

Polynomial Real Root Isolation Using Vincent's Theorem of 1836

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Budan's work of 1807

Vincent's Theorem of 1836

Uspensky's Extension of Vincent's Theorem

Various Implementations of Vincent's Theorem

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- ▶ To determine the values of the real roots, isolation is followed by **approximation** to any desired degree of accuracy.
- ▶ One of — if not — the first to employ the **isolation / approximation** approach was Budan and we begin our talk with him.

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- Uspensky's extension of Vincent's theorem, which appeared in his book published posthumously in 1948.
- VAS, one of the three methods derived from Vincent's theorem for the isolation of the real roots of polynomials.
- Bounds on the values of the positive roots, which determine the efficiency of VAS.

Descartes' rule of signs (1637) — saved from oblivion by Budan

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Consider the polynomial

$$p(x) = a_n x^n + \cdots + a_1 x + a_0,$$

where $p(x) \in \mathbb{R}[x]$ and let $\text{var}(p)$ represent the number of sign changes or variations (positive to negative and vice-versa) in the sequence of coefficients a_n, a_{n-1}, \dots, a_0 .

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Theorem

The number $\varrho_+(p)$ of real roots — multiplicities counted — of the polynomial $p(x) \in \mathbb{R}[x]$ in the open interval $(0, \infty)$ is bounded above by $\text{var}(p)$; that is, we have $\text{var}(p) \geq \varrho_+(p)$. If $\text{var}(p) > \varrho_+(p)$ then their difference is an even number.

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These two special cases above will be used as **termination criteria** in the real root isolation method VAS.

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Historical Note on Budan (1761-1840)

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- ▶ From Wikipedia we see that Ferdinand Francois Desire Budan de Boislaurent is considered an amateur mathematician, who **is best remembered** for his discovery of a rule which gives the necessary condition for a polynomial equation to have **no real roots within an open interval**.

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- ▶ From Wikipedia we see that Ferdinand Francois Desire Budan de Boislaurent is considered an amateur mathematician, who **is best remembered** for his discovery of a rule which gives the necessary condition for a polynomial equation to have **no real roots within an open interval**.

- ▶ Taken together with Descartes' Rule of signs, his theorem leads to an **upper bound** on the number of the real roots a polynomial has inside an open interval.

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Budan's Book of 1807

Budan's Book of 1807

**NOUVELLE MÉTHODE
POUR LA RÉSOLUTION
DES ÉQUATIONS NUMÉRIQUES
D'UN DEGRÉ QUELCONQUE;**

D'après laquelle tout le calcul exigé pour cette Résolution se réduit à l'emploi des deux premières règles de l'Algèbre-méthique :

PAR F. D. BUDAN, D. M. P.

On peut regarder ce poème comme le plus important de toute l'Analyse.....
Il convient de dresser dans l'Arithmétique, les règles de la Résolution des équations numériques, soit à renvoyer à l'Algèbre la démonstration de celles qui dépendent de la théorie générale des équations. (Traité de la Résolution des Équations numériques de tous les degrés, par J. L. Lacroix; Équation du même auteur aux Études normales) ».

A PARIS,

chez COURCIER, Imprimeur-Libraire pour les Mathématiques,
quai des Augustins, n° 57.

ANNÉE 1807.



Figure:

Budan's theorem of 1807 — to be found in Budan's book,
Vincent's paper of 1836 and our publications

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- ▶ $\text{var}(p(x + a)) \geq \text{var}(p(x + b))$.
- ▶ the number $\varrho_{ab}(p)$ of **real roots** of $p(x)$ located between a and b , satisfies the inequality $\varrho_{ab}(p) \leq \text{var}(p(x + a)) - \text{var}(p(x + b))$.

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- if $\varrho_{ab}(p) < \text{var}(p(x + a)) - \text{var}(p(x + b))$, then
 $\{\text{var}(p(x + a)) - \text{var}(p(x + b))\} - \varrho_{ab}(p) = 2k, k \in \mathbb{N}$.

Remarks on Budan's theorem

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- ▶ From Budan's theorem it follows that if the polynomials $p(x)$ and $p(x + 1)$ have the **same number of sign variations** then $p(x)$ has **no real roots** in the interval $(0, 1)$.

Remarks on Budan's theorem

- ▶ From Budan's theorem it follows that if the polynomials $p(x)$ and $p(x + 1)$ have the **same number of sign variations** then $p(x)$ has **no real roots** in the interval $(0, 1)$.

- ▶ On the other hand, if $p(x)$ has **more sign variations** than $p(x + 1)$, Budan investigates the **existence** or **absence** of real roots in the interval $(0, 1)$ by **mapping those roots in the interval $(0, \infty)$ so that he can use Descartes' rule of signs.**

Budan's termination criterion for the interval $(0, 1)$

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- To map the real roots of the interval $(0, 1)$ in the interval $(0, \infty)$ Budan makes the pair of substitutions $x \leftarrow \frac{1}{x}$ and $x \leftarrow 1 + x$ (which is equivalent to the substitution $x \leftarrow \frac{1}{1+x}$). His **termination criterion** states that ...

