

# **Configure NFS with the CLI**

ONTAP 9

NetApp January 19, 2023

This PDF was generated from https://docs.netapp.com/us-en/ontap/nfs-config/index.html on January 19, 2023. Always check docs.netapp.com for the latest.

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# **Configure NFS with the CLI**

## NFS configuration overview with the CLI

You can use ONTAP 9 CLI commands to configure NFS client access to files contained in a new volume or qtree in a new or existing storage virtual machine (SVM).

Use these procedures if you want to configure access to a volume or qtree in the following way:

- You want to use any version of NFS currently supported by ONTAP: NFSv3, NFSv4, NFSv4.1, NFSv4.2, or NFSv4.1 with pNFS.
- You want to use the command-line interface (CLI), not System Manager or an automated scripting tool.

To use System Manager to configure NAS multiprotocol access, see Provision NAS storage for both Windows and Linux using both NFS and SMB.

• You want to use best practices, not explore every available option.

Details about command syntax are available from CLI help and ONTAP man pages.

- UNIX file permissions will be used to secure the new volume.
- · You have cluster administrator privileges, not SVM administrator privileges.

If you want details about the range of ONTAP NFS protocol capabilities, consult the NFS reference overview.

## Other ways to do this in ONTAP

To perform these tasks with	Refer to
The redesigned System Manager (available with ONTAP 9.7 and later)	Provision NAS storage for Linux servers using NFS
System Manager Classic (available with ONTAP 9.7 and earlier	NFS configuration overview

## NFS configuration workflow

Configuring NFS involves assessing physical storage and networking requirements, and then choosing a workflow that is specific to your goal—configuring NFS access to a new or existing SVM, or adding a volume or qtree to an existing SVM that is already fully configured for NFS access.

## **Preparation**

## Assess physical storage requirements

Before provisioning NFS storage for clients, you must ensure that there is sufficient space in an existing aggregate for the new volume. If there is not, you can add disks to an

existing aggregate or create a new aggregate of the desired type.

#### **Steps**

1. Display available space in existing aggregates:

```
storage aggregate show
```

If there is an aggregate with sufficient space, record its name in the worksheet.

<pre>cluster::&gt; Aggregate</pre>	Size			State	#Vols	Nodes	RAID Status
aggr_0	239.0GB	11.13GB	95%	online	1	node1	raid_dp,
aggr_1	239.0GB	11.13GB	95%	online	1	node1	<pre>raid_dp, normal</pre>
aggr_2	239.0GB	11.13GB	95%	online	1	node2	<pre>raid_dp, normal</pre>
aggr_3	239.0GB	11.13GB	95%	online	1	node2	<pre>raid_dp, normal</pre>
aggr_4	239.0GB	238.9GB	95%	online	5	node3	<pre>raid_dp, normal</pre>
aggr_5	239.0GB	239.0GB	95%	online	4	node4	<pre>raid_dp, normal</pre>
6 entries v	were disp	olayed.					

 If there are no aggregates with sufficient space, add disks to an existing aggregate by using the storage aggregate add-disks command, or create a new aggregate by using the storage aggregate create command.

#### **Related information**

**ONTAP** concepts

## Assess networking requirements

Before providing NFS storage to clients, you must verify that networking is correctly configured to meet the NFS provisioning requirements.

#### What you'll need

The following cluster networking objects must be configured:

- · Physical and logical ports
- · Broadcast domains
- Subnets (if required)
- IPspaces (as required, in addition to the default IPspace)
- · Failover groups (as required, in addition to the default failover group for each broadcast domain)

External firewalls

#### Steps

1. Display the available physical and virtual ports:

```
network port show
```

- When possible, you should use the port with the highest speed for the data network.
- All components in the data network must have the same MTU setting for best performance.
- 2. If you are planning to use a subnet name to allocate the IP address and network mask value for a LIF, verify that the subnet exists and has sufficient addresses available:

```
network subnet show
```

Subnets contain a pool of IP addresses that belong to the same layer 3 subnet. Subnets are created by using the network subnet create command.

3. Display available IPspaces:

```
network ipspace show
```

You can use the default IPspace or a custom IPspace.

4. If you want to use IPv6 addresses, verify that IPv6 is enabled on the cluster:

```
network options ipv6 show
```

If required, you can enable IPv6 by using the network options ipv6 modify command.

## Decide where to provision new NFS storage capacity

Before you create a new NFS volume or qtree, you must decide whether to place it in a new or existing SVM, and how much configuration the SVM requires. This decision determines your workflow.

#### Choices

 If you want to provision a volume or qtree on a new SVM, or on an existing SVM that has NFS enabled but not configured, complete the steps in both "Configuring NFS access to an SVM" and "Adding NFS storage to an NFS-enabled SVM".

Configure NFS access to an SVM

Add NFS storage to an NFS-enabled SVM

You might choose to create a new SVM if one of the following is true:

- You are enabling NFS on a cluster for the first time.
- You have existing SVMs in a cluster in which you do not want to enable NFS support.
- You have one or more NFS-enabled SVMs in a cluster, and you want another NFS server in an isolated namespace (multi-tenancy scenario). You should also choose this option to provision storage on an existing SVM that has NFS enabled but not configured. This might be the case if you created the SVM

for SAN access or if no protocols were enabled when the SVM was created.

After enabling NFS on the SVM, proceed to provision a volume or qtree.

• If you want to provision a volume or qtree on an existing SVM that is fully configured for NFS access, complete the steps in "Adding NFS storage to an NFS-enabled SVM".

Adding NFS storage to an NFS-enabled SVM

## Worksheet for gathering NFS configuration information

The NFS configuration worksheet enables you to collect the required information to set up NFS access for clients.

You should complete one or both sections of the worksheet depending on the decision you made about where to provision storage:

• If you are configuring NFS access to an SVM, you should complete both sections.

Configuring NFS access to an SVM

Adding storage capacity to an NFS-enabled SVM

• If you are adding storage capacity to an NFS-enabled SVM, you should complete only the second section.

Adding storage capacity to an NFS-enabled SVM

See the command man pages for details about the parameters.

#### Configure NFS access to an SVM

### Parameters for creating an SVM

You supply these values with the vserver create command if you are creating a new SVM.

Field	Description	Your value
-vserver	A name you supply for the new SVM that is either a fully qualified domain name (FQDN) or follows another convention that enforces unique SVM names across a cluster.	
-aggregate	The name of an aggregate in the cluster with sufficient space for new NFS storage capacity.	
-rootvolume	A unique name you supply for the SVM root volume.	

-rootvolume-security-style	Use the UNIX security style for the SVM.	unix
-language	Use the default language setting in this workflow.	C.UTF-8
ipspace	IPspaces are distinct IP address spaces in which (storage virtual machines (SVMs)) reside.	

## Parameters for creating an NFS server

You supply these values with the <code>vserver nfs create</code> command when you create a new NFS server and specify supported NFS versions.

If you are enabling NFSv4 or later, you should use LDAP for improved security.

Field	Description		Your value
-v3, -v4.0, -v4.1, -v4.1-pnfs	Enable NFS versions as needed.		
	i	v4.2 is also supported in ONTAP 9.8 and later when v4.1 is enabled.	
-v4-id-domain	ID mappi	ng domain name.	
-v4-numeric-ids	Support for numeric owner IDs (enabled or disabled).		

## Parameters for creating a LIF

You supply these values with the <code>network interface create</code> command when you are creating LIFs.

If you are using Kerberos, you should enable Kerberos on multiple LIFs.

Field	Description	Your value
-lif	A name you supply for the new LIF.	
-role	Use the data LIF role in this workflow.	data
-data-protocol	Use only the NFS protocol in this workflow.	nfs

-home-node	The node to which the LIF returns when the network interface revert command is run on the LIF.	
-home-port	The port or interface group to which the LIF returns when the network interface revert command is run on the LIF.	
-address	The IPv4 or IPv6 address on the cluster that will be used for data access by the new LIF.	
-netmask	The network mask and gateway for the LIF.	
-subnet	A pool of IP addresses. Used instead of -address and -netmask to assign addresses and netmasks automatically.	
-firewall-policy	Use the default data firewall policy in this workflow.	data

#### **Parameters for DNS host name resolution**

You supply these values with the vserver services name-service dns create command when you are configuring DNS.

Field	Description	Your value
-domains	Up to five DNS domain names.	
-name-servers	Up to three IP addresses for each DNS name server.	

## Name service information

## Parameters for creating local users

You supply these values if you are creating local users by using the vserver services name-service unix-user create command. If you are configuring local users by loading a file containing UNIX users from a uniform resource identifier (URI), you do not need to specify these values manually.

User name (-	User ID (-id)	Group ID (-	Full name (-full-
user)		primary-gid)	name)

Example	johnm	123	100	John Miller
1				
2				
3				
n				

## Parameters for creating local groups

You supply these values if you are creating local groups by using the <code>vserver services name-service unix-group create</code> command. If you are configuring local groups by loading a file containing UNIX groups from a URI, you do not need to specify these values manually.

	Group name (-name)	Group ID (-id)
Example	Engineering	100
1		
2		
3		
n		

#### **Parameters for NIS**

You supply these values with the vserver services name-service nis-domain create command.



Beginning with ONTAP 9.2, the field -nis-servers replaces the field -servers. This new field can take either a hostname or an IP address for the NIS server.

Field	Description	Your value
-domain	The NIS domain that the SVM will use for name lookups.	
-active	The active NIS domain server.	true <b>or</b> false

-servers	ONTAP 9.0, 9.1: One or more IP addresses of NIS servers used by the NIS domain configuration.	
-nis-servers	ONTAP 9.2: A comma-separated list of IP addresses and hostnames for the NIS servers used by the domain configuration.	

#### **Parameters for LDAP**

You supply these values with the vserver services name-service ldap client create command.

You will also need a self-signed root CA certificate .pem file.



Beginning with ONTAP 9.2, the field <code>-ldap-servers</code> replaces the field <code>-servers</code>. This new field can take either a hostname or an IP address for the LDAP server.

Field	Description	Your value
-vserver	The name of the SVM for which you want to create an LDAP client configuration.	
-client-config	The name you assign for the new LDAP client configuration.	
-servers	ONTAP 9.0, 9.1: One or more LDAP servers by IP address in a comma-separated list.	
-ldap-servers	ONTAP 9.2: A comma-separated list of IP addresses and hostnames for the LDAP servers.	
-query-timeout	Use the default 3 seconds for this workflow.	3
-min-bind-level	The minimum bind authentication level. The default is anonymous. Must be set to sasl if signing and sealing is configured.	
-preferred-ad-servers	One or more preferred Active Directory servers by IP address in a comma-delimited list.	
-ad-domain	The Active Directory domain.	

Field	Description	Your value
-schema	The schema template to use. You can use a default or custom schema.	
-port	Use the default LDAP server port 389 for this workflow.	389
-bind-dn	The Bind user distinguished name.	
-base-dn	The base distinguished name. The default is "" (root).	
-base-scope	Use the default base search scope subnet for this workflow.	subnet
-session-security	Enables LDAP signing or signing and sealing. The default is none.	
-use-start-tls	Enables LDAP over TLS. The default is false.	

#### **Parameters for Kerberos authentication**

You supply these values with the <code>vserver nfs kerberos realm create</code> command. Some of the values will differ depending on whether you use Microsoft Active Directory as a Key Distribution Center (KDC) server, or MIT or other UNIX KDC server.

Field	Description	Your value
-vserver	The SVM that will communicate with the KDC.	
-realm	The Kerberos realm.	
-clock-skew	Permitted clock skew between clients and servers.	
-kdc-ip	KDC IP address.	
-kdc-port	KDC port number.	
-adserver-name	Microsoft KDC only: AD server name.	

-adserver-ip	Microsoft KDC only: AD server IP address.	
-adminserver-ip	UNIX KDC only: Admin server IP address.	
-adminserver-port	UNIX KDC only: Admin server port number.	
-passwordserver-ip	UNIX KDC only: Password server IP address.	
-passwordserver-port	UNIX KDC only: Password server port.	
-kdc-vendor	KDC vendor.	{Microsoft   Other }
-comment	Any desired comments.	

You supply these values with the vserver nfs kerberos interface enable command.

