# Note for Quantum Information

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### 1 Basic definitions and tools

1. Mutually unbiased bases:

$$\{\psi_m\}_{m=0}^d, \{\phi_n\}_{n=0}^d, \langle \psi_m | \phi_n \rangle = 1/d$$
 (1.1)

2. The Pavia notation (double ket notation):

$$|\Psi\rangle\rangle = \sum_{m,n} \langle m|\Psi|n\rangle |m\rangle |n\rangle$$
 (1.2)

$$\langle\!\langle \Phi | \Psi \rangle\!\rangle = \text{Tr}[\Phi^{\dagger} \Psi] \tag{1.3}$$

$$(A \otimes B)|\Psi\rangle\rangle = |A\Psi B^T\rangle\rangle \tag{1.4}$$

$$| |\alpha\rangle\langle\beta| \rangle\rangle = |\alpha\rangle|\beta^*\rangle \tag{1.5}$$

$$\langle \alpha | \langle \beta | \Psi \rangle \rangle = \langle \alpha | \Psi | \beta^* \rangle \tag{1.6}$$

Unlike the Dirac notation, he notation is basis dependent.

3. CHSH inequality (special case of Bell inequality)

$$\omega_C = \sum_{\lambda} p(\lambda) \left[ \frac{1}{4} \sum_{a,b \in \{0,1\}} \sum_{x,y \in \{0,1\}} (-)^{x+y+ab} p_A(x|a,\lambda) p_B(y|b,\lambda) \right]$$
(1.7)

$$\leq \frac{1}{4} \sum_{a,b} (-)^{f_A(a) + f_B(b) + ab} \leq \frac{1}{2} \tag{1.8}$$

$$\omega_Q = \frac{1}{4} \sum_{a,b} \sum_{x,y} (-)^{x+y+ab} \left| \langle x, \theta_a | \langle y, \tau_b | \Psi^+ \rangle \right|^2$$
(1.9)

$$= \frac{1}{4} \sum_{a,b} (-)^{ab} \cos(\theta_a - \tau_b) \le \frac{1}{\sqrt{2}}$$
 (1.10)

- 4. Doing partial trace to get marginal states
- 5. Bell states for d dimensions:

$$|\Phi_{n,q}\rangle := (S^p M^q \otimes I) |\Phi\rangle \tag{1.11}$$

where  $S = \sum |(n+1) \mod d\rangle \langle n|$ , and  $M = \sum \exp(2\pi i n/d) |n\rangle \langle n|$ 

6. Trace-norm: Let  $\Psi: \mathcal{H}_B \to \mathcal{H}_A$  and its sigular balue decomposition (SVD):  $\Psi = \sum_n \lambda_n |\alpha_n\rangle \langle \beta_n|$ :

$$\|\Psi\|_1 := \sum_{n} |\lambda_n| \tag{1.12}$$

Alternative characterization of the trace norm:

$$\|\Psi\|_1 = \max_{V:\mathcal{H}_A \to \mathcal{H}_B, V^{\dagger}V = I_A} \text{Tr}[\Psi V]$$
(1.13)

For pure states:

$$\|\pi_0 |\psi_0\rangle \langle \psi_0| - \pi_1 |\psi_1\rangle \langle \psi_1| \|_1 = \sqrt{1 - 4\pi_0 \pi_1 |\langle \psi_0 | \psi_1\rangle|^2}$$
(1.14)

*p*-norm:

$$\|\Psi\|_p := \left(\sum_n |\lambda_n|^p\right)^{1/p} \tag{1.15}$$

- $\forall c \in \mathbb{C}. \|\Psi\| = |c| \|\Psi\|$
- $\|\Psi\| = 0 \Leftrightarrow \Psi = 0$
- $\|\Psi + \Psi'\| \le \|\Psi\| + \|\Psi'\|$
- $||M\otimes N|| = ||M|||N||$

### 2 General math model for Quantum computing

1. Quantum state as density matrix  $\rho$ :

$$\rho^{\dagger} = \rho, \quad \rho \ge 0, \quad \text{Tr}[\rho] = 1 \tag{2.1}$$

The set of all density matrices is convex. The set of all pure states is the collection of all its extreme points.

2. Quantum evolusion as Quantum channel  $\mathscr{C}(\rho)$ :

linear: 
$$\mathscr{C}(\alpha \rho_1 + \beta \rho_2) = \alpha \mathscr{C}(\rho_1) + \beta \mathscr{C}(\rho_2),$$
 (2.2)

trace-preserving: 
$$\operatorname{Tr}[\mathscr{C}(\rho)] = \operatorname{Tr}[\rho],$$
 (2.3)

completely positive: 
$$\forall \mathcal{H}_B, \rho \geq 0.\mathscr{C} \otimes \mathscr{I}_B(\rho) \geq 0$$
 (2.4)

(a) Physical implementation:

$$\mathscr{C}(\rho) = \operatorname{Tr}_{B'} \left[ U_{AB \to A'B'} (\rho_A \otimes \sigma_B) U_{AB \to A'B'}^{\dagger} \right]$$
 (2.5)

(b) Mathematical description (Kraus theorem):

$$\mathscr{C}(\rho) = \sum_{k} C_{k} \rho C_{k}^{\dagger}, \quad \text{where } \sum_{k} C_{k}^{\dagger} C_{k} = I_{A}$$
 (2.6)

(c) isometric encoding: To encode the information carried by a system A into a larger system A'.

$$\mathscr{V}(\rho) = V \rho V^{\dagger} \tag{2.7}$$

where  $V^{\dagger}V = I_A$ 

3. Quantum measurement as POVM (positive operator-valued measure)  $\{P_n\}$ :

$$p(n) := \operatorname{Tr}[P_n \rho], \quad P_n \ge 0, \quad \sum_n P_n = I$$
 (2.8)

(a) Physical implementation:

$$p(n) = \sum_{k} \langle n | \mathscr{C}(\rho) | n \rangle = \operatorname{Tr} \left[ \sum_{k} C_{k}^{\dagger} | n \rangle \langle n | C_{k} \rho \right]$$
 (2.9)

On the other hand:

$$\mathscr{C}(\rho) := \sum_{n} \operatorname{Tr}[P_n \rho] |n\rangle \langle n| \qquad (2.10)$$

