

#### **Communication Lead Description**

- Ensures all communication deliverables (document, minutes, agendas, ppts, reports) meet requirements
- Acts as point of contact for SEW
- Disseminates communication reminders to team
- Encourages team to incorporate ethical framework and creative & critical thinking



# Ethical Considerations for Data Professionals

Dr. Sarah Egan Warren, Class of 2024



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**CLASS ONE THEME: FRAMEWORKS** 



#### **What is Data Ethics**

YOUR definition



#### What is Data Ethics

- "Data ethics can be defined as the branch of ethics that studies and evaluates moral problems related to data (including generation, recording, curation, processing, dissemination, sharing and use), algorithms (including artificial intelligence, artificial agents, machine learning and robots) and corresponding practices (including responsible innovation, programming, hacking and professional codes), in order to formulate and support morally good solutions (e.g. right conducts or right values)."
  - Luciano Floridi and Mariarosaria Taddeo, Royal Society Publishing
- "Data ethics encompasses the moral obligations of gathering, protecting, and using personally identifiable information and how it affects individuals."

- "Data ethics refers to the principles behind how organizations gather, protect, and use data."
- "Data Ethics are the norms of behavior that promote appropriate judgments and accountability when acquiring, managing, or using data, with the goals of protecting civil liberties, minimizing risks to individuals and society, and maximizing the public good."
   Federal Data Strategy Data Ethics Framework

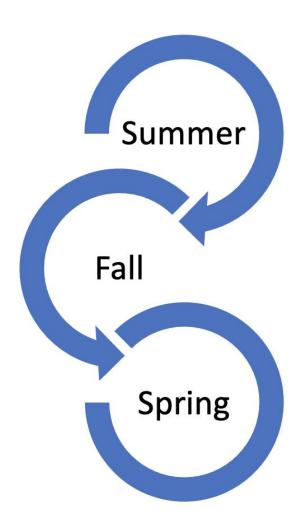
#### "Data ethics encompasses the moral obligations of gathering, protecting, and using personally identifiable information and how it affects individuals." <u>Harvard Business</u> School

- "Big data ethics also known as simply data ethics refers to systemizing, defending, and recommending concepts of right and wrong conduct in relation to data, in particular personal data." <u>Wikipedia</u>
- "Data ethics is the study of the ethical principles and values that guide the collection, use, and sharing of data." **Bard**
- "Data ethics refers to the moral principles and guidelines governing the responsible and just collection, use, sharing, and management of data. It involves considering the impact of data-driven actions on individuals, society, and privacy, while striving to minimize potential harms and ensure fairness, transparency, and accountability throughout the data lifecycle."
   ChatGPT



#### **Agenda**

- Overview & Expectations
- Revisiting Ethical Case Studies from Communication Week
- Frameworks
- Assignments



#### **Ethical Considerations for Data Professionals**

- Persuasion (ethos/logos/pathos)
- Critical & Creative Thinking
- Ethical Data Storytelling (speaking)
- How to be an Anti-Racist Data Scientist
- Ethics Case Studies
- Frameworks
- Guest Speakers
- Open Pedagogy Resource: Data Ethics Repository
- Practicum considerations/One Pager
- Persuasion
- Critical & Creative Thinking
- Ethical Data Storytelling (speaking & writing)
- Bias
- Persuasion
- Critical & Creative Thinking
- Ethical Data Storytelling (speaking & writing)

#### **ECDP Classes Fall 1**

Class	1	Aug	23	Lecture
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Class 2 Aug 25 Guest Speaker Zoom (Emily Hadley)

Class 3 Aug 30 Lecture, Team

Class 4 Sept 11 Lecture, Small Group Sharing

Class 5 Sept 14 Guest Speaker Zoom (Patrick Hall)

Final Sept 27-28 Practicum Team Meetings with SEW

# Revisit Communication Week

Ethical Case Studies

What were the themes from the cases?

- Automation
- Autonomy
- Capabilities
- Censorship
- Consequentialism
- Contextual Integrity
- Determinism
- Diversity
- Downstream Responsibility
- Fairness
- Fallibility
- Foundations of legitimacy

- Inequality
- Irreconcilability
- Neutrality
- Paternalism
- Privacy
- Representational Harms
- Research Ethics
- Rhetoric
- Rights
- Secrecy
- Sovereignty
- Transparency

- Automation: Al still needs humans
- **Autonomy:** individuals ability to make decisions for self
- Capabilities: Al designed to save time
- Censorship: content moderation?
- **Consequentialism:** do the ends justify the means VS somethings are impermissible even if a good outcome
- **Contextual integrity:** appropriateness use of an individual's information according to how well that use conforms to the reasonable expectations the individual had when consenting to share the information.
- **Determinism:** all events are causally inevitable... all events are determined completely by previously existing causes
- **Diversity** variety of people/ideas
- **Downstream Responsibility** once it leaves your hands, you may not have control **Fairness**: equal opportunity or equality of outcomes
- Fallibility: what is produced by algorithms are PROBABILITIES not certainties.
- Foundations of legitimacy: claim that users WANTED the feature.

