



# **Data protection and disaster recovery**

## **ONTAP 9**

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# Data protection and disaster recovery

## Data protection with System Manager

### Data protection overview with System Manager

The topics in this section show you how to configure and manage data protection with System Manager in ONTAP 9.7 and later releases.

If you are using System Manager in ONTAP 9.7 or earlier, see [ONTAP System Manager Classic documentation](#)

Protect your data by creating and managing Snapshot copies, mirrors, vaults, and mirror-and-vault relationships.

*SnapMirror* is disaster recovery technology, designed for failover from primary storage to secondary storage at a geographically remote site. As its name implies, SnapMirror creates a replica, or mirror, of your working data in secondary storage from which you can continue to serve data in the event of a catastrophe at the primary site.

A *vault* is designed for disk-to-disk Snapshot copy replication for standards compliance and other governance-related purposes. In contrast to a SnapMirror relationship, in which the destination usually contains only the Snapshot copies currently in the source volume, a vault destination typically retains point-in-time Snapshot copies created over a much longer period.

Beginning with ONTAP 9.10.1, you can create data protection relationships between S3 buckets using S3 SnapMirror. Destination buckets can be on local or remote ONTAP systems, or on non-ONTAP systems such as StorageGRID and AWS. For more information, see [S3 SnapMirror overview](#).

### Create custom data protection policies

You can create custom data protection policies with System Manager when the existing default protection policies are not appropriate for your needs. Beginning with ONTAP 9.11.1, you can use System Manager to create custom mirror and vault policies, to display and select legacy policies. This capability is also available in ONTAP 9.8P12 and later patches of ONTAP 9.8.

Create custom protection policies on both the source and destination cluster.

#### Steps

1. Click **Protection > Local Policy Settings**.
2. Under **Protection Policies**, click .
3. In the **Protection Policies** pane, click  **Add**.
4. Enter the new policy name, and select the policy scope.
5. Choose a policy type. To add a vault-only or mirror-only policy, choose **Asynchronous**, and click **Use a legacy policy type**.
6. Complete the required fields.
7. Click **Save**.

8. Repeat these steps on the other cluster.

## Configure Snapshot copies

You can create Snapshot copy policies to specify the maximum number of Snapshot copies that are automatically created and how frequently they are created. The policy specifies when to create Snapshot copies, how many copies to retain, and how to name them.

This procedure creates a Snapshot copy policy on the local cluster only.

### Steps

1. Click **Protection > Overview > Local Policy Settings**.
2. Under **Snapshot Policies**, click , and then click **+ Add**.
3. Type the policy name, select the policy scope, and under **Schedules**, click **+ Add** to enter the schedule details.

## Calculate reclaimable space before deleting Snapshot copies

Beginning with ONTAP 9.10.1, you can use System Manager to select Snapshot copies you want to delete and calculate the reclaimable space before you delete them.

### Steps

1. Click **Storage > Volumes**.
2. Select the volume from which you want to delete Snapshot copies.
3. Click **Snapshot Copies**.
4. Select one or more Snapshot copies.
5. Click **Calculate Reclaimable Space**.

## Enable or disable client access to Snapshot copy directory

Beginning with ONTAP 9.10.1, you can use System Manager to enable or disable client systems to access to a Snapshot copy directory on a volume. Enabling access makes the Snapshot copy directory visible to clients and allows Windows clients to map a drive to the Snapshot copies directory to view and access its contents.

You can enable or disable access to a volume's Snapshot copy directory by editing the volume settings or by editing the volume's share settings.

### Enable or disable client access to Snapshot copy directory by editing a volume

The Snapshot copy directory on a volume is accessible to clients by default.

### Steps

1. Click **Storage > Volumes**.
2. Select the volume containing the Snapshot copies directory you want to either show or hide.
3. Click  and select **Edit**.

4. In the **Snapshot Copies (Local) Settings** section, select or deselect **Show the Snapshot copies directory to clients**.
5. Click **Save**.

### Enable or disable client access to Snapshot copy directory by editing a share

The Snapshot copy directory on a volume is accessible to clients by default.

#### Steps

1. Click **Storage > Shares**.
2. Select the volume containing the Snapshot copies directory you want to either show or hide.
3. Click  and select **Edit**.
4. In the **Share Properties** section, select or deselect **Allow clients to access Snapshot copies directory**.
5. Click **Save**.

### Recover from Snapshot copies

You can recover a volume to an earlier point in time by restoring from a Snapshot copy.

This procedure restores a volume from a Snapshot copy.

#### Steps

1. Click **Storage** and select a volume.
2. Under **Snapshot Copies**, click  next to the Snapshot copy you want to restore, and select **Restore**.

### Prepare for mirroring and vaulting

You can protect your data by replicating it to a remote cluster for data backup and disaster recovery purposes.

Several default protection policies are available. You must have created your protection policies if you want to use custom policies.



#### Steps

1. In the local cluster, click **Protection > Overview**.
2. Expand **Intercluster Settings**. Click **Add Network Interfaces** and add intercluster network interfaces for the cluster.

Repeat this step on the remote cluster.

3. In the remote cluster, click **Protection > Overview**. Click  in the Cluster Peers section and click **Generate**

## Passphrase.

4. Copy the generated passphrase and paste it in the local cluster.
5. In the local cluster, under Cluster Peers, click **Peer Clusters** and peer the local and remote clusters.
6. Optionally, under Storage VM Peers, click  and then **Peer Storage VMs** to peer the storage VMs.
7. Click **Protect Volumes** to protect your volumes. To protect your LUNs, click **Storage > LUNs**, select a LUN to protect, and then click  **Protect**.

Select the protection policy based on the type of data protection you need.

8. To verify the volumes and LUNs are successfully protected from the local cluster, click **Storage > Volumes** or **Storage > LUNs** and, expand the volume/LUN view.

## Other ways to do this in ONTAP

To perform these tasks with...	See this content...
System Manager Classic (available with ONTAP 9.7 and earlier)	<a href="#">Volume disaster recovery preparation overview</a>
The ONTAP command line interface	<a href="#">Create a cluster peer relationship</a>

## Configure mirrors and vaults

Create a mirror and vault of a volume to protect data in case of a disaster and to have multiple archived versions of data to which you can roll back. Beginning with ONTAP 9.11.1, you can use System Manager to select pre-created and custom mirror and vault policies, to display and select legacy policies, and to override the transfer schedules defined in a protection policy when protecting volumes and storage VMs. This capability is also available in ONTAP 9.8P12 and later patches of ONTAP 9.8.



If you are using ONTAP 9.8P12 or later ONTAP 9.8 patch release and you configured SnapMirror using System Manager, you should contact NetApp Support before upgrading to ONTAP 9.9.1 or ONTAP 9.10.1 patch releases.

This procedure creates a data protection policy on a remote cluster. The source cluster and destination cluster use intercluster network interfaces for exchanging data. The procedure assumes the [intercluster network interfaces are created and the clusters containing the volumes are peered](#) (paired). You can also peer storage VMs for data protection; however, if storage VMs are not peered, but permissions are enabled, storage VMs are automatically peered when the protection relationship is created.



## Steps

1. Select the volume or LUN to protect: click **Storage > Volumes** or **Storage > LUNs**, and then click the desired volume or LUN name.
2. Click  **Protect**.

3. Select the destination cluster and storage VM.
4. The asynchronous policy is selected by default. To select a synchronous policy, click **More Options**.
5. Click **Protect**.
6. Click the **SnapMirror (Local or Remote)** tab for the selected volume or LUN to verify that protection is set up correctly.

#### Other ways to do this in ONTAP

To perform these tasks with...	See this content...
System Manager Classic (available with ONTAP 9.7 and earlier)	<a href="#">Volume backup using SnapVault overview</a>
The ONTAP command line interface	<a href="#">Create a replication relationship</a>

## Configure storage VM disaster recovery

Using System Manager, you can create an storage VM disaster recovery (storage VM DR) relationship to replicate one storage VM configuration to another. In the event of a disaster at the primary site, you can quickly activate the destination storage VM.

Complete this procedure from the destination. If you need to create a new protection policy, for instance, when your source storage VM has SMB configured, you should use System Manager to create the policy and select the **Copy source storage VM configuration** option in the **Add Protection Policy** window.

For details see [Create custom data protection policies](#).

#### Steps

1. On the destination cluster, click **Protection > Relationships**.
2. Under **Relationships**, click **Protect** and choose **Storage VMs (DR)**.
3. Select a protection policy. If you created a custom protection policy, select it, then choose the source cluster and storage VM you want to replicate. You can also create a new destination storage VM by entering a new storage VM name.
4. Click **Save**.

## Serve data from a SnapMirror destination

To serve data from a mirror destination when a source becomes unavailable, stop scheduled transfers to the destination, and then break the SnapMirror relationship to make the destination writable.



#### Steps

1. Select the desired protection relationship: click **Protection > Relationships**, and then click the desired

volume name.

2. Click .
3. Stop scheduled transfers : click **Pause**.
4. Make the destination writable: click **Break**.
5. Go to the main **Relationships** page to verify that the relationship state displays as "broken off".

#### Next steps:

When the disabled source volume is available again, you should resynchronize the relationship to copy the current data to the original source volume. This process replaces the data on the original source volume.

#### Other ways to do this in ONTAP

To perform these tasks with...	See this content...
System Manager Classic (available with ONTAP 9.7 and earlier)	<a href="#">Volume disaster recovery overview</a>
The ONTAP command line interface	<a href="#">Activate the destination volume</a>

## Resynchronize a protection relationship

When your original source volume is available again after a disaster, you can resynchronize data from the destination volume and reestablish the protection relationship.

This procedure replaces the data in the original source volume in an asynchronous relationship so that you can start serving data from the original source volume again and resume the original protection relationship.

#### Steps

1. Click **Protection > Relationships** and then click the broken off relationship you want to resynchronize.
2. Click  and then select **Resync**.
3. Under **Relationships**, monitor the resynchronization progress by checking the relationship state. The state changes to "Mirrored" when resynchronization is complete.

## Restore a volume from an earlier Snapshot copy

When data in a volume is lost or corrupted, you can roll back your data by restoring from an earlier Snapshot copy.

This procedure replaces the current data on the source volume with data from an earlier Snapshot copy version. You should perform this task on the destination cluster.

#### Steps

1. Click **Protection > Relationships**, and then click the source volume name.
2. Click  and then select **Restore**.
3. Under **Source**, the source volume is selected by default. Click **Other Volume** if you want to choose a volume other than the source.
4. Under **Destination**, choose the Snapshot copy you want to restore.



5. If your source and destination are located on different clusters, on the remote cluster, click **Protection > Relationships** to monitor the restore progress.

### Other ways to do this in ONTAP

To perform these tasks with...	See this content...
System Manager Classic (available with ONTAP 9.7 and earlier)	<a href="#">Volume restore using SnapVault overview</a>
The ONTAP command line interface	<a href="#">Restore the contents of a volume from a SnapMirror destination</a>

## Recover from Snapshot copies

You can recover a volume to an earlier point in time by restoring from a Snapshot copy.

This procedure restores a volume from a Snapshot copy.

### Steps

1. Click **Storage** and select a volume.
2. Under **Snapshot Copies**, click  next to the Snapshot copy you want to restore, and select **Restore**.

## Restore to a new volume

Beginning with ONTAP 9.8, you can use System Manager to restore backed up data on the destination volume to a volume other than the original source.

When you restore to a different volume, you can select an existing volume, or you can create a new volume.

### Steps

1. Select the desired protection relationship: click **Protection > Relationships**.
2. Click  and click **Restore**.
3. Under **Relationships**, monitor the restore progress by viewing **Transfer Status** for the relationship.

## Reverse Resynchronizing a Protection Relationship

Beginning with ONTAP 9.8, you can use System Manager to perform a reverse resynchronization operation to delete an existing protection relationship and reverse the functions of the source and destination volumes. Then you use the destination volume to serve data while you repair or replace the source, update the source, and reestablish the original configuration of the systems.

When you perform a reverse resynch operation, any data on the source volume that is newer than the data in the common Snapshot copy is deleted.

### Steps

1. Select the desired protection relationship: click **Protection > Relationships**.
2. Click  and click **Reverse Resync**.

3. Under **Relationships**, monitor the reverse resynchronization progress by viewing **Transfer Status** for the relationship.

## Reactivate a source storage VM

Beginning with ONTAP 9.8, you can use System Manager to reactivate a source storage VM after a disaster. Reactivating the source storage VM stops the destination storage VM, and it reenables replication from the source to the destination.

### Steps

1. Select the desired protection relationship: click **Protection > Relationships**.
2. Click  and click **Reactivate Source Storage VM**.
3. Under **Relationships**, monitor the source reactivation progress by viewing **Transfer Status** for the protection relationship.

## Resynchronize a destination storage VM

Beginning with ONTAP 9.8, you can use System Manager to resynchronize the data and configuration details from the source storage VM to the destination storage VM in a broken protection relationship and reestablish the relationship.

ONTAP 9.11.1 introduces an option to bypass a full data warehouse rebuild when you perform a disaster recovery rehearsal, enabling you to return to production faster.

You perform the resync operation only from the destination of the original relationship. The resync deletes any data in the destination storage VM that is newer than the data in the source storage VM.

### Steps

1. Select the desired protection relationship: click **Protection > Relationships**.
2. Optionally, select **Perform a quick resync** to bypass a full data warehouse rebuild during a disaster recovery rehearsal.
3. Click  and click **Resync**.
4. Under **Relationships**, monitor the resynchronization progress by viewing **Transfer Status** for the relationship.

## Back up data to the cloud using SnapMirror

Beginning with ONTAP 9.9.1, you can back up your data to the cloud and to restore your data from cloud storage to a different volume by using System Manager. You can use either StorageGRID or ONTAP S3 as your cloud object store.

Before using the SnapMirror Cloud feature, you should generate a SnapMirror Cloud API license key on the NetApp Support Site: [Generate SnapMirror Cloud API license key](#)

### Add a cloud object store

Before you configure SnapMirror Cloud backups, you need to add a StorageGRID or ONTAP S3 cloud object store.

### Steps

1. Click **Protection > Overview > Cloud Object Stores**.
2. Click **+ Add**.

### Back up using the default policy

You can quickly configure a SnapMirror Cloud backup for an existing volume using the default cloud protection policy, DailyBackup.

### Steps

1. Click **Protection > Overview** and select **Back Up Volumes to Cloud**.
2. If this is your first time backing up to the cloud, enter your SnapMirror Cloud API license key in the license field as indicated.
3. Click **Authenticate and Continue**.
4. Select a source volume.
5. Select a cloud object store.
6. Click **Save**.

### Create a custom cloud backup policy

If you do not want to use the default DailyBackup cloud policy for your SnapMirror Cloud backups, you can create your own policy.

### Steps

1. Click **Protection > Overview > Local Policy Settings** and select **Protection Policies**.
2. Click **Add** and enter the new policy details.
3. In the **Policy Type** section, select **Back up to Cloud** to indicate that you are creating a cloud policy.
4. Click **Save**.

### Create a backup from the Volumes page

You can use the System Manager **Volumes** page to when you want to select and create cloud backups for multiple volumes at one time or when you want to use a custom protection policy.

