

# Manage encryption with the CLI

ONTAP 9

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# Manage encryption with the CLI

# **NetApp Encryption overview with the CLI**

NetApp offers both software- and hardware-based encryption technologies for ensuring that data at rest cannot be read if the storage medium is repurposed, returned, misplaced, or stolen.

- Software-based encryption using NetApp Volume Encryption (NVE) supports data encryption one volume at a time
- Hardware-based encryption using NetApp Storage Encryption (NSE) supports full-disk encryption (FDE) of data as it is written.

You can work with encryption if the following apply:

- You want to use best practices, not explore every available option.
- You want to use the ONTAP command-line interface (CLI), not System Manager or an automated scripting tool.

# **Configure NetApp Volume Encryption**

# Configure NetApp Volume Encryption overview

NetApp Volume Encryption (NVE) is a software-based technology for encrypting data at rest one volume at a time. An encryption key accessible only to the storage system ensures that volume data cannot be read if the underlying device is repurposed, returned, misplaced, or stolen.

### **Understanding NVE**

Both data, including Snapshot copies, and metadata are encrypted. Access to the data is given by a unique XTS-AES-256 key, one per volume. An external key management server or Onboard Key Manager serves keys to nodes:

- The external key management server is a third-party system in your storage environment that serves keys to nodes using the Key Management Interoperability Protocol (KMIP). It is a best practice to configure external key management servers on a different storage system from your data.
- The Onboard Key Manager is a built-in tool that serves keys to nodes from the same storage system as your data.

Beginning with ONTAP 9.7, aggregate and volume encryption is enabled by default if you have a volume encryption (VE) license and use an onboard or external key manager. Whenever an external or onboard key manager is configured there is a change in how the encryption of data at rest is configured for brand new aggregates and brand new volumes. Brand new aggregates will have NetApp Aggregate Encryption (NAE) enabled by default. Brand new volumes that are not part of an NAE aggregate will have NetApp Volume Encryption (NVE) enabled by default. If a data storage virtual machine (SVM) is configured with its own keymanager using multi-tenant key management, then the volume created for that SVM is automatically configured with NVE.

You can enable encryption on a new or existing volume. NVE supports the full range of storage efficiency features, including deduplication and compression.



If you are using SnapLock, you can enable encryption only on new, empty SnapLock volumes. You cannot enable encryption on an existing SnapLock volume.

You can use NVE on any type of aggregate (HDD, SSD, hybrid, array LUN), with any RAID type, and in any supported ONTAP implementation, including ONTAP Select. You can also use NVE with hardware-based encryption to "double encrypt" data on self-encrypting drives.



AFF A220, AFF A800, FAS2720, FAS2750, and later systems store core dumps on their boot device. When NVE is enabled on these systems, the core dump is also encrypted.

### Aggregate-level encryption

Ordinarily, every encrypted volume is assigned a unique key. When the volume is deleted, the key is deleted with it.

Beginning with ONTAP 9.6, you can use *NetApp Aggregate Encryption (NAE)* to assign keys to the containing aggregate for the volumes to be encrypted. When an encrypted volume is deleted, the keys for the aggregate are preserved. The keys are deleted if the entire aggregate is deleted.

You must use aggregate-level encryption if you plan to perform inline or background aggregate-level deduplication. Aggregate-level deduplication is otherwise not supported by NVE.

Beginning with ONTAP 9.7, aggregate and volume encryption is enabled by default if you have a volume encryption (VE) license and use an onboard or external key manager.

NVE and NAE volumes can coexist on the same aggregate. Volumes encrypted under aggregate-level encryption are NAE volumes by default. You can override the default when you encrypt the volume.

You can use the volume move command to convert an NVE volume to an NAE volume, and vice versa. You can replicate an NAE volume to an NVE volume.

You cannot use secure purge commands on an NAE volume.

### When to use external key management servers

Although it is less expensive and typically more convenient to use the onboard key manager, you should set up KMIP servers if any of the following are true:

- Your encryption key management solution must comply with Federal Information Processing Standards (FIPS) 140-2 or the OASIS KMIP standard.
- · You need a multi-cluster solution, with centralized management of encryption keys.
- Your business requires the added security of storing authentication keys on a system or in a location different from the data.

### Scope of external key management

The scope of external key management determines whether key management servers secure all the SVMs in the cluster or selected SVMs only:

You can use a cluster scope to configure external key management for all the SVMs in the cluster. The

cluster administrator has access to every key stored on the servers.

- Beginning with ONTAP 9.6, you can use an SVM scope to configure external key management for a named SVM in the cluster. That's best for multitenant environments in which each tenant uses a different SVM (or set of SVMs) to serve data. Only the SVM administrator for a given tenant has access to the keys for that tenant.
- Beginning with ONTAP 9.10.1, you can use Azure Key Vault and Google Cloud KMS to protect NVE keys only for data vservers.

You can use both scopes in the same cluster. If key management servers have been configured for an SVM, ONTAP uses only those servers to secure keys. Otherwise, ONTAP secures keys with the key management servers configured for the cluster.

A list of validated external key managers is available in the NetApp Interoperability Matrix Tool (IMT). You can find this list by entering the term "key managers" into the IMT's search feature.

# Support details

The following table shows NVE support details:

Resource or feature	Support details		
Platforms	AES-NI offload capability required. See the Hardware Universe (HWU) to verify that NVE and NAE are supported for your platform.		
Encryption	Beginning with ONTAP 9.7, newly created aggregates and volumes are encrypted by default when you add a volume encryption (VE) license and have an onboard or external key manager configured. If you need to create an unencrypted aggregate, use the following command:		
	storage aggregate create -encrypt-with-aggr-key false		
	If you need to create a plain text volume, use the following command:		
	volume create -encrypt false		
	Encryption is not enabled by default when:		
	VE license is not installed.		
	Key manager is not configured.		
	<ul> <li>Platform or software does not support encryption.</li> </ul>		
	Hardware encryption is enabled.		
ONTAP	All ONTAP implementations. Support for ONTAP Cloud is available in ONTAP 9.5 and later.		
Devices	HDD, SSD, hybrid, array LUN.		
RAID	RAID0, RAID4, RAID-DP, RAID-TEC.		

Volumes	Data volumes and existing root volumes. You cannot encrypt data on an SVM root volume or MetroCluster metadata volumes.	
Aggregate-level encryption	<ul> <li>Beginning with ONTAP 9.6, NVE supports aggregate-level encryption (NAE):</li> <li>You must use aggregate-level encryption if you plan to perform inline or background aggregate-level deduplication.</li> <li>You cannot rekey an aggregate-level encryption volume.</li> <li>Secure-purge is not supported on aggregate-level encryption volumes.</li> <li>In addition to data volumes, NAE supports encryption of SVM root volumes and the MetroCluster metadata volume. NAE does not support encryption of the root volume.</li> </ul>	
SVM scope	Beginning with ONTAP 9.6, NVE supports SVM scope for external key management only, not for Onboard Key Manager. MetroCluster is supported beginning with ONTAP 9.8.	
Storage efficiency	Deduplication, compression, compaction, FlexClone. Clones use the same key as the parent, even after splitting the clone from the parent. You are warned to rekey the split clone.	
Replication	<ul> <li>For volume replication, the destination volume must have been enabled for encryption. Encryption can be configured for the source and unconfigured for the destination, and vice versa.</li> <li>For SVM replication, the destination volume is automatically encrypted, unless the destination does not contain a node that supports volume encryption, in which case replication succeeds, but the destination volume is not encrypted.</li> <li>For MetroCluster configurations, each cluster pulls external key management keys from its configured key servers. OKM keys are replicated to the partner site by the configuration replication service.</li> </ul>	
Compliance	Beginning with ONTAP 9.2, SnapLock is supported in both Compliance and Enterprise modes, for new volumes only. You cannot enable encryption on an existing SnapLock volume.	
FlexGroups	Beginning with ONTAP 9.2, FlexGroups are supported. Destination aggregates must be of the same type as source aggregates, either volume-level or aggregate-level. Beginning with ONTAP 9.5, in-place rekey of FlexGroup volumes is supported.	
7-Mode transition	Beginning with 7-Mode Transition Tool 3.3, you can use the 7-Mode Transition Tool CLI to perform copy-based transition to NVE-enabled destination volumes on the clustered system.	

# Related information

FAQ - NetApp Volume Encryption and NetApp Aggregate Encryption

# **NetApp Volume Encryption workflow**

You must configure key management services before you can enable volume encryption. You can enable encryption on a new volume or on an existing volume.



You must install the VE license and configure key management services before you can encrypt data with NVE. Before installing the license, you should determine whether your ONTAP version supports NVE.

# **Configure NVE**

# Determine whether your cluster version supports NVE

You should determine whether your cluster version supports NVE before you install the license. You can use the version command to determine the cluster version.

# About this task

The cluster version is the lowest version of ONTAP running on any node in the cluster.

### Step

1. Determine whether your cluster version supports NVE:

version -v

NVE is not supported if the command output displays the text "10no-DARE" (for "no Data At Rest Encryption"), or if you are using a platform that is not listed in Support details.

The following command determines whether NVE is supported on cluster1.

```
cluster1::> version -v
NetApp Release 9.1.0: Tue May 10 19:30:23 UTC 2016 <10no-DARE>
```

The output of 10no-DARE indicates that NVE is not supported on your cluster version.

## Install the license

A VE license entitles you to use the feature on all nodes in the cluster. You must install the license before you can encrypt data with NVE.

# What you'll need

You must be a cluster administrator to perform this task.

#### About this task

You should have received the VE license key from your sales representative.

### **Steps**

1. Install the VE license for a node:

```
system license add -license-code license_key
```

```
cluster1::> system license add -license-code
AAAAAAAAAAAAAAAAAAAAAAAAAAAA
```

2. Verify that the license is installed by displaying all the licenses on the cluster:

```
system license show
```

For complete command syntax, see the man page for the command.

The following command displays all the licenses on cluster1:

```
cluster1::> system license show
```

The VE license package name is "VE".

## Configure external key management

### Configure external key management overview

You can use one or more external key management servers to secure the keys that the cluster uses to access encrypted data. An external key management server is a third-party system in your storage environment that serves keys to nodes using the Key Management Interoperability Protocol (KMIP).



For ONTAP 9.1 and earlier versions, node management LIFs must be assigned to ports that are configured with the node management role before you can use the external key manager.

NetApp Volume Encryption (NVE) supports Onboard Key Manager in ONTAP 9.1 and later. Beginning in ONTAP 9.3, NVE supports external key management (KMIP) and Onboard Key Manager. Beginning in ONTAP 9.10.1, you can use Azure Key Vault or Google Cloud Key Manager Service to protect your NVE keys. Beginning in ONTAP 9.11.1, you can configure multiple external key managers in a cluster. See Configure clustered key servers.

### Install SSL certificates on the cluster

The cluster and KMIP server use KMIP SSL certificates to verify each other's identity and establish an SSL connection. Before configuring the SSL connection with the KMIP server, you must install the KMIP client SSL certificates for the cluster, and the SSL public certificate for the root certificate authority (CA) of the KMIP server.

# What you'll need

- The time must be synchronized on the server creating the certificates, the KMIP server, and the cluster.
- You must have obtained the public SSL KMIP client certificate for the cluster.
- You must have obtained the private key associated with the SSL KMIP client certificate for the cluster.

The SSL KMIP client certificate must not be password-protected.

You must have obtained the SSL public certificate for the root certificate authority (CA) of the KMIP server.



You can install the client and server certificates on the KMIP server before or after installing the certificates on the cluster.

### About this task

In an HA pair, both nodes must use the same public and private KMIP SSL certificates. If you connect multiple HA pairs to the same KMIP server, all nodes in the HA pairs must use the same public and private KMIP SSL certificates.

### **Steps**

1. Install the SSL KMIP client certificates for the cluster:

```
security certificate install -vserver admin_svm_name -type client
```

You are prompted to enter the SSL KMIP public and private certificates.

```
cluster1::> security certificate install -vserver cluster1 -type client
```

2. Install the SSL public certificate for the root certificate authority (CA) of the KMIP server:

```
security certificate install -vserver admin_svm_name -type server-ca cluster1::> security certificate install -vserver cluster1 -type server-ca
```

### Enable external key management in ONTAP 9.6 and later (NVE)

You can use one or more KMIP servers to secure the keys the cluster uses to access encrypted data. Beginning with ONTAP 9.6, you can use one or more KMIP servers to secure the keys a given SVM uses to access encrypted data.

Beginning in ONTAP 9.11.1, you can add up to 3 secondary key servers per primary key server to create a clustered key server. For more information, see Configure clustered external key servers.

### Before you begin

- The KMIP SSL client and server certificates must have been installed.
- You must be a cluster or SVM administrator to perform this task.
- If you want to enable external key management for a MetroCluster environment, MetroCluster must be fully configured before enabling external key management.

### About this task

You can connect up to four KMIP servers to a cluster or SVM. A minimum of two servers is recommended for redundancy and disaster recovery.

The scope of external key management determines whether key management servers secure all the SVMs in the cluster or selected SVMs only:

- You can use a *cluster scope* to configure external key management for all the SVMs in the cluster. The cluster administrator has access to every key stored on the servers.
- Beginning with ONTAP 9.6, you can use an SVM scope to configure external key management for a data SVM in the cluster. That's best for multitenant environments in which each tenant uses a different SVM (or set of SVMs) to serve data. Only the SVM administrator for a given tenant has access to the keys for that tenant.
- For multitenant environments, install a license for MT\_EK\_MGMT by using the following command:

```
system license add -license-code <MT EK MGMT license code>
```

For complete command syntax, see the man page for the command.

You can use both scopes in the same cluster. If key management servers have been configured for an SVM, ONTAP uses only those servers to secure keys. Otherwise, ONTAP secures keys with the key management servers configured for the cluster.

You can configure onboard key management at the cluster scope and external key management at the SVM scope. You can use the security key-manager key migrate command to migrate keys from onboard key management at the cluster scope to external key managers at the SVM scope.

### Steps

1. Configure key manager connectivity for the cluster:

```
security key-manager external enable -vserver admin_SVM -key-servers
host_name|IP_address:port,... -client-cert client_certificate -server-ca-cert
```



The security key-manager external enable command replaces the security key-manager setup command. If you run the command at the cluster login prompt,  $admin\_SVM$  defaults to the admin SVM of the current cluster. You must be the cluster administrator to configure cluster scope. You can run the security key-manager external modify command to change the external key management configuration.

The following command enables external key management for cluster1 with three external key servers. The first key server is specified using its hostname and port, the second is specified using an IP address and the default port, and the third is specified using an IPv6 address and port:

```
clusterl::> security key-manager external enable -vserver cluster1 -key
-servers
ks1.local:15696,10.0.0.10,[fd20:8b1e:b255:814e:32bd:f35c:832c:5a09]:1234
-client-cert AdminVserverClientCert -server-ca-certs
AdminVserverServerCaCert
```

2. Configure a key manager an SVM:

security key-manager external enable -vserver SVM -key-servers
host\_name|IP\_address:port,... -client-cert client\_certificate -server-ca-cert
server CA certificates



If you run the command at the SVM login prompt, SVM defaults to the current SVM. You must be a cluster or SVM administrator to configure SVM scope. You can run the security key-manager external modify command to change the external key management configuration.

