CS409 Software Testing

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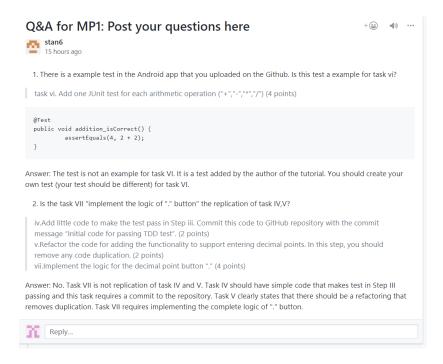
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Slides adapted from Introduction to Software Testing, Edition 2 (Ch
6)

Administrative Info

 MP1 due on 10 October, 11.59pm. Late submission will get 0!

*If you have question on MP1, post it or read the discussion on https://github.com/orgs/cs409-software-testing2020/teams/allstudents



Continue from previous lecture

Example: Jelly Bean Coverage

Flavors:

- 1. Lemon
- 2. Pistachio
- 3. Cantaloupe
- 4. Pear
- 5. Tangerine
- 6. Apricot
- Possible coverage criteria :
 - I. Taste one jelly bean of each flavor
 - Deciding if yellow jelly bean is Lemon or Apricot is a controllability problem
 - 2. Taste one jelly bean of each color



Colors:

- I. Yellow (Lemon, Apricot)
- 2. Green (Pistachio)
- 3. Orange (Cantaloupe, Tangerine)
- 4. White (Pear)

Question: Code Coverage

Give tests to reach 100% coverage Is it possible? If not, why?

```
1: public static boolean m(a) {
2: if (a || !a)
3: {
4: return a;
5: }
6: else
7: {
8: b++;
9: return b;
10: }
11:}
```

Coverage

Given a set of test requirements TR for coverage criterion C, a test set T satisfies C coverage if and only if for every test requirement tr in TR, there is at least one test t in T such that t satisfies tr

Infeasible test requirements: test requirements that cannot be satisfied

- No test case values exist that meet the test requirements
- Example: Dead code (like in previous slide)
- Detection of infeasible test requirements is formally undecidable for most test criteria

Thus, 100% coverage is impossible in practice

More Jelly Beans

```
T1 = { three Lemons, one Pistachio, two Cantaloupes, one Pear, one Tangerine, four Apricots }
```

Does test set T1 satisfy the flavor criterion?

```
T2 = { One Lemon, two Pistachios, one Pear, three Tangerines }
```

- ✓ Does test set T2 satisfy the flavor criterion ?
- ✓ Does test set T2 satisfy the color criterion ?

Coverage Level

The ratio of the number of test requirements satisfied by T to the size of TR

T2 on the previous slide satisfies 4 of 6 test requirements

Two Ways to Use Test Criteria

- 1. Directly generate test values to satisfy the criterion
 - Often assumed by the research community
 - Most obvious way to use criteria
 - Very hard without automated tools
- 2. Generate test values externally and measure against the criterion
 - Usually favored by industry
 - Sometimes misleading
 - If tests do not reach 100% coverage, what does that mean?

Test criteria are sometimes called metrics

Generators and Recognizers

Generator: A procedure that automatically generates values to satisfy a criterion

Recognizer: A procedure that decides whether a given set of test values satisfies a criterion

Both problems are provably undecidable for most criteria It is possible to recognize whether test cases satisfy a criterion far more often than it is possible to generate tests that satisfy the criterion

Coverage analysis tools are quite plentiful

Comparing Criteria with Subsumption (5.2)

Criteria Subsumption: A test criterion C1 subsumes C2 if and only if every set of test cases that satisfies criterion C1 also satisfies C2

Must be true for every set of test cases

Examples:

- The flavor criterion on jelly beans subsumes the color criterion ... if we taste every flavor we taste one of every color
- If a test set has covered every branch in a program (satisfied the branch criterion), then the test set is guaranteed to also have covered every statement

Advantages of Criteria-Based Test Design (5.3)

Criteria maximize the "bang for the buck"

