



# **S3 object storage management**

## **ONTAP 9**

NetApp  
January 18, 2023

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# S3 object storage management

## S3 configuration

### S3 configuration overview

Beginning with ONTAP 9.8, you can enable an ONTAP Simple Storage Service (S3) object storage server in an ONTAP cluster.

ONTAP supports two on-premises use case scenarios for serving S3 object storage:

- FabricPool tier to a bucket on local cluster (tier to a local bucket) or remote cluster (cloud tier).
- S3 client app access to a bucket on the local cluster or a remote cluster.

Beginning with ONTAP 9.12.1, you can enable an S3 object storage server on an SVM in an unmirrored aggregate in a MetroCluster IP configuration. For more information on the limitations of unmirrored aggregates in MetroCluster IP configurations, see [Considerations for unmirrored aggregates](#).

You should use these procedures if you want to configure S3 object storage in the following way:

- You want to provide S3 object storage from an existing cluster running ONTAP.

ONTAP S3 is appropriate if you want S3 capabilities on existing clusters without additional hardware and management. For deployments larger than 300TB, NetApp StorageGRID software continues to be the NetApp flagship solution for object storage. For more information, see the [StorageGRID documentation](#).

- You have cluster administrator privileges, not SVM administrator privileges.

### S3 configuration with System Manager and the ONTAP CLI

You can configure and manage ONTAP S3 with System Manager and the ONTAP CLI. When you enable S3 and create buckets using System Manager, ONTAP selects best-practice defaults for simplified configuration. If you need to specify configuration parameters, you might want to use the ONTAP CLI. If you configure the S3 server and buckets from the CLI, you can still manage them with System Manager if desired, or vice-versa.

When you create an S3 bucket using System Manager, ONTAP configures a default performance service level that is the highest available on your system. For example, on an AFF system, the default setting would be **Extreme**. Performance service levels are predefined adaptive Quality of Service (QoS) policy groups. Instead of one of the default service levels, you can specify a custom QoS policy group or no policy group.

Predefined adaptive QoS policy groups are:

- **Extreme**: Used for applications that expect the lowest latency and highest performance.
- **Performance**: Used for applications with modest performance needs and latency.
- **Value**: Used for applications for which throughput and capacity are more important than latency.
- **Custom**: Specify a custom QoS policy or no QoS policy.

If you select **Use for tiering**, no performance service levels are selected, and the system tries to select low-cost media with optimal performance for the tiered data.

See also: [Use adaptive QoS policy groups](#).

ONTAP tries to provision this bucket on local tiers that have the most appropriate disks, satisfying the chosen service level. However, if you need to specify which disks to include in the bucket, consider configuring S3 object storage from the CLI by specifying the local tiers (aggregate). If you configure the S3 server from the CLI, you can still manage it with System Manager if desired.

If you want the ability to specify which aggregates are used for buckets, you can only do so using the CLI.

### Configuring S3 buckets on Cloud Volumes ONTAP

If you want to serve buckets from Cloud Volumes ONTAP, it is strongly recommended that you manually select the underlying aggregates to ensure that they are using one node only. Using aggregates from both nodes can impact performance, because the nodes will be in geographically separated availability zones and hence susceptible to latency issues. Therefore, in Cloud Volumes ONTAP environments, you should [configure S3 buckets from the CLI](#).

Otherwise, S3 servers on Cloud Volumes ONTAP are configured and maintained the same in Cloud Volumes ONTAP as in on-premises environments.

## S3 support in ONTAP 9

### ONTAP S3 architecture and use cases

In ONTAP, the underlying architecture for a bucket is a FlexGroup volume—a single namespace that is made up of multiple constituent member volumes but is managed as a single volume.



Buckets are only limited by the physical maximums of the underlying hardware, architectural maximums could be higher. Buckets can take advantage of FlexGroup elastic sizing to automatically grow a constituent of a FlexGroup volume if it is running out of space. There is a limit of 1000 buckets per FlexGroup volume, or 1/3 of the FlexGroup volume's capacity (to account for data growth in buckets).



No NAS or SAN protocol access is permitted to the FlexGroup volume that contain S3 buckets.

Access to the bucket is provided through authorized users and client applications.



There are three primary use cases for client access to ONTAP S3 services:

- For ONTAP systems using ONTAP S3 as a remote FabricPool capacity (cloud) tier

The S3 server and bucket containing the capacity tier (for *cold* data) is on a different cluster than the performance tier (for *hot* data).

- For ONTAP systems using ONTAP S3 as a local FabricPool tier

The S3 server and bucket containing the capacity tier is on the same cluster, but on a different HA pair, as the performance tier.

- For external S3 client apps

ONTAP S3 serves S3 client apps run on non-NetApp systems.

It is a best practice to provide access to ONTAP S3 buckets using HTTPS. When HTTPS is enabled, security certificates are required for proper integration with SSL/TLS. Client users' access and secret keys are then required to authenticate the user with ONTAP S3 as well as authorizing the users' access permissions for operations within ONTAP S3. The client application should also have access to the root CA certificate (the ONTAP S3 server's signed certificate) to be able to authenticate the server and create a secure connection between client and server.

Users are created within the S3-enabled SVM, and their access permissions can be controlled at the bucket or SVM level; that is, they can be granted access to one or more buckets within the SVM.

HTTPS is enabled by default on ONTAP S3 servers. It is possible to disable HTTPS and enable HTTP for client access, in which case authentication using CA certificates is not required. However, when HTTP is enabled and HTTPS is disabled, all communication with the ONTAP S3 server are sent over the network in clear text.

For additional information, see [Technical Report: S3 in ONTAP Best Practices](#)

## Related information

## ONTAP version support for S3 object storage

ONTAP supports S3 object storage for on-premises environments beginning with ONTAP 9.8. Cloud Volumes ONTAP supports S3 object storage for cloud environments beginning with ONTAP 9.9.1.

### S3 support with Cloud Volumes ONTAP

ONTAP S3 is configured and functions the same in Cloud Volumes ONTAP as in on-premises environments, with one exception:

- Underlying aggregates should be from one node only. Learn more about [bucket creation in CVO environments](#).

Cloud Provider	ONTAP Version
Azure	ONTAP 9.9.1 and later
AWS	ONTAP 9.11.0 and later
Google Cloud	Not currently supported

### S3 public preview in ONTAP 9.7

In ONTAP 9.7, S3 object storage was introduced as a public preview. That version was not intended for production environments and will no longer be updated as of ONTAP 9.8. Only ONTAP 9.8 and later releases support S3 object storage in production environments.

S3 buckets created with the 9.7 public preview can be used in ONTAP 9.8 and later, but cannot take advantage of feature enhancements. If you have buckets created with the 9.7 public preview, you should migrate the contents of those buckets to 9.8 buckets for feature support, security, and performance enhancements.

## ONTAP S3 supported actions

ONTAP S3 actions are supported by standard S3 REST APIs except as indicated below. For details, see the [Amazon S3 API Reference](#).

### Bucket operations

The following operations are supported using ONTAP REST APIs in ONTAP releases where AWS S3 REST API support is not available:

- bucket creation and deletion
- bucket policy creation, modification, and deletion

Bucket operation	ONTAP support beginning with
CreateBucket	ONTAP 9.11.1
DeleteBucket	ONTAP 9.11.1
DeleteBucketPolicy	ONTAP 9.12.1
GetBucketAcl	ONTAP 9.8

Bucket operation	ONTAP support beginning with
GetBucketPolicy	ONTAP 9.12.1
HeadBucket	ONTAP 9.8
ListBuckets	ONTAP 9.8
PutBucket*	ONTAP 9.8 * supported with ONTAP REST APIs only
PutBucketPolicy	ONTAP 9.12.1

## Object operations

Beginning with ONTAP 9.9.1, ONTAP S3 supports object metadata and tagging.

- PutObject and CreateMultipartUpload now include key-value pairs using `x-amz-meta-<key>`.

For example: `x-amz-meta-project: ontap_s3`.

- GetObject. and HeadObject now return user-defined metadata.
- Unlike metadata, tags can be read independently of objects using:
  - PutObjectTagging
  - GetObjectTagging
  - DeleteObjectTagging

Beginning with ONTAP 9.11.1, ONTAP S3 supports object versioning and associated actions with these ONTAP APIs:

- GetBucketVersioning
- ListBucketVersions
- PutBucketVersioning

Object operation	ONTAP support beginning with
AbortMultipartUpload	ONTAP 9.8
CompleteMultipartUpload	ONTAP 9.8
CopyObject	ONTAP 9.12.1
CreateMultipartUpload	ONTAP 9.8
DeleteObject	ONTAP 9.8
DeleteObjects	ONTAP 9.11.1
DeleteObjectTagging	ONTAP 9.9.1
GetBucketVersioning	ONTAP 9.11.1
GetObject	ONTAP 9.8
GetObjectAcl	ONTAP 9.8
GetObjectTagging	ONTAP 9.9.1

Object operation	ONTAP support beginning with
HeadObject	ONTAP 9.8
ListMultipartUpload	ONTAP 9.8
ListObjects	ONTAP 9.8
ListObjectsV2	ONTAP 9.8
ListBucketVersions	ONTAP 9.11.1
ListParts	ONTAP 9.8
PutBucketVersioning	ONTAP 9.11.1
PutObject	ONTAP 9.8
PutObjectTagging	ONTAP 9.9.1
UploadPart	ONTAP 9.8
UploadPartCopy	ONTAP 9.12.1

### Group policies

These operations are not specific to S3 and are generally associated with Identity and Management (IAM) processes. ONTAP supports these commands but does not use the IAM REST APIs.

- Create Policy
- AttachGroup Policy

### User management

These operations are not specific to S3 and are generally associated with IAM processes.

- CreateUser
- DeleteUser
- CreateGroup
- DeleteGroup

### ONTAP S3 interoperability

The ONTAP S3 server interacts normally with other ONTAP functionality except as noted in this table.

Feature area	Supported	Not supported
Cloud Volumes ONTAP	<ul style="list-style-type: none"> <li>• Azure clients in ONTAP 9.9.1 and later releases</li> <li>• AWS clients in ONTAP 9.11.0 and later releases</li> <li>• Google Cloud clients in ONTAP 9.12.1 and later releases</li> </ul>	<ul style="list-style-type: none"> <li>• Cloud Volumes ONTAP for any client in ONTAP 9.8 and earlier releases</li> </ul>



Feature area	Supported	Not supported
Data protection	<ul style="list-style-type: none"> <li>• Cloud Sync</li> <li>• <a href="#">Object versioning</a> (beginning with ONTAP 9.11.1)</li> <li>• <a href="#">S3 SnapMirror</a> (beginning with ONTAP 9.10.1)</li> <li>• MetroCluster IP configurations (beginning with ONTAP 9.12.1)</li> </ul>	<ul style="list-style-type: none"> <li>• Erasure coding</li> <li>• Information lifecycle management</li> <li>• NDMP</li> <li>• SMTape</li> <li>• SnapLock</li> <li>• SnapMirror Cloud</li> <li>• SVM disaster recovery</li> <li>• SyncMirror</li> <li>• User-created Snapshot copies</li> <li>• WORM</li> </ul>
Encryption	<ul style="list-style-type: none"> <li>• NetApp Aggregate Encryption (NAE)</li> <li>• NetApp Volume Encryption (NVE)</li> <li>• NetApp Storage Encryption (NSE)</li> <li>• TLS/SSL</li> </ul>	<ul style="list-style-type: none"> <li>• SLAG</li> </ul>
Storage efficiency	<ul style="list-style-type: none"> <li>• Deduplication</li> <li>• Compression</li> <li>• Compaction</li> </ul>	<ul style="list-style-type: none"> <li>• Aggregate-level efficiencies</li> <li>• Volume clone of the FlexGroup volume containing ONTAP S3 buckets</li> </ul>
Storage virtualization	-	NetApp FlexArray Virtualization
Quality of service (QoS)	<ul style="list-style-type: none"> <li>• QoS maximums (ceilings)</li> <li>• QoS minimums (floors)</li> </ul>	-
Additional features	<ul style="list-style-type: none"> <li>• <a href="#">Audit S3 events</a> (beginning with ONTAP 9.10.1)</li> </ul>	<ul style="list-style-type: none"> <li>• FlexCache volumes</li> <li>• FPolicy</li> <li>• Qtrees</li> <li>• Quotas</li> </ul>

## About the S3 configuration process

### S3 configuration workflow

Configuring S3 involves assessing physical storage and networking requirements, and then choosing a workflow that is specific to your goal—configuring S3 access to a new or

existing SVM, or adding a bucket and users to an existing SVM that is already fully configured for S3 access.

When you configure S3 access to a new storage VM using System Manager, you are prompted to enter certificate and networking information, and the storage VM and S3 object storage server are created in a single operation.



### Assess physical storage requirements

Before provisioning S3 storage for clients, you must ensure that there is sufficient space in existing aggregates for the new object store. If there is not, you can add disks to existing aggregates or create new aggregates of the desired type and location.

## About this task

When you create an S3 bucket in an S3-enabled SVM, a FlexGroup volume is automatically created to support the bucket. You can let ONTAP select the underlying aggregates and FlexGroup components automatically (the default) or you can select the underlying aggregates and FlexGroup components yourself.

If you decide to specify the aggregates and FlexGroup components — for example, if you have specific performance requirements for the underlying disks — you should make sure that your aggregate configuration conforms to best practice guidelines for provisioning a FlexGroup volume. Learn more:

- [FlexGroup volumes management](#)
- [NetApp Technical Report 4571-a: NetApp ONTAP FlexGroup Volume Top Best Practices](#)

If you are serving buckets from Cloud Volumes ONTAP, it is strongly recommended that you manually select the underlying aggregates to ensure that they are using one node only. Using aggregates from both nodes can impact performance, because the nodes will be in geographically separated availability zones and hence susceptible to latency issues. Learn about [creating buckets for Cloud Volumes ONTAP](#).

You can use the ONTAP S3 server to create a local FabricPool capacity tier; that is, in the same cluster as the performance tier. This can be useful, for example, if you have SSD disks attached to one HA pair and you want to tier *cold* data to HDD disks in another HA pair. In this use case, the S3 server and the bucket containing the local capacity tier should therefore be in a different HA pair than the performance tier. Local tiering is not supported on one-node and two-node clusters.

## Steps

1. Display available space in existing aggregates:

```
storage aggregate show
```

If there is an aggregate with sufficient space or requisite node location, record its name for your S3 configuration.

```
cluster-1::> storage aggregate show
Aggregate      Size Available Used% State  #Vols  Nodes  RAID Status
-----
aggr_0         239.0GB    11.13GB   95% online    1 node1  raid_dp,
normal
aggr_1         239.0GB    11.13GB   95% online    1 node1  raid_dp,
normal
aggr_2         239.0GB    11.13GB   95% online    1 node2  raid_dp,
normal
aggr_3         239.0GB    11.13GB   95% online    1 node2  raid_dp,
normal
aggr_4         239.0GB    238.9GB   95% online    5 node3  raid_dp,
normal
aggr_5         239.0GB    239.0GB   95% online    4 node4  raid_dp,
normal
6 entries were displayed.
```

2. If there are no aggregates with sufficient space or requisite node location, add disks to an existing

aggregate by using the `storage aggregate add-disks` command, or create a new aggregate by using the `storage aggregate create` command.

## Assess networking requirements

Before providing S3 storage to clients, you must verify that networking is correctly configured to meet the S3 provisioning requirements.