The following command enables external key management for svm1 with a single key server listening on the default port 5696:

svm11::> security key-manager external enable -vserver svm1 -key-servers
keyserver.svm1.com -client-cert SVM1ClientCert -server-ca-certs
SVM1ServerCaCert

3. Repeat the last step for any additional SVMs.



You can also use the security key-manager external add-servers command to configure additional SVMs. The security key-manager external add-servers command replaces the security key-manager add command. For complete command syntax, see the man page.

4. Verify that all configured KMIP servers are connected:

security key-manager external show-status -node node\_name



The security key-manager external show-status command replaces the security key-manager show -status command. For complete command syntax, see the man page.

cluste	er1::> sed	curity key-manager external show-status	
Node	Vserver	Key Server	Status
node1			
nodei	svm1		
	SVIIII	keyserver.svm1.com:5696	available
	cluster1	Neglet ver te vini teem teese	avarrabre
		10.0.0.10:5696	available
		fd20:8b1e:b255:814e:32bd:f35c:832c:5a09:1234	available
		ks1.local:15696	available
node2			
	svm1		
		keyserver.svm1.com:5696	available
	cluster1		
		10.0.0.10:5696	available
		fd20:8b1e:b255:814e:32bd:f35c:832c:5a09:1234	available
		ks1.local:15696	available
8 ent	ries were	displayed.	

### Enable external key management in ONTAP 9.5 and earlier

You can use one or more KMIP servers to secure the keys the cluster uses to access encrypted data. You can connect up to four KMIP servers to a node. A minimum of two servers is recommended for redundancy and disaster recovery.

# What you'll need

- The KMIP SSL client and server certificates must have been installed.
- You must be a cluster administrator to perform this task.
- You must configure the MetroCluster environment before you configure an external key manager.

### About this task

ONTAP configures KMIP server connectivity for all nodes in the cluster.

### **Steps**

1. Configure key manager connectivity for cluster nodes:

```
security key-manager setup
```

The key manager setup starts.

- 2. Enter the appropriate response at each prompt.
- 3. Add a KMIP server:

```
security key-manager add -address key management server ipaddress
```

```
clusterl::> security key-manager add -address 20.1.1.1
```

4. Add an additional KMIP server for redundancy:

```
security key-manager add -address key_management_server_ipaddress
```

```
clusterl::> security key-manager add -address 20.1.1.2
```

5. Verify that all configured KMIP servers are connected:

```
security key-manager show -status
```

For complete command syntax, see the man page.

<pre>cluster1::&gt; security key-manager show -status</pre>				
Node	Port	Registered Key Manager	Status	
cluster1-01	5696	20.1.1.1	available	
cluster1-01	5696	20.1.1.2	available	
cluster1-02	5696	20.1.1.1	available	
cluster1-02	5696	20.1.1.2	available	

### Manage keys with Azure Key Vault or Google Cloud KMS

Beginning in ONTAP 9.10.1, you can use Azure Key Vault (AKV) and Google Cloud Platform's Key Management Service (Cloud KMS) to protect your ONTAP encryption keys in a Azure- or Google Cloud Platform-deployed application.

AKV and Cloud KMS can be used to protect NetApp Volume Encryption (NVE) keys only for data SVMs.

Key management with AKV or Cloud KMS can be enabled with the CLI or the ONTAP REST API.

When using AKV or Cloud KMS, be aware that by default a data SVM LIF is used to communicate with the cloud key management endpoint. A node management network is used to communicate with the cloud provider's authentication services (login.microsoftonline.com for Azure; oauth2.googleapis.com for Cloud KMS). If the cluster network is not configured correctly, the cluster will not properly utilize the key management service.

## **Prerequisites**

The ONTAP cluster's nodes must support NVE

- Volume Encryption (VE) license installed
- Multi-tenant Encryption Key Management (MTEKM) license installed
- · You must be a cluster or SVM administrator

# Limitations

- AKV and Cloud KMS are not available for NSE and NAE. External KMIPs can be used instead
- AKV and Cloud KMS are not available for MetroCluster configurations.
- AKV and Cloud KMS can only be configured on a data SVM

# Enable external key management with the CLI

Enabling external key management depends on the specific key manager you use. If you are enabling AKV in a Cloud Volumes ONTAP, note that there is a separate procedure. Choose the tab of the key manager and environment that suits your needs:

#### **Azure**

### **Enable Azure Key Vault for ONTAP**

1. Before you begin, you need to obtain the appropriate authentication credentials from your Azure account, either a client secret or certificate.

You must also ensure all nodes in the cluster are healthy. You can check this with the command cluster show.

2. Set privileged level to advanced

set -priv advanced

3. Enable AKV on the SVM

security key-manager external azure enable -client-id client\_id -tenant-id
tenant\_id -name -key-id key\_id -authentication-method {certificate|clientsecret}

When prompted, enter either the client certificate or client secret from your Azure account.

4. Verify AKV is enabled correctly:

security key-manager external azure show vserver <code>SVM\_name</code> If the service reachability is not OK, establish the connectivity to the AKV key management service via data SVM LIF.

# **Google Cloud**

## **Enable Cloud KMS with the CLI for ONTAP**

1. Before you begin, you need to obtain the private key for the Google Cloud KMS account key file in a JSON format. This can be found in your GCP account.

You must also ensure all nodes in the cluster are healthy. You can check this with the command cluster show.

2. Set privileged level to advanced

set -priv advanced

3. Enable Cloud KMS on the SVM

security key-manager external gcp enable -vserver data\_svm\_name -project-id project\_id-key-ring-name key\_ring\_name -key-ring-location key\_ring\_location -key-name key name

When prompted, enter the contents of the JSON file with the Service Account Private Key

4. Verify that Cloud KMS is configured with the correct parameters:

security key-manager external gcp show vserver SVM name

The status of kms\_wrapped\_key\_status will be "UNKNOWN" if no encrypted volumes have been created

If the service reachability is not OK, establish the connectivity to the GCP key management service via data SVM LIF.

If one or more encrypted volumes is already configured for a data SVM and the corresponding NVE keys are managed by the admin SVM onboard key manager, those keys should be migrated to the external key management service. To do this with the CLI, run the command:

security key-manager key migrate -from-Vserver admin\_SVM -to-Vserver data\_SVM New encrypted volumes cannot be created for the tenant's data vserver until all NVE keys of the data SVM are successfully migrated.

# Enable onboard key management in ONTAP 9.6 and later (NVE)

You can use the Onboard Key Manager to secure the keys that the cluster uses to access encrypted data. You must enable Onboard Key Manager on each cluster that accesses an encrypted volume or a self-encrypting disk.

# What you'll need

- You must be a cluster administrator to perform this task.
- You must configure the MetroCluster environment before you configure an external key manager.

### About this task

You must run the security key-manager onboard sync command each time you add a node to the cluster.

If you have a MetroCluster configuration you must run security key-manager onboard enable on the local cluster first, then run security key-manager onboard sync on the remote cluster, using the same passphrase on each.

By default, you are not required to enter the key manager passphrase when a node is rebooted. You can use the cc-mode-enabled=yes option to require that users enter the passphrase after a reboot.

For NVE, if you set cc-mode-enabled=yes, volumes you create with the volume create and volume move start commands are automatically encrypted. For volume create, you need not specify -encrypt true. For volume move start, you need not specify -encrypt-destination true.

When configuring ONTAP data at rest encryption, to meet the requirements for Commercial Solutions for Classified (CSfC) you must use NSE with NVE and ensure the Onboard Key Manager is enabled in Common Criteria mode. Refer to the CSfC Solution Brief for more information on CSfC.

When the Onboard Key Manager is enabled in Common Criteria mode (cc-mode-enabled=yes), system behavior is changed in the following ways:

• The system monitors for consecutive failed cluster passphrase attempts when operating in Common Criteria mode.

If you fail to enter the correct cluster passphrase at boot, encrypted volumes are not mounted. To correct this, you must reboot the node and enter the correct cluster passphrase. Once booted, the system allows up to 5 consecutive attempts to correctly enter the cluster passphrase in a 24-hour period for any command that requires the cluster passphrase as a parameter. If the limit is reached (for example, you have failed to correctly enter the cluster passphrase 5 times in a row) then you must either wait for the 24-hour timeout period to elapse, or you must reboot the node, in order to reset the limit.

 System image updates use the NetApp RSA-3072 code signing certificate together with SHA-384 code signed digests to check the image integrity instead of the usual NetApp RSA-2048 code signing certificate and SHA-256 code signed digests.

The upgrade command verifies that the image contents have not been altered or corrupted by checking various digital signatures. The image update process proceeds to the next step if validation succeeds; otherwise, the image update fails. See the "cluster image" man page for information concerning system updates.





The Onboard Key Manager stores keys in volatile memory. Volatile memory contents are cleared when the system is rebooted or halted. Under normal operating conditions, volatile memory contents will be cleared within 30s when a system is halted.

# Steps

1. Start the key manager setup:

security key-manager onboard enable -cc-mode-enabled yes|no



Set cc-mode-enabled=yes to require that users enter the key manager passphrase after a reboot. For NVE, if you set cc-mode-enabled=yes, volumes you create with the volume create and volume move start commands are automatically encrypted. The - cc-mode-enabled option is not supported in MetroCluster configurations. The security key-manager onboard enable command replaces the security key-manager setup command.

The following example starts the key manager setup command on cluster1 without requiring that the passphrase be entered after every reboot:

2. At the passphrase prompt, enter a passphrase between 32 and 256 characters, or for "cc-mode", a passphrase between 64 and 256 characters.



If the specified "cc-mode" passphrase is less than 64 characters, there is a five-second delay before the key manager setup operation displays the passphrase prompt again.

- 3. At the passphrase confirmation prompt, reenter the passphrase.
- 4. Verify that the authentication keys have been created:

security key-manager key query -key-type NSE-AK



The security key-manager key query command replaces the security key-manager query key command. For complete command syntax, see the man page.

The following example verifies that authentication keys have been created for cluster1:

```
cluster1::> security key-manager key query -key-type NSE-AK
     Vserver: cluster1
   Key Manager: onboard
     Node: node1
```

Key Tag Key Type Restored

node1 NSE-AK yes

Key ID:

000000000000000000000000000000011b3863f78c2273343d7ec5a67762e00000000

0000000

node1 NSE-AK yes

Key ID:

0000000

Vserver: svm1
Key Manager: onboard
Node: node1

Key Server: keyserver.svm1.com:5965

Key Tag Key Type Restored

eb9f8311-e8d8-487e-9663-7642d7788a75 VEK yes

Key ID:

0000000

9d09cbbf-0da9-4696-87a1-8e083d8261bb VEK yes

Key ID:

0000000

Vserver: cluster1
Key Manager: onboard
Node: node2

Key Tag Key Type Restored

\_\_\_\_\_\_

node1 NSE-AK yes

Key ID:

00000000000000000020000000000011b3863f78c2273343d7ec5a67762e00000000

00000000

node1 NSE-AK yes

Key ID:

00000000

Vserver: svm1
Key Manager: onboard
Node: node2

Key Server: keyserver.svm1.com:5965

# After you finish

Copy the passphrase to a secure location outside the storage system for future use.

All key management information is automatically backed up to the replicated database (RDB) for the cluster. You should also back up the information manually for use in case of a disaster.

## Enable onboard key management in ONTAP 9.5 and earlier (NVE)

You can use the Onboard Key Manager to secure the keys that the cluster uses to access encrypted data. You must enable Onboard Key Manager on each cluster that accesses an encrypted volume or a self-encrypting disk.

# What you'll need

• If you are using NSE with an external key management (KMIP) server, you must have deleted the external key manager database.

Transitioning to onboard key management from external key management

- You must be a cluster administrator to perform this task.
- You must configure the MetroCluster environment before you configure the Onboard Key Manager.

### About this task

You must run the security key-manager setup command each time you add a node to the cluster.

If you have a MetroCluster configuration, review these guidelines:

- In ONTAP 9.5, you must run security key-manager setup on the local cluster and security key-manager setup -sync-metrocluster-config yes on the remote cluster, using the same passphrase on each.
- Prior to ONTAP 9.5, you must run security key-manager setup on the local cluster, wait approximately 20 seconds, and then run security key-manager setup on the remote cluster, using the same passphrase on each.

By default, you are not required to enter the key manager passphrase when a node is rebooted. Beginning with ONTAP 9.4, you can use the <code>-enable-cc-mode yes</code> option to require that users enter the passphrase after a reboot.

For NVE, if you set -enable-cc-mode yes, volumes you create with the volume create and volume

move start commands are automatically encrypted. For volume create, you need not specify -encrypt true. For volume move start, you need not specify -encrypt-destination true.



After a failed passphrase attempt, you must reboot the node again.

### **Steps**

1. Start the key manager setup:

security key-manager setup -enable-cc-mode yes|no



Beginning with ONTAP 9.4, you can use the <code>-enable-cc-mode yes</code> option to require that users enter the key manager passphrase after a reboot. For NVE, if you set <code>-enable-cc-mode yes</code>, volumes you create with the volume <code>create</code> and volume <code>move start</code> commands are automatically encrypted.

The following example starts setting up the key manager on cluster1 without requiring that the passphrase be entered after every reboot:

```
cluster1::> security key-manager setup
Welcome to the key manager setup wizard, which will lead you through
the steps to add boot information.
...
Would you like to use onboard key-management? {yes, no} [yes]:
Enter the cluster-wide passphrase: <32..256 ASCII characters long
text>
Reenter the cluster-wide passphrase: <32..256 ASCII characters long
text>
```

- 2. Enter yes at the prompt to configure onboard key management.
- 3. At the passphrase prompt, enter a passphrase between 32 and 256 characters, or for "cc-mode", a passphrase between 64 and 256 characters.



If the specified "cc-mode" passphrase is less than 64 characters, there is a five-second delay before the key manager setup operation displays the passphrase prompt again.

- 4. At the passphrase confirmation prompt, reenter the passphrase.
- 5. Verify that keys are configured for all nodes:

```
security key-manager key show
```

For the complete command syntax, see the man page.

### After you finish

Copy the passphrase to a secure location outside the storage system for future use.

All key management information is automatically backed up to the replicated database (RDB) for the cluster.

Whenever you configure the Onboard Key Manager passphrase, you should also back up the information manually to a secure location outside the storage system for use in case of a disaster. See Back up onboard key management information manually.

## Enable onboard key management in newly added nodes

You can use the Onboard Key Manager to secure the keys that the cluster uses to access encrypted data. You must enable Onboard Key Manager on each cluster that accesses an encrypted volume or a self-encrypting disk.

For ONTAP 9.5 and earlier, you must run the security key-manager setup command each time you add a node to the cluster.



For ONTAP 9.6 and later, you must run the security key-manager sync command each time you add a node to the cluster.

If you add a node to a cluster that has onboard key management configured, you will run this command to refresh the missing keys.

If you have a MetroCluster configuration, review these guidelines:

• Beginning with ONTAP 9.6, you must run security key-manager onboard enable on the local cluster first, then run security key-manager onboard sync on the remote cluster, using the same passphrase on each.

