#### Neural Networks and Deep Learning- Michael Nielsen CH6 - CNN

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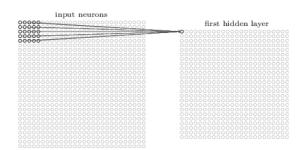
- Intro
  - deep neural networks are much more powerful but often much harder to train than shallow neural networks
  - in this CH
    - to develop techniques which can be used to train deep networks, and apply them in practice.
    - briefly reviewing recent progress on using deep nets for image recognition, speech recognition, and other applications.
    - take a brief, speculative look at what the future may hold for neural nets, and for artificial intelligence
    - introduce deep convolutional networks
  - the focus
    - is on understanding some of the core principles behind deep neural networks
    - fundamentals, and so to prepare you to understand a wide range of current work.
  - Key techniques in CNN
    - convolutions,
    - pooling,
    - the use of GPUs to do far more training
    - the algorithmic expansion of our training data (to reduce overfitting),
    - the use of the dropout technique (also to reduce overfitting),
    - the use of ensembles of networks,
    - and others.

## Introducing convolutional networks

- Background
  - Previously, we use fully-connected NN in which every neuron in the network is connected to every neuron in adjacent layers
  - But The weird thing is that such a network architecture

does not take into account the spatial structure of the images

- e.g. treat the far and close pixel as the same
- so introduce CNN
- Intro
  - CNN use a special architecture which is **particularly** well-adapted to classify images.
  - Using this architecture makes convolutional networks
     fast to train
- three basic ideas of CNN: *local receptive fields*, *shared weights*, and *pooling*.
  - Local receptive fields
    - each neuron in the first hidden layer will be connected to a small region of the input neurons, say, e.g., a  $5\times5$  region
    - That region in the input image is called the *local receptive field* for the hidden neuron.



- parameters
  - stride length
  - local receptive fields size
  - size of the 1st hidden layer:
    (#input -stride length + 1) ^ 2

$$(28-5+1) ^2 = 24 x$$

24

Shared weights and biases

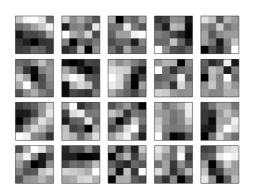
- how it is
  - weights and bias for each of the 24×24 hidden neurons, i.e. each neuron has exactly the same weights and bias as another in the same layer, i.e. one feature map has one set of weights and one bias
- the meaning of shared weights and bias
  - This means that all the neurons in the first hidden layer detect exactly the same feature, just at different locations in the input image.
  - why do this
    - it is useful to apply the same feature detector everywhere in the image so that one layer detects one specific feature
    - this makes CNN well adapted to the translation invariance of images: move a

picture of a cat (say) a little ways, and it's still an image of a cat

- Terms
  - feature map: The map from the input layer to the hidden layer
  - shared weights, shared bias
  - The shared weights and bias are often said to define a kernel or filter.
- To do image recognition we'll need more than one feature map.
  - so a complete convolutional layer consists of several different feature maps, i.e.

several conv layers

• e.g. 20 maps



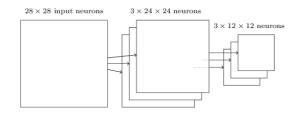
 It's clear there is spatial structure here beyond what we'd expect at random: many of the features have clear sub-regions of light and dark. this shows our network really is learning things related to the spatial structure, even if we don't clearly know what they are.

- If you're interested in following up on that work, I suggest starting with the paper
   Visualizing and
   Understanding
   Convolutional Networks
   by Matthew Zeiler and Rob Fergus (2013)
- A big advantage of sharing weights and biases
  - it greatly reduces the number of parameters involved in a convolutional network.

- CNN:  $(5 \times 5 + 1) \times 20$ = 520, only 1/3
- fully-con:  $(28 \times 28 + 1) \times 20 = 15,700$
- intuitively, it seems likely that the use of translation invariance by the convolutional layer will reduce the number of parameters it needs to get the same performance as the fullyconnected model.
- Pooling layers
  - where to use
    - Pooling layers are usually used immediately after convolutional layers.
  - what it does
    - to simplify the information in the output from the convolutional layer. In detail, to prepares a condensed feature map from feature map
    - e.g. max pooling with 2x2 region
      - get a 12 x 12

## condensed feature map

 We apply max-pooling to each feature map separately



o We can think of maxpooling as a way for the
network to ask whether a
given feature is found
anywhere in a region of the
image. It then throws away
the exact positional
information since its exact
location isn't as important as
its rough location relative to
other features. So there're
fewer pooled features and
thus fewer parameters
required in later layers

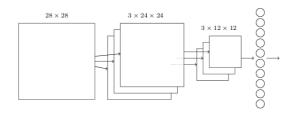
## • L2 pooling

- works and means the same as max pooling
- it takes the square root of the sum of the squares of the activations in the n×n region.
- and there're others. If you like, try

