



NDMP configuration

ONTAP 9

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NDMP configuration

NDMP configuration overview

You can quickly configure an ONTAP 9 cluster to use the Network Data Management Protocol (NDMP) to back up data directly to tape using a third-party backup application.

Configure NDMP in the following circumstances:

- The cluster is running ONTAP 9.
- You have a third-party backup application (also called a Data Management Application or DMA).
- You are a cluster administrator.
- You want to perform backup operations either at the cluster level (using the admin storage virtual machine (SVM)) or node level.
- Tape devices and an optional media server are installed.
- Tape devices are connected to the cluster through a Fibre Channel (FC) switch and not directly attached.
- At least one tape device has a logical unit number (LUN) of 0.

Related information

[Data protection using tape backup.](#)

NDMP configuration workflow

Setting up tape backup over NDMP involves preparing for NDMP configuration, verifying the tape device connections, enabling tape reservations, configuring NDMP at the SVM or node level, enabling NDMP on the cluster, configuring a backup user, configuring LIFs, and configuring the backup application.



Prepare for NDMP configuration

Before you configure tape backup access over Network Data Management Protocol (NDMP), you must verify that the planned configuration is supported, verify that your tape drives are listed as qualified drives on each node, verify that all nodes have intercluster LIFs, and identify whether the backup application supports the Cluster Aware Backup (CAB) extension.

Steps

1. Verify that the planned configuration is supported by using the Interoperability Matrix (IMT).

[NetApp Interoperability Matrix Tool](#)

You should verify that the following components are compatible:

- The version of ONTAP 9 that is running on the cluster.
- The backup application vendor and application version: for example, Symantec NetBackup 7.6 or

CommVault Simpana 10 SP8.

- The tape devices details, such as the manufacturer, model, and interface of the tape drives: for example, IBM Ultrium-TD4 FC or HP Ultrium-5 SAS.
- The platforms of the nodes in the cluster: for example, FAS3260 or FAS6280.

2. Verify that your tape drives are listed as qualified drives in each node's built-in tape configuration file:

- a. On the command line-interface, view the built-in tape configuration file by using the `storage tape show-supported-status` command.

```
cluster1::> storage tape show-supported-status

Node: cluster1-1

Tape Drives                                Is
-----                                -
Certance Ultrium 2                        true      Dynamically Qualified
Certance Ultrium 3                        true      Dynamically Qualified
Digital DLT2000                          true      Qualified
....
```

- b. Compare your tape drives to the list of qualified drives in the output.



The names of the tape devices in the output might vary slightly from the names on the device label or in the Interoperability Matrix. For example, Digital DLT2000 can also be known as DLT2k. You can ignore these minor naming differences.

- c. If a device is not listed as qualified in the output even though the device is qualified according to the Interoperability Matrix, download and install an updated configuration file for the device using the instructions on the NetApp Support Site.

[NetApp Downloads: Tape Device Configuration Files](#)

A qualified device might not be listed in the built-in tape configuration file if the tape device was qualified after the node was shipped.

3. Verify that every node in the cluster has an intercluster LIF:

- a. View the intercluster LIFs on the nodes by using the `network interface show -role intercluster` command.

```
cluster1::> network interface show -role intercluster
```

| | Logical | Status | Network | Current |
|------------|-----------|------------|---------------|------------|
| Current Is | | | | |
| Vserver | Interface | Admin/Oper | Address/Mask | Node |
| Port | Home | | | |
| ----- | ----- | ----- | ----- | ----- |
| ----- | ----- | ----- | ----- | ----- |
| cluster1 | IC1 | up/up | 192.0.2.65/24 | cluster1-1 |
| e0a | true | | | |