### Steps

1. Click **Storage > Volumes**.
2. Select the volumes you want to back up to the cloud, and click **Protect**.
3. In the **Protect Volume** window, click **More Options**.
4. Select a policy.

You can select the default policy, DailyBackup, or a custom cloud policy you created.

5. Select a cloud object store.
6. Click **Save**.

## Restore from the cloud

You can use System Manager to restore backed up data from cloud storage to a different volume on the source cluster.

### Steps

1. Click **Storage > Volumes**.
2. Select the **Back Up to Cloud** tab.
3. Click  next to the source volume you want to restore, and select **Restore**.
4. Under **Source**, select a storage VM and then enter the name of the volume to which you want the data restored.
5. Under **Destination**, select the Snapshot copy you want to restore.
6. Click **Save**.

## Delete a SnapMirror Cloud relationship

You can use System Manager to delete a cloud relationship.


### Steps

1. Click **Storage > Volumes** and select the volume you want to delete.
2. Click  next to the source volume and select **Delete**.
3. Select **Delete the cloud object store endpoint (optional)** if you want to delete the cloud object store endpoint.
4. Click **Delete**.

## Remove a cloud object store

You can use System Manager to remove a cloud object store if it is not part of a cloud backup relationship. When a cloud object store is part of a cloud backup relationship, it cannot be deleted.

### Steps

1. Click **Protection > Overview > Cloud Object Stores**.
2. Select the object store you want to delete, click  and select **Delete**.

## Back up data using Cloud Backup

Beginning with ONTAP 9.9.1, you can use System Manager to back up data in the cloud using Cloud Backup.



Cloud Backup supports FlexVol read-write volumes and data-protection (DP) volumes. FlexGroup volumes and SnapLock volumes are not supported.

### Before you begin

You should perform the following procedures to establish an account in Cloud Manager. For the service account, you need to create the role as "Account Admin". (Other service account roles do not have the required privileges needed to establish a connection from System Manager.)

1. [Create an account in Cloud Manager](#).

2. [Create a connector in Cloud Manager](#) with one of the following cloud providers:

- Microsoft Azure
- Amazon Web Services (AWS)
- Google Cloud Platform (GCP)
- StorageGrid (ONTAP 9.10.1)



Beginning with ONTAP 9.10.1, you can select StorageGrid as a cloud backup provider, but only if Cloud Manager is deployed on premises. The Cloud Manager connector must be installed on premises and available through the Cloud Manager software-as-a-service (SaaS) application.

3. [Subscribe to Cloud Backup Service in Cloud Manager](#) (requires the appropriate license).

4. [Generate an access key and a secret key using Cloud Manager](#).

## Register the cluster with Cloud Manager

You can register the cluster with Cloud Manager by using either Cloud Manager or System Manager.

### Steps

1. In System Manager, go to **Protection Overview**.
2. Under **Cloud Backup Service**, provide the following details:
  - Client ID
  - Client secret key
3. Select **Register and Continue**.

## Enable Cloud Backup

After the cluster is registered with Cloud Manager, you need to enable the Cloud Backup and initiate the first backup to the cloud.

### Steps

1. In System Manager, click **Protection > Overview**, then scroll to the **Cloud Backup Service** section.
2. Enter the **Client ID** and **Client Secret**.



Beginning with ONTAP 9.10.1, you can learn about the cost of using the cloud by clicking **Learn more about the cost of using the cloud**.

3. Click **Connect and Enable Cloud Backup Service**.
4. On the **Enable Cloud Backup Service** page, provide the following details, depending on the provider you selected.

For this cloud provider...	Enter the following data...
Azure	<ul style="list-style-type: none"><li>• Azure Subscription ID</li><li>• Region</li><li>• Resource group name (existing or new)</li></ul>

AWS	<ul style="list-style-type: none"> <li>• AWS Account ID</li> <li>• Access key</li> <li>• Secret key</li> <li>• Region</li> </ul>
Google Cloud Project (GCP)	<ul style="list-style-type: none"> <li>• Google Cloud Project name</li> <li>• Google Cloud Access key</li> <li>• Google Cloud Secret key</li> <li>• Region</li> </ul>
StorageGrid (ONTAP 9.10.1 and later, and only for on-premises deployment of Cloud Manager)	<ul style="list-style-type: none"> <li>• Server</li> <li>• SG Access Key</li> <li>• SG Secret Key</li> </ul>

5. Select a **Protection policy**:

- **Existing policy**: Choose an existing policy.
- **New Policy**: Specify a name and set up a transfer schedule.



Beginning with ONTAP 9.10.1, you can specify whether you want to enable archiving with Azure or AWS.



If you enable archiving for a volume with Azure or AWS, you cannot disable the archiving.

If you enable archiving for Azure or AWS, specify the following:

- The number of days after which the volume is archived.
- The number of backups to retain in the archive. Specify “0” (zero) to archive up to the latest backup.
- For AWS, select the archive storage class.

6. Select the volumes you want to back up.

7. Select **Save**.

## Edit the protection policy used for Cloud Backup

You can change which protection policy is used with Cloud Backup.

### Steps

1. In System Manager, click **Protection > Overview**, then scroll to the **Cloud Backup Service** section.
2. Click , then **Edit**.
3. Select a **Protection policy**:
  - **Existing policy**: Choose an existing policy.

- **New Policy:** Specify a name and set up a transfer schedule.



Beginning with ONTAP 9.10.1, you can specify whether you want to enable archiving with Azure or AWS.



If you enable archiving for a volume with Azure or AWS, you cannot disable the archiving.

If you enable archiving for Azure or AWS, specify the following:

- The number of days after which the volume is archived.
- The number of backups to retain in the archive. Specify “0” (zero) to archive up to the latest backup.
- For AWS, select the archive storage class.

4. Select **Save**.

## Protect new volumes or LUNs on the cloud

When you create a new volume or LUN, you can establish a SnapMirror protection relationship that enables backing up to the cloud for the volume or LUN.

### Before you begin

- You should have a SnapMirror license.
- Intercluster LIFs should be configured.
- NTP should be configured.
- Cluster must be running ONTAP 9.9.1.

### About this task

You cannot protect new volumes or LUNs on the cloud for the following cluster configurations:

- The cluster cannot be in a MetroCluster environment.
- SVM-DR is not supported.
- FlexGroups cannot be backed up using Cloud Backup.

### Steps

1. When provisioning a volume or LUN, on the **Protection** page in System Manager, select the checkbox labeled **Enable SnapMirror (Local or Remote)**.
2. Select the Cloud Backup policy type.
3. If the Cloud Backup is not enabled, select **Enable Cloud Backup Service**.

## Protect existing volumes or LUNs on the cloud

You can establish a SnapMirror protection relationship for existing volumes and LUNs.

### Steps

1. Select an existing volume or LUN, and click **Protect**.
2. On the **Protect Volumes** page, specify **Backup using Cloud Backup Service** for the protection policy.

3. Click **Protect**.
4. On the **Protection** page, select the checkbox labeled **Enable SnapMirror (Local or Remote)**.
5. Select **Enable Cloud Backup Service**.

### Restore data from backup files

You can perform backup management operations, such as restoring data, updating relationships, and deleting relationships, only when using the Cloud Manager interface. Refer to [Restoring data from backup files](#) for more information.

## Cluster and SVM peering with the CLI

### Cluster and SVM peering overview with the CLI

You can create peer relationships between source and destination clusters and between source and destination storage virtual machines (SVMs). You must create peer relationships between these entities before you can replicate Snapshot copies using SnapMirror.

ONTAP 9.3 offers enhancements that simplify the way you configure peer relationships between clusters and SVMs. The cluster and SVMs peering procedures are available for all ONTAP 9 versions. You should use the appropriate procedure for your version of ONTAP.

You perform the procedures using the command-line interface (CLI), not System Manager or an automated scripting tool.

### Prepare for cluster and SVM peering

#### Peering basics

You must create *peer relationships* between source and destination clusters and between source and destination SVMs before you can replicate Snapshot copies using SnapMirror. A peer relationship defines network connections that enable clusters and SVMs to exchange data securely.

Clusters and SVMs in peer relationships communicate over the intercluster network using *intercluster logical interfaces (LIFs)*. An intercluster LIF is a LIF that supports the "intercluster-core" network interface service and is typically created using the "default-intercluster" network interface service policy. You must create intercluster LIFs on every node in the clusters being peered.

Intercluster LIFs use routes that belong to the system SVM to which they are assigned. ONTAP automatically creates a system SVM for cluster-level communications within an IPspace.

Fan-out and cascade topologies are both supported. In a cascade topology, you need only create intercluster networks between the primary and secondary clusters and between the secondary and tertiary clusters. You need not create an intercluster network between the primary and the tertiary cluster.





It is possible (but not advisable) for an administrator to remove the intercluster-core service from the default-intercluster service policy. If this occurs, LIFs created using "default-intercluster" will not actually be intercluster LIFs. To confirm that the default-intercluster service policy contains the intercluster-core service, use the following command:

```
network interface service-policy show -policy default-intercluster
```

## Prerequisites for cluster peering

Before you set up cluster peering, you should confirm that the connectivity, port, IP address, subnet, firewall, and cluster-naming requirements are met.

### Connectivity requirements

Every intercluster LIF on the local cluster must be able to communicate with every intercluster LIF on the remote cluster.

Although it is not required, it is typically simpler to configure the IP addresses used for intercluster LIFs in the same subnet. The IP addresses can reside in the same subnet as data LIFs, or in a different subnet. The subnet used in each cluster must meet the following requirements:

- The subnet must belong to the broadcast domain that contains the ports that are used for intercluster communication.
- The subnet must have enough IP addresses available to allocate to one intercluster LIF per node.

For example, in a four-node cluster, the subnet used for intercluster communication must have four available IP addresses.

Each node must have an intercluster LIF with an IP address on the intercluster network.

Intercluster LIFs can have an IPv4 address or an IPv6 address.



ONTAP 9 enables you to migrate your peering networks from IPv4 to IPv6 by optionally allowing both protocols to be present simultaneously on the intercluster LIFs. In earlier releases, all intercluster relationships for an entire cluster were either IPv4 or IPv6. This meant that changing protocols was a potentially disruptive event.

### Port requirements

You can use dedicated ports for intercluster communication, or share ports used by the data network. Ports must meet the following requirements:

- All ports that are used to communicate with a given remote cluster must be in the same IPspace.

You can use multiple IPspaces to peer with multiple clusters. Pair-wise full-mesh connectivity is required only within an IPspace.

- The broadcast domain that is used for intercluster communication must include at least two ports per node so that intercluster communication can fail over from one port to another port.

Ports added to a broadcast domain can be physical network ports, VLANs, or interface groups (ifgrps).

- All ports must be cabled.

- All ports must be in a healthy state.
- The MTU settings of the ports must be consistent.

### Firewall requirements

Firewalls and the intercluster firewall policy must allow the following protocols:

- ICMP service
- TCP to the IP addresses of all the intercluster LIFs over the ports 10000, 11104, and 11105
- Bidirectional HTTPS between the intercluster LIFs

Although HTTPS is not required when you set up cluster peering using the CLI, HTTPS is required later if you use System Manager to configure data protection.

The default `intercluster` firewall policy allows access through the HTTPS protocol and from all IP addresses (0.0.0.0/0). You can modify or replace the policy if necessary.

### Cluster requirement

Clusters must meet the following requirement:

- A cluster cannot be in a peer relationship with more than 255 clusters.

### Use shared or dedicated ports

You can use dedicated ports for intercluster communication, or share ports used by the data network. In deciding whether to share ports, you need to consider network bandwidth, the replication interval, and port availability.



You can share ports on one peered cluster while using dedicated ports on the other.

### Network bandwidth

If you have a high-speed network, such as 10 GbE, you might have enough local LAN bandwidth to perform replication using the same 10 GbE ports used for data access.

Even then, you should compare your available WAN bandwidth to your LAN bandwidth. If the available WAN bandwidth is significantly less than 10 GbE, you might need to use dedicated ports.



The one exception to this rule might be when all or many nodes in the cluster replicate data, in which case bandwidth utilization is typically spread across nodes.

If you are not using dedicated ports, the maximum transmission unit (MTU) size of the replication network should typically be the same as the MTU size of the data network.

### Replication interval

If replication takes place in off-peak hours, you should be able to use data ports for replication even without a 10-GbE LAN connection.

If replication takes place during normal business hours, you need to consider the amount of data that will be replicated and whether it requires so much bandwidth that it could cause contention with data protocols. If

network utilization by data protocols (SMB, NFS, iSCSI) is above 50%, you should use dedicated ports for intercluster communication, to allow for non-degraded performance if node failover occurs.

#### Port availability

If you determine that replication traffic is interfering with data traffic, you can migrate intercluster LIFs to any other intercluster-capable shared port on the same node.

You can also dedicate VLAN ports for replication. The bandwidth of the port is shared between all VLANs and the base port.

#### Use custom IPspaces to isolate replication traffic

You can use custom IPspaces to separate the interactions that a cluster has with its peers. Called *designated intercluster connectivity*, this configuration allows service providers to isolate replication traffic in multitenant environments.

Suppose, for example, that you want replication traffic between Cluster A and Cluster B to be separated from replication traffic between Cluster A and Cluster C. To accomplish this, you can create two IPspaces on Cluster A.

One IPspace contains the intercluster LIFs that you use to communicate with Cluster B. The other contains the intercluster LIFs that you use to communicate with Cluster C, as shown in the following illustration.



For custom IPspace configuration, see the *Network Management Guide*.

## Configure intercluster LIFs

#### Configure intercluster LIFs on shared data ports

You can configure intercluster LIFs on ports shared with the data network. Doing so reduces the number of ports you need for intercluster networking.

## Steps

1. List the ports in the cluster:

```
network port show
```

For complete command syntax, see the man page.

The following example shows the network ports in `cluster01`:

```
cluster01::> network port show
```

(Mbps)					Speed	
Node	Port	IPspace	Broadcast Domain	Link	MTU	Admin/Oper
-----	-----	-----	-----	-----	-----	-----
cluster01-01						
	e0a	Cluster	Cluster	up	1500	auto/1000
	e0b	Cluster	Cluster	up	1500	auto/1000
	e0c	Default	Default	up	1500	auto/1000
	e0d	Default	Default	up	1500	auto/1000
cluster01-02						
	e0a	Cluster	Cluster	up	1500	auto/1000
	e0b	Cluster	Cluster	up	1500	auto/1000
	e0c	Default	Default	up	1500	auto/1000
	e0d	Default	Default	up	1500	auto/1000

2. Create intercluster LIFs on the system SVM:

Option	Description
<b>In ONTAP 9.6 and later:</b>	<pre>network interface create -vserver system_SVM -lif LIF_name -service -policy default-intercluster -home -node node -home-port port -address port_IP -netmask netmask</pre>
<b>In ONTAP 9.5 and earlier:</b>	<pre>network interface create -vserver system_SVM -lif LIF_name -role intercluster -home-node node -home -port port -address port_IP -netmask netmask</pre>

For complete command syntax, see the man page.