Fewer tests that are more effective at finding faults

Comprehensive test set with minimal overlap

Traceability from software artifacts to tests

- The "why" for each test is answered
- Built-in support for regression testing

A "stopping rule" for testing—advance knowledge of how many tests are needed

Natural to automate

Characteristics of a Good Coverage Criterion

- It should be fairly easy to compute test requirements automatically
- 2. It should be efficient to generate test values
- The resulting tests should reveal as many faults as possible
- > Subsumption is only a rough approximation of fault revealing capability
- > Researchers still need to gives us more data on how to compare coverage criteria

Test Coverage Criteria

Traditional software testing is expensive and laborintensive

Formal coverage criteria are used to decide which test inputs to use

More likely that the tester will find problems

Greater assurance that the software is of high quality and reliability

A goal or stopping rule for testing

Criteria makes testing more efficient and effective

How do we start applying these ideas in practice?

How to Improve Testing?

Testers need more and better software tools

Testers need to adopt practices and techniques that lead to more efficient and effective testing

- More education
- Different management organizational strategies

Testing / QA teams need more technical expertise

Developer expertise has been increasing dramatically

Testing / QA teams need to specialize more

- This same trend happened for development in the 1990s

Four Roadblocks to Adoption

Lack of test education

Microsoft and Google say half their engineers are testers, programmers test half the time Number of UG CS programs in US that require testing? Number of MS CS programs in US that require testing? Number of UG testing classes in the US?

Necessity to change process

Adoption of many test techniques and tools require changes in development process This is expensive for most software companies

Usability of tools 3.

Many testing tools require the user to know the underlying theory to use them Do we need to know how an internal combustion engine works to drive? Do we need to understand parsing and code generation to use a compiler?

Weak and ineffective tools

Most test tools don't do much – but most users do not realize they could be better Few tools solve the key technical problem – generating test values automatically

Needs From Researchers

- 1. Isolate: Invent processes and techniques that isolate the theory from most test practitioners
- Disguise: Discover engineering techniques, standards and frameworks that disguise the theory
- 3. Embed: Theoretical ideas in tools
- 4. Experiment: Demonstrate economic value of criteria-based testing and ATDG (ROI)
 - Which criteria should be used and when?
 - When does the extra effort pay off?
- 5. Integrate high-end testing with development

Needs From Educators

- 1. Disguise theory from engineers in classes
- 2. Omit theory when it is not needed
- 3. Restructure curricula to teach more than test design and theory
 - Test automation
 - Test evaluation
 - Human-based testing
 - Test-driven development

Changes in Practice

- 1. Reorganize test and QA teams to make effective use of individual abilities
 - One math-head can support many testers
- 2. Retrain test and QA teams
 - Use a process like MDTD
 - Learn more testing concepts
- 3. Encourage researchers to embed and isolate
 - We are very responsive to research grants
- Get involved in curricular design efforts through industrial advisory boards

Criteria Summary

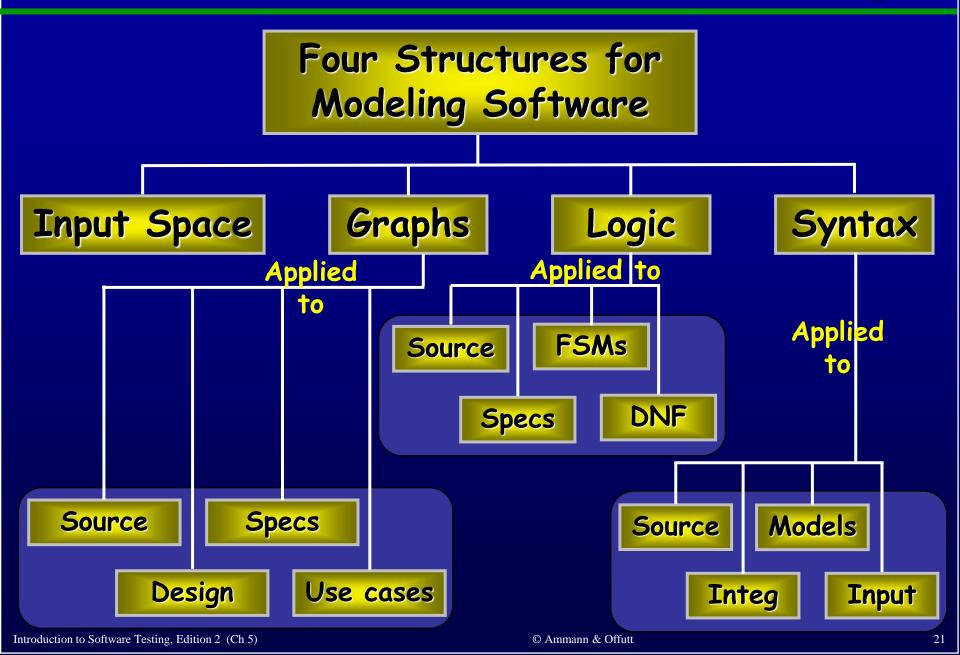
- Many companies still use "monkey testing"
 - A human sits at the keyboard, wiggles the mouse and bangs the keyboard
 - No automation
 - Minimal training required
- Some companies automate human-designed tests
- But companies that use both automation and criteriabased testing