Field	Description	Your value
-vserver	The name of the SVM for which you want to create a Kerberos configuration.	
-lif	The data LIF on which you will enable Kerberos. You can enable Kerberos on multiple LIFs.	
-spn	The Service Principle Name (SPN)	
-permitted-enc-types	The permitted encryption types for Kerberos over NFS; aes-256 is recommended, depending on client capabilities.	
-admin-username	The KDC administrator credentials to retrieve the SPN secret key directly from the KDC. A password is required	
-keytab-uri	The keytab file from the KDC containing the SPN key if you do not have KDC administrator credentials.	

	The organizational unit (OU) under which the Microsoft Active Directory server account will be created when you enable Kerberos using a realm for Microsoft KDC.	-ou
--	--	-----

## Adding storage capacity to an NFS-enabled SVM

## Parameters for creating export policies and rules

You supply these values with the vserver export-policy create command.

Field	Description	Your value
-vserver	The name of the SVM that will host the new volume.	
-policyname	A name you supply for a new export policy.	

You supply these values for each rule with the <code>vserver</code> export-policy rule <code>create</code> command.

Field	Description	Your value
-clientmatch	Client match specification.	
-ruleindex	Position of export rule in the list of rules.	
-protocol	Use NFS in this workflow.	nfs
-rorule	Authentication method for read- only access.	
-rwrule	Authentication method for readwrite access.	
-superuser	Authentication method for superuser access.	
-anon	User ID to which anonymous users are mapped.	

You must create one or more rules for each export policy.

Examples	0.0.0.0/0,@roota ccess_netgroup	any	krb5	sys	65534
1					
2					
3					
n					

## Parameters for creating a volume

You supply these values with the  $volume\ create\ command\ if\ you\ are\ creating\ a\ volume\ instead\ of\ a\ qtree.$ 

Field	Description	Your value
-vserver	The name of a new or existing SVM that will host the new volume.	
-volume	A unique descriptive name you supply for the new volume.	
-aggregate	The name of an aggregate in the cluster with sufficient space for the new NFS volume.	
-size	An integer you supply for the size of the new volume.	
-user	Name or ID of the user that is set as the owner of the volume's root.	
-group	Name or ID of the group that is set as the owner of the volume's root.	
security-style	Use the UNIX security style for this workflow.	unix
-junction-path	Location under root (/) where the new volume is to be mounted.	

If you are planning to use an existing export policy, you can enter its name when you create the volume.	
--	--

## Parameters for creating a qtree

You supply these values with the volume gtree create command if you are creating a qtree instead of a volume.

Field	Description	Your value
-vserver	The name of the SVM on which the volume containing the qtree resides.	
-volume	The name of the volume that will contain the new qtree.	
-qtree	A unique descriptive name you supply for the new qtree, 64 characters or less.	
-qtree-path	The qtree path argument in the format /vol/volume_name/qtree_nam e\> can be specified instead of specifying volume and qtree as separate arguments.	
-unix-permissions	Optional: The UNIX permissions for the qtree.	
-export-policy	If you are planning to use an existing export policy, you can enter its name when you create the qtree.	

## Configure NFS access to an SVM

## **Create an SVM**

If you do not already have at least one SVM in a cluster to provide data access to NFS clients, you must create one.

#### **Steps**

1. Create an SVM:

vserver create -vserver vserver\_name -rootvolume root\_volume\_name -aggregate aggregate\_name -rootvolume-security-style unix -language C.UTF-8 -ipspace ipspace name

- Use the UNIX setting for the -rootvolume-security-style option.
- Use the default C.UTF-8 -language option.
- The ipspace setting is optional.
- 2. Verify the configuration and status of the newly created SVM:

```
vserver show -vserver vserver_name
```

The Allowed Protocols field must include NFS. You can edit this list later.

The Vserver Operational State field must display the running state. If it displays the initializing state, it means that some intermediate operation such as root volume creation failed, and you must delete the SVM and re-create it.

#### **Examples**

The following command creates an SVM for data access in the IPspace ipspaceA:

```
cluster1::> vserver create -vserver vs1.example.com -rootvolume root_vs1
-aggregate aggr1
-rootvolume-security-style unix -language C.UTF-8 -ipspace ipspaceA

[Job 2059] Job succeeded:
Vserver creation completed
```

The following command shows that an SVM was created with a root volume of 1 GB, and it was started automatically and is in running state. The root volume has a default export policy that does not include any rules, so the root volume is not exported upon creation.

```
cluster1::> vserver show -vserver vs1.example.com
                                    Vserver: vs1.example.com
                               Vserver Type: data
                            Vserver Subtype: default
                               Vserver UUID: b8375669-19b0-11e5-b9d1-
00a0983d9736
                                Root Volume: root vs1
                                  Aggregate: aggr1
                                 NIS Domain: -
                 Root Volume Security Style: unix
                                LDAP Client: -
               Default Volume Language Code: C.UTF-8
                            Snapshot Policy: default
                                    Comment:
                               Quota Policy: default
                List of Aggregates Assigned: -
Limit on Maximum Number of Volumes allowed: unlimited
                        Vserver Admin State: running
                  Vserver Operational State: running
  Vserver Operational State Stopped Reason: -
                          Allowed Protocols: nfs, cifs, fcp, iscsi, ndmp
                       Disallowed Protocols: -
                           QoS Policy Group: -
                                Config Lock: false
                               IPspace Name: ipspaceA
```

## Verify that the NFS protocol is enabled on the SVM

Before you can configure and use NFS on SVMs, you must verify that the protocol is enabled.

#### About this task

This is typically done during SVM setup, but if you did not enable the protocol during setup, you can enable it later by using the vserver add-protocols command.



You cannot add or remove a protocol from a LIF once it is created.

You can also disable protocols on SVMs using the vserver remove-protocols command.

#### **Steps**

1. Check which protocols are currently enabled and disabled for the SVM:

```
vserver show -vserver vserver_name -protocols
```

You can also use the vserver show-protocols command to view the currently enabled protocols on all SVMs in the cluster.

- 2. If necessary, enable or disable a protocol:
  - o To enable the NFS protocol: vserver add-protocols -vserver vserver name -protocols nfs
  - o To disable a protocol:
     vserver remove-protocols -vserver vserver\_name -protocols protocol\_name
     [,protocol name,...]
- 3. Confirm that the enabled and disabled protocols were updated correctly:

```
vserver show -vserver vserver name -protocols
```

#### **Example**

The following command displays which protocols are currently enabled and disabled (allowed and disallowed) on the SVM named vs1:

The following command allows access over NFS by adding nfs to the list of enabled protocols on the SVM named vs1:

```
vs1::> vserver add-protocols -vserver vs1.example.com -protocols nfs
```

## Open the export policy of the SVM root volume

The default export policy of the SVM root volume must include a rule to allow all clients open access through NFS. Without such a rule, all NFS clients are denied access to the SVM and its volumes.

#### About this task

When a new SVM is created, a default export policy (called default) is created automatically for the root volume of the SVM. You must create one or more rules for the default export policy before clients can access data on the SVM.

You should verify that access is open to all NFS clients in the default export policy, and later restrict access to individual volumes by creating custom export policies for individual volumes or qtrees.

#### **Steps**

1. If you are using an existing SVM, check the default root volume export policy:

```
vserver export-policy rule show
```

The command output should be similar to the following:

```
cluster::> vserver export-policy rule show -vserver vsl.example.com
-policyname default -instance

Vserver: vsl.example.com
Policy Name: default
Rule Index: 1
Access Protocol: nfs

Client Match Hostname, IP Address, Netgroup, or Domain: 0.0.0.0/0
RO Access Rule: any
RW Access Rule: any
User ID To Which Anonymous Users Are Mapped: 65534
Superuser Security Types: any
Honor SetUID Bits in SETATTR: true
Allow Creation of Devices: true
```

If such a rule exists that allows open access, this task is complete. If not, proceed to the next step.

2. Create an export rule for the SVM root volume:

```
vserver export-policy rule create -vserver <u>vserver_name</u> -policyname default -ruleindex 1 -protocol nfs -clientmatch 0.0.0.0/0 -rorule any -rwrule any -superuser any
```

If the SVM will only contain volumes secured by Kerberos, you can set the export rule options -rorule, -rwrule, and -superuser for the root volume to krb5 or krb5i. For example:

```
-rorule krb5i -rwrule krb5i -superuser krb5i
```

3. Verify rule creation by using the vserver export-policy rule show command.

#### Result

Any NFS client can now access any volume or qtree created on the SVM.

#### Create an NFS server

After verifying that NFS is licensed on your cluster, you can use the <code>vserver nfs</code> <code>create</code> command to create an NFS server on the SVM and specify the NFS versions it supports.

#### What you'll need

The SVM must have been configured to allow the NFS protocol.

#### About this task

The SVM can be configured to support one or more versions of NFS. If you are supporting NFSv4 or later:

• The NFSv4 user ID mapping domain name must be the same on the NFSv4 server and target clients.

It does not necessarily need to be the same as an LDAP or NIS domain name as long as the NFSv4 server

and clients are using the same name.

- Target clients must support the NFSv4 numeric ID setting.
- For security reasons, you should use LDAP for name services in NFSv4 deployments.

#### Steps

1. Verify that NFS is licensed on your cluster:

```
system license show -package nfs
```

If it is not, contact your sales representative.

2. Create an NFS server:

```
vserver nfs create -vserver vserver_name -v3 {enabled|disabled} -v4.0
{enabled|disabled} -v4-id-domain nfsv4_id_domain -v4-numeric-ids
{enabled|disabled} -v4.1 {enabled|disabled} -v4.1-pnfs {enabled|disabled}
```

You can choose to enable any combination of NFS versions. If you want to support pNFS, you must enable both -v4.1 and -v4.1-pnfs options.

If you enable v4 or later, you should also be sure that the following options are set correctly:

```
° -v4-id-domain
```

This optional parameter specifies the domain portion of the string form of user and group names as defined by the NFSv4 protocol. By default, ONTAP uses the NIS domain if one is set; if not, the DNS domain is used. You must supply a value that matches the domain name used by target clients.

```
° -v4-numeric-ids
```

This optional parameter specifies whether the support for numeric string identifiers in NFSv4 owner attributes is enabled. The default setting is enabled but you should verify that the target clients support it

You can enable additional NFS features later by using the vserver nfs modify command.

3. Verify that NFS is running:

```
vserver nfs status -vserver vserver name
```

4. Verify that NFS is configured as desired:

```
vserver nfs show -vserver vserver name
```

#### **Examples**

The following command creates an NFS server on the SVM named vs1 with NFSv3 and NFSv4.0 enabled:

```
vs1::> vserver nfs create -vserver vs1 -v3 enabled -v4.0 enabled -v4-id -domain my domain.com
```

The following commands verify the status and configuration values of the new NFS server named vs1:

```
vsl::> vserver nfs status -vserver vsl

The NFS server is running on Vserver "vsl".

vsl::> vserver nfs show -vserver vsl

Vserver: vsl

General NFS Access: true

NFS v3: enabled

NFS v4.0: enabled

UDP Protocol: enabled

TCP Protocol: enabled

Default Windows User: -

NFSv4.0 ACL Support: disabled

NFSv4.0 Read Delegation Support: disabled

NFSv4.0 Write Delegation Support: disabled

NFSv4.0 Write Delegation Support: disabled

NFSv4.1 Mapping Domain: my_domain.com
```

#### Create a LIF

A LIF is an IP address associated with a physical or logical port. If there is a component failure, a LIF can fail over to or be migrated to a different physical port, thereby continuing to communicate with the network

#### What you'll need

- The underlying physical or logical network port must have been configured to the administrative up status.
- If you are planning to use a subnet name to allocate the IP address and network mask value for a LIF, the subnet must already exist.

Subnets contain a pool of IP addresses that belong to the same layer 3 subnet. They are created using the network subnet create command.

• The mechanism for specifying the type of traffic handled by a LIF has changed. For ONTAP 9.5 and earlier, LIFs used roles to specify the type of traffic it would handle. Beginning with ONTAP 9.6, LIFs use service policies to specify the type of traffic it would handle.

#### About this task

- You can create both IPv4 and IPv6 LIFs on the same network port.
- If you are using Kerberos authentication, enable Kerberos on multiple LIFs.
- If you have a large number of LIFs in your cluster, you can verify the LIF capacity supported on the cluster by using the network interface capacity show command and the LIF capacity supported on each node by using the network interface capacity details show command (at the advanced privilege level).
- Beginning with ONTAP 9.7, if other LIFs already exist for the SVM in the same subnet, you do not need to specify the home port of the LIF. ONTAP automatically chooses a random port on the specified home node

in the same broadcast domain as the other LIFs already configured in the same subnet.