The following example creates intercluster LIFs `cluster01_icl01` and `cluster01_icl02`:

```

cluster01::> network interface create -vserver cluster01 -lif
cluster01_icl01 -service-
policy default-intercluster -home-node cluster01-01 -home-port e0c
-address 192.168.1.201
-netmask 255.255.255.0

cluster01::> network interface create -vserver cluster01 -lif
cluster01_icl02 -service-
policy default-intercluster -home-node cluster01-02 -home-port e0c
-address 192.168.1.202
-netmask 255.255.255.0

```

### 3. Verify that the intercluster LIFs were created:

Option	Description
<b>In ONTAP 9.6 and later:</b>	network interface show -service-policy default-intercluster
<b>In ONTAP 9.5 and earlier:</b>	network interface show -role intercluster

For complete command syntax, see the man page.

```

cluster01::> network interface show -service-policy default-intercluster

```

	Logical	Status	Network	Current
Current Is				
Vserver	Interface	Admin/Oper	Address/Mask	Node
Home				Port
cluster01	cluster01_icl01	up/up	192.168.1.201/24	cluster01-01 e0c
true	cluster01_icl02	up/up	192.168.1.202/24	cluster01-02 e0c
true				

### 4. Verify that the intercluster LIFs are redundant:

Option	Description
In ONTAP 9.6 and later:	network interface show -service-policy default-intercluster -failover
In ONTAP 9.5 and earlier:	network interface show -role intercluster -failover

For complete command syntax, see the man page.

The following example shows that the intercluster LIFs `cluster01_icl01` and `cluster01_icl02` on the `e0c` port will fail over to the `e0d` port.

```
cluster01::> network interface show -service-policy default-intercluster
-failover
```

Vserver	Logical Interface	Home Node:Port	Failover Policy	Failover Group
cluster01	cluster01_icl01	cluster01-01:e0c	local-only	
192.168.1.201/24			Failover Targets: cluster01-01:e0c, cluster01-01:e0d	
	cluster01_icl02	cluster01-02:e0c	local-only	
192.168.1.201/24			Failover Targets: cluster01-02:e0c, cluster01-02:e0d	

### Configure intercluster LIFs on dedicated ports

You can configure intercluster LIFs on dedicated ports. Doing so typically increases the available bandwidth for replication traffic.

#### Steps

1. List the ports in the cluster:

```
network port show
```

For complete command syntax, see the man page.

The following example shows the network ports in `cluster01`:

```
cluster01::> network port show
```

(Mbps)		Speed				
Node	Port	IPspace	Broadcast Domain	Link	MTU	Admin/Oper
-----						
-----						
cluster01-01						
	e0a	Cluster	Cluster	up	1500	auto/1000
	e0b	Cluster	Cluster	up	1500	auto/1000
	e0c	Default	Default	up	1500	auto/1000
	e0d	Default	Default	up	1500	auto/1000
	e0e	Default	Default	up	1500	auto/1000
	e0f	Default	Default	up	1500	auto/1000
cluster01-02						
	e0a	Cluster	Cluster	up	1500	auto/1000
	e0b	Cluster	Cluster	up	1500	auto/1000
	e0c	Default	Default	up	1500	auto/1000
	e0d	Default	Default	up	1500	auto/1000
	e0e	Default	Default	up	1500	auto/1000
	e0f	Default	Default	up	1500	auto/1000

## 2. Determine which ports are available to dedicate to intercluster communication:

```
network interface show -fields home-port,curr-port
```

For complete command syntax, see the man page.

The following example shows that ports e0e and e0f have not been assigned LIFs:

```
cluster01::> network interface show -fields home-port,curr-port
vserver lif                home-port curr-port
-----
Cluster cluster01-01_clus1 e0a      e0a
Cluster cluster01-01_clus2 e0b      e0b
Cluster cluster01-02_clus1 e0a      e0a
Cluster cluster01-02_clus2 e0b      e0b
cluster01
    cluster_mgmt            e0c      e0c
cluster01
    cluster01-01_mgmt1      e0c      e0c
cluster01
    cluster01-02_mgmt1      e0c      e0c
```

## 3. Create a failover group for the dedicated ports:

network interface failover-groups create -vserver *system\_SVM* -failover-group *failover\_group* -targets *physical\_or\_logical\_ports*

The following example assigns ports e0e and e0f to the failover group intercluster01 on the system SVM cluster01:

```
cluster01::> network interface failover-groups create -vserver cluster01
-failover-group
intercluster01 -targets
cluster01-01:e0e,cluster01-01:e0f,cluster01-02:e0e,cluster01-02:e0f
```

4. Verify that the failover group was created:

network interface failover-groups show

For complete command syntax, see the man page.

```
cluster01::> network interface failover-groups show

Vserver          Group          Failover
-----          -
Targets
-----
Cluster
          Cluster
          cluster01-01:e0a, cluster01-01:e0b,
          cluster01-02:e0a, cluster01-02:e0b
cluster01
          Default
          cluster01-01:e0c, cluster01-01:e0d,
          cluster01-02:e0c, cluster01-02:e0d,
          cluster01-01:e0e, cluster01-01:e0f
          cluster01-02:e0e, cluster01-02:e0f
          intercluster01
          cluster01-01:e0e, cluster01-01:e0f
          cluster01-02:e0e, cluster01-02:e0f
```

5. Create intercluster LIFs on the system SVM and assign them to the failover group.

Option	Description
In ONTAP 9.6 and later:	network interface create -vserver <i>system_SVM</i> -lif <i>LIF_name</i> -service -policy default-intercluster -home -node <i>node</i> -home- port <i>port</i> -address <i>port_IP</i> -netmask <i>netmask</i> -failover -group <i>failover_group</i>



Option	Description
<b>In ONTAP 9.5 and earlier:</b>	<code>network interface create -vserver <i>system_SVM</i> -lif <i>LIF_name</i> -role intercluster -home-node <i>node</i> -home-port <i>port</i> -address <i>port_IP</i> -netmask <i>netmask</i> -failover-group <i>failover_group</i></code>

For complete command syntax, see the man page.

The following example creates intercluster LIFs `cluster01_icl01` and `cluster01_icl02` in the failover group `intercluster01`:

```
cluster01::> network interface create -vserver cluster01 -lif
cluster01_icl01 -service-
policy default-intercluster -home-node cluster01-01 -home-port e0e
-address 192.168.1.201
-netmask 255.255.255.0 -failover-group intercluster01

cluster01::> network interface create -vserver cluster01 -lif
cluster01_icl02 -service-
policy default-intercluster -home-node cluster01-02 -home-port e0e
-address 192.168.1.202
-netmask 255.255.255.0 -failover-group intercluster01
```

#### 6. Verify that the intercluster LIFs were created:

Option	Description
<b>In ONTAP 9.6 and later:</b>	<code>network interface show -service-policy default-intercluster</code>
<b>In ONTAP 9.5 and earlier:</b>	<code>network interface show -role intercluster</code>

For complete command syntax, see the man page.

```

cluster01::> network interface show -service-policy default-intercluster
          Logical      Status      Network      Current
Current Is
Vserver   Interface  Admin/Oper Address/Mask      Node      Port
Home
-----
cluster01
          cluster01_icl01
                up/up      192.168.1.201/24  cluster01-01  e0e
true
          cluster01_icl02
                up/up      192.168.1.202/24  cluster01-02  e0f
true

```

7. Verify that the intercluster LIFs are redundant:

Option	Description
<b>In ONTAP 9.6 and later:</b>	network interface show -service-policy default-intercluster -failover
<b>In ONTAP 9.5 and earlier:</b>	network interface show -role intercluster -failover

For complete command syntax, see the man page.

The following example shows that the intercluster LIFs cluster01\_icl01 and cluster01\_icl02 on the SVM e0e port will fail over to the e0f port.

```

cluster01::> network interface show -service-policy default-intercluster
-failover
          Logical      Home      Failover      Failover
Vserver   Interface      Node:Port      Policy      Group
-----
cluster01
          cluster01_icl01  cluster01-01:e0e  local-only
intercluster01
                                Failover Targets:  cluster01-01:e0e,
                                                cluster01-01:e0f
          cluster01_icl02  cluster01-02:e0e  local-only
intercluster01
                                Failover Targets:  cluster01-02:e0e,
                                                cluster01-02:e0f

```

## Configure intercluster LIFs in custom IPspaces

You can configure intercluster LIFs in custom IPspaces. Doing so allows you to isolate replication traffic in multitenant environments.

When you create a custom IPspace, the system creates a system storage virtual machine (SVM) to serve as a container for the system objects in that IPspace. You can use the new SVM as the container for any intercluster LIFs in the new IPspace. The new SVM has the same name as the custom IPspace.

### Steps

1. List the ports in the cluster:

```
network port show
```

For complete command syntax, see the man page.

The following example shows the network ports in `cluster01`:

```
cluster01::> network port show
```

						Speed
(Mbps)						
Node	Port	IPspace	Broadcast Domain	Link	MTU	Admin/Oper
-----	-----	-----	-----	-----	-----	-----
cluster01-01						
	e0a	Cluster	Cluster	up	1500	auto/1000
	e0b	Cluster	Cluster	up	1500	auto/1000
	e0c	Default	Default	up	1500	auto/1000
	e0d	Default	Default	up	1500	auto/1000
	e0e	Default	Default	up	1500	auto/1000
	e0f	Default	Default	up	1500	auto/1000
cluster01-02						
	e0a	Cluster	Cluster	up	1500	auto/1000
	e0b	Cluster	Cluster	up	1500	auto/1000
	e0c	Default	Default	up	1500	auto/1000
	e0d	Default	Default	up	1500	auto/1000
	e0e	Default	Default	up	1500	auto/1000
	e0f	Default	Default	up	1500	auto/1000

2. Create custom IPspaces on the cluster:

```
network ipspace create -ipspace ipspace
```

The following example creates the custom IPspace `ipspace-IC1`:

```
cluster01::> network ipspace create -ipspace ipspace-IC1
```

3. Determine which ports are available to dedicate to intercluster communication:

```
network interface show -fields home-port,curr-port
```

For complete command syntax, see the man page.

The following example shows that ports e0e and e0f have not been assigned LIFs:

```
cluster01::> network interface show -fields home-port,curr-port
vserver lif                home-port curr-port
-----
Cluster cluster01_clus1    e0a      e0a
Cluster cluster01_clus2    e0b      e0b
Cluster cluster02_clus1    e0a      e0a
Cluster cluster02_clus2    e0b      e0b
cluster01
      cluster_mgmt          e0c      e0c
cluster01
      cluster01-01_mgmt1    e0c      e0c
cluster01
      cluster01-02_mgmt1    e0c      e0c
```

4. Remove the available ports from the default broadcast domain:

```
network port broadcast-domain remove-ports -broadcast-domain Default -ports
ports
```

A port cannot be in more than one broadcast domain at a time. For complete command syntax, see the man page.

The following example removes ports e0e and e0f from the default broadcast domain:

```
cluster01::> network port broadcast-domain remove-ports -broadcast
-domain Default -ports
cluster01-01:e0e,cluster01-01:e0f,cluster01-02:e0e,cluster01-02:e0f
```

5. Verify that the ports have been removed from the default broadcast domain:

```
network port show
```

For complete command syntax, see the man page.

The following example shows that ports e0e and e0f have been removed from the default broadcast domain:

```
cluster01::> network port show
```

Node	Port	IPspace	Broadcast Domain	Link	MTU	Speed (Mbps) Admin/Oper
-----						
cluster01-01						
	e0a	Cluster	Cluster	up	9000	auto/1000
	e0b	Cluster	Cluster	up	9000	auto/1000
	e0c	Default	Default	up	1500	auto/1000
	e0d	Default	Default	up	1500	auto/1000
	e0e	Default	-	up	1500	auto/1000
	e0f	Default	-	up	1500	auto/1000
	e0g	Default	Default	up	1500	auto/1000
cluster01-02						
	e0a	Cluster	Cluster	up	9000	auto/1000
	e0b	Cluster	Cluster	up	9000	auto/1000
	e0c	Default	Default	up	1500	auto/1000
	e0d	Default	Default	up	1500	auto/1000
	e0e	Default	-	up	1500	auto/1000
	e0f	Default	-	up	1500	auto/1000
	e0g	Default	Default	up	1500	auto/1000

#### 6. Create a broadcast domain in the custom IPspace:

```
network port broadcast-domain create -ipspace ipspace -broadcast-domain  
broadcast_domain -mtu MTU -ports ports
```

The following example creates the broadcast domain `ipspace-IC1-bd` in the IPspace `ipspace-IC1`:

```
cluster01::> network port broadcast-domain create -ipspace ipspace-IC1  
-broadcast-domain  
ipspace-IC1-bd -mtu 1500 -ports cluster01-01:e0e,cluster01-01:e0f,  
cluster01-02:e0e,cluster01-02:e0f
```

#### 7. Verify that the broadcast domain was created:

```
network port broadcast-domain show
```

For complete command syntax, see the man page.

```

cluster01::> network port broadcast-domain show
IPspace Broadcast
Name      Domain Name      MTU      Port List
-----
Cluster Cluster      9000
      cluster01-01:e0a      complete
      cluster01-01:e0b      complete
      cluster01-02:e0a      complete
      cluster01-02:e0b      complete
Default Default      1500
      cluster01-01:e0c      complete
      cluster01-01:e0d      complete
      cluster01-01:e0f      complete
      cluster01-01:e0g      complete
      cluster01-02:e0c      complete
      cluster01-02:e0d      complete
      cluster01-02:e0f      complete
      cluster01-02:e0g      complete
ipspace-IC1
      ipspace-IC1-bd
      1500
      cluster01-01:e0e      complete
      cluster01-01:e0f      complete
      cluster01-02:e0e      complete
      cluster01-02:e0f      complete

```

8. Create intercluster LIFs on the system SVM and assign them to the broadcast domain:

Option	Description
<b>In ONTAP 9.6 and later:</b>	<pre> network interface create -vserver system_SVM -lif LIF_name -service -policy default-intercluster -home -node node -home-port port -address port_IP -netmask netmask </pre>
<b>In ONTAP 9.5 and earlier:</b>	<pre> network interface create -vserver system_SVM -lif LIF_name -role intercluster -home-node node -home -port port -address port_IP -netmask netmask </pre>

The LIF is created in the broadcast domain that the home port is assigned to. The broadcast domain has a default failover group with the same name as the broadcast domain. For complete command syntax, see the man page.

The following example creates intercluster LIFs `cluster01_icl01` and `cluster01_icl02` in the broadcast domain `ipspace-IC1-bd`:

```
cluster01::> network interface create -vserver ipspace-IC1 -lif
cluster01_icl01 -service-
policy default-intercluster -home-node cluster01-01 -home-port e0e
-address 192.168.1.201
-netmask 255.255.255.0

cluster01::> network interface create -vserver ipspace-IC1 -lif
cluster01_icl02 -service-
policy default-intercluster -home-node cluster01-02 -home-port e0e
-address 192.168.1.202
-netmask 255.255.255.0
```

9. Verify that the intercluster LIFs were created:

Option	Description
In ONTAP 9.6 and later:	network interface show -service-policy default-intercluster
In ONTAP 9.5 and earlier:	network interface show -role intercluster

For complete command syntax, see the man page.

```
cluster01::> network interface show -service-policy default-intercluster
Logical      Status      Network      Current
Current Is
Vserver      Interface  Admin/Oper  Address/Mask      Node      Port
Home
-----
-----
ipspace-IC1
      cluster01_icl01
              up/up      192.168.1.201/24      cluster01-01  e0e
true
      cluster01_icl02
              up/up      192.168.1.202/24      cluster01-02  e0f
true
```

10. Verify that the intercluster LIFs are redundant:

Option	Description
In ONTAP 9.6 and later:	network interface show -service-policy default-intercluster -failover
In ONTAP 9.5 and earlier:	network interface show -role intercluster -failover

For complete command syntax, see the man page.