Save money

Find more faults

Build better software

Structures for Criteria-Based Testing



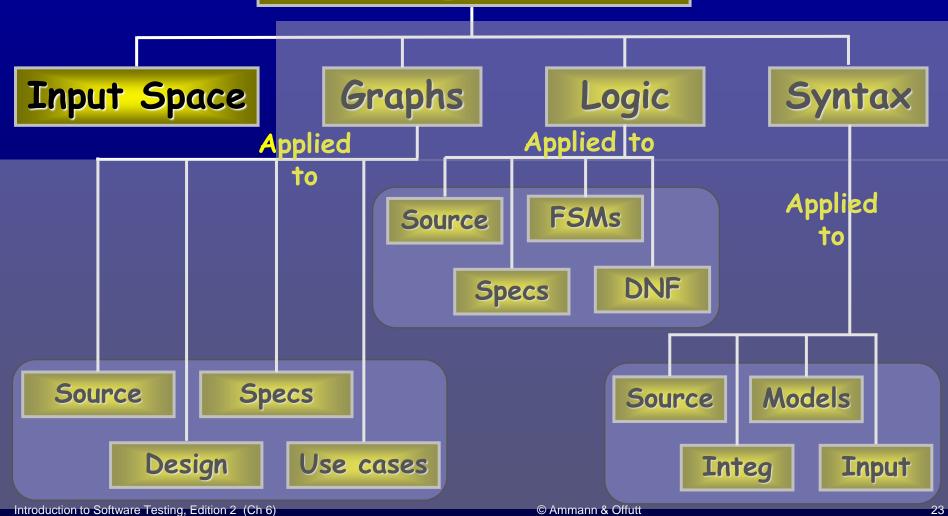
Introduction to Software Testing Chapter 6 Input Space Partition Testing

Paul Ammann & Jeff Offutt

http://www.cs.gmu.edu/~offutt/softwaretest/

Ch. 6: Input Space Coverage

Four Structures for Modeling Software



Benefits of ISP

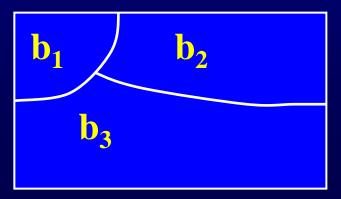
- Can be equally applied at several levels of testing
 - Unit
 - Integration
 - System
- Relatively easy to apply with no automation
- Easy to adjust the procedure to get more or fewer tests
- No implementation knowledge is needed
 - Just the input space

Input Domains

- The input domain for a program contains all the possible inputs to that program
- For even small programs, the input domain is so large that it might as well be infinite
- Testing is fundamentally about choosing finite sets of values from the input domain
- Input parameters define the scope of the input domain
 - Parameters to a method
 - Data read from a file
 - Global variables
 - User level inputs
- Input domains are partitioned into regions (blocks)
- At least one value is chosen from each block