- b. If an intercluster LIF does not exist on any node, create an intercluster LIF by using the `network interface create` command.

```
cluster1::> network interface create -vserver cluster1 -lif IC2 -role
intercluster
-home-node cluster1-2 -home-port e0b -address 192.0.2.68 -netmask
255.255.255.0
-status-admin up -failover-policy local-only -firewall-policy
intercluster
```

```
cluster1::> network interface show -role intercluster
```

| | Logical | Status | Network | Current |
|------------|-----------|------------|---------------|------------|
| Current Is | | | | |
| Vserver | Interface | Admin/Oper | Address/Mask | Node |
| Port | Home | | | |
| ----- | ----- | ----- | ----- | ----- |
| ----- | ----- | ----- | ----- | ----- |
| cluster1 | IC1 | up/up | 192.0.2.65/24 | cluster1-1 |
| e0a | true | | | |
| cluster1 | IC2 | up/up | 192.0.2.68/24 | cluster1-2 |
| e0b | true | | | |

Network management

- Identify whether the backup application supports Cluster Aware Backup (CAB) by using the documentation provided with the backup application.

CAB support is a key factor in determining the type of backup you can perform.

Verify tape device connections

You must ensure that all drives and media changers are visible in ONTAP as devices.

Steps

1. View information about all drives and media changers by using the `storage tape show` command.

```
cluster1::> storage tape show
```

```
Node: cluster1-01
```

| Device ID | Device Type | Description |
|-----------|-------------|-------------|
|-----------|-------------|-------------|

| Status | | |
|--------|--|--|
|--------|--|--|

| ----- | ----- | ----- |
|-------|-------|-------|
|-------|-------|-------|

| | | |
|-----------|------------|----------|
| sw4:10.11 | tape drive | HP LTO-3 |
|-----------|------------|----------|

| | | |
|--------|--|--|
| normal | | |
|--------|--|--|

| | | |
|----------|---------------|------------------|
| 0b.125L1 | media changer | HP MSL G3 Series |
|----------|---------------|------------------|

| | | |
|--------|--|--|
| normal | | |
|--------|--|--|

| | | |
|------|------------|-------------------|
| 0d.4 | tape drive | IBM LTO 5 ULT3580 |
|------|------------|-------------------|

| | | |
|--------|--|--|
| normal | | |
|--------|--|--|

| | | |
|--------|---------------|-------------|
| 0d.4L1 | media changer | IBM 3573-TL |
|--------|---------------|-------------|

| | | |
|--------|--|--|
| normal | | |
|--------|--|--|

```
...
```

2. If a tape drive is not displayed, troubleshoot the problem.
3. If a media changer is not displayed, view information about media changers by using the `storage tape show-media-changer` command, and then troubleshoot the problem.

```
cluster1::> storage tape show-media-changer
```

```
Media Changer: sw4:10.11L1
```

```
Description: PX70-TL
```

```
WWNN: 2:00a:000e11:10b919
```

```
WWPN: 2:00b:000e11:10b919
```

```
Serial Number: 00FRU7800000_LL1
```

```
Errors: -
```

```
Paths:
```

| Node | Initiator | Alias | Device State |
|------|-----------|-------|--------------|
|------|-----------|-------|--------------|

| Status | | | |
|--------|--|--|--|
|--------|--|--|--|

| ----- | ----- | ----- | ----- |
|-------|-------|-------|-------|
|-------|-------|-------|-------|

| | | | |
|-------------|----|-----|--------|
| cluster1-01 | 2b | mc0 | in-use |
|-------------|----|-----|--------|

| | | | |
|--------|--|--|--|
| normal | | | |
|--------|--|--|--|

```
...
```

Enable tape reservations

You must ensure that tape drives are reserved for use by backup applications for NDMP backup operations.

About this task

The reservation settings vary in different backup applications, and these settings must match the backup application and the nodes or servers using the same drives. See the vendor documentation of the backup application for the correct reservation settings.