The following example shows that the intercluster LIFs `cluster01_icl01` and `cluster01_icl02` on the SVM `e0e` port fail over to the `e0f` port:

```
cluster01::> network interface show -service-policy default-intercluster
-failover
```

Vserver	Logical Interface	Home Node:Port	Failover Policy	Failover Group
ipspace-IC1	cluster01_icl01	cluster01-01:e0e	local-only	
intercluster01			Failover Targets: cluster01-01:e0e, cluster01-01:e0f	
	cluster01_icl02	cluster01-02:e0e	local-only	
intercluster01			Failover Targets: cluster01-02:e0e, cluster01-02:e0f	

## Configure peer relationships

### Create a cluster peer relationship

You can use the `cluster peer create` command to create a peer relationship between a local and remote cluster. After the peer relationship has been created, you can run `cluster peer create` on the remote cluster to authenticate it to the local cluster.

#### Before you begin

- You must have created intercluster LIFs on every node in the clusters that are being peered.
- The clusters must be running ONTAP 9.3 or later. (If the clusters are running ONTAP 9.2 or earlier, refer to the procedures in [this archived document](#).)

#### Steps

1. On the destination cluster, create a peer relationship with the source cluster:

```
cluster peer create -generate-passphrase -offer-expiration MM/DD/YYYY
HH:MM:SS|1...7days|1...168hours -peer-addr peer_LIF_IPs -initial-allowed-vserver
```



```
-peers svm_name,...|* -ipspace ipspace
```

If you specify both `-generate-passphrase` and `-peer-addr`s, only the cluster whose intercluster LIFs are specified in `-peer-addr`s can use the generated password.

You can ignore the `-ipspace` option if you are not using a custom IPspace. For complete command syntax, see the man page.

If you are creating the peering relationship in ONTAP 9.6 or later and you do not want cross-cluster peering communications to be encrypted, you must use the `-encryption-protocol-proposed none` option to disable encryption.

The following example creates a cluster peer relationship with an unspecified remote cluster, and pre-authorizes peer relationships with SVMs `vs1` and `vs2` on the local cluster:

```
cluster02::> cluster peer create -generate-passphrase -offer-expiration
2days -initial-allowed-vserver-peers vs1,vs2
```

```
                Passphrase: UCa+6lRVICXeL/gq1WrK7ShR
                Expiration Time: 6/7/2017 08:16:10 EST
Initial Allowed Vserver Peers: vs1,vs2
                Intercluster LIF IP: 192.140.112.101
                Peer Cluster Name: Clus_7ShR (temporary generated)
```

Warning: make a note of the passphrase - it cannot be displayed again.

The following example creates a cluster peer relationship with the remote cluster at intercluster LIF IP addresses 192.140.112.103 and 192.140.112.104, and pre-authorizes a peer relationship with any SVM on the local cluster:

```
cluster02::> cluster peer create -generate-passphrase -peer-addr
192.140.112.103,192.140.112.104 -offer-expiration 2days -initial-allowed
-vserver-peers *
```

```
                Passphrase: UCa+6lRVICXeL/gq1WrK7ShR
                Expiration Time: 6/7/2017 08:16:10 EST
Initial Allowed Vserver Peers: vs1,vs2
                Intercluster LIF IP: 192.140.112.101,192.140.112.102
                Peer Cluster Name: Clus_7ShR (temporary generated)
```

Warning: make a note of the passphrase - it cannot be displayed again.

The following example creates a cluster peer relationship with an unspecified remote cluster, and pre-authorizes peer relationships with SVMs `vs1` and `vs2` on the local cluster:

```
cluster02::> cluster peer create -generate-passphrase -offer-expiration
2days -initial-allowed-vserver-peers vs1,vs2
```

```
                Passphrase: UCa+6lRVICXeL/gq1WrK7ShR
                Expiration Time: 6/7/2017 08:16:10 EST
Initial Allowed Vserver Peers: vs1,vs2
                Intercluster LIF IP: 192.140.112.101
                Peer Cluster Name: Clus_7ShR (temporary generated)
```

Warning: make a note of the passphrase - it cannot be displayed again.

2. On source cluster, authenticate the source cluster to the destination cluster:

```
cluster peer create -peer-addr peer_LIF_IPs -ip-space ip-space
```

For complete command syntax, see the man page.

The following example authenticates the local cluster to the remote cluster at intercluster LIF IP addresses 192.140.112.101 and 192.140.112.102:

```
cluster01::> cluster peer create -peer-addr
192.140.112.101,192.140.112.102
```

Notice: Use a generated passphrase or choose a passphrase of 8 or more characters.

To ensure the authenticity of the peering relationship, use a phrase or sequence of characters that would be hard to guess.

Enter the passphrase:

Confirm the passphrase:

Clusters cluster02 and cluster01 are peered.

Enter the passphrase for the peer relationship when prompted.

3. Verify that the cluster peer relationship was created:

```
cluster peer show -instance
```

```
cluster01::> cluster peer show -instance
```

```
Peer Cluster Name: cluster02
Remote Intercluster Addresses: 192.140.112.101,
192.140.112.102
Availability of the Remote Cluster: Available
Remote Cluster Name: cluster2
Active IP Addresses: 192.140.112.101,
192.140.112.102
Cluster Serial Number: 1-80-123456
Address Family of Relationship: ipv4
Authentication Status Administrative: no-authentication
Authentication Status Operational: absent
Last Update Time: 02/05 21:05:41
IPspace for the Relationship: Default
```

#### 4. Check the connectivity and status of the nodes in the peer relationship:

```
cluster peer health show
```

```
cluster01::> cluster peer health show
```

Node	cluster-Name	Node-Name		
	Ping-Status	RDB-Health	Cluster-Health	Avail...
-----	-----	-----	-----	
cluster01-01				
	cluster02	cluster02-01		
	Data: interface_reachable			
	ICMP: interface_reachable	true	true	true
		cluster02-02		
	Data: interface_reachable			
	ICMP: interface_reachable	true	true	true
cluster01-02				
	cluster02	cluster02-01		
	Data: interface_reachable			
	ICMP: interface_reachable	true	true	true
		cluster02-02		
	Data: interface_reachable			
	ICMP: interface_reachable	true	true	true

**Other ways to do this in ONTAP**

To perform these tasks with...	See this content...
The redesigned System Manager (available with ONTAP 9.7 and later)	<a href="#">Prepare for mirroring and vaulting</a>
System Manager Classic (available with ONTAP 9.7 and earlier)	<a href="#">Volume disaster recovery preparation overview</a>

## Create an intercluster SVM peer relationship

You can use the `vserver peer create` command to create a peer relationship between SVMs on local and remote clusters.

### Before you begin

- The source and destination clusters must be peered.
- The clusters must be running ONTAP 9.3. (If the clusters are running ONTAP 9.2 or earlier, refer to the procedures in [this archived document](#).)
- You must have "pre-authorized" peer relationships for the SVMs on the remote cluster.

For more information, see [Creating a cluster peer relationship](#).

### About this task

Previous releases of ONTAP let you authorize a peer relationship for only one SVM at a time. You needed to run the `vserver peer accept` command each time you authorized a pending SVM peer relationship.

Beginning with ONTAP 9.3, you can "pre-authorize" peer relationships for multiple SVMs by listing the SVMs in the `-initial-allowed-vserver` option when you create a cluster peer relationship. For more information, see [Creating a cluster peer relationship](#).

### Steps

1. On the data protection destination cluster, display the SVMs that are pre-authorized for peering:

```
vserver peer permission show
```

```
cluster02::> vserver peer permission show
Peer Cluster      Vserver           Applications
-----
cluster02        vs1,vs2           snapmirror
```

2. On the data protection source cluster, create a peer relationship to a pre-authorized SVM on the data protection destination cluster:

```
vserver peer create -vserver local_SVM -peer-vserver remote_SVM
```

For complete command syntax, see the man page.

The following example creates a peer relationship between the local SVM `pvs1` and the pre-authorized remote SVM `vs1`:

```
cluster01::> vserver peer create -vserver pvs1 -peer-vserver vs1
```

### 3. Verify the SVM peer relationship:

```
vserver peer show
```

```
cluster01::> vserver peer show
```

Remote Vserver	Peer Vserver	Peer State	Peer Cluster	Peering Applications
pvs1	vs1	peered	cluster02	snapmirror

### Add an intercluster SVM peer relationship

If you create an SVM after configuring a cluster peer relationship, you will need to add a peer relationship for the SVM manually. You can use the `vserver peer create` command to create a peer relationship between SVMs. After the peer relationship has been created, you can run `vserver peer accept` on the remote cluster to authorize the peer relationship.

#### Before you begin

The source and destination clusters must be peered.

#### About this task

You can create a peer relationships between SVMs in the same cluster for local data backup. For more information, see the `vserver peer create` man page.

Administrators occasionally use the `vserver peer reject` command to reject a proposed SVM peer relationship. If the relationship between SVMs is in the `rejected` state, you must delete the relationship before you can create a new one. For more information, see the `vserver peer delete` man page.

#### Steps

1. On the data protection source cluster, create a peer relationship with an SVM on the data protection destination cluster:

```
vserver peer create -vserver local_SVM -peer-vserver remote_SVM -applications snapmirror|file-copy|lun-copy -peer-cluster remote_cluster
```

The following example creates a peer relationship between the local SVM `pvs1` and the remote SVM `vs1`

```
cluster01::> vserver peer create -vserver pvs1 -peer-vserver vs1
-applications snapmirror -peer-cluster cluster02
```

If the local and remote SVMs have the same names, you must use a *local name* to create the SVM peer relationship:

```
cluster01::> vserver peer create -vserver vs1 -peer-vserver
vs1 -applications snapmirror -peer-cluster cluster01
-local-name cluster1vs1LocallyUniqueName
```

2. On the data protection source cluster, verify that the peer relationship has been initiated:

```
vserver peer show-all
```

For complete command syntax, see the man page.

The following example shows that the peer relationship between SVM<sub>pvs1</sub> and SVM<sub>vs1</sub> has been initiated:

```
cluster01::> vserver peer show-all
```

Vserver	Peer Vserver	Peer State	Peer Cluster	Peering Applications
pvs1	vs1	initiated	Cluster02	snapmirror

3. On the data protection destination cluster, display the pending SVM peer relationship:

```
vserver peer show
```

For complete command syntax, see the man page.

The following example lists the pending peer relationships for cluster02:

```
cluster02::> vserver peer show
```

Vserver	Peer Vserver	Peer State
vs1	pvs1	pending

4. On the data protection destination cluster, authorize the pending peer relationship:

```
vserver peer accept -vserver local_SVM -peer-vserver remote_SVM
```

For complete command syntax, see the man page.

The following example authorizes the peer relationship between the local SVM `vs1` and the remote SVM `pvs1`:

```
cluster02::> vserver peer accept -vserver vs1 -peer-vserver pvs1
```

5. Verify the SVM peer relationship:

```
vserver peer show
```

```
cluster01::> vserver peer show
```

Remote	Peer	Peer	Peering
Vserver	Vserver	State	Peer Cluster
Vserver			Applications
-----	-----	-----	-----
pvs1	vs1	peered	cluster02
vs1			snapmirror

## Enable cluster peering encryption on an existing peer relationship

Beginning with ONTAP 9.6, cluster peering encryption is enabled by default on all newly created cluster peering relationships. Cluster peering encryption uses a pre-shared key (PSK) and the Transport Security Layer (TLS) to secure cross-cluster peering communications. This adds an additional layer of security between the peered clusters.

### About this task

If you are upgrading peered clusters to ONTAP 9.6 or later, and the peering relationship was created in ONTAP 9.5 or earlier, cluster peering encryption must be enabled manually after upgrading. Both clusters in the peering relationship must be running ONTAP 9.6 or later in order to enable cluster peering encryption.

### Steps

1. On the destination cluster, enable encryption for communications with the source cluster:

```
cluster peer modify source_cluster -auth-status-admin use-authentication  
-encryption-protocol-proposed tls-psk
```

2. When prompted enter a passphrase.
3. On the data protection source cluster, enable encryption for communication with the data protection destination cluster:

```
cluster peer modify data_protection_destination_cluster -auth-status-admin  
use-authentication -encryption-protocol-proposed tls-psk
```

4. When prompted, enter the same passphrase entered on the destination cluster.

## Remove cluster peering encryption from an existing peer relationship

By default, cluster peering encryption is enabled on all peer relationships created in ONTAP 9.6 or later. If you do not want to use encryption for cross-cluster peering communications, you can disable it.

### Steps

1. On the destination cluster, modify communications with the source cluster to discontinue use of cluster peering encryption :

- To remove encryption, but maintain authentication enter:

```
cluster peer modify source_cluster -auth-status-admin use-authentication  
-encryption none
```

- To remove encryption and authentication, enter:

```
cluster peer modify source_cluster -auth-status no-authentication
```

2. When prompted enter a passphrase.

3. On the source cluster, disable encryption for communication with the destination cluster:

- To remove encryption, but maintain authentication enter:

```
cluster peer modify destination_cluster -auth-status-admin use-  
authentication -encrypt none
```

- To remove encryption and authentication, enter:

```
cluster peer modify destination_cluster -auth-status no-authentication
```

4. When prompted, enter the same passphrase entered on the destination cluster.

## Where to find additional information

You can learn more about tasks related to cluster and SVM peering in NetApp's extensive documentation library.

- [ONTAP concepts](#)

Describes the concepts that inform ONTAP data management software, including data protection and transfer.

- [Data protection](#)

Describes how to use the ONTAP CLI to perform SnapMirror replication.

- [Volume disaster recovery preparation](#)

Describes how to use System Manager to quickly configure a destination volume for disaster recovery.

- [Volume disaster recovery preparation](#)

Describes how to use System Manager to quickly recover a destination volume after a disaster.



- [Volume backup using SnapVault](#)

Describes how to use System Manager to quickly configure a SnapVault relationship between volumes.

- [Volume restore management using SnapVault](#)

Describes how to use System Manager to quickly restore files from a destination volume in a SnapVault relationship.

- [Archive and compliance using SnapLock technology](#)

Describes how to replicate WORM files in a SnapLock volume.

## Data protection with the CLI

### Data protection overview with the CLI

You can use CLI commands to manage Snapshot copies on a local ONTAP system and to replicate Snapshot copies to a remote system using SnapMirror. You can replicate Snapshot copies for disaster recovery or long-term retention.

Use these procedures under the following circumstances:

- You want to understand the range of ONTAP backup and recovery capabilities.
- You want to use the command-line interface (CLI), not System Manager, an automated scripting tool, or [SnapCenter Software](#).
- You have already created peer relationships between the source and destination clusters and the source and destination SVMs.