- In ONTAP 9.5, you must run security key-manager setup on the local cluster and security key-manager setup -sync-metrocluster-config yes on the remote cluster, using the same passphrase on each.
- Prior to ONTAP 9.5, you must run security key-manager setup on the local cluster, wait approximately 20 seconds, and then run security key-manager setup on the remote cluster, using the same passphrase on each.

By default, you are not required to enter the key manager passphrase when a node is rebooted. Beginning with ONTAP 9.4, you can use the <code>-enable-cc-mode yes</code> option to require that users enter the passphrase after a reboot.

For NVE, if you set -enable-cc-mode yes, volumes you create with the volume create and volume move start commands are automatically encrypted. For volume create, you need not specify -encrypt true. For volume move start, you need not specify -encrypt-destination true.



After a failed passphrase attempt, you must reboot the node again.

# **Encrypt volume data with NVE**

# **Encrypt volume data with NVE overview**

Beginning with ONTAP 9.7, aggregate and volume encryption is enabled by default when you have the VE license and onboard or external key management. For ONTAP 9.6 and earlier, you can enable encryption on a new volume or on an existing volume. You must have installed the VE license and enabled key management before you can enable volume encryption. NVE is FIPS-140-2 level 1 compliant.

# Enable aggregate-level encryption with VE license

Beginning with ONTAP 9.7, newly created aggregates and volumes are encrypted by default when you have the VE license and onboard or external key management. Beginning with ONTAP 9.6, you can use aggregate-level encryption to assign keys to the containing aggregate for the volumes to be encrypted.

### What you'll need

You must be a cluster administrator to perform this task.

### About this task

You must use aggregate-level encryption if you plan to perform inline or background aggregate-level deduplication. Aggregate-level deduplication is otherwise not supported by NVE.

An aggregate enabled for aggregate-level encryption is called an *NAE aggregate* (for NetApp Aggregate Encryption). All volumes in an NAE aggregate must be encrypted with NAE or NVE encryption. With aggregate-level encryption, volumes you create in the aggregate are encrypted with NAE encryption by default. You can override the default to use NVE encryption instead.

Plain text volumes are not supported in NAE aggregates.

### Steps

1. Enable or disable aggregate-level encryption:

То	Use this command
Create an NAE aggregate with ONTAP 9.7 or later	storage aggregate create -aggregate aggregate_name -node node_name
Create an NAE aggregate with ONTAP 9.6	storage aggregate create -aggregate  aggregate_name -node node_name -encrypt-with  -aggr-key true
Convert a non-NAE aggregate to an NAE aggregate	storage aggregate modify -aggregate  aggregate_name -node node_name -encrypt-with  -aggr-key true
Convert an NAE aggregate to a non-NAE aggregate	storage aggregate modify -aggregate  aggregate_name -node node_name -encrypt-with  -aggr-key false

For complete command syntax, see the man pages.

The following command enables aggregate-level encryption on aggr1:

• ONTAP 9.7 or later:

```
cluster1::> storage aggregate create -aggregate aggr1
```

• ONTAP 9.6 or earlier:

```
cluster1::> storage aggregate create -aggregate aggr1 -encrypt-with
-aggr-key true
```

2. Verify that the aggregate is enabled for encryption:

```
storage aggregate show -fields encrypt-with-aggr-key
```

For complete command syntax, see the man page.

The following command verifies that aggr1 is enabled for encryption:

```
cluster1::> storage aggregate show -fields encrypt-with-aggr-key
aggregate encrypt-aggr-key
------
aggr0_vsim4 false
aggr1 true
2 entries were displayed.
```

# After you finish

Run the volume create command to create the encrypted volumes.

If you are using a KMIP server to store the encryption keys for a node, ONTAP automatically "pushes" an encryption key to the server when you encrypt a volume.

### Enable encryption on a new volume

You can use the volume create command to enable encryption on a new volume.

### About this task

You can encrypt volumes using NetApp Volume Encryption (NVE) and, beginning with ONTAP 9.6, NetApp Aggregate Encryption (NAE). To learn more about NAE and NVE, refer to the volume encryption overview.

The procedure to enable encryption in ONTAP varies based on the version of ONTAP you are using and your specific configuration:

- Beginning with ONTAP 9.4, if you enable cc-mode when you set up the Onboard Key Manager, volumes you create with the volume create command are automatically encrypted, whether or not you specify -encrypt true.
- In ONTAP 9.6 and earlier releases, you must use -encrypt true with volume create commands to enable encryption (provided you did not enable cc-mode).
- If you are using NAE in ONTAP 9.6, you must enable NAE at the aggregate level. Refer to Enable aggregate-level encryption with the VE license for more details on this task.
- Beginning with ONTAP 9.7, newly created volumes are encrypted by default when you have the VE license and onboard or external key management.
  - In ONTAP 9.7 and later releases, if you use -encrypt true to create a volume in an NAE aggregate, the volume will have NVE encryption instead of NAE. All volumes in an NAE aggregate must be encrypted with either NVE or NAE.



Plaintext volumes are not supported in NAE aggregates.

### Steps

1. Create a new volume and specify whether encryption is enabled on the volume:

To create	Use this command		
An NAE volume	<pre>volume create -vserver SVM_name -volume volume_name -aggregate aggregate_name +</pre>		
	In ONTAP 9.6, you must enable NAE at the aggregate-level to create an NAE volume.		

An NVE volume	<pre>volume create -vserver SVM_name -volume volume_name -aggregate aggregate_name +</pre>		
	i	If you are using ONTAP 9.6 and earlier versions, add <code>-encrypttrue</code> to the command. Using this parameter in ONTAP 9.7 or later with NAE encryption on the aggregate, the volume will use NVE.	
A plain text volume	volume create -vserver SVM_name -volume volume_name -aggregate aggregate_name -encrypt false		

For complete command syntax, refer to the command reference page for volume create.

2. Verify that volumes are enabled for encryption:

```
volume show -is-encrypted true
```

For complete command syntax, see the command reference.

### Result

If you are using a KMIP server to store the encryption keys for a node, ONTAP automatically "pushes" an encryption key to the server when you encrypt a volume.

Enable encryption on an existing volume with the volume encryption conversion start command

Beginning with ONTAP 9.3, you can use the volume encryption conversion start command to enable encryption of an existing volume "in place," without having to move the volume to a different location.

### About this task

Once you start a conversion operation, it must complete. If you encounter a performance issue during the operation, you can run the volume encryption conversion pause command to pause the operation, and the volume encryption conversion resume command to resume the operation.



You cannot use volume encryption conversion start to convert a SnapLock volume.

## Steps

1. Enable encryption on an existing volume:

volume encryption conversion start -vserver SVM name -volume volume name

For complete command syntax, see the man page for the command.

The following command enables encryption on the existing volume vol1:

cluster1::> volume encryption conversion start -vserver vs1 -volume vol1

The system creates an encryption key for the volume. The data on the volume is encrypted.

2. Verify the status of the conversion operation:

```
volume encryption conversion show
```

For complete command syntax, see the man page for the command.

The following command displays the status of the conversion operation:

```
Cluster1::> volume encryption conversion show

Vserver Volume Start Time Status

------ vsl vol1 9/18/2017 17:51:41 Phase 2 of 2 is in progress.
```

3. When the conversion operation is complete, verify that the volume is enabled for encryption:

```
volume show -is-encrypted true
```

For complete command syntax, see the man page for the command.

The following command displays the encrypted volumes on cluster1:

```
cluster1::> volume show -is-encrypted true

Vserver Volume Aggregate State Type Size Available Used
----- vsl vol1 aggr2 online RW 200GB 160.0GB 20%
```

### Result

If you are using a KMIP server to store the encryption keys for a node, ONTAP automatically "pushes" an encryption key to the server when you encrypt a volume.

## Enable encryption on an existing volume with the volume move start command

You can use the volume move start command to enable encryption by moving an existing volume. You must use volume move start in ONTAP 9.2 and earlier. You can use the same aggregate or a different aggregate.

# What you'll need

You must be a cluster administrator to perform this task, or an SVM administrator to whom the cluster administrator has delegated authority.

Delegating authority to run the volume move command

### About this task

Beginning with ONTAP 9.8, you can use volume move start to enable encryption on a SnapLock or

FlexGroup volume.

Beginning with ONTAP 9.4, if you enable "cc-mode" when you set up the Onboard Key Manager, volumes you create with the volume move start command are automatically encrypted. You need not specify -encrypt -destination true.

Beginning with ONTAP 9.6, you can use aggregate-level encryption to assign keys to the containing aggregate for the volumes to be moved. A volume encrypted with a unique key is called an *NVE volume*. A volume encrypted with an aggregate-level key is called an *NAE volume* (for NetApp Aggregate Encryption). Plaintext volumes are not supported in NAE aggregates.

# **Steps**

1. Move an existing volume and specify whether encryption is enabled on the volume:

To convert	Use this command
A plaintext volume to an NVE volume	volume move start -vserver SVM_name -volume volume_name -destination-aggregate aggregate_name -encrypt-destination true
An NVE or plaintext volume to an NAE volume (assuming aggregate-level encryption is enabled on the destination)	volume move start -vserver SVM_name -volume volume_name -destination-aggregate aggregate_name -encrypt-with-aggr-key true
An NAE volume to an NVE volume	volume move start -vserver SVM_name -volume volume_name -destination-aggregate aggregate_name -encrypt-with-aggr-key false
An NAE volume to a plaintext volume	volume move start -vserver SVM_name -volume volume_name -destination-aggregate aggregate_name -encrypt-destination false -encrypt-with-aggr-key false
An NVE volume to a plaintext volume	volume move start -vserver SVM_name -volume volume_name -destination-aggregate aggregate_name -encrypt-destination false

For complete command syntax, see the man page for the command.

The following command converts a plaintext volume named vol1 to an NVE volume:

```
cluster1::> volume move start -vserver vs1 -volume vol1 -destination
-aggregate aggr2 -encrypt-destination true
```

Assuming aggregate-level encryption is enabled on the destination, the following command converts an NVE or plaintext volume named vol1 to an NAE volume:

```
cluster1::> volume move start -vserver vs1 -volume vol1 -destination
-aggregate aggr2 -encrypt-with-aggr-key true
```

The following command converts an NAE volume named vol2 to an NVE volume:

```
cluster1::> volume move start -vserver vs1 -volume vol2 -destination
-aggregate aggr2 -encrypt-with-aggr-key false
```

The following command converts an NAE volume named vol2 to a plaintext volume:

```
cluster1::> volume move start -vserver vs1 -volume vol2 -destination
-aggregate aggr2 -encrypt-destination false -encrypt-with-aggr-key false
```

The following command converts an NVE volume named vol2 to a plaintext volume:

```
cluster1::> volume move start -vserver vs1 -volume vol2 -destination
-aggregate aggr2 -encrypt-destination false
```

2. View the encryption type of cluster volumes:

```
volume show -fields encryption-type none|volume|aggregate
```

The encryption-type field is available in ONTAP 9.6 and later.

For complete command syntax, see the man page for the command.

The following command displays the encryption type of volumes in cluster2:

```
cluster2::> volume show -fields encryption-type

vserver volume encryption-type
-----
vs1 vol1 none
vs2 vol2 volume
vs3 vol3 aggregate
```

3. Verify that volumes are enabled for encryption:

```
volume show -is-encrypted true
```

For complete command syntax, see the man page for the command.

The following command displays the encrypted volumes on cluster2:

```
Cluster2::> volume show -is-encrypted true

Vserver Volume Aggregate State Type Size Available Used
------
vs1 vol1 aggr2 online RW 200GB 160.0GB 20%
```

### Result

If you are using a KMIP server to store the encryption keys for a node, ONTAP automatically "pushes" an encryption key to the server when you encrypt a volume.

# **Enable node root volume encryption**

Beginning with ONTAP 9.8, you can use NetApp Volume Encryption to protect the root volume of your node.

## What you'll need

Your system must be using an HA configuration.

Root volume encryption is not supported on single node configurations.

- · Your node root volume must already be created.
- Your system must have an onboard key manager or an external key management server using the Key Management Interoperability Protocol (KMIP).



#### About this task

This procedure applies to the node root volume. It does not apply to SVM root volumes. SVM root volumes can be protected through aggregate-level encryption.

Once root volume encryption begins, it must complete. You cannot pause the operation. Once encryption is complete, you cannot assign a new key to the root volume and you cannot perform a secure-purge operation.

# Steps

1. Encrypt the root volume:

```
volume encryption conversion start -vserver SVM_name -volume root_vol_name
```

2. Verify the status of the conversion operation:

```
volume encryption conversion show
```

3. When the conversion operation is complete, verify that the volume is encrypted:

```
volume show -fields
```

The following shows example output for an encrypted volume.

# Configure NetApp hardware-based encryption

# Configure NetApp hardware-based encryption overview

NetApp hardware-based encryption supports full-disk encryption (FDE) of data as it is written. The data cannot be read without an encryption key stored on the firmware. The encryption key, in turn, is accessible only to an authenticated node.

# **Understanding NetApp hardware-based encryption**

A node authenticates itself to a self-encrypting drive using an authentication key retrieved from an external key management server or Onboard Key Manager:

- The external key management server is a third-party system in your storage environment that serves keys to nodes using the Key Management Interoperability Protocol (KMIP). It is a best practice to configure external key management servers on a different storage system from your data.
- The Onboard Key Manager is a built-in tool that serves authentication keys to nodes from the same storage system as your data.

You can use NetApp Volume Encryption with hardware-based encryption to "double encrypt" data on self-encrypting drives.

AFF A220, AFF A800, FAS2720, FAS2750, and later systems store core dumps on their boot device. When self-encrypting drives are enabled on these systems, the core dump is also encrypted.



If an HA pair is using encrypting SAS or NVMe drives (SED, NSE, FIPS), you must follow the instructions in the topic Returning a FIPS drive or SED to unprotected mode for all drives within the HA pair prior to initializing the system (boot options 4 or 9). Failure to do this may result in future data loss if the drives are repurposed.

### Supported self-encrypting drive types

Two types of self-encrypting drives are supported:

- Self-encrypting FIPS-certified SAS or NVMe drives are supported on all FAS and AFF systems. These
  drives, called FIPS drives, conform to the requirements of Federal Information Processing Standard
  Publication 140-2, level 2. The certified capabilities enable protections in addition to encryption, such as
  preventing denial-of-service attacks on the drive. FIPS drives cannot be mixed with other types of drives on
  the same node or HA pair.
- Beginning with ONTAP 9.6, self-encrypting NVMe drives that have not undergone FIPS testing are supported on AFF A800, A320, and later systems. These drives, called SEDs, offer the same encryption capabilities as FIPS drives, but can be mixed with non-encrypting drives on the same node or HA pair.
- All FIPS validated drives use a firmware cryptographic module that has been through FIPS validation. The

FIPS drive cryptographic module does not use any keys that are generated outside of the drive (the authentication passphrase that is input to the drive is used by the drive's firmware cryptographic module to obtain a key encryption key).



Non-encrypting drives are drives that are not SEDs or FIPS drives.

# When to use external key management

Although it is less expensive and typically more convenient to use the onboard key manager, you should use external key management if any of the following are true:

- Your organization's policy requires a key management solution that uses a FIPS 140-2 Level 2 (or higher) cryptographic module.
- You need a multi-cluster solution, with centralized management of encryption keys.
- Your business requires the added security of storing authentication keys on a system or in a location different from the data.