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- ▶ The number $\varrho_{01}(p)$ of real roots in the open interval $(0, 1)$ — multiplicities counted — of the polynomial $p(x) \in \mathbb{R}[x]$, is **bounded above** by the number of sign variations $\text{var}_{01}(p)$, where

$$\text{var}_{01}(p) = \text{var}\left((x+1)^{\deg(p)} p\left(\frac{1}{x+1}\right)\right).$$

That is, we have $\text{var}_{01}(p) \geq \varrho_{01}(p)$.

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121. THÉORÈME DE BUDAN. — *Étant donnée une équation quelconque $f(x) = 0$ de degré m , si dans les $m + 1$ fonctions*

$$(1) \quad f(x), \quad f'(x), \quad f''(x), \quad \dots, \quad f^m(x)$$

on substitue deux quantités réelles quelconques α et

Figure: Fourier's theorem in Serret's Algebra, Vol. 1, 1877.

Budan's Theorem overshadowed by Fourier's Theorem — b

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► **CAVEAT:** From Budan's statement it is easier to deduce that $\text{var}(p(x)) - \text{var}(p(x + 1)) = 0 \Rightarrow \varrho_{01}(p) = 0$, than it is from Fourier's statement.

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- ▶ **CAVEAT:** From Budan's statement it is easier to deduce that $\text{var}(p(x)) - \text{var}(p(x + 1)) = 0 \Rightarrow \varrho_{01}(p) = 0$, than it is from Fourier's statement.
- ▶ In his paper of 1836, Vincent presented both the Budan and the Fourier statement of this crucial theorem.

Recapping Budan's achievements — a

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- ▶ He had developed all the basic ingredients needed for the isolation of the real roots of polynomials and had a **very modern** point of view. However, he did not present a unifying theorem.
- ▶ He **revived** Descartes' rule of signs — forgotten for about 160 years — and first isolates the **positive** roots. To isolate the **negative** roots he sets $x \leftarrow -x$ and treats them as positive.
- ▶ To compute the coefficients of $p(x + 1)$ Budan developed in 1803 the special case, $a = 1$, of the **Ruffini** method to compute the coefficients of $p(x + a)$. Ruffini's method appeared in 1804 — and was independently rediscovered by **Horner** in 1819.

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- ▶ However, in general, his method for real root isolation has exponential computing time.

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- In other words, searching for a real root Budan proceeds by taking *unit* steps of the form $x \leftarrow x + 1$.

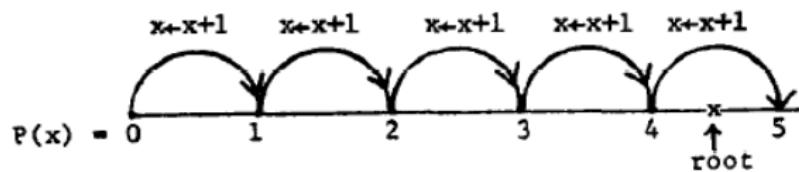


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- ▶ Vincent is best known for his [Cours de Géométrie Élémentaire, 1826](#), which reached a sixth edition and was published in German as well.
- ▶ He was a polymath. He wrote at least 30 papers on topics such as Mathematics, Archaeology, Philosophy, Ancient Greek Music etc.

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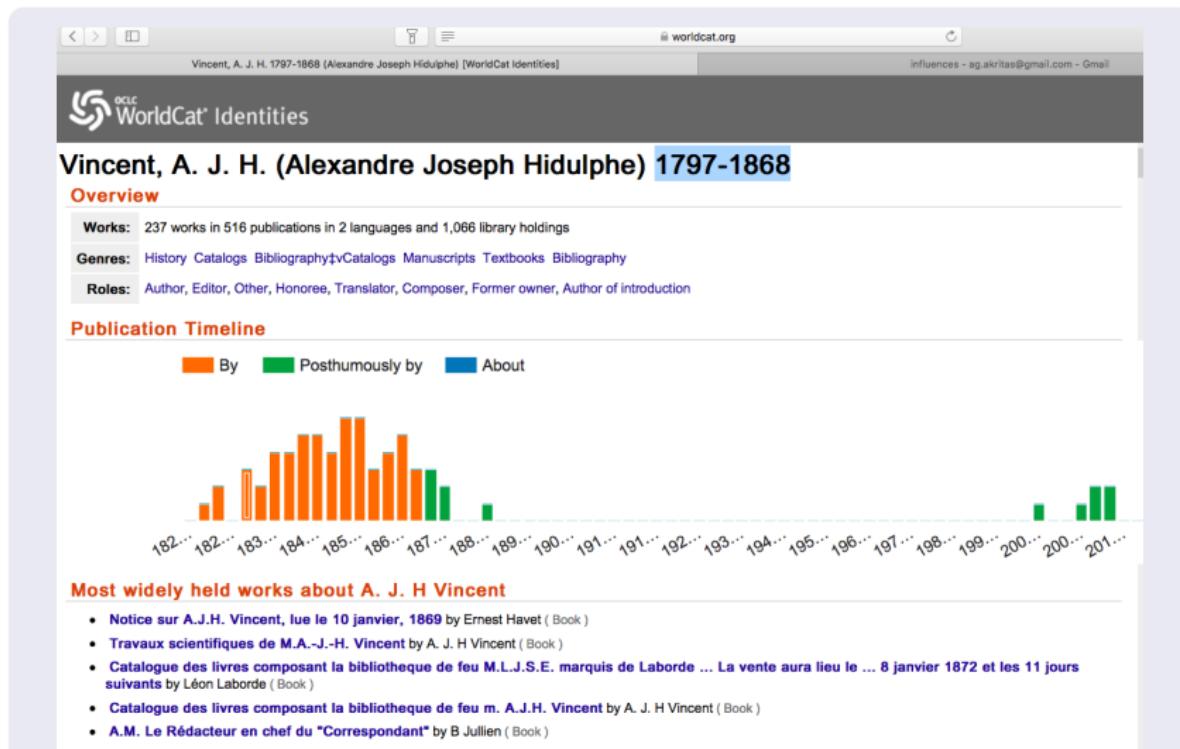
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Vincent's Publications Timeline