#### [Cluster and SVM peering](#)

- You are backing up volumes or SVMs from AFF or FAS storage systems to AFF or FAS storage systems.
  - If you are replicating Element volumes to ONTAP, or ONTAP LUNs to an Element system, see the [NetApp Element software documentation](#).

#### [Replication between NetApp element software and ONTAP](#)

- Beginning with ONTAP 9.10.1, you can create data protection relationships between S3 buckets using S3 SnapMirror. For more information, see [S3 SnapMirror overview](#).
- You want to provide data protection using online methods, not tape.

### Other ways to do this in ONTAP

To perform these tasks with...	Refer to...
The redesigned System Manager (available with ONTAP 9.7 and later)	<a href="#">Prepare for mirroring and vaulting</a>
System Manager Classic (available with ONTAP 9.7 and earlier)	<a href="#">Volume disaster recovery preparation overview</a>

## Manage local Snapshot copies

### Manage local Snapshot copies overview

A *Snapshot copy* is a read-only, point-in-time image of a volume. The image consumes minimal storage space and incurs negligible performance overhead because it records only changes to files since the last Snapshot copy.

You can use a Snapshot copy to restore the entire contents of a volume, or to recover individual files or LUNs. Snapshot copies are stored in the directory `.snapshot` on the volume.

In ONTAP 9.3 and earlier, a volume can contain up to 255 Snapshot copies. In ONTAP 9.4 and later, a FlexVol volume can contain up to 1023 Snapshot copies.



Beginning with ONTAP 9.8, FlexGroup volumes can contain 1023 Snapshot copies. For more information, see [Protect FlexGroup volumes using Snapshot copies](#).

### Configure custom Snapshot policies

#### Configure custom Snapshot policies overview

A *Snapshot policy* defines how the system creates Snapshot copies. The policy specifies when to create Snapshot copies, how many copies to retain, and how to name them. For example, a system might create one Snapshot copy every day at 12:10 a.m., retain the two most recent copies, and name the copies “daily.*timestamp*.”

The default policy for a volume automatically creates Snapshot copies on the following schedule, with the oldest Snapshot copies deleted to make room for newer copies:

- A maximum of six hourly Snapshot copies taken five minutes past the hour.
- A maximum of two daily Snapshot copies taken Monday through Saturday at 10 minutes after midnight.
- A maximum of two weekly Snapshot copies taken every Sunday at 15 minutes after midnight.

Unless you specify a Snapshot policy when you create a volume, the volume inherits the Snapshot policy associated with its containing storage virtual machine (SVM).

#### When to configure a custom Snapshot policy

If the default Snapshot policy is not appropriate for a volume, you can configure a custom policy that modifies the frequency, retention, and name of Snapshot copies. The schedule will be dictated mainly by the rate of change of the active file system.

You might back up a heavily used file system like a database every hour, while you back up rarely used files once a day. Even for a database, you will typically run a full backup once or twice a day, while backing up transaction logs every hour.

Other factors are the importance of the files to your organization, your Service Level Agreement (SLA), your Recovery Point Objective (RPO), and your Recovery Time Objective (RTO). Generally speaking, you should retain only as many Snapshot copies as necessary.

## Create a Snapshot job schedule

A Snapshot policy requires at least one Snapshot copy job schedule. You can use the `job schedule cron create` command to create a job schedule.

### About this task

By default, ONTAP forms the names of Snapshot copies by appending a timestamp to the job schedule name.

If you specify values for both day of the month and day of the week, the values are considered independently. For example, a cron schedule with the day specification `Friday` and the day of the month specification `13` runs every Friday and on the 13th day of each month, not just on every Friday the 13th.

### Step

1. Create a job schedule:

```
job schedule cron create -name job_name -month month -dayofweek day_of_week  
-day day_of_month -hour hour -minute minute
```

For `-month`, `-dayofweek`, and `-hour`, you can specify `all` to run the job every month, day of the week, and hour, respectively.

Beginning with ONTAP 9.10.1, you can include the Vserver for your job schedule:

```
job schedule cron create -name job_name -vserver Vserver_name -month month  
-dayofweek day_of_week -day day_of_month -hour hour -minute minute
```

The following example creates a job schedule named `myweekly` that runs on Saturdays at 3:00 a.m.:

```
cluster1::> job schedule cron create -name myweekly -dayofweek  
"Saturday" -hour 3 -minute 0
```

The following example creates a schedule named `myweeklymulti` that specifies multiple days, hours and minutes:

```
job schedule cron create -name myweeklymulti -dayofweek  
"Monday,Wednesday,Sunday" -hour 3,9,12 -minute 0,20,50
```

## Create a Snapshot policy

A Snapshot policy specifies when to create Snapshot copies, how many copies to retain, and how to name them. For example, a system might create one Snapshot copy every day at 12:10 a.m., retain the two most recent copies, and name them `daily.timestamp`. A Snapshot policy can contain up to five job schedules.

### About this task

By default, ONTAP forms the names of Snapshot copies by appending a timestamp to the job schedule name:

```
daily.2017-05-14_0013/          hourly.2017-05-15_1106/
daily.2017-05-15_0012/          hourly.2017-05-15_1206/
hourly.2017-05-15_1006/         hourly.2017-05-15_1306/
```

You can substitute a prefix for the job schedule name if you prefer.

The `snapmirror-label` option is for SnapMirror replication. For more information, see [Defining a rule for a policy](#).

## Step

1. Create a Snapshot policy:

```
volume snapshot policy create -vserver SVM -policy policy_name -enabled
true|false -schedule1 schedule1_name -count1 copies_to_retain -prefix1
snapshot_prefix -snapmirror-label1 snapshot_label ... -schedule1 schedule5_name
-count5 copies_to_retain-prefix5 snapshot_prefix -snapmirror-label5
snapshot_label
```

The following example creates a Snapshot policy named `snap_policy_daily` that runs on a daily schedule. The policy has a maximum of five Snapshot copies, each with the name `daily.timestamp` and the SnapMirror label `daily`:

```
cluster1::> volume snapshot policy create -vserver vs0 -policy
snap_policy_daily -schedule1 daily -count1 5 -snapmirror-label1 daily
```

## Manage the Snapshot copy reserve

### Manage the Snapshot copy reserve overview

The *Snapshot copy reserve* sets aside a percentage of disk space for Snapshot copies, five percent by default. Because Snapshot copies use space in the active file system when the Snapshot copy reserve is exhausted, you might want to increase the Snapshot copy reserve as needed. Alternatively, you can autodelete Snapshot copies when the reserve is full.

### When to increase the Snapshot copy reserve

In deciding whether to increase the Snapshot reserve, it's important to remember that a Snapshot copy records only changes to files since the last Snapshot copy was made. It consumes disk space only when blocks in the active file system are modified or deleted.

This means that the rate of change of the file system is the key factor in determining the amount of disk space used by Snapshot copies. No matter how many Snapshot copies you create, they will not consume disk space if the active file system has not changed.

A FlexVol volume containing database transaction logs, for example, might have a Snapshot copy reserve as large as 20% to account for its greater rate of change. Not only will you want to create more Snapshot copies

to capture the more frequent updates to the database, you will also want to have a larger Snapshot copy reserve to handle the additional disk space the Snapshot copies consume.



A Snapshot copy consists of pointers to blocks rather than copies of blocks. You can think of a pointer as a “claim” on a block: ONTAP “holds” the block until the Snapshot copy is deleted.



*A Snapshot copy consumes disk space only when blocks in the active file system are modified or deleted.*

How deleting protected files can lead to less file space than expected

A Snapshot copy points to a block even after you delete the file that used the block. This explains why an exhausted Snapshot copy reserve might lead to the counter-intuitive result in which deleting an entire file system results in less space being available than the file system occupied.

Consider the following example. Before deleting any files, the `df` command output is as follows:

Filesystem	kbytes	used	avail	capacity
/vol/vol0/	3000000	3000000	0	100%
/vol/vol0/.snapshot	1000000	500000	500000	50%

After deleting the entire file system and making a Snapshot copy of the volume, the `df` command generates the following output:

Filesystem	kbytes	used	avail	capacity
/vol/vol0/	3000000	2500000	500000	83%
/vol/vol0/.snapshot	1000000	3500000	0	350%

As the output shows, the entire 3 GB formerly used by the active file system is now being used by Snapshot copies, in addition to the 0.5 GB used before the deletion.

Because the disk space used by the Snapshot copies now exceeds the Snapshot copy reserve, the overflow of 2.5 GB “spills” into the space reserved for active files, leaving you with 0.5 GB free space for files where you might reasonably have expected 3 GB.

#### Monitor Snapshot copy disk consumption

You can monitor Snapshot copy disk consumption using the `df` command. The command displays the amount of free space in the active file system and the Snapshot copy reserve.

#### Step

1. Display Snapshot copy disk consumption: `df`

The following example shows Snapshot copy disk consumption:

```
cluster1::> df
Filesystem      kbytes  used   avail  capacity
/vol/vol0/      3000000 3000000 0        100%
/vol/vol0/.snapshot 1000000 500000 500000   50%
```

#### Check available Snapshot copy reserve on a volume

You might want to check how much Snapshot copy reserve is available on a volume by using the `snapshot-reserve-available` parameter with the `volume show` command.

#### Step

1. Check the Snapshot copy reserve available on a volume:

```
vol show -vserver SVM -volume volume -fields snapshot-reserve-available
```

For complete command syntax, see the man page.

The following example displays the available Snapshot copy reserve for `vol1`:

```
cluster1::> vol show -vserver vs0 -volume vol1 -fields snapshot-reserve-
available

vserver volume snapshot-reserve-available
-----
vs0      vol1      4.84GB
```

### Modify the Snapshot copy reserve

You might want to configure a larger Snapshot copy reserve to prevent Snapshot copies from using space reserved for the active file system. You can decrease the Snapshot copy reserve when you no longer need as much space for Snapshot copies.

#### Step

1. Modify the Snapshot copy reserve:

```
volume modify -vserver SVM -volume volume -percent-snapshot-space snap_reserve
```

For complete command syntax, see the man page.

The following example sets the Snapshot copy reserve for `vol1` to 10 percent:

```
cluster1::> volume modify -vserver vs0 -volume vol1 -percent-snapshot
-space 10
```

### Autodelete Snapshot copies

You can use the `volume snapshot autodelete modify` command to trigger automatic deletion of Snapshot copies when the Snapshot reserve is exceeded. By default, the oldest Snapshot copies are deleted first.

#### About this task

LUN and file clones are deleted when there are no more Snapshot copies to be deleted.

#### Step

1. Autodelete Snapshot copies:

```
volume snapshot autodelete modify -vserver SVM -volume volume -enabled
true|false -trigger volume|snap_reserve
```

For complete command syntax, see the man page.

The following example autodeletes Snapshot copies for `vol1` when the Snapshot copy reserve is

exhausted:

```
cluster1::> volume snapshot autodelete modify -vserver vs0 -volume voll
-enabled true -trigger snap_reserve
```

## Restore files from Snapshot copies

### Restore a file from a Snapshot copy on an NFS or SMB client

A user on an NFS or SMB client can restore a file directly from a Snapshot copy without the intervention of a storage system administrator.

Every directory in the file system contains a subdirectory named `.snapshot` accessible to NFS and SMB users. The `.snapshot` subdirectory contains subdirectories corresponding to the Snapshot copies of the volume:

```
$ ls .snapshot
daily.2017-05-14_0013/          hourly.2017-05-15_1106/
daily.2017-05-15_0012/          hourly.2017-05-15_1206/
hourly.2017-05-15_1006/         hourly.2017-05-15_1306/
```

Each subdirectory contains the files referenced by the Snapshot copy. If users accidentally delete or overwrite a file, they can restore the file to the parent read-write directory by copying the file from the Snapshot subdirectory to the read-write directory:

```
$ ls my.txt
ls: my.txt: No such file or directory
$ ls .snapshot
daily.2017-05-14_0013/          hourly.2017-05-15_1106/
daily.2017-05-15_0012/          hourly.2017-05-15_1206/
hourly.2017-05-15_1006/         hourly.2017-05-15_1306/
$ ls .snapshot/hourly.2017-05-15_1306/my.txt
my.txt
$ cp .snapshot/hourly.2017-05-15_1306/my.txt .
$ ls my.txt
my.txt
```

### Enable and disable NFS and SMB client access to Snapshot copy directory

To determine whether the Snapshot copy directory is visible to NFS and SMB clients to restore a file or LUN from a Snapshot copy, you can enable and disable access to the Snapshot copy directory using the `-snapdir-access` option of the `volume modify` command.

## Steps



## 1. Check the Snapshot directory access status:

```
`volume show -vserver _SVM_name_ -volume _vol_name_ -fields snapdir-  
access`
```

Example:

```
clus1::> volume show -vserver vs0 -volume vol1 -fields snapdir-access  
vserver volume snapdir-access  
-----  
vs0      vol1    false
```

## 2. Enable or disable the Snapshot copy directory access:

```
volume modify -volume vol_name -snapdir-access true|false
```

The following example enables Snapshot copy directory access on vol1:

```
clus1::> volume modify -volume vol1 -snapdir-access true  
Volume modify successful on volume vol1 of Vserver vs0.
```

### Restore a single file from a Snapshot copy

You can use the `volume snapshot restore-file` command to restore a single file or LUN from a Snapshot copy. You can restore the file to a different location in the parent read-write volume if you do not want to replace an existing file.

#### About this task

If you are restoring an existing LUN, a LUN clone is created and backed up in the form of a Snapshot copy. During the restore operation, you can read to and write from the LUN.

Files with streams are restored by default.

#### Steps

##### 1. List the Snapshot copies in a volume:

```
volume snapshot show -vserver SVM -volume volume
```

For complete command syntax, see the man page.

The following example shows the Snapshot copies in vol1:

```
clus1::> volume snapshot show -vserver vs1 -volume vol1
```

Vserver	Volume	Snapshot	State	Size	Total%	Used%
vs1	vol1	hourly.2013-01-25_0005	valid	224KB	0%	0%
		daily.2013-01-25_0010	valid	92KB	0%	0%
		hourly.2013-01-25_0105	valid	228KB	0%	0%
		hourly.2013-01-25_0205	valid	236KB	0%	0%
		hourly.2013-01-25_0305	valid	244KB	0%	0%
		hourly.2013-01-25_0405	valid	244KB	0%	0%
		hourly.2013-01-25_0505	valid	244KB	0%	0%

7 entries were displayed.

## 2. Restore a file from a Snapshot copy:

```
volume snapshot restore-file -vserver SVM -volume volume -snapshot snapshot  
-path file_path -restore-path destination_path
```

For complete command syntax, see the man page.

The following example restores the file `myfile.txt`:

```
cluster1::> volume snapshot restore-file -vserver vs0 -volume vol1  
-snapshot daily.2013-01-25_0010 -path /myfile.txt
```

### Restore part of a file from a Snapshot copy

You can use the `volume snapshot partial-restore-file` command to restore a range of data from a Snapshot copy to a LUN or to an NFS or SMB container file, assuming you know the starting byte offset of the data and the byte count. You might use this command to restore one of the databases on a host that stores multiple databases in the same LUN.