# Support details

The following table shows important hardware encryption support details. See the Interoperability Matrix for the latest information about supported KMIP servers, storage systems, and disk shelves.

Resource or feature	Support details
Non-homogeneous disk sets	<ul> <li>FIPS drives cannot be mixed with other types of drives on the same node or HA pair. Conforming HA pairs can coexist with non-conforming HA pairs in the same cluster.</li> <li>SEDs can be mixed with non-encrypting drives on the same node or HA pair.</li> </ul>
Drive type	<ul><li>FIPS drives can be SAS or NVMe drives.</li><li>SEDs must be NVMe drives.</li></ul>
10 Gb network interfaces	Beginning with ONTAP 9.3, KMIP key management configurations support 10 Gb network interfaces for communications with external key management servers.
Ports for communication with the key management server	Beginning with ONTAP 9.3, you can use any storage controller port for communication with the key management server. Otherwise, you should use port e0M for communication with key management servers. Depending on the storage controller model, certain network interfaces might not be available during the boot process for communication with key management servers.
MetroCluster (MCC)	<ul><li>NVMe drives support MCC.</li><li>SAS drives do not support MCC.</li></ul>

### **Related information**

NetApp Hardware Universe

NetApp Volume Encryption and NetApp Aggregate Encryption

# Hardware-based encryption workflow

You must configure key management services before the cluster can authenticate itself to the self-encrypting drive. You can use an external key management server or an onboard key manager.



# Configure external key management

### Configure external key management overview

You can use one or more external key management servers to secure the keys that the cluster uses to access encrypted data. An external key management server is a third-party system in your storage environment that serves keys to nodes using the Key Management Interoperability Protocol (KMIP).

For ONTAP 9.1 and earlier versions, node management LIFs must be assigned to ports that are configured with the node management role before you can use the external key manager.

NetApp Volume Encryption (NVE) can be implemented with Onboard Key Manager in ONTAP 9.1 and later. In ONTAP 9.3 and later, NVE can be implemented with external key management (KMIP) and Onboard Key Manager. Beginning in ONTAP 9.11.1, you can configure multiple external key managers in a cluster. See Configure clustered key servers.

# Collect network information in ONTAP 9.2 and earlier

If you are using ONTAP 9.2 or earlier, you should fill out the network configuration worksheet before enabling external key management.



Beginning with ONTAP 9.3, the system discovers all needed network information automatically.

Item	Notes	Value
Key management network interface name		
Key management network interface IP address	IP address of node management LIF, in IPv4 or IPv6 format	
Key management network interface IPv6 network prefix length	If you are using IPv6, the IPv6 network prefix length	
Key management network interface subnet mask		
Key management network interface gateway IP address		
IPv6 address for the cluster network interface	Required only if you are using IPv6 for the key management network interface	
Port number for each KMIP server	Optional. The port number must be the same for all KMIP servers. If you do not provide a port number, it defaults to port 5696, which is the Internet Assigned Numbers Authority (IANA) assigned port for KMIP.	
Key tag name	Optional. The key tag name is used to identify all keys belonging to a node. The default key tag name is the node name.	

## **Related information**

NetApp Technical Report 3954: NetApp Storage Encryption Preinstallation Requirements and Procedures for IBM Tivoli Lifetime Key Manager

NetApp Technical Report 4074: NetApp Storage Encryption Preinstallation Requirements and Procedures for SafeNet KeySecure

### Install SSL certificates on the cluster

The cluster and KMIP server use KMIP SSL certificates to verify each other's identity and establish an SSL connection. Before configuring the SSL connection with the KMIP server, you must install the KMIP client SSL certificates for the cluster, and the SSL public certificate for the root certificate authority (CA) of the KMIP server.

### What you'll need

- The time must be synchronized on the server creating the certificates, the KMIP server, and the cluster.
- You must have obtained the public SSL KMIP client certificate for the cluster.
- You must have obtained the private key associated with the SSL KMIP client certificate for the cluster.

The SSL KMIP client certificate must not be password-protected.

• You must have obtained the SSL public certificate for the root certificate authority (CA) of the KMIP server.



You can install the client and server certificates on the KMIP server before or after installing the certificates on the cluster.

### About this task

In an HA pair, both nodes must use the same public and private KMIP SSL certificates. If you connect multiple HA pairs to the same KMIP server, all nodes in the HA pairs must use the same public and private KMIP SSL certificates.

# **Steps**

1. Install the SSL KMIP client certificates for the cluster:

```
You are prompted to enter the SSL KMIP public and private certificates.
```

```
cluster1::> security certificate install -vserver cluster1 -type client
```

2. Install the SSL public certificate for the root certificate authority (CA) of the KMIP server:

```
security certificate install -vserver admin_svm_name -type server-ca
cluster1::> security certificate install -vserver cluster1 -type server-ca
```

## Enable external key management in ONTAP 9.6 and later (HW-based)

You can use one or more KMIP servers to secure the keys the cluster uses to access encrypted data. You can connect up to four KMIP servers to a node. A minimum of two servers is recommended for redundancy and disaster recovery.

Beginning in ONTAP 9.11.1, you can add up to 3 secondary key servers per primary key server to create a clustered key server. For more information, see Configure clustered external key servers.

### Before you begin

The KMIP SSL client and server certificates must have been installed.

- You must be a cluster administrator to perform this task.
- You must configure the MetroCluster environment before you configure an external key manager.

### Steps

1. Configure key manager connectivity for the cluster:

security key-manager external enable -vserver admin\_SVM -key-servers
host\_name|IP\_address:port,... -client-cert client\_certificate -server-ca-cert
server\_CA\_certificates



The security key-manager external enable command replaces the security key-manager setup command. You can run the security key-manager external modify command to change the external key management configuration. For complete command syntax, see the man pages.

The following command enables external key management for cluster1 with three external key servers. The first key server is specified using its hostname and port, the second is specified using an IP address and the default port, and the third is specified using an IPv6 address and port:

```
clusterl::> security key-manager external enable -key-servers
ks1.local:15696,10.0.0.10,[fd20:8b1e:b255:814e:32bd:f35c:832c:5a09]:1234
-client-cert AdminVserverClientCert -server-ca-certs
AdminVserverServerCaCert
```

2. Verify that all configured KMIP servers are connected:

security key-manager external show-status -node node\_name -vserver SVM -key
-server host\_name|IP\_address:port -key-server-status available|notresponding|unknown



The security key-manager external show-status command replaces the security key-manager show -status command. For complete command syntax, see the man page.

```
cluster1::> security key-manager external show-status
Node Vserver Key Server
                                                               Status
node1
      cluster1
               10.0.0.10:5696
                                                               available
               fd20:8b1e:b255:814e:32bd:f35c:832c:5a09:1234
                                                               available
               ks1.local:15696
                                                               available
node2
      cluster1
               10.0.0.10:5696
                                                               available
               fd20:8b1e:b255:814e:32bd:f35c:832c:5a09:1234
                                                               available
                                                               available
               ks1.local:15696
6 entries were displayed.
```

# Enable external key management in ONTAP 9.5 and earlier

You can use one or more KMIP servers to secure the keys the cluster uses to access encrypted data. You can connect up to four KMIP servers to a node. A minimum of two servers is recommended for redundancy and disaster recovery.

# What you'll need

- The KMIP SSL client and server certificates must have been installed.
- You must be a cluster administrator to perform this task.
- You must configure the MetroCluster environment before you configure an external key manager.

### About this task

ONTAP configures KMIP server connectivity for all nodes in the cluster.

### **Steps**

1. Configure key manager connectivity for cluster nodes:

```
security key-manager setup
```

The key manager setup starts.

- 2. Enter the appropriate response at each prompt.
- 3. Add a KMIP server:

```
security key-manager add -address key_management_server_ipaddress
```

```
clusterl::> security key-manager add -address 20.1.1.1
```

4. Add an additional KMIP server for redundancy:

```
security key-manager add -address key management server ipaddress
```

```
clusterl::> security key-manager add -address 20.1.1.2
```

5. Verify that all configured KMIP servers are connected:

```
security key-manager show -status
```

For complete command syntax, see the man page.

cluster1::> security key-manager show -status					
Node	Port	Registered Key Manager	Status		
cluster1-01	5696	20.1.1.1	available		
cluster1-01	5696	20.1.1.2	available		
cluster1-02	5696	20.1.1.1	available		
cluster1-02	5696	20.1.1.2	available		

# Configure clustered external key servers

Beginning in ONTAP 9.11.1, you can configure connectivity to clustered external key management servers on an SVM. With clustered key servers, you can designate primary and secondary key servers on a SVM. When registering keys, ONTAP will first attempt to access a primary key server before sequentially attempting to access secondary servers until the operation completes successfully, preventing duplication of keys.

External key servers can be used for NSE, NVE, NAE, and SED keys. An SVM can support up to four primary external KMIP servers. Each primary server can support up to three secondary key servers.

#### Before you begin

- KMIP key management is already enabled for the SVM.
- This process only supports key servers that use KMIP. For a list of supported key servers, check the NetApp Interoperability Matrix Tool.
- All nodes in the cluster must be running ONTAP 9.11.1 or later.
- The order of servers list arguments in the -secondary-key-servers parameter reflects the access order of the external key management (KMIP) servers.

# Create a clustered key server

The configuration procedure depends on whether or not you have configured a primary key server.

# Add primary and secondary key servers to an SVM

- 1. Confirm that no key management has been enabled for the cluster:

  security key-manager external show -vserver vserver\_name

  If the SVM already has the maximum of four primary key servers enabled, you must remove one of the existing primary key servers before adding a new one.
- 2. Enable the primary key manager:

```
security key-manager external enable -vserver vserver_name -key-servers
server_ip -client-cert client_cert_name -server-ca-certs
server ca cert names
```

3. Modify the primary key server to add secondary key servers. The -secondary-key-servers parameter accepts a comma-separated list of up to three key servers.

```
security key-manager external modify-server -vserver vserver_name -key -servers primary key server -secondary-key-servers list of key servers
```

# Add secondary key servers to an existing primary key server

1. Modify the primary key server to add secondary key servers. The -secondary-key-servers parameter accepts a comma-separated list of up to three key servers.

```
security key-manager external modify-server -vserver vserver_name -key -servers primary_key_server -secondary-key-servers list_of_key_servers For more information about secondary key servers, see Modifying secondary key servers.
```

#### Modify clustered key servers

You can modify external key servers clusters by changing the status (primary or secondary) of particular key servers, add and removing secondary key servers, or by changing the access order of secondary key servers.

#### Converting primary and secondary key servers

To convert a primary key server into a secondary key server, you must first remove it from the SVM with the security key-manager external remove-servers command.

To convert a secondary key server into a primary key server, you must first remove the secondary key server from its existing primary key server. See Modifying secondary key servers. If you convert a secondary key server to a primary server while removing an existing key, attempting to add a new server before completing the removal and conversion can result in the the duplication of keys.

#### Modifying secondary key servers

Secondary key servers are managed with the <code>-secondary-key-servers</code> parameter of the <code>security</code> <code>key-manager</code> <code>external</code> <code>modify-server</code> command. The <code>-secondary-key-servers</code> parameter accepts a comma-separated list. The specified order of the secondary key servers in the list determines the access sequence for the secondary key servers. The access order can be modified by running the command <code>security key-manager</code> <code>external modify-server</code> with the <code>secondary</code> key <code>servers</code> entered in a different sequence.

To remove a secondary key server, the <code>-secondary-key-servers</code> arguments should include the key servers you want to keep while omitting the one to be removed. To remove all secondary key servers, use the argument <code>-</code>, signifying none.

For additional information, refer to the security key-manager external page in the ONTAP command

#### Create authentication keys in ONTAP 9.6 and later

You can use the security key-manager key create command to create the authentication keys for a node and store them on the configured KMIP servers.

# What you'll need

You must be a cluster administrator to perform this task.

#### About this task

If your security setup requires you to use different keys for data authentication and FIPS 140-2 authentication, you should create a separate key for each. If that is not the case, you can use the same authentication key for FIPS compliance that you use for data access.

ONTAP creates authentication keys for all nodes in the cluster.

 This command is not supported when Onboard Key Manager is enabled. However, two authentication keys are created automatically when Onboard Key Manager is enabled. The keys can be viewed with the following command:

```
security key-manager key query -key-type NSE-AK
```

 You receive a warning if the configured key management servers are already storing more than 128 authentication keys.

You can use the security key-manager key delete command to delete any unused keys. The security key-manager key delete command fails if the given key is currently in use by ONTAP. (You must have privileges greater than "admin" to use this command.)

## Steps

1. Create the authentication keys for cluster nodes:

security key-manager key create -key-tag passphrase\_label -prompt-for-key true|false



Setting prompt-for-key=true causes the system to prompt the cluster administrator for the passphrase to use when authenticating encrypted drives. Otherwise, the system automatically generates a 32-byte passphrase. The security key-manager key create command replaces the security key-manager create-key command. For complete command syntax, see the man page.

The following example creates the authentication keys for cluster1, automatically generating a 32-byte passphrase:

## 2. Verify that the authentication keys have been created:

security key-manager key query -node node



The security key-manager key query command replaces the security key-manager query key command. For complete command syntax, see the man page. The key ID displayed in the output is an identifier used to refer to the authentication key. It is not the actual authentication key or the data encryption key.

The following example verifies that authentication keys have been created for cluster1:

```
cluster1::> security key-manager key query
   Vserver: cluster1
 Key Manager: external
    Node: node1
Key Tag
                   Key Type Restored
NSE-AK yes
node1
  Key ID:
0000000
                    NSE-AK yes
node1
  Key ID:
00000000
   Vserver: cluster1
 Key Manager: external
    Node: node2
Key Tag
                   Key Type Restored
node2
                    NSE-AK yes
  Key ID:
00000000
node2
                    NSE-AK yes
  Key ID:
0000000000000000002000000000001006f4e2513353a674305872a4c9f3bf79700000000
00000000
```

# Create authentication keys in ONTAP 9.5 and earlier

You can use the security key-manager create-key command to create the authentication keys for a node and store them on the configured KMIP servers.

#### What you'll need

You must be a cluster administrator to perform this task.

#### About this task

If your security setup requires you to use different keys for data authentication and FIPS 140-2 authentication, you should create a separate key for each. If that is not the case, you can use the same authentication key for FIPS compliance that you use for data access.

ONTAP creates authentication keys for all nodes in the cluster.

- This command is not supported when onboard key management is enabled.
- You receive a warning if the configured key management servers are already storing more than 128 authentication keys.

You can use the key management server software to delete any unused keys, then run the command again.

## Steps

1. Create the authentication keys for cluster nodes:

```
security key-manager create-key
```

For complete command syntax, see the man page for the command.



The key ID displayed in the output is an identifier used to refer to the authentication key. It is not the actual authentication key or the data encryption key.

The following example creates the authentication keys for cluster1:

2. Verify that the authentication keys have been created:

```
security key-manager query
```

For complete command syntax, see the man page.