(b) Example: describing not-accurate ONB measurement

$$P_0 = \int p(\theta) |0, \theta\rangle \langle 0, \theta| d\theta \qquad (2.11)$$

$$P_1 = \int p(\theta) |1, \theta\rangle \langle 1, \theta| d\theta \qquad (2.12)$$

(c) Naimark's theorem:

For every POVM  $\{P_n\}$  there exists a system B and a pure state  $|\beta\rangle \in \mathcal{H}_B$  and a projective POVM  $\{E_n\}$ 

$$\operatorname{Tr}[P_n \rho] = \operatorname{Tr}[E_n(\rho \otimes |\beta\rangle \langle \beta|)]$$
 (2.13)

4. Indirect measurement as Quantum Instrument  $\{\mathcal{Q}_n(\rho)\}$ :

$$\mathcal{Q} = \sum_{n} \mathcal{Q}_n \text{ is a quantum channel} \tag{2.14}$$

$$p_n = \text{Tr}[\mathcal{Q}_n(\rho)] \tag{2.15}$$

$$\rho_{|n} = \mathcal{Q}_n(\rho) / \text{Tr}[\mathcal{Q}_n(\rho)]$$
 (Bayes rule for quantum states) (2.16)

where we also call  $\mathcal{Q}_n$  quantum operation.

(a) Physical implementation:

$$\mathcal{Q}_n(\rho) := \operatorname{Tr}_B[(I_A \otimes Q_n) \mathscr{C}(\rho)] \tag{2.17}$$

where we can define:

$$\mathscr{C}(\rho) := \sum_{n} \mathscr{Q}_{n}(\rho) \otimes |n\rangle \langle n| \tag{2.18}$$

$$Q_n := |n\rangle \langle n| \tag{2.19}$$

# 3 Some mathematical operations

- 1. Purification:
  - (a) The Schmidt decomposition:

$$\rho_A = \sum_{m=1}^r p_m |\alpha_m\rangle \langle \alpha_m| = \text{Tr}_B\left[\sum_{m=1}^r \sqrt{p_m} |\alpha_m\rangle |\beta_m\rangle\right]$$
 (3.1)

where  $\{|\alpha_m\rangle\}$  and  $\{|\beta_m\rangle\}$  are ONBs. The proof is an immediate consequence of the singular value decomposition (SVD).

(b) The uniqueness:

$$\rho_A = \operatorname{Tr}_A[|\Psi\rangle\langle\Psi|] = \operatorname{Tr}_A[|\Psi'\rangle\langle\Psi'|] \tag{3.2}$$

$$\Rightarrow |\Psi'\rangle = (I_A \otimes S) |\Psi\rangle \tag{3.3}$$

where S is a partial isometry  $(SS^{\dagger})$  and  $S^{\dagger}S$  are projectors).

2. Universal steering:

Let  $|\Psi\rangle \in \mathcal{H}_A \otimes \mathcal{H}_B$  be a purification of  $\rho_A$ , then for every decomposition  $\rho_A = \sum_m p_m \rho_m$ , there exists a POVM on B:  $\{Q_m\}$ :

$$\operatorname{Tr}_{B}[I_{A} \otimes Q_{m} | \Psi \rangle \langle \Psi |] = p_{m} \rho_{m} \tag{3.4}$$

• From Bell state  $|\Phi\rangle = \frac{1}{\sqrt{d}}|I\rangle\rangle$  to any state  $\rho$  with POVM  $\{\rho^T, I - \rho^T\}$ :

$$\operatorname{Tr}_{B}[(I_{A}\otimes\rho^{T})|\Phi\rangle\langle\Phi|]=\frac{\rho}{d}$$
 (3.5)

3. Encoding a quantum operation in a quantum state: Choi matrix

$$\Phi_{\mathscr{M}} := (\mathscr{M} \otimes \mathscr{I})(|\Phi\rangle\rangle\langle\langle\Phi|) \tag{3.6}$$

$$\mathcal{M}(\rho) = d \operatorname{Tr}_B[(I_A \otimes \rho^T) \Phi_{\mathcal{M}}] \tag{3.7}$$

- "Diagonalize" Kraus representation:  $\mathscr{C}(\rho) = \sum_i C_i \rho C_i^{\dagger}$  with  $\mathrm{Tr}[C_i^{\dagger}C_i] = \delta_{ij}p_i d_A$
- 4. No information without disturbance:

For the quantum instrument  $\{\mathcal{Q}_n | \sum_n \mathcal{Q}_n = \mathcal{I}\}$  (that's the condition of non-disturbing), the outcome does not depend on measured system.

$$\sum_{n} \Phi_{\mathcal{Q}_n} = |\Phi\rangle\rangle\langle\langle\Phi| \Rightarrow \mathcal{Q}_n = p_n \mathcal{I}_n \tag{3.8}$$

5. The no-cloning theorem:

For two distinct non-orthogonal states  $|\varphi_0\rangle$  and  $|\varphi_1\rangle$ , there is no quantum channel such that:

$$\forall i \in \{0, 1\}. \mathscr{C}(|\varphi_i\rangle \langle \varphi_i|) = (|\varphi_i\rangle \langle \varphi_i|)^{\otimes 2}$$
(3.9)

What we still can do:

- Cloning orthogonal states
- The universal cloning machine

$$\mathscr{C}(\rho) = \frac{1}{2(d+1)}(I + SWAP)(\rho \otimes I_B)(I + SWAP) \tag{3.10}$$

• Probabilistic cloning?..

Corollary:

- No-distinguishability theorem: It is impossible to construct a machine that distinguishes perfectly between two non-orthogonal states  $|\psi_0\rangle$  and  $|\psi_1\rangle$ .
- Secure key distribution: the BB84 protocol (Bennett and Brassard, 1984).
- 6. Quantum teleportation (discribed as a quantum instrument)

$$\operatorname{Tr}_{A'A}[(I_B \otimes P_n)(|\Phi_0\rangle \langle \Phi_0|_{BA'} \otimes \rho_A)] = \frac{1}{4} U_n^{\dagger} \rho_A U_n \tag{3.11}$$

where  $|\Phi_0\rangle = (|00\rangle + |11\rangle)/\sqrt{2}$ ,  $|\Phi_i\rangle = |\sigma_i\rangle/\sqrt{2}$  are Bell states,  $U_0 = I$ ,  $U_i = \sigma_i$ ,  $P_n = |\Phi_n\rangle\langle\Phi_n|$ , means keep the state but transform it to another system. For higher dimension system:

$$\operatorname{Tr}_{AA'}[(|\Phi_{pq}\rangle\langle\Phi_{pq}|_{AA'}\otimes I_B)(\rho_A\otimes|\Phi_{00}\rangle\langle\Phi_{00}|_{A'B})] = \frac{1}{d^2}U_{pq}^{\dagger}\rho_BU_{pq}$$
(3.12)