- Inequality: can come from skewed data collection.
- Irreconcilability: holding two competing principles
- Neutrality: value judgements about what is good/bad
- **Paternalism:** Do intentions matter? Helping with good ends. How to balance freedom and outcomes
- Representational Harm: categorizing could harm participant, undermine identity
- Research ethics: Human subjects, IRB
- Rhetoric: words matter
- Rights: balance benefit and rights
- **Secrecy:** some secrets are needed to protect from bad actors?
- Sovereignty: issue of citizenship
- **Transparency:** open to sharing the ends, means, and thought processes about a project

### Framework #1

The Five Cs:
Five framing guidelines
to help you think about
building data products

By DJ Patil, Hilary Mason, and Mike Loukides, 2018

https://www.oreilly.com/radar/the-five-cs/

#### The Five Cs

Control & Consistency & Consent Clarity Consequences Trust Transparency "You can't establish "You can't really "Trust requires "You must be able to "Risks can never be consent to anything understand what is trust between the consistency over eliminated completely. unless you're told happening to your people who are time. You can't trust However, many clearly what you're data... All too often, providing data and the unforeseen someone who is consenting to. users have no people who are using it unpredictable." consequences and Users must have effective control over without agreement unknown unknowns how their data is clarity about what about what data is could be foreseen and data they are used. They are given being collected and known, if only people providing, what is all-or-nothing choices, how that data will be had tried. All too often, going to be done or a convoluted set of used. **Agreement** unknown unknowns with the data, and options that make

are unknown because

we don't want to

know."

controlling access

overwhelming and

confusing."

starts with obtaining

consent to collect

and use data."

any downstream

consequences of

how their data is

used."

#### **Influential Practices for The Five Cs**

Consent	Clarity	Consistency & Trust	Control & Transparency	Consequences
"Ask whether appropriate and necessary consent has been provided."	"Inform users what they're consenting to."	"Restoring trust requires a prolonged period of consistent behavior."	"Give users greater control of their data."	"Ask whether the data that is being collected could cause harm to an individual or a group."

## Framework #2

**PRACTICE** 

Data Science Ethics

https://datascienceethics.com/data-science-ethics-in-practice/

#### P.R.A.C.T.I.C.E.

Protect Privacy	Abide by privacy regulations, respect subjects, safeguard information.
Retain Responsibility	Rectify issues and communicate clearly.
Anticipate Adversaries	Minimize potential harm by thinking of what could be abused
Collect Carefully	Only collect what you will use, document biases
Train Transparently	Be open about assumptions and data modifications
Incorporate Inclusivity	Gather varied perspectives
Consider Context	Adjust sensitivity based on potential downstream implications
Encode Equity	Use algorithms fairly and with as little bias as possible

# Framework #3

**DELICATE** 

#### IT'S A DELICATE ISSUE

<u>Privacy and Analytics - it's a DELICATE issue: A Checklist for Trusted Learning Analytics</u>

#### INFLUENTIAL PRACTICES

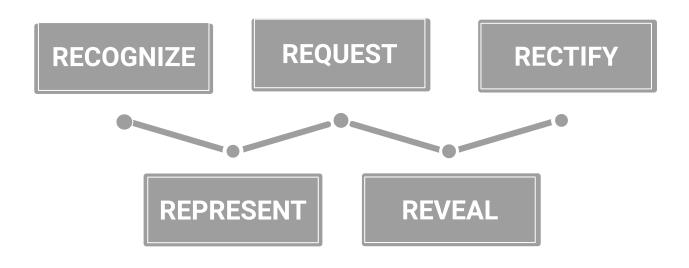
D	Determination: decide on objectives, rights, and processes
E	Explain: lay out transparent data lifecycle steps and responsibilities
L	Legitimate: determine authorizations and allowances
I	Involve: support participatory design, stakeholder input, and user agency
С	Consent: clearly communicate about data collection and allow user decision-making
Α	Anonymize: use anonymization and aggregation to protect user privacy
Т	Technical: monitor privacy processes and security standards
E	External: oversee external partners and their compliance with ethical standards

## Framework #4

The Five Rs of Data Science

Curated & Created by Brooke Belcher specifically for the Institute for Advanced Analytics with guidance by Dr. Sarah Egan Warren and input from current students and alumni, 2022

#### THE 5 Rs OF RESPONSIBLE DATA SCIENCE



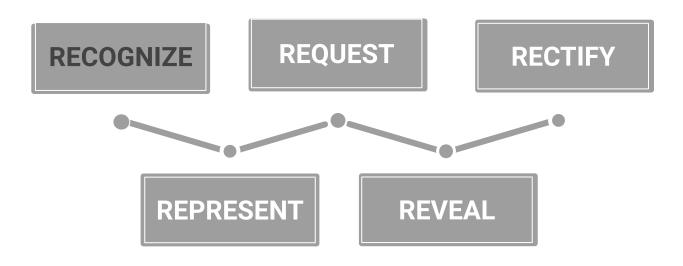
#### Biased algorithms impact products, outcomes, & people

Algorithmic Bias in the Real World

The Secret Bias
Hidden in Mortgage
Approval
Algorithms



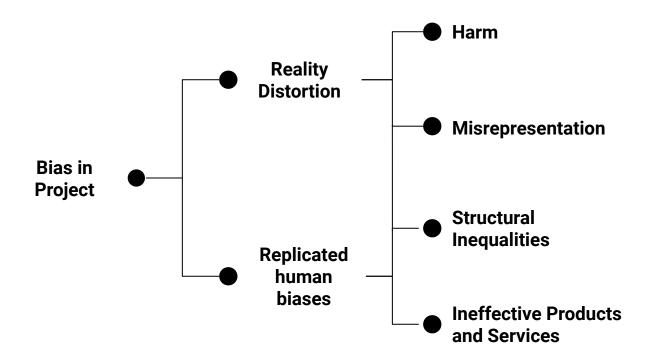
#### THE 5 Rs OF RESPONSIBLE DATA SCIENCE



