
CONTENTS

Preface	xix
1 Introduction	1
1-1 Elements of a Digital Communication System	1
1-2 Communication Channels and Their Characteristics	3
1-3 Mathematical Models for Communication Channels	11
1-4 A Historical Perspective in the Development of Digital Communications	13
1-5 Overview of the Book	16
1-6 Bibliographical Notes and References	16
2 Probability and Stochastic Processes	17
2-1 Probability	17
2-1-1 Random Variables, Probability Distributions, and Probability Densities	22
2-1-2 Functions of Random Variables	28
2-1-3 Statistical Averages of Random Variables	33
2-1-4 Some Useful Probability Distributions	37
2-1-5 Upper bounds on the Tail Probability	53
2-1-6 Sums of Random Variables and the Central Limit Theorem	58
2-2 Stochastic Processes	62
2-2-1 Statistical Averages	64
2-2-2 Power Density Spectrum	67
2-2-3 Response of a Linear Time-Invariant System to a Random Input Signal	68
2-2-4 Sampling Theorem for Band-Limited Stochastic Processes	72
2-2-5 Discrete-Time Stochastic Signals and Systems	74
2-2-6 Cyclostationary Processes	75
2-3 Bibliographical Notes and References	77
Problems	77

3	Source Coding	82
3-1	Mathematical Models for Information	82
3-2	A Logarithmic Measure of Information	84
3-2-1	Average Mutual Information and Entropy	87
3-2-2	Information Measures for Continuous Random Variables	91
3-3	Coding for Discrete Sources	93
3-3-1	Coding for Discrete Memoryless Sources	94
3-3-2	Discrete Stationary Sources	103
3-3-3	The Lempel–Ziv Algorithm	106
3-4	Coding for Analog Sources—Optimum Quantization	108
3-4-1	Rate-Distortion Function	108
3-4-2	Scalar Quantization	113
3-4-3	Vector Quantization	118
3-5	Coding Techniques for Analog Sources	125
3-5-1	Temporal Waveform Coding	125
3-5-2	Spectral Waveform Coding	136
3-5-3	Model-Based Source Coding	138
3-6	Bibliographical Notes and References	144
	Problems	144
4	Characterization of Communication Signals and Systems	152
4-1	Representation of Bandpass Signals and Systems	152
4-1-1	Representation of Bandpass Signals	153
4-1-2	Representation of Linear Bandpass Systems	157
4-1-3	Response of a Bandpass System to a Bandpass Signal	157
4-1-4	Representation of Bandpass Stationary Stochastic Processes	159
4-2	Signal Space Representation	163
4-2-1	Vector Space Concepts	163
4-2-2	Signal Space Concepts	165
4-2-3	Orthogonal Expansions of Signals	165
4-3	Representation of Digitally Modulated Signals	173
4-3-1	Memoryless Modulation Methods	174
4-3-2	Linear Modulation with Memory	186
4-3-3	Nonlinear Modulation Methods with Memory	190
4-4	Spectral Characteristics of Digitally Modulated Signals	203
4-4-1	Power Spectra of Linearly Modulated Signals	204
4-4-2	Power Spectra of CPFSK and CPM Signals	209
4-4-3	Power Spectra of Modulated Signals with Memory	220
4-5	Bibliographical Notes and References	223
	Problems	224
5	Optimum Receivers for the Additive White Gaussian Noise Channel	233
5-1	Optimum Receiver for Signals Corrupted by AWGN	233
5-1-1	Correlation Demodulator	234
5-1-2	Matched-Filter Demodulator	238