# 4 Quantum discrimination

#### 4.1 State discrimination

1. Helstrom's minimum error decoder:

$$\omega := p_{\text{succ}} - p_{\text{err}} = \sum_{x,y \in \{0,1\}} (-)^{x+y} \operatorname{Tr}[P_y \rho_x] \pi_x \le ||\Delta||_1 := \sum |\delta_n|$$
 (4.1)

where  $\delta_n$  is the eigenvalues of  $\Delta = \pi_0 \rho_0 - \pi_1 \rho_1 = \sum_n \delta_n |\psi_n\rangle \langle \psi_n|$ . We reach the upper bound by:

$$P_{0} = \sum_{\delta_{n} > 0} |\psi_{n}\rangle \langle \psi_{n}|$$

$$P_{1} = \sum_{\delta_{n} \leq 0} |\psi_{n}\rangle \langle \psi_{n}|$$

• For pure state  $\rho_0 = |\psi_0\rangle \langle \psi_0|$ ,  $\rho_1 = |\psi_1\rangle \langle \psi_1|$ ,

$$\omega_{\text{max}} = \sqrt{1 - 4\pi_0 \pi_1 F}, \quad F := |\langle \psi_0 | \psi_1 \rangle|^2 \tag{4.2}$$

• Fidelity for mixed states:

$$1 - \sqrt{4\pi_0 \pi_1 F(\rho_0, \rho_1)} \le \|\pi_0 \rho_0 - \pi_1 \rho_1\|_1 \le \sqrt{1 - 4\pi_0 \pi_1 F(\rho_0, \rho_1)}$$
 (4.3)

where:

$$F(\rho_0, \rho_1) := \sup_{\mathcal{H}_A} \max_{\operatorname{Tr}_A[|\Psi_0\rangle \langle \Psi_0|] = \rho_0} \max_{\operatorname{Tr}_A[|\Psi_1\rangle \langle \Psi_1|] = \rho_1} |\langle \Psi_0 | \Psi_1 \rangle|^2$$

$$(4.4)$$

• Uhlmann's theorem

$$F(\rho_0, \rho_1) = \|\sqrt{\rho_0}\sqrt{\rho_1}\|_1^2 \tag{4.5}$$

• Minimum of the Bhattacharya coefficient:

$$F(\rho_0, \rho_1) = \min_{N} \min_{\forall \text{POVM}\{P_n\}_{n=1}^N} \left( \sum_{n} \sqrt{\text{Tr}[P_n \rho_0] \, \text{Tr}[P_n \rho_1]} \right)^2$$
(4.6)

• Quantum Chernoff bound: Error probability in distinguishing two states with N copies goes to zero at rate  $O(\mathbb{C}^N)$  where

$$C = \min_{p:0 \le p \le 1} \text{Tr}[\rho_0^p \rho_1^{1-p}] (\langle \sqrt{F(\rho_0, \rho_1)})$$
 (4.7)

2. The unambiguous state discriminator: to distinguish  $\{|\psi_i\rangle\}$ , we use POVM  $\{P_i, P_i\}$ , where we get answer without error or we don't know about the answer:

$$\langle \psi_i | P_j | \psi_i \rangle = p_i \delta_{ij} \tag{4.8}$$

$$P_? = I - \sum_i P_i \tag{4.9}$$

It is possible if and only if  $\{|\psi_n\rangle\}_{n=1}^N$  are linearly independent.

$$P_n = p\Phi^{-1} |\psi_n\rangle \langle \psi_n| \Phi^{-1}$$
(4.10)

$$\Phi := \sum_{n} |\psi_{n}\rangle \langle \psi_{n}| \tag{4.11}$$

For N=2 system  $p_?=\sqrt{F}$ 

#### 4.2 Channel discrimination

1. Input any state:

$$\omega_{\max} = \max_{|\alpha\rangle \in \mathcal{H}_A} \|\pi_0 \mathscr{C}_0(|\alpha\rangle \langle \alpha|) - \pi_1 \mathscr{C}_1(|\alpha\rangle \langle \alpha|)\|_1$$
(4.12)

2. Input an entangled state:

$$\omega_{\max}^{\text{ent}} = \max_{\mathcal{H}_B} \max_{|\Psi\rangle \in \mathcal{H}_A \otimes \mathcal{H}_B} \|\pi_0(\mathscr{C}_0 \otimes \mathscr{I}_B)(|\Psi\rangle \langle \Psi|) - \pi_1(\mathscr{C}_1 \otimes \mathscr{I}_B)(|\Psi\rangle \langle \Psi|)\|_1$$
(4.13)

3. diamond norm

$$\|\Delta\|_{\diamond} = \max_{|\Psi\rangle \in \mathcal{H}_A \otimes \mathcal{H}_A} \|\Delta \otimes \mathscr{I}_A(|\Psi\rangle \langle \Psi|)\|_1 \tag{4.14}$$

4. For unitary operator  $U_0$ ,  $U_1$ . For eigenvalues  $e^{i\theta_m}$  of  $U_0^{\dagger}U_1$ :

$$\omega = \sqrt{1 - 4\pi_0 \pi_1 F} = \sqrt{1 - 4\pi_0 \pi_1 \left| \sum_{m} p_m e^{i\theta_m} \right|}$$
 (4.15)

- Entanglement does not help
- Certainty answer can be get within finite number of times.
- Extend to more gates