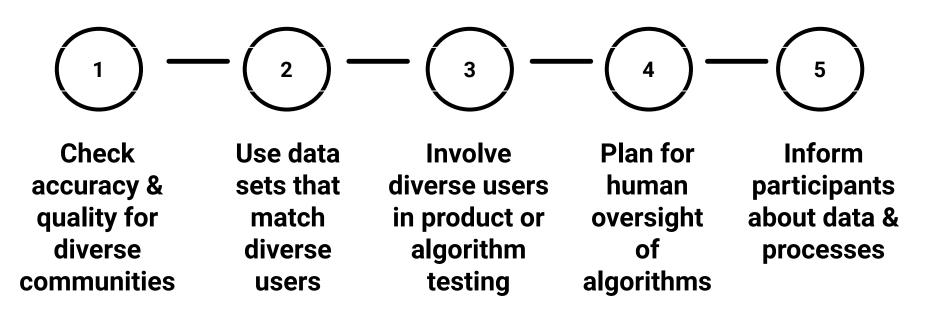
Recognizing bias and problems in data science projects has to be one of the first steps towards change

Recognize: identify bias & potential problems with diversity and user participation

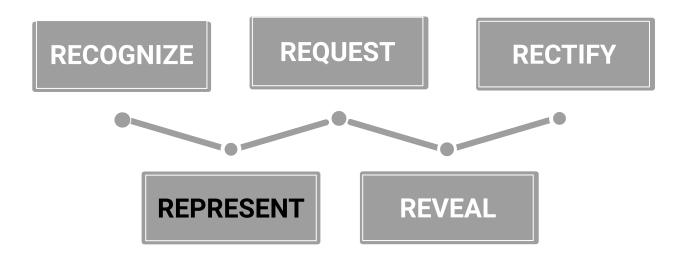
#### Recognize bias because of wide-reaching consequences



#### INFLUENTIAL PRACTICES: Reduce bias



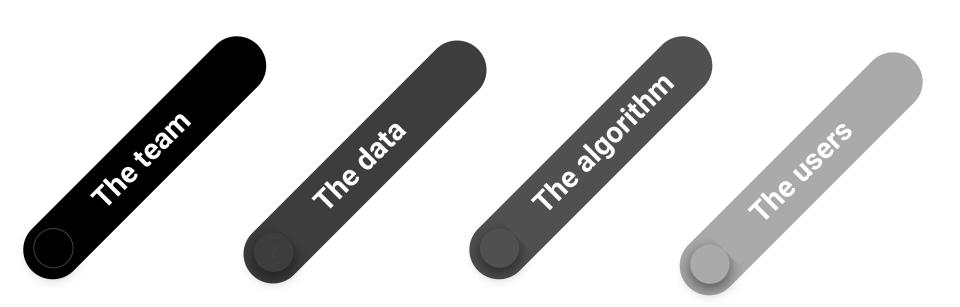
#### THE 5 Rs OF RESPONSIBLE DATA SCIENCE



Instead of focusing on the negative, how can the design / process promote fairness and equity?

Represent: promote diversity, participatory design, and user advocacy

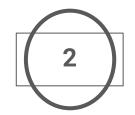
#### Bias/Lack of Diversity can have negative IMPACT



#### INFLUENTIAL PRACTICES: Encourage diversity



Assemble diverse, interdisciplinary teams to face diverse problems



Increase practitioner diversity in analytics & tech fields

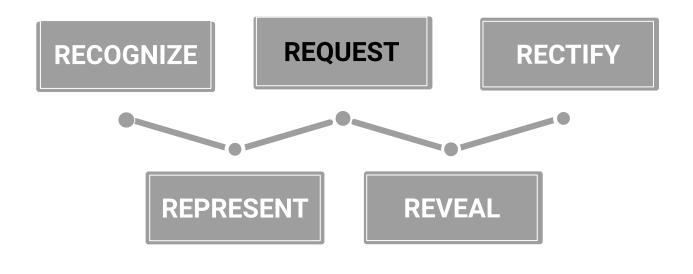


Use participatory design & research to involve under-represente d people

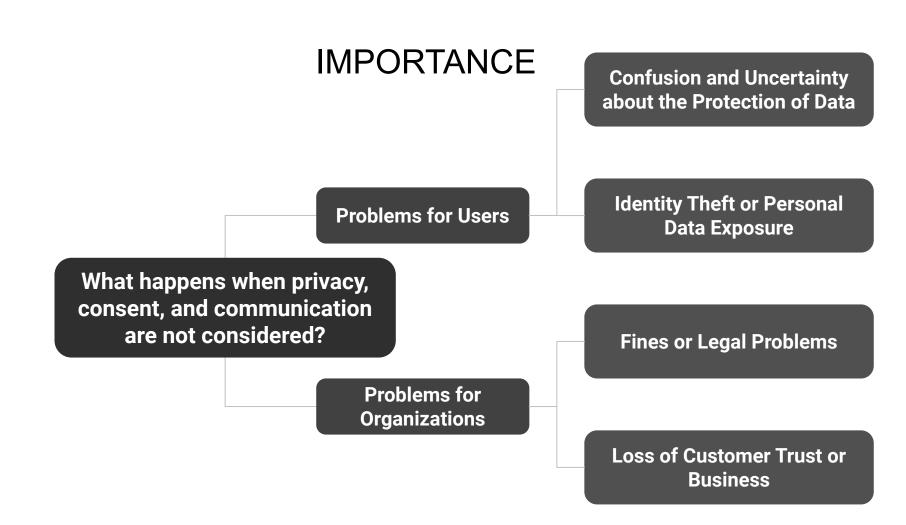


Emphasize the humanity of users while protecting their privacy

#### THE 5 Rs OF RESPONSIBLE DATA SCIENCE



**Request:** ask for clear consent to avoid privacy violations, user consent issues, and communication errors



#### INFLUENTIAL PRACTICES

**Identify Privacy, Consent, and User Communication Issues Early** 

Gaps in Privacy System Reidentification of Data

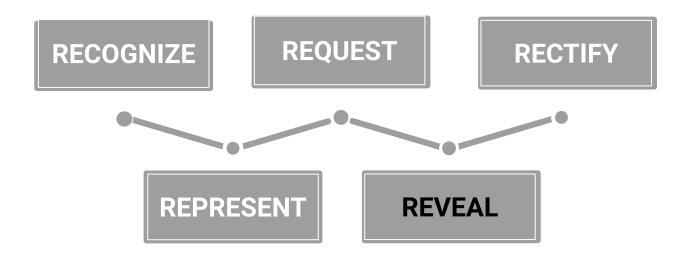
Unclear or Nonexistent Authorization Non-existent or Confusing Consent Lack of Algorithmic Transparency