Beginning with ONTAP 9.4, FC-NVMe is supported. If you are creating an FC-NVMe LIF you should be aware of the following:

- The NVMe protocol must be supported by the FC adapter on which the LIF is created.
- FC-NVMe can be the only data protocol on data LIFs.
- One LIF handling management traffic must be configured for every storage virtual machine (SVM) supporting SAN.
- NVMe LIFs and namespaces must be hosted on the same node.
- Only one NVMe LIF handling data traffic can be configured per SVM

#### Steps

#### 1. Create a LIF:

network interface create -vserver vserver\_name -lif lif\_name -role data -data
-protocol nfs -home-node node\_name -home-port port\_name {-address IP\_address
-netmask IP\_address | -subnet-name subnet\_name} -firewall-policy data -auto
-revert {true|false}

Option	Description
ONTAP 9.5 and earlier	network interface create -vserver  vserver_name -lif lif_name -role data  -data-protocol nfs -home-node  node_name -home-port port_name {-  address IP_address -netmask IP_address    -subnet-name subnet_name} -firewall  -policy data -auto-revert {true false}
ONTAP 9.6 and later	network interface create -vserver  vserver_name -lif lif_name -role data  -data-protocol nfs -home-node  node_name -home-port port_name {-  address IP_address -netmask IP_address    -subnet-name subnet_name} -firewall  -policy data -auto-revert {true false}

- The -role parameter is not required when creating a LIF using a service policy (beginning withONTAP 9.6).
- The -data-protocol parameter must be specified when the LIF is created, and cannot be modified later without destroying and re-creating the data LIF.

The -data-protocol parameter is not required when creating a LIF using a service policy (beginning with ONTAP 9.6).

• -home-node is the node to which the LIF returns when the network interface revert command is run on the LIF.

You can also specify whether the LIF should automatically revert to the home-node and home-port with

the -auto-revert option.

- -home-port is the physical or logical port to which the LIF returns when the network interface revert command is run on the LIF.
- You can specify an IP address with the -address and -netmask options, or you enable allocation from a subnet with the -subnet name option.
- When using a subnet to supply the IP address and network mask, if the subnet was defined with a
  gateway, a default route to that gateway is added automatically to the SVM when a LIF is created using
  that subnet.
- If you assign IP addresses manually (without using a subnet), you might need to configure a default route to a gateway if there are clients or domain controllers on a different IP subnet. The network route create man page contains information about creating a static route within an SVM.
- ° For the -firewall-policy option, use the same default data as the LIF role.

You can create and add a custom firewall policy later if desired.

- -auto-revert allows you to specify whether a data LIF is automatically reverted to its home node under circumstances such as startup, changes to the status of the management database, or when the network connection is made. The default setting is false, but you can set it to false depending on network management policies in your environment.
- 2. Verify that the LIF was created successfully by using the network interface show command.
- 3. Verify that the configured IP address is reachable:

To verify an	Use
IPv4 address	network ping
IPv6 address	network ping6

4. If you are using Kerberos, repeat Steps 1 through 3 to create additional LIFs.

Kerberos must be enabled separately on each of these LIFs.

#### **Examples**

The following command creates a LIF and specifies the IP address and network mask values using the -address and -netmask parameters:

```
network interface create -vserver vsl.example.com -lif datalif1 -role data -data-protocol nfs -home-node node-4 -home-port elc -address 192.0.2.145 -netmask 255.255.255.0 -firewall-policy data -auto-revert true
```

The following command creates a LIF and assigns IP address and network mask values from the specified subnet (named client1\_sub):

network interface create -vserver vs3.example.com -lif datalif3 -role data
-data-protocol nfs -home-node node-3 -home-port elc -subnet-name
client1\_sub -firewall-policy data -auto-revert true

The following command shows all the LIFs in cluster-1. Data LIFs datalif1 and datalif3 are configured with IPv4 addresses, and datalif4 is configured with an IPv6 address:

Vserver Home	Logical Interface		Network Address/Mask	Current Node	Current Is Port
cluster-1					
	cluster_mgm	t up/up	192.0.2.3/24	node-1	e1a
true					
node-1	alua1		100 0 0 10/04	nodo 1	0.00
true	clus1	up/up	192.0.2.12/24	node-1	e0a
crac	clus2	up/up	192.0.2.13/24	node-1	e0b
true					
	mgmt1	up/up	192.0.2.68/24	node-1	e1a
true					
node-2	clus1	up/up	192.0.2.14/24	node-2	e0a
true	CIUDI	αρ/ αρ	192.0.2.11,21	11040 2	Coa
	clus2	up/up	192.0.2.15/24	node-2	e0b
true					
	mgmt1	up/up	192.0.2.69/24	node-2	e1a
<pre>true vs1.exampl</pre>	e com				
vor.cxampr		up/down	192.0.2.145/30	node-1	e1c
true		I.			
vs3.exampl					
	datalif3	up/up	192.0.2.146/30	node-2	e0c
true	data];f/	110/110	2001::2/64	nodo-2	000
true	Ualalll4	up/up	2001::2/04	node-2	e0c

The following command shows how to create a NAS data LIF that is assigned with the <code>default-data-files</code> service policy:

network interface create -vserver vs1 -lif lif2 -home-node node2 -homeport e0d -service-policy default-data-files -subnet-name ipspace1

#### **Enable DNS for host-name resolution**

You can use the vserver services name-service dns command to enable DNS on an SVM, and configure it to use DNS for host-name resolution. Host names are resolved using external DNS servers.

#### What you'll need

A site-wide DNS server must be available for host name lookups.

You should configure more than one DNS server to avoid a single-point-of-failure. The vserver services name-service dns create command issues a warning if you enter only one DNS server name.

#### About this task

The Network Management Guide contains information about configuring dynamic DNS on the SVM.

#### **Steps**

1. Enable DNS on the SVM:

vserver services name-service dns create -vserver vserver\_name -domains domain name -name-servers ip addresses -state enabled

The following command enables external DNS server servers on the SVM vs1:

vserver services name-service dns create -vserver vsl.example.com -domains example.com -name-servers 192.0.2.201,192.0.2.202 -state enabled



Beginning with ONTAP 9.2, the vserver services name-service dns create command performs an automatic configuration validation and reports an error message if ONTAP cannot contact the name server.

2. Display the DNS domain configurations by using the vserver services name-service dns show command.

The following command displays the DNS configurations for all SVMs in the cluster:

name-servi	ice dns show	
		Name
State	Domains	Servers
enabled	example.com	192.0.2.201,
		192.0.2.202
enabled	example.com	192.0.2.201,
		192.0.2.202
	State  enabled	enabled example.com

The following command displays detailed DNS configuration information for SVM vs1:

3. Validate the status of the name servers by using the vserver services name-service dns check command.

The vserver services name-service dns check command is available beginning with ONTAP 9.2.

## Configure name services

#### Configure name services overview

Depending on the configuration of your storage system, ONTAP needs to be able to look up host, user, group, or netgroup information to provide proper access to clients. You must configure name services to enable ONTAP to access local or external name services to obtain this information.

You should use a name service such as NIS or LDAP to facilitate name lookups during client authentication. It is best to use LDAP whenever possible for greater security, especially when deploying NFSv4 or later. You

should also configure local users and groups in case external name servers are not available.

Name service information must be kept synchronized on all sources.

#### Configure the name service switch table

You must configure the name service switch table correctly to enable ONTAP to consult local or external name services to retrieve host, user, group, netgroup, or name mapping information.

#### What you'll need

You must have decided which name services you want to use for host, user, group, netgroup, or name mapping as applicable to your environment.

If you plan to use netgroups, all IPv6 addresses specified in netgroups must be shortened and compressed as specified in RFC 5952.

#### About this task

Do not include information sources that are not being used. For example, if NIS is not being used in your environment, do not specify the -sources nis option.

#### Steps

1. Add the necessary entries to the name service switch table:

```
vserver services name-service ns-switch create -vserver vserver_name -database database_name -sources source_names
```

2. Verify that the name service switch table contains the expected entries in the desired order:

```
vserver services name-service ns-switch show -vserver vserver name
```

If you want to make any corrections, you must use the vserver services name-service nsswitch modify or vserver services name-service ns-switch delete commands.

#### **Example**

The following example creates a new entry in the name service switch table for the SVM vs1 to use the local netgroup file and an external NIS server to look up netgroup information in that order:

```
cluster::> vserver services name-service ns-switch create -vserver vs1
-database netgroup -sources files,nis
```

#### After you finish

- You must configure the name services you have specified for the SVM to provide data access.
- If you delete any name service for the SVM, you must remove it from the name service switch table as well.

The client access to the storage system might not work as expected, if you fail to delete the name service from the name service switch table.

#### Configure local UNIX users and groups

#### Configure local UNIX users and groups overview

You can use local UNIX users and groups on the SVM for authentication and name mappings. You can create UNIX users and groups manually, or you can load a file containing UNIX users or groups from a uniform resource identifier (URI).

There is a default maximum limit of 32,768 local UNIX user groups and group members combined in the cluster. The cluster administrator can modify this limit.

#### Create a local UNIX user

You can use the vserver services name-service unix-user create command to create local UNIX users. A local UNIX user is a UNIX user you create on the SVM as a UNIX name services option to be used in the processing of name mappings.

#### Step

1. Create a local UNIX user:

```
vserver services name-service unix-user create -vserver vserver_name -user
user_name -id integer -primary-gid integer -full-name full_name
```

-user user name specifies the user name. The length of the user name must be 64 characters or fewer.

-id integer specifies the user ID that you assign.

-primary-gid integer specifies the primary group ID. This adds the user to the primary group. After creating the user, you can manually add the user to any desired additional group.

#### **Example**

The following command creates a local UNIX user named johnm (full name "John Miller") on the SVM named vs1. The user has the ID 123 and the primary group ID 100.

```
node::> vserver services name-service unix-user create -vserver vs1 -user
johnm -id 123
-primary-gid 100 -full-name "John Miller"
```

#### Load local UNIX users from a URI

As an alternative to manually creating individual local UNIX users in SVMs, you can simplify the task by loading a list of local UNIX users into SVMs from a uniform resource identifier (URI) (vserver services name-service unix-user load-from-uri).

#### Steps

1. Create a file containing the list of local UNIX users you want to load.

The file must contain user information in the UNIX /etc/passwd format:

```
user name: password: user ID: group ID: full name
```

The command discards the value of the password field and the values of the fields after the full\_name field (home directory and shell).

The maximum supported file size is 2.5 MB.

2. Verify that the list does not contain any duplicate information.

If the list contains duplicate entries, loading the list fails with an error message.

3. Copy the file to a server.

The server must be reachable by the storage system over HTTP, HTTPS, FTP, or FTPS.

4. Determine what the URI for the file is.

The URI is the address you provide to the storage system to indicate where the file is located.

5. Load the file containing the list of local UNIX users into SVMs from the URI:

```
vserver services name-service unix-user load-from-uri -vserver vserver_name
-uri {ftp|http|ftps|https}://uri -overwrite {true|false}
```

-overwrite {true|false} specifies whether to overwrite entries. The default is false.

#### **Example**

The following command loads a list of local UNIX users from the URI ftp://ftp.example.com/passwd into the SVM named vs1. Existing users on the SVM are not overwritten by information from the URI.

```
node::> vserver services name-service unix-user load-from-uri -vserver vs1
-uri ftp://ftp.example.com/passwd -overwrite false
```

#### Create a local UNIX group

You can use the vserver services name-service unix-group create command to create UNIX groups that are local to the SVM. Local UNIX groups are used with local UNIX users.

#### Step

1. Create a local UNIX group:

```
vserver services name-service unix-group create -vserver vserver_name -name
group_name -id integer
```

-name group\_name specifies the group name. The length of the group name must be 64 characters or fewer.

-id integer specifies the group ID that you assign.

#### Example

The following command creates a local group named eng on the SVM named vs1. The group has the ID 101.

vs1::> vserver services name-service unix-group create -vserver vs1 -name eng -id 101

#### Add a user to a local UNIX group

You can use the vserver services name-service unix-group adduser command to add a user to a supplemental UNIX group that is local to the SVM.