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If in a polynomial, $p(x)$, of degree n , with rational coefficients and **simple roots** we perform sequentially replacements of the form

$$x \leftarrow \alpha_1 + \frac{1}{x}, x \leftarrow \alpha_2 + \frac{1}{x}, x \leftarrow \alpha_3 + \frac{1}{x}, \dots$$

where $\alpha_1 \geq 0$ is an arbitrary non negative integer and $\alpha_2, \alpha_3, \dots$ are arbitrary positive integers, $\alpha_i > 0$, $i > 1$, then the resulting polynomial either has **no sign variations** or it has **one sign variation**. In the first case there are **no** positive roots whereas in the last case the equation has exactly **one** positive root, represented by the continued fraction

$$\alpha_1 + \cfrac{1}{\alpha_2 + \cfrac{1}{\alpha_3 + \cfrac{1}{\ddots}}}$$

Remarks on Vincent's Theorem — a

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- ▶ The requirement of the theorem that the roots of the polynomial be simple, does not restrict its generality, because we can always apply **square free factorization** and obtain polynomials with simple roots. That is, employing **polynomial gcd computations**, we can always obtain the factorization

$$p(x) = p_1(x)p_2(x)^2 \cdots p_k(x)^k,$$

where the roots of each $p_i(x)$, $i = 1, \dots, k$ are simple.

Remarks on Vincent's Theorem — b

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- ▶ The substitutions of the form $x \leftarrow \alpha_1 + \frac{1}{x}, \dots$ can be compactly written in the form of a Möbius substitution $M(x) = \frac{ax+b}{cx+d}$.

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- ▶ It employs **Descartes' termination test**, which is very efficiently executed.

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- ▶ The substitutions of the form $x \leftarrow \alpha_1 + \frac{1}{x}, \dots$ can be compactly written in the form of a Möbius substitution $M(x) = \frac{ax+b}{cx+d}$.
- ▶ It employs **Descartes' termination test**, which is very efficiently executed.
- ▶ The theorem **does not provide a bound** on the number of substitutions $x \leftarrow \alpha_1 + \frac{1}{x}, x \leftarrow \alpha_2 + \frac{1}{x}, x \leftarrow \alpha_3 + \frac{1}{x}, \dots$ that need to be performed in order to obtain a polynomial with **at most** one sign variation.

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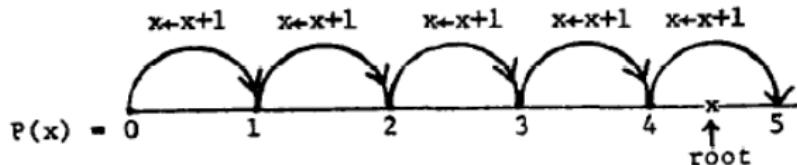
Vincent's search for a root

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Like Budan, Vincent searches for roots — that is, he computes each partial quotient α_i — by performing substitutions of the form $x \leftarrow x + 1$ — which correspond to $\alpha_i \leftarrow \alpha_i + 1$ — until the number of sign variations changes. Then he needs to investigate the **existence or absence** of real roots in $(0, 1)$ using **Budan's termination criterion**.

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References to Vincent's theorem — a

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- ▶ Vincent's article appeared a few years after Sturm had already solved the real root isolation problem using bisections (1827). Hence, there was little or no interest in Vincent's method, which was correctly perceived as exponential.

- ▶ In the 19-th century the theorem appeared with its proof but without examples only in Serret's Algebra — at least in the fourth edition of 1877 — and in its Russian translation.

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- ▶ ... where it was rediscovered by me in 1975 and formed the subject of my Ph.D. Thesis (1978).