### 5 Quantum programming

1. programmable machine:

$$V |\alpha\rangle |n\rangle = U_n |\alpha\rangle |n\rangle \tag{5.1}$$

Example:  $V = \sum_{n} U_n \otimes |n\rangle \langle n|$ 

- 2. No-programming theorem (Nielsen-Chuang, PRL 1997): In order to program N distinct unitary gates, one needs N orthogonal program states.
- 3. Universal set of quantum gates: Every qubit gate can be approximated with arbitrary precision with a circuit consisting only of 2 elementary gates. And for a system in dimension  $d \ge 2$ , it is enough to use  $O(\log^2 d)$  gates.
  - For N qubits system (dimension  $2^N$ ), It is enough to have a universal set for every qubit and a entangling gate  $W_{ij}$  on every two qubits.
  - Usually we use  $\{H, T, \mathtt{CNOT}\}$ :

$$H = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1\\ 1 & -1 \end{pmatrix} \tag{5.2}$$

$$= i \exp \left[ \frac{-i\pi \boldsymbol{n} \cdot \boldsymbol{\sigma}}{2} \right] \qquad \boldsymbol{n} = \frac{1}{\sqrt{2}} (1, 0, 1)^T$$
 (5.3)

$$T = \exp\left[\frac{-\mathrm{i}\pi\sigma_z}{8}\right] \tag{5.4}$$

$$CNOT = |0\rangle \langle 0| \otimes I + |1\rangle \langle 1| \otimes \sigma_x \tag{5.5}$$

- Solovay-Kitaev's Theorem: Let U be a universal set of unitary gates in dimension d with the property that  $\forall U \in U$ .  $U^{\dagger} \in U$ . Then, every unitary gate in dimension d can be approximated within an error  $\epsilon$  as a product of N gates in U, where  $N \sim O(-\log^c \epsilon)$ , where 0 < c < 2 is a suitable constant.
- No theory about  $O(\log^{\alpha} d)$ ...

## 6 Quantum Error Correction

- 1. Basic steps:
  - (a) Encoding:  $\mathcal{V}(\rho) = V \rho V^{\dagger}$  (with isometry  $V : \mathcal{H}_A \mapsto \mathcal{H}_{A'}, V^{\dagger}V = I$ )
  - (b) Error: a quantum channel  $\mathscr{E}: \mathcal{H}_{A'} \mapsto \mathcal{H}_{A'}$
  - (c) Measurement: a quantum instrument  $\{\mathcal{Q}_i\}$
  - (d) Recovery: a unitary gate  $U_i$  according to the outcome

(e) Decoding:  $\mathcal{D}$ 

The recovery channel  $\mathscr{R}$ : the last three steps together,  $\mathscr{R}(\rho) := \sum_{i} \mathscr{D}\left(U_{i}\mathscr{Q}_{i}(\rho)U_{i}^{\dagger}\right)$ Therefore here we require:

$$\mathscr{REV} = \mathscr{I}_A \tag{6.1}$$

- 2. Definitions:
  - A quantum channel  $\mathscr{C}: \mathcal{H}_A \mapsto \mathcal{H}_{A'}$  is correctable iff  $\exists \mathscr{R}. \quad \mathscr{R}\mathscr{C} = \mathscr{I}$
- 3. Knill-Laflamme (KL) condition: A channel  $\mathscr{C}(\rho) = \sum_i C_i \rho C_i^{\dagger}$  is correctable iff:

$$C_i^{\dagger} C_i = \sigma_{ij} I_a \tag{6.2}$$

where  $\sigma \in St(\mathcal{H})$ .

- (a) if A = A',  $\mathscr{C}$  is unitary, i.e.  $\mathscr{C}(\rho) = U\rho U^{\dagger}$
- (b) KL condition is equivalent to  $\mathscr{C}(\rho) = \sum_{m} p_{m} V_{m} \rho V_{m}$  where  $V_{m}^{\dagger} V_{n} = \delta_{mn} I_{A}$ . That means the correctable channels are those that encode randomly the state into different **orthogonal subspaces**.
- (c) Correction: measurement with  $\{\mathcal{Q}_m\}$  and corresponding recovery  $\mathcal{R}_m$ :

$$\mathcal{Q}_m(\rho) = P_m \rho P_m, \qquad (P_m = V_m V_m^{\dagger}, P_0 = I_{A'} - \sum P_m)$$

$$\tag{6.3}$$

$$\mathscr{R}_m(\rho) = V_m^{\dagger} \rho V_m + \text{Tr}[(I_{A'} - V_m V_m^{\dagger}) \rho] |0\rangle \langle 0| \tag{6.4}$$

(d) physical meaning of  $\sigma$ : If we generally define the channel  $\mathscr{C}(\rho) = \operatorname{Tr}_B[W\rho W^{\dagger}]$  and its complementary channel  $\tilde{\mathscr{C}}(\rho) = \operatorname{Tr}_{A'}[W\rho W^{\dagger}]$ , we have

$$\forall \rho \in \mathsf{St}(\mathcal{H}_A). \qquad \tilde{\mathscr{C}}(\rho) = \sigma \tag{6.5}$$

which means that a channel is correctable iff its complementary channel is an erasure channel.

(e) KL condition for good codes: Let error  $\mathscr{E}(\rho) = \sum_i E_i \rho E_i^{\dagger}$  and the subspace  $\mathcal{S}$  is a good code for  $\mathscr{E}$  iff

$$PE_j^{\dagger}E_iP = \sigma_i jP \tag{6.6}$$

where  $\sigma \in St(\mathcal{H})$  and P is a projector on S

- 4. Quantum packing bound:  $d_{A'} \ge d_A \operatorname{rank}(\sigma) = d_A \operatorname{rank}(\Phi_{\mathscr{C}})$
- 5. Quantum packing bound non-degenerate codes: if given an orthogonal Kraus representation for  $\mathscr{E}(\rho = \sum_{i}^{k} E_{i} \rho E_{i}^{\dagger})$ , than  $d_{A'} \geq d_{A}k$ . In principle degenerate code could probably do better.
- 6. the quantum Hamming bound for arbitrary Pauli errors:

$$\mathscr{E}(\rho) = (1-p)\rho + \frac{p}{t} \sum_{m=1}^{t} \frac{m!(N-m)!}{N!3^m} \sum_{n} \sum_{k} \mathscr{U}_{n,k}(\rho)$$

$$(6.7)$$

where  $\mathbf{n} = (n_1, \dots, n_m)$  labels m qubits affected and  $\mathbf{k} = (k_1, \dots, k_m)$  the Pauli matrix acted. To encode K qubits into N qubits, the quantum packing bound for non-degenerate codes gives

$$2^{N-K} \ge \sum_{m=0}^{t} \frac{N!3^m}{(N-m)!m!} \tag{6.8}$$

Whether there is better code is an open question.