#### Step

1. Add a user to a local UNIX group:

vserver services name-service unix-group adduser -vserver vserver\_name -name
group name -username user name

-name group\_name specifies the name of the UNIX group to add the user to in addition to the user's primary group.

#### **Example**

The following command adds a user named max to a local UNIX group named eng on the SVM named vs1:

```
vs1::> vserver services name-service unix-group adduser -vserver vs1 -name
eng
-username max
```

#### Load local UNIX groups from a URI

As an alternative to manually creating individual local UNIX groups, you can load a list of local UNIX groups into SVMs from a uniform resource identifier (URI) by using the vserver services name-service unix-group load-from-uri command.

#### Steps

1. Create a file containing the list of local UNIX groups you want to load.

The file must contain group information in the UNIX /etc/group format:

```
group name: password: group ID: comma separated list of users
```

The command discards the value of the password field.

The maximum supported file size is 1 MB.

The maximum length of each line in the group file is 32,768 characters.

2. Verify that the list does not contain any duplicate information.

The list must not contain duplicate entries, or else loading the list fails. If there are entries already present in the SVM, you must either set the <code>-overwrite</code> parameter to <code>true</code> to overwrite all existing entries with the new file, or ensure that the new file does not contain any entries that duplicate existing entries.

3. Copy the file to a server.

The server must be reachable by the storage system over HTTP, HTTPS, FTP, or FTPS.

4. Determine what the URI for the file is.

The URI is the address you provide to the storage system to indicate where the file is located.

Load the file containing the list of local UNIX groups into the SVM from the URI:

```
vserver services name-service unix-group load-from-uri -vserver vserver_name
-uri {ftp|http|ftps|https}://uri -overwrite {true|false}
```

-overwrite {true|false} specifies whether to overwrite entries. The default is false. If you specify this parameter as true, ONTAP replaces the entire existing local UNIX group database of the specified SVM with the entries from the file you are loading.

#### **Example**

The following command loads a list of local UNIX groups from the URI ftp://ftp.example.com/group into the SVM named vs1. Existing groups on the SVM are not overwritten by information from the URI.

```
vs1::> vserver services name-service unix-group load-from-uri -vserver vs1
-uri ftp://ftp.example.com/group -overwrite false
```

#### Work with netgroups

#### Working with netgroups overview

You can use netgroups for user authentication and to match clients in export policy rules. You can provide access to netgroups from external name servers (LDAP or NIS), or you can load netgroups from a uniform resource identifier (URI) into SVMs using the vserver services name-service netgroup load command.

#### What you'll need

Before working with netgroups, you must ensure the following conditions are met:

 All hosts in netgroups, regardless of source (NIS, LDAP, or local files), must have both forward (A) and reverse (PTR) DNS records to provide consistent forward and reverse DNS lookups.

In addition, if an IP address of a client has multiple PTR records, all of those host names must be members of the netgroup and have corresponding A records.

- The names of all hosts in netgroups, regardless of their source (NIS, LDAP, or local files), must be correctly spelled and use the correct case. Case inconsistencies in host names used in netgroups can lead to unexpected behavior, such as failed export checks.
- All IPv6 addresses specified in netgroups must be shortened and compressed as specified in RFC 5952.

For example, 2011:hu9:0:0:0:0:3:1 must be shortened to 2011:hu9::3:1.

#### About this task

When you work with netgroups, you can perform the following operations:

- You can use the vserver export-policy netgroup check-membership command to help determine whether a client IP is a member of a certain netgroup.
- You can use the vserver services name-service getxxbyyy netgrp command to check whether a client is part of a netgroup.

The underlying service for doing the lookup is selected based on the configured name service switch order.

#### Load netgroups into SVMs

One of the methods you can use to match clients in export policy rules is by using hosts listed in netgroups. You can load netgroups from a uniform resource identifier (URI) into SVMs as an alternative to using netgroups stored in external name servers (vserver services name-service netgroup load).

#### What you'll need

Netgroup files must meet the following requirements before being loaded into an SVM:

• The file must use the same proper netgroup text file format that is used to populate NIS.

ONTAP checks the netgroup text file format before loading it. If the file contains errors, it will not be loaded and a message is displayed indicating the corrections you have to perform in the file. After correcting the errors, you can reload the netgroup file into the specified SVM.

- Any alphabetic characters in host names in the netgroup file should be lowercase.
- The maximum supported file size is 5 MB.
- The maximum supported level for nesting netgroups is 1000.
- Only primary DNS host names can be used when defining host names in the netgroup file.

To avoid export access issues, host names should not be defined using DNS CNAME or round robin records.

• The user and domain portions of triples in the netgroup file should be kept empty because ONTAP does not support them.

Only the host/IP part is supported.

#### About this task

ONTAP supports netgroup-by-host searches for the local netgroup file. After you load the netgroup file, ONTAP automatically creates a netgroup.byhost map to enable netgroup-by-host searches. This can significantly speed up local netgroup searches when processing export policy rules to evaluate client access.

#### Step

1. Load netgroups into SVMs from a URI:

vserver services name-service netgroup load -vserver vserver name -source

```
{ftp|http|ftps|https}://uri
```

Loading the netgroup file and building the netgroup.byhost map can take several minutes.

If you want to update the netgroups, you can edit the file and load the updated netgroup file into the SVM.

#### Example

The following command loads netgroup definitions into the SVM named vs1 from the HTTP URL http://intranet/downloads/corp-netgroup:

```
vs1::> vserver services name-service netgroup load -vserver vs1
-source http://intranet/downloads/corp-netgroup
```

#### Verify the status of netgroup definitions

After loading netgroups into the SVM, you can use the vserver services nameservice netgroup status command to verify the status of netgroup definitions. This enables you to determine whether netgroup definitions are consistent on all of the nodes that back the SVM.

#### **Steps**

1. Set the privilege level to advanced:

```
set -privilege advanced
```

2. Verify the status of netgroup definitions:

```
vserver services name-service netgroup status
```

You can display additional information in a more detailed view.

3. Return to the admin privilege level:

```
set -privilege admin
```

#### **Example**

After the privilege level is set, the following command displays netgroup status for all SVMs:

```
vs1::> set -privilege advanced
Warning: These advanced commands are potentially dangerous; use them only
        directed to do so by technical support.
Do you wish to continue? (y or n): y
vs1::*> vserver services name-service netgroup status
Virtual
Server Node
                        Load Time
                                          Hash Value
______
vs1
                        9/20/2006 16:04:53
         node1
e6cb38ec1396a280c0d2b77e3a84eda2
                        9/20/2006 16:06:26
         node2
e6cb38ec1396a280c0d2b77e3a84eda2
         node3
                        9/20/2006 16:08:08
e6cb38ec1396a280c0d2b77e3a84eda2
                        9/20/2006 16:11:33
         node4
e6cb38ec1396a280c0d2b77e3a84eda2
```

#### Create an NIS domain configuration

If a Network Information Service (NIS) is used in your environment for name services, you must create an NIS domain configuration for the SVM by using the vserver services name-service nis-domain create command.

#### What you'll need

All configured NIS servers must be available and reachable before you configure the NIS domain on the SVM.

If you plan to use NIS for directory searches, the maps in your NIS servers cannot have more than 1,024 characters for each entry. Do not specify the NIS server that does not comply with this limit. Otherwise, client access dependent on NIS entries might fail.

#### About this task

You can create multiple NIS domains. However, you can only use one that is set to active.

If your NIS database contains a netgroup.byhost map, ONTAP can use it for quicker searches. The netgroup.byhost and netgroup maps in the directory must be kept in sync at all times to avoid client access issues. Beginning with ONTAP 9.7, NIS netgroup.byhost entries can be cached using the vserver services name-service nis-domain netgroup-database commands.

Using NIS for host name resolution is not supported.

#### **Steps**

1. Create an NIS domain configuration:

vserver services name-service nis-domain create -vserver vs1 -domain domain name -active true -servers IP addresses

You can specify up to 10 NIS servers.



Beginning with ONTAP 9.2, the field -nis-servers replaces the field -servers. This new field can take either a hostname or an IP address for the NIS server.

2. Verify that the domain is created:

vserver services name-service nis-domain show

#### **Example**

The following command creates and makes an active NIS domain configuration for an NIS domain called nisdomain on the SVM named vs1 with an NIS server at IP address 192.0.2.180:

vs1::> vserver services name-service nis-domain create -vserver vs1 -domain nisdomain -active true -nis-servers 192.0.2.180

#### **Use LDAP**

#### Overview of using LDAP

If LDAP is used in your environment for name services, you need to work with your LDAP administrator to determine requirements and appropriate storage system configurations, then enable the SVM as an LDAP client.

Beginning with ONTAP 9.10.1, LDAP channel binding is supported by default for both Active Directory and name services LDAP connections. ONTAP will try channel binding with LDAP connections only if Start-TLS or LDAPS is enabled along with session security set to either sign or seal. To disable or reenable LDAP channel binding with name servers, use the <code>-try-channel-binding</code> parameter with the <code>ldap client modify command</code>.

For more information, see 2020 LDAP channel binding and LDAP signing requirements for Windows.

- Before configuring LDAP for ONTAP, you should verify that your site deployment meets best practices for LDAP server and client configuration. In particular, the following conditions must be met:
  - The domain name of the LDAP server must match the entry on the LDAP client.
  - The LDAP user password hash types supported by the LDAP server must include those supported by ONTAP:
    - CRYPT (all types) and SHA-1 (SHA, SSHA).
    - Beginning with ONTAP 9.8, SHA-2 hashes (SHA-256, SSH-384, SHA-512, SSHA-256, SSHA-384, and SSHA-512) are also supported.
  - If the LDAP server requires session security measures, you must configure them in the LDAP client.

The following session security options are available:

- LDAP signing (provides data integrity checking) and LDAP signing and sealing (provides data integrity checking and encryption)
- START TLS
- LDAPS (LDAP over TLS or SSL)
- To enable signed and sealed LDAP queries, the following services must be configured:
  - LDAP servers must support the GSSAPI (Kerberos) SASL mechanism.
  - LDAP servers must have DNS A/AAAA records as well as PTR records set up on the DNS server.
  - Kerberos servers must have SRV records present on the DNS server.
- To enable START TLS or LDAPS, the following points should be considered.
  - It is a NetApp best practice to use Start TLS rather than LDAPS.
  - If LDAPS is used, the LDAP server must be enabled for TLS or for SSL in ONTAP 9.5 and later. SSL is not supported in ONTAP 9.0-9.4.
  - A certificate server must already be configured in the domain.
- To enable LDAP referral chasing (in ONTAP 9.5 and later), the following conditions must be satisfied:
  - Both domains should be configured with one of the following trust relationships:
    - Two-way
    - One-way, where the primary trusts the referral domain
    - Parent-child
  - DNS must be configured to resolve all referred server names.
  - Domain passwords should be same to authenticate when --bind-as-cifs-server set to true.

The following configurations are not supported with LDAP referral chasing.

- For all ONTAP versions:
  - LDAP clients on an admin SVM



- For ONTAP 9.8 and earlier (they are supported in 9.9.1 and later):
  - LDAP signing and sealing (the -session-security option)
  - Encrypted TLS connections (the -use-start-tls option)
  - Communications over LDAPS port 636 (the -use-ldaps-for-ad-ldap option)
- You must enter an LDAP schema when configuring the LDAP client on the SVM.

In most cases, one of the default ONTAP schemas will be appropriate. However, if the LDAP schema in your environment differs from these, you must create a new LDAP client schema for ONTAP before creating the LDAP client. Consult with your LDAP administrator about requirements for your environment.

• Using LDAP for host name resolution is not supported.

For additional information, see NetApp Technical Report 4835: How to Configure LDAP in ONTAP.

#### Create a new LDAP client schema

If the LDAP schema in your environment differs from the ONTAP defaults, you must create a new LDAP client schema for ONTAP before creating the LDAP client

configuration.

#### About this task

Most LDAP servers can use the default schemas provided by ONTAP:

- MS-AD-BIS (the preferred schema for most Windows 2012 and later AD servers)
- AD-IDMU (Windows 2008, Windows 2012 and later AD servers)
- AD-SFU (Windows 2003 and earlier AD servers)
- RFC-2307 (UNIX LDAP servers)

If you need to use a non-default LDAP schema, you must create it before creating the LDAP client configuration. Consult with your LDAP administrator before creating a new schema.

The default LDAP schemas provided by ONTAP cannot be modified. To create a new schema, you create a copy and then modify the copy accordingly.