## them out with a validation set

#### • Putting it all together

#### the architecture



#### elements/hypeparameters in this CNN

### Input layer

- begins with 28×28 input neurons, which are used to encode the pixel intensities for the MNIST image.
- then followed by a convolutional layer
  - using a 5×5 local receptive field and 3 feature maps.
  - The result is a layer of 3×24×24 hidden feature neurons.
- The next step is a max-pooling layer,
  - applied to 2×2 regions,
    across each of the 3 feature
    maps.
  - The result is a layer of 3×12×12 hidden feature neurons.
- Output layer
  - is a fully-connected layer i.e.

this layer connects *every* neuron from the max-pooled layer to every one of the 10 output neurons.

- compare to fully-connected NN
  - This convolutional architecture is quite different
  - But the overall picture is similar: a network made of many simple units, whose behaviors are determined by their weights and biases.
  - the overall goal is still the same: to use training data to train the network's weights and biases so that the network does a good job classifying input digits.
  - as earlier in the book, we will train our network using stochastic gradient descent and backpropagation, but with a little modification to the derivation for convolutional and maxpooling layers of backpropagation

## . Convolutional neural networks in practice

- intro
  - still the MNIST digit classification problem.
  - the code is available on GitHub
  - now we use a machine learning library known as Theano
    - features
      - makes it easy to implement

backpropagation for convolutional neural networks, since it automatically computes all the mappings involved.

- Theano is also quite a bit faster than our earlier code
- it can run code on either a CPU or, if available, a GPU.
  - Running on a GPU
    provides a substantial
    speedup which helps
    make it practical to train
    more complex networks.

#### • misc

- To install Theano, follow the instructions at the project's homepage.
- to get Theano up and running on a GPU you may find the instructions here
- If you don't have a GPU available locally, then you may wish to look into <u>Amazon Web</u>
   <u>Services</u> EC2 G2 spot instances.
- If you're using a CPU, you may wish to reduce the number of

training epochs for the more complex experiments, or perhaps omit them entirely

#### a fully-connected NN

- I obtained a best classification accuracy of 97.80 percent.
  - ??? Using the validation data to decide when to evaluate the test accuracy helps avoid overfitting to the test data (see this earlier discussion of the use of validation data).
  - fully-connected NN: 98.04
     percent accuracy obtained from a similar network architecture and learning hyper-parameters
- difference between these two NN
  - previously, <u>regularized</u> the earlier network to help reduce the effects of overfitting.
    - Regularizing the current network does improve the accuracies, but the gain is only small, and so we'll hold off worrying about regularization until

### later.

- used sigmoid activations and the cross-entropy cost function, the current network uses a softmax final layer, and the loglikelihood cost function.
  - I haven't made this switch for any particularly deep reason
     mostly, I've done it because softmax plus log-likelihood cost is more common in modern image classification networks.
- with on convolutional pooling layer
  - That gets us to 98.78 percent accuracy, which is a considerable improvement over any of our previous results. we've reduced our error rate by better than a third, which is a great improvement.
  - understanding
    - In this architecture, we can think of the convolutional and pooling layers as learning about local spatial structure in the

input training image

- while the later, fully-connected layer learns at a more abstract level, integrating global information from across the entire image.
- This is a common pattern in convolutional neural networks.
- inserting anther convolutional layer with same parameters after the previous one
  - we're now at 99.06 percent classification accuracy!
  - what does it even mean to apply a second convolutional-pooling layer?
    - you can think of the second convolutional-pooling layer as having as input 12×12 "images", whose "pixels" represent the presence (or absence) of particular localized features in the original input image.
    - These "images" are abstracted and condensed, but still has a lot of spatial structure, and so it makes sense to use a second convolutional-pooling layer.
  - How should neurons in the second convolutional-pooling layer respond to

these multiple input "images", 20 "images"?

- the feature detectors in the second convolutional-pooling layer have access to *all* the features from the previous layer, but only within their particular local receptive field
- just as access to rgb 3 channels in color images

#### Using tanh

- Personally, I did not find much advantage in switching to tanh, although I haven't experimented exhaustively
- tanh can be a little faster than sigmoid. but as ReLU almost always outperforms sigmoid and tanh, we choose ReLU instead.
- Using rectified linear units with 12 regularization on the previous two convolutional pooling layer NN
  - classification accuracy: 99.23 percent
    - It's a modest improvement over the sigmoid results (99.06)
    - across all my experiments I found that networks based on rectified linear units consistently outperformed networks based on sigmoid activation functions.
  - the reason
    - on the basis of hunches or

heuristic arguments, no clear answers so far

#### • Expanding the training data

- Another way we may hope to improve our results is by **algorithmically expanding the training data**.
- A simple way of expanding the training data is
  - to displace each training
     image by a single pixel, either
     up one pixel, down one pixel, left
     one pixel, or right one pixel.
  - · 50,000 => 250,000
  - But, in fact, expanding the data turned out to considerably reduce the effect of overfitting.
  - 99.37 percent training accuracy.
- in 2003 Simard, Steinkraus and Platt
  - 99.6 percent
  - They did this by rotating, translating, and skewing the MNIST training images
  - and a process of "elastic distortion", a way of emulating the random oscillations hand muscles undergo when a person is writing