- 7. Correct one to correct them all: Let two channel  $\mathscr{C}(\rho) = \sum C_i \rho C_i^{\dagger}$  and  $\mathscr{D}(\rho) = \sum D_j \rho D_j^{\dagger}$  with  $D_j \in \mathsf{Span}\{C_i\}$ , and if  $\mathscr{C}$  is correctable,  $\mathscr{D}$  is also correctable with same recovery channel and good code subspaces.
  - Specially for arbitrary Pauli errors

$$\mathscr{E}(\rho) = (1-p)\rho + \frac{p}{3N} \sum_{n=1}^{N} \sum_{k=1}^{3} \mathscr{U}_{n,k}(\rho)$$
(6.9)

where  $\mathcal{U}_{n,k}$  is  $\sigma_k$  applied on *n*-th qubit. A good code for  $\mathscr{E}$  is a good code for any quantum channel acting on a single qubit, even erasure channel.

### 7 Quantum entropy

- 1. LOCC protocol and one-way LOCC protocol
- 2. Lo-Popescu theorem: LOCC protocol and one-way LOCC protocol are equivalent.
- 3.  $|\Psi\rangle$  is more entangled than  $|\Psi'\rangle$  iff there exists a LOCC channel that transforms  $|\Psi\rangle$  into  $|\Psi'\rangle$ 
  - A product state is less entangled than any other bipartite state.
  - Bell states is more entangled than any other bipartite state.
- 4.  $\rho \in \mathsf{St}(\mathcal{H})$  is more mixed than  $\rho' \in \mathsf{St}(\mathcal{H})$  iff  $\rho$  can be obtained by applying a random-unitary (RU) channel:

$$\rho = \sum_{i} p_i U_i \rho' U_i^{\dagger} \tag{7.1}$$

- Every state  $\rho$  is more mixed than a **pure state**  $\rho' = |\psi\rangle\langle\psi|$
- No state  $\rho$  is more mixed than the state  $\rho' = I/d$  (maximally mixed state),  $\rho'$  is more mixed than any other state
- 5. Let  $|\Psi\rangle$ ,  $|\Psi'\rangle \in \mathcal{H}_A \otimes \mathcal{H}_B$  be two *pure* bipartite states, the following are equivalent
  - $|\Psi\rangle$  is more entangled
  - the marginal of  $|\Psi\rangle$  is more mixed
- 6. Generalization: Let  $\rho \in \mathsf{St}(\mathcal{H}_A)$  and  $\rho' \in \mathsf{St}(\mathcal{H}_{A'})$ ,  $\rho$  is more mixed than  $\rho'$  iff  $\rho \otimes |\alpha'\rangle_{A'}\langle \alpha'|_{A'}$  is more mixed than  $|\alpha\rangle_A\langle \alpha|_A\otimes \rho'$
- 7. The majorization criterion: Let  $\rho = \sum_{i} p_{i} |\alpha_{i}\rangle \langle \alpha_{i}|$  with  $p_{1} \geq p_{2} \geq \cdots \geq p_{d} \geq 0$ , than  $\rho$  is more mixed than  $\rho'$  iff  $\boldsymbol{p}$  is majorized by  $\boldsymbol{p}'$  ( $\boldsymbol{p} \leq \boldsymbol{p}'$ )

$$\forall k \in \{1, \dots, d-1\}, \qquad \sum_{i=1}^{k} p_i \ge \sum_{i=1}^{k} p'_i$$
 (7.2)

- 8. Measurement of mixedness: Schur-concave function
- 9. Rényi entropies: a group of Schur-concave functions

$$H_{\alpha} = \frac{1}{1-\alpha} \log \left[ \sum_{i=1}^{d} p_i^{\alpha} \right] \qquad \alpha \ge 0$$
 (7.3)

And quantum Rényi entropies<sup>1</sup>

$$S_{\alpha} = \frac{\alpha}{1 - \alpha} \log \|\rho\|_{\alpha} \tag{7.4}$$

 $<sup>^{1}</sup>$ Here for convenience to discuss bits and qubits, the log  $\cdot$  means  $\log_{2}$   $\cdot$  .

- $\forall \alpha$ .  $S_{\alpha}(\rho) = 0 \Leftrightarrow \operatorname{rank} \rho = 1$
- $\forall \alpha > 0$ .  $S_{\alpha} = \log d \Leftrightarrow \rho = I/d$
- $\forall \alpha$ .  $0 \ge S_{\alpha}(\rho) \ge \log d$
- Additivity property:  $S_{\alpha}(\rho \otimes \sigma) = S_{\alpha}(\rho) + S_{\alpha}(\sigma)$

Special values of  $\alpha$ :

(a)  $\alpha = 1$ , max-entropy

$$S_0(\rho) = \log[\operatorname{rank}(\rho)] \tag{7.5}$$

(b)  $\alpha \to \infty$ , min-entropy

$$S_{\infty}(\rho) = -\log p_1 \tag{7.6}$$

(c)  $\alpha \to 1$  classically Shannon entropy, and quantumly von-Neumann entropy

$$S(\rho) := \lim_{\alpha \to 1} S_{\alpha}(\rho) = -\operatorname{Tr}[\rho \log \rho] \tag{7.7}$$

- 10. Asymptotic transformations
  - (a) A rate R is achievable if for every N there exists a LOCC channel  $\{\mathcal{L}_N\}_{N\in\mathbb{N}}$

$$\lim_{N \to \infty} \| \mathcal{L}_N((|\Psi\rangle \langle \Psi|)^{\otimes N}) - (|\Psi'\rangle \langle \Psi'|)^{\otimes RN} \|_1 = 0$$
 (7.8)

(b) Achievable rates and von-Neumann entropy

$$\sup\{R|R \text{ is achievable}\} = \frac{S(\rho)}{S(\rho')} \tag{7.9}$$

#### 7.1 Quantum data compression

1. Encoding channel  $\mathscr{E}: \mathcal{H}_A \mapsto \mathcal{H}_B$  with  $d_B < d_A$  and decoding channel  $\mathscr{D}: \mathcal{H}_B \mapsto \mathcal{H}_A$  so that the average fidelity

$$F = \sum_{x} p_x \langle \psi_x | \mathscr{DE}(|\psi_x\rangle \langle \psi_x |) | \psi_x \rangle$$
 (7.10)

is close to 1.