#### Steps

1. Display the existing LDAP client schema templates to identify the one you want to copy:

```
vserver services name-service ldap client schema show
```

2. Set the privilege level to advanced:

```
set -privilege advanced
```

3. Make a copy of an existing LDAP client schema:

```
vserver services name-service ldap client schema copy -vserver vserver_name -schema existing_schema_name -new-schema-name new_schema_name
```

4. Modify the new schema and customize it for your environment:

```
vserver services name-service ldap client schema modify
```

5. Return to the admin privilege level:

```
set -privilege admin
```

## Install the self-signed root CA certificate on the SVM

If LDAP authentication with TLS is required when binding to LDAP servers, you must first install the self-signed root CA certificate on the SVM.

#### About this task

When LDAP over TLS is enabled, the ONTAP LDAP client on the SVM does not support revoked certificates in ONTAP 9.0 and 9.1.

Beginning with ONTAP 9.2, all applications within ONTAP that use TLS communications can check digital certificate status using Online Certificate Status Protocol (OCSP). If OCSP is enabled for LDAP over TLS, revoked certificates are rejected and the connection fails.

#### **Steps**

- 1. Install the self-signed root CA certificate:
  - a. Begin the certificate installation:

```
security certificate install -vserver vserver name -type server-ca
```

The console output displays the following message:

```
Please enter Certificate: Press <Enter> when done
```

- b. Open the certificate .pem file with a text editor, copy the certificate, including the lines beginning with ----BEGIN CERTIFICATE----, and then paste the certificate after the command prompt.
- c. Verify that the certificate is displayed correctly.
- d. Complete the installation by pressing Enter.
- 2. Verify that the certificate is installed:

```
security certificate show -vserver vserver_name
```

### Create an LDAP client configuration

If you want ONTAP to access the external LDAP servers in your environment, you must first set up an LDAP client on the storage system.

## What you'll need

One of the first three servers in the AD-domain resolved list must be up and serving data. Otherwise, this task fails.



There are multiple servers, out of which more than two servers are down at any point of time.

#### **Steps**

- 1. Consult with your LDAP administrator to determine the appropriate configuration values for the vserver services name-service ldap client create command:
  - a. Specify a domain-based or an address-based connection to LDAP servers.

The -ad-domain and -servers options are mutually exclusive.

• Use the -ad-domain option to enable LDAP server discovery in the Active Directory domain.

You can use the <code>-preferred-ad-servers</code> option to specify one or more preferred Active Directory servers by IP address in a comma-delimited list. After the client is created, you can modify this list by using the <code>vserver services name-service ldap client modify command</code>.

 Use the -servers option to specify one or more LDAP servers (AD or UNIX) by IP address in a comma-delimited list.



The -servers option is deprecated in ONTAP 9.2. Beginning with ONTAP 9.2, the -ldap-servers field replaces the -servers field. This new field can take either a host name or an IP address for the LDAP server.

b. Specify a default or custom LDAP schema.

Most LDAP servers can use the default read-only schemas that are provided by ONTAP. It is best to use those default schemas unless there is a requirement to do otherwise. If so, you can create your own schema by copying a default schema (they are read-only), and then modifying the copy.

Default schemas:

MS-AD-BIS

Based on RFC-2307bis, this is the preferred LDAP schema for most standard Windows 2012 and later LDAP deployments.

■ AD-IDMU

Based on Active Directory Identity Management for UNIX, this schema is appropriate for most Windows 2008, Windows 2012, and later AD servers.

■ AD-SFU

Based on Active Directory Services for UNIX, this schema is appropriate for most Windows 2003 and earlier AD servers.

■ RFC-2307

Based on RFC-2307 (*An Approach for Using LDAP as a Network Information Service*), this schema is appropriate for most UNIX AD servers.

- c. Select bind values.
  - -min-bind-level {anonymous|simple|sasl} specifies the minimum bind authentication level

The default value is anonymous.

-bind-dn LDAP DN specifies the bind user.

For Active Directory servers, you must specify the user in the account (DOMAIN\user) or principal (user@domain.com) form. Otherwise, you must specify the user in distinguished name (CN=user,DC=domain,DC=com) form.

- -bind-password password specifies the bind password.
- d. Select session security options, if required.

You can enable either LDAP signing and sealing or LDAP over TLS if required by the LDAP server.

--session-security {none|sign|seal}

You can enable signing (sign, data integrity), signing and sealing (seal, data integrity and encryption), or neither (none, no signing or sealing). The default value is none.

You should also set <code>-min-bind-level {sasl}</code> unless you want the bind authentication to fall back to <code>anonymous</code> or <code>simple</code> if the signing and sealing bind fails.

-use-start-tls {true|false}

If set to **true** and the LDAP server supports it, the LDAP client uses an encrypted TLS connection to the server. The default value is **false**. You must install a self-signed root CA certificate of the LDAP server to use this option.



If the SVM has a SMB server added to a domain and the LDAP server is one of the domain controllers of the home-domain of the SMB server, then you can modify the -session-security-for-ad-ldap option by using the vserver cifs security modify command.

e. Select port, query, and base values.

The default values are recommended, but you must verify with your LDAP administrator that they are appropriate for your environment.

-port port specifies the LDAP server port.

The default value is 389.

If you plan to use Start TLS to secure the LDAP connection, you must use the default port 389. Start TLS begins as a plaintext connection over the LDAP default port 389, and that connection is then upgraded to TLS. If you change the port, Start TLS fails.

-query-timeout integer specifies the query timeout in seconds.

The allowed range is from 1 through 10 seconds. The default value is 3 seconds.

-base-dn LDAP DN specifies the base DN.

Multiple values can be entered if needed (for example, if LDAP referral chasing is enabled). The default value is "" (root).

-base-scope {base|onelevel|subtree} specifies the base search scope.

The default value is subtree.

-referral-enabled {true|false} specifies whether LDAP referral chasing is enabled.

Beginning with ONTAP 9.5, this allows the ONTAP LDAP client to refer look-up requests to other LDAP servers if an LDAP referral response is returned by the primary LDAP server indicating that the desired records are present on referred LDAP servers. The default value is **false**.

To search for records present in the referred LDAP servers, the base-dn of the referred records must be added to the base-dn as part of LDAP client configuration.

2. Create an LDAP client configuration on the SVM:

vserver services name-service ldap client create -vserver vserver\_name -client -config client\_config\_name {-servers LDAP\_server\_list | -ad-domain ad\_domain -preferred-ad-servers preferred\_ad\_server\_list -schema schema -port 389 -query -timeout 3 -min-bind-level {anonymous|simple|sasl} -bind-dn LDAP\_DN -bind -password password -base-dn LDAP\_DN -base-scope subtree -session-security

{none|sign|seal} [-referral-enabled {true|false}]



You must provide the SVM name when creating an LDAP client configuration.

3. Verify that the LDAP client configuration is created successfully:

```
vserver services name-service ldap client show -client-config client config name
```

#### **Examples**

The following command creates a new LDAP client configuration named ldap1 for the SVM vs1 to work with an Active Directory server for LDAP:

```
cluster1::> vserver services name-service ldap client create -vserver vs1
-client-config ldapclient1 -ad-domain addomain.example.com -schema AD-SFU
-port 389 -query-timeout 3 -min-bind-level simple -base-dn
DC=addomain, DC=example, DC=com -base-scope subtree -preferred-ad-servers
172.17.32.100
```

The following command creates a new LDAP client configuration named ldap1 for the SVM vs1 to work with an Active Directory server for LDAP on which signing and sealing is required:

```
cluster1::> vserver services name-service ldap client create -vserver vs1
-client-config ldapclient1 -ad-domain addomain.example.com -schema AD-SFU
-port 389 -query-timeout 3 -min-bind-level sasl -base-dn
DC=addomain, DC=example, DC=com -base-scope subtree -preferred-ad-servers
172.17.32.100 -session-security seal
```

The following command creates a new LDAP client configuration named ldap1 for the SVM vs1 to work with an Active Directory server for LDAP where LDAP referral chasing is required:

```
cluster1::> vserver services name-service ldap client create -vserver vs1
-client-config ldapclient1 -ad-domain addomain.example.com -schema AD-SFU
-port 389 -query-timeout 3 -min-bind-level sasl -base-dn
"DC=adbasedomain, DC=example1, DC=com; DC=adrefdomain, DC=example2, DC=com"
-base-scope subtree -preferred-ad-servers 172.17.32.100 -referral-enabled true
```

The following command modifies the LDAP client configuration named Idap1 for the SVM vs1 by specifying the base DN:

```
cluster1::> vserver services name-service ldap client modify -vserver vs1
-client-config ldap1 -base-dn CN=Users, DC=addomain, DC=example, DC=com
```

The following command modifies the LDAP client configuration named Idap1 for the SVM vs1 by enabling referral chasing:

```
cluster1::> vserver services name-service ldap client modify -vserver vs1
-client-config ldap1 -base-dn "DC=adbasedomain,DC=example1,DC=com;
DC=adrefdomain,DC=example2,DC=com" -referral-enabled true
```

#### Associate the LDAP client configuration with SVMs

To enable LDAP on an SVM, you must use the vserver services name-service ldap create command to associate an LDAP client configuration with the SVM.

## What you'll need

- An LDAP domain must already exist within the network and must be accessible to the cluster that the SVM is located on.
- An LDAP client configuration must exist on the SVM.

## Steps

1. Enable LDAP on the SVM:

vserver services name-service ldap create -vserver vserver\_name -client-config client config name



Beginning with ONTAP 9.2, the vserver services name-service ldap create command performs an automatic configuration validation and reports an error message if ONTAP is unable to contact the name server.

The following command enables LDAP on the "vs1"SVM and configures it to use the "ldap1" LDAP client configuration:

```
cluster1::> vserver services name-service ldap create -vserver vs1
-client-config ldap1 -client-enabled true
```

2. Validate the status of the name servers by using the vserver services name-service Idap check command.

The following command validates LDAP servers on the SVM vs1.

The name service check command is available beginning with ONTAP 9.2.

## Verify LDAP sources in the name service switch table

You must verify that LDAP sources for name services are listed correctly in the name service switch table for the SVM.

## **Steps**

1. Display the current name service switch table contents:

```
vserver services name-service ns-switch show -vserver svm name
```

The following command shows the results for the SVM My\_SVM:

ie3220-a::>	vserver services r	name-service ns-switch show -vserver My_SVM
		Source
Vserver	Database	Order
My_SVM	hosts	files,
		dns
My_SVM	group	files,ldap
My_SVM	passwd	files,ldap
My_SVM	netgroup	files
My_SVM	namemap	files
5 entries we	re displayed.	

namemap specifies the sources to search for name mapping information and in what order. In a UNIX-only environment, this entry is not necessary. Name mapping is only required in a mixed environment using both UNIX and Windows.

2. Update the ns-switch entry as appropriate:

If you want to update the ns-switch entry for	Enter the command
User information	vserver services name-service ns- switch modify -vserver vserver_name -database passwd -sources ldap, files
Group information	<pre>vserver services name-service ns- switch modify -vserver vserver_name -database group -sources ldap, files</pre>
Netgroup information	vserver services name-service ns- switch modify -vserver vserver_name -database netgroup -sources ldap,files

## Use Kerberos with NFS for strong security

## Overview of using Kerberos with NFS for strong security

If Kerberos is used in your environment for strong authentication, you need to work with your Kerberos administrator to determine requirements and appropriate storage system configurations, and then enable the SVM as a Kerberos client.

Your environment should meet the following guidelines:

- Your site deployment should follow best practices for Kerberos server and client configuration before you configure Kerberos for ONTAP.
- If possible, use NFSv4 or later if Kerberos authentication is required.

NFSv3 can be used with Kerberos. However, the full security benefits of Kerberos are only realized in ONTAP deployments of NFSv4 or later.

- To promote redundant server access, Kerberos should be enabled on several data LIFs on multiple nodes in the cluster using the same SPN.
- When Kerberos is enabled on the SVM, one of the following security methods must be specified in export rules for volumes or qtrees depending on your NFS client configuration.
  - krb5 (Kerberos v5 protocol)
  - krb5i (Kerberos v5 protocol with integrity checking using checksums)
  - krb5p (Kerberos v5 protocol with privacy service)

In addition to the Kerberos server and clients, the following external services must be configured for ONTAP to support Kerberos:

· Directory service

You should use a secure directory service in your environment, such as Active Directory or OpenLDAP, that is configured to use LDAP over SSL/TLS. Do not use NIS, whose requests are sent in clear text and are hence not secure.