Targeted Marketing that Exploits Users User Confusion about Data Collection & Use

Data Lifecycle Management Problems

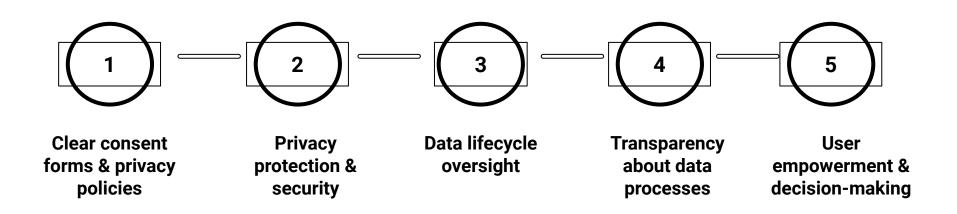
Responsibility Management Legal or Organizational Violations

#### THE 5 Rs OF RESPONSIBLE DATA SCIENCE

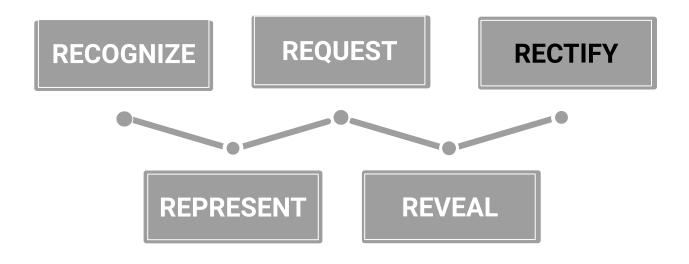


Reveal: act with transparency, regularity, and trustworthiness

#### IMPORTANCE: gain trust, be transparent, communicate



#### THE 5 Rs OF RESPONSIBLE DATA SCIENCE



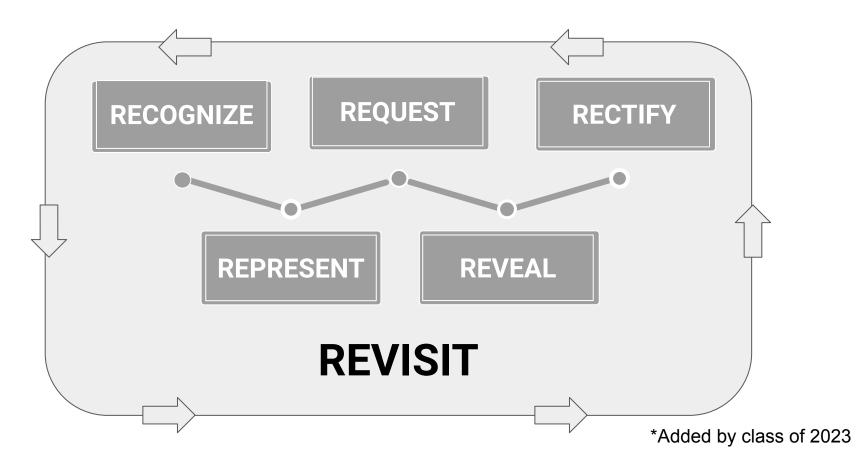
Rectify: make decisions, change processes, reduce harm, and promote benefits

How do data scientists make ethical decisions, change unethical systems, and promote benefits within various industries?

## A Framework for Ethical Decision-making

Red	cognize	<ul> <li>What processes are in place to identify bias in the data?</li> <li>What potential problems with the data or algorithm can you identify that could harm or misrepresent users?</li> <li>How will problems identified later in the process be rectified?</li> </ul>				
Rej	present	<ul> <li>How is diversity encouraged on your team?</li> <li>How is diversity encouraged in product testing, user participation, and training data?</li> <li>How is participatory design or user advocacy included in the project or organizational objectives?</li> </ul>				
Red	quest	<ul> <li>How are privacy concerns and risks throughout the data lifecycle addressed?</li> <li>Who is responsible for managing privacy, transparency, and clear communication in various project phases?</li> <li>How are complaints about consent, data collection, privacy violations, etc. handled?</li> </ul>				
Rev	veal	<ul> <li>How are you making the data collection and algorithm development process transparent to team members and stakeholders?</li> <li>Are consent forms and privacy policies readable, concise, and clear about data collection risks and security standards? Have these consent forms and privacy policies been tested by users?</li> </ul>				