2. Compressing entanglement:

$$F_{\text{ent}} = \langle \Psi | (\mathscr{DE} \otimes \mathscr{I}_R) (|\Psi\rangle \langle \Psi|) | \Psi\rangle \le F \tag{7.11}$$

3. Subspace encodings:

$$\mathscr{E}(\rho) = P\rho P + \text{Tr}[(I - P)\rho] |\psi_0\rangle \langle\psi_0| \tag{7.12}$$

where P is the projector on subspace S and  $|\psi_0\rangle$  is in the orthogonal complement of S

$$F_{\text{ent}} \ge \left| \sum_{x} p_x \left\langle \psi_x | P | \psi_x \right\rangle \right|^2 := p_{\text{yes}}^2$$
 (7.13)

4. asymptotic scenario: compression  $\rho^{\otimes N}$  into  $\mathcal{S}_N$  with dimension  $d_N$ , define the compression rate

$$R := \limsup_{N \to \infty} \frac{\log d_N}{N} \tag{7.14}$$

And achievable rate requires a sequence of coding so that

$$\limsup_{N \to \infty} F_N = 1 \tag{7.15}$$

(a) Define the description of  $\rho^{\otimes N}$ :

$$\rho^{\otimes N} = \sum_{\boldsymbol{m}} q_N(\boldsymbol{m}) |\psi_{\boldsymbol{m}}\rangle \langle \psi_{\boldsymbol{m}}|$$
 (7.16)

where

- $m = (m_1, \dots, m_N) \in \{1, \dots, d_A\}^{\times N}$
- $q_N(\mathbf{m})$  is the probability  $q_N(\mathbf{m}) = \prod_i q_{m_i}$
- $|\psi_{m}\rangle$  is the product vector  $|\psi_{m}\rangle:=|\psi_{m_{1}}\rangle\,|\psi_{m_{2}}\rangle\cdots|\psi_{m_{N}}\rangle$
- (b) the type of a sequence m defined by  $t_m := (N_1/N, \dots, N_d/N)$ 
  - i. total number of types:  $T_N \sim 1$
  - ii. the number of sequences of type t:

$$S_{N,t} \sim \exp[NH(t)] \tag{7.17}$$

where  $H(t_{\boldsymbol{m}}) := -\sum_{i=1}^{d} t_i \ln t_i$  is the Shannon entropy

iii. the probability that a sequence is of type t:

$$Q_{N,t} \sim \exp[-ND(t||q)] \tag{7.18}$$

where  $D(t\|q) := \sum_{i=1}^d t_i \ln \frac{t_i}{q_i}$  is the Kullback-Leibler divergence

Kullback-Leibler divergence satisfies the following properties

- $D(t||q) \ge 0$  and the equality holds iff t = q
- if  $\lim_{N\to\infty} D(t_N||q) = 0$ , than  $\lim_{N\to\infty} H(t_N) = H(q)$

From which we can see

$$\sum_{t:D(t||q)\leq\epsilon_N} Q_{N,t} \sim 1 - \exp[-N\epsilon]$$
(7.19)

$$\sum_{t:D(t||q) \le \epsilon_N} \frac{S_{N,t}}{N} = H(q) \tag{7.20}$$

- (c) Schumacher's theorem, direct part: Let  $\rho \in \mathsf{St}(\mathcal{H})$ , then every compression rate  $R \geq S(\rho)$  is achievable
- (d) Schumacher's theorem, strong converse: Let  $\rho = \sum_i q_i |\psi_i\rangle \langle \psi_i|$  be a diagonalization of  $\rho$  and let  $F_N$  be the fidelity of data compression for the states  $\{|\psi\rangle_i\}$  with probabilities  $\{q_i\}$ , then for every  $R < S(\rho)$ ,  $\lim_{N \to \infty} F_N = 0$
- (e) Entanglement dilution: Using LOCC to produce  $M_N$  pairs of  $|\Psi\rangle$  from N pairs of Bell state  $|\Phi^+\rangle$ , the achievable rate

$$R_{\rm dil} = \liminf_{N \to \infty} \frac{M_N}{N} < 1/S(\operatorname{Tr}_A[|\Psi\rangle \langle \Psi|]) \tag{7.21}$$

(f) Entanglement distillation: Using LOCC to produce  $M_N$  pairs of Bell state  $|\Phi^+\rangle$  from N pairs of  $|\Psi\rangle$ , the achievable rate

$$R_{\text{dist}} = \liminf_{N \to \infty} \frac{M_N}{N} < S(\text{Tr}_A[|\Psi\rangle\langle\Psi|])$$
 (7.22)

(g) Asymptotic transformations of pure entangled states:  $R = S(\operatorname{Tr}_A[|\Psi\rangle\langle\Psi|])/S(\operatorname{Tr}_A[|\Psi'\rangle\langle\Psi'|])$ 

### 8 Quantum algorithm

#### 8.1 Grover's quantum search algorithm

1. Classic model: from a function

$$f: \{1, \cdots, N\} \mapsto \{0, 1\}$$
 (8.1)

find n so that f(n) = 1. Usually we assume that  $S = |\{n|f(n) = 1\}| \ll N$ . Time complexity  $O(N) \times O(f)$ 

- 2. Two quantum version:
  - we have the system

$$|\alpha\rangle = |f(1)\rangle |f(2)\rangle \cdots |f(N)\rangle$$
 (8.2)

And define the control unitary gate

$$U = \sum_{n=1}^{N} Z_n \otimes |n\rangle \langle n| \tag{8.3}$$

with Pauli gate defined by  $Z_n |\alpha\rangle = (-)^{f(n)} |\alpha\rangle$ .

For simplicity we define the *Grover's gate*  $V_f$  on the control system as

$$V_f = \sum_{n=1}^{N} (-)^{f(n)} |n\rangle \langle n|$$
(8.4)

which comes from  $U |\alpha\rangle |\beta\rangle = |\alpha\rangle (V_f |\beta\rangle)^2$ 

• We may describe the classic search as:

$$(\rho) = \sum_{n} \langle n | \rho | n \rangle | f(n) \rangle \langle f(n) | \left( \operatorname{Tr}_{A} \left[ U_{f}(\rho \otimes | 0 \rangle \langle 0 |) U_{f}^{\dagger} \right] \right)$$
(8.5)

And quantum version by the gate  $U_f = \sum_n |n\rangle \langle n| \otimes X^{f(n)}$ , which also leads to Grover's gate  $V_f$  by

$$U_f |\beta\rangle |-\rangle = (V_f |\beta\rangle) |-\rangle \tag{8.6}$$

This version seems to show how quantum version of  $U_f$  is more powerful than  $\mathscr{C}_f$  and show that preparing a huge system of  $|\alpha\rangle$  is not necessary.

