

Divide-and-Conquer

Overview

A divide-and-conquer algorithm has three main steps:

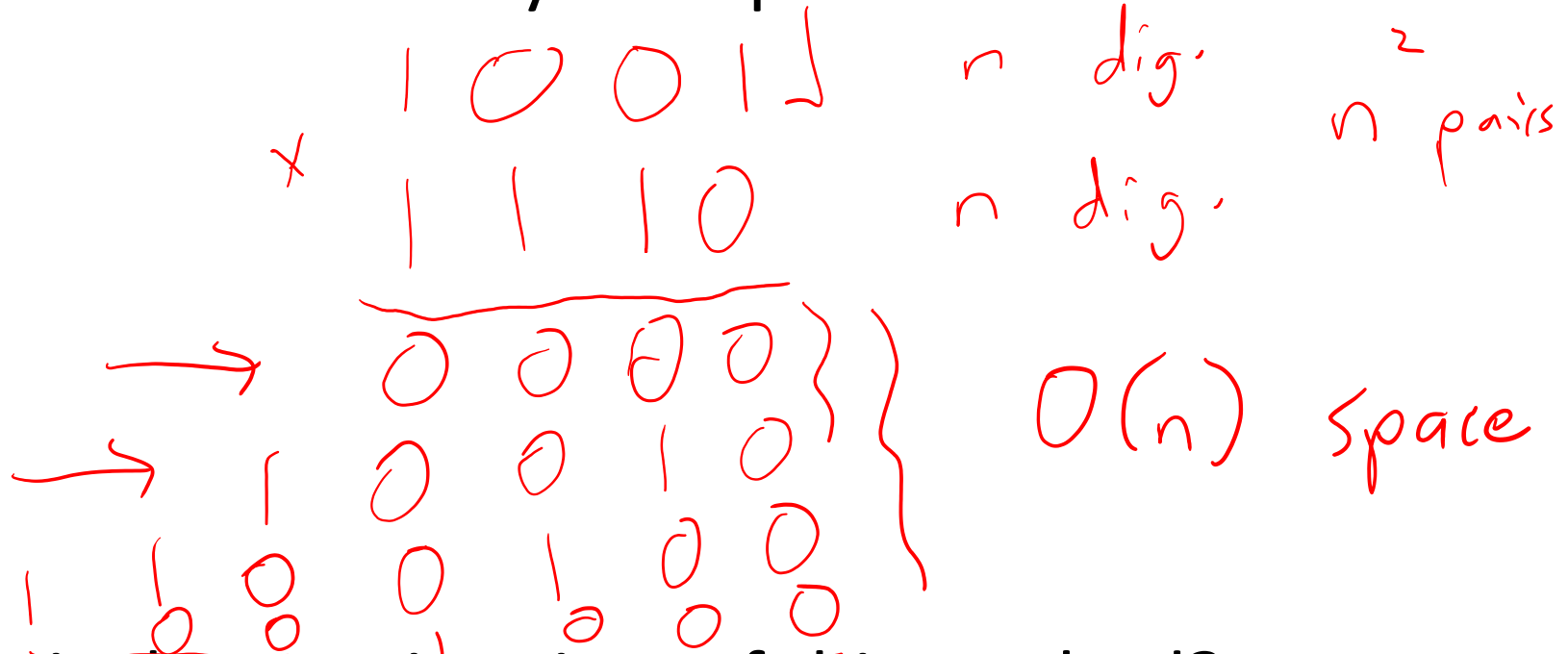
1. Break the problem into smaller subproblems
2. Recursively solve the subproblems
3. Combine the subproblem solutions to solve the original problem

Divide-and-Conquer: Multiplication

n-digit

pretended this is constant time

- How do we do binary multiplication?



- What is the ~~running time~~ of this method?

$O(n^2)$

n is # digits

Divide-and-Conquer: Multiplication v. 2

real + imaginary $i = \sqrt{-1}$ a, b, c, d real

- Complex number multiplication: i imaginary

$$(a + bi)(c + di) = ac - \underbrace{bd}_{bi \cdot di = b \cdot d \cdot i^2 = -bd} + (bc + ad)i$$

- 4 multiplications
- Can we do it with fewer multiplications?

Divide-and-Conquer: Multiplication v. 2

- Complex number multiplication:

$$(a + bi)(c + di) = \underline{ac} - \underline{bd} + (\underline{bc} + \underline{ad})i$$

$$\begin{array}{r} \downarrow \downarrow \downarrow \downarrow \\ 1001 \\ 1010 \\ \hline \end{array}$$

real mult. $O(n^2)$
real add/sub $O(n)$

or

4 mult
2 adds
1 subtraction

$$(a + bi)(c + di) = \underline{ac - bd} + ((a + b)(c + d) - \underline{ac - bd})i$$

3 multiplications!