The following example verifies that authentication keys have been created for cluster1:

```
cluster1::> security key-manager query
  (security key-manager query)
        Node: cluster1-01
  Key Manager: 20.1.1.1
Server Status: available
Key Tag Key Type Restored
______
cluster1-01 NSE-AK yes
      Key ID:
F1CB30AFF1CB30B0010100000000000000A68B167F92DD54196297159B5968923C
        Node: cluster1-02
  Key Manager: 20.1.1.1
Server Status: available
Key Tag Key Type Restored
----- -----
cluster1-02 NSE-AK yes
      Key ID:
F1CB30AFF1CB30B001010000000000000A68B167F92DD54196297159B5968923C
```

# Assign a data authentication key to a FIPS drive or SED (external key management)

You can use the storage encryption disk modify command to assign a data authentication key to a FIPS drive or SED. Cluster nodes use this key to lock or unlock encrypted data on the drive.

#### What you'll need

You must be a cluster administrator to perform this task.

#### About this task

A self-encrypting drive is protected from unauthorized access only if its authentication key ID is set to a non-default value. The manufacturer secure ID (MSID), which has key ID 0x0, is the standard default value for SAS drives. For NVMe drives, the standard default value is a null key, represented as a blank key ID. When you assign the key ID to a self-encrypting drive, the system changes its authentication key ID to a non-default value.

#### **Steps**

1. Assign a data authentication key to a FIPS drive or SED:

```
storage encryption disk modify -disk disk ID -data-key-id key ID
```

For complete command syntax, see the man page for the command.



You can use the security key-manager query -key-type NSE-AK command to view key IDs.

2. Verify that the authentication keys have been assigned:

```
storage encryption disk show
```

For complete command syntax, see the man page.

# Configure onboard key management

# Enable onboard key management in ONTAP 9.6 and later

You can use the Onboard Key Manager to authenticate cluster nodes to a FIPS drive or SED. The Onboard Key Manager is a built-in tool that serves authentication keys to nodes from the same storage system as your data. The Onboard Key Manager is FIPS-140-2 level 1 compliant.

You can use the Onboard Key Manager to secure the keys that the cluster uses to access encrypted data. You must enable Onboard Key Manager on each cluster that accesses an encrypted volume or a self-encrypting disk.

#### What you'll need

• If you are using NSE with an external key management (KMIP) server, you must have deleted the external key manager database.

Transitioning to onboard key management from external key management

- You must be a cluster administrator to perform this task.
- You must configure the MetroCluster environment before the Onboard key manager is configured.

#### About this task

You must run the security key-manager onboard enable command each time you add a node to the cluster. In MetroCluster configurations, you must run security key-manager onboard enable on the local cluster first, then run security key-manager onboard sync on the remote cluster, using the same passphrase on each.

By default, you are not required to enter the key manager passphrase when a node is rebooted. Except in MetroCluster, you can use the cc-mode-enabled=yes option to require that users enter the passphrase after a reboot.

When the Onboard Key Manager is enabled in Common Criteria mode (cc-mode-enabled=yes), system behavior is changed in the following ways:

 The system monitors for consecutive failed cluster passphrase attempts when operating in Common Criteria mode.

If NetApp Storage Encryption (NSE) is enabled and you fail to enter the correct cluster passphrase at boot, the system cannot authenticate to its drives and automatically reboots. To correct this, you must enter the correct cluster passphrase at the boot prompt. Once booted, the system allows up to 5 consecutive attempts to correctly enter the cluster passphrase in a 24-hour period for any command that requires the cluster passphrase as a parameter. If the limit is reached (for example, you have failed to correctly enter the cluster passphrase 5 times in a row) then you must either wait for the 24-hour timeout period to elapse, or you must reboot the node, in order to reset the limit.



The upgrade command verifies that the image contents have not been altered or corrupted by checking various digital signatures. The image update process proceeds to the next step if validation succeeds; otherwise, the image update fails. See the "cluster image" man page for information concerning system updates.



The Onboard Key Manager stores keys in volatile memory. Volatile memory contents are cleared when the system is rebooted or halted. Under normal operating conditions, volatile memory contents will be cleared within 30s when a system is halted.

## **Steps**

1. Start the key manager setup command:

security key-manager onboard enable -cc-mode-enabled yes|no



Set cc-mode-enabled=yes to require that users enter the key manager passphrase after a reboot. The - cc-mode-enabled option is not supported in MetroCluster configurations. The security key-manager onboard enable command replaces the security key-manager setup command.

The following example starts the key manager setup command on cluster1 without requiring that the passphrase be entered after every reboot:

2. At the passphrase prompt, enter a passphrase between 32 and 256 characters, or for "cc-mode", a passphrase between 64 and 256 characters.



If the specified "cc-mode" passphrase is less than 64 characters, there is a five-second delay before the key manager setup operation displays the passphrase prompt again.

- 3. At the passphrase confirmation prompt, reenter the passphrase.
- 4. Verify that the authentication keys have been created:

security key-manager key query -node node



The security key-manager key query command replaces the security key-manager query key command. For complete command syntax, see the man page.

The following example verifies that authentication keys have been created for cluster1:

cluster1::> security key-manager key query

Vserver: cluster1 Key Manager: onboard

Node: node1

Key Tag Key Type Restored

node1 NSE-AK yes

Key ID:

000000000000000000000000000000011b3863f78c2273343d7ec5a67762e00000000

0000000

node1 NSE-AK yes

Key ID:

0000000

Vserver: cluster1 Key Manager: onboard

Node: node2

Key Tag Key Type Restored

node1 NSE-AK yes

Key ID:

00000000000000000000000000000011b3863f78c2273343d7ec5a67762e00000000

00000000

node2 NSE-AK yes

Key ID:

0000000

## After you finish

Copy the passphrase to a secure location outside the storage system for future use.

All key management information is automatically backed up to the replicated database (RDB) for the cluster. You should also back up the information manually for use in case of a disaster.

# Enable onboard key management in ONTAP 9.5 and earlier

You can use the Onboard Key Manager to authenticate cluster nodes to a FIPS drive or SED. The Onboard Key Manager is a built-in tool that serves authentication keys to nodes from the same storage system as your data. The Onboard Key Manager is FIPS-140-2 level 1 compliant.

You can use the Onboard Key Manager to secure the keys that the cluster uses to access encrypted data. You must enable Onboard Key Manager on each cluster that accesses an encrypted volume or a self-encrypting

disk.

#### What you'll need

• If you are using NSE with an external key management (KMIP) server, you must have deleted the external key manager database.

Transitioning to onboard key management from external key management

- You must be a cluster administrator to perform this task.
- You must configure the MetroCluster environment before the Onboard Key Manager is configured.

#### About this task

You must run the security key-manager setup command each time you add a node to the cluster.

If you have a MetroCluster configuration, review these guidelines:

- In ONTAP 9.5, you must run security key-manager setup on the local cluster and security key-manager setup -sync-metrocluster-config yes on the remote cluster, using the same passphrase on each.
- Prior to ONTAP 9.5, you must run security key-manager setup on the local cluster, wait approximately 20 seconds, and then run security key-manager setup on the remote cluster, using the same passphrase on each.

By default, you are not required to enter the key manager passphrase when a node is rebooted. Beginning with ONTAP 9.4, you can use the <code>-enable-cc-mode yes</code> option to require that users enter the passphrase after a reboot.

For NVE, if you set -enable-cc-mode yes, volumes you create with the volume create and volume move start commands are automatically encrypted. For volume create, you need not specify -encrypt true. For volume move start, you need not specify -encrypt-destination true.



After a failed passphrase attempt, you must reboot the node again.

#### **Steps**

1. Start the key manager setup:

security key-manager setup -enable-cc-mode yes|no



Beginning with ONTAP 9.4, you can use the <code>-enable-cc-mode yes</code> option to require that users enter the key manager passphrase after a reboot. For NVE, if you set <code>-enable-cc-mode yes</code>, volumes you create with the volume <code>create</code> and volume <code>move start</code> commands are automatically encrypted.

The following example starts setting up the key manager on cluster1 without requiring that the passphrase be entered after every reboot:

```
cluster1::> security key-manager setup
Welcome to the key manager setup wizard, which will lead you through
the steps to add boot information.
...
Would you like to use onboard key-management? {yes, no} [yes]:
Enter the cluster-wide passphrase: <32..256 ASCII characters long
text>
Reenter the cluster-wide passphrase: <32..256 ASCII characters long
text>
```

- 2. Enter yes at the prompt to configure onboard key management.
- 3. At the passphrase prompt, enter a passphrase between 32 and 256 characters, or for "cc-mode", a passphrase between 64 and 256 characters.



If the specified "cc-mode" passphrase is less than 64 characters, there is a five-second delay before the key manager setup operation displays the passphrase prompt again.

- 4. At the passphrase confirmation prompt, reenter the passphrase.
- 5. Verify that keys are configured for all nodes:

```
security key-manager key show
```

For the complete command syntax, see the man page.

#### After you finish

All key management information is automatically backed up to the replicated database (RDB) for the cluster.

Whenever you configure the Onboard Key Manager passphrase, you should also back up the information manually to a secure location outside the storage system for use in case of a disaster. See Back up onboard key management information manually.

#### Assign a data authentication key to a FIPS drive or SED (onboard key management)

You can use the storage encryption disk modify command to assign a data authentication key to a FIPS drive or SED. Cluster nodes use this key to access data on the drive.

# What you'll need

You must be a cluster administrator to perform this task.

#### About this task

A self-encrypting drive is protected from unauthorized access only if its authentication key ID is set to a non-default value. The manufacturer secure ID (MSID), which has key ID 0x0, is the standard default value for SAS drives. For NVMe drives, the standard default value is a null key, represented as a blank key ID. When you assign the key ID to a self-encrypting drive, the system changes its authentication key ID to a non-default value.

#### Steps

1. Assign a data authentication key to a FIPS drive or SED:

```
storage encryption disk modify -disk disk ID -data-key-id key ID
```

For complete command syntax, see the man page for the command.



You can use the security key-manager key query -key-type NSE-AK command to view key IDs.

cluster1::> storage encryption disk modify -disk 0.10.\* -data-key-id
0000000000000000000000000000010019215b9738bc7b43d4698c80246db1f4

Info: Starting modify on 14 disks.

View the status of the operation by using the storage encryption disk show-status command.

2. Verify that the authentication keys have been assigned:

```
storage encryption disk show
```

For complete command syntax, see the man page.

# Assign a FIPS 140-2 authentication key to a FIPS drive

You can use the storage encryption disk modify command with the -fips-key -id option to assign a FIPS 140-2 authentication key to a FIPS drive. Cluster nodes use this key for drive operations other than data access, such as preventing denial-of-service attacks on the drive.

# What you'll need

The drive firmware must support FIPS 140-2 compliance. The NetApp Interoperability Matrix Tool contains information about supported drive firmware versions.

#### About this task

Your security setup may require you to use different keys for data authentication and FIPS 140-2 authentication. If that is not the case, you can use the same authentication key for FIPS compliance that you use for data access.

# **Steps**

- 1. You must first ensure you have assigned a data authentication key. This can be done with using an external key manager or an onboard key manager. Verify the key is assigned with the command storage encryption disk show.
- 2. Assign a FIPS 140-2 authentication key to SEDs:

```
storage encryption disk modify -disk disk_id -fips-key-id fips authentication key id
```

You can use the security key-manager query command to view key IDs.

3. Verify that the authentication key has been assigned:

```
storage encryption disk show -fips
```

For complete command syntax, see the man page.

# **Enable cluster-wide FIPS-compliant mode for KMIP server connections**

You can use the security config modify command with the -is-fips-enabled option to enable cluster-wide FIPS-compliant mode for data in flight. Doing so forces the cluster to use OpenSSL in FIPS mode when connecting to KMIP servers.

# Before you begin

- The storage controller must be configured in FIPS-compliant mode.
- All KMIP servers must support TLSv1.2. The system requires TLSv1.2 to complete the connection to the KMIP server when cluster-wide FIPS-compliant mode is enabled.

#### About this task

When you enable cluster-wide FIPS-compliant mode, the cluster will automatically use only TLS1.2 and FIPS-validated cipher suites. Cluster-wide FIPS-compliant mode is disabled by default.

You must reboot cluster nodes manually after modifying the cluster-wide security configuration.

#### **Steps**

1. Set the privilege level to advanced:

```
set -privilege advanced
```

2. Verify that TLSv1.2 is supported:

```
security config show -supported-protocols
```

For complete command syntax, see the man page.

3. Enable cluster-wide FIPS-compliant mode:

```
security config modify -is-fips-enabled true -interface SSL
```

For complete command syntax, see the man page.

- 4. Reboot cluster nodes manually.
- 5. Verify that cluster-wide FIPS-compliant mode is enabled:

security config show

# Manage NetApp encryption

# **Unencrypt volume data**

You can use the volume move start command to move and unencrypt volume data.

## What you'll need

You must be a cluster administrator to perform this task, or an SVM administrator to whom the cluster administrator has delegated authority.

Delegating authority to run the volume move command

#### Steps

1. Move an existing encrypted volume and unencrypt the data on the volume:

```
\label{lem:condition} volume \ move \ start \ -vserver \ SVM\_name \ -volume \ volume\_name \ -destination-aggregate \\ aggregate\_name \ -encrypt-destination \ false
```

For complete command syntax, see the man page for the command.

The following command moves an existing volume named vol1 to the destination aggregate aggr3 and unencrypts the data on the volume:

```
cluster1::> volume move start -vserver vs1 -volume vol1 -destination
-aggregate aggr3 -encrypt-destination false
```

The system deletes the encryption key for the volume. The data on the volume is unencrypted.

2. Verify that the volume is disabled for encryption:

```
volume show -encryption
```

For complete command syntax, see the man page for the command.

The following command displays whether volumes on cluster1 are encrypted:

```
cluster1::> volume show -encryption

Vserver Volume Aggregate State Encryption State
-----
vs1 vol1 aggr1 online none
```

# Move an encrypted volume

You can use the volume move start command to move an encrypted volume. The moved volume can reside on the same aggregate or a different aggregate.

#### What you'll need

You must be a cluster administrator to perform this task, or an SVM administrator to whom the cluster administrator has delegated authority.

Delegating authority to run the volume move command

#### About this task

The move will fail if the destination node or destination volume does not support volume encryption.

The -encrypt-destination option for volume move start defaults to true for encrypted volumes. Requiring you to specify explicitly that you do not want the destination volume to be encrypted ensures that you do not inadvertently unencrypt the data on the volume.

#### Steps

1. Move an existing encrypted volume and leave the data on the volume encrypted:

```
volume move start -vserver SVM_name -volume volume_name -destination-aggregate
aggregate_name
```

For complete command syntax, see the man page for the command.

The following command moves an existing volume named vol1 to the destination aggregate aggr3 and leaves the data on the volume encrypted:

```
cluster1::> volume move start -vserver vs1 -volume vol1 -destination
-aggregate aggr3
```

2. Verify that the volume is enabled for encryption:

```
volume show -is-encrypted true
```

For complete command syntax, see the man page for the command.

The following command displays the encrypted volumes on cluster1:

```
Cluster1::> volume show -is-encrypted true

Vserver Volume Aggregate State Type Size Available Used
------ vs1 vol1 aggr3 online RW 200GB 160.0GB 20%
```

# Delegate authority to run the volume move command

You can use the volume move command to encrypt an existing volume, move an encrypted volume, or unencrypt a volume. Cluster administrators can run volume move command themselves, or they can delegate the authority to run the command to SVM administrators.