#### Steps

1. List the Snapshot copies in a volume:

```
volume snapshot show -vserver SVM -volume volume
```

For complete command syntax, see the man page.

The following example shows the Snapshot copies in `vol1`:

```
clus1::> volume snapshot show -vserver vs1 -volume vol1
```

Vserver	Volume	Snapshot	State	Size	Total%	Used%
vs1	vol1	hourly.2013-01-25_0005	valid	224KB	0%	0%
		daily.2013-01-25_0010	valid	92KB	0%	0%
		hourly.2013-01-25_0105	valid	228KB	0%	0%
		hourly.2013-01-25_0205	valid	236KB	0%	0%
		hourly.2013-01-25_0305	valid	244KB	0%	0%
		hourly.2013-01-25_0405	valid	244KB	0%	0%
		hourly.2013-01-25_0505	valid	244KB	0%	0%

7 entries were displayed.

## 2. Restore part of a file from a Snapshot copy:

```
volume snapshot partial-restore-file -vserver SVM -volume volume -snapshot  
snapshot -path file_path -start-byte starting_byte -byte-count byte_count
```

The starting byte offset and byte count must be multiples of 4,096.

The following example restores the first 4,096 bytes of the file `myfile.txt`:

```
cluster1::> volume snapshot partial-restore-file -vserver vs0 -volume  
vol1 -snapshot daily.2013-01-25_0010 -path /myfile.txt -start-byte 0  
-byte-count 4096
```

### Restore the contents of a volume from a Snapshot copy

You can use the `volume snapshot restore` command to restore the contents of a volume from a Snapshot copy.

#### About this task

If the volume has SnapMirror relationships, manually replicate all mirror copies of the volume immediately after you restore from a Snapshot copy. Not doing so can result in unusable mirror copies that must be deleted and recreated.

#### Steps

1. List the Snapshot copies in a volume:

```
volume snapshot show -vserver SVM -volume volume
```

For complete command syntax, see the man page.

The following example shows the Snapshot copies in `vol1`:

```
clus1::> volume snapshot show -vserver vs1 -volume vol1
```

Vserver	Volume	Snapshot	State	Size	Total%	Used%
vs1	vol1	hourly.2013-01-25_0005	valid	224KB	0%	0%
		daily.2013-01-25_0010	valid	92KB	0%	0%
		hourly.2013-01-25_0105	valid	228KB	0%	0%
		hourly.2013-01-25_0205	valid	236KB	0%	0%
		hourly.2013-01-25_0305	valid	244KB	0%	0%
		hourly.2013-01-25_0405	valid	244KB	0%	0%
		hourly.2013-01-25_0505	valid	244KB	0%	0%

7 entries were displayed.

## 2. Restore the contents of a volume from a Snapshot copy:

```
volume snapshot restore -vserver SVM -volume volume -snapshot snapshot
```

For complete command syntax, see the man page.

The following example restores the contents of vol1:

```
cluster1::> volume snapshot restore -vserver vs0 -volume vol1 -snapshot  
daily.2013-01-25_0010
```

## SnapMirror volume replication

### About SnapMirror volume replication

Traditionally, ONTAP replication technologies served the need for disaster recovery (DR) and data archiving. In ONTAP 9.3, these technologies were combined in a way that allows you to configure disaster recovery and archiving on the same destination volume.

### Asynchronous SnapMirror disaster recovery basics

*SnapMirror* is disaster recovery technology, designed for failover from primary storage to secondary storage at a geographically remote site. As its name implies, SnapMirror creates a replica, or *mirror*, of your working data in secondary storage from which you can continue to serve data in the event of a catastrophe at the primary site.

If the primary site is still available to serve data, you can simply transfer any needed data back to it, and not serve clients from the mirror at all. As the failover use case implies, the controllers on the secondary system should be equivalent or nearly equivalent to the controllers on the primary system to serve data efficiently from mirrored storage.

## Data protection relationships

Data is mirrored at the volume level. The relationship between the source volume in primary storage and the destination volume in secondary storage is called a *data protection relationship*. The clusters in which the volumes reside and the SVMs that serve data from the volumes must be *peered*. A peer relationship enables clusters and SVMs to exchange data securely.

### Cluster and SVM peering

The figure below illustrates SnapMirror data protection relationships.



## Scope of data protection relationships

You can create a data protection relationship directly between volumes or between the SVMs that own the volumes. In an *SVM data protection relationship*, all or part of the SVM configuration, from NFS exports and SMB shares to RBAC, is replicated, as well as the data in the volumes that the SVM owns.

You can also use SnapMirror for two special data protection applications:

- A *load-sharing mirror* copy of the SVM root volume ensures that data remains accessible in the event of a node outage or failover.
- A data protection relationship between *SnapLock volumes* lets you replicate WORM files to secondary storage.

### Archive and compliance using SnapLock technology

## How SnapMirror data protection relationships are initialized

The first time you invoke SnapMirror, it performs a *baseline transfer* from the source volume to the destination volume. The *SnapMirror policy* for the relationship defines the contents of the baseline and any updates.

A baseline transfer under the default SnapMirror policy `MirrorAllSnapshots` involves the following steps:

- Make a Snapshot copy of the source volume.
- Transfer the Snapshot copy and all the data blocks it references to the destination volume.
- Transfer the remaining, less recent Snapshot copies on the source volume to the destination volume for use in case the “active” mirror is corrupted.

#### How SnapMirror data protection relationships are updated

Updates are asynchronous, following the schedule you configure. Retention mirrors the Snapshot policy on the source.

At each update under the `MirrorAllSnapshots` policy, SnapMirror creates a Snapshot copy of the source volume and transfers that Snapshot copy and any Snapshot copies that have been made since the last update. In the following output from the `snapmirror policy show` command for the `MirrorAllSnapshots` policy, note the following:

- `Create Snapshot` is “true”, indicating that `MirrorAllSnapshots` creates a Snapshot copy when SnapMirror updates the relationship.
- `MirrorAllSnapshots` has rules “`sm_created`” and “`all_source_snapshots`”, indicating that both the Snapshot copy created by SnapMirror and any Snapshot copies that have been made since the last update are transferred when SnapMirror updates the relationship.

```
cluster_dst::> snapmirror policy show -policy MirrorAllSnapshots -instance

                Vserver: vs0
SnapMirror Policy Name: MirrorAllSnapshots
SnapMirror Policy Type: async-mirror
                Policy Owner: cluster-admin
                Tries Limit: 8
                Transfer Priority: normal
Ignore accesstime Enabled: false
                Transfer Restartability: always
Network Compression Enabled: false
                Create Snapshot: true
                Comment: Asynchronous SnapMirror policy for mirroring
all snapshots
                                and the latest active file system.
                Total Number of Rules: 2
                Total Keep: 2
                Rules: SnapMirror Label      Keep  Preserve Warn
Schedule Prefix
-----
-----
                                sm_created      1  false      0  -
-
                                all_source_snapshots  1  false      0  -
-
```

## MirrorLatest policy

The preconfigured `MirrorLatest` policy works exactly the same way as `MirrorAllSnapshots`, except that only the Snapshot copy created by SnapMirror is transferred at initialization and update.

```
Rules: SnapMirror Label      Keep  Preserve Warn
Schedule Prefix
-----
sm_created                1  false      0  -
```

## SnapMirror Synchronous disaster recovery basics

Beginning with ONTAP 9.5, SnapMirror Synchronous (SM-S) technology is supported on all FAS and AFF platforms that have at least 16 GB of memory and on all ONTAP Select platforms. SnapMirror Synchronous technology is a per-node, licensed feature that provides synchronous data replication at the volume level.

This functionality addresses the regulatory and national mandates for synchronous replication in financial, healthcare, and other regulated industries where zero data loss is required.

The limit on the number of SnapMirror Synchronous replication operations per HA pair depends on the controller model.

The following table lists the number of SnapMirror Synchronous operations that are allowed per HA pair according to platform type and ONTAP release.

Platform	Releases earlier than ONTAP 9.9.1	ONTAP 9.9.1	ONTAP 9.10.1	ONTAP 9.11.1
AFF	80	160	200	400
FAS	40	80	80	80
ONTAP Select	20	40	40	40

## Supported features

The following features are supported for SnapMirror Synchronous technology in ONTAP 9.10.1; provided all nodes in the source and destination cluster are running ONTAP 9.10.1:

- NFSv4.2
- NVMe/TCP

In ONTAP 9.5 and later, SnapMirror Synchronous technology supports the NFSv3, FC, and iSCSI protocols over all networks for which the latency does not exceed 10ms.

The following features are supported for SnapMirror Synchronous technology in ONTAP 9.7:

- Replication of application-created Snapshot copies  
Only the Snapshot copies with the SnapMirror label that match the rule associated with Sync or Strict Sync policy. Scheduled Snapshot copies created using a Snapshot policy are not replicated.
- FC-NVMe
- LUN clones and NVMe namespace clones  
LUN clones backed by application-created Snapshot copies are also supported.

The following features are supported for SnapMirror Synchronous technology in ONTAP 9.6; provided all nodes in the source and destination cluster are running ONTAP 9.6:

- SVM DR
  - A SnapMirror Synchronous source can also be a SVM DR source, for example, a fan-out configuration with SM-S as one leg and SVM DR as the other.
  - A SnapMirror Synchronous source cannot be an SVM DR destination because SM-S does not support cascading a DP source.  
You must release the synchronous relationship before performing an SVM DR flip resync in the destination cluster.
  - A SnapMirror Synchronous destination cannot be an SVM DR source because SVM DR does not support replication of DP volumes.  
A flip resync of the synchronous source would result in the SVM DR excluding the DP volume in the destination cluster.
- NFSv4.0 and NFSv4.1
- SMB 2.0 or later
- Mixed protocol access(NFSv3 and SMB)
- Antivirus on the primary volume of the SnapMirror Synchronous relationship
- Hard or soft quotas on the primary volume of the SnapMirror Synchronous relationship  
The quota rules are not replicated to the destination; therefore, the quota database is not replicated to the destination.
- FPolicy on the primary volume of the SnapMirror Synchronous relationship
- SnapMirror Synchronous mirror-mirror cascade  
The relationship from the destination volume of the SnapMirror Synchronous relationship must be an asynchronous SnapMirror relationship.
- Timestamp parity between source and destination volumes for NAS  
If you have upgraded from ONTAP 9.5 to ONTAP 9.6, the timestamp is replicated only for any new and modified files in the source volume. The timestamp of existing files in the source volume is not synchronized.
- Removal of high metadata operation frequency limitation
- Security for sensitive data in-transit using TLS 1.2 encryption
- Clone autodelete

#### Unsupported features

The following features are not supported with Synchronous SnapMirror relationships:

- MetroCluster configurations
- SFMoD



- SFCoD
- VVol
- Mixed SAN and NAS access  
The primary volume of a SnapMirror Synchronous relationship can either serve NAS data or SAN data. Both SAN and NAS access from the primary volume of a SnapMirror Synchronous relationship is not supported.
- Mixed SAN and NVMe access  
LUNs and NVMe namespaces are not supported on the same volume or SVM.
- SnapLock volumes
- FlexGroup volumes
- FlexCache volumes
- SnapRestore
- DP\_Optimized (DPO) systems
- Tape backup or restore using dump and SMTape on the destination volume
- Tape based restore to the source volume
- Throughput floor (QoS Min) for source volumes
- In a fan-out configuration, only one relationship can be a SnapMirror Synchronous relationship; all the other relationships from the source volume must be asynchronous SnapMirror relationships.
- Global throttling

## Modes of operation

SnapMirror Synchronous has two modes of operation based on the type of the SnapMirror policy used:

- **Sync mode**  
In Sync mode, application I/O operations are sent in parallel to the primary and secondary storage systems. If the write to the secondary storage is not completed for any reason, the application is allowed to continue writing to the primary storage. When the error condition is corrected, SnapMirror Synchronous technology automatically resynchronizes with the secondary storage and resumes replicating from primary storage to secondary storage in Synchronous mode.  
In Sync mode, RPO=0 and RTO is very low until a secondary replication failure occurs at which time RPO and RTO become indeterminate, but equal the time to repair the issue that caused secondary replication to fail and for the resync to complete.
- **StrictSync mode**  
SnapMirror Synchronous can optionally operate in StrictSync mode. If the write to the secondary storage is not completed for any reason, the application I/O fails, thereby ensuring that the primary and secondary storage are identical. Application I/O to the primary resumes only after the SnapMirror relationship returns to the `InSync` status. If the primary storage fails, application I/O can be resumed on the secondary storage, after failover, with no loss of data.  
In StrictSync mode RPO is always zero, and RTO is very low.

## Relationship status

The status of a SnapMirror Synchronous relationship is always in the `InSync` status during normal operation. If the SnapMirror transfer fails for any reason, the destination is not in sync with the source and can go to the `OutOfSync` status.

For SnapMirror Synchronous relationships, the system automatically checks the relationship status (`InSync` or `OutOfSync`) at a fixed interval. If the relationship status is `OutOfSync`, ONTAP automatically triggers the auto resync process to bring back the relationship to the `InSync` status. Auto resync is triggered only if the transfer fails due to any operation, such as unplanned storage failover at source or destination or a network outage. User-initiated operations such as `snapmirror quiesce` and `snapmirror break` do not trigger auto resync.

If the relationship status becomes `OutOfSync` for a SnapMirror Synchronous relationship in the `StrictSync` mode, all I/O operations to the primary volume are stopped. The `OutOfSync` state for SnapMirror Synchronous relationship in the `Sync` mode is not disruptive to the primary and I/O operations are allowed on the primary volume.

#### **Related information**

[NetApp Technical Report 4733: SnapMirror Synchronous for ONTAP 9.11.1](#)

#### **About workloads supported by StrictSync and Sync policies**

`StrictSync` and `Sync` policies support all LUN-based applications with FC, iSCSI, and FC-NVMe protocols, as well as NFSv3 and NFSv4 protocols for enterprise applications such as databases, VMWare, quota, SMB, and so on. Beginning with ONTAP 9.6, SnapMirror Synchronous can be used for enterprise file services such as electronic design automation (EDA), home directories, and software build workloads.

In ONTAP 9.5, for a `Sync` policy, you need to consider a few important aspects while selecting the NFSv3 or NFSv4 workloads. The amount of data read or write operations by workloads is not a consideration, as `Sync` policy can handle high read or write IO workloads. In ONTAP 9.5, workloads that have excessive file creation, directory creation, file permission changes, or directory permission changes may not be suitable (these are referred to as high-metadata workloads). A typical example of a high-metadata workload is a DevOps workload in which you create multiple test files, run automation, and delete the files. Another example is parallel build workload that generate multiple temporary files during compilation. The impact of a high rate of write metadata activity is that it can cause synchronization between mirrors to temporarily break which stalls the read and write IOs from the client.

Beginning with ONTAP 9.6, these limitations are removed and SnapMirror Synchronous can be used for enterprise file services workloads that include multiuser environments, such as home directories and software build workloads.