### What you'll need

The following cluster networking objects must be configured:

- Physical and logical ports
- Broadcast domains
- Subnets (if required)
- IPspaces (as required, in addition to the default IPspace)
- Failover groups (as required, in addition to the default failover group for each broadcast domain)
- External firewalls

### About this task

For remote FabricPool capacity (cloud) tiers and remote S3 clients, you must use a data SVM and configure data LIFs. For FabricPool cloud tiers, you must also configure intercluster LIFs; cluster peering is not required.

For local FabricPool capacity tiers, you must use the system SVM (called "Cluster"), but you have two options for LIF configuration:

- You can use the cluster LIFs.

In this option, no further LIF configuration is required, but there will be an increase in traffic on the cluster LIFs. Also, the local tier will not be accessible to other clusters.

- You can use data and intercluster LIFs.

This option requires additional configuration, including enabling the LIFs for the S3 protocol, but the local tier will also be accessible as a remote FabricPool cloud tier to other clusters.

## Steps

1. Display the available physical and virtual ports:

```
network port show
```

- When possible, you should use the port with the highest speed for the data network.
- All components in the data network must have the same MTU setting for best performance.

2. If you are planning to use a subnet name to allocate the IP address and network mask value for a LIF, verify that the subnet exists and has sufficient addresses available:

```
network subnet show
```

Subnets contain a pool of IP addresses that belong to the same layer 3 subnet. Subnets are created by using the `network subnet create` command.

### 3. Display available IPspaces:

```
network ipspace show
```

You can use the default IPspace or a custom IPspace.

### 4. If you want to use IPv6 addresses, verify that IPv6 is enabled on the cluster:

```
network options ipv6 show
```

If required, you can enable IPv6 by using the `network options ipv6 modify` command.

## Decide where to provision new S3 storage capacity

Before you create a new S3 bucket, you must decide whether to place it in a new or existing SVM. This decision determines your workflow.

### Choices

- If you want to provision a bucket in a new SVM or an SVM that is not enabled for S3, complete the steps in the following topics.

[Create an SVM for S3](#)

[Create a bucket for S3](#)

Although S3 can coexist in an SVM with NFS and SMB, you might choose to create a new SVM if one of the following is true:

- You are enabling S3 on a cluster for the first time.
- You have existing SVMs in a cluster in which you do not want to enable S3 support.
- You have one or more S3-enabled-SVMs in a cluster, and you want another S3 server with different performance characteristics.

After enabling S3 on the SVM, proceed to provision a bucket.

- If you want to provision the initial bucket or an additional bucket on an existing S3-enabled SVM, complete the steps in the following topic.

[Create a bucket for S3](#)

## Configure S3 access to an SVM

### Create an SVM for S3

Although S3 can coexist with other protocols in an SVM, you might want to create a new SVM to isolate the namespace and workload.

### About this task

If you are only providing S3 object storage from an SVM, the S3 server does not require any DNS configuration. However, you might want to configure DNS on the SVM if other protocols are used.

When you configure S3 access to a new storage VM using System Manager, you are prompted to enter certificate and networking information, and the storage VM and S3 object storage server are created in a single

operation.

## Example 1. Steps

### CLI

1. Verify that S3 is licensed on your cluster:

```
system license show -package s3
```

If it is not, contact your sales representative.

2. Create an SVM:

```
vserver create -vserver svm_name -rootvolume root_volume_name -aggregate  
aggregate_name -rootvolume-security-style unix -language C.UTF-8 -ipspace  
ipspace_name
```

- Use the UNIX setting for the `-rootvolume-security-style` option.
- Use the default C.UTF-8 `-language` option.
- The `ipspace` setting is optional.

3. Verify the configuration and status of the newly created SVM:

```
vserver show -vserver svm_name
```

The Vserver Operational State field must display the running state. If it displays the initializing state, it means that some intermediate operation such as root volume creation failed, and you must delete the SVM and re-create it.

### Examples

The following command creates an SVM for data access in the IPspace `ipspaceA`:

```
cluster-1::> vserver create -vserver svm1.example.com -rootvolume  
root_svm1 -aggregate aggr1 -rootvolume-security-style unix -language  
C.UTF-8 -ipspace ipspaceA
```

```
[Job 2059] Job succeeded:  
Vserver creation completed
```

The following command shows that an SVM was created with a root volume of 1 GB, and it was started automatically and is in `running` state. The root volume has a default export policy that does not include any rules, so the root volume is not exported upon creation. By default, the `vsadmin` user account is created and is in the `locked` state. The `vsadmin` role is assigned to the default `vsadmin` user account.

```

cluster-1::> vserver show -vserver svm1.example.com
                                Vserver: svm1.example.com
                                Vserver Type: data
                                Vserver Subtype: default
                                Vserver UUID: b8375669-19b0-11e5-b9d1-
00a0983d9736

                                Root Volume: root_svm1
                                Aggregate: aggr1
                                NIS Domain: -
                                Root Volume Security Style: unix
                                LDAP Client: -
                                Default Volume Language Code: C.UTF-8
                                Snapshot Policy: default
                                Comment:
                                Quota Policy: default
                                List of Aggregates Assigned: -
                                Limit on Maximum Number of Volumes allowed: unlimited
                                Vserver Admin State: running
                                Vserver Operational State: running
                                Vserver Operational State Stopped Reason: -
                                Allowed Protocols: nfs, cifs
                                Disallowed Protocols: -
                                QoS Policy Group: -
                                Config Lock: false
                                IPspace Name: ipspaceA

```

## System Manager

You should be prepared to enter the S3 server name as a Fully Qualified Domain Name (FQDN), which clients will use for S3 access. The S3 server FQDN must not begin with a bucket name.

You should be prepared to enter IP addresses for interface role Data.

If you are using an external-CA signed certificate, you will be prompted to enter it during this procedure; you also have the option to use a system-generated certificate.

### 1. Enable S3 on a storage VM.

- a. Add a new storage VM: Click **Storage > Storage VMs**, then click **Add**.

If this is a new system with no existing storage VMs: Click **Dashboard > Configure Protocols**.

If you are adding an S3 server to an existing storage VM: Click **Storage > Storage VMs**, select a storage VM, click **Settings**, and then click  under **S3**.

- b. Click **Enable S3**, then enter the S3 Server Name.

- c. Select the certificate type.

Whether you select system-generated certificate or one of your own, it will be required for client access.



- d. Enter the network interfaces.
2. If you selected the system-generated certificate, you see the certificate information when the new storage VM creation is confirmed. Click **Download** and save it for client access.
  - The secret key will not be displayed again.
  - If you need the certificate information again: Click **Storage > Storage VMs**, select the storage VM, and click **Settings**.

## Create and install a CA certificate on the SVM

A Certificate Authority (CA) certificate is required to enable HTTPS traffic from S3 clients to the S3-enabled SVM.

### About this task

Although it is possible to configure an S3 server to use HTTP only, and although it is possible to configure clients without a CA certificate requirement, it is a best practice to secure HTTPS traffic to ONTAP S3 servers with a CA certificate.

A CA certificate is not necessary for a local tiering use case, where IP traffic is going over cluster LIFs only.

The instructions in this procedure will create and install an ONTAP self-signed certificate. CA certificates from third-party vendors are also supported; see the administrator authentication documentation for more information.

### Administrator authentication and RBAC

See the `security certificate` man pages for additional configuration options.

### Steps

1. Create a self-signed digital certificate:

```
security certificate create -vserver svm_name -type root-ca -common-name  
ca_cert_name
```

The `-type root-ca` option creates and installs a self-signed digital certificate to sign other certificates by acting as a certificate authority (CA).

The `-common-name` option creates the SVM's Certificate Authority (CA) name and will be used when generating the certificate's complete name.

The default certificate size is 2048 bits.

#### Example

```
cluster-1::> security certificate create -vserver svm1.example.com -type  
root-ca -common-name svm1_ca
```

The certificate's generated name for reference:

```
svm1_ca_159D1587CE21E9D4_svm1_ca
```

When the certificate's generated name is displayed; be sure to save it for later steps in this procedure.

2. Generate a certificate signing request:

```
security certificate generate-csr -common-name s3_server_name  
[additional_options]
```

The `-common-name` parameter for the signing request must be the S3 server name (FQDN).

You can provide the location and other detailed information about the SVM if desired.

You are prompted to keep a copy of your certificate request and private key for future reference.

3. Sign the CSR using SVM\_CA to generate S3 Server's certificate:

```
security certificate sign -vserver svm_name -ca ca_cert_name -ca-serial  
ca_cert_serial_number [additional_options]
```

Enter the command options that you used in previous steps:

- `-ca` — the common name of the CA that you entered in Step 1.
- `-ca-serial` — the CA serial number from Step 1. For example, if the CA certificate name is `svm1_ca_159D1587CE21E9D4_svm1_ca`, the serial number is `159D1587CE21E9D4`.

By default, the signed certificate will expire in 365 days. You can select another value, and specify other signing details.

When prompted, copy and enter the certificate request string you saved in Step 2.

A signed certificate is displayed; save it for later use.

4. Install the signed certificate on the S3-enabled SVM:

```
security certificate install -type server -vserver svm_name
```

When prompted, enter the certificate and private key.

You have the option to enter intermediate certificates if a certificate chain is desired.

When the private key and the CA-signed digital certificate are displayed; save them for future reference.

5. Get the public key certificate:

```
security certificate show -vserver svm_name -common-name ca_cert_name -type  
root-ca -instance
```

Save the public key certificate for later client-side configuration.

Example

```

cluster-1::> security certificate show -vserver svm1.example.com -common
-name svm1_ca -type root-ca -instance

                Name of Vserver: svm1.example.com
        FQDN or Custom Common Name: svm1_ca
    Serial Number of Certificate: 159D1587CE21E9D4
        Certificate Authority: svm1_ca
            Type of Certificate: root-ca
(DEPRECATED)-Certificate Subtype: -
        Unique Certificate Name: svm1_ca_159D1587CE21E9D4_svm1_ca
Size of Requested Certificate in Bits: 2048
        Certificate Start Date: Thu May 09 10:58:39 2020
        Certificate Expiration Date: Fri May 08 10:58:39 2021
        Public Key Certificate: -----BEGIN CERTIFICATE-----
MIIDZ ...==
-----END CERTIFICATE-----

                Country Name: US
        State or Province Name:
                Locality Name:
                Organization Name:
                Organization Unit:
Contact Administrator's Email Address:
                Protocol: SSL
                Hashing Function: SHA256
        Self-Signed Certificate: true
        Is System Internal Certificate: false

```

## Create an S3 service data policy

You can create service policies for S3 data and management services. An S3 service data policy is required to enable S3 data traffic on LIFs.

### About this task

An S3 service data policy is required if you are using data LIFs and intercluster LIFs. It is not required if you are using cluster LIFs for the local tiering use case.

When a service policy is specified for a LIF, the policy is used to construct a default role, failover policy, and data protocol list for the LIF.

Although multiple protocols can be configured for SVMs and LIFs, it is a best practice for S3 to be the only protocol when serving object data.

### Steps

1. Change the privilege setting to advanced:

```
set -privilege advanced
```

## 2. Create a service data policy:

```
network interface service-policy create -vserver svm_name -policy policy_name
-services data-core,data-s3-server
```

The `data-core` and `data-s3-server` services are the only ones required to enable ONTAP S3, although other services can be included as needed.

## Create data LIFs

If you created a new SVM, the dedicated LIFs you create for S3 access should be data LIFs.

### What you'll need

- The underlying physical or logical network port must have been configured to the administrative `up` status.
- If you are planning to use a subnet name to allocate the IP address and network mask value for a LIF, the subnet must already exist.

Subnets contain a pool of IP addresses that belong to the same layer 3 subnet. They are created using the `network subnet create` command.

- The LIF service policy must already exist.

### About this task

- You can create both IPv4 and IPv6 LIFs on the same network port.
- If you have a large number of LIFs in your cluster, you can verify the LIF capacity supported on the cluster by using the `network interface capacity show` command and the LIF capacity supported on each node by using the `network interface capacity details show` command (at the advanced privilege level).
- If you are enabling remote FabricPool capacity (cloud) tiering, you must also configure intercluster LIFs.

### Steps

#### 1. Create a LIF:

```
network interface create -vserver svm_name -lif lif_name -service-policy
service_policy_names -home-node node_name -home-port port_name {-address
IP_address -netmask IP_address | -subnet-name subnet_name} -firewall-policy
data -auto-revert {true|false}
```

- `-home-node` is the node to which the LIF returns when the `network interface revert` command is run on the LIF.

You can also specify whether the LIF should automatically revert to the home-node and home-port with the `-auto-revert` option.

- `-home-port` is the physical or logical port to which the LIF returns when the `network interface revert` command is run on the LIF.
- You can specify an IP address with the `-address` and `-netmask` options, or you enable allocation from a subnet with the `-subnet_name` option.

- When using a subnet to supply the IP address and network mask, if the subnet was defined with a gateway, a default route to that gateway is added automatically to the SVM when a LIF is created using that subnet.
- If you assign IP addresses manually (without using a subnet), you might need to configure a default route to a gateway if there are clients or domain controllers on a different IP subnet. The `network route create man` page contains information about creating a static route within an SVM.
- For the `-firewall-policy` option, use the same default data as the LIF role.

You can create and add a custom firewall policy later if desired.

- `-auto-revert` allows you to specify whether a data LIF is automatically reverted to its home node under circumstances such as startup, changes to the status of the management database, or when the network connection is made. The default setting is `false`, but you can set it to `false` depending on network management policies in your environment.
- The `-service-policy` option specifies the data and management services policy you created and any other policies you need.

2. If you want to assign an IPv6 address in the `-address` option:

- Use the `network ndp prefix show` command to view the list of RA prefixes learned on various interfaces.

The `network ndp prefix show` command is available at the advanced privilege level.

- Use the format `prefix:id` to construct the IPv6 address manually.

`prefix` is the prefix learned on various interfaces.

For deriving the `id`, choose a random 64-bit hexadecimal number.

- Verify that the LIF was created successfully by using the `network interface show` command.
- Verify that the configured IP address is reachable:

To verify an...	Use...
IPv4 address	<code>network ping</code>
IPv6 address	<code>network ping6</code>

## Examples

The following command shows how to create an S3 data LIF that is assigned with the `my-S3-policy` service policy:

```
network interface create -vserver svm1.example.com -lif lif2 -home-node
node2 -homeport e0d -service-policy my-S3-policy -subnet-name ipspace1
```

The following command shows all the LIFs in cluster-1. Data LIFs `datalif1` and `datalif3` are configured with IPv4 addresses, and `datalif4` is configured with an IPv6 address:

```
cluster-1::> network interface show
```

Vserver	Logical Interface	Status Admin/Oper	Network Address/Mask	Current Node	Current Is Port
Home					
-----	-----	-----	-----	-----	-----
cluster-1	cluster_mgmt	up/up	192.0.2.3/24	node-1	e1a
true					
node-1	clus1	up/up	192.0.2.12/24	node-1	e0a
true					
	clus2	up/up	192.0.2.13/24	node-1	e0b
true					
	mgmt1	up/up	192.0.2.68/24	node-1	e1a
true					
node-2	clus1	up/up	192.0.2.14/24	node-2	e0a
true					
	clus2	up/up	192.0.2.15/24	node-2	e0b
true					
	mgmt1	up/up	192.0.2.69/24	node-2	e1a
true					
vs1.example.com	datalif1	up/down	192.0.2.145/30	node-1	e1c
true					
vs3.example.com	datalif3	up/up	192.0.2.146/30	node-2	e0c
true					
	datalif4	up/up	2001::2/64	node-2	e0c
true					

5 entries were displayed.