• NTP

You must have a working time server running NTP. This is necessary to prevent Kerberos authentication failure due to time skew.

Domain name resolution (DNS)

Each UNIX client and each SVM LIF must have a proper service record (SRV) registered with the KDC under forward and reverse lookup zones. All participants must be properly resolvable via DNS.

#### **Verify permissions for Kerberos configuration**

Kerberos requires that certain UNIX permissions be set for the SVM root volume and for local users and groups.

#### Steps

1. Display the relevant permissions on the SVM root volume:

```
volume show -volume root vol name-fields user, group, unix-permissions
```

The root volume of the SVM must have the following configuration:

Name	Setting
UID	root or ID 0
GID	root or ID 0
UNIX permissions	755

If these values are not shown, use the volume modify command to update them.

## 2. Display the local UNIX users:

vserver services name-service unix-user show -vserver vserver\_name

The SVM must have the following UNIX users configured:

User name	User ID	Primary group ID	Comment
nfs	500	0	Required for GSS INIT phase.  The first component of the NFS client user SPN is used as the user.
			The nfs user is not required if a Kerberos-UNIX name mapping exists for the SPN of the NFS client user.
root	0	0	Required for mounting.

If these values are not shown, you can use the vserver services name-service unix-user modify command to update them.

## 3. Display the local UNIX groups:

vserver services name-service unix-group show -vserver vserver \_name

The SVM must have the following UNIX groups configured:

Group name	Group ID
daemon	1
root	0

If these values are not shown, you can use the vserver services name-service unix-group modify command to update them.

## Create an NFS Kerberos realm configuration

If you want ONTAP to access external Kerberos servers in your environment, you must first configure the SVM to use an existing Kerberos realm. To do so, you need to gather configuration values for the Kerberos KDC server, and then use the <code>vserver nfs</code> <code>kerberos realm create command to create the Kerberos realm configuration on an SVM.</code>

## What you'll need

The cluster administrator should have configured NTP on the storage system, client, and KDC server to avoid authentication issues. Time differences between a client and server (clock skew) are a common cause of authentication failures.

## **Steps**

- 1. Consult with your Kerberos administrator to determine the appropriate configuration values to supply with the vserver nfs kerberos realm create command.
- 2. Create a Kerberos realm configuration on the SVM:

```
vserver nfs kerberos realm create -vserver vserver_name -realm realm_name
{AD_KDC_server_values | AD_KDC_server_values} -comment "text"
```

3. Verify that the Kerberos realm configuration was created successfully:

```
vserver nfs kerberos realm show
```

### **Examples**

The following command creates an NFS Kerberos realm configuration for the SVM vs1 that uses a Microsoft Active Directory server as the KDC server. The Kerberos realm is AUTH.EXAMPLE.COM. The Active Directory server is named ad-1 and its IP address is 10.10.8.14. The permitted clock skew is 300 seconds (the default). The IP address of the KDC server is 10.10.8.14, and its port number is 88 (the default). "Microsoft Kerberos config" is the comment.

```
vs1::> vserver nfs kerberos realm create -vserver vs1 -realm
AUTH.EXAMPLE.COM -adserver-name ad-1
-adserver-ip 10.10.8.14 -clock-skew 300 -kdc-ip 10.10.8.14 -kdc-port 88
-kdc-vendor Microsoft
-comment "Microsoft Kerberos config"
```

The following command creates an NFS Kerberos realm configuration for the SVM vs1 that uses an MIT KDC. The Kerberos realm is SECURITY.EXAMPLE.COM. The permitted clock skew is 300 seconds. The IP address of the KDC server is 10.10.9.1, and its port number is 88. The KDC vendor is Other to indicate a UNIX vendor. The IP address of the administrative server is 10.10.9.1, and its port number is 749 (the default). The IP address of the password server is 10.10.9.1, and its port number is 464 (the default). "UNIX Kerberos config" is the comment.

```
vs1::> vserver nfs kerberos realm create -vserver vs1 -realm
SECURITY.EXAMPLE.COM. -clock-skew 300
-kdc-ip 10.10.9.1 -kdc-port 88 -kdc-vendor Other -adminserver-ip 10.10.9.1
-adminserver-port 749
-passwordserver-ip 10.10.9.1 -passwordserver-port 464 -comment "UNIX
Kerberos config"
```

## Configure NFS Kerberos permitted encryption types

By default, ONTAP supports the following encryption types for NFS Kerberos: DES, 3DES, AES-128, and AES-256. You can configure the permitted encryption types for each SVM to suit the security requirements for your particular environment by using the vserver nfs modify command with the -permitted-enc-types parameter.

#### About this task

For greatest client compatibility, ONTAP supports both weak DES and strong AES encryption by default. This means, for example, that if you want to increase security and your environment supports it, you can use this procedure to disable DES and 3DES and require clients to use only AES encryption.

You should use the strongest encryption available. For ONTAP, that is AES-256. You should confirm with your KDC administrator that this encryption level is supported in your environment.

Enabling or disabling AES entirely (both AES-128 and AES-256) on SVMs is disruptive because it destroys
the original DES principal/keytab file, thereby requiring that the Kerberos configuration be disabled on all
LIFs for the SVM.

Before making this change, you should verify that NFS clients do not rely on AES encryption on the SVM.

• Enabling or disabling DES or 3DES does not require any changes to the Kerberos configuration on LIFs.

#### Step

1. Enable or disable the permitted encryption type you want:

If you want to enable or disable	Follow these steps
DES or 3DES	a. Configure the NFS Kerberos permitted encryption types of the SVM:  vserver nfs modify -vserver  vserver_name -permitted-enc-types  encryption_types  Separate multiple encryption types with a  comma.
	b. Verify that the change was successful: vserver nfs show -vserver vserver_name -fields permitted-enc- types

If you want to enable or disable	Follow these steps
AES-128 or AES-256	a. Identify on which SVM and LIF Kerberos is enabled:  vserver nfs kerberos interface show
	<ul> <li>b. Disable Kerberos on all LIFs on the SVM whose NFS Kerberos permitted encryption type you want to modify:</li> <li>vserver nfs kerberos interface disable -lif lif_name</li> </ul>
	c. Configure the NFS Kerberos permitted encryption types of the SVM: vserver nfs modify -vserver vserver_name -permitted-enc-types encryption_types
	Separate multiple encryption types with a comma.
	d. Verify that the change was successful: vserver nfs show -vserver vserver_name -fields permitted-enc- types
	e. Reenable Kerberos on all LIFs on the SVM:  vserver nfs kerberos interface  enable -lif lif_name -spn  service_principal_name
	f. Verify that Kerberos is enabled on all LIFs: vserver nfs kerberos interface show

### Enable Kerberos on a data LIF

You can use the vserver nfs kerberos interface enable command to enable Kerberos on a data LIF. This enables the SVM to use Kerberos security services for NFS.

#### About this task

If you are using an Active Directory KDC, the first 15 characters of any SPNs used must be unique across SVMs within a realm or domain.

#### Steps

1. Create the NFS Kerberos configuration:

```
vserver nfs kerberos interface enable -vserver vserver_name -lif
logical interface -spn service principal name
```

ONTAP requires the secret key for the SPN from the KDC to enable the Kerberos interface.

For Microsoft KDCs, the KDC is contacted and a user name and password prompt are issued at the CLI to obtain the secret key. If you need to create the SPN in a different OU of the Kerberos realm, you can

specify the optional -ou parameter.

For non-Microsoft KDCs, the secret key can be obtained using one of two methods:

If you	You must also include the following parameter with the command
Have the KDC administrator credentials to retrieve the key directly from the KDC	-admin-username kdc_admin_username
Do not have the KDC administrator credentials but have a keytab file from the KDC containing the key	-keytab-uri {ftp http}://uri

2. Verify that Kerberos was enabled on the LIF:

```
vserver nfs kerberos-config show
```

3. Repeat steps 1 and 2 to enable Kerberos on multiple LIFs.

### **Example**

The following command creates and verifies an NFS Kerberos configuration for the SVM named vs1 on the logical interface ves03-d1, with the SPN nfs/ves03-d1.lab.example.com@TEST.LAB.EXAMPLE.COM in the OU lab2ou:

# Add storage capacity to an NFS-enabled SVM

## Add storage capacity to an NFS-enabled SVM overview

To add storage capacity to an NFS-enabled SVM, you must create a volume or qtree to provide a storage container, and create or modify an export policy for that container. You can then verify NFS client access from the cluster and test access from client systems.

### What you'll need

- NFS must be completely set up on the SVM.
- The default export policy of the SVM root volume must contain a rule that permits access to all clients.
- Any updates to your name services configuration must be complete.
- Any additions or modifications to a Kerberos configuration must be complete.

## Create an export policy

Before creating export rules, you must create an export policy to hold them. You can use the vserver export-policy create command to create an export policy.

## Steps

1. Create an export policy:

```
vserver export-policy create -vserver vserver_name -policyname policy_name
The policy name can be up to 256 characters long.
```

2. Verify that the export policy was created:

```
vserver export-policy show -policyname policy name
```

#### **Example**

The following commands create and verify the creation of an export policy named exp1 on the SVM named vs1:

## Add a rule to an export policy

Without rules, the export policy cannot provide client access to data. To create a new export rule, you must identify clients and select a client match format, select the access and security types, specify an anonymous user ID mapping, select a rule index number, and select the access protocol. You can then use the vserver export-policy rule create command to add the new rule to an export policy.

#### What you'll need

- The export policy you want to add the export rules to must already exist.
- DNS must be correctly configured on the data SVM and DNS servers must have correct entries for NFS clients.

This is because ONTAP performs DNS lookups using the DNS configuration of the data SVM for certain

client match formats, and failures in export policy rule matching can prevent client data access.

- If you are authenticating with Kerberos, you must have determined which of the following security methods is used on your NFS clients:
  - krb5 (Kerberos V5 protocol)
  - krb5i (Kerberos V5 protocol with integrity checking using checksums)
  - krb5p (Kerberos V5 protocol with privacy service)

### About this task

It is not necessary to create a new rule if an existing rule in an export policy covers your client match and access requirements.

If you are authenticating with Kerberos and if all volumes of the SVM are accessed over Kerberos, you can set the export rule options -rorule, -rwrule, and -superuser for the root volume to krb5, krb5i, or krb5p.

#### Steps

1. Identify the clients and the client match format for the new rule.

The -clientmatch option specifies the clients to which the rule applies. Single or multiple client match values can be specified; specifications of multiple values must be separated by commas. You can specify the match in any of the following formats:

Client match format	Example
Domain name preceded by the "." character	<pre>.example.com or .example.com, .example.net,</pre>
Host name	host1 or host1, host2,
IPv4 address	10.1.12.24 or 10.1.12.24,10.1.12.25,
IPv4 address with a subnet mask expressed as a number of bits	10.1.12.10/4 or 10.1.12.10/4,10.1.12.11/4,
IPv4 address with a network mask	10.1.16.0/255.255.255.0 or 10.1.16.0/255.255.255.0,10.1.17.0/255. 255.255.0,
IPv6 address in dotted format	::1.2.3.4 or ::1.2.3.4,::1.2.3.5,
IPv6 address with a subnet mask expressed as a number of bits	ff::00/32 or ff::00/32, ff::01/32,
A single netgroup with the netgroup name preceded by the @ character	@netgroup1 or @netgroup1, @netgroup2,

You can also combine types of client definitions; for example, .example.com, @netgroup1.

When specifying IP addresses, note the following:

• Entering an IP address range, such as 10.1.12.10-10.1.12.70, is not allowed.

Entries in this format are interpreted as a text string and treated as a host name.

 When specifying individual IP addresses in export rules for granular management of client access, do not specify IP addresses that are dynamically (for example, DHCP) or temporarily (for example, IPv6) assigned.

Otherwise, the client loses access when its IP address changes.

- Entering an IPv6 address with a network mask, such as ff::12/ff::00, is not allowed.
- 2. Select the access and security types for client matches.

You can specify one or more of the following access modes to clients that authenticate with the specified security types:

- -rorule (read-only access)
- -rwrule (read-write access)
- ° -superuser (root access)



A client can only get read-write access for a specific security type if the export rule allows read-only access for that security type as well. If the read-only parameter is more restrictive for a security type than the read-write parameter, the client might not get read-write access. The same is true for superuser access.