3 mult.
3 add
3 sub

Divide-and-Conquer: Multiplication v. 2

- Complex number multiplication can be done with 3 multiplications, instead of 4
- But this is a constant factor improvement- how does it help us in big-O terms?

~~Q~~ original method = $4 \cdot O(n^2) + 3 \cdot O(n)$
new method = $3 \cdot O(n^2) + 6 \cdot O(n)$
both are $O(n^2)$

Divide-and-Conquer: Multiplication v. 3

- Multiplying binary numbers:

x, y ~ digits

$$\begin{aligned} x &= \boxed{x_L} \boxed{x_R} = (2^{n/2}x_L + x_R) \\ y &= \boxed{y_L} \boxed{y_R} = (2^{n/2}y_L + y_R) \end{aligned}$$

$\text{Prod}(x, y)$

$$\begin{aligned} \textcircled{xy} &= (2^{n/2}x_L + x_R)(2^{n/2}y_L + y_R) = \\ &\quad \underbrace{2^n \textcircled{x_L y_L}}_{\text{Prod}(x_L, y_L)} + \underbrace{2^{n/2} x_L y_R}_{\text{Prod}(x_L, y_R)} + \underbrace{2^{n/2} x_R y_L}_{\text{Prod}(x_R, y_L)} + \underbrace{x_R y_R}_{\text{Prod}(x_R, y_R)} \end{aligned}$$

Divide-and-Conquer: Multiplication v. 3

other work = $O(n)$

- Multiplying binary numbers:

$$\text{Prod}(x, y) = xy = (2^{n/2}x_L + x_R)(2^{n/2}y_L + y_R) =$$
$$2^n \underbrace{x_L y_L}_{\text{circled}} + 2^{n/2} \underbrace{(x_L y_R + x_R y_L)}_{\text{circled}} + \underbrace{x_R y_R}_{\text{circled}}$$

- Describe the running time as a recurrence relation:

$T(n)$ = running time of $\text{Prod}(x, y)$ when x, y both have length n

$$T(n) = aT\left(\frac{n}{b}\right) + O(n^d) = 4T\left(\frac{n}{2}\right) + O(n^1)$$

- What is the running time?

$$a=4$$
$$b=2$$

$$d=1$$

$$\log_2 4 = 2 > 1$$

$$\boxed{O(n^2)}$$

Divide-and-Conquer: Karatsuba Method for Multiplication

- Multiplying binary numbers:

$$xy = (2^{n/2}x_L + x_R)(2^{n/2}y_L + y_R) =$$
$$2^n x_L y_L + 2^{n/2} (x_L y_R + x_R y_L) + x_R y_R.$$

Handwritten annotations: $x_L y_L$, $x_R y_R$, $(x_L + x_R)(y_L + y_R)$ above the equation. Red boxes and arrows highlight the recursive subproblems: $x_L y_L$, $x_L y_R + x_R y_L$, and $x_R y_R$.

- Use Gauss' trick!

$$x_L y_R + x_R y_L = (x_L + x_R)(y_L + y_R) - x_L y_L - x_R y_R.$$

Handwritten annotations: A red arrow points from the Gauss' trick text to the equation. Red circles highlight $x_L y_L$ and $x_R y_R$ in the equation.

- Now what is the recurrence relation? Running time?

$$T(n) = 3T\left(\frac{n}{2}\right) + O(n)$$
$$\log_b a = \log_2 3 \approx 1.6 > 1$$

Handwritten annotations: $O(n^{1.6})$ and an upward arrow pointing to the recurrence relation.

Divide-and-Conquer: Karatsuba Method for Multiplication

- Multiplying binary numbers:

$$xy = (2^{n/2}x_L + x_R)(2^{n/2}y_L + y_R) =$$

$$2^n x_L y_L + 2^{n/2} (x_L y_R + x_R y_L) + x_R y_R.$$

$\begin{matrix} \uparrow & \uparrow & \uparrow \\ S(n/2) & S(n/2) & S(n/2) \\ n & n & n \end{matrix}$

- Use Gauss' trick!

$$x_L y_R + x_R y_L = (x_L + x_R)(y_L + y_R) - x_L y_L - x_R y_R.$$

$\begin{matrix} \uparrow \\ S(n/2) \end{matrix}$

- Now what is the recurrence relation? Running time?

