprint features: **A+** and **B+**. These symbols will be used for the same purpose as the original **A** and **B**, but only for '*background*' or '*abstraction*' variables. These variables can only be used for pure background terms; a fact we may use to restrict the possible matches for unification.

The layeredness of function symbols is also relevant to our comparison. *f+* in the following table signifies a position where the entire subterm from this position downwards is 'pure background'. Keep in mind that this definition is slightly different to the definition for **A+** and **B+**; as we must consider all function symbols below *f* itself.

At this point it is important to note that these added fingerprint features slightly modify the original **A**, **B** and  $f$  features. These features will now only represent the foreground layered positions.

Table 3.1 displays the unification table with our new background feature symbols. The table has grown to be a considerable size. Refer to the original unification table (Table 2.1) for an in-depth explanation of how this table should be interpreted [Schulz 2012].

**Table 3.1:** Fingerprint matches for unification; extended by considering term layers.

|           | $f_1$ | $f_2$ | <b>A</b> | <b>B</b> | <b>N</b> | $f_1+$ | $f_2+$ | <b>A+</b> | <b>B+</b> |
|-----------|-------|-------|----------|----------|----------|--------|--------|-----------|-----------|
| $f_1$     | Y     | N     | Y        | Y        | N        | N      | N      | N         | N         |
| $f_2$     | N     | Y     | Y        | Y        | N        | N      | N      | N         | N         |
| <b>A</b>  | Y     | Y     | Y        | Y        | N        | Y      | Y      | Y         | Y         |
| <b>B</b>  | Y     | Y     | Y        | Y        | Y        | Y      | Y      | Y         | Y         |
| <b>N</b>  | N     | N     | N        | Y        | Y        | N      | N      | N         | Y         |
| $f_1+$    | N     | N     | Y        | Y        | N        | Y      | N      | Y         | Y         |
| $f_2+$    | N     | N     | Y        | Y        | N        | N      | Y      | Y         | Y         |
| <b>A+</b> | N     | N     | Y        | Y        | N        | Y      | Y      | Y         | Y         |
| <b>B+</b> | N     | N     | Y        | Y        | Y        | Y      | Y      | Y         | Y         |

Note that as this table is for unification it is symmetric along the leading diagonal (as in the original unification table); so we need only discuss the lower triangle of the matrix. Furthermore, notice that the bottom right segment of the table is actually identical to the original unification table. This is expected as when we compare two pure background features the comparison behaves normally.

We will justify the new section of the table line by line:

- Background function symbols ( $f+$ ): Recall that this feature is only applicable if the entire subterm below  $f$  is pure background. Therefore it does not match the foreground version of the same symbol. It does however match both **A** and **B**. This is required since these symbols still match ‘*impure*’ background variables; which may be expanded to either foreground or pure background terms.
- Abstraction variables (**A+**): Similarly to the pure background function symbol feature, this feature cannot match any terms which sit in the foreground. It can however match both **A** and **B** as they may represent either foreground or background expressions.
- Potential expansion of an abstraction variable **B+**: Same as for **A+** but can also match **N**.

To go with this table we present its corresponding Scala matching code in Listing 5. Unfortunately the steep increase in table size results in the amount of code required exploding. It also becomes impossible to use our earlier trick of Set matching;



due to the need for parametrised Fingerprint symbols (i.e. **A**+ and **B**+ represented as **FPA**(true) and **FPB**(true) ).

```

1  /** Check two Fingerprint features for compatibility based
2    * on the *extended* unification table (See table in report).*/
3  def compareFeaturesForUnification
4    (a:FPFeature, b:FPFeature) : Boolean =
5    (a,b) match {
6      case (FPF(f1), FPF(f2))    => (f1.op == f2.op) &&
7                                   (if (f1.isFG || f2.isFG)
8                                     (!f1.isPureBG && !f2.isPureBG)
9                                     else true)
10     case (FPF(f), FPB(true)) => f.isPureBG
11     case (FPB(true), FPF(f)) => f.isPureBG
12     case (_, FPB(_))         => true
13     case (FPB(_), _)         => true
14     case (FPF(f), FPA(true)) => f.isPureBG
15     case (FPA(true), FPF(f)) => f.isPureBG
16     case (FPN, FPA(_))       => false
17     case (FPA(_), FPN)       => false
18     case (_, FPA(_))         => true
19     case (FPA(_), _)         => true
20     case (FPN, FPN)          => true
21     case _                   => false
22   }

```

Listing 5: Scala code to extract fingerprint features for extended layer matching.



# Results

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## 4.1 Beagle Before Indexing

## 4.2 Indexing Metrics

### 4.2.1 Problem Selection

Not going to run everything against all of TPTP. Will take out a subset of TPTP (25–50 problems) run them against this set. Show the set and justify inclusions/exclusions

### 4.2.2 Speed

### 4.2.3 False Positives

Explain this metric and how it impacts performance

## 4.3 Indexing Subsumption

### 4.3.1 Metric Results

We observe many, even after a myriad of optimisations. Notice that this is due to the structure of beagle; many retrieved terms are cheaply thrown out due to other conditions (such as being a parent term, being ordered, etc.) and do not significantly impact performance.

Refer to email from Stephan. compare false positive results

#### **4.3.2 Comparison**

### **4.4 Indexing Simplification and Matching**

#### **4.4.1 Further Instrumentation**

#### **4.4.2 *Beagle* with Simplification Improvements**

### **4.5 Tailored Improvements**

#### **4.5.1 Layer Checking**

#### **4.5.2 Metric Results**

---

# Conclusion

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## 5.1 Why this is a Very Clever Thesis



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## Result Tables for TPTP Selection

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## Full TPTP run?

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