Steps

1. Enable reservations by using the options `-option-name tape.reservations -option-value persistent` command.

The following command enables reservations with the `persistent` value:

```
cluster1::> options -option-name tape.reservations -option-value
persistent
2 entries were modified.
```

2. Verify that reservations are enabled on all nodes by using the options `tape.reservations` command, and then review the output.

```
cluster1::> options tape.reservations

cluster1-1
    tape.reservations                persistent

cluster1-2
    tape.reservations                persistent
2 entries were displayed.
```

Configure NDMP at the SVM level or the node level

Configure NDMP at the SVM level or the node level overview

If the backup application supports Cluster Aware Backup (CAB), you can configure NDMP as SVM-scoped at the cluster (admin SVM) level, which enables you to back up all volumes hosted across different nodes of the cluster. Otherwise, you can configure node-scoped NDMP, which enables you to back up all the volumes hosted on that node.

Configure SVM-scoped NDMP

Configure SVM-scoped NDMP overview

If the DMA supports the Cluster Aware Backup (CAB) extension, you can back up all the volumes hosted across different nodes in a cluster by enabling SVM-scoped NDMP, configuring a backup user account, and configuring LIFs for data and control connection.

What you'll need

The CAB extension must be supported by the DMA.

Enable SVM-scoped NDMP on the cluster

You can configure SVM-scoped NDMP on the cluster by enabling SVM-scoped NDMP mode and NDMP service on the cluster (admin SVM).

About this task

Turning off node-scoped NDMP mode enables SVM-scoped NDMP mode on the cluster.

Steps

1. Enable SVM-scoped NDMP mode by using the `system services ndmp` command with the `node-scope-mode` parameter.

```
cluster1::> system services ndmp node-scope-mode off
NDMP node-scope-mode is disabled.
```

2. Enable NDMP service on the admin SVM by using the `vserver services ndmp on` command.

```
cluster1::> vserver services ndmp on -vserver cluster1
```

The authentication type is set to `challenge` by default and plaintext authentication is disabled.



For secure communication, you should keep plaintext authentication disabled.

3. Verify that NDMP service is enabled by using the `vserver services ndmp show` command.

```
cluster1::> vserver services ndmp show
```

| Vserver | Enabled | Authentication type |
|----------|---------|---------------------|
| ----- | ----- | ----- |
| cluster1 | true | challenge |
| vs1 | false | challenge |

Configure a backup user for the cluster

To authenticate NDMP from the backup application, you must create a local backup user,

or an NIS or LDAP user for the cluster with the admin or backup role, and generate an NDMP password for the backup user.

What you'll need

If you are using an NIS or LDAP user, the user must be created on the respective server. You cannot use an Active Directory user.

Steps

1. Create a backup user with the admin or backup role by using the `security login create` command.

You can specify a local backup user name or an NIS or LDAP user name for the `-user-or-group-name` parameter.

The following command creates the backup user `backup_admin1` with the `backup` role:

```
cluster1::> security login create -user-or-group-name backup_admin1
-application ssh
-authmethod password -role backup
```

```
Please enter a password for user 'backup_admin1':
Please enter it again:
```

2. Generate a password for the admin SVM by using the `vserver services ndmp generate password` command.

The generated password must be used to authenticate the NDMP connection by the backup application.

```
cluster1::> vserver services ndmp generate-password -vserver cluster1
-user backup_admin1
```

```
Vserver: cluster1
User: backup_admin1
Password: qG5CqQHYxw7tE57g
```

Configure LIFs

You must identify the LIFs that will be used for establishing a data connection between the data and tape resources, and for control connection between the admin SVM and the backup application. After identifying the LIFs, you must verify that firewall and failover policies are set for the LIFs, and specify the preferred interface role.

Beginning with ONTAP 9.10.1, firewall policies are deprecated and wholly replaced with LIF service policies. For more information, see [LIFs and service policies in ONTAP 9.6 and later](#).

Steps

1. Identify the intercluster, cluster-management, and node-management LIFs by using the `network`

interface show command with the -role parameter.