### Create intercluster LIFs for remote FabricPool tiering

If you are enabling remote FabricPool capacity (cloud) tiering using ONTAP S3, you must configure intercluster LIFs. You can configure intercluster LIFs on ports shared with the data network. Doing so reduces the number of ports you need for intercluster networking.

#### What you'll need

- The underlying physical or logical network port must have been configured to the administrative up status.
- The LIF service policy must already exist.

#### About this task

Intercluster LIFs are not required for local Fabric pool tiering or for serving external S3 apps.

## Steps

1. List the ports in the cluster:

```
network port show
```

The following example shows the network ports in `cluster01`:

```
cluster01::> network port show
```

(Mbps)						Speed
Node	Port	IPspace	Broadcast Domain	Link	MTU	Admin/Oper
-----	-----	-----	-----	-----	-----	
cluster01-01						
	e0a	Cluster	Cluster	up	1500	auto/1000
	e0b	Cluster	Cluster	up	1500	auto/1000
	e0c	Default	Default	up	1500	auto/1000
	e0d	Default	Default	up	1500	auto/1000
cluster01-02						
	e0a	Cluster	Cluster	up	1500	auto/1000
	e0b	Cluster	Cluster	up	1500	auto/1000
	e0c	Default	Default	up	1500	auto/1000
	e0d	Default	Default	up	1500	auto/1000

2. Create intercluster LIFs on the system SVM:

```
network interface create -vserver Cluster -lif LIF_name -service-policy
default-intercluster -home-node node -home-port port -address port_IP -netmask
netmask
```

The following example creates intercluster LIFs `cluster01_icl01` and `cluster01_icl02`:

```
cluster01::> network interface create -vserver Cluster -lif
cluster01_icl01 -service-
policy default-intercluster -home-node cluster01-01 -home-port e0c
-address 192.168.1.201
-netmask 255.255.255.0

cluster01::> network interface create -vserver Cluster -lif
cluster01_icl02 -service-
policy default-intercluster -home-node cluster01-02 -home-port e0c
-address 192.168.1.202
-netmask 255.255.255.0
```

### 3. Verify that the intercluster LIFs were created:

```
network interface show -service-policy default-intercluster
```

```
cluster01::> network interface show -service-policy default-intercluster
```

	Logical	Status	Network	Current	
Current Is					
Vserver	Interface	Admin/Oper	Address/Mask	Node	Port
Home					
-----	-----	-----	-----	-----	-----
cluster01	cluster01_icl01	up/up	192.168.1.201/24	cluster01-01	e0c
true	cluster01_icl02	up/up	192.168.1.202/24	cluster01-02	e0c
true					

### 4. Verify that the intercluster LIFs are redundant:

```
network interface show -service-policy default-intercluster -failover
```

The following example shows that the intercluster LIFs cluster01\_icl01 and cluster01\_icl02 on the e0c port will fail over to the e0d port.

```
cluster01::> network interface show -service-policy default-intercluster -failover
```

	Logical	Home	Failover	Failover
Vserver	Interface	Node:Port	Policy	Group
-----	-----	-----	-----	-----
cluster01	cluster01_icl01	cluster01-01:e0c	local-only	
192.168.1.201/24				
		Failover Targets: cluster01-01:e0c,		
		cluster01-01:e0d		
	cluster01_icl02	cluster01-02:e0c	local-only	
192.168.1.201/24				
		Failover Targets: cluster01-02:e0c,		
		cluster01-02:e0d		

## Create the S3 object store server

The ONTAP object store server manages data as S3 objects, as opposed to file or block storage provided by ONTAP NAS and SAN servers.



## What you'll need

You should be prepared to enter the S3 server name as a Fully Qualified Domain Name (FQDN), which clients will use for S3 access. The FQDN must not begin with a bucket name.

You should have a self-signed CA certificate (created in previous steps) or a certificate signed by an external CA vendor. A CA certificate is not necessary for a local tiering use case, where IP traffic is going over cluster LIFs only.

## About this task

When an object store server is created, a root user with UID 0 is created. No access key or secret key is generated for this root user. The ONTAP administrator must run the `object-store-server users regenerate-keys` command to set the access key and secret key for this user.



As a NetApp best practice, do not use this root user. Any client application that uses the access key or secret key of the root user has full access to all buckets and objects in the object store.

See the `vserver object-store-server` man pages for additional configuration and display options.

## Example 2. Steps

### CLI

1. Create the S3 server:

```
vserver object-store-server create -vserver svm_name -object-store-server  
s3_server_fqdn -certificate-name s3_server_name -comment text  
[additional_options]
```

You can specify additional options when creating the S3 server or at any time later.

- The SVM name can be either a data SVM or `Cluster` (the system SVM name) if you are configuring local tiering.
- HTTPS is enabled by default on port 443. You can change the port number with the `-secure -listener-port` option.

When HTTPS is enabled, CA certificates are required for proper integration with SSL/TLS.

- HTTP is disabled by default; when enabled, the server listens on port 80. You can enable it with the `-is-http-enabled` option or change the port number with the `-listener-port` option.

When HTTP is enabled, all the request and responses are sent over the network in clear text.

2. Verify that S3 is configured as desired:

```
vserver object-store-server show
```

### Example

The following command verifies the configuration values of all object storage servers:

```
cluster1::> vserver object-store-server show

Vserver: vs1

Object Store Server Name: s3.example.com
Administrative State: up
Listener Port For HTTP: 80
Secure Listener Port For HTTPS: 443
HTTP Enabled: false
HTTPS Enabled: true
Certificate for HTTPS Connections: svm1_ca
Comment: Server comment
```

### System Manager

Use this procedure if you are adding an S3 server to an existing storage VM. To add an S3 server to a new storage VM, see [Create a storage SVM for S3](#).

You should be prepared to enter IP addresses for interface role Data.

1. Enable S3 on an existing storage VM.

- a. Select the storage VM: click **Storage > Storage VMs**, select a storage VM, click **Settings**, and then click  under **S3**.
- b. Click **Enable S3**, then enter the S3 Server Name.
- c. Select the certificate type.

Whether you select system-generated certificate or one of your own, it will be required for client access.

- d. Enter the network interfaces.

2. If you selected the system-generated certificate, you see the certificate information when the new storage VM creation is confirmed. Click **Download** and save it for client access.

- The secret key will not be displayed again.
- If you need the certificate information again: click **Storage > Storage VMs**, select the storage VM, and click **Settings**.

## Add storage capacity to an S3-enabled SVM

### Create a bucket

S3 objects are kept in *buckets*--they are not nested as files inside a directory inside other directories.

### Before you begin

An SVM containing an S3 server must already exist.

### About this task

For the CLI, when you create a bucket, you have two provisioning options:

- Let ONTAP select the underlying aggregates and FlexGroup components (default)
    - ONTAP creates and configures a FlexGroup volume for the first bucket by automatically selecting the aggregates. It will automatically select the highest service level available for your platform, or you can specify the storage service level. Any additional buckets you add later in the SVM will have the same underlying FlexGroup volume.
    - Alternatively, you can specify whether the bucket will be used for tiering, in which case ONTAP tries to select low-cost media with optimal performance for the tiered data.
  - You select the underlying aggregates and FlexGroup components (requires advanced privilege command options)
    - You have the option to manually select the aggregates on which the bucket and containing FlexGroup volume must be created, and then specifying the number of constituents on each aggregate. When adding additional buckets:
      - If you specify aggregates and constituents for a new bucket, a new FlexGroup will be created for the new bucket.
      - If you do not specify aggregates and constituents for a new bucket, the new bucket will be added to an existing FlexGroup.
- See [FlexGroup volumes management](#) for more information.

When you specify aggregates and constituents when creating a bucket, no QoS policy groups, default or custom, are applied. You can do so later with the `vserver object-store-server bucket modify` command.

**Note:** If you are serving buckets from Cloud Volumes ONTAP, you should use the CLI procedure. It is strongly recommended that you manually select the underlying aggregates to ensure that they are using one node only. Using aggregates from both nodes can impact performance, because the nodes will be in geographically separated availability zones and hence susceptible to latency issues.

Storage service levels are predefined adaptive Quality of Service (QoS) policy groups, with *value*, *performance*, and *extreme* default levels. Instead of one of the default storage service levels, you can also define a custom QoS policy group and apply it to a bucket.

Beginning with ONTAP 9.8, when you provision storage, QoS is enabled by default. You can disable QoS or choose a custom QoS policy during the provisioning process or at a later time.

### Storage service definitions

If you are configuring local capacity tiering, you create buckets and users in a data SVM, not in the system SVM where the S3 server is located.

For remote client access, you must configure buckets in an S3-enabled storage VM. If you create a bucket in a storage VM that is not S3-enabled, it will only be available for local tiering.

### Performance management

See the `vserver object-store-server bucket` man pages for additional configuration and display options.

### Process to create buckets

## CLI

1. If you plan to select aggregates and FlexGroup components yourself, set the privilege level to advanced (otherwise, admin privilege level is sufficient): `set -privilege advanced`
2. Create a bucket:

```
vserver object-store-server bucket create -vserver svm_name -bucket  
bucket_name [-size integer[KB|MB|GB|TB|PB]] [-comment text]  
[additional_options]
```

The SVM name can be either a data SVM or `Cluster` (the system SVM name) if you are configuring local tiering.

If you specify no options, ONTAP creates a 5GB bucket with the service level set to the highest level available for your system.

If you want ONTAP to create a bucket based on performance or usage, use one of the following options:

- service level

Include the `-storage-service-level` option with one of the following values: `value`, `performance`, or `extreme`.

- tiering

Include the `-used-as-capacity-tier true` option.

If you want to specify the aggregates on which to create the underlying FlexGroup volume, use the following options:

- The `-aggr-list` parameter specifies the list of aggregates to be used for FlexGroup volume constituents.

Each entry in the list creates a constituent on the specified aggregate. You can specify an aggregate multiple times to have multiple constituents created on the aggregate.

For consistent performance across the FlexGroup volume, all of the aggregates must use the same disk type and RAID group configurations.

- The `-aggr-list-multiplier` parameter specifies the number of times to iterate over the aggregates that are listed with the `-aggr-list` parameter when creating a FlexGroup volume.

The default value of the `-aggr-list-multiplier` parameter is 4.

3. Add a QoS policy group if needed:

```
vserver object-store-server bucket modify -bucket bucket_name -qos-policy  
-group qos_policy_group
```

4. Verify bucket creation:

```
vserver object-store-server bucket show [-instance]
```

### Example

The following example creates a bucket for SVM vs1 of size 1TB and specifying the aggregate:

```
cluster-1::*> vserver object-store-server bucket create -vserver  
svm1.example.com -bucket testbucket -aggr-list aggr1 -size 1TB
```

### System Manager

1. Add a new bucket on an S3-enabled storage VM.
    - a. Click **Storage > Buckets**, then click **Add**.
    - b. Enter a name, select the storage VM, and enter a size.
      - If you click **Save** at this point, a bucket is created with these default settings:
        - No users are granted access to the bucket unless any group policies are already in effect.
- 
- You should not use the S3 root user to manage ONTAP object storage and share its permissions, because it has unlimited access to the object store. Instead, create a user or group with administrative privileges that you assign.
- A Quality of Service (performance) level that is the highest available for your system.
  - You can click **More Options** to configure user permissions and performance level when you configure the bucket, or you can modify these settings later.
    - You must have already created user and groups before using **More Options** to configure their permissions.
    - If you intend to use the S3 object store for FabricPool tiering, consider selecting **Use for tiering** (use low-cost media with optimal performance for the tiered data) rather than a performance service level.
2. On S3 client apps – another ONTAP system or an external 3rd-party app – verify access to the new bucket by entering the following:
  - The S3 server CA certificate.
  - The user's access key and secret key.
  - The S3 server FQDN name and bucket name.

### Create an S3 user

User authorization is required on all ONTAP object stores in order to restrict connectivity to authorized clients.

#### Before you begin.

An S3-enabled SVM must already exist.

#### About this task

An S3 user can be granted access to any bucket in an SVM but not in multiple SVMs.

When you create an S3 user, an access-key and a secret-key will be generated. They must be shared with the

user along with the object store's FQDN and bucket name. S3 users' keys can be displayed with the `vserver object-store-server user show` command.

You can grant specific access permissions to S3 users in a bucket policy or an object server policy.



When an object store server is created, a root user (UID 0) is created, a privileged user with access all buckets. Rather than administering ONTAP S3 as root user, it is a best practice to create an admin user role with specific privileges.

#### CLI

1. Create an S3 user:

```
vserver object-store-server user create -vserver svm_name -user user_name  
[-comment text]
```

2. Be sure to save the access key and secret key, they will be required for access from S3 clients.

#### System Manager

1. Edit the storage VM: click **Storage > storage VMs**, click the storage VM, click **Settings** and then click  under S3.
2. Add a user: click **Users**, then click **Add**.
3. Enter a name and click **Save**.
4. Be sure to save the access key and secret key, they will be required for access from S3 clients.

#### Next steps

- [Create or modify S3 groups](#)

#### Create or modify S3 groups

You can simplify bucket access by creating groups of users with appropriate access authorizations.

#### Before you begin

S3 users in an S3-enabled SVM must already exist.

#### About this task

Users in an S3 group can be granted access to any bucket in an SVM but not in multiple SVMs. Group access permissions can be configured in two ways:

- At the bucket level

After creating a group of S3 users, you specify group permissions in bucket policy statements and they apply only to that bucket.

- At the SVM level

After creating a group of S3 users, you specify object server policy names in the group definition. Those policies determine the buckets and access for the group members.

## CLI

1. Create an S3 group:

```
vserver object-store-server group create -vserver svm_name -name group_name  
-users user_name\(s\) [-policies policy_names] [-comment text\]
```

The `-policies` option can be omitted in configurations with only one bucket in an object store; the group name can be added to the bucket policy.

The `-policies` option can be added later with the `vserver object-store-server group modify` command after object storage server policies are created.

## System Manager

1. Edit the storage VM: click **Storage > storage VMs**, click the storage VM, click **Settings** and then click  under S3.
2. Add a group: select **Groups**, then select **Add**.
3. Enter a group name and select from a list of users.
4. You can select an existing group policy or add one now, or you can add a policy later.

## Create or modify access policy statements

### About bucket and object store server policies

User and group access to S3 resources is controlled by bucket and object store server policies. If you have a small number of users or groups, controlling access at the bucket level is probably sufficient, but if you have many users and groups, it is easier to control access at the object store server level.

### Modify a bucket policy

You can add access rules to the default bucket policy. The scope of its access control is the containing bucket, so it is most appropriate when there is a single bucket.

### Before you begin

An S3-enabled SVM containing an S3 server and a bucket must already exist.

You must have already created users or groups before granting permissions.

### About this task

You can add new statements for new users and groups, or you can modify the attributes of existing statements. For more options, see the `vserver object-store-server bucket policy man` pages.

User and group permissions can be granted when the bucket is created or as needed later. You can also modify the bucket capacity and QoS policy group assignment.