- 3. The algorithm  $(O(\sqrt{N}))$ :
  - (a) prepare system in Fourier basis state

$$|e_N\rangle = \frac{1}{\sqrt{N}} \sum_{n=1}^{N} |n\rangle \tag{8.7}$$

- (b) Apply Grover's gate  $V_f$
- (c) Apply the gate

$$W = 2|e_N\rangle\langle e_N| - I \tag{8.8}$$

(d) Repeat steps 3b and 3c for k times, where

$$k = \frac{\pi}{4} \sqrt{\frac{N}{S}} \tag{8.9}$$

<sup>&</sup>lt;sup>2</sup>In the following we discuss the *query complexity* defined by the number of uses of gate  $V_f$ , and ignore the elementary gates needed to perform  $V_f$  (which leads to *gate complexity*).

(e) Measure the system on computational basis, with probability of success

$$p_{\text{succ}} \ge 1 - \frac{S}{N} \tag{8.10}$$

Proof of the algorithm:

The input state can be expressed as:

$$|e_N\rangle = \sqrt{1 - \frac{S}{N}} |\psi_+\rangle + \sqrt{\frac{S}{N}} |\psi_-\rangle := \cos\theta |\psi_+\rangle + \sin\theta |\psi_-\rangle$$
 (8.11)

where  $|\psi^{+}\rangle$  and  $|\psi_{-}\rangle$  are eigenstates of  $V_{f}$  with eigenvalue  $\pm 1$ :

$$|\psi_{+}\rangle := \frac{1}{\sqrt{N-S}} \sum_{n:f(n)=0} |n\rangle \tag{8.12}$$

$$|\psi_{-}\rangle := \frac{1}{\sqrt{S}} \sum_{n:f(n)=1} |n\rangle \tag{8.13}$$

And  $|e_N\rangle$  and  $|e_N^{\perp}\rangle := -\sin\theta |\psi_+\rangle + \cos\theta |\psi_-\rangle$  are eigenstates of W with eigenvalue  $\pm 1$ . So

$$WV_f(\cos\alpha|\psi_+\rangle + \sin\alpha|\psi_-\rangle) = \cos(\alpha + 2\theta)|\psi_+\rangle + \sin(\alpha + 2\theta)|\psi_-\rangle$$
(8.14)

therefore

$$(WV_f)^k |e_N\rangle = \cos[(2k+1)\theta] |\psi_+\rangle + \sin[(2k+1)\theta] |\psi_-\rangle$$
 (8.15)

with  $(2k+1)\theta \approx \pi/2$ , we have the conclusion above.

4. Dependence of the algorithm on the number of solutions. Quantum phase estimation algorithm allows us to find out the angle  $\tau = 2 \arcsin \sqrt{S/N}$  within an interval of size 1/M with the control-unitary gate

$$T = \sum_{m=1}^{M} V_f^m \otimes |m\rangle \langle m| \tag{8.16}$$

5.  $O(\sqrt{N})$  is the best scaling allowed by quantum mechanics

**Proof**: For simplicity here we only discuss the case S = 1, with

$$V_f = -|x\rangle \langle x| + \sum_{n \neq x} |n\rangle \langle n| =: V_x$$
(8.17)

Generally the algorithm could be

$$|\Psi_{k,x}\rangle = U_k(V_x \otimes I_B)U_{k-1}\cdots U 1(V_x \otimes I_B) |\Psi_0\rangle \tag{8.18}$$

with  $|\Psi_0\rangle \in \mathcal{H}_A \otimes \mathcal{H}_B$  be the input system combined with a auxiliary system B. And we hope to get the result  $|\Phi_{k,x}\rangle = |x\rangle |\beta_{k,x}\rangle$ , which leads to the quality of the algorithm (average on x)

$$\eta_k := \frac{1}{N} \sum_{x=1}^{N} \| |\Psi_{k,x}\rangle - |\Phi_{k,x}\rangle \|^2$$
(8.19)

$$\geq \frac{1}{N} \sum_{x} \left( \||\Psi_{k,x}\rangle - |\Psi_{k}\rangle\| - \||\Psi_{k}\rangle - |\Phi_{k,x}\rangle\| \right)^{2}$$

$$(8.20)$$

$$\geq \frac{1}{N} \left( \sqrt{\sum_{x} \||\Psi_{k,x}\rangle - |\Psi_{k}\rangle\|^{2}} - \sqrt{\sum_{x} \||\Psi_{k}\rangle - |\Phi_{k,x}\rangle\|^{2}} \right)^{2}$$
(8.21)

$$:= \left(\frac{\|\boldsymbol{a}_k\| - \|\boldsymbol{b}_k\|}{\sqrt{N}}\right)^2 \tag{8.22}$$

where  $|\Psi_k\rangle := U_k U_{k-1} \cdots U_1 |\Psi\rangle$  and the items

$$\|a_k\| = \sqrt{\sum_{x} \||\Psi_{k,x}\rangle - |\Psi_k\rangle\|^2} \le 2k$$
 (8.23)

$$\|\boldsymbol{b}_{k}\| = \sqrt{\sum_{x} \||\Psi_{k}\rangle - |\Phi_{k,x}\rangle\|^{2}}$$
(8.24)

$$\geq \sqrt{2\left(N - \sqrt{N}\right)} \tag{8.25}$$

So to promise  $\eta_k \to 0$ , it have to be  $k = \Theta(\sqrt{N})$ 

• It makes no difference to use  $U_x = \sum_n |n\rangle \langle n| \otimes X^{f(n)}$  because

$$U_x = I_A \otimes |+\rangle \langle +| + V_x \otimes |-\rangle \langle -| \qquad (8.26)$$

• The proof holds even if we use the gate  $V_x^t \otimes I_B$  as one step, which means the optimal of  $\Theta(\sqrt{N})$  is not only the times needed to use  $V_x$  but also the steps needed