Partitioning Domains

- Domain D
- Partition scheme q of D
- The partition q defines a set of blocks, $Bq = b_1, b_2, ..., b_Q$
- The partition must satisfy two properties :
 - I. Blocks must be pairwise disjoint (no overlap)
 - 2. Together the blocks cover the domain D (complete)



$$b_i \cap b_j = \Phi, \forall i \neq j, b_i, b_j \in B_q$$

In-Class Exercise

Design a partitioning for all integers

That is, partition integers into blocks such that each block seems to be equivalent in terms of testing

Make sure your partition is valid:

1) Pairwise disjoint
2) Complete

Using Partitions – Assumptions

- Choose a value from each block
- Each value is assumed to be equally useful for testing
- Application to testing
 - Find characteristics in the inputs : parameters, semantic descriptions, ...
 - Partition each characteristic
 - Choose tests by combining values from characteristics
- Example Characteristics
 - Input X is null
 - Order of the input file F (sorted, inverse sorted, arbitrary, ...)
 - Min separation of two aircraft
 - Input device (DVD, CD, VCR, computer, ...)

Choosing Partitions

- Choosing (or defining) partitions seems easy, but is easy to get wrong
- Consider the characteristic "order of file F"

```
b<sub>1</sub> = sorted in ascending order

b<sub>2</sub> = sor Design blocks for ler

that characteristic

b<sub>3</sub> = arb. crar, order
```

but ... something's fishy ...

```
What if the file is of length 1?

Can you find the

The file problem? locks

That is, disjointness is not satisfied
```

```
Solution:

Each characteristic should address just one property

Can you think of

a solution?

- c1.b1 = true
- c1.b2 = false

C2: File F sorted descending
- c2.b1 = true
- c2.b2 = false
```

Properties of Partitions

- If the partitions are not complete or disjoint, that means the partitions have not been considered carefully enough
- They should be reviewed carefully, like any design
- Different alternatives should be considered
- We model the input domain in five steps ...
 - Steps I and 2 move us from the implementation abstraction level to the design abstraction level (from chapter 2)
 - Steps 3 & 4 are entirely at the design abstraction level
 - Step 5 brings us back down to the implementation abstraction level

Modeling the Input Domain

- Step 1 : Identify testable functions
 - Individual methods have one testable function
 - Methods in a class often have the same characteristics
 - Programs have more complicated characteristics—modeling documents such as UML can be used to design characteristics
 - Systems of integrated hardware and software components can use devices, operating systems, hardware platforms, browsers, etc.
- Step 2: Find all the parameters
 - Often fairly straightforward, even mechanical
 - Important to be complete
 - Methods: Parameters and state (non-local) variables used
 - Components: Parameters to methods and state variables
 - System : All inputs, including files and databases

Modeling the Input Domain (cont)

- Step 3: Model the input domain
 - The domain is scoped by the parameters
 - The structure is defined in terms of characteristics
 - Each characteristic is partitioned into sets of blocks
 - Each block represents a set of values
 - This is the most creative design step in using ISP
- Step 4: Apply a test criterion to choose combinations of values
 - A test input has a value for each parameter
 - One block for each characteristic
 - Choosing all combinations is usually infeasible
 - Coverage criteria allow subsets to be chosen
- Step 5: Refine combinations of blocks into test inputs
 - Choose appropriate values from each block

Two Approaches to Input Domain Modeling

I. Interface-based approach

- Develops characteristics directly from individual input parameters
- Simplest application
- Can be partially automated in some situations

2. Functionality-based approach

- Develops characteristics from a behavioral view of the program under test
- Harder to develop—requires more design effort
- May result in better tests, or fewer tests that are as effective

Input Domain Model (IDM)

1. Interface-Based Approach

- Mechanically consider each parameter in isolation
- This is an easy modeling technique and relies mostly on syntax
- Some domain and semantic information won't be used
 - Could lead to an incomplete IDM
- Ignores relationships among parameters