## THE 6\* Rs OF RESPONSIBLE DATA SCIENCE



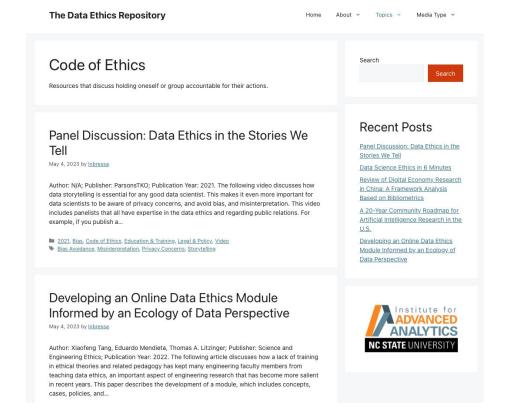
## Assignments

Individual and Team Assignments

## **ECDP Assignments Fall 1**

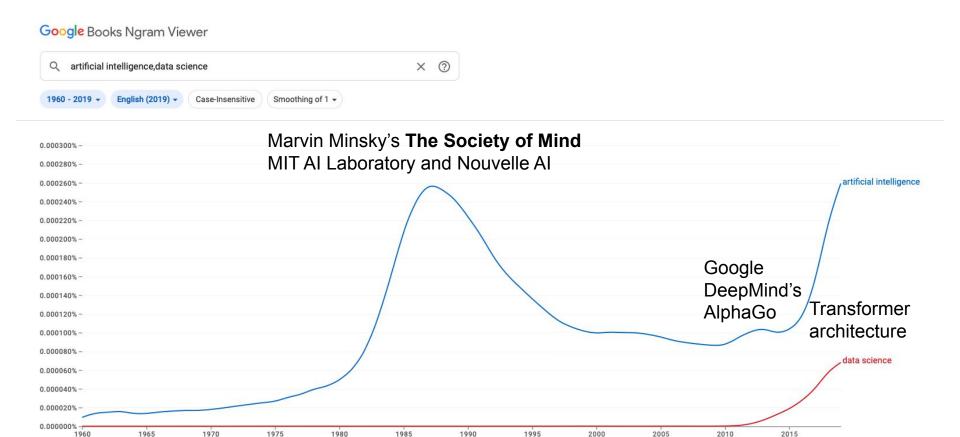
Individual	Practicum Team
8 contributions to the <b>Data Ethics Repository</b> *2 due weekly by 8am 8/30, 9/6, 9/13, 9/20	One Pager Ethics Framework/Considerations for YOUR practicum project
Questions for Guest Speakers Emily Hadley & Patrick Hall	10-minute team meeting about One Pager with SEW Scheduled for Sept 27/28
Informal small group discussions	
Participation	

## Data Ethics Repository (**DER SHEET**)

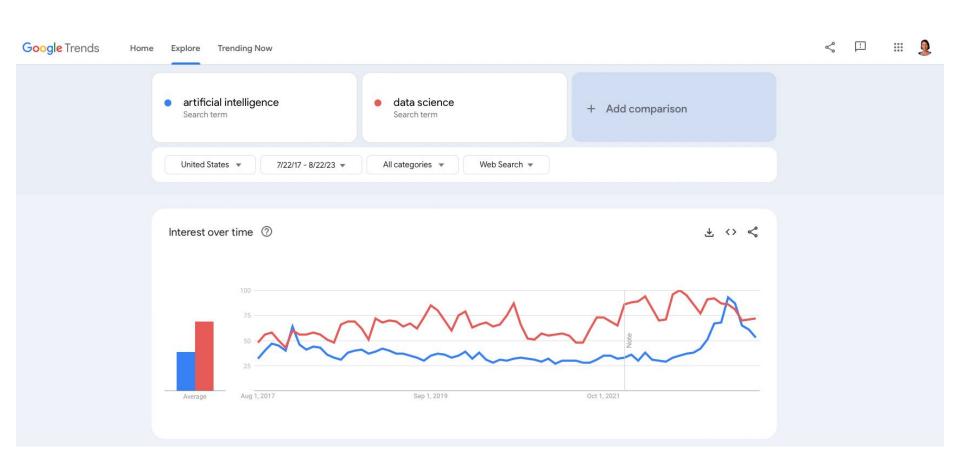


## Data Ethics Repository (<a href="DER SHEET">DER SHEET</a>)

- Each week, select TWO resources about data ethics (articles, chapters, books, videos, documentaries, podcasts, laws, regulations, white papers, websites, conferences, lunch-and-learns, microlearning, certifications...
  - Select resources from 2017-2023
  - Focus on practicum industry/field when you know your sponsor
- Write ~75-200 words explaining why the resources is important/interesting to you and why it would be helpful for other data professionals.
  - NOT a book/article/video review
  - Yes, provide an overview of content, but share why the resource is important
  - You may use AI to CHECK your draft. Do not use AI to create your response.
  - Things are changing daily—so the more recent resources are better



(click on line/label for focus)



## Assignments

#### Individual

- Meet the weekly deadlines for DER and Questions.
- You CAN work ahead.
- Ask if you have questions/concerns/ideas.
- It is **ok** for repeat entries that other students have already selected–ideally, check to see if someone else has already submitted a resource.
  - o If it is a duplicate, what can you add that the other person did not?

**Data Ethics Repository Sheet** 

## Assignments

#### **Team**

- Create a ONE PAGER that is focused on ethics framework or guidelines for your practicum team
- Create what is going to be most appropriate/useful for your team.
- There is NOT one way to do the one-pager (practice creative and critical thinking)
- You can start on this right away and then refine when you know your sponsor (or after you have met with your sponsor)

## **Options for Team One-Pager**

#### Team Ethics Framework and Considerations for Practicum Project

Use your Critical & Creative Thinking Skills

One Page Document/Slide about ethics for your practicum/framework

#### Lotus Blossom Brainstorming about ethics for your practicum/framework

- https://www.lucidmeetings.com/glossary/lotus-blossom-technique
- https://uxdesign.cc/the-lotus-blossom-method-ideation-on-steroids-100adb26a0c2

#### Morphological Matrix about ethics for your practicum/framework

https://hatrabbits.com/en/morphological-matrix/

#### Concept Map about ethics for your practicum/framework

- https://www.mural.co/use-case/mind-map?utm\_medium=paid-search&utm\_source=adwords&utm\_campaign=201101-Mind\_Maps&utm\_adgroup=Templates Mind\_Maps&utm\_campaign\_id=11208697411&utm\_content=mind%20map&utm\_adgroupid=110300561736&gclid=Cj0KCQjwj\_IKYBhC6ARIsAGEds-LOE734rkVZ8ZYbdzjOHosEqXtTtiwH29N7xisYKbLheN0yDPbhBQlaAo45EALw\_wcB
- https://lucidspark.com/blog/what-is-a-concept-map

Have a different idea? Talk to SEW to get it approved.