## Problem

- It may seem surprising, then, that our network can learn more when all we've done is translate the input data. Can you explain why this is actually quite reasonable?
- Inserting an extra fully-connected layer
  - to expand the size of the fully-connected layer, 100 originally
    - I tried with 300 and 1,000 neurons, obtaining results of 99.46 and 99.43 percent, respectively. That's interesting, but not really a convincing win over the earlier result (99.37 percent).
  - adding an extra fully-connected layer
    - 100-hidden neuron
      - 99.43 percent
      - Again, the expanded net isn't helping so much
    - 300 and 1,000 neurons
      - 99.48 and 99.47 percent.
      - That's encouraging,
         but still falls short of a

## really decisive win.

- Is it that the expanded or extra fully-connected layers really don't help with MNIST? Or might it be that our network has the capacity to do better, but we're going about learning the wrong way?
- try stronger regularization techniques, dropout, to reduce the tendency to overfit.
  - the basic idea of dropout is to remove individual activations at random while training the network. This makes the model more robust to the loss of individual pieces of evidence, and thus less likely to rely on particular idiosyncracies of the training data.
  - 0.5 keepprob dropout applied to the two FullyConnectedLayer
    - accuracy of 99.60
       percent, which is a
       substantial
       improvement over our
       earlier results
  - There are two changes worth

noting.

- reduce epochs from 60 to 40
  - dropout
    reduced
    overfitting, and
    so we learned
    faster.
- the fully-connected hidden layers have
  1,000 neurons, not the
  100 used earlier.
  - Of course, dropout effectively omits many of the neurons while training, so some expansion is to be expected.

# 300 hidden neurons work very slightly worse

- Why we only applied dropout to the fully-connected layers
  - Because the convolutional layers have considerable inbuilt resistance to overfitting
  - The reason is that the shared weights mean that convolutional filters are forced to learn from across the entire image. This makes them less likely to pick up on local idiosyncracies in the training data.
- Using an ensemble of networks
  - the basic idea it to create several neural networks, and then get them to vote to determine the best classification.
  - e.g. 5 different neural networks using the prescription above
    - 99.67 percent accuracy
  - why this help
    - Even though the networks
       would all have similar accuracies,
       they might well make different

errors, due to the different random initializationss so the accurancy might be better

this kind of ensembling is a common trick with both neural networks and other machine learning techniques.

- when the accuracy is high, check the training data
  - Our network is getting near to human performance. Since even a careful human makes the occasional mistake

#### Going further

- Rodrigo Benenson has compiled an informative summary page, showing progress over the years
  - If you dig through the papers you'll find many interesting techniques, and you may enjoy implementing some of them.
- Why are we able to train deep net like the above?
  - problem
    - we saw that the gradient tends to be quite unstable, tending to either vanish or explode. Since the gradient is the signal we use to train, this causes problems.

- How have we avoided those problems?
  - we haven't. Instead, we've done
     a few things that help us
     proceed anyway.
    - (1) Using convolutional layers greatly reduces #parameters in those layers, making the learning problem much easier;
    - (2) Using more powerful regularization techniques (notably dropout and convolutional layers) to reduce overfitting, which is otherwise more of a problem in more complex networks;
    - (3) Using rectified linear units instead of sigmoid neurons, to speed up training -

empirically, often by a factor of **3-5**;

- (4) Using GPUs and being willing to train for a long period of time.
- and other ideas
  - making use of sufficiently large data sets (to help avoid overfitting);
  - using the right cost function (to avoid a learning slowdown);
  - using good weight initializations (also to avoid a learning slowdown, due to neuron saturation);
  - algorithmically
     expanding the training
     data.
- What can be called a deep networks, anyway?
  - DNN > 2 layers
  - The real breakthrough in deep learning was to realize that it's practical to go

beyond the shallow 1- and 2-hidden layer networks that dominated work until the mid-2000s.

• But beyond that, the number of layers is not of primary fundamental interest.
Rather, the use of deeper networks is a tool to use to help achieve other goals - like better classification accuracies.

#### A word on procedure

- I've presented a cleaned-up narrative, omitting many experiments including many failed experiments.
- Getting a good, working network can involve a lot of trial and error, and occasional frustration. In practice, you should expect to engage in quite a bit of experimentation.
- read more in <u>how to choose a neural</u> <u>network's hyper-parameters</u>,