1. Interface-Based Example

- Consider method triang() from class TriangleType on the book website:
 - http://www.cs.gmu.edu/~offutt/softwaretest/java/Triangle.java
 - http://www.cs.gmu.edu/~offutt/softwaretest/java/TriangleType.java

```
public enum Triangle { Scalene, Isosceles, Equilateral, Invalid }
public static Triangle triang (int Side, int Side2, int Side3)
// Side1, Side2, and Side3 represent the lengths of the sides of a triangle
// Returns the appropriate enum value
```

The IDM for each parameter is identical

Reasonable characteristic: Relation of side with zero

2. Functionality-Based Approach

- Identify characteristics that correspond to the intended functionality
- Requires more design effort from tester
- Can incorporate domain and semantic knowledge
- Can use relationships among parameters
- Modeling can be based on requirements, not implementation
- The same parameter may appear in multiple characteristics, so it's harder to translate values to test cases

2. Functionality-Based Example

Again, consider method triang() from class TriangleType:

The three parameters represent a triangle

The IDM can combine all parameters

Reasonable characteristic: Type of triangle

Steps 1 & 2—Identifying Functionalities, Parameters and Characteristics

- A creative engineering step
- More characteristics means more tests
- Interface-based: Translate parameters to characteristics
- Candidates for characteristics :
 - Preconditions and postconditions
 - Relationships among variables
 - Relationship of variables with special values (zero, null, blank, ...)
- Should not use program source—characteristics should be based on the input domain
 - Program source should be used with graph or logic criteria
- Better to have more characteristics with few blocks
 - Fewer mistakes and fewer tests

In-Class Exercise

```
public boolean findElement (List list, Object element)
// Effects: if list or element is null throw NullPointerException
// else return true if element is in the list, false otherwise
```

Work with 2 or 3 classmates

```
Create two IDMs for findElement ():
1) Interface-based
2) Functionality-based
```

Steps 1 & 2—Interface & Functionality-Based

```
public boolean findElement (List list, Object element)
// Effects: if list or element is null throw NullPointerException
// else return true if element is in the list, false otherwise
```

Interface-Based Approach

Two parameters: list, element

Characteristics:

list is null (block1 = true, block2 = false)

list is empty (block1 = true, block2 = false)

Functionality-Based Approach

Two parameters : list, element

Characteristics:

number of occurrences of element in list

(0, 1, >1)

element occurs first in list

(true, false)

element occurs last in list

(true, false)

Step 3: Modeling the Input Domain

- Partitioning characteristics into blocks and values is a very creative engineering step
- More blocks means more tests
- Partitioning often flows directly from the definition of characteristics and both steps are done together
 - Should evaluate them separately sometimes fewer characteristics can be used with more blocks and vice versa
- Strategies for identifying values:
 - Include valid, invalid and special values
 - Sub-partition some blocks
 - Explore boundaries of domains
 - Include values that represent "normal use"
 - Try to balance the number of blocks in each characteristic
 - Check for completeness and disjointness

Interface-Based -triang()

• triang() has one testable function and three integer inputs

First Characterization of TriTyp's Inputs

Characteristic	b _l	b ₂	b ₃
q ₁ = "Relation of Side 1 to 0"	greater than 0	equal to 0	less than 0
q ₂ = "Relation of Side 2 to 0"	greater than 0	equal to 0	less than 0
q_3 = "Relation of Side 3 to 0"	greater than 0	equal to 0	less than 0

- A maximum of 3*3*3 = 27 tests
- Some triangles are valid, some are invalid
- Refining the characterization can lead to more tests ...

Interface-Based IDM—triang()

Second Characterization of triang()'s Inputs

Characteristic	b _l	b ₂	b ₃	b ₄
q ₁ = "Refinement of q	greater than I	equal to 1	equal to 0	less than 0
$q_2 = \text{``Refinement of } q_2$	greater than I	equal to 1	equal to 0	less than 0
$q_3 = $ "Refinement of q_3 "	greater than I	equal to 1	equal to 0	less than 0

- A maximum of 4*4*4 = 64 tests
- Complete because the inputs are integers (0 . . I)