The following command displays the intercluster LIFs:

```
cluster1::> network interface show -role intercluster
```

| | Logical | Status | Network | Current |
|------------|-----------|------------|---------------|------------|
| Current Is | | | | |
| Vserver | Interface | Admin/Oper | Address/Mask | Node |
| Port | Home | | | |
| ----- | ----- | ----- | ----- | |
| ----- | ----- | | | |
| cluster1 | IC1 | up/up | 192.0.2.65/24 | cluster1-1 |
| e0a | true | | | |
| cluster1 | IC2 | up/up | 192.0.2.68/24 | cluster1-2 |
| e0b | true | | | |

The following command displays the cluster-management LIF:

```
cluster1::> network interface show -role cluster-mgmt
```

| | Logical | Status | Network | Current |
|------------|--------------|------------|---------------|------------|
| Current Is | | | | |
| Vserver | Interface | Admin/Oper | Address/Mask | Node |
| Port | Home | | | |
| ----- | ----- | ----- | ----- | |
| ----- | ----- | | | |
| cluster1 | cluster_mgmt | up/up | 192.0.2.60/24 | cluster1-2 |
| e0M | true | | | |

The following command displays the node-management LIFs:

```
cluster1::> network interface show -role node-mgmt
```

| | Logical | Status | Network | Current |
|------------|------------------|------------|---------------|------------|
| Current Is | | | | |
| Vserver | Interface | Admin/Oper | Address/Mask | Node |
| Port | Home | | | |
| ----- | ----- | ----- | ----- | ----- |
| ----- | ----- | | | |
| cluster1 | cluster1-1_mgmt1 | up/up | 192.0.2.69/24 | cluster1-1 |
| e0M | true | | | |
| | cluster1-2_mgmt1 | up/up | 192.0.2.70/24 | cluster1-2 |
| e0M | true | | | |

2. Ensure that the firewall policy is enabled for NDMP on the intercluster, cluster-management (cluster-mgmt), and node-management (node-mgmt) LIFs:
 - a. Verify that the firewall policy is enabled for NDMP by using the `system services firewall policy show` command.

The following command displays the firewall policy for the cluster-management LIF:

```
cluster1::> system services firewall policy show -policy cluster
```

| Vserver | Policy | Service | Allowed |
|---------|---------|---------|-------------|
| ----- | ----- | ----- | ----- |
| cluster | cluster | dns | 0.0.0.0/0 |
| | | http | 0.0.0.0/0 |
| | | https | 0.0.0.0/0 |
| | | ** ndmp | 0.0.0.0/0** |
| | | ndmps | 0.0.0.0/0 |
| | | ntp | 0.0.0.0/0 |
| | | rsh | 0.0.0.0/0 |
| | | snmp | 0.0.0.0/0 |
| | | ssh | 0.0.0.0/0 |
| | | telnet | 0.0.0.0/0 |

10 entries were displayed.

The following command displays the firewall policy for the intercluster LIF:

```
cluster1::> system services firewall policy show -policy intercluster
```

| Vserver | Policy | Service | Allowed |
|----------|--------------|---------|-------------------|
| ----- | ----- | ----- | ----- |
| cluster1 | intercluster | dns | - |
| | | http | - |
| | | https | - |
| | | **ndmp | 0.0.0.0/0, ::/0** |
| | | ndmps | - |
| | | ntp | - |
| | | rsh | - |
| | | ssh | - |
| | | telnet | - |

9 entries were displayed.

The following command displays the firewall policy for the node-management LIF:

```
cluster1::> system services firewall policy show -policy mgmt
```

| Vserver | Policy | Service | Allowed |
|------------|--------|---------|-------------------|
| cluster1-1 | mgmt | dns | 0.0.0.0/0, ::/0 |
| | | http | 0.0.0.0/0, ::/0 |
| | | https | 0.0.0.0/0, ::/0 |
| | | **ndmp | 0.0.0.0/0, ::/0** |
| | | ndmps | 0.0.0.0/0, ::/0 |
| | | ntp | 0.0.0.0/0, ::/0 |
| | | rsh | - |
| | | snmp | 0.0.0.0/0, ::/0 |
| | | ssh | 0.0.0.0/0, ::/0 |
| | | telnet | - |

10 entries were displayed.

- b. If the firewall policy is not enabled, enable the firewall policy by using the `system services firewall policy modify` command with the `-service` parameter.