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Recapping Vincent's achievements — a

- ▶ He presented and proved a theorem that unified the basic ingredients needed for the isolation of the real roots of polynomials. His theorem lacked a certain feature, but nonetheless was a significant step forward.
- ▶ He was fully aware of Budan's work and used **almost** all the tools developed by Budan in 1807.
- ▶ What can be considered a step backward, is that he **did not use Budan's method** for computing the coefficients of $p(x + 1)$. Instead, he computes them by employing **Pascal's triangle**.

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- ▶ The nature of the partial quotients $\alpha_1, \alpha_2, \alpha_3 \dots$ is not clear.

- ▶ Unclear is also the effect of the substitutions
 $x \leftarrow \alpha_1 + \frac{1}{x}, x \leftarrow \alpha_2 + \frac{1}{x}, x \leftarrow \alpha_3 + \frac{1}{x}, \dots$ on the roots with positive real part.

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 $x \leftarrow \alpha_1 + \frac{1}{x}, x \leftarrow \alpha_2 + \frac{1}{x}, x \leftarrow \alpha_3 + \frac{1}{x}, \dots$ on the roots with positive real part.
- ▶ Finally, as in Budan's case, his real root isolation method has **exponential** computing time.

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- ▶ He graduated from the University of St. Petersburg in 1906 and received his doctorate from the University of St. Petersburg in 1910. He was a member of the Russian Academy of Sciences from 1921.
- ▶ He joined the faculty of Stanford University in 1929-30 and 1930-31 as acting professor of mathematics. He was professor of mathematics at Stanford from 1931 until his death.

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Extension of Vincent's theorem by Uspensky

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If Δ is the **smallest distance** between any two roots of $p(x)$ having simple roots and degree n and F_i is the i -th **Fibonacci number** (seed numbers 1, 1) we need to perform at most m substitutions

$$x \leftarrow \alpha_1 + \frac{1}{x}, x \leftarrow \alpha_2 + \frac{1}{x}, x \leftarrow \alpha_3 + \frac{1}{x}, \dots, x \leftarrow \alpha_m + \frac{1}{\xi}$$

to obtain a **polynomial with at most 1 sign variation**. The index m is defined by

$$F_{m-1}\Delta > \frac{1}{2}, \quad \Delta F_m F_{m-1} > 1 + \frac{1}{\epsilon}$$

where

$$\epsilon = \left(1 + \frac{1}{n}\right)^{\frac{1}{n-1}} - 1.$$

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- ▶ Uspensky's proof is unnecessarily complicated and the bound m on the number of substitutions is way too high.
- ▶ From his theorem it follows that if a polynomial $p(x)$ has **one positive root** and all other roots with positive real part have been moved — through a suitable Möbius substitution — **inside a circle with center at -1 and radius ϵ** , then $\text{var}(p) = 1$.

Remarks on Uspensky's Theorem

- ▶ Uspensky's proof is unnecessarily complicated and the bound m on the number of substitutions is way too high.
- ▶ From his theorem it follows that if a polynomial $p(x)$ has **one positive root** and all other roots with positive real part have been moved — through a suitable Möbius substitution — **inside a circle with center at -1 and radius ϵ** , then $\text{var}(p) = 1$.
- ▶ As we will see, the **circle at -1 with radius ϵ** greatly **underestimates** the sector into which all other roots have to move, so that $\text{var}(p) = 1 \Leftarrow \varrho_+(p) = 1$.

Budan's work of 1807

Vincent's Theorem of 1836

Uspensky's Extension of Vincent's Theorem

Various Implementations of Vincent's Theorem

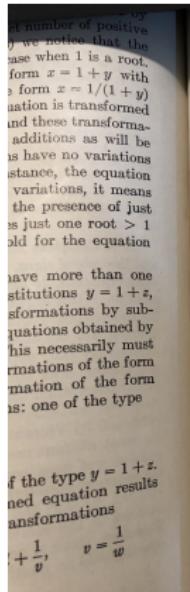
Uspensky's Bound on the Number of Substitutions

An Example

Recapping

Uspensky Uses the Same Example as Vincent — a

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in sufficient number lead to an equation with not more than one variation, and an additional transformation of the type $v = 1/w$ does not change the number of variations. Thus, it is certain that the above described process will lead to equations with not more than one variation. These general considerations will be better understood by examples to which we now turn.