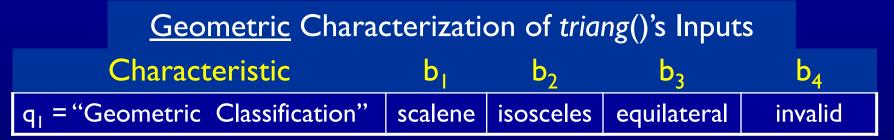
Possible values for partition q1

Characteristic b_1 b_2 b_3 b_4 Side I 2 I 0 -1

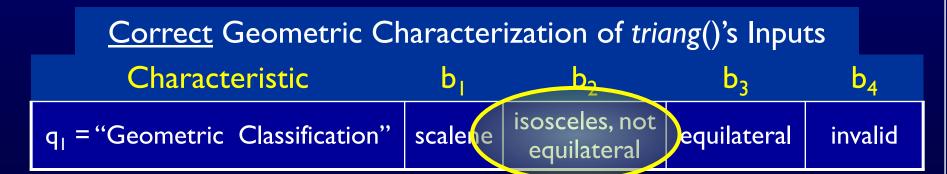
Test boundary conditions

Functionality-Based IDM—triang()

- First two characterizations are based on syntax—parameters and their type
- A semantic level characterization could use the fact that the three integers represent a triangle

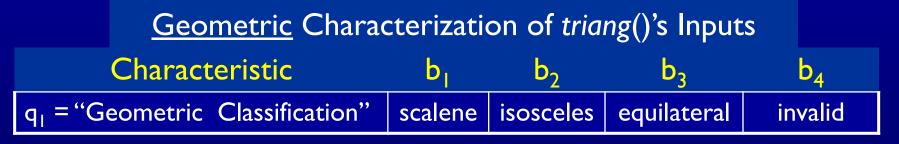


- Oops ... something's fishy ... equilateral等边 is also isosceles等腰!
- We need to refine the example to make characteristics valid



Functionality-Based IDM—triang()

- First two characterizations are based on syntax—parameters and their type
- A semantic level characterization could use the fact that the three integers represent a triangle



- Equilateral is also is What's wrong with this
- We need to refine

partitioning?

cteristics valid

Correct Geometric Characterization of triang()'s Inputs Characteristic b_4 b isosceles, not q₁ = "Geometric Classification" equilateral invalid scalene equilateral

Functionality-Based IDM—triang()

 A different approach would be to break the geometric characterization into four separate characteristics

Charactoristic

Four Characteristics for triang()

Characteristic	Βl	D ₂
q _I = "Scalene"	True	False
q ₂ = "Isosceles"	True	False
$q_3 = "Equilateral"$	True	False
q ₄ = "Valid"	True	False

- Use constraints to ensure that
 - Equilateral = True implies Isosceles = True
 - Valid = False implies Scalene = Isosceles = Equilateral = False

Using More than One IDM

- Some programs may have dozens or even hundreds of parameters
- Create several small IDMs
 - A divide-and-conquer approach
- Different parts of the software can be tested with different amounts of severity
 - For example, some IDMs may include a lot of invalid values
- It is okay if the different IDMs overlap
 - The same variable may appear in more than one IDM

In-Class Exercise

Work with 2 or 3 classmates

What two properties must be satisfied for an input domain to be properly partitioned?

Step 4 – Choosing Combinations of Values (6.2)

- Once characteristics and partitions are defined, the next step is to choose test values
- We use criteria to choose effective subsets
- The most obvious criterion is to choose all combinations

All Combinations (ACoC): All combinations of blocks from all characteristics must be used.

- Number of tests is the product of the number of blocks in each characteristic : $\prod_{i=1}^{Q} (B_i)$
- The second characterization of triang() results in 4*4*4 = 64 tests
 - Too many ?