#### **Related information**

[SnapMirror Synchronous Configuration and Best Practices](#)

#### **Vault archiving using SnapMirror technology**

SnapMirror vault policies replace SnapVault technology in ONTAP 9.3 and later. You use a SnapMirror vault policy for disk-to-disk Snapshot copy replication for standards compliance and other governance-related purposes. In contrast to a SnapMirror relationship, in which the destination usually contains only the Snapshot copies currently in the source volume, a vault destination typically retains point-in-time Snapshot copies created over a much longer period.

You might want to keep monthly Snapshot copies of your data over a 20-year span, for example, to comply with government accounting regulations for your business. Since there is no requirement to serve data from

vault storage, you can use slower, less expensive disks on the destination system.

The figure below illustrates SnapMirror vault data protection relationships.



*A SnapVault data protection relationship typically retains point-in-time Snapshot copies created over a longer period than the Snapshot copies on the source volume.*

#### How vault data protection relationships are initialized

The SnapMirror policy for the relationship defines the contents of the baseline and any updates.

A baseline transfer under the default vault policy `XDPDefault` makes a Snapshot copy of the source volume, then transfers that copy and the data blocks it references to the destination volume. Unlike SnapMirror relationships, a vault backup does not include older Snapshot copies in the baseline.

#### How vault data protection relationships are updated

Updates are asynchronous, following the schedule you configure. The rules you define in the policy for the relationship identify which new Snapshot copies to include in updates and how many copies to retain. The labels defined in the policy (“monthly,” for example) must match one or more labels defined in the Snapshot policy on the source. Otherwise, replication fails.

At each update under the `XDPDefault` policy, SnapMirror transfers Snapshot copies that have been made since the last update, provided they have labels matching the labels defined in the policy rules. In the following output from the `snapmirror policy show` command for the `XDPDefault` policy, note the following:

- `Create Snapshot` is “false”, indicating that `XDPDefault` does not create a Snapshot copy when SnapMirror updates the relationship.
- `XDPDefault` has rules “daily” and “weekly”, indicating that all Snapshot copies with matching labels on the source are transferred when SnapMirror updates the relationship.

```
cluster_dst:> snapmirror policy show -policy XDPDefault -instance

                Vserver: vs0
SnapMirror Policy Name: XDPDefault
SnapMirror Policy Type: vault
                Policy Owner: cluster-admin
                Tries Limit: 8
                Transfer Priority: normal
Ignore accesstime Enabled: false
                Transfer Restartability: always
Network Compression Enabled: false
                Create Snapshot: false
                Comment: Default policy for XDP relationships with
daily and weekly
                        rules.
                Total Number of Rules: 2
                Total Keep: 59
                Rules: SnapMirror Label      Keep  Preserve Warn
Schedule Prefix
-----
-----
                        daily                7   false    0 -
-
                        weekly              52   false    0 -
-

```

## SnapMirror unified replication basics

SnapMirror *unified replication* allows you to configure disaster recovery and archiving on the same destination volume. When unified replication is appropriate, it offers benefits in reducing the amount of secondary storage you need, limiting the number of baseline transfers, and decreasing network traffic.

### How unified data protection relationships are initialized

As with SnapMirror, unified data protection performs a baseline transfer the first time you invoke it. The SnapMirror policy for the relationship defines the contents of the baseline and any updates.

A baseline transfer under the default unified data protection policy `MirrorAndVault` makes a Snapshot copy of the source volume, then transfers that copy and the data blocks it references to the destination volume. Like vault archiving, unified data protection does not include older Snapshot copies in the baseline.

### How unified data protection relationships are updated

At each update under the `MirrorAndVault` policy, SnapMirror creates a Snapshot copy of the source volume and transfers that Snapshot copy and any Snapshot copies that have been made since the last update, provided they have labels matching the labels defined in the Snapshot policy rules. In the following output from

the snapmirror policy show command for the MirrorAndVault policy, note the following:

- Create Snapshot is “true”, indicating that MirrorAndVault creates a Snapshot copy when SnapMirror updates the relationship.
- MirrorAndVault has rules “sm\_created”, “daily”, and “weekly”, indicating that both the Snapshot copy created by SnapMirror and the Snapshot copies with matching labels on the source are transferred when SnapMirror updates the relationship.

```
cluster_dst:> snapmirror policy show -policy MirrorAndVault -instance

Vserver: vs0
SnapMirror Policy Name: MirrorAndVault
SnapMirror Policy Type: mirror-vault
Policy Owner: cluster-admin
Tries Limit: 8
Transfer Priority: normal
Ignore accesstime Enabled: false
Transfer Restartability: always
Network Compression Enabled: false
Create Snapshot: true
Comment: A unified Synchronous SnapMirror and
SnapVault policy for
mirroring the latest file system and daily
and weekly snapshots.
Total Number of Rules: 3
Total Keep: 59
Rules: SnapMirror Label      Keep  Preserve Warn
Schedule Prefix
-----
sm_created                  1  false      0  -
daily                       7  false      0  -
weekly                      52  false      0  -
```

Unified7year policy

The preconfigured Unified7year policy works exactly the same way as MirrorAndVault, except that a fourth rule transfers monthly Snapshot copies and retains them for seven years.

Schedule Prefix	Rules: SnapMirror Label	Keep	Preserve	Warn
-----	-----	----	-----	----
-	sm_created	1	false	0 -
-	daily	7	false	0 -
-	weekly	52	false	0 -
-	monthly	84	false	0 -
-				

### Protect against possible data corruption

Unified replication limits the contents of the baseline transfer to the Snapshot copy created by SnapMirror at initialization. At each update, SnapMirror creates another Snapshot copy of the source and transfers that Snapshot copy and any new Snapshot copies that have labels matching the labels defined in the Snapshot policy rules.

You can protect against the possibility that an updated Snapshot copy is corrupted by creating a copy of the last transferred Snapshot copy on the destination. This “local copy” is retained regardless of the retention rules on the source, so that even if the Snapshot originally transferred by SnapMirror is no longer available on the source, a copy of it will be available on the destination.

### When to use unified data replication

You need to weigh the benefit of maintaining a full mirror against the advantages that unified replication offers in reducing the amount of secondary storage, limiting the number of baseline transfers, and decreasing network traffic.

The key factor in determining the appropriateness of unified replication is the rate of change of the active file system. A traditional mirror might be better suited to a volume holding hourly Snapshot copies of database transaction logs, for example.

### XDP replaces DP as the SnapMirror default

Beginning with ONTAP 9.3, SnapMirror extended data protection (XDP) mode replaces SnapMirror data protection (DP) mode as the SnapMirror default.

Until ONTAP 9.3, SnapMirror invoked in DP mode and SnapMirror invoked in XDP mode used different replication engines, with different approaches to version-dependence:

- SnapMirror invoked in DP mode used a *version-dependent* replication engine in which the ONTAP version was required to be the same on primary and secondary storage:

```
cluster_dst::> snapmirror create -type DP -source-path ... -destination
-path ...
```

- SnapMirror invoked in XDP mode used a *version-flexible* replication engine that supported different ONTAP versions on primary and secondary storage:

```
cluster_dst::> snapmirror create -type XDP -source-path ...
               -destination-path ...
```

With improvements in performance, the significant benefits of version-flexible SnapMirror outweigh the slight advantage in replication throughput obtained with version-dependent mode. For this reason, beginning with ONTAP 9.3, XDP mode has been made the new default, and any invocations of DP mode on the command line or in new or existing scripts are automatically converted to XDP mode.

Existing relationships are not affected. If a relationship is already of type DP, it will continue to be of type DP. Beginning with ONTAP 9.5, MirrorAndVault is the new default policy when no data protection mode is specified or when XDP mode is specified as the relationship type. The table below shows the behavior you can expect.

If you specify...	The type is...	The default policy (if you do not specify a policy) is...
DP	XDP	MirrorAllSnapshots (SnapMirror DR)
Nothing	XDP	MirrorAndVault (unified replication)
XDP	XDP	MirrorAndVault (unified replication)

As the table shows, the default policies assigned to XDP in different circumstances ensure that the conversion maintains the functional equivalence of the old types. Of course, you can use different policies as needed, including policies for unified replication:

If you specify...	And the policy is...	The result is...
DP	MirrorAllSnapshots	SnapMirror DR
	XDPDefault	SnapVault
	MirrorAndVault	Unified replication
XDP	MirrorAllSnapshots	SnapMirror DR
	XDPDefault	SnapVault
	MirrorAndVault	Unified replication

The only exceptions to conversion are as follows:

- SVM data protection relationships continue to default to DP mode in ONTAP 9.3 and earlier.

Beginning with ONTAP 9.4, SVM data protection relationships default to XDP mode.

- Root volume load-sharing data protection relationships continue to default to DP mode.
- SnapLock data protection relationships continue to default to DP mode in ONTAP 9.4 and earlier.

Beginning with ONTAP 9.5, SnapLock data protection relationships default to XDP mode.

- Explicit invocations of DP continue to default to DP mode if you set the following cluster-wide option:

```
options replication.create_data_protection_rels.enable on
```

This option is ignored if you do not explicitly invoke DP.

### When a destination volume grows automatically

During a data protection mirror transfer, the destination volume grows automatically in size if the source volume has grown, provided there is available space in the aggregate that contains the volume.

This behavior occurs irrespective of any automatic growth setting on the destination. You cannot limit the volume's growth or prevent ONTAP from growing it.

By default, data protection volumes are set to the `grow_shrink` autosize mode, which enables the volume to grow or shrink in response to the amount of used space. The max-autosize for data protection volumes is equal to the maximum FlexVol size and is platform dependent. For example:

- FAS2220, default DP volume max-autosize = 60TB
- FAS6220, default DP volume max-autosize = 70TB
- FAS8200, default DP volume max-autosize = 100TB

For more information, see [NetApp Hardware Universe](#).

### Fan-out and cascade data protection deployments

You can use a *fan-out* deployment to extend data protection to multiple secondary systems. You can use a *cascade* deployment to extend data protection to tertiary systems.

Both fan-out and cascade deployments support any combination of SnapMirror DR, SnapVault, or unified replication; however, SnapMirror Synchronous relationships (supported beginning with ONTAP 9.5) support only fan-out deployments with one or more asynchronous SnapMirror relationships and do not support cascade deployments. Only one relationship in the fan-out configuration can be a SnapMirror Synchronous relationship, all the other relationships from the source volume must be asynchronous SnapMirror relationships. [SnapMirror Business Continuity](#) (supported beginning with ONTAP 9.8) also supports fan-out configurations.



You can use a *fan-in* deployment to create data protection relationships between multiple primary systems and a single secondary system. Each relationship must use a different volume on the secondary system.





You should be aware that volumes that are part of a fan-out or cascade configuration can take longer to resynchronize. It is not uncommon to see the SnapMirror relationship reporting the status "preparing" for an extended time period.

### How fan-out deployments work

SnapMirror supports *multiple-mirrors* and *mirror-vault* fan-out deployments.

A multiple-mirrors fan-out deployment consists of a source volume that has a mirror relationship to multiple secondary volumes.



A mirror-vault fan-out deployment consists of a source volume that has a mirror relationship to a secondary volume and a SnapVault relationship to a different secondary volume.



Beginning with ONTAP 9.5, you can have fan-out deployments with SnapMirror Synchronous relationships; however, only one relationship in the fan-out configuration can be a SnapMirror Synchronous relationship, all the other relationships from the source volume must be asynchronous SnapMirror relationships.



#### How cascade deployments work

SnapMirror supports *mirror-mirror*, *mirror-vault*, *vault-mirror*, and *vault-vault* cascade deployments.

A mirror-mirror cascade deployment consists of a chain of relationships in which a source volume is mirrored to a secondary volume, and the secondary volume is mirrored to a tertiary volume. If the secondary volume becomes unavailable, you can synchronize the relationship between the primary and tertiary volumes without performing a new baseline transfer.

Beginning with ONTAP 9.6, SnapMirror Synchronous relationships are supported in a mirror-mirror cascade deployment. Only the primary and secondary volumes can be in a SnapMirror Synchronous relationship. The relationship between the secondary volumes and tertiary volumes must be asynchronous.



A mirror-vault cascade deployment consists of a chain of relationships in which a source volume is mirrored to a secondary volume, and the secondary volume is vaulted to a tertiary volume.



Vault-mirror and, beginning with ONTAP 9.2, vault-vault cascade deployments are also supported:

- A vault-mirror cascade deployment consists of a chain of relationships in which a source volume is vaulted to a secondary volume, and the secondary volume is mirrored to a tertiary volume.
- (Beginning with ONTAP 9.2) A vault-vault cascade deployment consists of a chain of relationships in which a source volume is vaulted to a secondary volume, and the secondary volume is vaulted to a tertiary volume.

### Further Reading

- [Resume protection in a fan-out configuration with SM-BC](#)

## SnapMirror licensing

### SnapMirror licensing overview

Beginning with ONTAP 9.3, licensing has been simplified for replicating between ONTAP instances. In ONTAP 9 releases, the SnapMirror license supports both vault and mirror relationships. Users can now purchase a SnapMirror license to support ONTAP replication for both backup and disaster recovery use cases.

Prior to the ONTAP 9.3 release, two licenses were available to support different replication use cases. A SnapVault license was needed to configure *vault* relationships between ONTAP instances, where the DP instance could retain a higher number of Snapshot copies to support backup use cases where retention times are longer. A SnapMirror license was needed to configure *mirror* relationships between ONTAP instances, where each ONTAP instance would maintain the same number of snapshot copies (that is, a *mirror* image) to support disaster recovery use cases where cluster failovers would be possible. Both SnapMirror and SnapVault licenses can continue to be used and supported for ONTAP 8.x and 9.x releases.

SnapVault licenses continue to function and are supported for both ONTAP 8.x and 9.x releases, but they are no longer being sold. The SnapMirror license continues to be available and can be used in place of SnapVault and can be used for both mirror and vault configurations.

For ONTAP asynchronous replication, beginning with ONTAP 9.3 a single unified replication engine is used to configure extended data protection mode (XDP) policies, where the SnapMirror license can be configured for a mirror policy, a vault policy, or a mirror-vault policy. A SnapMirror license is required on both the source and destination clusters. A SnapVault license is not required if a SnapMirror license is already installed.

Data protection configuration limits are determined using several factors, including your ONTAP version, hardware platform, and the licenses installed. For more information, see [Hardware Universe](#).

## SnapMirror Synchronous license

Beginning with ONTAP 9.5, SnapMirror Synchronous relationships are supported. You require the following licenses for creating a SnapMirror Synchronous relationship:

- The SnapMirror Synchronous license is required on both the source cluster and the destination cluster.

The SnapMirror Synchronous license is enabled with either the Premium bundle or the Data Protection bundle.

If your system was purchased before June 2019 with a Premium or Flash Bundle, you can download a NetApp master key to get the required SnapMirror Synchronous license from the NetApp Support Site: [Master License Keys](#)

- The SnapMirror license is required on both the source cluster and the destination cluster.