Brainstorming	Tutor/Learn something new	Practice for interview	ChatGPT	Bing	Bard	Cheating	Intellectual Property	Bias
Adjust tone/style	Benefits	Review final draft	CoPilot	Tools	Playground	Accessibility	Ethics	Access
Summarize	Create examples	Break writer's block	Wu Dao	Moonbeam	Jasper	Privacy	Transparency	Misuse
Al Bill of Rights	Supreme Court	Congress	Benefits	Tools	Ethics	Magic Phrases	"Let's take this step by step"	Personas
EU AI Act	Regulations	Copyright	Regulations	Al Text Generators	Prompts	Be specific	Prompts	Interact & Refine
NIST: AI Risk Management Framework	GDPR	AI and Data Act	Work	Higher Education	What's Next?	Context	Ask for the process	Stay on topic
Expectations of employers	"AI won't take your job. Another person using AI will take your job."	Productivity	Rethinking assignments	Data literacy	Involve students	Specialized ChatBots	Al integration	Legislation
Coding	Work	Reports	University policies	Higher c Education	lassroom policie	s Adapting to rapid change	What's Next?	Real time updates
Emails	Review process	Drafts	Incorporating w/in curriculum	Disrupt	Keep informed	The hype vs. reality	Human in the loop	Case Studies

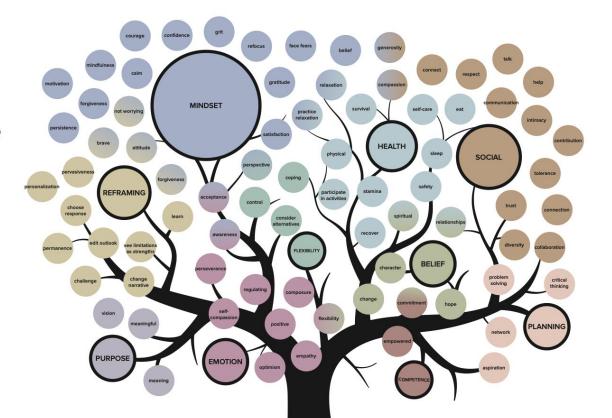
## Morphological Matrix for creating a new kind of pet

Attribute	SIZE	SKIN	DIET	HABITAT	BEHAVIOR
1	Tiny	Scaly	Herbivore	Salt water	Shy
2	Small	Furry	Carnivore	Fresh water	Friendly
3	Medium	Feathered	Omnivore	Desert	Aggressive
4	Large	Hairless	Cannibal	Forest	Curious
5	Really Big	Slimy	Estivator/ Hibernator	Jungle	Evil

Roll dice or pick random number for each row to generate (sometimes nonsensical) ideas..

#### The Resilience Tree

When people talk about resilience, these are the descriptions and actions that they discuss.



(c) 2021. Dr. Sarah Egan Warren and Dr. Sarah Glova. Learn more about The Two Sarahs at www.reifymedia.com.

This infographic is based on 18 definitions of resilience. To learn about the sources of these definitions, visit www.reifymedia.com/resiliencetree.

## What's Next

- Look at Moodle
- Submit at least one question for Emily Hadley in **Moodle** before Thursday at 5pm
- Emily's talk is Friday 1-3pm on Zoom
- Start working on DER and submit 2 by 8/30
- Start thinking about One Pager with Practicum Team

Mühlhoff, R. (2021, July 31) "Predictive privacy: towards an applied ethics of data analytics. *Ethics and Information Technology*, 23, 675–690 (2021). <a href="https://doi-org.prox.lib.ncsu.edu/10.1007/s10676-021-09606-x">https://doi-org.prox.lib.ncsu.edu/10.1007/s10676-021-09606-x</a>

Patil, D.J., Mason, H., and Loukides, M. (2018, July 25). Ethics and Data Science. O'Reilly Media.

Lang, C., Macfadyen, L.P., Slade, S., Prinsloo, P., & Sclater, N. (2018, March 7). The complexities of developing a personal code of ethics for learning analytics practitioners: implications for institutions and the field. *Proceedings of the 8<sup>th</sup> International Conference on Learning Analytics and Knowledge*, 436-440. https://doi.org/10.1145/3170358.3170396

**Explore More** 

Predictive Policy: towards an applied ethics of data analytics

The complexities of developing a personal code of ethics for learning analytics practitioners: implications for institutions and the field.

Dabas, A. (2020, July 27). "Algorithmic Bias in Real-World: Practical Examples of Bias." *Medium*. <a href="https://adabhishekdabas.medium.com/algorithmic-bias-in-real-world-b98808e01586">https://adabhishekdabas.medium.com/algorithmic-bias-in-real-world-b98808e01586</a>

Martinez, E. & Kirchner, L. (2021, August 25). "The Secret Bias Hidden in Mortgage-Approval Algorithms." *The Markup*. <a href="https://themarkup.org/denied/2021/08/25/the-secret-bias-hidden-in-mortgage-approval-algorithms">https://themarkup.org/denied/2021/08/25/the-secret-bias-hidden-in-mortgage-approval-algorithms</a>