$S(n)$ = space required by Prod for two #s of len. n

$$S(n) = 3S\left(\frac{n}{2}\right) + O(n) = O(n^{1.6})$$

Divide-and-Conquer: Binary Search

- Given a sorted array A and a target value k , find the index of value k in A

Divide-and-Conquer: Binary Search

Divide-and-Conquer: MergeSort

- Given an input array of real numbers, we want to output the array in sorted order
- MergeSort idea:
 - Split the array in half
 - Sort each half
 - Merge the two halves together

Divide-and-Conquer: MergeSort

n size of array
↓

function mergesort($a[1 \dots n]$)

Input: An array of numbers $a[1 \dots n]$

Output: A sorted version of this array

if $n > 1$:

 return merge(mergesort($a[1 \dots \lfloor n/2 \rfloor]$), mergesort($a[\lfloor n/2 \rfloor + 1 \dots n]$))

else:

 return a

merge([1, 2, 3], [4, 6, 8])
 \times \downarrow
1 \circ merge([2, 3], [4, 6, 8])
 \downarrow
2 \circ merge([3], [4, 6, 8])

Divide-and-Conquer: MergeSort

- How do we merge?

sorted
↓ ↓

```
function merge( $x[1 \dots k]$ ,  $y[1 \dots l]$ )  
if  $k = 0$ : return  $y[1 \dots l]$   
if  $l = 0$ : return  $x[1 \dots k]$   
if  $x[1] \leq y[1]$ :  
    return  $x[1] \circ \text{merge}(\text{merge}(\mathbf{x[2 \dots k]}, \mathbf{y[1 \dots l]})$   
else:  
    return  $y[1] \circ \text{merge}(\text{merge}(\mathbf{x[1 \dots k]}, \mathbf{y[2 \dots l]})$ 
```

Divide-and-Conquer: MergeSort

- What is the running time of this function? *define $m = k + l$*

```
function merge( $x[1 \dots k]$ ,  $y[1 \dots l]$ )
```

```
if  $k = 0$ : return  $y[1 \dots l]$ 
```

```
if  $l = 0$ : return  $x[1 \dots k]$ 
```

```
if  $x[1] \leq y[1]$ :
```

```
     $\rightarrow$  return  $x[1] \circ \text{merge}(x[2 \dots k], y[1 \dots l]) = \underline{O(m)}$ 
```

```
else:
```

```
     $\rightarrow$  return  $y[1] \circ \text{merge}(x[1 \dots k], y[2 \dots l])$ 
```

$$T(n_1, n_2) = T(k, l)$$

$T(m)$ = running time of merge on input of total size $m = O(m)$

$$T(m) = 1 \cdot T(m-1) + O(1)$$

Divide-and-Conquer: MergeSort

- What is the running time of MergeSort?

function mergesort($a[1 \dots n]$)

Input: An array of numbers $a[1 \dots n]$

Output: A sorted version of this array

```
if  $n > 1$ :  
    return merge(mergesort( $a[1 \dots \lfloor n/2 \rfloor]$ ), mergesort( $a[\lfloor n/2 \rfloor + 1 \dots n]$ ))  
else:  
    return  $a$ 
```

Handwritten annotations for the code above:

- A red arrow points from $O(n)$ to the $a[1 \dots n]$ parameter in the function signature.
- A red arrow points from $n/2$ to the $\lfloor n/2 \rfloor$ expression in the recursive call.
- A red arrow points from $n/2 = n$ to the $\lfloor n/2 \rfloor + 1 \dots n$ expression in the recursive call.
- A red arrow points from $+$ to the plus sign between the two recursive calls.