Beginning with ONTAP 9.9.1 and later releases, if you plan to support AWS client object tagging functionality with the ONTAP S3 server, the actions `GetObjectTagging`, `PutObjectTagging`, and `DeleteObjectTagging` need to be allowed using the bucket or group policies.

The procedure you follow depends on the interface that you use—System Manager or the CLI:



## System Manager

### Steps

1. Edit the bucket: click **Storage > Buckets**, click the desired bucket, and then click **Edit**.

When adding or modifying permissions, you can specify the following parameters:

- **Principal**: the user or group to whom access is granted.
- **Effect**: allows or denies access to a user or group.
- **Actions**: permissible actions in the bucket for a given user or group.
- **Resources**: paths and names of objects within the bucket for which access is granted or denied.

The defaults **bucketname** and **bucketname/\*** grant access to all objects in the bucket. You can also grant access to single objects; for example, **bucketname/\*\_readme.txt**.

- **Conditions** (optional): expressions that are evaluated when access is attempted. For example, you can specify a list of IP addresses for which access will be allowed or denied.

## CLI

### Steps

1. Add a statement to a bucket policy:

```
vserver object-store-server bucket policy add-statement -vserver svm_name
-bucket bucket_name -effect {allow|deny} -action object_store_actions
-principal user_and_group_names -resource object_store_resources [-sid
text] [-index integer]
```

The following parameters define access permissions:

-effect	The statement may allow or deny access
-action	You can specify * to mean all actions, or a list of one or more of the following: GetObject, PutObject, DeleteObject, ListBucket, GetBucketAcl, GetObjectAcl, ListBucketMultipartUploads, and ListMultipartUploadParts.
-principal	A list of one or more S3 users or groups. <ul style="list-style-type: none"><li>• A maximum of 10 users or groups can be specified.</li><li>• If an S3 group is specified, it must be in the form group/group_name.</li><li>• * can be specified to mean public access; that is, access without an access-key and secret-key.</li><li>• If no principal is specified, all S3 users in the SVM are granted access.</li></ul>
-resource	The bucket and any object it contains. The wildcard characters * and ? can be used to form a regular expression for specifying a resource.

You can optionally specify a text string as comment with the -sid option.

## Examples

The following example creates an object store server bucket policy statement for the SVM svm1.example.com and bucket1 which specifies allowed access to a readme folder for object store server user user1.

```
cluster1::> vserver object-store-server bucket policy statement create
-vserver svm1.example.com -bucket bucket1 -effect allow -action
GetObject,PutObject,DeleteObject,ListBucket -principal user1 -resource
bucket1/readme/* -sid "fullAccessToReadmeForUser1"
```

The following example creates an object store server bucket policy statement for the SVM svm1.example.com and bucket1 which specifies allowed access to all objects for object store server group group1.

```
cluster1::> vserver object-store-server bucket policy statement create
-vserver svm1.example.com -bucket bucket1 -effect allow -action
GetObject,PutObject,DeleteObject,ListBucket -principal group/group1
-resource bucket1/* -sid "fullAccessForGroup1"
```

## Create or modify an object store server policy

You can create policies that can apply to one or more buckets in an object store. Object store server policies can be attached to groups of users, thereby simplifying the management of resource access across multiple buckets.

### Before you begin

An S3-enabled SVM containing an S3 server and a bucket must already exist.

### About this task

You can enable access policies at the SVM level by specifying a default or custom policy in an object storage server group. The policies do not take effect until they are specified in the group definition.



When you use object storage server policies, you specify principals (that is, users and groups) in the group definition, not in the policy itself.

There are three read-only default policies for access to ONTAP S3 resources:

- FullAccess
- NoS3Access
- ReadOnlyAccess

You can also create new custom policies, then add new statements for new users and groups, or you can modify the attributes of existing statements. For more options, see the `vserver object-store-server policy` [command reference](#).

Beginning with ONTAP 9.9.1 and later releases, if you plan to support AWS client object tagging functionality

with the ONTAP S3 server, the actions `GetObjectTagging`, `PutObjectTagging`, and `DeleteObjectTagging` need to be allowed using the bucket or group policies.

The procedure you follow depends on the interface that you use—System Manager or the CLI:

## System Manager

### Use System Manager to create or modify an object store server policy

#### Steps

1. Edit the storage VM: click **Storage > storage VMs**, click the storage VM, click **Settings** and then click  under S3.
2. Add a user: click **Policies**, then click **Add**.
  - a. Enter a policy name and select from a list of groups.
  - b. Select an existing default policy or add a new one.

When adding or modifying a group policy, you can specify the following parameters:

- Group: the groups to whom access is granted.
- Effect: allows or denies access to one or more groups.
- Actions: permissible actions in one or more buckets for a given group.
- Resources: paths and names of objects within one or more buckets for which access is granted or denied.

For example:

- \* grants access to all buckets in the storage VM.
  - **bucketname** and **bucketname/\*** grant access to all objects in a specific bucket.
  - **bucketname/readme.txt** grants access to an object in a specific bucket.
- c. If desired, add statements to existing policies.

## CLI

### Use the CLI to create or modify an object store server policy

#### Steps

1. Create an object storage server policy:

```
vserver object-store-server policy create -vserver svm_name -policy policy_name [-comment text]
```

2. Create a statement for the policy:

```
vserver object-store-server policy statement create -vserver svm_name -policy policy_name -effect {allow|deny} -action object_store_actions -resource object_store_resources [-sid text]
```

The following parameters define access permissions:

-effect	The statement may allow or deny access
---------	--

<code>-action</code>	You can specify <code>*</code> to mean all actions, or a list of one or more of the following: <code>GetObject</code> , <code>PutObject</code> , <code>DeleteObject</code> , <code>ListBucket</code> , <code>GetBucketAcl</code> , <code>GetObjectAcl</code> , <code>ListAllMyBuckets</code> , <code>ListBucketMultipartUploads</code> , and <code>ListMultipartUploadParts</code> .
<code>-resource</code>	The bucket and any object it contains. The wildcard characters <code>*</code> and <code>?</code> can be used to form a regular expression for specifying a resource.

You can optionally specify a text string as comment with the `-sid` option.

By default, new statements are added to the end of the list of statements, which are processed in order. When you add or modify statements later, you have the option to modify the statement's `-index` setting to change the processing order.

## Enable client access to S3 object storage

### Enable ONTAP S3 access for remote FabricPool tiering

For ONTAP S3 to be used as a remote FabricPool capacity (cloud) tier, the ONTAP S3 administrator must provide information about the S3 server configuration to the remote ONTAP cluster administrator.

#### About this task

The following S3 server information is required to configure FabricPool cloud tiers:

- server name (FQDN)
- bucket name
- CA certificate
- access key
- password (secret access key)

In addition, the following networking configuration is required:

- There must be an entry for the remote ONTAP S3 server's hostname in the DNS server configured for the admin SVM, including the S3 server's FQDN name and the IP addresses on its LIFs.
- Intercluster LIFs must be configured on both local and remote clusters, although cluster peering is not required.

See the FabricPool documentation about configuring ONTAP S3 as a cloud tier.

### Managing Storage Tiers By Using FabricPool

## Enable ONTAP S3 access for local FabricPool tiering

For ONTAP S3 to be used as a local FabricPool capacity tier, you must define an object store based on the bucket you created, and then attach the object store to a performance tier aggregate to create a FabricPool.

### Before you begin

You must have the ONTAP S3 server name and a bucket name, and the S3 server must have been created using cluster LIFs (with the `-vserver Cluster` parameter).

### About this task

The object-store configuration contains information about the local capacity tier, including the S3 server and bucket names and authentication requirements.

An object-store configuration once created must not be reassociated with a different object-store or bucket. You can create multiple buckets for local tiers, but you cannot create multiple object stores in a single bucket.

A FabricPool license is not required for a local capacity tier.

### Steps

1. Create the object store for the local capacity tier:

```
storage aggregate object-store config create -object-store-name store_name
-ipospace Cluster -provider-type ONTAP_S3 -server S3_server_name -container
-name bucket_name -access-key access_key -secret-password password
```

- The `-container-name` is the S3 bucket you created.
- The `-access-key` parameter authorizes requests to the ONTAP S3 server.
- The `-secret-password` parameter (secret access key) authenticates requests to the ONTAP S3 server.
- You can set the `-is-certificate-validation-enabled` parameter to `false` to disable certificate checking for ONTAP S3.

```
cluster1::> storage aggregate object-store config create
-object-store-name MyLocalObjStore -ipospace Cluster -provider-type
ONTAP_S3 -server s3.example.com
-container-name bucket1 -access-key myS3key -secret-password myS3pass
```

2. Display and verify the object store configuration information:

```
storage aggregate object-store config show
```

3. Optional: To see how much data in a volume is inactive, follow the steps in [Determining how much data in a volume is inactive by using inactive data reporting](#).

Seeing how much data in a volume is inactive can help you decide which aggregate to use for FabricPool local tiering.

4. Attach the object store to an aggregate:

```
storage aggregate object-store attach -aggregate aggr_name -object-store-name store_name
```

You can use the `allow-flexgroup true` option to attach aggregates that contain FlexGroup volume constituents.

```
cluster1::> storage aggregate object-store attach  
-aggregate aggr1 -object-store-name MyLocalObjStore
```

5. Display the object store information and verify that the attached object store is available:

```
storage aggregate object-store show
```

```
cluster1::> storage aggregate object-store show
```

Aggregate	Object Store Name	Availability State
-----	-----	-----
aggr1	MyLocalObjStore	available

### Enable client access from an S3 app

For S3 client apps to access the ONTAP S3 server, the ONTAP S3 administrator must provide configuration information to the S3 user.

#### What you'll need

The S3 client app must be capable of authenticating with the ONTAP S3 server using the following AWS signature versions:

- Signature Version 4, ONTAP 9.8 and later
- Signature Version 2, ONTAP 9.11.1 and later

Other signature versions are not supported by ONTAP S3.

The ONTAP S3 administrator must have created S3 users and granted them access permissions, as an individual users or as a group member, in the bucket policy or the object storage server policy.

The S3 client app must be capable of resolving the ONTAP S3 server name, which requires that ONTAP S3 administrator provide the S3 server name (FQDN) and IP addresses for the S3 server's LIFs.

#### About this task

To access an ONTAP S3 bucket, a user on the S3 client app enters information provided by the ONTAP S3 administrator.

Beginning with ONTAP 9.9.1, the ONTAP S3 server supports the following AWS client functionality:

- user-defined object metadata

A set of key-value pairs can be assigned to objects as metadata when they are created using PUT (or POST). When a GET/HEAD operation is performed on the object, the user-defined metadata is returned

along with the system metadata.

- object tagging

A separate set of key-value pairs can be assigned as tags for categorizing objects. Unlike metadata, tags are created and read with REST APIs independently of the object, and they implemented when objects are created or any time after.



To enable clients to get and put tagging information, the actions `GetObjectTagging`, `PutObjectTagging`, and `DeleteObjectTagging` need to be allowed using the bucket or group policies.

For more information, see the AWS S3 documentation.

### Steps

1. Authenticate the S3 client app with the ONTAP S3 server by entering the S3 server name and the CA certificate.
2. Authenticate a user on the S3 client app by entering the following information:
  - S3 server name (FQDN) and bucket name
  - the user's access key and secret key

## Storage service definitions

ONTAP includes predefined storage services that are mapped to corresponding minimum performance factors.

The actual set of storage services available in a cluster or SVM is determined by the type of storage that makes up an aggregate in the SVM.

The following table shows how the minimum performance factors are mapped to the predefined storage services:

Storage service	Expected IOPS (SLA)	Peak IOPS (SLO)	Minimum volume IOPS	Estimated latency	Are expected IOPS enforced?
value	128 per TB	512 per TB	75	17 ms	On AFF: Yes Otherwise: No
performance	2048 per TB	4096 per TB	500	2 ms	Yes
extreme	6144 per TB	12288 per TB	1000	1 ms	Yes

The following table defines the available storage service level for each type of media or node:

Media or node	Available storage service level
Disk	value



Media or node	Available storage service level
Virtual machine disk	value
FlexArray LUN	value
Hybrid	value
Capacity-optimized Flash	value
Solid-state drive (SSD) - non-AFF	value
Performance-optimized Flash - SSD (AFF)	extreme, performance, value

## Protect buckets with S3 SnapMirror

### S3 SnapMirror overview

Beginning with ONTAP 9.10.1, you can protect buckets in ONTAP S3 object stores using familiar SnapMirror mirroring and backup functionality. In addition, unlike standard SnapMirror, S3 SnapMirror can have non-NetApp destinations.

S3 SnapMirror supports active mirrors and backup tiers from ONTAP S3 buckets to the following destinations:

Target	Supports active mirrors and takeover?	Supports backup and restore?
ONTAP S3 <ul style="list-style-type: none"> <li>• buckets in the same SVM</li> <li>• buckets in different SVMs on the same cluster</li> <li>• buckets in SVMs on different clusters</li> </ul>	✓	✓
StorageGRID		✓
AWS S3		✓
Cloud Volumes ONTAP for Azure		✓

You can protect existing buckets on ONTAP S3 servers or you can create new buckets with data protection enabled immediately.

S3 SnapMirror supports fan-out and cascade relationships. For an overview, see [Fan-out and cascade data protection deployments](#).

### S3 SnapMirror requirements

- ONTAP version  
ONTAP 9.10.1 or later must be running source and destination clusters.

- Licensing

The following license bundles are required on ONTAP source and destination systems:

- Core Bundle  
For ONTAP S3 protocol and storage.
- Data Protection Bundle  
For S3 SnapMirror to target other NetApp object store targets (ONTAP S3, StorageGRID, and Cloud Volumes ONTAP).
- Data Protection Bundle and Hybrid Cloud Bundle  
For S3 SnapMirror to target 3rd party object stores (AWS S3).

- ONTAP S3

- ONTAP S3 servers must be running source and destination SVMs.
- It is recommended but not required that CA certificates for TLS access are installed on systems that host S3 servers.
  - The CA certificates used to sign the S3 servers' certificates must be installed on the admin storage VM of the clusters that host S3 servers.
  - You can use a self-signed CA certificate or a certificate signed by an external CA vendor.
  - If the source or destination storage VMs are not listening on HTTPS, it is not necessary to install CA certificates.

- Peering (for ONTAP S3 targets)

- Intercluster LIFs must be configured (for remote ONTAP targets).
- Source and destination clusters are peered (for remote ONTAP targets).
- Source and destination storage VMs are peered (for all ONTAP targets).

- SnapMirror policy

- An S3-specific SnapMirror policy is required for all S3 SnapMirror relationships, but you can use the same policy for multiple relationships.
- You can create your own policy or accept the default **Continuous** policy, which includes the following values:
  - Throttle (upper limit on throughput/bandwidth) - unlimited.
  - Time for recovery point objective: 1 hour (3600 seconds).

- Root user keys

Storage VM root user access keys are required for S3 SnapMirror relationships; ONTAP does not assign them by default. The first time you create an S3 SnapMirror relationship, you must verify that the keys exist on both source and destination storage VMs and regenerate them if they do not. If you need to regenerate them, you must ensure that all clients and all SnapMirror object-store configurations using the access and secret key pair are updated with the new keys.