Example 1. To separate the roots of the equation

$$x^3 - 7x + 7 = 0.$$

Let us examine first the positive roots. Now, if 1 is not a root, the positive roots are either greater than 1 or less than 1. The positive roots > 1 are of the form $x = 1 + y$, and the positive roots < 1 are of the form $x = 1/(1 + y)$ with positive y . Hence, to find the number of positive roots > 1 we transform the equation by the substitution $x = 1 + y$ and seek the number of positive roots of the transformed equation. Only additions are required to effect this transformation. In our example the necessary operations are as follows:

$$\begin{array}{r} 1 & 0 & -7 & 7 \\ \hline 1 & 1 & -6 & 1 \\ 1 & 2 & -4 \\ 1 & 3 \\ \hline 1 \end{array}$$

so that the transformed equation is

$$y^3 + 3y^2 - 4y + 1 = 0$$

and the number of positive roots of it may be zero or two. To perform the trans-
formation

$$x = \frac{1}{1+y}$$

we make two steps. First, x is replaced by $1/x$, which leads to

$$7x^3 - 7x^2 + 1 = 0.$$

The effect of this preliminary transformation is the reversal of the order of the coefficients. Next, we set $x = 1 + y$ in the new equation and perform the operations indicated:



Figure: Uspensky uses Budan's method, by then a special case of the established Ruffini-Horner method.

Uspensky Uses the Same Example as Vincent — b

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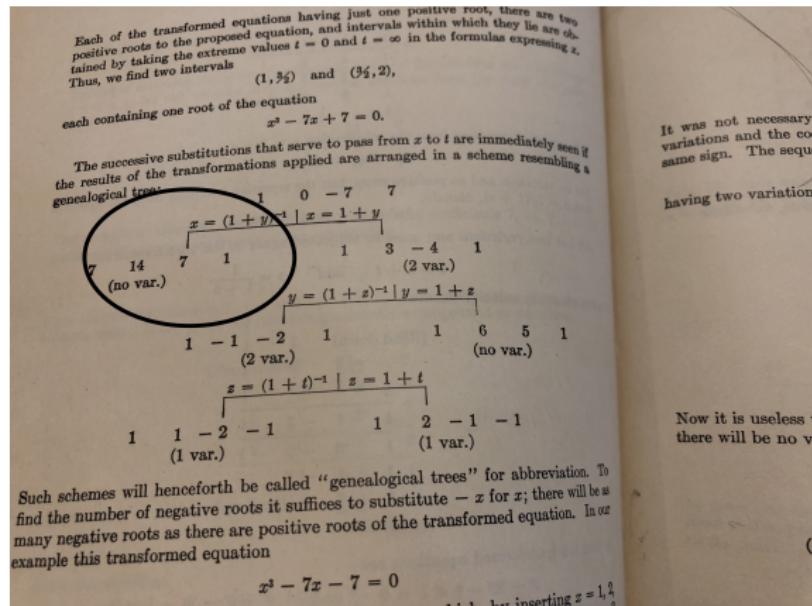


Figure: At the terminal nodes we have $M_L(x) = \frac{2x+3}{x+2}$ and $M_R(x) = \frac{x+3}{x+2}$.

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To make sure there is no root in $(0, 1)$ Uspensky “reinvented” Budan's **termination test** and after **each** substitution of the form $x \leftarrow x + 1$, he also performs the **redundant** substitution

$$x \leftarrow (x + 1)^{\deg(p)} p\left(\frac{1}{x + 1}\right).$$

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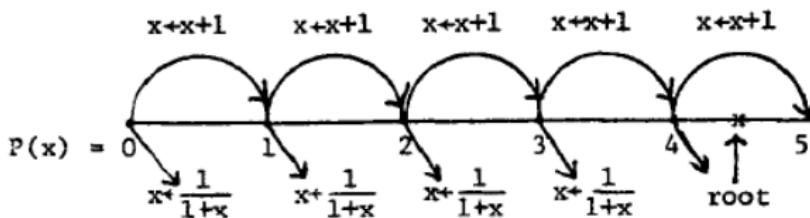
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- ▶ He proved that the purpose of the substitutions $x \leftarrow \alpha_1 + \frac{1}{x}, x \leftarrow \alpha_2 + \frac{1}{x}, x \leftarrow \alpha_3 + \frac{1}{x}, \dots$ is to force the roots with positive real part **inside a circle with center at -1 and radius ϵ .**

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- ▶ He definitely kept Vincent's theorem alive, and extended it by including the missing feature.
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- ▶ He presented the real root isolation process in tree form and **reintroduced Budan's method** for computing the coefficients of $p(x + 1)$.

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- ▶ Therefore, as in Budan's and Vincent's cases, the presented real root isolation method has **exponential** computing time.

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Historical note on Alesina and Galuzzi

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Historical note on Alesina and Galuzzi

- ▶ Alesina and Galuzzi understood Vincent's theorem so thoroughly that they gave an equivalent version of it — **the bisections version** — and provided a generalization of Budan's **termination test** for the interval $(0, 1)$.

- ▶ Moreover, they were the ones who discovered Obreschkoff's Sector (or Cone) and Circles theorem in his book of 1963 and used it to prove Vincent's theorem.

Vincent's Bisections theorem — by Alesina and Galuzzi, 2000

Let $f(z)$, be a real polynomial of degree n , which has only simple roots. It is possible to determine a positive quantity δ so that for every pair of positive real numbers a, b with $|b - a| < \delta$, every transformed polynomial of the form

$$\phi(z) = (1 + z)^n f\left(\frac{a + bz}{1 + z}\right)$$

has exactly 0 or 1 variations. The second case is possible if and only if $f(z)$ has a simple root within the open interval (a, b) .