#### About this task

By default, SVM administrators are assigned the <code>vsadmin</code> role, which does not include the authority to move volumes. You must assign the <code>vsadmin-volume</code> role to SVM administrators to enable them to run the <code>volume</code> move command.

#### Step

1. Delegate authority to run the volume move command:

```
security login modify -vserver SVM\_name -user-or-group-name user\_or\_group\_name -application application -authmethod authentication\_method -role vsadmin-volume
```

For complete command syntax, see the man page for the command.

The following command grants the SVM administrator authority to run the volume move command.

cluster1::>security login modify -vserver engData -user-or-group-name
SVM-admin -application ssh -authmethod domain -role vsadmin-volume

# Change the encryption key for a volume with the volume encryption rekey start command

It is a security best practice to change the encryption key for a volume periodically. Beginning with ONTAP 9.3, you can use the volume encryption rekey start command to change the encryption key.

#### About this task

Once you start a rekey operation, it must complete. There is no returning to the old key. If you encounter a performance issue during the operation, you can run the volume encryption rekey pause command to pause the operation, and the volume encryption rekey resume command to resume the operation.

Until the rekey operation finishes, the volume will have two keys. New writes and their corresponding reads will use the new key. Otherwise, reads will use the old key.



You cannot use volume encryption rekey start to rekey a SnapLock volume.

#### Steps

1. Change an encryption key:

volume encryption rekey start -vserver SVM name -volume volume name

The following command changes the encryption key for vol1 on SVMvs1:

cluster1::> volume encryption rekey start -vserver vs1 -volume vol1

2. Verify the status of the rekey operation:

volume encryption rekey show

For complete command syntax, see the man page for the command.

The following command displays the status of the rekey operation:

```
Cluster1::> volume encryption rekey show

Vserver Volume Start Time Status

------
vs1 vol1 9/18/2017 17:51:41 Phase 2 of 2 is in progress.
```

3. When the rekey operation is complete, verify that the volume is enabled for encryption:

```
volume show -is-encrypted true
```

For complete command syntax, see the man page for the command.

The following command displays the encrypted volumes on cluster1:

```
cluster1::> volume show -is-encrypted true

Vserver Volume Aggregate State Type Size Available Used
------ vs1 vol1 aggr2 online RW 200GB 160.0GB 20%
```

# Change the encryption key for a volume with the volume move start command

It is a security best practice to change the encryption key for a volume periodically. You can use the volume move start command to change the encryption key. You must use volume move start in ONTAP 9.2 and earlier. The moved volume can reside on the same aggregate or a different aggregate.

# What you'll need

You must be a cluster administrator to perform this task, or an SVM administrator to whom the cluster administrator has delegated authority.

Delegating authority to run the volume move command

#### About this task

You cannot use volume move start to rekey a SnapLock or FlexGroup volume.

#### **Steps**

1. Move an existing volume and change the encryption key:

```
volume move start -vserver SVM_name -volume volume_name -destination-aggregate
aggregate name -generate-destination-key true
```

For complete command syntax, see the man page for the command.

The following command moves an existing volume named **vol1** to the destination aggregate **aggr2** and changes the encryption key:

```
cluster1::> volume move start -vserver vs1 -volume vol1 -destination
-aggregate aggr2 -generate-destination-key true
```

A new encryption key is created for the volume. The data on the volume remains encrypted.

2. Verify that the volume is enabled for encryption:

```
volume show -is-encrypted true
```

For complete command syntax, see the man page for the command.

The following command displays the encrypted volumes on cluster1:

```
Cluster1::> volume show -is-encrypted true

Vserver Volume Aggregate State Type Size Available Used
------ vs1 vol1 aggr2 online RW 200GB 160.0GB 20%
```

# Rotate authentication keys for NetApp Storage Encryption

You can rotate authentication keys when using NetApp Storage Encryption (NSE).

#### About this task

Rotating authentication keys in an NSE environment is supported if you are using External Key Manager (KMIP).



Rotating authentication keys in an NSE environment is not supported for Onboard Key Manager (OKM).

#### Steps

1. Use the security key-manager create-key command to generate new authentication keys.

You need to generate new authentication keys before you can change the authentication keys.

2. Use the storage encryption disk modify -disk \* -data-key-id command to change the authentication keys.

# Delete an encrypted volume

You can use the volume delete command to delete an encrypted volume.

# What you'll need

• You must be a cluster administrator to perform this task, or an SVM administrator to whom the cluster administrator has delegated authority.

Delegating authority to run the volume move command

The volume must be offline.

# Step

1. Delete an encrypted volume:

```
volume delete -vserver SVM_name -volume volume_name
```

For complete command syntax, see the man page for the command.

The following command deletes an encrypted volume named vol1:

```
cluster1::> volume delete -vserver vs1 -volume vol1
```

Enter yes when you are prompted to confirm deletion.

The system deletes the encryption key for the volume after 24 hours.

Use volume delete with the -force true option to delete a volume and destroy the corresponding encryption key immediately. This command requires advanced privileges. For more information, see the man page.

## After you finish

You can use the volume recovery-queue command to recover a deleted volume during the retention period after issuing the volume delete command:

```
volume recovery-queue SVM name -volume volume name
```

How to use the Volume Recovery feature

# Securely purge data on an encrypted volume

## Securely purge data on an encrypted volume overview

Beginning with ONTAP 9.4, you can use secure purge to non-disruptively scrub data on NVE-enabled volumes. Scrubbing data on an encrypted volume ensures that it cannot be recovered from the physical media, for example, in cases of "spillage," where data traces may have been left behind when blocks were overwritten, or for securely deleting a vacating tenant's data.

Secure purge works only for previously deleted files on NVE-enabled volumes. You cannot scrub an unencrypted volume. You must use KMIP servers to serve keys, not the onboard key manager.

#### Considerations for using secure purge

- Volumes created in an aggregate enabled for NetApp Aggregate Encryption (NAE) do not support secure purge.
- Secure purge works only for previously deleted files on NVE-enabled volumes.
- You cannot scrub an unencrypted volume.

• You must use KMIP servers to serve keys, not the onboard key manager.

Secure purge functions differently depending upon your version of ONTAP.

#### **ONTAP 9.8 and later**

- Secure purge is supported by MetroCluster and FlexGroup.
- If the volume being purged is the source of a SnapMirror relationship, you do not have to break the SnapMirror relationship to perform a secure purge.
- The re-encryption method is different for volumes using SnapMirror data protection versus volumes not using SnapMirror data protection (DP) or those using SnapMirror extended data protection..
  - By default, volumes using SnapMirror data protection (DP) mode re-encrypt data using the volume move re-encryption method.
  - By default, volumes not using SnapMirror data protection or volumes using SnapMirror extended data protection (XDP) mode use the in-place re-encryption method.
  - These defaults can be changed using the secure purge re-encryption-method [volume-move|in-place-rekey] command.
- By default, all Snapshot copies in FlexVol volumes are automatically deleted during the secure purge operation. By default, Snapshots in FlexGroup volumes and volumes using SnapMirror data protection are not automatically deleted during the secure purge operation. These defaults can be changed using the secure purge delete-all-snapshots [true|false] command.

#### **ONTAP 9.7 and earlier:**

- Secure purge does not support the following:
  - FlexClone
  - SnapVault
  - FabricPool
- If the volume being purged is the source of a SnapMirror relationship, you must break the SnapMirror relationship before you can purge the volume.

If there are busy Snapshot copies in the volume, you must release the Snapshot copies before you can purge the volume. For example, you may need to split a FlexClone volume from its parent.

• Successfully invoking the secure-purge feature triggers a volume move that re-encrypts the remaining, unpurged data with a new key.

The moved volume remains on the current aggregate. The old key is automatically destroyed, ensuring that purged data cannot be recovered from the storage media.

## Securely purge data on an encrypted volume without a SnapMirror relationship

Beginning with ONTAP 9.4, you can use secure-purge to non-disruptively "scrub" data on NVE-enabled volumes.

# What you'll need

- You must be a cluster administrator to perform this task.
- · Advanced privileges are required for this task.

#### About this task

Secure-purge may take from several minutes to many hours to complete, depending on the amount of data in the deleted files. You can use the volume encryption secure-purge show command to view the status of the operation. You can use the volume encryption secure-purge abort command to terminate the operation.



In order to do a secure purge on a SAN host, you must delete the entire LUN containing the files you want to purge, or you must be able to punch holes in the LUN for the blocks that belong to the files you want purge. If you cannot delete the LUN or your host operating system does not support punching holes in the LUN, you cannot perform a secure purge.

#### Steps

- 1. Delete the files or the LUN you want to securely purge.
  - On a NAS client, delete the files you want to securely purge.
  - On a SAN host, delete the LUN you want to securely purge or punch holes in the LUN for the blocks that belong to the files you want to purge.
- 2. On the storage system, change to advanced privilege level:

```
set -privilege advanced
```

3. If the files you want to securely purge are in snapshots, delete the snapshots:

```
snapshot delete -vserver SVM name -volume vol name -snapshot
```

4. Securely purge the deleted files:

```
volume encryption secure-purge start -vserver SVM name -volume volume name
```

The following command securely purges the deleted files on vol1 on SVMvs1:

```
cluster1::> volume encryption secure-purge start -vserver vs1 -volume
vol1
```

5. Verify the status of the secure-purge operation:

```
volume encryption secure-purge show
```

# Securely purge data on an encrypted volume with an Asynchronous SnapMirror relationship

Beginning with ONTAP 9.8, you can use a secure purge to non-disruptively "scrub" data on NVE-enabled volumes with an Asynchronous SnapMirror relationship.

## What you'll need

- You must be a cluster administrator to perform this task.
- · Advanced privileges are required for this task.

#### About this task

Secure-purge may take from several minutes to many hours to complete, depending on the amount of data in

the deleted files. You can use the volume encryption secure-purge show command to view the status of the operation. You can use the volume encryption secure-purge abort command to terminate the operation.



In order to do a secure purge on a SAN host, you must delete the entire LUN containing the files you want to purge, or you must be able to punch holes in the LUN for the blocks that belong to the files you want purge. If you cannot delete the LUN or your host operating system does not support punching holes in the LUN, you cannot perform a secure purge.

# Steps

1. On the storage system, change to advanced privilege level:

```
set -privilege advanced
```

- 2. Delete the files or the LUN you want to securely purge.
  - On a NAS client, delete the files you want to securely purge.
  - On a SAN host, delete the LUN you want to securely purge or punch holes in the LUN for the blocks that belong to the files you want to purge.
- 3. Prepare the destination volume in the Asynchronous relationship to be securely purged:

```
volume encryption secure-purge start -vserver SVM_name -volume volume_name
-prepare true
```

Repeat this step on each volume in your Asynchronous SnapMirror relationship.

4. If the files you want to securely purge are in Snapshot copies, delete the Snapshot copies:

```
snapshot delete -vserver SVM name -volume vol name -snapshot
```

- 5. If the files you want to securely purge are in the base Snapshot copies, do the following:
  - a. Create a Snapshot copy on the destination volume in the Asynchronous SnapMirror relationship:

b. Update SnapMirror to move the base Snapshot copy forward:

```
\verb|snapmirror| update -source-snapshot| \verb|snapshot| name -destination-path| \\ destination_path|
```

Repeat this step for each volume in the Asynchronous SnapMirror relationship.

c. Repeat steps (a) and (b) equal to the number of base Snapshot copies plus one.

For example, if you have two base Snapshot copies, you should repeat steps (a) and (b) three times.

d. Verify that the base Snapshot copy is present:

```
snapshot show -vserver SVM name -volume vol name`
```

e. Delete the base Snapshot copy:

snapshot delete -vserver SVM name -volume vol name -snapshot snapshot

6. Securely purge the deleted files:

volume encryption secure-purge start -vserver SVM name -volume volume name

Repeat this step on each volume in the Asynchronous SnapMirror relationship.

The following command securely purges the deleted files on "vol1" on SVM "vs1":

cluster1::> volume encryption secure-purge start -vserver vs1 -volume
vol1

7. Verify the status of the secure purge operation:

volume encryption secure-purge show

# Scrub data on an encrypted volume with a Synchronous SnapMirror relationship

Beginning with ONTAP 9.8, you can use a secure purge to non-disruptively "scrub" data on NVE-enabled volumes with a Synchronous SnapMirror relationship.

## What you'll need

- You must be a cluster administrator to perform this task.
- · Advanced privileges are required for this task.

#### About this task

A secure purge might take from several minutes to many hours to complete, depending on the amount of data in the deleted files. You can use the volume encryption secure-purge show command to view the status of the operation. You can use the volume encryption secure-purge abort command to terminate the operation.



In order to do a secure purge on a SAN host, you must delete the entire LUN containing the files you want to purge, or you must be able to punch holes in the LUN for the blocks that belong to the files you want purge. If you cannot delete the LUN or your host operating system does not support punching holes in the LUN, you cannot perform a secure purge.

# **Steps**

1. On the storage system, change to advanced privilege level:

```
set -privilege advanced
```

- Delete the files or the LUN you want to securely purge.
  - On a NAS client, delete the files you want to securely purge.
  - On a SAN host, delete the LUN you want to securely purge or punch holes in the LUN for the blocks that belong to the files you want to purge.
- 3. Prepare the destination volume in the Asynchronous relationship to be securely purged:

volume encryption secure-purge start -vserver SVM name -volume volume name

```
-prepare true
```

Repeat this step for the other volume in your Synchronous SnapMirror relationship.

4. If the files you want to securely purge are in Snapshot copies, delete the Snapshot copies:

```
snapshot delete -vserver SVM_name -volume vol_A -snapshot snapshot
```

5. If the secure purge file is in the base or common Snapshot copies, update the SnapMirror to move the common Snapshot copy forward:

```
snapmirror update -source-snapshot snapshot_name -destination-path
destination path
```

There are two common Snapshot copies, so this command must be issued twice.

6. If the secure purge file is in the application-consistent Snapshot copy, delete the Snapshot copy on both volumes in the Synchronous SnapMirror relationship:

```
snapshot delete -vserver SVM_name -volume vol_name -snapshot snapshot
Perform this step on both volumes.
```

7. Securely purge the deleted files:

```
volume encryption secure-purge start -vserver SVM name -volume volume name
```

Repeat this step on each volume in the synchronous SnapMirror relationship.

The following command securely purges the deleted files on "vol1" on SMV "vs1".

```
cluster1::> volume encryption secure-purge start -vserver vs1 -volume
vol1
```

8. Verify the status of the secure purge operation:

```
volume encryption secure-purge show
```

# Change the onboard key management passphrase

It is a security best practice to change the onboard key management passphrase periodically. You should copy the new onboard key management passphrase to a secure location outside the storage system for future use.

#### What you'll need

- You must be a cluster or SVM administrator to perform this task.
- · Advanced privileges are required for this task.

#### Steps

1. Change to advanced privilege level:

2. Change the onboard key management passphrase:

For this ONTAP version	Use this command
ONTAP 9.6 and later	security key-manager onboard update-passphrase
ONTAP 9.5 and earlier	security key-manager update-passphrase

For complete command syntax, see the man pages.