Gerard. C. (2020, November 17). Bias in machine learning. In *Practical Machine Learning in JavaScript: TensorFlow.js for Web Developers*. (pp 305-316). Apress. <a href="https://doi.org/10.1007/978-1-4842-6418-8\_7">https://doi.org/10.1007/978-1-4842-6418-8\_7</a>

van Otterloo, S. (2018, May 11). "Algorithmic bias and how to avoid it in software projects." *ICT Institute*. <a href="https://ictinstitute.nl/algorithmic-bias-project-management/#:~:text=Algorithmic%20bias%20means%20that%20the,not%20aware%20of%20the%20bias.">https://ictinstitute.nl/algorithmic-bias-project-management/#:~:text=Algorithmic%20bias%20means%20that%20the,not%20aware%20of%20the%20bias.</a>

**Explore more:** 

Algorithmic Bias in the Real World

The Secret Bias Hidden in Mortgage Approval Algorithms

Avellan, T., Sharma, S., Turunen, M. (2020, January). "Al for all: defining the what, why, and how of inclusive Al." *Proceedings of the 23rd International Conference on Academic Mindtrek*, 142-144. https://doi-org.prox.lib.ncsu.edu/10.1145/3377290.3377317

Venture Beat. (2020, November 12). "Why AI can't move forward without diversity, equity, and inclusion." <a href="https://venturebeat.com/2020/11/12/why-ai-cant-move-rorward-without-diversity-equity-and-inclusion/#:~:text=The%20people%20who%20first%20notice,help%20mitigate%20unwanted%20AI%20biases</a>

Nguyen, M. (2020, August 27). "6 UX Researchers can be Advocates for Humans." *UX Magazine*. https://uxmag.com/articles/6-ways-ux-researchers-can-be-advocates-for-humans

#### **Explore more:**

The impact of diversity: a review of the evidence
Al for all: defining the what, why, and how of inclusive Al
Why Al can't move forward without diversity, equity, and inclusion
6 Ways UX Researchers can be Advocates for Humans

Patil, D.J., Mason, H., and Loukides, M. (2018, July 25). Ethics and Data Science. O'Reilly Media.

Wieringa, J., Kannan, P.K., Ma, X., Reutterer, T., Risselada, H., Skiera, B. (2021 January). "Data analytics in a privacy-concerned world." Journal of Business Research, volume 122, 915-925. <a href="https://doi.org/10.1016/j.jbusres.2019.05.005">https://doi.org/10.1016/j.jbusres.2019.05.005</a>.

Matthews, K. (2020, April 15). "6 examples of online privacy violation." *cybernews*. <a href="https://cybernews.com/privacy/6-examples-of-online-privacy-violation/">https://cybernews.com/privacy/6-examples-of-online-privacy-violation/</a>

Shreya [username]. (2021, August 6). "GDPR Fines: Biggest GDPR Violation Examples." *Cookie Law Info.* <a href="https://www.cookielawinfo.com/gdpr-fines-biggest-gdpr-violation-examples/">https://www.cookielawinfo.com/gdpr-fines-biggest-gdpr-violation-examples/</a>

Mühlhoff, R. (2021, July 31) "Predictive privacy: towards an applied ethics of data analytics. *Ethics and Information Technology*, 23, 675–690 (2021). https://doi-org.prox.lib.ncsu.edu/10.1007/s10676-021-09606-x

Herold, R. (2014, August 1). "10 Big Data Analytics Privacy Problems." SecureWorld. <a href="https://www.secureworld.io/industry-news/10-big-data-analytics-privacy-problems">https://www.secureworld.io/industry-news/10-big-data-analytics-privacy-problems</a>

Lang, C., Macfadyen, L.P., Slade, S., Prinsloo, P., & Sclater, N. (2018, March 7). The complexities of developing a personal code of ethics for learning analytics practitioners: implications for institutions and the field. *Proceedings of the 8<sup>th</sup> International Conference on Learning Analytics and Knowledge*, 436-440. <a href="https://doi.org/10.1145/3170358.3170396">https://doi.org/10.1145/3170358.3170396</a>

#### **Explore More:**

Ethics and Data Science, Data analytics in a privacy-concerned world, 6 examples of online privacy violation, GDPR Fines: Biggest GDPR Violation Examples, Predictive privacy: towards an applied ethics of data analytics, 10 Big Data Analytics Privacy Problems, and The complexities of developing a personal code of ethics for learning analytics practitioners: implications for institutions and the field

Patil, D.J., Mason, H., and Loukides, M. (2018, July 24). "The five Cs: Five framing guidelines to help you think about building data products." O'Reilly. https://www.oreilly.com/radar/the-five-cs/#:~:text=We%20call%20them%20the%20 five,the%20golden%20rule%20for%20data.

The Algorithmic Justice League (2020). "Learn More." https://www.ajl.org/learn-more

Morey, T., Forbath, T., and Schoop, A. (2015 May). "Customer Data: Designing for Transparency and Trust." *Harvard Business Review*. <a href="https://hbr.org/2015/05/customer-data-designing-for-transparency-and-trust">https://hbr.org/2015/05/customer-data-designing-for-transparency-and-trust</a>

Drachsler, H. and Greller, W. (2016 April). "Privacy and Analytics—it's a DELICATE Issue: A Checklist for Trusted Learning Analytics." 6th Learning Analytics and Knowledge Conference. DOI:10.1145/2883851.2883893

Explore More:

Privacy and Analytics - it's a DELICATE issue: A Checklist for Trusted Learning Analytics,



# Ethical Considerations for Data Professionals

Dr. Sarah Egan Warren, Class of 2024

CLASS TWO THEME: Emily Hadley



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**CLASS THREE THEME: BIAS** 



## **Agenda**

- Reflecting on Emily Hadley's Talk
- Alumni Hiwot Tesfaye video
- Types of Bias
  - More in the spring when we do Bias Case Studies
- Looking Ahead