You can specify a comma-separated list of multiple security types for a rule. If you specify the security type as any or never, do not specify any other security types. Choose from the following valid security types:

When security type is set to	A matching client can access the exported data
any	Always, regardless of incoming security type.
none	If listed alone, clients with any security type are granted access as anonymous. If listed with other security types, clients with a specified security type are granted access and clients with any other security type are granted access as anonymous.
never	Never, regardless of incoming security type.
krb5	If it is authenticated by Kerberos 5. Authentication only: The header of each request and response is signed.

When security type is set to	A matching client can access the exported data
krb5i	If it is authenticated by Kerberos 5i. Authentication and integrity: The header and body of each request and response is signed.
krb5p	If it is authenticated by Kerberos 5p. Authentication, integrity, and privacy: The header and body of each request and response is signed, and the NFS data payload is encrypted.
ntlm	If it is authenticated by CIFS NTLM.
sys	If it is authenticated by NFS AUTH_SYS.

The recommended security type is sys, or if Kerberos is used, krb5, krb5i, or krb5p.

If you are using Kerberos with NFSv3, the export policy rule must allow -rorule and -rwrule access to sys in addition to krb5. This is because of the need to allow Network Lock Manager (NLM) access to the export.

## 3. Specify an anonymous user ID mapping.

The -anon option specifies a UNIX user ID or user name that is mapped to client requests that arrive with a user ID of 0 (zero), which is typically associated with the user name root. The default value is 65534. NFS clients typically associate user ID 65534 with the user name nobody (also known as *root squashing*). In ONTAP, this user ID is associated with the user pcuser. To disable access by any client with a user ID of 0, specify a value of 65535.

## 4. Select the rule index order.

The -ruleindex option specifies the index number for the rule. Rules are evaluated according to their order in the list of index numbers; rules with lower index numbers are evaluated first. For example, the rule with index number 1 is evaluated before the rule with index number 2.

If you are adding	Then
The first rule to an export policy	Enter 1.
Additional rules to an export policy	<ul> <li>a. Display existing rules in the policy: <pre>vserver export-policy rule show -instance -policyname your_policy</pre></li> <li>b. Select an index number for the new rule depending on the order it should be evaluated.</li> </ul>

## 5. Select the applicable NFS access value: {nfs|nfs3|nfs4}.

nfs matches any version, nfs3 and nfs4 match only those specific versions.

6. Create the export rule and add it to an existing export policy:

```
vserver export-policy rule create -vserver vserver_name -policyname
policy_name -ruleindex integer -protocol {nfs|nfs3|nfs4} -clientmatch { text |
"text,text,..." } -rorule security_type -rwrule security_type -superuser
security type -anon user ID
```

7. Display the rules for the export policy to verify that the new rule is present:

```
vserver export-policy rule show -policyname policy name
```

The command displays a summary for that export policy, including a list of rules applied to that policy. ONTAP assigns each rule a rule index number. After you know the rule index number, you can use it to display detailed information about the specified export rule.

8. Verify that the rules applied to the export policy are configured correctly:

```
vserver export-policy rule show -policyname policy_name -vserver vserver_name
-ruleindex integer
```

## **Examples**

The following commands create and verify the creation of an export rule on the SVM named vs1 in an export policy named rs1. The rule has the index number 1. The rule matches any client in the domain eng.company.com and the netgroup @netgroup1. The rule enables all NFS access. It enables read-only and read-write access to users that authenticated with AUTH\_SYS. Clients with the UNIX user ID 0 (zero) are anonymized unless authenticated with Kerberos.

```
vs1::> vserver export-policy rule create -vserver vs1 -policyname exp1
-ruleindex 1 -protocol nfs
-clientmatch eng.company.com,@netgoup1 -rorule sys -rwrule sys -anon 65534
-superuser krb5
vs1::> vserver export-policy rule show -policyname nfs policy
             Policy
Virtual
                           Rule
                                   Access
                                             Client
                                                              RO
Server
                           Index
            Name
                                   Protocol Match
                                                              Rule
            exp1
vs1
                           1
                                   nfs
                                             eng.company.com, sys
                                             @netgroup1
vs1::> vserver export-policy rule show -policyname exp1 -vserver vs1
-ruleindex 1
                                   Vserver: vs1
                                Policy Name: exp1
                                Rule Index: 1
                           Access Protocol: nfs
Client Match Hostname, IP Address, Netgroup, or Domain:
eng.company.com,@netgroup1
                            RO Access Rule: sys
                            RW Access Rule: sys
User ID To Which Anonymous Users Are Mapped: 65534
                   Superuser Security Types: krb5
               Honor SetUID Bits in SETATTR: true
                  Allow Creation of Devices: true
```

The following commands create and verify the creation of an export rule on the SVM named vs2 in an export policy named expol2. The rule has the index number 21. The rule matches clients to members of the netgroup dev\_netgroup\_main. The rule enables all NFS access. It enables read-only access for users that authenticated with AUTH\_SYS and requires Kerberos authentication for read-write and root access. Clients with the UNIX user ID 0 (zero) are denied root access unless authenticated with Kerberos.

```
vs2::> vserver export-policy rule create -vserver vs2 -policyname expol2
-ruleindex 21 -protocol nfs
-clientmatch @dev netgroup main -rorule sys -rwrule krb5 -anon 65535
-superuser krb5
vs2::> vserver export-policy rule show -policyname nfs policy
                    Rule
                          Access Client
Virtual Policy
Server Name
                    Index
                           Protocol Match
                                                       Rule
_____ _____
vs2
       expol2 21
                           nfs
                                     @dev netgroup main sys
vs2::> vserver export-policy rule show -policyname expol2 -vserver vs1
-ruleindex 21
                                 Vserver: vs2
                             Policy Name: expol2
                              Rule Index: 21
                          Access Protocol: nfs
Client Match Hostname, IP Address, Netgroup, or Domain:
                                         @dev netgroup main
                           RO Access Rule: sys
                           RW Access Rule: krb5
User ID To Which Anonymous Users Are Mapped: 65535
                 Superuser Security Types: krb5
              Honor SetUID Bits in SETATTR: true
                Allow Creation of Devices: true
```

## Create a volume or qtree storage container

#### Create a volume

You can create a volume and specify its junction point and other properties by using the volume create command.

#### What you'll need

The SVM security style must be UNIX, and NFS should be set up and running.

#### About this task

A volume must include a *junction path* for its data to be made available to clients. You can specify the junction path when you create a new volume. If you create a volume without specifying a junction path, you must *mount* the volume in the SVM namespace using the volume mount command.

## **Steps**

1. Create the volume with a junction point:

```
volume create -vserver vserver_name -volume volume_name -aggregate
```

aggregate\_name -size {integer[KB|MB|GB|TB|PB]} -security-style unix -user
user\_name\_or\_number -group group\_name\_or\_number -junction-path junction\_path
[-policy export\_policy\_name]

The choices for -junction-path are the following:

Directly under root, for example, /new vol

You can create a new volume and specify that it be mounted directly to the SVM root volume.

Under an existing directory, for example, /existing dir/new vol

You can create a new volume and specify that it be mounted to an existing volume (in an existing hierarchy), expressed as a directory.

If you want to create a volume in a new directory (in a new hierarchy under a new volume), for example,  $/new\_dir/new\_vol$ , then you must first create a new parent volume that is junctioned to the SVM root volume. You would then create the new child volume in the junction path of the new parent volume (new directory).

- + If you plan to use an existing export policy, you can specify it when you create the volume. You can also add an export policy later with the volume modify command.
- 2. Verify that the volume was created with the desired junction point:

```
volume show -vserver vserver name -volume volume name -junction
```

#### **Examples**

The following command creates a new volume named users1 on the SVM vs1.example.com and the aggregate aggr1. The new volume is made available at /users. The volume is 750 GB in size, and its volume guarantee is of type volume (by default).

The following command creates a new volume named "home4" on the SVM "vs1.example.com" and the aggregate "aggr1". The directory /eng/ already exists in the namespace for the vs1 SVM, and the new volume is made available at /eng/home, which becomes the home directory for the /eng/ namespace. The volume is 750 GB in size, and its volume guarantee is of type volume (by default).

#### Create a gtree

You can create a qtree to contain your data and specify its properties by using the volume qtree create command.

#### What you'll need

- The SVM and the volume that will contain the new qtree must already exist.
- The SVM security style must be UNIX, and NFS should be set up and running.

#### Steps

1. Create the qtree:

```
volume qtree create -vserver vserver_name { -volume volume_name -qtree
qtree_name | -qtree-path qtree path } -security-style unix [-policy
export policy name]
```

You can specify the volume and qtree as separate arguments or specify the qtree path argument in the format /vol/volume\_name/\_qtree\_name.

By default, qtrees inherit the export policies of their parent volume, but they can be configured to use their own. If you plan to use an existing export policy, you can specify it when you create the qtree. You can also add an export policy later with the volume qtree modify command.

2. Verify that the qtree was created with the desired junction path:

```
volume qtree show -vserver vserver_name { -volume volume_name -qtree
qtree name | -qtree-path qtree path }
```

#### **Example**

The following example creates a qtree named qt01 located on SVM vs1.example.com that has a junction path /vol/data1:

```
cluster1::> volume qtree create -vserver vs1.example.com -qtree-path
/vol/data1/qt01 -security-style unix
[Job 1642] Job succeeded: Successful
cluster1::> volume qtree show -vserver vs1.example.com -qtree-path
/vol/data1/qt01
                      Vserver Name: vsl.example.com
                       Volume Name: data1
                        Qtree Name: qt01
 Actual (Non-Junction) Qtree Path: /vol/data1/qt01
                    Security Style: unix
                       Oplock Mode: enable
                  Unix Permissions: ---rwxr-xr-x
                          Otree Id: 2
                      Qtree Status: normal
                     Export Policy: default
        Is Export Policy Inherited: true
```

## Secure NFS access using export policies

## Secure NFS access using export policies

You can use export policies to restrict NFS access to volumes or qtrees to clients that match specific parameters. When provisioning new storage, you can use an existing policy and rules, add rules to an existing policy, or create a new policy and rules. You can also check the configuration of export policies



Beginning with ONTAP 9.3, you can enable export policy configuration checking as a background job that records any rules violations in an error rule list. The <code>vserver export-policy config-checker</code> commands invoke the checker and display results, which you can use to verify your configuration and delete erroneous rules from the policy. The commands only validate export configuration for host names, netgroups, and anonymous users.

## Manage the processing order of export rules

You can use the vserver export-policy rule setindex command to manually set an existing export rule's index number. This enables you to specify the precedence by which ONTAP applies export rules to client requests.

### About this task

If the new index number is already in use, the command inserts the rule at the specified spot and reorders the list accordingly.

#### Step

1. Modify the index number of a specified export rule:

```
vserver export-policy rule setindex -vserver virtual_server_name -policyname policy name -ruleindex integer -newruleindex integer
```

#### **Example**

The following command changes the index number of an export rule at index number 3 to index number 2 in an export policy named rs1 on the SVM named vs1:

```
vs1::> vserver export-policy rule setindex -vserver vs1
-policyname rs1 -ruleindex 3 -newruleindex 2
```

### Assign an export policy to a volume

Each volume contained in the SVM must be associated with an export policy that contains export rules for clients to access data in the volume.

#### About this task

You can associate an export policy to a volume when you create the volume or at any time after you create the volume. You can associate one export policy to the volume, although one policy can be associated to many volumes.

#### Steps

1. If an export policy was not specified when the volume was created, assign an export policy to the volume:

```
volume modify -vserver vserver_name -volume volume_name -policy
export policy name
```

2. Verify that the policy was assigned to the volume:

```
volume show -volume volume_name -fields policy
```

### **Example**

The following commands assign the export policy nfs\_policy to the volume vol1 on the SVM vs1 and verify the assignment:

#### Assign an export policy to a qtree

Instead of exporting an entire volume, you can also export a specific qtree on a volume to make it directly accessible to clients. You can export a qtree by assigning an export policy to it. You can assign the export policy either when you create a new qtree or by modifying

an existing qtree.

## What you'll need

The export policy must exist.

#### About this task

By default, qtrees inherit the parent export policy of the containing volume if not otherwise specified at the time of creation.

You can associate an export policy to a qtree when you create the qtree or at any time after you create the qtree. You can associate one export policy to the qtree, although one policy can be associated with many qtrees.