The following ONTAP 9.6 command lets you change the onboard key management passphrase for cluster1:

```
clusterl::> security key-manager onboard update-passphrase
Warning: This command will reconfigure the cluster passphrase for
onboard key management for Vserver "cluster1".
Do you want to continue? {y|n}: y
Enter current passphrase:
Enter new passphrase:
```

- 3. Enter y at the prompt to change the onboard key management passphrase.
- 4. Enter the current passphrase at the current passphrase prompt.
- 5. At the new passphrase prompt, enter a passphrase between 32 and 256 characters, or for "cc-mode", a passphrase between 64 and 256 characters.

If the specified "cc-mode" passphrase is less than 64 characters, there is a five-second delay before the key manager setup operation displays the passphrase prompt again.

6. At the passphrase confirmation prompt, reenter the passphrase.

#### After you finish

In a MetroCluster environment, you must update the passphrase on the partner cluster:

- In ONTAP 9.5 and earlier, you must run security key-manager update-passphrase with the same passphrase on the partner cluster.
- In ONTAP 9.6 and later, you are prompted to run security key-manager onboard sync with the same passphrase on the partner cluster.

You should copy the onboard key management passphrase to a secure location outside the storage system for future use.

You should back up key management information manually whenever you change the onboard key management passphrase.

Backing up onboard key management information manually

# Back up onboard key management information manually

You should copy onboard key management information to a secure location outside the storage system whenever you configure the Onboard Key Manager passphrase.

# What you'll need

- You must be a cluster administrator to perform this task.
- · Advanced privileges are required for this task.

#### About this task

All key management information is automatically backed up to the replicated database (RDB) for the cluster. You should also back up key management information manually for use in case of a disaster.

## **Steps**

1. Change to advanced privilege level:

set -privilege advanced

2. Display the key management backup information for the cluster:

For this ONTAP version	Use this command
ONTAP 9.6 and later	security key-manager onboard show-backup
ONTAP 9.5 and earlier	security key-manager backup show

For complete command syntax, see the man pages.

+

The following 9.6 command displays the key management backup information for cluster1:

+

cluster1::> security key-manager onboard show-backup

-----BEGIN BACKUP--------------------------TmV0QXBwIEtleSBCbG9iAAEAAAAEAAAACAEAAAAAADuD+byAAAAACEAAAAAAAA QAAAAAAAABvOlH0AAAAAMh7qDLRyH1DBz12piVdy9ATSFMT0C0TlYFss4PDjTaV dzRYkLd1PhQLxAWJwOIyqSr8qY1SEBgm1IWgE5DLRqkiAAAAAAAAAACqAAAAAAAA IqAAAAAAAAAAAAAAAAAAEOTcR0AAAAAAAAAAAAAAAAAAAAAAAAAJAGr3tJA/LRzU BsSyV1B4jc4A7cvWEFY61LG6hc6tbKLAHZuvfQ4rIbYAAAAAAAAAAAAAAAAAAAAAA LSqoK/qc8FAmMMcrRXY6uriulnL0WPB/AAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAA qAAAAAAAAAAA3Zq7AAAAAALO7qD20+H8TuGqSauEHoqAyWcLv4uA0m2rrH4nPQM0n 

1. Copy the backup information to a secure location outside the storage system for use in case of a disaster.

# Restore onboard key management encryption keys

If need to restore an onboard key management encryption key, you first verify that a key needs to be restored, then you can set up the Onboard Key Manager to restore the key.

# Before you begin

• If you are using NSE with an external key management (KMIP) server, you must have deleted the external key manager database.

Transitioning to onboard key management from external key management

• You must be a cluster administrator to perform this task.

# Steps for ONTAP 9.6 and later

- Verify that the key needs to be restored: security key-manager key query -node node
- 2. If you are running ONTAP 9.8 and later, and your root volume is encrypted, complete Steps if the root volume is encrypted.

If you are running ONTAP 9.6 or 9.7, or if you are running ONTAP 9.8 or later and your root volume is not encrypted, skip this step.

3. Restore the key:

```
security key-manager onboard sync
```

For complete command syntax, see the man pages.

The following ONTAP 9.6 command synchronize the keys in the onboard key hierarchy:

```
cluster1::> security key-manager onboard sync

Enter the cluster-wide passphrase for onboard key management in Vserver
"cluster1":: <32..256 ASCII characters long text>
```

4. At the passphrase prompt, enter the onboard key management passphrase for the cluster.

## Steps for ONTAP 9.5 and earlier

 Verify that the key needs to be restored: security key-manager key show

2. If you are running ONTAP 9.8 and later, and your root volume is encrypted, complete these steps:

If you are running ONTAP 9.6 or 9.7, or if you are running ONTAP 9.8 or later and your root volume is not encrypted, skip this step.

3. Restore the key:

```
security key-manager setup -node node
```

For complete command syntax, see the man pages.

4. At the passphrase prompt, enter the onboard key management passphrase for the cluster.

# Steps if the root volume is encrypted

If you are running ONTAP 9.8 and later, and your root volume is encrypted, you must set an onboard key management recovery passphrase with the boot menu. This process is also necessary if you do a boot media replacement.

1. Boot the node to the boot menu and select option (10) Set onboard key management recovery secrets.

- 2. Enter y to use this option.
- 3. At the prompt, enter the onboard key management passphrase for the cluster.
- 4. At the prompt, enter the backup key data.

The node returns to the boot menu.

5. From the boot menu, select option (1) Normal Boot.

# Restore external key management encryption keys

You can manually restore external key management encryption keys and "push" them to a different node. You might want to do this if you are restarting a node that was down temporarily when you created the keys for the cluster.

## What you'll need

You must be a cluster or SVM administrator to perform this task.

#### About this task

In ONTAP 9.6 and later, you can use the security key-manager key query -node node\_name command to verify if your key needs to be restored.

In ONTAP 9.5 and earlier, you can use the security key-manager key show command to verify if your key needs to be restored.

#### **Steps**

1. If you are running ONTAP 9.8 or later and your root volume is encrypted, do the following:

If you are running ONTAP 9.7 or earlier, or if you are running ONTAP 9.8 or later and your root volume is not encrypted, skip this step.

a. Set the bootargs:

```
setenv kmip.init.ipaddr <ip-address>
setenv kmip.init.netmask <netmask>
setenv kmip.init.gateway <gateway>
setenv kmip.init.interface e0M
boot_ontap
```

- b. Boot the node to the boot menu and select option (11) Configure node for external key management.
- c. Follow prompts to enter management certificate.

After all management certificate information is entered, the system returns to the boot menu.

- d. From the boot menu, select option (1) Normal Boot.
- 2. Restore the key:

For this ONTAP version	Use this command
ONTAP 9.6 and later	security key-manager external restore -vserver SVM -node node -key-server host_name IP_address:port -key-id key_id -key-tag key_tag
ONTAP 9.5 and earlier	security key-manager restore -node node -address IP_address -key-id key_id -key-tag key_tag



node defaults to all nodes. For complete command syntax, see the man pages. This command is not supported when onboard key management is enabled.

The following ONTAP 9.6 command restores external key management authentication keys to all nodes in cluster1:

```
clusterl::> security key-manager external restore
```

# Replace SSL certificates

All SSL certificates have an expiration date. You must update your certificates before they expire to prevent loss of access to authentication keys.

# Before you begin

- You must have obtained the replacement public certificate and private key for the cluster (KMIP client certificate).
- You must have obtained the replacement public certificate for the KMIP server (KMIP server-ca certificate).
- You must be a cluster or SVM administrator to perform this task.



You can install the replacement client and server certificates on the KMIP server before or after installing the certificates on the cluster.

# Steps

1. Install the new KMIP server-ca certificate:

```
security certificate install -type server-ca -vserver <>
```

2. Install the new KMIP client certificate:

```
security certificate install -type client -vserver <>
```

3. Update the key manager configuration to use the newly installed certificates:

```
security key-manager external modify -vserver <> -client-cert <> -server-ca
-certs <>
```



Updating the key manager configuration to use the newly installed certificates will return an error if the public/private keys of the new client certificate are different from the keys previously installed. See the Knowledge Base article The new client certificate public or private keys are different from the existing client certificate for instructions on how to override this error.

# Replace a FIPS drive or SED

You can replace a FIPS drive or SED the same way you replace an ordinary disk. Make sure to assign new data authentication keys to the replacement drive. For a FIPS drive, you may also want to assign a new FIPS 140-2 authentication key.



If an HA pair is using encrypting SAS or NVMe drives (SED, NSE, FIPS), you must follow the instructions in the topic Returning a FIPS drive or SED to unprotected mode for all drives within the HA pair prior to initializing the system (boot options 4 or 9). Failure to do this may result in future data loss if the drives are repurposed.

# What you'll need

- You must know the key ID for the authentication key used by the drive.
- You must be a cluster administrator to perform this task.

## Steps

1. Ensure that the disk has been marked as failed:

```
storage disk show -broken
```

For complete command syntax, see the man page.

```
cluster1::> storage disk show -broken
Original Owner: cluster1-01
 Checksum Compatibility: block
                                                           Usable
Physical
   Disk
         Outage Reason HA Shelf Bay Chan Pool Type
                                                     RPM
                                                            Size
Size
   0.0.0 admin failed 0b
                                0 A Pool0 FCAL 10000 132.8GB
133.9GB
   0.0.7 admin removed 0b 2 6 A Pool1 FCAL 10000 132.8GB
134.2GB
[...]
```

- 2. Remove the failed disk and replace it with a new FIPS drive or SED, following the instructions in the hardware guide for your disk shelf model.
- 3. Assign ownership of the newly replaced disk:

```
storage disk assign -disk disk name -owner node
```

For complete command syntax, see the man page.

```
cluster1::> storage disk assign -disk 2.1.1 -owner cluster1-01
```

4. Confirm that the new disk has been assigned:

```
storage encryption disk show
```

For complete command syntax, see the man page.

5. Assign the data authentication keys to the FIPS drive or SED.

Assigning a data authentication key to a FIPS drive or SED (external key management)

6. If necessary, assign a FIPS 140-2 authentication key to the FIPS drive.

Assigning a FIPS 140-2 authentication key to a FIPS drive

# Make data on a FIPS drive or SED inaccessible

#### Make data on a FIPS drive or SED inaccessible overview

If you want to make data on a FIPS drive or SED permanently inaccessible, but keep the drive's unused space available for new data, you can sanitize the disk. If you want to make data permanently inaccessible and you do not need to reuse the drive, you can destroy it.

· Disk sanitization

When you sanitize a self-encrypting drive, the system changes the disk encryption key to a new random

value, resets the power-on lock state to false, and sets the key ID to a default value, either the manufacturer secure ID 0x0 (SAS drives) or a null key (NVMe drives). Doing so renders the data on the disk inaccessible and impossible to retrieve. You can reuse sanitized disks as non-zeroed spare disks.

Disk destroy

When you destroy a FIPS drive or SED, the system sets the disk encryption key to an unknown random value and locks the disk irreversibly. Doing so renders the disk permanently unusable and the data on it permanently inaccessible.

You can sanitize or destroy individual self-encrypting drives, or all the self-encrypting drives for a node.

#### Sanitize a FIPS drive or SED

If you want to make data on a FIPS drive or SED permanently inaccessible, and use the drive for new data, you can use the storage encryption disk sanitize command to sanitize the drive.

# What you'll need

You must be a cluster administrator to perform this task.

#### About this task

When you sanitize a self-encrypting drive, the system changes the disk encryption key to a new random value, resets the power-on lock state to false, and sets the key ID to a default value, either the manufacturer secure ID 0x0 (SAS drives) or a null key (NVMe drives). Doing so renders the data on the disk inaccessible and impossible to retrieve. You can reuse sanitized disks as non-zeroed spare disks.

#### Steps

- 1. Migrate any data that needs to be preserved to an aggregate on another disk.
- 2. Delete the aggregate on the FIPS drive or SED to be sanitized:

```
storage aggregate delete -aggregate aggregate name
```

For complete command syntax, see the man page.

```
cluster1::> storage aggregate delete -aggregate aggr1
```

3. Identify the disk ID for the FIPS drive or SED to be sanitized:

```
storage encryption disk show -fields data-key-id, fips-key-id, owner
```

For complete command syntax, see the man page.

4. If a FIPS drive is running in FIPS-compliance mode, set the FIPS authentication key ID for the node back to the default MSID 0x0:

```
storage encryption disk modify -disk disk\_id -fips-key-id 0x0
```

You can use the security key-manager query command to view key IDs.

```
cluster1::> storage encryption disk modify -disk 1.10.2 -fips-key-id 0x0
Info: Starting modify on 1 disk.
    View the status of the operation by using the storage encryption disk show-status command.
```

5. Sanitize the drive:

```
storage encryption disk sanitize -disk disk id
```

You can use this command to sanitize hot spare or broken disks only. To sanitize all disks regardless of type, use the -force-all-state option. For complete command syntax, see the man page.



You are prompted to enter a confirmation phrase before continuing. Enter the phrase exactly as shown on the screen.

## Destroy a FIPS drive or SED

If you want to make data on a FIPS drive or SED permanently inaccessible and you do not need to reuse the drive, you can use the storage encryption disk destroy command to destroy the disk.

# What you'll need

You must be a cluster administrator to perform this task.

#### About this task

When you destroy a FIPS drive or SED, the system sets the disk encryption key to an unknown random value and locks the drive irreversibly. Doing so renders the disk virtually unusable and the data on it permanently inaccessible. However, you can reset the disk to its factory-configured settings using the physical secure ID (PSID) printed on the disk's label. For more information, see Returning a FIPS drive or SED to service when authentication keys are lost.



You should not destroy a FIPS drive or SED unless you have the Non-Returnable Disk Plus service (NRD Plus). Destroying a disk voids its warranty.

#### **Steps**

- 1. Migrate any data that needs to be preserved to an aggregate on another different disk.
- 2. Delete the aggregate on the FIPS drive or SED to be destroyed:

```
storage aggregate delete -aggregate aggregate name
```

For complete command syntax, see the man page.

```
cluster1::> storage aggregate delete -aggregate aggr1
```

3. Identify the disk ID for the FIPS drive or SED to be destroyed:

```
storage encryption disk show
```

For complete command syntax, see the man page.

#### 4. Destroy the disk:

storage encryption disk destroy -disk disk id

For complete command syntax, see the man page.



You are prompted to enter a confirmation phrase before continuing. Enter the phrase exactly as shown on the screen.

cluster1::> storage encryption disk destroy -disk 1.10.2

Warning: This operation will cryptographically destroy 1 spare or broken self-encrypting disks on 1 node.
 You cannot reuse destroyed disks unless you revert them to their original state using the PSID value.
 To continue, enter destroy disk :destroy disk
 :destroy disk

Info: Starting destroy on 1 disk.
 View the status of the operation by using the "storage encryption disk show-status" command.

## Emergency shredding of data on a FIPS drive or SED

In case of a security emergency, you can instantly prevent access to a FIPS drive or SED, even if power is not available to the storage system or the KMIP server.

# What you'll need

- If you are using a KMIP server that has no available power, the KMIP server must be configured with an easily destroyed authentication item (for example, a smart card or USB drive).
- You must be a cluster administrator to perform this task.