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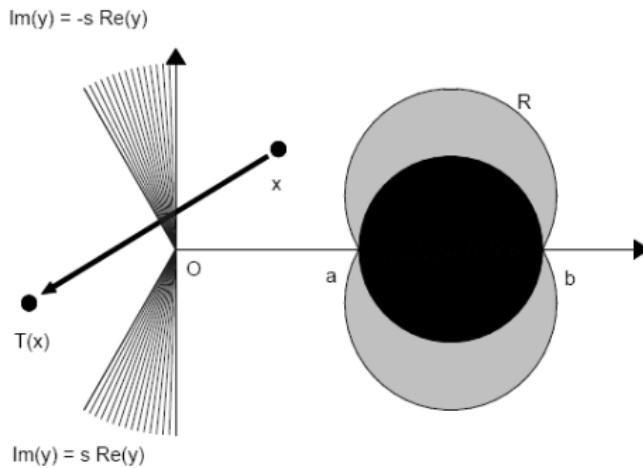
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If a real polynomial has **one** positive simple root x_0 and all the other — possibly multiple — roots lie in the sector

$$S_{\sqrt{3}} = \{x = -\alpha + i\beta \mid \alpha > 0 \text{ and } \beta^2 \leq 3\alpha^2\}$$

then the sequence of its coefficients has exactly **one** sign variation.

View of Obreschkoff's Cone and Circles. Diagram by Alesina and Galuzzi, 2000.



Real root isolation using Vincent's theorem

To isolate the positive roots of a polynomial $p(x)$, all we have to do is compute — for *each* root — the variables a, b, c, d of the corresponding Möbius substitution

$$M(x) = \frac{ax + b}{cx + d}$$

that leads to a transformed polynomial

$$f(x) = (cx + d)^n p\left(\frac{ax + b}{cx + d}\right)$$

with one sign variation.

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- ▶ either **by continued fractions**, leading to the continued fractions method developed by Vincent, Akritas and Strzeboński, (1978 / 1993 / 2008) the **VAS continued fractions** method,
- ▶ or, **by bisections**, leading to the methods developed by:
 - (a) Vincent, Collins and Akritas (1976), the **VCA bisection** method, and
 - (b) Vincent, Alesina and Galuzzi (2000), the **VAG bisection** method.

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- ▶ In my thesis I made 2 plausible **assumptions**: (a) that ℓb computes the **integer part of the smallest positive root**, and (b) that its value is bounded by the size of the polynomial coefficients.
- ▶ That is, we now set $\alpha_i \leftarrow \ell b$ or, equivalently, we perform the substitution $x \leftarrow x + \ell b$, which takes about the same time as the substitution $x \leftarrow x + 1$.

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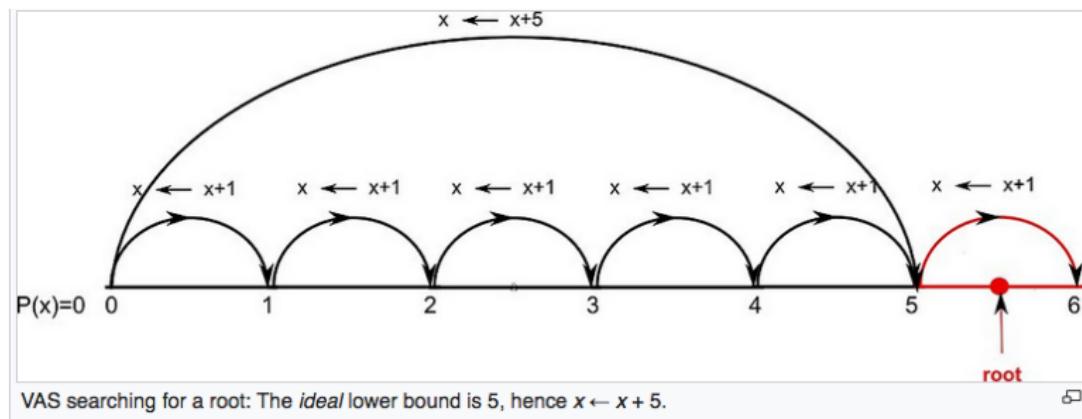


Figure: This way the theoretical computing time of Vincent's method became **polynomial**.

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- ▶ In the next section we will present two algorithms for evaluating ℓb_{computed} .

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The VAS algorithm — Input / Output

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VAS, 1978:

Input: The square-free polynomial $p(x) \in \mathbb{Z}[x]$, $p(0) \neq 0$, and the Möbius transformation $M(x) = \frac{ax+b}{cx+d} = x$, $a, b, c, d \in \mathbb{Z}$

Output: A list of isolating intervals of the **positive** roots of $p(x)$

Figure: The fastest implementation of Vincent's theorem.