The following command enables firewall policy for the intercluster LIF:

```
cluster1::> system services firewall policy modify -vserver cluster1  
-policy intercluster -service ndmp 0.0.0.0/0
```

3. Ensure that the failover policy is set appropriately for all the LIFs:

- a. Verify that the failover policy for the cluster-management LIF is set to `broadcast-domain-wide`, and the policy for the intercluster and node-management LIFs is set to `local-only` by using the `network interface show -failover` command.

The following command displays the failover policy for the cluster-management, intercluster, and node-management LIFs:

```
cluster1::> network interface show -failover
```

| Failover Vserver Group | Logical Interface | Home Node:Port | Failover Policy |
|------------------------------|----------------------|-------------------|--------------------|
| cluster1 cluster | cluster1_clus1 | cluster1-1:e0a | local-only |
| | | | Failover |
| | | | |
| **cluster1 wide Default** | cluster_mgmt | cluster1-1:e0m | broadcast-domain- |
| | | | Failover |
| | | | |
| Default** | **IC1 | cluster1-1:e0a | local-only |
| | | | Failover |
| | | | |
| Default** | **IC2 | cluster1-1:e0b | local-only |
| | | | Failover |
| | | | |
| **cluster1-1 Default** | cluster1-1_mgmt1 | cluster1-1:e0m | local-only |
| | | | Failover |
| | | | |
| **cluster1-2 Default** | cluster1-2_mgmt1 | cluster1-2:e0m | local-only |
| | | | Failover |
| | | | |

- b. If the failover policies are not set appropriately, modify the failover policy by using the `network interface modify` command with the `-failover-policy` parameter.

```
cluster1::> network interface modify -vserver cluster1 -lif IC1  
-failover-policy local-only
```

4. Specify the LIFs that are required for data connection by using the `vserver services ndmp modify` command with the `preferred-interface-role` parameter.

```
cluster1::> vserver services ndmp modify -vserver cluster1 -preferred
-interface-role intercluster,cluster-mgmt,node-mgmt
```

5. Verify that the preferred interface role is set for the cluster by using the `vserver services ndmp show` command.

```
cluster1::> vserver services ndmp show -vserver cluster1

                Vserver: cluster1
            NDMP Version: 4
                .....
                .....
Preferred Interface Role: intercluster, cluster-mgmt, node-
mgmt
```

Configure node-scoped NDMP

Enable node-scoped NDMP on the cluster

You can back up volumes hosted on a node by enabling node-scoped NDMP, setting up the password for the root user, and configuring a LIF for data and control connection.

You can configure node-scoped NDMP by enabling node-scoped NDMP on the cluster and NDMP service on all nodes of the cluster. You must also configure the `root` user for NDMP when enabling the NDMP service.

Steps

1. Enable node-scoped NDMP mode by using the `system services ndmp` command with the `node-scope-mode` parameter.

```
cluster1::> system services ndmp node-scope-mode on
NDMP node-scope-mode is enabled.
```

2. Enable NDMP service on all nodes in the cluster by using the `system services ndmp on` command.

Using the wildcard `"*"` enables NDMP service on all nodes at the same time.

You must specify a password for authentication of the NDMP connection by the backup application.

```
cluster1::> system services ndmp on -node *
```

```
Please enter password:  
Confirm password:  
2 entries were modified.
```

3. Disable the `-clear-text` option for secure communication of the NDMP password by using the `system services ndmp modify` command.

Using the wildcard `"**"` disables the `-clear-text` option on all nodes at the same time.

```
cluster1::> system services ndmp modify -node * -clear-text false  
2 entries were modified.
```

4. Verify that NDMP service is enabled and the `-clear-text` option is disabled by using the `system services ndmp show` command.

```
cluster1::> system services ndmp show  
Node                Enabled   Clear text  User Id  
-----  
cluster1-1          true     false      root  
cluster1-2          true     false      root  
2 entries were displayed.
```

Configure a LIF

You must identify a LIF that will be used for establishing a data connection and control connection between the node and the backup application. After identifying the LIF, you must verify that firewall and failover policies are set for the LIF.