#### Steps

1. If an export policy was not specified when the qtree was created, assign an export policy to the qtree:

```
volume qtree modify -vserver vserver_name -qtree-path
/vol/volume name/qtree name -export-policy export policy name
```

2. Verify that the policy was assigned to the gtree:

```
volume qtree show -qtree qtree name -fields export-policy
```

## **Example**

The following commands assign the export policy nfs\_policy to the qtree qt1 on the SVM vs1 and verify the assignment:

## Verify NFS client access from the cluster

You can give select clients access to the share by setting UNIX file permissions on a UNIX administration host. You can check client access by using the vserver exportpolicy check-access command, adjusting the export rules as necessary.

## Steps

1. On the cluster, check client access to exports by using the vserver export-policy check-access command.

The following command checks read/write access for an NFSv3 client with the IP address 1.2.3.4 to the volume home2. The command output shows that the volume uses the export policy <code>exp-home-dir</code> and that access is denied.

```
cluster1::> vserver export-policy check-access -vserver vs1 -client-ip
1.2.3.4 -volume home2 -authentication-method sys -protocol nfs3 -access
-type read-write
                                    Policy Policy Rule
Path
                       Policy
                                   Owner
                                            Owner Type Index Access
                     default vs1_root volume default vs1_root volume
                                                           1 read
                                                            1 read
/eng
                    exp-home-dir home2 volume
                                                           1 denied
/eng/home2
3 entries were displayed.
```

Examine the output to determine whether the export policy works as intended and the client access behaves as expected.

Specifically, you should verify which export policy is used by the volume or qtree and the type of access the client has as a result.

3. If necessary, reconfigure the export policy rules.

## Test NFS access from client systems

After you verify NFS access to the new storage object, you should test the configuration by logging in to an NFS administration host and reading data from and writing data to the SVM. You should then repeat the process as a non-root user on a client system.

### What you'll need

- The client system must have an IP address that is allowed by the export rule you specified earlier.
- · You must have the login information for the root user.

#### **Steps**

1. On the cluster, verify the IP address of the LIF that is hosting the new volume:

```
network interface show -vserver svm name
```

- 2. Log in as the root user to the administration host client system.
- 3. Change the directory to the mount folder:

```
cd /mnt/
```

- 4. Create and mount a new folder using the IP address of the SVM:
  - a. Create a new folder:
     mkdir /mnt/folder
  - b. Mount the new volume at this new directory: mount -t nfs -o hard IPAddress:/volume name /mnt/folder

c. Change the directory to the new folder:

```
cd folder
```

The following commands create a folder named test1, mount the vol1 volume at the 192.0.2.130 IP address on the test1 mount folder, and change to the new test1 directory:

```
host# mkdir /mnt/test1
host# mount -t nfs -o hard 192.0.2.130:/vol1 /mnt/test1
host# cd /mnt/test1
```

- 5. Create a new file, verify that it exists, and write text to it:
  - a. Create a test file:

touch filename

b. Verify that the file exists.:

```
ls -l filename
```

c. Enter:

```
cat > filename
```

Type some text, and then press Ctrl+D to write text to the test file.

d. Display the content of the test file.

```
cat filename
```

e. Remove the test file:

```
rm filename
```

f. Return to the parent directory:

cd ..

```
host# touch myfile1
host# ls -l myfile1
-rw-r--r-- 1 root root 0 Sep 18 15:58 myfile1
host# cat >myfile1
This text inside the first file
host# cat myfile1
This text inside the first file
host# rm -r myfile1
host# cd ..
```

- 6. As root, set any desired UNIX ownership and permissions on the mounted volume.
- 7. On a UNIX client system identified in your export rules, log in as one of the authorized users who now has access to the new volume, and repeat the procedures in steps 3 to 5 to verify that you can mount the volume and create a file.

## Where to find additional information

After you have successfully tested NFS client access, you can perform additional NFS configuration or add SAN access. When protocol access is complete, you should protect the root volume of storage virtual machine (SVM).

## **NFS** configuration

You can further configure NFS access using the following information and technical reports:

NFS management

Describes how to configure and manage file access using NFS.

NetApp Technical Report 4067: NFS Best Practice and Implementation Guide

Serves as an NFSv3 and NFSv4 operational guide, and provides an overview of the ONTAP operating system with a focus on NFSv4.

• NetApp Technical Report 4073: Secure Unified Authentication

Explains how to configure ONTAP for use with UNIX-based Kerberos version 5 (krb5) servers for NFS storage authentication and Windows Server Active Directory (AD) as the KDC and Lightweight Directory Access Protocol (LDAP) identity provider.

 NetApp Technical Report 3580: NFSv4 Enhancements and Best Practices Guide Data ONTAP Implementation

Describes the best practices that should be followed while implementing NFSv4 components on AIX, Linux, or Solaris clients attached to systems running ONTAP.

## **Networking configuration**

You can further configure networking features and name services using the following informati and technical reports:

NFS management

Describes how to configure and manage ONTAP networking.

 NetApp Technical Report 4182: Ethernet Storage Design Considerations and Best Practices for Clustered Data ONTAP Configurations

Describes the implementation of ONTAP network configurations, and provides common network deployment scenarios and best practice recommendations.

• NetApp Technical Report 4668: Name Services Best Practices Guide

Explains how to configure LDAP, NIS, DNS, and local file configuration for authentication purposes.

## SAN protocol configuration

If you want to provide or modify SAN access to the new SVM, you can use the FC or iSCSI configuration information, which is available for multiple host operating systems.

## **Root volume protection**

After configuring protocols on the SVM, you should ensure that its root volume is protected:

Data protection

Describes how to create a load-sharing mirror to protect the SVM root volume, which is a NetApp best practice for NAS-enabled SVMs. Also describes how to quickly recover from volume failures or losses by promoting the SVM root volume from a load-sharing mirror.

# How ONTAP exports differ from 7-Mode exports

## How ONTAP exports differ from 7-Mode exports

If you are unfamiliar with how ONTAP implements NFS exports, you can compare 7-Mode and ONTAP export configuration tools, as well as sample 7-Mode /etc/exports files with clustered policies and rules.

In ONTAP there is no /etc/exports file and no exportfs command. Instead, you must define an export policy. Export policies enable you to control client access in much the same way as you did in 7-Mode, but give you additional functionality such as the ability to reuse the same export policy for multiple volumes.

#### **Related information**

NFS management

NetApp Technical Report 4067: NFS Best Practice and Implementation Guide

## Comparison of exports in 7-Mode and ONTAP

Exports in ONTAP are defined and used differently than they are in 7-Mode environments.

Areas of difference	7-Mode	ONTAP
How exports are defined	Exports are defined in the /etc/exports file.	Exports are defined by creating an export policy within an SVM. An SVM can include more than one export policy.

Scope of export	<ul> <li>Exports apply to a specified file path or qtree.</li> <li>You must create a separate entry in /etc/exports for each file path or qtree.</li> <li>Exports are persistent only if they are defined in the /etc/exports file.</li> </ul>	entire the file contai • Expor to mo want. • All ex	t policies apply to an volume, including all of e paths and qtrees ined in the volume.  t policies can be applied re than one volume if you port policies are stent across system ts.
Fencing (specifying different access for specific clients to the same resources)	To provide specific clients different access to a single exported resource, you have to list each client and its permitted access in the /etc/exports file.	number of Each expracess permission access for have to creach specific permission have those	licies are composed of a findividual export rules. ort rule defines specific ermissions for a resource he clients that have those ns. To specify different r specific clients, you reate an export rule for cific set of access ns, list the clients that he permissions, and then alles to the export policy.
Name aliasing	When you define an export, you can choose to make the name of the export different from the name of the file path. You should use the -actual parameter when defining such an export in the /etc/exports file.	of the exp from the a do this, yo with a cus	choose to make the name ported volume different actual volume name. To but must mount the volume stom junction path name SVM namespace.  By default, volumes are mounted with their volume name. To customize a volume's junction path name you need to unmount it, rename it, and then remount it.

# **Examples of ONTAP export policies**

You can review example export policies to better understand how export policies work in ONTAP.

## Sample ONTAP implementation of a 7-Mode export

The following example shows a 7-Mode export as it appears in the /etc/export file:

/vol/vol1 -sec=sys,ro=@readonly\_netgroup,rw=@readwrite\_netgroup1:
@readwrite\_netgroup2:@rootaccess\_netgroup,root=@rootaccess\_netgroup

To reproduce this export as a clustered export policy, you have to create an export policy with three export rules, and then assign the export policy to the volume vol1.

Rule	Element	Value
Rule 1	-clientmatch (client specification)	@readonly_netgroup
	-ruleindex(position of export rule in the list of rules)	1
	-protocol	nfs
	-rorule(allow read-only access)	sys (client authenticated with AUTH_SYS)
	-rwrule(allow read-write access)	never
	-superuser(allow superuser access)	none(root squashed to anon)
Rule 2	-clientmatch	@rootaccess_netgroup
	-ruleindex	2
	-protocol	nfs
	-rorule	sys
	-rwrule	sys
	-superuser	sys

Rule	Element	Value
Rule 3	-clientmatch	<pre>@readwrite_netgroup1,@read write_netgroup2</pre>
	-ruleindex	3
	-protocol	nfs
	-rorule	sys
	-rwrule	sys
	-superuser	none

1. Create an export policy called exp\_vol1:

```
vserver export-policy create -vserver NewSVM -policyname exp_vol1
```

- 2. Create three rules with the following parameters to the base command:
  - ° Base command: vserver export-policy rule create -vserver NewSVM -policyname exp vol1
  - ° Rule parameters:
    - -clientmatch @readonly\_netgroup -ruleindex 1 -protocol nfs -rorule sys -rwrule never -superuser none -clientmatch @rootaccess\_netgroup -ruleindex 2 -protocol nfs -rorule sys -rwrule sys -superuser sys -clientmatch @readwrite\_netgroup1,@readwrite\_netgroup2 -ruleindex 3 -protocol nfs -rorule sys -rwrule sys -superuser none
- 3. Assign the policy to the volume vol1:

```
volume modify -vserver NewSVM -volume vol1 -policy exp vol1
```

## Sample consolidation of 7-Mode exports

The following example shows a 7-Mode /etc/export file that includes one line for each of 10 qtrees:

```
/vol/vol1/q_1472 -sec=sys,rw=host1519s,root=host1519s
/vol/vol1/q_1471 -sec=sys,rw=host1519s,root=host1519s
/vol/vol1/q_1473 -sec=sys,rw=host1519s,root=host1519s
/vol/vol1/q_1570 -sec=sys,rw=host1519s,root=host1519s
/vol/vol1/q_1571 -sec=sys,rw=host1519s,root=host1519s
/vol/vol1/q_2237 -sec=sys,rw=host2057s,root=host2057s
/vol/vol1/q_2238 -sec=sys,rw=host2057s,root=host2057s
/vol/vol1/q_2239 -sec=sys,rw=host2057s,root=host2057s
/vol/vol1/q_2240 -sec=sys,rw=host2057s,root=host2057s
/vol/vol1/q_2241 -sec=sys,rw=host2057s,root=host2057s
```

In ONTAP, one of two policies is needed for each qtree: one with a rule including -clientmatch host1519s, or one with a rule including -clientmatch host2057s.

- 1. Create two export policies called exp\_vol1q1 and exp\_vol1q2:
  - ° vserver export-policy create -vserver NewSVM -policyname exp vol1q1
  - $^{\circ}$  vserver export-policy create -vserver NewSVM -policyname exp vol1q2
- 2. Create a rule for each policy:
  - ° vserver export-policy rule create -vserver NewSVM -policyname exp\_vol1q1 -clientmatch host1519s -rwrule sys -superuser sys
  - ° vserver export-policy rule create -vserver NewSVM -policyname exp\_vol1q2 -clientmatch host1519s -rwrule sys -superuser sys
- 3. Apply the policies to the gtrees:
  - $^{\circ}$  volume qtree modify -vserver NewSVM -qtree-path /vol/vol1/q\_1472 -export -policy exp\_vol1q1
  - [next 4 qtrees...]
  - $^{\circ}$  volume qtree modify -vserver NewSVM -qtree-path /vol/vol1/q\_2237 -export -policy exp vol1q2
  - [next 4 qtrees...]

If you need to add additional gtrees for those hosts later, you would use the same export policies.

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