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```

1 var  $\leftarrow$  the number of sign changes of  $p(x)$ ;
2 if var = 0 then RETURN  $\emptyset$ ;
3 if var = 1 then RETURN  $\{[a, b]\}$  // a = min(M(0), M( $\infty$ )), b =
max(M(0), M( $\infty$ ));
4  $\ell b \leftarrow$  a lower bound on the positive roots of  $p(x)$ ;
5 if  $\ell b > 1$  then { $p \leftarrow p(x + \ell b)$ ,  $M \leftarrow M(x + \ell b)$ };
6  $p_{01} \leftarrow (x + 1)^{\deg(p)} p\left(\frac{1}{x+1}\right)$ ,  $M_{01} \leftarrow M\left(\frac{1}{x+1}\right)$  // Look for real roots in
 $]0, 1[$  ;
7  $m \leftarrow M(1)$  // Is 1 a root? ;
8  $p_{1\infty} \leftarrow p(x + 1)$ ,  $M_{1\infty} \leftarrow M(x + 1)$  // Look for real roots in
 $]1, +\infty[$  ;
9 if  $p(1) \neq 0$  then
10 | RETURN VAS( $p_{01}, M_{01}$ )  $\cup$  VAS( $p_{1\infty}, M_{1\infty}$ )
11 else
12 | RETURN VAS( $p_{01}, M_{01}$ )  $\cup$   $\{[m, m]\}$   $\cup$  VAS( $p_{1\infty}, M_{1\infty}$ )
13 end

```

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- ▶ With the help of the Alesina-Galuzzi papers and without any assumptions, Sharma proved that VAS has polynomial computing time.

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- ▶ The Strzeboński substitution improved VAS even further.

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► Bounds on the absolute values of the roots work fine for the bisection methods, where they are computed only once at the start of the process.

► By contrast, at each step of the process, the VAS continued fractions method relies heavily on the repeated estimation of lower bounds on the values of the positive roots of polynomials.

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Let $p(x) = \alpha_n x^n + \alpha_{n-1} x^{n-1} + \dots + \alpha_0$, ($\alpha_n > 0$) be a polynomial of degree $n > 0$, with $\alpha_{n-k} < 0$ for at least one k , $1 \leq k \leq n$. If λ is the number of negative coefficients, then an upper bound on the values of the positive roots of $p(x)$ is given by

$$ub_C = \max_{\{1 \leq k \leq n : \alpha_{n-k} < 0\}} \sqrt[k]{-\frac{\lambda \alpha_{n-k}}{\alpha_n}}.$$

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Ştefănescu's theorem for pairing terms

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- (*Ştefănescu's theorem, 2005*) Let $p(x) \in R[x]$ be such that the number of variations of signs of its coefficients is **even**. If

$$p(x) = c_1 x^{d_1} - b_1 x^{m_1} + c_2 x^{d_2} - b_2 x^{m_2} + \dots + c_k x^{d_k} - b_k x^{m_k} + g(x),$$

with $g(x) \in R_+[x], c_i > 0, b_i > 0, d_i > m_i > d_{i+1}$ for all i , the number

$$ub_S = \max \left\{ \left(\frac{b_1}{c_1} \right)^{1/(d_1-m_1)}, \dots, \left(\frac{b_k}{c_k} \right)^{1/(d_k-m_k)} \right\}$$

is an upper bound for the positive roots of the polynomial p for any **choice** of c_1, \dots, c_k .

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Our splitting and pairing of terms in Cauchy's bound

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- ▶ We were inspired by Ștefănescu's theorem of 2005 and introduced the concept of **splitting terms**. By employing the principle of **splitting and pairing terms** they developed various improved bounds of **linear** and **quadratic** computational complexity.

- ▶ For Cauchy's bound, the splitting and pairing of terms can be seen if we rewrite the formula as

Our splitting and pairing of terms in Cauchy's bound

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- ▶ For Cauchy's bound, the splitting and pairing of terms can be seen if we rewrite the formula as

$$ub_C = \max_{\{1 \leq k \leq n : \alpha_{n-k} < 0\}} \sqrt[k]{-\frac{\alpha_{n-k}}{\frac{\alpha_n}{\lambda}}}$$

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Main idea of quadratic bounds:

- ▶ **Each** negative coefficient of the polynomial is paired with **all the preceding** positive coefficients and the **minimum** of the computed values is associated with this coefficient. The **maximum** of all those minimums is taken as the estimate of the bound.

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Local Max Quadratic, (LMQ)

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- For the polynomial $p(x) \in \mathbb{R}[x]$

$$p(x) = \alpha_n x^n + \alpha_{n-1} x^{n-1} + \dots + \alpha_0, \quad (\alpha_n > 0),$$

each negative coefficient $a_i < 0$ is “paired” with each one of the preceding positive coefficients a_j divided by 2^{t_j} — where t_j is initially set to 1 and is incremented each time the positive coefficient a_j is used — and the minimum is taken over all j ; subsequently, the maximum is taken over all i .

That is, we have:

$$ub_{LMQ} = \max_{\{a_i < 0\}} \min_{\{a_j > 0: j > i\}} \sqrt[j-i]{-\frac{a_i}{\frac{a_j}{2^{t_j}}}}.$$

Example

Consider the polynomial

$$x^3 + 10^{100}x^2 - 10^{100}x - 1,$$

which has one sign variation and, hence, one positive root **equal to 1**

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With **Cauchy**'s linear bound, we pair the terms:

- $\{\frac{x^3}{2}, -10^{100}x\}$ and $\{\frac{x^3}{2}, -1\}$,

and taking the maximum of the radicals we obtain a bound estimate of **$1.41421 * 10^{50}$** .

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which has one sign variation and, hence, one positive root **equal to 1**

With **LMQ**, the “Local Max” quadratic bound, we compute:

- ▶ the **minimum** of the two radicals obtained from the pairs of terms $\{\frac{x^3}{2}, -10^{100}x\}$ and $\{\frac{10^{100}x^2}{2}, -10^{100}x\}$ which is **2**, and
- ▶ the **minimum** of the two radicals obtained from the pairs of terms $\{\frac{x^3}{2^2}, -1\}$ and $\{\frac{10^{100}x^2}{2^2}, -1\}$ which is **$\frac{2}{10^{50}}$** .
- ▶ Therefore, the obtained estimate of the bound is **$\max\{2, \frac{2}{10^{50}}\} = 2$** .

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Good old quadratic complexity bounds

Good old quadratic complexity bounds

- ▶ Using *LMQ*, the performance of the VAS real root isolation method was speeded up by an average overall factor of **40%**.

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VAS vs VCA on Mignotte polynomials

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- ▶ The Mignotte polynomials are of the form $x^n - 2(c \cdot x - 1)^2$, for $c, n \geq 3$, have only 4 real roots and as the degree increases, 2 of the 3 positive roots get closer and closer together.

VAS vs VCA on Mignotte polynomials

- ▶ The Mignotte polynomials are of the form $x^n - 2(c \cdot x - 1)^2$, for $c, n \geq 3$, have only 4 real roots and as the degree increases, 2 of the 3 positive roots get closer and closer together.
- ▶ We test our methods on the Mignotte polynomial

$$x^{300} - 2(5x - 1)^2$$

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VAS has been implemented in *Mathematica* — version 7
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- ▶ — and it takes 0.046 seconds to isolate and approximate the roots of Mignotte's polynomial of degree 300.

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Figure: Isolating and approximating real roots with Mma 7

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VCA has been implemented in maple — version 11 shown below

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— and it takes **170 seconds** to just isolate the roots of Mignotte's polynomial of degree 300.

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```

> with(RootFinding) :
> f := x300 - 2(5x - 1)2;
                                         
$$f := x^{300} - 2 (5 x - 1)^2$$

> st := time( ) : Isolate(f, digits = 250) : time( ) - st;
                                         170.431
>
```