For information about S3 server configuration, see the following topics:

- [Enable an S3 server on a storage VM](#)
- [About the S3 configuration process](#)

For information about cluster and storage VM peering, see the following topic:

- [Prepare for mirroring and vaulting \(System Manager, steps 1-6\)](#)

- [Cluster and SVM peering \(CLI\)](#)

## S3 SnapMirror considerations and restrictions

When you create new buckets, you can control access by creating users and groups. For more information, see the following topics:

- [Add S3 users and groups \(System Manager\)](#)
- [Create an S3 user \(CLI\)](#)
- [Create or modify S3 groups \(CLI\)](#)

The following standard SnapMirror functionality is not supported in the current S3 SnapMirror release:

- Fan-in deployments (data protection relationships between multiple source buckets and a single destination bucket)

S3 Snapmirror can support multiple bucket mirrors from multiple clusters to a single secondary cluster, but each source bucket must have its own destination bucket on the secondary cluster.

## Mirror and backup protection on a remote cluster

### Create a mirror relationship for a new bucket (remote cluster)

When you create new S3 buckets, you can protect them immediately to an S3 SnapMirror destination on a remote cluster.



#### What you'll need

- Requirements for ONTAP versions, licensing, and S3 server configuration have been completed.
- A peering relationship exists between source and destination clusters, and a peering relationship exists between source and destination storage VMs.
- CA Certificates are needed for the source and destination VMs. You can use self-signed CA certificates or certificates signed by an external CA vendor.

#### About this task

You will need to perform tasks on both source and destination systems.

#### System Manager procedure

1. If this is the first S3 SnapMirror relationship for this storage VM, verify that root user keys exist for both source and destination storage VMs and regenerate them if they do not:
  - a. Click **Storage > Storage VMs** and then select the storage VM.
  - b. In the **Settings** tab, click  in the **S3** tile.
  - c. In the **Users** tab, verify that there is an access key for the root user.
  - d. If there is not, click  next to **root**, then click **Regenerate Key**.  
Do not regenerate the key if one already exists.
2. Edit the storage VM to add users, and to add users to groups, in both the source and destination storage VMs:

Click **Storage > storage VMs**, click the storage VM, click **Settings** and then click  under S3.

See [Add S3 users and groups](#) for more information.

3. On the source cluster, create an S3 SnapMirror policy if you don't have an existing one and you don't want to use the default policy:
  - a. Click **Protection > Overview**, and then click **Local Policy Settings**.
  - b. Click  next to **Protection Policies**, then click **Add**.
    - Enter the policy name and description.
    - Select the policy scope, cluster or SVM
    - Select **Continuous** for S3 SnapMirror relationships.
    - Enter your **Throttle** and **Recovery Point Objective** values.
4. Create a bucket with SnapMirror protection:
  - a. Click **Storage > Buckets**, then click **Add**. Verifying permissions is optional but recommended.
  - b. Enter a name, select the storage VM, enter a size, then click **More Options**.
  - c. Under **Permissions**, click **Add**.
    - **Principal** and **Effect** - select values corresponding to your user group settings or accept the defaults.
    - **Actions**- make sure the following values are shown:  
`GetObject, PutObject, DeleteObject, ListBucket, GetBucketAcl, GetObjectAcl, ListBucketMultipartUploads, ListMultipartUploadParts`
    - **Resources** - use the defaults (`bucketname, bucketname/*`) or other values you need.

See [Manage user access to buckets](#) for more information about these fields.

- d. Under **Protection**, check **Enable SnapMirror (ONTAP or Cloud)**. Then enter the following values:
  - Destination
    - **TARGET: ONTAP System**
    - **CLUSTER**: Select the remote cluster.
    - **STORAGE VM**: Select a storage VM on the remote cluster.
    - **S3 SERVER CA CERTIFICATE**: Copy and paste the contents of the *source* certificate.
  - Source
    - **S3 SERVER CA CERTIFICATE**: Copy and paste the contents of the *destination* certificate.

Check **Use the same certificate on the destination** if you are using a certificate signed by an external CA vendor.

If you click **Destination Settings**, you can also enter your own values in place of the defaults for bucket name, capacity, and performance service level.

When you click **Save**, a new bucket is created in the source storage VM, and it is mirrored to a new bucket that is created the destination storage VM.

#### CLI procedure

1. If this is the first S3 SnapMirror relationship for this SVM, verify that root user keys exist for both source and destination SVMs and regenerate them if they do not:

```
vserver object-store-server user show
```

Verify that there is an access key for the root user. If there is not, enter:

```
vserver object-store-server user regenerate-keys -vserver svm_name -user root
```

Do not regenerate the key if one already exists.

## 2. Create buckets in both the source and destination SVMs:

```
vserver object-store-server bucket create -vserver svm_name -bucket  
bucket_name [-size integer[KB|MB|GB|TB|PB]] [-comment text]  
[additional_options]
```

## 3. Add access rules to the default bucket policies in both the source and destination SVMs:

```
vserver object-store-server bucket policy add-statement -vserver svm_name  
-bucket bucket_name -effect {allow|deny} -action object_store_actions  
-principal user_and_group_names -resource object_store_resources [-sid text]  
[-index integer]
```

### Example

```
src_cluster::> vserver object-store-server bucket policy add-statement  
-bucket test-bucket -effect allow -action  
GetObject,PutObject,DeleteObject,ListBucket,GetBucketAcl,GetObjectAcl,Li  
stBucketMultipartUploads,ListMultipartUploadParts -principal - -resource  
test-bucket, test-bucket /*
```

## 4. On the source SVM, create an S3 SnapMirror policy if you don't have an existing one and you don't want to use the default policy:

```
snapmirror policy create -vserver svm_name -policy policy_name -type  
continuous [-rpo integer] [-throttle throttle_type] [-comment text]  
[additional_options]
```

### Parameters:

- `type continuous` – the only policy type for S3 SnapMirror relationships (required).
- `-rpo` – specifies the time for recovery point objective, in seconds (optional).
- `-throttle` – specifies the upper limit on throughput/bandwidth, in kilobytes/seconds (optional).

### Example

```
src_cluster::> snapmirror policy create -vserver vs0 -type continuous  
-rpo 0 -policy test-policy
```

## 5. Install CA server certificates on the admin SVMs of the source and destination clusters:

- a. On the source cluster, install the CA certificate that signed the *destination* S3 server certificate:

```
security certificate install -type server-ca -vserver src_admin_svm -cert
```

```
-name dest_server_certificate
```

- b. On the destination cluster, install the CA certificate that signed the *source* S3 server certificate:

```
security certificate install -type server-ca -vserver dest_admin_svm -cert  
-name src_server_certificate
```

If you are using a certificate signed by an external CA vendor, install the same certificate on the source and destination admin SVM.

See the `security certificate install` man page for details.

6. On the source SVM, create an S3 SnapMirror relationship:

```
snapmirror create -source-path src_svm_name:/bucket/bucket_name -destination  
-path dest_peer_svm_name:/bucket/bucket_name, ...} [-policy policy_name]
```

You can use a policy you created or accept the default.

#### Example

```
src_cluster::> snapmirror create -source-path vs0-src:/bucket/test-  
bucket -destination-path vs1-dest:bucket/test-bucket-mirror -policy  
test-policy
```

7. Verify that mirroring is active:

```
snapmirror show -policy-type continuous -fields status
```

### Create a mirror relationship for an existing bucket (remote cluster)

You can begin protecting existing S3 buckets at any time; for example, if you upgraded an S3 configuration from a release earlier than ONTAP 9.10.1.

#### What you'll need





- Requirements for ONTAP versions, licensing, and S3 server configuration have been completed.
- A peering relationship exists between source and destination clusters, and a peering relationship exists between source and destination storage VMs.
- CA Certificates are needed for the source and destination VMs. You can use self-signed CA certificates or certificates signed by an external CA vendor.

#### About this task

You will need to perform tasks on both source and destination clusters.

#### System Manager procedure

1. If this is the first S3 SnapMirror relationship for this storage VM, verify that root user keys exist for both source and destination storage VMs and regenerate them if they do not:
  - a. Click **Storage > Storage VMs** and then select the storage VM.
  - b. In the **Settings** tab, click  in the **S3** tile.
  - c. In the **Users** tab, verify that there is an access key for the root user.

- d. If there is not, click  next to **root**, then click **Regenerate Key**.  
Do not regenerate the key if one already exists.
2. Verify that user and group access is correct in both the source and destination storage VMs:  
Click **Storage > storage VMs**, click the storage VM, click **Settings** and then click  under **S3**.  
  
See [Add S3 users and groups](#) for more information.
3. On the source cluster, create an S3 SnapMirror policy if you don't have an existing one and you don't want to use the default policy:
  - a. Click **Protection > Overview**, and then click **Local Policy Settings**.
  - b. Click  next to **Protection Policies**, then click **Add**.
  - c. Enter the policy name and description.
  - d. Select the policy scope, cluster or SVM
  - e. Select **Continuous** for S3 SnapMirror relationships.
  - f. Enter your **Throttle** and **Recovery Point Objective** values.
4. Verify that the bucket access policy of the existing bucket still meets your needs:
  - a. Click **Storage > Buckets** and then select the bucket you want to protect.
  - b. In the **Permissions** tab, click  **Edit**, then click **Add** under **Permissions**.
    - **Principal and Effect:** select values corresponding to your user group settings, or accept the defaults.
    - **Actions:** make sure the following values are shown:  
`GetObject, PutObject, DeleteObject, ListBucket, GetBucketAcl, GetObjectAcl, ListBucketMultipartUploads, ListMultipartUploadParts`
    - **Resources:** use the defaults (`bucketname, bucketname/*`) or other values you need.  
See [Manage user access to buckets](#) for more information about these fields.
5. Protect an existing bucket with S3 SnapMirror protection:
  - a. Click **Storage > Buckets** and then select the bucket you want to protect.
  - b. Click **Protect** and enter the following values:
    - Destination
      - **TARGET:** ONTAP System
      - **CLUSTER:** Select the remote cluster.
      - **STORAGE VM:** Select a storage VM on the remote cluster.
      - **S3 SERVER CA CERTIFICATE:** Copy and paste the contents of the *source* certificate.
    - Source
      - **S3 SERVER CA CERTIFICATE:** Copy and paste the contents of the *destination* certificate.

Check **Use the same certificate on the destination** if you are using a certificate signed by an external CA vendor.

If you click **Destination Settings**, you can also enter your own values in place of the defaults for bucket name, capacity, and performance service level.

When you click **Save**, the existing bucket is mirrored to a new bucket in the destination storage VM.

#### CLI procedure

1. If this is the first S3 SnapMirror relationship for this SVM, verify that root user keys exist for both source and destination SVMs and regenerate them if they do not:

```
vserver object-store-server user show
```

Verify that there is an access key for the root user. If there is not, enter:

```
vserver object-store-server user regenerate-keys -vserver svm_name -user root
```

Do not regenerate the key if one already exists.

2. Create a bucket on the destination SVM to be the mirror target:

```
vserver object-store-server bucket create -vserver svm_name -bucket  
dest_bucket_name [-size integer[KB|MB|GB|TB|PB]] [-comment text]  
[additional_options]
```

3. Verify that the access rules of the default bucket policies are correct in both the source and destination SVMs:

```
vserver object-store-server bucket policy add-statement -vserver svm_name  
-bucket bucket_name -effect {allow|deny} -action object_store_actions  
-principal user_and_group_names -resource object_store_resources [-sid text]  
[-index integer]
```

#### Example

```
src_cluster::> vserver object-store-server bucket policy add-statement  
-bucket test-bucket -effect allow -action  
GetObject,PutObject,DeleteObject,ListBucket,GetBucketAcl,GetObjectAcl,Li  
stBucketMultipartUploads,ListMultipartUploadParts -principal - -resource  
test-bucket, test-bucket /*
```

4. On the source SVM, create an S3 SnapMirror policy if you don't have an existing one and you don't want to use the default policy:

```
snapmirror policy create -vserver svm_name -policy policy_name -type  
continuous [-rpo integer] [-throttle throttle_type] [-comment text]  
[additional_options]
```

#### Parameters:

- `continuous` – the only policy type for S3 SnapMirror relationships (required).
- `-rpo` – specifies the time for recovery point objective, in seconds (optional).
- `-throttle` – specifies the upper limit on throughput/bandwidth, in kilobytes/seconds (optional).



### Example

```
src_cluster::> snapmirror policy create -vserver vs0 -type continuous  
-rpo 0 -policy test-policy
```

#### 5. Install CA certificates on the admin SVMs of source and destination clusters:

- a. On the source cluster, install the CA certificate that signed the *destination* S3 server certificate:  
`security certificate install -type server-ca -vserver src_admin_svm -cert  
-name dest_server_certificate`
- b. On the destination cluster, install the CA certificate that signed the *source* S3 server certificate:  
`security certificate install -type server-ca -vserver dest_admin_svm -cert  
-name src_server_certificate`

If you are using a certificate signed by an external CA vendor, install the same certificate on the source and destination admin SVM.

See the `security certificate install` man page for details.

#### 6. On the source SVM, create an S3 SnapMirror relationship:

```
snapmirror create -source-path src_svm_name:/bucket/bucket_name -destination  
-path dest_peer_svm_name:/bucket/bucket_name, ...} [-policy policy_name]
```

You can use a policy you created or accept the default.

### Example

```
src_cluster::> snapmirror create -source-path vs0:/bucket/test-bucket  
-destination-path vs1:/bucket/test-bucket-mirror -policy test-policy
```

#### 7. Verify that mirroring is active:

```
snapmirror show -policy-type continuous -fields status
```

### Takeover and serve data from the destination bucket (remote cluster)

If the data in a source bucket becomes unavailable, you can break the SnapMirror relationship to make the destination bucket writable and begin serving data.

#### About this task

When a takeover operation is performed, source bucket is converted to read-only and original destination bucket is converted to read-write, thereby reversing the S3 SnapMirror relationship.

When the disabled source bucket is available again, S3 SnapMirror automatically resynchronizes the contents of the two buckets. It is not necessary to explicitly resynchronize the relationship, as is required for volume SnapMirror deployments.

The takeover operation must be initiated from the remote cluster.

## System Manager procedure

Failover from the unavailable bucket and begin serving data:

1. Click **Protection > Relationships**, then select **S3 SnapMirror**.
2. Click , select **Failover**, then click **Failover**.

## CLI procedure

1. Initiate a failover operation for the destination bucket:  
`snapmirror failover start -destination-path svm_name:/bucket/bucket_name`
2. Verify the status of the failover operation:  
`snapmirror show -fields status`

### Example

```
dest_cluster::> snapmirror failover start -destination-path  
dest_svm1:/bucket/test-bucket-mirror
```

## Restore a bucket from the destination storage VM (remote cluster)

If data in a source bucket is lost or corrupted, you repopulate your data by restoring from a destination bucket.

### About this task

You can restore the destination bucket to an existing bucket or a new bucket. The target bucket for the restore operation must be larger than the destination bucket's logical used space.

If you use an existing bucket, it must be empty when starting a restore operation. Restore does not "roll back" a bucket in time; rather, it populates an empty bucket with its previous contents.

The restore operation must be initiated from the remote cluster.

## System Manager procedure

Restore the back-up data:

1. Click **Protection > Relationships**, then select **S3 SnapMirror**.
2. Click  and then select **Restore**.
3. Under **Source**, select **Existing Bucket** (the default) or **New Bucket**.
  - To restore to an **Existing Bucket** (the default), complete these actions:
    - Select the cluster and storage VM to search for the existing bucket.
    - Select the existing bucket.
    - Copy and paste the contents of the *destination* S3 server CA certificate.
  - To restore to a **New Bucket**, enter the following values:
    - The cluster and storage VM to host the new bucket.
    - The new bucket's name, capacity, and performance service level.