Steps

1. Identify the intercluster LIF hosted on the nodes by using the `network interface show` command with the `-role` parameter.


```
cluster1::> network interface show -role intercluster
```

| Current Is | Logical | Status | Network | Current | |
|------------|-----------|------------|---------------|------------|------|
| Vserver | Interface | Admin/Oper | Address/Mask | Node | Port |
| Home | | | | | |
| ----- | ----- | ----- | ----- | ----- | |
| cluster1 | IC1 | up/up | 192.0.2.65/24 | cluster1-1 | e0a |
| true | | | | | |
| cluster1 | IC2 | up/up | 192.0.2.68/24 | cluster1-2 | e0b |
| true | | | | | |

2. Ensure that the firewall policy is enabled for NDMP on the intercluster LIFs:

- Verify that the firewall policy is enabled for NDMP by using the `system services firewall policy show` command.

The following command displays the firewall policy for the intercluster LIF:

```
cluster1::> system services firewall policy show -policy intercluster
```

| Vserver | Policy | Service | Allowed |
|----------|--------------|---------|-------------------|
| ----- | ----- | ----- | ----- |
| cluster1 | intercluster | dns | - |
| | | http | - |
| | | https | - |
| | | **ndmp | 0.0.0.0/0, ::/0** |
| | | ndmps | - |
| | | ntp | - |
| | | rsh | - |
| | | ssh | - |
| | | telnet | - |

9 entries were displayed.

- If the firewall policy is not enabled, enable the firewall policy by using the `system services firewall policy modify` command with the `-service` parameter.

The following command enables firewall policy for the intercluster LIF:

```
cluster1::> system services firewall policy modify -vserver cluster1
-policy intercluster -service ndmp 0.0.0.0/0
```

3. Ensure that the failover policy is set appropriately for the intercluster LIFs:

- a. Verify that the failover policy for the intercluster LIFs is set to `local-only` by using the `network interface show -failover` command.

```
cluster1::> network interface show -failover
```

| Vserver | Logical Interface | Home Node:Port | Failover Policy | Failover Group |
|------------|-------------------|----------------|-------------------|----------------|
| cluster1 | **IC1 | cluster1-1:e0a | local-only | |
| Default** | | | | |
| | | | Failover Targets: | |
| | | | | |
| | **IC2 | cluster1-2:e0b | local-only | |
| Default** | | | | |
| | | | Failover Targets: | |
| | | | | |
| cluster1-1 | cluster1-1_mgmt1 | cluster1-1:e0m | local-only | Default |
| | | | Failover Targets: | |
| | | | | |

- b. If the failover policy is not set appropriately, modify the failover policy by using the `network interface modify` command with the `-failover-policy` parameter.

```
cluster1::> network interface modify -vserver cluster1 -lif IC1
-failover-policy local-only
```

Configure the backup application

After the cluster is configured for NDMP access, you must gather information from the cluster configuration and then configure the rest of the backup process in the backup application.

Steps

1. Gather the following information that you configured earlier in ONTAP:
 - The user name and password that the backup application requires to create the NDMP connection
 - The IP addresses of the intercluster LIFs that the backup application requires to connect to the cluster
2. In ONTAP, display the aliases that ONTAP assigned to each device by using the `storage tape alias show` command.

The aliases are often useful in configuring the backup application.

```
cluster1::> storage tape show -alias
```

```
Device ID: 2a.0  
Device Type: tape drive  
Description: Hewlett-Packard LTO-5
```

| Node | Alias | Mapping |
|--------------------|-------|----------------|
| ----- | ----- | ----- |
| stsw-3220-4a-4b-02 | st2 | SN[HU19497WVR] |
| ... | | |

3. In the backup application, configure the rest of the backup process by using the backup application's documentation.

After you finish

If a data mobility event occurs, such as a volume move or LIF migration, you must be prepared to reinitialize any interrupted backup operations.

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