Figure: To isolate Mignotte's poly of degree 300

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Moreover, as the following frames indicate, **VAS** can be many times faster than numeric methods, which **cannot** compute just the positive roots! They compute **all** the roots (real and complex).

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Using Mma 7 (1/3 frames)

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with the 2 positive roots $\neq 1$.

- ▶ The numeric method `NRoots` used in Mma 7 takes **12.933 seconds** to find the two positive roots with 30 digits of accuracy.

```
f := 10999 (x - 1)50 - 1

Select[NRoots[f == 0, x, 30], Im[#[[2]]] == 0 &] //
Timing

{12.933, {x == 0.99999999999999999999999528714519 ||
           x == 1.000000000000000000000001047128548}}
```

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```
ints = RootIntervals[f][[1]] // Timing
{5.60316×10-16, {{0, 1}, {1, 2}}}
```

Figure: Using the function `RootIntervals` in Mma 7

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- ▶ ... and approximates them to 30 digits of accuracy in practically no time at all!

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```

ints = Last[ints];
FindRoot[f, {x, #[[1]], #[[2]]}, Method → Brent,
    WorkingPrecision → 30, MaxIterations → 200] & /@ ints // 
Timing

{0., {{x → 0.9999999999999999999989528714519},
{x → 1.000000000000000000000001047128548}}}

```

Figure: Using the function FindRoot in Mma 7

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- ▶ However, when we try to isolate the roots of a **sparse polynomial** of very large degree, say 100000, most CASs run out of memory.

Concluding remarks

- ▶ The theoretical results by Alesina-Galuzzi and Sharma improved our understanding of Vincents theorem.
- ▶ Additionaly, Ștefănescu's theorem of 2005 and our discovery and use of LMQ, the quadratic complexity bound on the values of the positive roots, made VAS the fastest real root isolation method.
- ▶ However, when we try to isolate the roots of a **sparse polynomial** of very large degree, say 100000, most CASs run out of memory.
- ▶ To solve the problem the VAS continued fractions method has been implemented using **interval arithmetic**.

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References

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