See [Storage service levels](#) for more information.

- The contents of the *destination* S3 server CA certificate.
4. Under **Destination**, copy and paste the contents of the *source* S3 server CA certificate.
  5. Click **Protection > Relationships** to monitor the restore progress.

#### CLI procedure

1. If you are restoring to a new bucket, create the new bucket. For more information, see [Create a backup relationship for a new bucket \(cloud target\)](#).
2. Initiate a restore operation for the destination bucket:  

```
snapmirror restore -source-path svm_name:/bucket/bucket_name -destination-path svm_name:/bucket/bucket_name
```

#### Example

```
dest_cluster::> snapmirror restore -source-path src_vs1:/bucket/test-bucket -destination-path dest_vs1:/bucket/test-bucket-mirror
```

## Mirror and backup protection on the local cluster

### Create a mirror relationship for a new bucket (local cluster)

When you create new S3 buckets, you can protect them immediately to an S3 SnapMirror destination on the same cluster. You can mirror data to a bucket in a different storage VM or the same storage VM as the source.


#### What you'll need

- Requirements for ONTAP versions, licensing, and S3 server configuration have been completed.
- A peering relationship exists between source and destination storage VMs.
- CA Certificates are needed for the source and destination VMs. You can use self-signed CA certificates or certificates signed by an external CA vendor.

#### System Manager procedure

1. If this is the first S3 SnapMirror relationship for this storage VM, verify that root user keys exist for both source and destination storage VMs and regenerate them if they do not:
  - a. Click **Storage > Storage VMs** and then select the storage VM.
  - b. In the **Settings** tab, click  in the S3 tile.
  - c. In the **Users** tab, verify that there is an access key for the root user
  - d. If there is not, click  next to **root**, then click **Regenerate Key**.  
Do not regenerate the key if one already exists.
2. Edit the storage VM to add users, and to add users to groups, in both the source and destination storage VMs:  
Click **Storage > storage VMs**, click the storage VM, click **Settings** and then click  under S3.

See [Add S3 users and groups](#) for more information.

3. Create an S3 SnapMirror policy if you don't have an existing one and you don't want to use the default policy:
  - a. Click **Protection > Overview**, and then click **Local Policy Settings**.
  - b. Click  next to **Protection Policies**, then click **Add**.
    - Enter the policy name and description.
    - Select the policy scope, cluster or SVM
    - Select **Continuous** for S3 SnapMirror relationships.
    - Enter your **Throttle** and **Recovery Point Objective** values.
4. Create a bucket with SnapMirror protection:
  - a. Click **Storage > Buckets** then click **Add**.
  - b. Enter a name, select the storage VM, enter a size, then click **More Options**.
  - c. Under **Permissions**, click **Add**. Verifying permissions is optional but recommended.
    - **Principal** and **Effect** - select values corresponding to your user group settings, or accept the defaults.
    - **Actions** - make sure the following values are shown:  
`GetObject, PutObject, DeleteObject, ListBucket, GetBucketAcl, GetObjectAcl, ListBucketMultipartUploads, ListMultipartUploadParts`
    - **Resources** - use the defaults (`bucketname, bucketname/*`) or other values you need

See [Manage user access to buckets](#) for more information about these fields.
  - d. Under **Protection**, check **Enable SnapMirror (ONTAP or Cloud)**. Then enter the following values:
    - Destination
      - **TARGET**: ONTAP System
      - **CLUSTER**: Select the remote cluster.
      - **STORAGE VM**: Select a storage VM on the remote cluster.
      - **S3 SERVER CA CERTIFICATE**: Copy and paste the contents of the source certificate.
    - Source
      - **S3 SERVER CA CERTIFICATE**: Copy and paste the contents of the destination certificate.

Check **Use the same certificate on the destination** if you are using a certificate signed by an external CA vendor.

If you click **Destination Settings**, you can also enter your own values in place of the defaults for bucket name, capacity, and performance service level.

When you click **Save**, a new bucket is created in the source storage VM, and it is mirrored to a new bucket that is created the destination storage VM.

#### CLI procedure

1. If this is the first S3 SnapMirror relationship for this SVM, verify that root user keys exist for both source and destination SVMs and regenerate them if they do not:  

```
vserver object-store-server user show
```

Verify that there is an access key for the root user. If there is not, enter:

```
vserver object-store-server user regenerate-keys -vserver svm_name -user root
```

Do not regenerate the key if one already exists.

## 2. Create buckets in both the source and destination SVMs:

```
vserver object-store-server bucket create -vserver svm_name -bucket  
bucket_name [-size integer[KB|MB|GB|TB|PB]] [-comment text]  
[additional_options]
```

## 3. Add access rules to the default bucket policies in both the source and destination SVMs:

```
vserver object-store-server bucket policy add-statement -vserver svm_name  
-bucket bucket_name -effect {allow|deny} -action object_store_actions  
-principal user_and_group_names -resource object_store_resources [-sid text]  
[-index integer]
```

### Example

```
src_cluster::> vserver object-store-server bucket policy add-statement  
-bucket test-bucket -effect allow -action  
GetObject,PutObject,DeleteObject,ListBucket,GetBucketAcl,GetObjectAcl,Li  
stBucketMultipartUploads,ListMultipartUploadParts -principal - -resource  
test-bucket, test-bucket /*
```

## 4. Create an S3 SnapMirror policy if you don't have an existing one and you don't want to use the default policy:

```
snapmirror policy create -vserver svm_name -policy policy_name -type  
continuous [-rpo integer] [-throttle throttle_type] [-comment text]  
[additional_options]
```

### Parameters:

- `continuous` – the only policy type for S3 SnapMirror relationships (required).
- `-rpo` – specifies the time for recovery point objective, in seconds (optional).
- `-throttle` – specifies the upper limit on throughput/bandwidth, in kilobytes/seconds (optional).

### Example

```
src_cluster::> snapmirror policy create -vserver vs0 -type continuous  
-rpo 0 -policy test-policy
```

## 5. Install CA server certificates on the admin SVM:

### a. Install the CA certificate that signed the *source* S3 server's certificate on the admin SVM:

```
security certificate install -type server-ca -vserver admin_svm -cert-name  
src_server_certificate
```

### b. Install the CA certificate that signed the *destination* S3 server's certificate on the admin SVM:

```
security certificate install -type server-ca -vserver admin_svm -cert-name
dest_server_certificate
```

If you are using a certificate signed by an external CA vendor, you only need to install this certificate on the admin SVM.

See the `security certificate install` man page for details.

6. Create an S3 SnapMirror relationship:

```
snapmirror create -source-path src_svm_name:/bucket/bucket_name -destination
-path dest_peer_svm_name:/bucket/bucket_name, ...} [-policy policy_name]`
```

You can use a policy you created or accept the default.

**Example**

```
src_cluster::> snapmirror create -source-path vs0-src:/bucket/test-
bucket -destination-path vs1-dest:/vs1/bucket/test-bucket-mirror -policy
test-policy
```

7. Verify that mirroring is active:

```
snapmirror show -policy-type continuous -fields status
```

**Create a mirror relationship for an existing bucket (local cluster)**

You can begin protecting existing S3 buckets on the same cluster at any time; for example, if you upgraded an S3 configuration from a release earlier than ONTAP 9.10.1. You can mirror data to a bucket in a different storage VM or the same storage VM as the source.

**What you'll need**

- Requirements for ONTAP versions, licensing, and S3 server configuration have been completed.
- A peering relationship exists between source and destination storage VMs.
- CA Certificates are needed for the source and destination VMs. You can use self-signed CA certificates or certificates signed by an external CA vendor.

**System Manager procedure**

1. If this is the first S3 SnapMirror relationship for this storage VM, verify that root user keys exist for both source and destination storage VMs and regenerate them if they do not:
  - a. Click **Storage > Storage VMs** and then select the storage VM.
  - b. In the **Settings** tab, click  in the **S3** tile.
  - c. In the **Users** tab, verify that there is an access key for the root user.
  - d. If there is not, click  next to **root**, then click **Regenerate Key**.  
Do not regenerate the key if one already exists
2. Verify that user and group access is correct in both the source and destination storage VMs:
  - Click **Storage > storage VMs**, click the storage VM, click **Settings** and then click  under S3.

See [Add S3 users and groups](#) for more information.

3. Create an S3 SnapMirror policy if you don't have an existing one and you don't want to use the default policy:
  - a. Click **Protection > Overview**, and then click **Local Policy Setting**.
  - b. Click  next to **Protection Policies**, then click **Add**.
    - Enter the policy name and description.
    - Select the policy scope, cluster or SVM
    - Select **Continuous** for S3 Snapmirror relationships.
    - Enter your **Throttle** and **Recovery Point Objective** values.
4. Verify that the bucket access policy of the existing bucket continues to meet your needs:
  - a. Click **Storage > Buckets** and then select the bucket you want to protect.
  - b. In the **Permissions** tab, click  **Edit**, then click **Add** under **Permissions**.
    - **Principal** and **Effect** - select values corresponding to your user group settings, or accept the defaults.
    - **Actions** - make sure the following values are shown:  
`GetObject, PutObject, DeleteObject, ListBucket, GetBucketAcl, GetObjectAcl, ListBucketMultipartUploads, ListMultipartUploadParts`
    - **Resources** - use the defaults (*bucketname*, *bucketname/\**) or other values you need.

See [Manage user access to buckets](#) for more information about these fields.

5. Protect an existing bucket with S3 SnapMirror:
  - a. Click **Storage > Buckets** and then select the bucket you want to protect.
  - b. Click **Protect** and enter the following values:
    - Destination
      - **TARGET**: ONTAP System
      - **CLUSTER**: Select the local cluster.
      - **STORAGE VM**: Select the same or a different storage VM.
      - **S3 SERVER CA CERTIFICATE**: Copy and paste the contents of the *source* certificate.
    - Source
      - **S3 SERVER CA CERTIFICATE**: Copy and paste the contents of the *destination* certificate.

Check **Use the same certificate on the destination** if you are using a certificate signed by an external CA vendor.

If you click **Destination Settings**, you can also enter your own values in place of the defaults for bucket name, capacity, and performance service level.

When you click **Save**, the existing bucket is mirrored to a new bucket in the destination storage VM.

#### CLI procedure

1. If this is the first S3 SnapMirror relationship for this SVM, verify that root user keys exist for both source and destination SVMs and regenerate them if they do not:

```
vserver object-store-server user show
```

Verify that there is an access key for the root user. If there is not, enter:

```
vserver object-store-server user regenerate-keys -vserver svm_name -user root
```

Do not regenerate the key if one already exists.

2. Create a bucket on the destination SVM to be the mirror target:

```
vserver object-store-server bucket create -vserver svm_name -bucket  
dest_bucket_name [-size integer[KB|MB|GB|TB|PB]] [-comment text]  
[additional_options]
```

3. Verify that the access rules to the default bucket policies are correct in both the source and destination SVMs:

```
vserver object-store-server bucket policy add-statement -vserver svm_name  
-bucket bucket_name -effect {allow|deny} -action object_store_actions  
-principal user_and_group_names -resource object_store_resources [-sid text]  
[-index integer]
```

**Example**

```
clusterA::> vserver object-store-server bucket policy add-statement  
-bucket test-bucket -effect allow -action  
GetObject,PutObject,DeleteObject,ListBucket,GetBucketAcl,GetObjectAcl,Li  
stBucketMultipartUploads,ListMultipartUploadParts -principal - -resource  
test-bucket, test-bucket /*
```

4. Create an S3 SnapMirror policy if you don't have an existing one and you don't want to use the default policy:

```
snapmirror policy create -vserver svm_name -policy policy_name -type  
continuous [-rpo integer] [-throttle throttle_type] [-comment text]  
[additional_options]
```

**Parameters:**

- *continuous* – the only policy type for S3 SnapMirror relationships (required).
- *-rpo* – specifies the time for recovery point objective, in seconds (optional).
- *-throttle* – specifies the upper limit on throughput/bandwidth, in kilobytes/seconds (optional).

**Example**

```
clusterA::> snapmirror policy create -vserver vs0 -type continuous  
-rpo 0 -policy test-policy
```

5. Install CA server certificates on the admin SVM:

- a. Install the CA certificate that signed the *source* S3 server's certificate on the admin SVM:



```
security certificate install -type server-ca -vserver admin_svm -cert-name src_server_certificate
```

- b. Install the CA certificate that signed the *destination* S3 server's certificate on the admin SVM:

```
security certificate install -type server-ca -vserver admin_svm -cert-name dest_server_certificate
```

If you are using a certificate signed by an external CA vendor, you only need to install this certificate on the admin SVM.

See the `security certificate install` man page for details.

6. Create an S3 SnapMirror relationship:

```
snapmirror create -source-path src_svm_name:/bucket/bucket_name -destination  
-path dest_peer_svm_name:/bucket/bucket_name, ...} [-policy policy_name]
```

You can use a policy you created or accept the default.

#### Example

```
src_cluster::> snapmirror create -source-path vs0-src:/bucket/test-  
bucket -destination-path vs1-dest:/bucket/test-bucket-mirror -policy  
test-policy
```

7. Verify that mirroring is active:

```
snapmirror show -policy-type continuous -fields status
```

### Takeover and serve data from the destination bucket (local cluster)

If the data in a source bucket becomes unavailable, you can break the SnapMirror relationship to make the destination bucket writable and begin serving data.

#### About this task

When a takeover operation is performed, source bucket is converted to read-only and original destination bucket is converted to read-write, thereby reversing the S3 SnapMirror relationship.

When the disabled source bucket is available again, S3 SnapMirror automatically resynchronizes the contents of the two buckets. You don't need to explicitly resynchronize the relationship, as is required for standard volume SnapMirror deployments.

If the destination bucket is on a remote cluster, the takeover operation must be initiated from the remote cluster.

#### System Manager procedure

Failover from the unavailable bucket and begin serving data:

1. Click **Protection > Relationships**, then select **S3 SnapMirror**.
2. Click , select **Failover**, then click **Failover**.

## CLI procedure

1. Initiate a failover operation for the destination bucket:

```
snapmirror failover start -destination-path svm_name:/bucket/bucket_name
```

2. Verify the status of the failover operation:

```
snapmirror show -fields status
```

## Example

```
clusterA::> snapmirror failover start -destination-path vs1:/bucket/test-bucket-mirror
```

## Restore a bucket from the destination storage VM (remote cluster)

When data in a source bucket is lost or corrupted, you repopulate your data by restoring from a destination bucket.

### About this task

You can restore the destination bucket to an existing bucket or a new bucket. The target bucket for the restore operation must be larger than the destination bucket's logical used space.

If you use an existing bucket, it must be empty when starting a restore operation. Restore does not "roll back" a bucket in time; rather, it populates an empty bucket with its previous contents.

The restore operation must be initiated from the remote cluster.

## System Manager procedure

Restore the back-up data:

1. Click **Protection > Relationships**, then select the bucket.
2. Click  and then select **Restore**.
3. Under **Source**, select **Existing Bucket** (the default) or **New Bucket**.
  - To restore to an **Existing Bucket** (the default), complete these actions:
    - Select the cluster and storage VM to search for the existing bucket.
    - Select the existing bucket.
4. Copy and paste the contents of the destination S3 server CA certificate.
  - To restore to a **New Bucket**, enter the following values:
    - The cluster and storage VM to host the new bucket.
    - The new bucket's name, capacity, and performance service level. See [Storage service levels](#) for more information.
    - The contents of the destination S3 server CA certificate.
5. Under **Destination**, copy and paste the contents of the source S3 server CA certificate.
6. Click **Protection > Relationships** to monitor the restore progress.