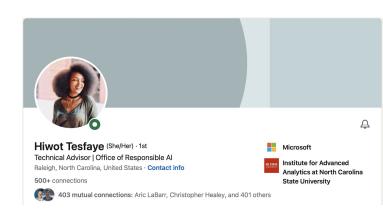
### **Alumni Voices**

Overview of Responsible AI in Practice,
Hiwot Tesfaye
Previously at SAS, now Microsoft
(Technical Advisor | Office of Responsible AI)

https://www.youtube.com/watch?v=CbaEC6pH3Cg

#### Longer discussion on a podcast

 https://podcasts.apple.com/us/podcast/analytics-exchange-podcasts-from-s as/id1531716452?i=1000511694194



## Cognitive Bias from Emily Hadley's Talk

- Bias is "deviation from a standard" Danks & London, 2017
  - Algorithmic Bias
  - Statistical Bias
  - Cultural Bias
  - Legal Bias
  - Moral Bias
  - Cognitive Bias

## **Cognitive Bias**

"Cognitive biases are unconscious errors in thinking that arise from problems related to memory, attention, and other mental mistakes... These biases result from our brain's efforts to **simplify** the incredibly complex world in which we live... A cognitive bias is a subconscious error in thinking that leads you to misinterpret information from the world around you, and affects the rationality and accuracy of decisions and judgments."

https://www.simplypsychology.org/cognitive-bias.htm

\*We will discuss explicit/implicit bias in more depth in the spring.

## Cognitive Bias Resources

- Cognitive Bias \ Ethics Defined
  - https://www.youtube.com/watch?v=TIOUnOWfw3M
- How to Outsmart Your Own Unconscious Bias
  - https://youtu.be/GP-cqFLS8Q4
- We All Have Implicit Biases. So What Can We Do About It?
  - https://www.youtube.com/watch?v=kKHSJHkPeLY

### Bias

#### **Confirmation Bias**

"When the person performing the data analysis wants to prove a predetermined assumption and will
intentionally exclude particular variables from an analysis until it comes to the wanted conclusion."

#### Selection Bias or Sample Bias

- "When the sample of data used is not a good reflection of the population."
  - o Incomplete or incorrect collection of data

#### Prejudice Bias

"Result of training data that is influenced by cultural or other stereotypes."

### Bias

#### **Expectation Bias**

Client believes they understand their data and don't readily agree to findings that contradict or surprise

#### **Look Ahead Bias**

Past may not predict the future

## **Cognitive Biases**

1 Anchoring	Over reliant on 1st piece of info	11 Overconfidence	Take greater risk because of belief	
2 Availability Heuristic	Overestimate the importance of info available (anecdote)	12 Placebo Effect	Believing in certain effect	
3 Bandwagon Effect	Groupthink	13 Pro-Innovation	Overvalue usefulness / undervalue limitations	
4 Blind-spot	Fail to recognize bias in yourself	14 Recency	Latest information is more important than older data	
5 Choice-supportive	Feel more positive about your choice even if flawed	15 Salience	Focus on most easily recognizable/notable information	
6 Clustering Illusion	Seeing patterns in random events	16 Selective Perception	Expectations influence perception, focus on interests, ignore uninteresting	
7 Conservatism	Favor prior evidence over new	17 Stereotyping	Expecting certain qualities	
8 Information	Seeking more info with no effect on decision ALSO measurement error	18 Survivorship (type of sample selection bias)	Misjudge because only looking at success	
9 Ostrich Effect	Ignore dangerous/negative info	19 Zero Risk	Certainty over any risk	
10 Outcome	Judging on outcome rather than HOW decision was made			

## **Activity**

In your homework teams

- Sketch your term/explain your term (be sure to put your term on the page)
- Include how the term is relevant to data science.
- Show how you can check for or alleviate the bias

Select one person to report out.

Talk through at Document Camera.

All 19 will be shared during next ECDP class.

## Looking Ahead

#### Continue adding entries to **DER**

- Please use a 1 and not an X in the columns F-R as shown in example
  - Go back and fix if you used X
- Working ahead is great!
- If you want to share beyond your required entries, add to the "Met the requirements, but found something to add?" tab (this is an ongoing project and will stretch beyond Fall 1)

#### **Work on One Pager with Practicum Team**

For ECDP Class 4, be prepared to teach your practicum team about your favorite resource so far. Not a formal presentation. Discuss takeaways, reflect on how it is important/interesting to you, and highlight how it is important to the field of analytics.



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CLASS FOUR THEME: Take Aways



## Agenda

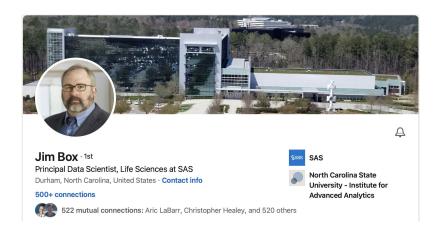
- Cognitive Bias Sketches (ADD LINK!)
- DER Review
- Alumni Video
- Sharing with Practicum Team
- Best of the Best
- Looking Ahead



## **Alumni Voices**

Jim Box
Principal Data Scientist, Healthcare &
Life Sciences at SAS

Jim Box video excerpt 12:18-20:54





## **Sharing**

- Share out the most important information from your resource to your practicum team
- Discuss take aways
- Reflect on how it is important/interesting to you
- Highlight how it is important to the field of data analytics
- Take turns (~2-3 minutes per person)
- Select best/most interesting resource to share with the whole group

#### 25 minutes



### **Best of the Best**

- Share the name of the resources.
- Reflect on how it is important/interesting to you
- Highlight how it is important to the field of data analytics
- 1-2 minutes

## Looking Ahead

- Continue adding entries to <u>DER</u>
- Submit question for Patrick Hall
- Work on Practicum Team One Pager (due September 27)



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CLASS FIVE THEME: Patrick Hall