#### Step

1. Perform emergency shredding of data on a FIPS drive or SED:

If	Then

Power is available to the storage system and you have time to take the storage system offline gracefully

- a. If the storage system is configured as an HA pair, disable takeover.
- b. Take all aggregates offline and delete them.
- c. Set the privilege level to advanced:

```
set -privilege advanced
```

d. If the drive is in FIPS-compliance mode, set the FIPS authentication key ID for the node back to the default MSID:

```
storage encryption disk modify -disk * -fips-key -id 0x0
```

- e. Halt the storage system.
- f. Boot into maintenance mode.
- g. Sanitize or destroy the disks:
  - If you want to make the data on the disks inaccessible and still be able to reuse the disks, sanitize the disks:

```
disk encrypt sanitize -all
```

 If you want to make the data on the disks inaccessible and you do not need to save the disks, destroy the disks:

```
disk encrypt destroy disk id1 disk id2 ...
```



The disk encrypt sanitize and disk encrypt destroy commands are reserved for maintenance mode only. These commands must be run on each HA node, and are not available for broken disks.

h. Repeat these steps for the partner node.

This leaves the storage system in a permanently disabled state with all data erased. To use the system again, you must reconfigure it.

ower is available to the storage ystem and you must shred the ata immediately	a. If you want to make the data on the disks inaccessible and still be able to reuse the disks, sanitize the disks:	<ul> <li>a. If you want to make the data on the disks inaccessible and you do not need to save the disks, destroy the disks:</li> </ul>	
	<ul> <li>b. If the storage system is configured as an HA pair, disable takeover.</li> </ul>	<ul> <li>b. If the storage system is configured as an HA pair, disable takeover.</li> </ul>	
	c. Set the privilege level to advanced:	c. Set the privilege level to advanced:	
	set -privilege advanced	set -privilege advanced	
	d. If the drive is in FIPS- compliance mode, set the FIPS authentication key ID for the node back to the default MSID:	<pre>d. Destroy the disks:    storage encryption    disk destroy -disk *    -force-all-states true</pre>	
	storage encryption disk modify -disk * -fips-key-id 0x0		
	e. Sanitize the disk:		
	storage encryption disk sanitize -disk * -force-all-states true		
	The storage system panics, leaving disabled state with all data erased. reconfigure it.		
Power is available to the KMIP	a. Log in to the KMIP server.		
server but not to the storage system	<ul> <li>Destroy all keys associated with the FIPS drives or SEDs that contain the data you want to prevent access to.</li> <li>This prevents access to disk encryption keys by the storage system.</li> </ul>		
Power is not available to the KMIP server or the storage system	Destroy the authentication item for the KMIP server (for example, the smart card). This prevents access to disk encryption keys by the storage system.		

For complete command syntax, see the man pages.

# Return a FIPS drive or SED to service when authentication keys are lost

The system treats a FIPS drive or SED as broken if you lose the authentication keys for it permanently and cannot retrieve them from the KMIP server. Although you cannot access

or recover the data on the disk, you can take steps to make the SED's unused space available again for data.

# Before you begin

You must be a cluster administrator to perform this task.

#### About this task

You should use this process only if you are certain that the authentication keys for the FIPS drive or SED are permanently lost and that you cannot recover them.

If the disks are partitioned, they must first be unpartitioned before you can start this process. include::../\_include/unpartition-disk.adoc[]

# **Steps**

1. Return a FIPS drive or SED to service:

If the SEDS are	Use these steps	
Not in FIPS-compliance node, or in FIPS- compliance mode and he FIPS key is available	<ul> <li>a. Set the privilege level to advanced: set -privilege advanced</li> <li>b. Reset the FIPS key to the default manufacture secure ID 0x0: storage encryption disk modify -fips-key-id 0x0 -disk disk_id</li> </ul>	
	c. Verify the operation succeeded: storage encryption disk show-status If the operation failed, use the PSID process in this topic.	
	d. Sanitize the broken disk: storage encryption disk sanitize -disk disk_id Verify the operation succeeded with the command storage encryption disk show-status before proceeding to the next step.	
	<pre>e. Unfail the sanitized disk:    storage disk unfail -spare true -disk disk_id</pre>	
	f. Check whether the disk has an owner: storage disk show -disk disk_id	
	g. If the disk does not have an owner, assign one, then unfail the disk again: storage disk assign -owner node -disk disk_id storage disk unfail -spare true -disk disk_id	
	h. Verify that the disk is now a spare and ready to be reused in an aggregate: storage disk show -disk disk_id	

In FIPS-compliance mode, the FIPS key is not available, and the SEDs have a PSID printed on the label

- a. Obtain the PSID of the disk from the disk label.
- b. Set the privilege level to advanced: set -privilege advanced
- c. Reset the disk to its factory-configured settings: storage encryption disk revert-to-original-state -disk disk\_id -psid disk\_physical\_secure\_id Verify the operation succeeded with the command storage encryption disk show-status before proceeding to the next step.
- d. Unfail the sanitized disk: storage disk unfail -spare true -disk disk\_id
- e. Check whether the disk has an owner: storage disk show -disk disk id
- f. If the disk does not have an owner, assign one, then unfail the disk again: storage disk assign -owner node -disk disk\_id storage disk unfail -spare true -disk disk\_id
- g. Verify that the disk is now a spare and ready to be reused in an aggregate: storage disk show -disk disk\_id

For complete command syntax, see the man pages.

# Return a FIPS drive or SED to unprotected mode

A FIPS drive or SED is protected from unauthorized access only if the authentication key ID for the node is set to a value other than the default. You can return a FIPS drive or SED to unprotected mode by using the storage encryption disk modify command to set the key ID to the default.

If an HA pair is using encrypting SAS or NVMe drives (SED, NSE, FIPS), you must follow this process for all drives within the HA pair prior to initializing the system (boot options 4 or 9). Failure to do this may result in future data loss if the drives are repurposed.

### What you'll need

You must be a cluster administrator to perform this task.

#### Steps

1. Set the privilege level to advanced:

```
set -privilege advanced
```

2. If a FIPS drive is running in FIPS-compliance mode, set the FIPS authentication key ID for the node back to the default MSID 0x0:

```
storage encryption disk modify -disk disk id -fips-key-id 0x0
```

You can use the security key-manager query command to view key IDs.

cluster1::> storage encryption disk modify -disk 2.10.11 -fips-key-id 0x0

Info: Starting modify on 14 disks.
 View the status of the operation by using the
 storage encryption disk show-status command.

Confirm the operation succeeded with the command:

storage encryption disk show-status

Repeat the show-status command until the numbers in "Disks Begun" and "Disks Done" are the same.

3. Set the data authentication key ID for the node back to the default MSID 0x0:

storage encryption disk modify -disk disk id -data-key-id 0x0

The value of -data-key-id should be set to 0x0 whether you are returning a SAS or NVMe drive to unprotected mode.

You can use the security key-manager query command to view key IDs.

cluster1::> storage encryption disk modify -disk 2.10.11 -data-key-id 0x0

Info: Starting modify on 14 disks.
View the status of the operation by using the storage encryption disk show-status command.

Confirm the operation succeeded with the command:

storage encryption disk show-status

Repeat the show-status command until the numbers are the same. The operation is complete when the numbers in "disks begun" and "disks done" are the same.

#### Maintenance mode

Beginning with ONTAP 9.7, you can rekey a FIPS drive from maintenance mode. You should only use maintenance mode if you cannot use the ONTAP CLI instructions in the earlier section.

#### **Steps**

1. Set the FIPS authentication key ID for the node back to the default MSID 0x0:

```
disk encrypt rekey fips 0x0 disklist
```

2. Set the data authentication key ID for the node back to the default MSID 0x0:

```
disk encrypt rekey 0x0 disklist
```

3. Confirm the FIPS authentication key was successfully rekeyed:

```
disk encrypt show fips
```

4. Confirm data authentication key was successfully rekeyed with:

```
disk encrypt show
```

# Remove an external key manager connection

You can disconnect a KMIP server from a node when you no longer need the server. For example, you might disconnect a KMIP server when you are transitioning to volume encryption.

#### What you'll need

You must be a cluster or SVM administrator to perform this task.

#### About this task

When you disconnect a KMIP server from one node in an HA pair, the system automatically disconnects the server from all cluster nodes.



If you plan to continue using external key management after disconnecting a KMIP server, make sure another KMIP server is available to serve authentication keys.

#### Step

1. Disconnect a KMIP server from the current node:

For this ONTAP version	Use this command	
ONTAP 9.6 and later	<pre>security key-manager external remove-servers -vserver SVM -key-servers host_name IP_address:port,</pre>	

security key-manager delete -address key_management_server_ipaddress

For complete command syntax, see the man pages.

The following ONTAP 9.6 command disables the connections to two external key management servers for cluster1, the first named ks1, listening on the default port 5696, the second with the IP address 10.0.0.20, listening on port 24482:

```
clusterl::> security key-manager external remove-servers -vserver cluster-1 -key-servers ks1,10.0.0.20:24482
```

# Modify external key management server properties

Beginning with ONTAP 9.6, you can use the security key-manager external modify-server command to change the I/O timeout and user name of an external key management server.

## Before you begin

- You must be a cluster or SVM administrator to perform this task.
- · Advanced privileges are required for this task.

#### **Steps**

1. On the storage system, change to advanced privilege level:

```
set -privilege advanced
```

2. Modify external key manager server properties for the cluster:

```
security key-manager external modify-server -vserver admin_SVM -key-server
host_name|IP_address:port,... -timeout 1...60 -username user_name
```



The timeout value is expressed in seconds. If you modify the user name, you are prompted to enter a new password. If you run the command at the cluster login prompt, <code>admin\_SVM</code> defaults to the admin SVM of the current cluster. You must be the cluster administrator to modify external key manager server properties.

The following command changes the timeout value to 45 seconds for the cluster1 external key management server listening on the default port 5696:

```
clusterl::> security key-manager external modify-server -vserver
cluster1 -key-server ks1.local -timeout 45
```

3. Modify external key manager server properties for an SVM (NVE only):

```
security key-manager external modify-server -vserver SVM -key-server
```

host\_name|IP\_address:port,... -timeout 1...60 -username user\_name



The timeout value is expressed in seconds. If you modify the user name, you are prompted to enter a new password. If you run the command at the SVM login prompt, SVM defaults to the current SVM. You must be the cluster or SVM administrator to modify external key manager server properties.

The following command changes the username and password of the svm1 external key management server listening on the default port 5696:

```
svml::> security key-manager external modify-server -vserver svm11 -key
-server ks1.local -username svmluser
Enter the password:
Reenter the password:
```

4. Repeat the last step for any additional SVMs.

# Transition to external key management from onboard key management

If you want to switch to external key management from onboard key management, you must delete the onboard key management configuration before you can enable external key management.

#### What you'll need

• For hardware-based encryption, you must reset the data keys of all FIPS drives or SEDs to the default value.

## Returning a FIPS drive or SED to unprotected mode

• For software-based encryption, you must unencrypt all volumes.

#### Unencrypting volume data

You must be a cluster administrator to perform this task.

#### Step

1. Delete the onboard key management configuration for a cluster:

For this ONTAP version	Use this command	
ONTAP 9.6 and later	security key-manager onboard disable -vserver SVM	
ONTAP 9.5 and earlier	security key-manager delete-key-database	

For complete command syntax, see the ONTAP manual pages.

# Transition to onboard key management from external key management

If you want to switch to onboard key management from external key management, you must delete the external key management configuration before you can enable onboard key management.

#### Before you begin

• For hardware-based encryption, you must reset the data keys of all FIPS drives or SEDs to the default value.

# Returning a FIPS drive or SED to unprotected mode

You must have deleted all external key manager connections.

# Deleting an external key manager connection

• You must be a cluster administrator to perform this task.

#### **Procedure**

#### **ONTAP 9.6 and later**

1. Change to the advanced privilege level:

```
set -privilege advanced
```

2. Use the command:

```
security key-manager external disable -vserver admin SVM
```

#### **ONTAP 9.5 and earlier**

Use the command:

security key-manager delete-kmip-config

# What happens when key management servers are not reachable during the boot process

ONTAP takes certain precautions to avoid undesired behavior in the event that a storage system configured for NSE cannot reach any of the specified key management servers during the boot process.

If the storage system is configured for NSE, the SEDs are rekeyed and locked, and the SEDs are powered on, the storage system must retrieve the required authentication keys from the key management servers to authenticate itself to the SEDs before it can access the data.

The storage system attempts to contact the specified key management servers for up to three hours. If the storage system cannot reach any of them after that time, the boot process stops and the storage system halts.

If the storage system successfully contacts any specified key management server, it then attempts to establish an SSL connection for up to 15 minutes. If the storage system cannot establish an SSL connection with any specified key management server, the boot process stops and the storage system halts.

While the storage system attempts to contact and connect to key management servers, it displays detailed information about the failed contact attempts at the CLI. You can interrupt the contact attempts at any time by pressing Ctrl-C.

As a security measure, SEDs allow only a limited number of unauthorized access attempts, after which they disable access to the existing data. If the storage system cannot contact any specified key management servers to obtain the proper authentication keys, it can only attempt to authenticate with the default key which leads to a failed attempt and a panic. If the storage system is configured to automatically reboot in case of a panic, it enters a boot loop which results in continuous failed authentication attempts on the SEDs.

Halting the storage system in these scenarios is by design to prevent the storage system from entering a boot loop and possible unintended data loss as a result of the SEDs locked permanently due to exceeding the safety limit of a certain number of consecutive failed authentication attempts. The limit and the type of lockout protection depends on the manufacturing specifications and type of SED:

SED type	Number of consecutive failed authentication attempts resulting in lockout	Lockout protection type when safety limit is reached
HDD	1024	Permanent. Data cannot be recovered, even when the proper authentication key becomes available again.
X440_PHM2800MCTO 800GB NSE SSDs with firmware revisions NA00 or NA01	5	Temporary. Lockout is only in effect until disk is power-cycled.
X577_PHM2800MCTO 800GB NSE SSDs with firmware revisions NA00 or NA01	5	Temporary. Lockout is only in effect until disk is power-cycled.
X440_PHM2800MCTO 800GB NSE SSDs with higher firmware revisions	1024	Permanent. Data cannot be recovered, even when the proper authentication key becomes available again.
X577_PHM2800MCTO 800GB NSE SSDs with higher firmware revisions	1024	Permanent. Data cannot be recovered, even when the proper authentication key becomes available again.
All other SSD models	1024	Permanent. Data cannot be recovered, even when the proper authentication key becomes available again.

For all SED types, a successful authentication resets the try count to zero.

If you encounter this scenario where the storage system is halted due to failure to reach any specified key management servers, you must first identify and correct the cause for the communication failure before you attempt to continue booting the storage system.

# Disable encryption by default with ONTAP 9.7 and later

Beginning with ONTAP 9.7, aggregate and volume encryption is enabled by default if you have a volume encryption (VE) license and use an onboard or external key manager. You can disable encryption by default for the entire cluster, if required.

## What you'll need

You must be a cluster administrator to perform this task, or an SVM administrator to whom the cluster administrator has delegated authority.

# Step

1. To disable encryption by default for the entire cluster in ONTAP 9.7 or later, run the following command:

options -option-name encryption.data\_at\_rest\_encryption.disable\_by\_default -option-value on

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