#### 8.2 Shor's algorithm

- 1. From period finding to factoring:
  - (a) Take a random integer a < N and check if a divides N
  - (b) If a divides N, you are done: this means that either a = p or a = q. If not, then proceed to the next step
  - (c) Find the period of the function  $f(x) = ax \mod N$ . Call the period r
  - (d) If r is odd, then go back to first step. If r is even, then proceed to the next step
  - (e) Compute  $x_{+} = a^{r/2} + 1$  and  $x = a^{r/2} 1$ .
  - (f) If  $x_{+} = 0 \mod N$ , then go back to first step. Otherwise, proceed to the next step
  - (g) Output the solution  $\{p, q\} = \{\gcd(N, x_+), \gcd(N, x_-)\}.$
- 2. Quantum period-finding algorithm (Shor's algorithm) with the gate  $U_f$

$$U_f := \sum_{i=1}^{d} |x\rangle \langle x| \otimes S^{f(x)}$$
(8.27)

with f(x) a "strong periodic" function

$$f(x) = f(y) \iff x = y + kr, k \in \mathbb{Z}$$
 (8.28)

(for example what we need in factorization  $f(x) = a^x \mod N$ ) and shift gate  $S = \sum |i \oplus 1\rangle \langle i|$ 

- (a) Prepare system A in Fourier state  $|e_0\rangle = \frac{1}{\sqrt{d_A}} \sum_x |x\rangle$  and system B in  $|0\rangle$
- (b) Apply  $U_f$
- (c) Measure B in the computational basis
- (d) Measure A in the Fourier basis with result  $|e_n\rangle$
- (e) In the easy case where d is a multiple of the period, it is enough to compute the fraction n/d and reduce it to minimal terms  $n/d = k_0/r_0$ , in this case,  $r_0$  is a divisor of the period.

Proof

$$U_f |e_0\rangle |0\rangle = \frac{1}{\sqrt{d}} \sum_{x=1}^d |x\rangle |f(x)\rangle$$
(8.29)

$$= \frac{1}{\sqrt{d}} \sum_{x=1}^{r} \left( \sum_{m=0}^{M_x - 1} |x + mr\rangle \right) |f(x)\rangle \tag{8.30}$$

After the measurement of system B, the state of system A becomes

$$|\psi_x\rangle = \frac{1}{\sqrt{M_x}} \sum_{m=0}^{M_x - 1} |x + mr\rangle \tag{8.31}$$

and the result of measurement of system A is

$$p(n|x) = |\langle e_x | \psi_x \rangle|^2 \tag{8.32}$$

$$= \frac{1}{M_x} \left| \sum_{m=0}^{M_x - 1} \left\langle e_n | x + mr \right\rangle \right|^2 \tag{8.33}$$

$$= \frac{1}{M_x d} \left| \sum_{m=0}^{M_x - 1} \exp\left[ -\frac{2\pi i n m r}{d} \right] \right|^2$$
 (8.34)

If d = rM (means d is a multiple of the period), then the probability is independent on x

$$p_n = \frac{1}{r} \left| \frac{1}{M} \sum_{m=0}^{M-1} \exp\left[ -\frac{2\pi i n m}{M} \right] \right|^2 = \frac{1}{r} \sum_{k=1}^r \delta_{n,kM}$$
 (8.35)

Therefore the outcome n = kM, and n/d = k/r, so  $r_0$  should be a divisor of r. If d is not a multiple of the period, it is easy to see that with large  $M_x$  (or large d, for example  $d \sim N^2$ ), the probability is peaked around n = kd/r

- The complexity:
  - the gate  $U_f$  with  $f = a^x \mod N$ :  $O(L^3)$
  - prepare the Fourier basis: the "multiply operator"  $|e_k\rangle = M^k |e_0\rangle$

$$M = \sum_{n=1}^{N} \exp\left[\frac{2\pi i n}{N}\right] |n\rangle \langle n|$$
 (8.36)

And to express in binary qubit:

$$|x\rangle = |x_1\rangle |x_2\rangle \cdots |x_L\rangle \tag{8.37}$$

with  $x_i \in \{0,1\}$  and  $x = \sum_i 2^{L-i} x_i$ , than we have:

$$|e_0\rangle = |+\rangle^{\otimes L} = (H|0\rangle)^{\otimes L}$$
 (8.38)

And "multiply operator"

$$M|n\rangle = \bigotimes_{i=1}^{L} \left[ \exp\left(\frac{2\pi i n_i}{2^i}\right) |n_i\rangle \right]$$
 (8.39)

$$= R_1 |n_1\rangle \otimes R_2 |n_2\rangle \otimes \cdots \otimes R_L |n_L\rangle \tag{8.40}$$

where the single-qubit gate

$$R_i := \begin{pmatrix} 1 & 0 \\ 0 & \exp\left(\frac{2\pi i}{2^i}\right) \end{pmatrix} \tag{8.41}$$

which can be realized with  $O(-\log^c \epsilon) \sim O(1)$ , and so is  $M^k$  (leads to multiple of L). And all these sums up to the complexity O(L)

- measurement on the Fourier basis: The key is to realize the Fourier gate:

$$F = \sum_{n=1}^{N} |e_n\rangle \langle n| \tag{8.42}$$

Define the control-unitary gate on the control n and target m

$$C_i^{(mn)} := I^{(m)} \otimes |0\rangle_n \langle 0|_n + R_i^{(m)} \otimes |1\rangle_n \langle 1|_n$$

$$(8.43)$$

and gates (the Hadamard gate H defined above)

$$U_1 := C_L^{(1L)} \cdots C_3^{(13)} C_2^{(12)} H^{(1)}$$
(8.44)

$$U_1 := C_L^{(1L)} \cdots C_3^{(13)} C_2^{(12)} H^{(1)}$$

$$U_2 := C_{L-1}^{(2L)} \cdots C_3^{(24)} C_2^{(23)} H^{(2)}$$
(8.44)
$$(8.45)$$

$$:$$
 (8.46)

$$U_L := H^{(L)} (8.47)$$

And the Fourier gate

$$F = U_L U_{L-1} \cdots U_2 U_1 \tag{8.48}$$

with complexity  $O(L^2)$  to realizing a physical Fourier transform<sup>3</sup> All above sums up to  $O(L^3)$ 

<sup>&</sup>lt;sup>3</sup>Classically we need  $\Theta(N \log N)$  to perform fast Fourier transform. It does not mean we could do better in quantum computation because we cannot get all factors in  $F|k\rangle$ .