5-1-3	The Optimum Detector	244
5-1-4	The Maximum-Likelihood Sequence Detector	249
5-1-5	A Symbol-by-Symbol MAP Detector for Signals with Memory	254
5-2	Performance of the Optimum Receiver for Memoryless Modulation	257
5-2-1	Probability of Error for Binary Modulation	257
5-2-2	Probability of Error for M -ary Orthogonal Signals	260
5-2-3	Probability of Error for M -ary Biorthogonal Signals	264
5-2-4	Probability of Error for Simplex Signals	266
5-2-5	Probability of Error for M -ary Binary-Coded Signals	266
5-2-6	Probability of Error for M -ary PAM	267
5-2-7	Probability of Error for M -ary PSK	269
5-2-8	Differential PSK (DPSK) and its Performance	274
5-2-9	Probability of Error for QAM	278
5-2-10	Comparison of Digital Modulation Methods	282
5-3	Optimum Receiver for CPM Signals	284
5-3-1	Optimum Demodulation and Detection of CPM	285
5-3-2	Performance of CPM Signals	290
5-3-3	Symbol-by-Symbol Detection of CPM Signals	296
5-4	Optimum Receiver for Signals with Random Phase in AWGN Channel	301
5-4-1	Optimum Receiver for Binary Signals	302
5-4-2	Optimum Receiver for M -ary Orthogonal Signals	308
5-4-3	Probability of Error for Envelope Detection of M -ary Orthogonal Signals	308
5-4-4	Probability of Error for Envelope Detection of Correlated Binary Signals	312
5-5	Regenerative Repeaters and Link Budget Analysis	313
5-5-1	Regenerative Repeaters	314
5-5-2	Communication Link Budget Analysis	316
5-6	Bibliographical Notes and References	319
	Problems	320
6	Carrier and Symbol Synchronization	333
6-1	Signal Parameter Estimation	333
6-1-1	The Likelihood Function	335
6-1-2	Carrier Recovery and Symbol Synchronization in Signal Demodulation	336
6-2	Carrier Phase Estimation	337
6-2-1	Maximum-Likelihood Carrier Phase Estimation	339
6-2-2	The Phase-Locked Loop	341
6-2-3	Effect of Additive Noise on the Phase Estimate	343
6-2-4	Decision-Directed Loops	347
6-2-5	Non-Decision-Directed Loops	350
6-3	Symbol Timing Estimation	358
6-3-1	Maximum-Likelihood Timing Estimation	359
6-3-2	Non-Decision-Directed Timing Estimation	361

6-4	Joint Estimation of Carrier Phase and Symbol Timing	365
6-5	Performance Characteristics of ML Estimators	367
6-6	Bibliographical Notes and References	370
	Problems	371

7	Channel Capacity and Coding	374
7-1	Channel Models and Channel Capacity	375
7-1-1	Channel Models	375
7-1-2	Channel Capacity	380
7-1-3	Achieving Channel Capacity with Orthogonal Signals	387
7-1-4	Channel Reliability Functions	389
7-2	Random Selection of Codes	390
7-2-1	Random Coding Based on M -ary Binary-Coded Signals	390
7-2-2	Random Coding Based on M -ary Multi-amplitude Signals	397
7-2-3	Comparison of R_0^* with the Capacity of the AWGN Channel	399
7-3	Communication System Design Based on the Cutoff Rate	400
7-4	Bibliographical Notes and References	406
	Problems	406

8	Block and Convolutional Channel Codes	413
8-1	Linear Block Codes	413
8-1-1	The Generator Matrix and the Parity Check Matrix	417
8-1-2	Some Specific Linear Block Codes	421
8-1-3	Cyclic Codes	423
8-1-4	Optimum Soft-Decision Decoding of Linear Block Codes	436
8-1-5	Hard-Decision Decoding	445
8-1-6	Comparison of Performance between Hard-Decision and Soft-Decision Decoding	456
8-1-7	Bounds on Minimum Distance of Linear Block Codes	461
8-1-8	Nonbinary Block Codes and Concatenated Block Codes	464
8-1-9	Interleaving of Coded Data for Channels with Burst Errors	468
8-2	Convolutional Codes	470
8-2-1	The Transfer Function of a Convolutional Code	477
8-2-2	Optimum Decoding of Convolutional Codes— The Viterbi Algorithm	483
8-2-3	Probability of Error for Soft-Decision Decoding	486
8-2-4	Probability of Error for Hard-Decision Decoding	489
8-2-5	Distance Properties of Binary Convolutional Codes	492
8-2-6	Nonbinary Dual- k Codes and Concatenated Codes	492
8-2-7	Other Decoding Algorithms for Convolutional Codes	500
8-2-8	Practical Considerations in the Application of Convolutional Codes	506
8-3	Coded Modulation for Bandwidth-Constrained Channels	511
8-4	Bibliographical Notes and References	526
	Problems	528