## CLI procedure

1. If you are restoring to a new bucket, create the new bucket. For more information, see [Create a backup relationship for a new bucket \(cloud target\)](#).

2. Initiate a restore operation for the destination bucket:

```
snapmirror restore -source-path svm_name:/bucket/bucket_name -destination-path  
svm_name:/bucket/bucket_name
```

### Example

```
clusterA::> snapmirror restore -source-path vs0:/bucket/test-bucket  
-destination-path vs1:/bucket/test-bucket-mirror
```

## Backup protection with cloud targets

### Requirements for cloud target relationships

Make sure that your source and target environments meet the requirements for S3 SnapMirror backup protection to cloud targets.

You must have valid account credentials with the object store provider to access the data bucket.

Intercluster network interfaces and an IPspace should be configured on the cluster before the cluster can connect to a cloud object store. You should create inter cluster network interfaces on each node to seamlessly transfer data from the local storage to the cloud object store.

For StorageGRID targets, you need to know the following information:

- server name, expressed as a fully-qualified domain name (FQDN) or IP address
- bucket name; the bucket must already exist
- access key
- secret key

In addition, the CA certificate used to sign the StorageGRID server certificate needs to be installed on the ONTAP S3 cluster's admin storage VM using the `security certificate install` command. For more information, see [Installing a CA certificate](#) if you use StorageGRID.

For AWS S3 targets, you need to know the following information:

- server name, expressed as a fully-qualified domain name (FQDN) or IP address
- bucket name; the bucket must already exist
- access key
- secret key

The DNS server for the ONTAP cluster's admin storage VM must be able to resolve FQDNs (if used) to IP addresses.

### Create a backup relationship for a new bucket (cloud target)

When you create new S3 buckets, you can back them up immediately to an S3 SnapMirror target bucket on an object store provider, which can be a StorageGRID system or an AWS S3 deployment.

## What you'll need

- You have valid account credentials and configuration information for the object store provider.
- Intercluster network interfaces and an IPspace have been configured on the source system.
- • The DNS configuration for the source storage VM must be able to resolve the target's FQDN.

## System Manager procedure

1. Edit the storage VM to add users, and to add users to groups:

- a. Click **Storage > storage VMs**, click the storage VM, click **Settings** and then click  under **S3**.

See [Add S3 users and groups](#) for more information.

2. Add a Cloud Object Store on the source system:

- a. Click **Protection > Overview**, then select **Cloud Object Stores**.
- b. Click **Add**, then select **Amazon S3** or **StorageGRID**.
- c. Enter the following values:

- Cloud object store name
- URL style (path or virtual-hosted)
- storage VM (enabled for S3)
- Object store server name (FQDN)
- Object store certificate
- Access key
- Secret key
- Container (bucket) name

3. Create an S3 SnapMirror policy if you don't have an existing one and you don't want to use the default policy:

- a. Click **Protection > Overview**, and then click **Local Policy Settings**.
- b. Click  next to **Protection Policies**, then click **Add**.
  - Enter the policy name and description.
  - Select the policy scope, cluster or SVM
  - Select **Continuous** for S3 SnapMirror relationships.
  - Enter your **Throttle** and **Recovery Point Objective** values.

4. Create a bucket with SnapMirror protection:

- a. Click **Storage > Buckets**, then click **Add**.
- b. Enter a name, select the storage VM, enter a size, then click **More Options**.
- c. Under **Permissions**, click **Add**. Verifying permissions is optional but recommended.
  - **Principal** and **Effect** - select values corresponding to your user group settings or accept the defaults.
  - **Actions** - make sure the following values are shown:  
`GetObject, PutObject, DeleteObject, ListBucket, GetBucketAcl, GetObjectAcl, ListBucketMultipartUploads, ListMultipartUploadParts`

- **Resources** - use the defaults `_(bucketname, bucketname/*)` or other values you need.

See [Manage user access to buckets](#) for more information about these fields.

- d. Under **Protection**, check **Enable SnapMirror (ONTAP or Cloud)**, select **Cloud Storage**, then select the **Cloud Object Store**.

When you click **Save**, a new bucket is created in the source storage VM, and it is backed up to the cloud object store.

### CLI procedure

1. If this is the first S3 SnapMirror relationship for this SVM, verify that root user keys exist for both source and destination SVMs and regenerate them if they do not:

```
vserver object-store-server user show
```

Confirm that there is an access key for the root user. If there is not, enter:

```
vserver object-store-server user regenerate-keys -vserver svm_name -user root
```

Do not regenerate the key if one already exists.

2. Create a bucket in the source SVM:

```
vserver object-store-server bucket create -vserver svm_name -bucket
bucket_name [-size integer[KB|MB|GB|TB|PB]] [-comment text]
[additional_options]
```

3. Add access rules to the default bucket policy:

```
vserver object-store-server bucket policy add-statement -vserver svm_name
-bucket bucket_name -effect {allow|deny} -action object_store_actions
-principal user_and_group_names -resource object_store_resources [-sid text]
[-index integer]
```

### Example

```
clusterA::> vserver object-store-server bucket policy add-statement
-bucket test-bucket -effect allow -action
GetObject,PutObject,DeleteObject,ListBucket,GetBucketAcl,GetObjectAcl,Li
stBucketMultipartUploads,ListMultipartUploadParts -principal - -resource
test-bucket, test-bucket /*
```

4. Create an S3 SnapMirror policy if you don't have an existing one and you don't want to use the default policy:

```
snapmirror policy create -vserver svm_name -policy policy_name -type
continuous [-rpo integer] [-throttle throttle_type] [-comment text]
[additional_options]
```

#### Parameters:

- \* `type continuous` – the only policy type for S3 SnapMirror relationships (required).
- \* `-rpo` – specifies the time for recovery point objective, in seconds (optional).
- \* `-throttle` – specifies the upper limit on throughput/bandwidth, in kilobytes/seconds (optional).

### Example

```
clusterA::> snapmirror policy create -vserver vs0 -type continuous -rpo
0 -policy test-policy
```

5. If the target is a StorageGRID system, install the StorageGRID CA server certificate on the admin SVM of the source cluster:

```
security certificate install -type server-ca -vserver src_admin_svm -cert-name
storage_grid_server_certificate
```

See the `security certificate install` man page for details.

6. Define the S3 SnapMirror destination object store:

```
snapmirror object-store config create -vserver svm_name -object-store-name
target_store_name -usage data -provider-type {AWS_S3|SGWS} -server target_FQDN
-container-name remote_bucket_name -is-ssl-enabled true -port port_number
-access-key target_access_key -secret-password target_secret_key
```

#### Parameters:

- \* `-object-store-name` – the name of the object store target on the local ONTAP system.
- \* `-usage` – use data for this workflow.
- \* `-provider-type` – AWS\_S3 and SGWS (StorageGRID) targets are supported.
- \* `-server` – the target server's FQDN or IP address.
- \* `-is-ssl-enabled` –enabling SSL is optional but recommended.

See the `snapmirror object-store config create` man page for details.

### Example

```
src_cluster::> snapmirror object-store config create -vserver vs0
-object-store-name sgws-store -usage data -provider-type SGWS -server
sgws.example.com -container-name target-test-bucket -is-ssl-enabled true
-port 443 -access-key abc123 -secret-password xyz890
```

7. Create an S3 SnapMirror relationship:

```
snapmirror create -source-path svm_name:/bucket/bucket_name -destination-path
object_store_name:/objstore -policy policy_name
```

#### Parameters:

- \* `-destination-path` – the object store name you created in the previous step and the fixed value `objstore`.

You can use a policy you created or accept the default.

### Example

```
src_cluster::> snapmirror create -source-path vs0:/bucket/test-bucket
-destination-path sgws-store:/objstore -policy test-policy
```

8. Verify that mirroring is active:

```
snapmirror show -policy-type continuous -fields status
```

### Create a backup relationship for an existing bucket (cloud target)

You can begin backing up existing S3 buckets at any time; for example, if you upgraded an S3 configuration from a release earlier than ONTAP 9.10.1.

#### What you'll need

- You have valid account credentials and configuration information for the object store provider.
- Intercluster network interfaces and an IPspace have been configured on the source system.
- The DNS configuration for the source storage VM must be able to resolve the target's FQDN.

#### System Manager procedure

1. Verify that the users and groups are correctly defined:  
Click **Storage > storage VMs**, click the storage VM, click **Settings** and then click  under S3.  
  
See [Add S3 users and groups](#) for more information.
2. Create an S3 SnapMirror policy if you don't have an existing one and you don't want to use the default policy:
  - a. Click **Protection > Overview**, and then click **Local Policy Settings**.
  - b. Click  next to **Protection Policies**, then click **Add**.
  - c. Enter the policy name and description.
  - d. Select the policy scope, cluster or SVM
  - e. Select **Continuous** for S3 SnapMirror relationships.
  - f. Enter your **Throttle** and **Recovery Point Objective values**.
3. Add a Cloud Object Store on the source system:
  - a. Click **Protection > Overview**, then select **Cloud Object Store**.
  - b. Click **Add**, then select **Amazon S3** or **Others** for StorageGRID Webscale.
  - c. Enter the following values:
    - Cloud object store name
    - URL style (path or virtual-hosted)
    - storage VM (enabled for S3)
    - Object store server name (FQDN)
    - Object store certificate
    - Access key
    - Secret key
    - Container (bucket) name
4. Verify that the bucket access policy of the existing bucket still meets your needs:
  - a. Click **Storage > Buckets** and then select the bucket you want to protect.
  - b. In the **Permissions** tab, click  **Edit**, then click **Add** under **Permissions**.

- **Principal and Effect** - select values corresponding to your user group settings or accept the defaults.
- **Actions** - make sure the following values are shown:  
GetObject, PutObject, DeleteObject, ListBucket, GetBucketAcl, GetObjectAcl, ListBucketMultipartUploads, ListMultipartUploadParts
- **Resources** - use the defaults (*bucketname*, *bucketname/\**) or other values you need.

See [Manage user access to buckets](#) for more information about these fields.

#### 5. Back up the bucket using S3 SnapMirror:

- Click **Storage > Buckets** and then select the bucket you want to back up.
- Click **Protect**, select **Cloud Storage** under **Target**, then select the **Cloud Object Store**.

When you click **Save**, the existing bucket is backed up to the cloud object store.

### CLI procedure

#### 1. Verify that the access rules in the default bucket policy are correct:

```
vserver object-store-server bucket policy add-statement -vserver svm_name
-bucket bucket_name -effect {allow|deny} -action object_store_actions
-principal user_and_group_names -resource object_store_resources [-sid text]
[-index integer]
```

#### Example

```
clusterA::> vsriver object-store-server bucket policy add-statement
-bucket test-bucket -effect allow -action
GetObject,PutObject,DeleteObject,ListBucket,GetBucketAcl,GetObjectAcl,
ListBucketMultipartUploads,ListMultipartUploadParts -principal - -resource
test-bucket, test-bucket /*
```

#### 2. Create an S3 SnapMirror policy if you don't have an existing one and you don't want to use the default policy:

```
snapmirror policy create -vserver svm_name -policy policy_name -type
continuous [-rpo integer] [-throttle throttle_type] [-comment text]
[additional_options]
```

#### Parameters:

- \* `type continuous` – the only policy type for S3 SnapMirror relationships (required).
- \* `-rpo` – specifies the time for recovery point objective, in seconds (optional).
- \* `-throttle` – specifies the upper limit on throughput/bandwidth, in kilobytes/seconds (optional).

#### Example

```
clusterA::> snapmirror policy create -vserver vs0 -type continuous -rpo
0 -policy test-policy
```

#### 3. If the target is a StorageGRID system, install the StorageGRID CA certificate on the admin SVM of the source cluster:



```
security certificate install -type server-ca -vserver src_admin_svm -cert-name storage_grid_server_certificate
```

See the `security certificate install` man page for details.

#### 4. Define the S3 SnapMirror destination object store:

```
snapmirror object-store config create -vserver svm_name -object-store-name target_store_name -usage data -provider-type {AWS_S3|SGWS} -server target_FQDN -container-name remote_bucket_name -is-ssl-enabled true -port port_number -access-key target_access_key -secret-password target_secret_key
```

##### Parameters:

- \* `-object-store-name` – the name of the object store target on the local ONTAP system.
- \* `-usage` – use data for this workflow.
- \* `-provider-type` – AWS\_S3 and SGWS (StorageGRID) targets are supported.
- \* `-server` – the target server's FQDN or IP address.
- \* `-is-ssl-enabled` –enabling SSL is optional but recommended.

See the `snapmirror object-store config create` man page for details.

##### Example

```
src_cluster::> snapmirror object-store config create -vserver vs0 -object-store-name sgws-store -usage data -provider-type SGWS -server sgws.example.com -container-name target-test-bucket -is-ssl-enabled true -port 443 -access-key abc123 -secret-password xyz890
```

#### 5. Create an S3 SnapMirror relationship:

```
snapmirror create -source-path svm_name:/bucket/bucket_name -destination-path object_store_name:/objstore -policy policy_name
```

##### Parameters:

- \* `-destination-path` – the object store name you created in the previous step and the fixed value `objstore`.

You can use a policy you created or accept the default.

##### Example

```
src_cluster::> snapmirror create -source-path vs0:/bucket/buck-evp -destination-path sgws-store:/objstore -policy test-policy
```

#### 6. Verify that mirroring is active:

```
snapmirror show -policy-type continuous -fields status
```

### Restore a bucket from a cloud target

When data in a source bucket is lost or corrupted, you repopulate your data by restoring from a destination bucket.

## About this task

You can restore the destination bucket to an existing bucket or a new bucket. The target bucket for the restore operation must be larger than the destination bucket's logical used space.

If you use an existing bucket, it must be empty when starting a restore operation. Restore does not "roll back" a bucket in time; rather, it populates an empty bucket with its previous contents.

## System Manager procedure

Restore the back-up data:

1. Click **Protection > Relationships**, then select **S3 SnapMirror**.
2. Click  and then select **Restore**.
3. Under **Source**, select **Existing Bucket** (the default) or **New Bucket**.
  - To restore to an **Existing Bucket** (the default), complete these actions:
    - Select the cluster and storage VM to search for the existing bucket.
    - Select the existing bucket.
    - Copy and paste the contents of the *destination* S3 server CA certificate.
  - To restore to a **New Bucket**, enter the following values:
    - The cluster and storage VM to host the new bucket.
    - The new bucket's name, capacity, and performance service level.  
See [Storage service levels](#) for more information.
    - The contents of the destination S3 server CA certificate.
4. Under **Destination**, copy and paste the contents of the *source* S3 server CA certificate.
5. Click **Protection > Relationships** to monitor the restore progress.

## CLI procedure

1. If you are restoring to a new bucket, create the new bucket. For more information, see [Create a backup relationship for a bucket \(cloud target\)](#).
2. Initiate a restore operation for the destination bucket:

```
snapmirror restore -source-path object_store_name:/objstore -destination-path  
svm_name:/bucket/bucket_name
```

### Example

The following example restores a destination bucket to an existing bucket.


```
clusterA::> snapmirror restore -source-path sgws.store:/objstore -destination  
-path vs0:/bucket/test-bucket
```

## Modify a mirror policy

You might want to modify an S3 mirror policy; for example, if you want to adjust the RPO and throttle values.