$T(n)$ = running time of MS on input of size n

$$T(n) = 2 \cdot T\left(\frac{n}{2}\right) + O(n)$$

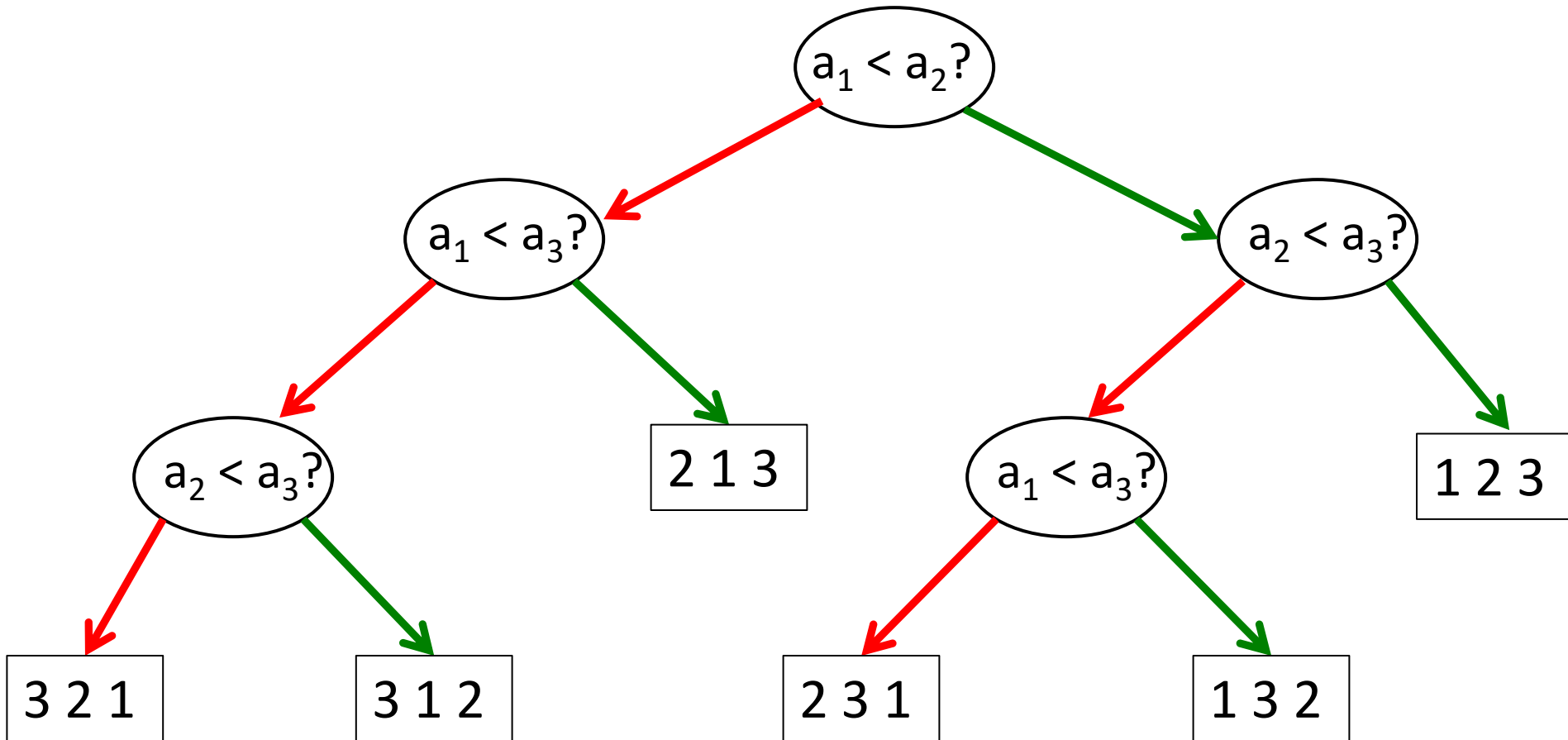
Handwritten notes for the equation above:

- A red arrow points from $\log_2 2 = 1 = d$ to the $O(n)$ term.
- The final result $O(n \log n)$ is boxed in red.

How Well Can We Do?

- A sort algorithm can be represented as a binary tree
- The leaves of the tree are the possible inputs
- The internal nodes of the tree are the comparison operations

How Well Can We Do?



(Specific comparisons vary by algorithm)

How Well Can We Do?

- Depth of tree is the worst-case running time!
- For array of size n , how many leaf nodes?
- For binary tree, what is minimum depth?

In-Class Exercise

- Find the non-duplicate

– Given a **sorted** array S of integers. Each value present appears exactly twice in S , except for one (so if there are k distinct values, the length is $2k - 1$). Find the non-duplicate value in better than $O(n)$ time.

appears once

0	0	1	1	2	3	3	4	4
1	2	3	4	5	6	7	8	9
	↑		↑	↑	↑		↑	

In-Class Exercise

(before non-d: first copy of each # is on odd idx,
second copy is on even idx

non-d: it is on an odd idx

after non-d: first copy is on even idx
second copy is on odd idx

sketch: find the odd idx closest to midpoint. ~~if~~ Check value to right.

$T(n) = 1 \cdot T\left(\frac{n}{2}\right) + O(n^0)$
 $\log_2 1 = 0 \leq 0$
 $O(\log n)$

If they are equal, the non-d is in right half. Recurse on right half. Otherwise, check value to left. If same, recurse on left. Otherwise, return that value.