ISP Criteria – All Combinations

 Consider the "second characterization" of Triang as given before:

Characteristic	b ₁	b ₂	b ₃	b ₄
q_1 = "Refinement of q_1 "	greater than I	equal to 1	equal to 0	less than 0
q_2 = "Refinement of q_2 "	greater than I	equal to 1	equal to 0	less than 0
q_3 = "Refinement of q_3 "	greater than I	equal to 1	equal to 0	less than 0

• For convenience, we relabel the blocks:

Characteristic	b _l	b ₂	b ₃	b ₄
Α	AI	A2	A3	A4
В	ВІ	B2	В3	B4
С	CI	C2	C3	C4

ISP Criteria – ACoC Tests

A2 BI CI AI BI CI A3 BI CI A4 BI CI A2 BI C2 AI BI C2 A3 BI C2 A4 BI C2 AI BI C3 A2 BI C3 A3 BI C3 A4 BI C3 AI BI C4 A2 BI C4 A3 BI C4 A4 BI C4 AIB2CI A2 B2 C1 A3 B2 C1 A4 B2 C1 AI B2 C2 A2 B2 C2 A3 B2 C2 A4 B2 C2 A4 B2 C3 AI B2 C3 A2 B2 C3 A3 B2 C3 AI B2 C4 A2 B2 C4 A3 B2 C4 A4 B2 C4 AI B3 CI A2 B3 C1 A3 B3 CI A4 B3 C1 AI B3 C2 A2 B3 C2 A3 B3 C2 A4 B3 C2 AI B3 C3 A2 B3 C3 A3 B3 C3 A4 B3 C3 AI B3 C4 A2 B3 C4 A3 B3 C4 A4 B3 C4 A3 B4 C1 A4 B4 CI AI B4 CI A2 B4 C1 AI B4 C2 A2 B4 C2 A3 B4 C2 A4 B4 C2 AI B4 C3 A2 B4 C3 A3 B4 C3 A4 B4 C3

A2 B4 C4

ACoC yields 4*4*4 = 64 testsfor Triang!

This is almost certainly more than we need

Only 8 are valid (all sides greater than zero)

AI B4 C4

A3 B4 C4 A4 B4 C4

ISP Criteria – Each Choice

- 64 tests for triang() is almost certainly way too many
- One criterion comes from the idea that we should try at least one value from each block

Each Choice Coverage (ECC): One value from each block for each characteristic must be used in at least one test case.

• Number of tests is the number of blocks in the largest characteristic : $Max_{i=1}^{Q}(B_i)$

```
For triang(): AI, BI, CI
A2, B2, C2
A3, B3, C3
A4, B4, C4
```

```
Substituting values: 2, 2, 2

I, I, I

0, 0, 0

-I, -I, -I
```

ISP Criteria – Pair-Wise

- Each choice yields few tests—cheap but maybe ineffective
- Another approach combines values with other values

<u>Pair-Wise Coverage (PWC)</u>: A value from each block for each characteristic must be combined with a value from every block for each other characteristic.

• Number of tests is at least the product of two largest characteristics $\left(\frac{\mathbf{Max}}{\mathbf{ax}} \right)^{Q} * \left(\frac{\mathbf{Max}}{\mathbf{ax}} \right)^{Q} = \mathbf{Max}^{Q} = \mathbf{B_{j}}$

```
For triang(): AI, BI, CI AI, B2, C2 AI, B3, C3 AI, B4, C4
A2, BI, C2 A2, B2, C3 A2, B3, C4 A2, B4, CI
A3, BI, C3 A3, B2, C4 A3, B3, CI A3, B4, C2
A4, BI, C4 A4, B2, CI A4, B3, C2 A4, B4, C3
```

ISP Criteria –T-Wise

 A natural extension is to require combinations of t values instead of 2

t-Wise Coverage (TWC): A value from each block for each group of t characteristics must be combined.

- Number of tests is at least the product of t largest characteristics
- If all characteristics are the same size, the formula is $(Max_{i=1}^{Q}(B_i))^t$
- If t is the number of characteristics Q, then all combinations
- That is ... *Q-wise = AC*
- t-wise is expensive and benefits are not clear

ISP Criteria - Base Choice

- Testers sometimes recognize that certain values are important
- This uses domain knowledge of the program

Base Choice Coverage (BCC): A base choice block is chosen for each characteristic, and a base test is formed by using the base choice for each characteristic. Subsequent tests are chosen by holding all but one base choice constant and using each non-base choice in each other characteristic.