9	Signal Design for Band-Limited Channels	534
9-1	Characterization of Band-Limited Channels	534
9-2	Signal Design for Band-Limited Channels	540
9-2-1	Design of Band-Limited Signals for No Intersymbol Interference—The Nyquist Criterion	542
9-2-2	Design of Band-Limited Signals with Controlled ISI—Partial-Response Signals	548
9-2-3	Data Detection for Controlled ISI	551
9-2-4	Signal Design for Channels with Distortion	557
9-3	Probability of Error in Detection of PAM	561
9-3-1	Probability of Error for Detection of PAM with Zero ISI	561
9-3-2	Probability of Error for Detection of Partial-Response Signals	562
9-3-3	Probability of Error for Optimum Signals in Channel with Distortion	565
9-4	Modulation Codes for Spectrum Shaping	566
9-5	Bibliographical Notes and References	576
	Problems	576
10	Communication through Band-Limited Linear Filter Channels	583
10-1	Optimum Receiver for Channels with ISI and AWGN	584
10-1-1	Optimum Maximum-Likelihood Receiver	584
10-1-2	A Discrete-Time Model for a Channel with ISI	586
10-1-3	The Viterbi Algorithm for the Discrete-Time White Noise Filter Model	589
10-1-4	Performance of MLSE for Channels with ISI	593
10-2	Linear Equalization	601
10-2-1	Peak Distortion Criterion	602
10-2-2	Mean Square Error (MSE) Criterion	607
10-2-3	Performance Characteristics of the MSE Equalizer	612
10-2-4	Fractionally Spaced Equalizer	617
10-3	Decision-Feedback Equalization	621
10-3-1	Coefficient Optimization	621
10-3-2	Performance Characteristics of DFE	622
10-3-3	Predictive Decision-Feedback Equalizer	626
10-4	Bibliographical Notes and References	628
	Problems	628
11	Adaptive Equalization	636
11-1	Adaptive Linear Equalizer	636
11-1-1	The Zero-Forcing Algorithm	637
11-1-2	The LMS algorithm	639
11-1-3	Convergence Properties of the LMS Algorithm	642
11-1-4	Excess MSE Due to Noisy Gradient Estimates	644
11-1-5	Baseband and Passband Linear Equalizers	648
11-2	Adaptive Decision-Feedback Equalizer	649
11-2-1	Adaptive Equalization of Trellis-Coded Signals	650