## System Manager procedure

If you want to adjust these values, you can edit an existing protection policy.

1. Click **Protection > Relationships**, and then select the protection policy for the relationship you want to modify.
2. Click  next to the policy name, then click **Edit**.

## CLI procedure

Modify an S3 SnapMirror policy:

```
snapmirror policy modify -vserver svm_name -policy policy_name [-rpo integer] [-throttle throttle_type] [-comment text]
```

Parameters:

- `-rpo` – specifies the time for recovery point objective, in seconds.
- `-throttle` – specifies the upper limit on throughput/bandwidth, in kilobytes/seconds.

## Example

```
clusterA::> snapmirror policy modify -vserver vs0 -policy test-policy -rpo 60
```

# Audit S3 events

## Audit S3 events

Beginning with ONTAP 9.10.1, you can audit data and management events in ONTAP S3 environments. S3 audit functionality is similar to existing NAS auditing capabilities, and S3 and NAS auditing can coexist in a cluster.

When you create and enable an S3 auditing configuration on an SVM, S3 events are recorded in a log file. The you can specify the following events to be logged:

- Object access (data) events  
GetObject, PutObject, and DeleteObject
- Management events  
PutBucket and DeleteBucket

The log format is JavaScript Object Notation (JSON).

The combined limit for S3 and NFS auditing configurations is 50 SVMs per cluster.

The following license bundle is required:

- Core Bundle, for ONTAP S3 protocol and storage

For more information, see [How the ONTAP auditing process works](#).

## Guaranteed auditing

By default, S3 and NAS auditing is guaranteed. ONTAP guarantees that all auditable bucket access events are recorded, even if a node is unavailable. A requested bucket operation cannot be completed until the audit record for that operation is saved to the staging volume on persistent storage. If audit records cannot be committed in the staging files, either because of insufficient space or because of other issues, client operations are denied.

## Space requirements for auditing

In the ONTAP auditing system, audit records are initially stored in binary staging files on individual nodes. Periodically, they are consolidated and converted to user-readable event logs, which are stored in the audit event log directory for the SVM.

The staging files are stored in a dedicated staging volume, which is created by ONTAP when the auditing configuration is created. There is one staging volume per aggregate.

You must plan for sufficient available space in the auditing configuration:

- For the staging volumes in aggregates that contain audited buckets.
- For the volume containing the directory where converted event logs are stored.

You can control the number of event logs, and hence the available space in the volume, using one of two methods when creating the S3 auditing configuration:

- A numerical limit; the `-rotate-limit` parameter controls the minimum number of audit files that must be preserved.
- A time limit; the `-retention-duration` parameter controls the maximum period that files can be preserved.

In both parameters, once that configured is exceeded, older audit files can be deleted to make room for newer ones. For both parameters, the value is 0, indicating that all files must be maintained. In order to ensure sufficient space, it is therefore a best practice to set one of the parameters to a non-zero value.

Because of guaranteed auditing, if the space available for audit data runs out before the rotation limit, newer audit data cannot be created, resulting in failure to clients accessing data. Therefore, the choice of this value and of the space allocated to auditing must be chosen carefully, and you must respond to warnings about available space from the auditing system.

For more information, see [Basic auditing concepts](#).

## Plan an S3 auditing configuration

You must specify a number of parameters for the S3 auditing configuration or accept the defaults. In particular, you should consider which log rotation parameters will help ensure adequate free space.

See the `vserver object-store-server audit create` man page for syntax details.

## General parameters

There are two required parameters that you must specify when you create the auditing configuration. There are also three optional parameters that you can specify.

Type of information	Option	Required
<b><i>SVM name</i></b>  Name of the SVM on which to create the auditing configuration.  The SVM must already exist and be enabled for S3.	<code>-verserver <i>svm_name</i></code>	Yes
<b><i>Log destination path</i></b>  Specifies where the converted audit logs are stored. The path must already exist on the SVM.  The path can be up to 864 characters in length and must have read-write permissions.  If the path is not valid, the audit configuration command fails.	<code>-destination <i>text</i></code>	Yes
<b><i>Categories of events to audit</i></b>  The following event categories can be audited: <ul style="list-style-type: none"><li>• data GetObject, PutObject, and DeleteObject events</li><li>• management PutBucket and DeleteBucket events</li></ul> The default is to audit data events only.	<code>-events {data management}, ...</code>	No

You can enter one of the following parameters to control the number of audit log files. If no value is entered, all log files are retained.

Type of information	Option	Required
<b><i>Log files rotation limit</i></b>  Determines how many audit log files to retain before rotating the oldest log file out. For example, if you enter a value of 5, the last five log files are retained.  A value of 0 indicates that all the log files are retained. The default value is 0.	<code>-rotate-limit <i>integer</i></code>	No

<p><i>Log files duration limit</i></p> <p>Determines how long a log file can be retained before being deleted. For example, if you enter a value of 5d0h0m, logs more than 5 days old are deleted.</p> <p>A value of 0 indicates that all the log files are retained. The default value is 0.</p>	<p>-retention duration <i>integer_time</i></p>	<p>No</p>
---	--	-----------

## Parameters for audit log rotation

You can rotate audit logs based on size or schedule. The default is to rotate audit logs based on size.

### Rotate logs based on log size

If you want to use the default log rotation method and the default log size, you do not need to configure any specific parameters for log rotation. The default log size is 100 MB.

If you do not want to use the default log size, you can configure the `-rotate-size` parameter to specify a custom log size.

If you want to reset the rotation based on a log size alone, use the following command to unset the `-rotate-schedule-minute` parameter:

```
vserver audit modify -vserver svm_name -destination / -rotate-schedule-minute -
```

### Rotate logs based on a schedule

If you choose to rotate the audit logs based on a schedule, you can schedule log rotation by using the time-based rotation parameters in any combination.

- If you use time-based rotation, the `-rotate-schedule-minute` parameter is mandatory.
- All other time-based rotation parameters are optional.
  - `-rotate-schedule-month`
  - `-rotate-schedule-dayofweek`
  - `-rotate-schedule-day`
  - `-rotate-schedule-hour`
- The rotation schedule is calculated by using all the time-related values.  
For example, if you specify only the `-rotate-schedule-minute` parameter, the audit log files are rotated based on the minutes specified on all days of the week, during all hours on all months of the year.
- If you specify only one or two time-based rotation parameters (for example, `-rotate-schedule-month` and `-rotate-schedule-minutes`), the log files are rotated based on the minute values that you specified on all days of the week, during all hours, but only during the specified months.

For example, you can specify that the audit log is to be rotated during the months January, March, and August on all Mondays, Wednesdays, and Saturdays at 10:30 a.m.

- If you specify values for both `-rotate-schedule-dayofweek` and `-rotate-schedule-day`, they are considered independently.

For example, if you specify `-rotate-schedule-dayofweek` as Friday and `-rotate-schedule-day` as 13, then the audit logs would be rotated on every Friday and on the 13th day of the specified month, not just on every Friday the 13th.

- If you want to reset the rotation based on a schedule alone, use the following command to unset the `-rotate-size` parameter:

```
vserver audit modify -vserver svm_name -destination / -rotate-size -
```

### Rotate logs based on log size and schedule

You can choose to rotate the log files based on log size and a schedule by setting both the `-rotate-size` parameter and the time-based rotation parameters in any combination. For example: if `-rotate-size` is set to 10 MB and `-rotate-schedule-minute` is set to 15, the log files rotate when the log file size reaches 10 MB or on the 15th minute of every hour (whichever event occurs first).

## Create and enable an S3 auditing configuration

To implement S3 auditing, you first create a persistent object store auditing configuration on an S3-enabled SVM, then enable the configuration.

### What you'll need

- An S3-enabled SVM.
- Sufficient space for staging volumes in the aggregate.

### About this task

An auditing configuration is required for each SVM that contains S3 buckets that you wish to audit. You can enable S3 auditing on new or existing S3 servers. Auditing configurations persist in an S3 environment until removed by the **`vserver object-store-server audit delete`** command.

The S3 auditing configuration applies to all buckets in the SVM that you select for auditing. An audit-enabled SVM can contain audited and un-audited buckets.

It is recommended that you configure S3 auditing for automatic log rotation, determined by log size or a schedule. If you don't configure automatic log rotation, all log files are retained by default. You can also rotate S3 log files manually using the **`vserver object-store-server audit rotate-log`** command.

If the SVM is an SVM disaster recovery source, the destination path cannot be on the root volume.

### Procedure

1. Create the auditing configuration to rotate audit logs based on log size or a schedule.

If you want to rotate audit logs by...	Enter...
Log size	<pre>vserver object-store-server audit create -vserver <i>svm_name</i> -destination <i>path</i> [[-events] {data management}, ...] [[-rotate-limit <i>integer</i>]   [-retention-duration [<i>integer_d</i>] [<i>integer_h</i>] [<i>integer_m</i>] [<i>integers_s</i>]]] [-rotate-size {<i>integer</i>[KB MB GB TB PB]}]</pre>

If you want to rotate audit logs by...	Enter...
A schedule	<pre>vserver object-store-server audit create -vserver svm_name -destination path [[-events] {data management}, ...] [[-rotate-limit integer]   [- retention-duration [integerd][integerh] [integerm ][integers]] ] [-rotate-schedule-month chron_month] [-rotate-schedule-dayofweek chron_dayofweek] [- rotate-schedule-day chron_dayofmonth] [-rotate- schedule-hour chron_hour] -rotate-schedule-minute chron_minute</pre> <p>The <code>-rotate-schedule-minute</code> parameter is required if you are configuring time-based audit log rotation.</p>

## 2. Enable S3 auditing:

```
vserver object-store-server audit enable -vserver svm_name
```

### Examples

The following example creates an auditing configuration that audits all S3 events (the default) using size-based rotation. The logs are stored in the `/audit_log` directory. The log file size limit is 200 MB. The logs are rotated when they reach 200 MB in size.

```
cluster1::> vserver audit create -vserver vs1 -destination /audit_log -rotate
-size 200MB
```

The following example creates an auditing configuration that audits all S3 events (the default) using size-based rotation. The log file size limit is 100 MB (the default), and the logs are retained for 5 days before being deleted.

```
cluster1::> vserver audit create -vserver vs1 -destination /audit_log -retention
-duration 5d0h0m
```

The following example creates an auditing configuration that audits S3 management events, and central access policy staging events using time-based rotation. The audit logs are rotated monthly, at 12:30 p.m. on all days of the week. The log rotation limit is 5.

```
cluster1::> vserver audit create -vserver vs1 -destination /audit_log -events
management -rotate-schedule-month all -rotate-schedule-dayofweek all -rotate
-schedule-hour 12 -rotate-schedule-minute 30 -rotate-limit 5
```

## Select buckets for S3 auditing

You must specify which buckets to audit in an audit-enabled SVM.

### What you'll need

- An SVM enabled for S3 auditing.

### About this task



S3 auditing configurations are enabled on a per-SVM basis, but you must select the buckets in SVMs that are enabled for audit. If you add buckets to the SVM and you want the new buckets to be audited, you must select them with this procedure. You can also have non-audited buckets in an SVM enabled for S3 auditing.

Auditing configurations persist for buckets until removed by the `vserver object-store-server audit object-select delete` command.

**Procedure**

Select a bucket for S3 auditing:

```
vserver object-store-server audit event-selector create -vserver svm_name -bucket bucket_name [[-access] {read-only|write-only|all}] [[-permission] {allow-only|deny-only|all}]
```

- `-access` - specifies the type of event access to be audited: `read-only`, `write-only` or `all` (default is `all`).
- `-permission` - specifies the type of event permission to be audited: `allow-only`, `deny-only` or `all` (default is `all`).

**Example**

The following example creates a bucket auditing configuration that only logs allowed events with read-only access:

```
cluster1::> vserver object-store-server audit event-selector create -vserver vs1 -bucket test-bucket -access read-only -permission allow-only
```

**Modify an S3 auditing configuration**

You can modify the auditing parameters of individual buckets or the auditing configuration of all buckets selected for audit in the SVM.

Table 1. Procedure

If you want to modify the audit configuration for...	Enter...
Individual buckets	<code>vserver object-store-server audit event-selector modify -vserver svm_name [-bucket bucket_name] [parameters to modify]</code>
All buckets in the SVM	<code>vserver object-store-server audit modify -vserver svm_name [parameters to modify]</code>

**Examples**

The following example modifies an individual bucket auditing configuration to audit only write-only access events:

```
cluster1::> vserver object-store-server audit event-selector modify -vserver vs1 -bucket test-bucket -access write-only
```

The following example modifies the auditing configuration of all buckets in the SVM to change the log size limit to 10MB and to retain 3 log files before rotating.

```
cluster1::> vservers object-store-server audit modify -vservers vs1 -rotate
-size 10MB -rotate-limit 3
```

Show S3 auditing configurations

After completing the auditing configuration, you can verify that auditing is configured properly and is enabled. You can also display information about all object store auditing configurations in the cluster.

About this task

You can display information about bucket and SVM auditing configurations.

- Buckets – use the `vservers object-store-server audit event-selector show` command

Without any parameters, the command displays the following information about buckets in all SVMs in the cluster with object store auditing configurations:

- SVM name
- Bucket name
- Access and permission values

- SVMs – use the `vservers object-store-server audit show` command

Without any parameters, the command displays the following information about all SVMs in the cluster with object store auditing configurations:

- SVM name
- Audit state
- Target directory

You can specify the `-fields` parameter to specify which audit configuration information to display.

Procedure

Show information about S3 auditing configurations:

If you want to modify the configuration for...	Enter...
Buckets	<code>vservers object-store-server audit event-selector show [-vservers svm_name] [parameters]</code>
SVMs	<code>vservers object-store-server audit show [-vservers svm_name] [parameters]</code>

Examples

The following example displays information for a single bucket:

```
cluster1::> vserver object-store-server audit event-selector show -vserver
vs1 -bucket test-bucket
```

Vserver	Bucket	Access	Permission
vs1	bucket1	read-only	allow-only

The following example displays information for all buckets on an SVM:

```
cluster1::> vserver object-store-server audit event-selector show -vserver
vs1
```

Vserver	:vs1
Bucket	:test-bucket
Access	:all
Permission	:all

The following example displays the name, audit state, event types, log format, and target directory for all SVMs.

```
cluster1::> vserver object-store-server audit show
```

Vserver	State	Event Types	Log Format	Target Directory
vs1	false	data	json	/audit_log

The following example displays the SVM names and details about the audit log for all SVMs.

```
cluster1::> vserver object-store-server audit show -log-save-details
```

Vserver	Rotation File Size	Rotation Schedule	Rotation Limit
vs1	100MB	-	0

The following example displays in list form all audit configuration information about all SVMs.

```
cluster1::> vserver object-store-server audit show -instance
```

```

    Vserver: vs1
    Auditing state: true
    Log Destination Path: /audit_log
    Categories of Events to Audit: data
    Log Format: json
    Log File Size Limit: 100MB
    Log Rotation Schedule: Month: -
Log Rotation Schedule: Day of Week: -
    Log Rotation Schedule: Day: -
    Log Rotation Schedule: Hour: -
    Log Rotation Schedule: Minute: -
    Rotation Schedules: -
    Log Files Rotation Limit: 0
    Log Retention Time: 0s
```

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