• Number of tests is one base test + one test for each other block $1 + \sum_{i=1}^{Q} (B_i - 1)$

```
For triang(): <u>Base</u> AI, BI, CI AI, BI, C2 AI, B2, CI A2, BI, CI AI, BI, C3 AI, B3, CI A3, BI, CI AI, BI, C4 AI, B4, CI A4, BI, CI
```

Base Choice Notes

- The base test must be feasible
 - That is, all base choices must be compatible
- Base choices can be
 - Most likely from an end-use point of view
 - Simplest
 - Smallest
 - First in some ordering
- Happy path tests often make good base choices
- The base choice is a crucial design decision
 - Test designers should document why the choices were made

ISP Criteria – Multiple Base Choice

We sometimes have more than one logical base choice

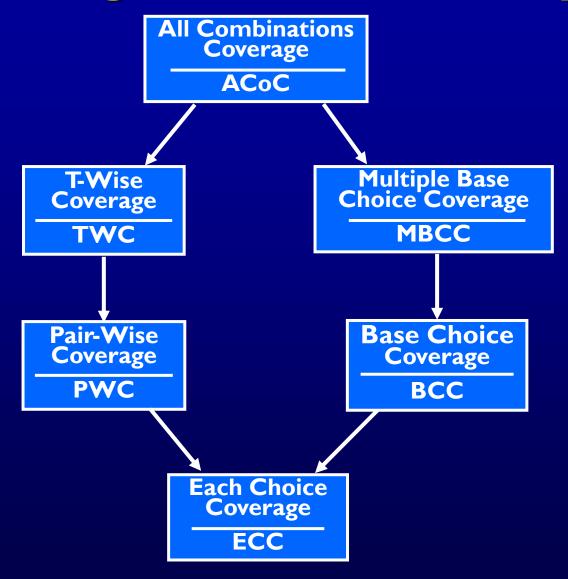
Multiple Base Choice Coverage (MBCC): At least one, and possibly more, base choice blocks are chosen for each characteristic, and base tests are formed by using each base choice for each characteristic at least once. Subsequent tests are chosen by holding all but one base choice constant for each base test and using each non-base choice in each other characteristic.

• If M base tests and m_i base choices for each characteristic: $M + \sum_{i=1}^{Q} (M * (B_i - m_i))$

```
For triang(): Bases

AI,BI,CI AI,BI,C3 AI,B3,CI A3,BI,CI A1,BI,C4 A1,B4,CI A4,BI,CI A2,B2,C2 A2,B2,C3 A2,B3,C2 A3,B2,C2 A2,B2,C4 A2,B4,C2 A4,B2,C2
```

ISP Coverage Criteria Subsumption



Constraints Among Characteristics

• Some combinations of blocks are infeasible

- (6.3)
- "less than zero" and "scalene" ... not possible at the same time
- These are represented as constraints among blocks
- Two general types of constraints
 - A block from one characteristic cannot be combined with a specific block from another
 - A block from one characteristic can ONLY BE combined with a specific block form another characteristic
- Handling constraints depends on the criterion used
 - ACC, PWC, TWC: Drop the infeasible pairs
 - BCC, MBCC: Change a value to another non-base choice to find a feasible combination

Example Handling Constraints

public boolean findElement (List list, Object element)
// Effects: if list or element is null throw NullPointerException
// else return true if element is in the list, false otherwise

Characteristic	Block I	Block 2	Block 3	Block 4
A : length and contents	One element	More than one, unsorted	More than one, sorted	More than one, all identical
B : match	element not found	element found once	element found more than once	
Invalid combinations: (AI, B3), (A4, B2)				

element cannot be in a one-element list more than once

If the list only has one element, but it appears multiple times, we cannot find it just once

Input Space Partitioning Summary

- Fairly easy to apply, even with no automation
- Convenient ways to add more or less testing
- Applicable to all levels of testing unit, class, integration, system, etc.
- Based only on the input space of the program, not the implementation

Simple, straightforward, effective, and widely used