11-3	An Adaptive Channel Estimator for ML Sequence Detection	652
11-4	Recursive Least-Squares Algorithms for Adaptive Equalization	654
11-4-1	Recursive Least-Squares (Kalman) Algorithm	656
11-4-2	Linear Prediction and the Lattice Filter	660
11-5	Self-Recovering (Blind) Equalization	664
11-5-1	Blind Equalization Based on Maximum-Likelihood Criterion	664
11-5-2	Stochastic Gradient Algorithms	668
11-5-3	Blind Equalization Algorithms Based on Second- and Higher-Order Signal Statistics	673
11-6	Bibliographical Notes and References	675
	Problems	676
12	Multichannel and Multicarrier Systems	680
12-1	Multichannel Digital Communication in AWGN Channels	680
12-1-1	Binary Signals	682
12-1-2	M -ary Orthogonal Signals	684
12-2	Multicarrier Communications	686
12-2-1	Capacity of a Non-Ideal Linear Filter Channel	687
12-2-2	An FFT-Based Multicarrier System	689
12-3	Bibliographical Notes and References	692
	Problems	693
13	Spread Spectrum Signals for Digital Communications	695
13-1	Model of Spread Spectrum Digital Communication System	697
13-2	Direct Sequence Spread Spectrum Signals	698
13-2-1	Error Rate Performance of the Decoder	702
13-2-2	Some Applications of DS Spread Spectrum Signals	712
13-2-3	Effect of Pulsed Interference on DS Spread Spectrum Systems	717
13-2-4	Generation of PN Sequences	724
13-3	Frequency-Hopped Spread Spectrum Signals	729
13-3-1	Performance of FH Spread Spectrum Signals in AWGN Channel	732
13-3-2	Performance of FH Spread Spectrum Signals in Partial-Band Interference	734
13-3-3	A CDMA System Based on FH Spread Spectrum Signals	741
13-4	Other Types of Spread Spectrum Signals	743
13-5	Synchronization of Spread Spectrum Signals	744
13-6	Bibliographical Notes and References	752
	Problems	753
14	Digital Communication through Fading Multipath Channels	758
14-1	Characterization of Fading Multipath Channels	759
14-1-1	Channel Correlation Functions and Power Spectra	762
14-1-2	Statistical Models for Fading Channels	767

14-2	The Effect of Characteristics on the Choice of a Channel Model	770
14-3	Frequency-Nonselective, Slowly Fading Channel	772
14-4	Diversity Techniques for Fading Multipath Channels	777
14-4-1	Binary Signals	778
14-4-2	Multiphase Signals	785
14-4-3	M -ary Orthogonal Signals	787
14-5	Digital Signaling over a Frequency-Selective, Slowly Fading Channel	795
14-5-1	A Tapped-Delay-Line Channel Model	795
14-5-2	The RAKE Demodulator	797
14-5-3	Performance of RAKE Receiver	798
14-6	Coded Waveforms for Fading Channels	806
14-6-1	Probability of Error for Soft-Decision Decoding of Linear Binary Block Codes	808
14-6-2	Probability of Error for Hard-Decision Decoding of Linear Binary Block Codes	811
14-6-3	Upper Bounds on the Performance of Convolutional Codes for a Raleigh Fading Channel	811
14-6-4	Use of Constant-Weight Codes and Concatenated Codes for a Fading Channel	814
14-6-5	System Design Based on the Cutoff Rate	825
14-6-6	Trellis-Coded Modulation	830
14-7	Bibliographical Notes and References	832
	Problems	833
15	Multiusers Communications	840
15-1	Introduction to Multiple Access Techniques	840
15-2	Capacity of Multiple Access Methods	843
15-3	Code-Division Multiple Access	849
15-3-1	CDMA Signal and Channel Models	849
15-3-2	The Optimum Receiver	851
15-3-3	Suboptimum Detectors	854
15-3-4	Performance Characteristics of Detectors	859
15-4	Random Access Methods	862
15-4-1	ALOHA System and Protocols	863
15-4-2	Carrier Sense Systems and Protocols	867
15-5	Bibliographical Notes and References	872
	Problems	873
Appendix A	The Levinson–Durbin Algorithm	879
Appendix B	Error Probability for Multichannel Binary Signals	882

Appendix C	Error Probabilities for Adaptive Reception of M-phase Signals	887
C-1	Mathematical Model for an M -phase Signaling Communications System	887
C-2	Characteristic Function and Probability Density Function of the Phase θ	889
C-3	Error Probabilities for Slowly Rayleigh Fading Channels	891
C-4	Error Probabilities for Time-Invariant and Ricean Fading Channels	893
Appendix D	Square-Root Factorization	897
	References and Bibliography	899
	Index	917