## SnapMirror Cloud license

Beginning with ONTAP 9.8, the SnapMirror Cloud license provides asynchronous replication of Snapshot copies from ONTAP instances to object storage endpoints. Replication targets can be configured using both on-premises object stores as well as S3 and S3-compatible public cloud object storage services. SnapMirror Cloud relationships are supported from ONTAP systems to pre-qualified object storage targets. ONTAP 9.8 approved object storage targets include ONTAP S3, StorageGRID, AWS S3 Standard, S3 Standard-IA, and S3 One Zone-IA, Microsoft Azure Blob Premium, Hot and Cool, and GCP Standard and Nearline storage.

SnapMirror Cloud is not available as a standalone license and is available only with purchase of the Hybrid Cloud Bundle. Beginning with ONTAP 9.8, the Hybrid Cloud Bundle includes licenses for both SnapMirror Cloud and FabricPool. Similarly, the SnapMirror license is not available as a standalone license and is available only with purchase of the Data Protection Bundle.

You require the following licenses for creating a SnapMirror Cloud relationship:

- Both a SnapMirror license (purchased through Data Protection Bundle, or through Premium Bundle) and a SnapMirror Cloud license (purchased through Hybrid Cloud Bundle) is replicating directly to the object store endpoint.
- When configuring a multi-policy replication workflow (for example, Disk-to-Disk-to-Cloud), a SnapMirror license is required on all ONTAP instances, while the SnapMirror Cloud license is only required for the source cluster which is replicating directly to the object storage endpoint.

SnapMirror Cloud is an end user license which can be purchased from NetApp or from an approved NetApp reseller partner. The SnapMirror Cloud license provides end user entitlement but does not enable asynchronous ONTAP to object storage replication. To invoke ONTAP APIs for SnapMirror Cloud, a unique API key from an authorized application is required. Authorized and licensed applications used to orchestrate SnapMirror Cloud replication include System Manager, and are also available from multiple third-party application providers. These authorized applications will embed the unique API key to invoke ONTAP APIs. A combination of the SnapMirror Cloud end user license and an authorized third-party backup application is required to orchestrate and enable SnapMirror Cloud replication.

Beginning with ONTAP 9.9.1, you can use System Manager for SnapMirror Cloud replication. For more information, see [Back up to the cloud](#).

A list of authorized SnapMirror Cloud third-party applications is published on the NetApp web site.

## Data Protection Bundle

Beginning with ONTAP 9.1, new ONTAP data protection features were packaged with the FAS8200 as part of a solution called the Data Protection Bundle. This new hardware and software bundle included a new DP\_Optimized (DPO) license that provided unique ONTAP features for secondary workloads. With the introduction of ONTAP 9.3 the DPO license increased the number of volumes per node from 1,000 to 1,500. Also introduced with ONTAP 9.3 were new configurations of the Data Protection Bundle based on configurations of FAS2620.

The DPO license was specifically designed for ONTAP clusters that were to be dedicated as secondary targets for SnapMirror replication. In addition to increasing the maximum volumes per node on the DPO controller, the DPO license also modified controller QoS settings to support greater replication traffic at the expense of application I/O. For this reason, the DPO license should never be installed on a cluster that supports application I/O, as application performance would be impacted. Later, Data Protection Bundles based on the FAS8200 and FAS2620 were offered as a solution and included programmatic free licenses based on the customer environment. When purchasing the solution bundles, free SnapMirror licenses would be provided for select older clusters which replicated to the DPO secondary. While the DPO license is needed on the Data Protection solution cluster, primary clusters from the following platform list would be provided free SnapMirror licenses. Primary clusters not included in this list would require purchase of SnapMirror licenses.

- FAS2200 Series
- FAS3000 Series
- FAS6000 Series
- FAS8000 Series

## Data Protection Optimized (DPO) License

Data Protection hardware and software solution bundles introduced with ONTAP 9.1 and 9.3 were based on FAS8200 and FAS2620 only. As these platforms matured and new platforms were introduced new requests to support ONTAP features for secondary replication use cases increased. As a result, a new standalone DPO license was introduced in November 2018 with ONTAP 9.5 release.

The standalone DPO license was supported on both FAS and AFF platforms and could be purchased pre-configured with new clusters or added to deployed clusters as a software upgrade in the field. Because these new DPO licenses were not part of a hardware and software solution bundle they carried a lower price and free SnapMirror licenses for primary clusters were not provided. Secondary clusters configured with the a la carte DPO license must also purchase a SnapMirror license, and all primary clusters replicating to the DPO secondary cluster must purchase a SnapMirror license.

Additional ONTAP features were delivered with the DPO across multiple ONTAP releases.

Feature	9.3	9.4	9.5	9.6	9.7+
Max vols/node	1500	1500	1500	1500/2500	1500/2500
Max concurrent repl sessions	100	200	200	200	200
Workload bias*	client apps	Apps/SM	SnapMirror	SnapMirror	SnapMirror

Cross volume aggregate deduplication for HDD	No	Yes	Yes	Yes	Yes
--	----	-----	-----	-----	-----

- Details about priority for the SnapMirror backoff (workload bias) feature:
- Client: cluster I/O priority is set to client workloads (production apps), not SnapMirror traffic.
- Equality: SnapMirror replication requests have equal priority to I/O for production apps.
- SnapMirror: all SnapMirror I/O requests have higher priority than I/O for production apps.

**Table 1: Max FlexVolumes per node across ONTAP releases**

	<b>9.3—9.5 Without DPO</b>	<b>9.3—9.5 With DPO</b>	<b>9.6 Without DPO</b>	<b>9.6 With DPO</b>	<b>9.7—9.9.1 Without DPO</b>	<b>9.7—9.9.1 With DPO</b>
FAS2620	1000	1500	1000	1500	1000	1500
FAS2650	1000	1500	1000	1500	1000	1500
FAS2720	1000	1500	1000	1500	1000	1500
FAS2750	1000	1500	1000	1500	1000	1500
A200	1000	1500	1000	1500	1000	1500
A220	1000	1500	1000	1500	1000	1500
FAS8200/8300	1000	1500	1000	2500	1000	2500
A300	1000	1500	1000	2500	2500	2500
A400	1000	1500	1000	2500	2500	2500
FAS8700/9000	1000	1500	1000	2500	1000	2500
A700	1000	1500	1000	2500	2500	2500
A700s	1000	1500	1000	2500	2500	2500
A800	1000	1500	1000	2500	2500	2500

For the latest maximum FlexVol volume support for your configuration, see [Hardware Universe](#).

## Considerations for all new DPO installations

- After it is enabled, the DPO license feature cannot be disabled or undone.
- Installation of the DPO license requires a re-boot of ONTAP or failover to enable.
- The DPO solution is intended for secondary storage workloads; application workload performance on DPO clusters may be impacted
- The DPO license is supported on a select list of NetApp storage platform models.
- DPO features vary by ONTAP release. Refer to the compatibility table for reference.

### Install a SnapMirror Cloud license

Beginning with ONTAP 9.8, SnapMirror Cloud provides asynchronous snapshot replication from ONTAP to object storage endpoints. SnapMirror Cloud relationships can only be configured using pre-qualified third-party backup applications. To configure ONTAP to object storage replication, both SnapMirror and SnapMirror Cloud licenses are required on the ONTAP source cluster configured for replication to the object store endpoint.

#### About this task

The SnapMirror Cloud license is a single-instance cluster-wide license, which means it does not need to be installed on every node in the cluster. It is a term-based license where both term and backup capacity are enforced. In addition to this end user license, SnapMirror Cloud requires an authorized and approved backup application to configure and invoke ONTAP APIs for replication. Both SnapMirror Cloud end user license and authorized app are necessary to utilize SnapMirror Cloud replication.

SnapMirror Cloud licenses are acquired through purchase of the Hybrid Cloud Bundle, which can be purchased with 1 or 3 year terms in 1 TB increments. The Hybrid Cloud Bundle includes a license for SnapMirror Cloud. Each license has a unique serial number. Purchases of the Hybrid Cloud Bundle are based on capacity, where the purchased capacity of the Hybrid Cloud Bundle is applied to the SnapMirror Cloud license.

The SnapMirror Cloud license can be installed on the cluster using the ONTAP command line or System Manager.

#### Steps

1. Download two NetApp License File (NLF) for SnapMirror Cloud from the NetApp Support Site.

[NetApp Support](#)

2. Use System Manager to upload the SnapMirror Cloud NLF file to the cluster:
  - a. Click **Configuration > Licenses**.
  - b. In the **Cluster Settings** pane, click **Licenses**.
  - c. In the **Packages** window, click **Add**.
  - d. In the **Add License Packages** dialog box, click **Choose Files** to select the NLF you downloaded, and then click **Add** to upload the file to the cluster.

#### Related information

[NetApp Software License Search](#)

## DPO systems feature enhancements

Beginning with ONTAP 9.6, the maximum number of FlexVol volumes supported increases when the DP\_Optimized (DPO) license is installed. Beginning with ONTAP 9.4, systems with the DPO license support SnapMirror backoff, cross-volume background deduplication, cross-volume inline deduplication, use of Snapshot blocks as donors, and compaction.

Beginning with ONTAP 9.6, the maximum supported number of FlexVol volumes on secondary or data protection systems has increased, enabling you to scale up to 2,500 FlexVol volumes per node, or up to 5,000 in failover mode. The increase in FlexVol volumes is enabled with the DP\_Optimized (DPO) license. A SnapMirror license is still required on both the source and destination nodes.

Beginning with ONTAP 9.4, the following feature enhancements are made to DPO systems:

- SnapMirror backoff: In DPO systems, replication traffic is given the same priority that client workloads are given.

SnapMirror backoff is disabled by default on DPO systems.

- Volume background deduplication and cross-volume background deduplication: Volume background deduplication and cross-volume background deduplication are enabled in DPO systems.

You can run the `storage aggregate efficiency cross-volume-dedupe start -aggregate aggregate_name -scan-old-data true` command to deduplicate the existing data. The best practice is to run the command during off-peak hours to reduce the impact on performance.

- Increased savings by using Snapshot blocks as donors: The data blocks that are not available in the active file system but are trapped in Snapshot copies are used as donors for volume deduplication.

The new data can be deduplicated with the data that was trapped in Snapshot copies, effectively sharing the Snapshot blocks as well. The increased donor space provides more savings, especially when the volume has a large number of Snapshot copies.

- Compaction: Data compaction is enabled by default on DPO volumes.

## Manage SnapMirror volume replication

### SnapMirror replication workflow

SnapMirror offers three types of data protection relationship: SnapMirror DR, archive (previously known as SnapVault), and unified replication. You can follow the same basic workflow to configure each type of relationship.

Beginning with general availability in ONTAP 9.9.1, SnapMirror Business Continuity (SM-BC) provides Zero Recovery Time Objective (Zero RTO) or Transparent Application Failover (TAF) to enable automatic failover of business-critical applications in SAN environments. SM-BC is supported in a configuration of either two AFF clusters or two All SAN Array (ASA) clusters.

[NetApp Documentation: SnapMirror Business Continuity](#)

For each type of SnapMirror data protection relationship, the workflow is the same: create a destination volume, create a job schedule, specify a policy, create and initialize the relationship.



Beginning with ONTAP 9.3, you can use the `snapmirror protect` command to configure a data protection relationship in a single step. Even if you use `snapmirror protect`, you need to understand each step in the workflow.



### Configure a replication relationship in one step

Beginning with ONTAP 9.3, you can use the `snapmirror protect` command to configure a data protection relationship in a single step. You specify a list of volumes to be replicated, an SVM on the destination cluster, a job schedule, and a SnapMirror policy. `snapmirror protect` does the rest.

#### What you'll need

- The source and destination clusters and SVMs must be peered.

[Cluster and SVM peering](#)

- The language on the destination volume must be the same as the language on the source volume.

### About this task

The `snapmirror protect` command chooses an aggregate associated with the specified SVM. If no aggregate is associated with the SVM, it chooses from all the aggregates in the cluster. The choice of aggregate is based on the amount of free space and the number of volumes on the aggregate.

The `snapmirror protect` command then performs the following steps:

- Creates a destination volume with an appropriate type and amount of reserved space for each volume in the list of volumes to be replicated.
- Configures a replication relationship appropriate for the policy you specify.
- Initializes the relationship.

The name of the destination volume is of the form `source_volume_name_dst`. In case of a conflict with an existing name, the command appends a number to the volume name. You can specify a prefix and/or suffix in the command options. The suffix replaces the system-supplied `dst` suffix.

In ONTAP 9.3 and earlier, a destination volume can contain up to 251 Snapshot copies. In ONTAP 9.4 and later, a destination volume can contain up to 1019 Snapshot copies.



Initialization can be time-consuming. `snapmirror protect` does not wait for initialization to complete before the job finishes. For this reason, you should use the `snapmirror show` command rather than the `job show` command to determine when initialization is complete.

Beginning with ONTAP 9.5, SnapMirror Synchronous relationships can be created by using the `snapmirror protect` command.

### Step

1. Create and initialize a replication relationship in one step:

```
snapmirror protect -path-list SVM:volume|cluster://SVM/volume, ... -destination
-vserver destination_SVM -policy policy -schedule schedule -auto-initialize
true|false -destination-volume-prefix prefix -destination-volume-suffix suffix
```



You must run this command from the destination SVM or the destination cluster. The `-auto-initialize` option defaults to “true”.

The following example creates and initializes a SnapMirror DR relationship using the default `MirrorAllSnapshots` policy:

```
cluster_dst::> snapmirror protect -path-list svm1:volA, svm1:volB
-destination-vserver svm_backup -policy MirrorAllSnapshots -schedule
replication_daily
```



You can use a custom policy if you prefer. For more information, see [Creating a custom replication policy](#).

The following example creates and initializes a SnapVault relationship using the default XDPDefault policy:

```
cluster_dst:> snapmirror protect -path-list svm1:volA, svm1:volB
               -destination-vserver svm_backup -policy XDPDefault -schedule
               replication_daily
```

The following example creates and initializes a unified replication relationship using the default MirrorAndVault policy:

```
cluster_dst:> snapmirror protect -path-list svm1:volA, svm1:volB
               -destination-vserver svm_backup -policy MirrorAndVault
```

The following example creates and initializes a SnapMirror Synchronous relationship using the default Sync policy:

```
cluster_dst:> snapmirror protect -path-list svm1:volA, svm1:volB
               -destination-vserver svm_sync -policy Sync
```



For SnapVault and unified replication policies, you might find it useful to define a schedule for creating a copy of the last transferred Snapshot copy on the destination. For more information, see [Defining a schedule for creating a local copy on the destination](#).

## After you finish

Use the `snapmirror show` command to verify that the SnapMirror relationship was created. For complete command syntax, see the man page.

## Configure a replication relationship one step at a time

### Create a destination volume

You can use the `volume create` command on the destination to create a destination volume. The destination volume should be the same or greater in size than the source volume.

### Step

1. Create a destination volume:

```
volume create -vserver SVM -volume volume -aggregate aggregate -type DP -size
size
```

For complete command syntax, see the man page.

The following example creates a 2-GB destination volume named `volA_dst`:

```
cluster_dst:> volume create -vserver SVM_backup -volume volA_dst  
-aggregate node01_aggr -type DP -size 2GB
```

### Create a replication job schedule

You can use the `job schedule cron create` command to create a replication job schedule. The job schedule determines when SnapMirror automatically updates the data protection relationship to which the schedule is assigned.

#### About this task

You assign a job schedule when you create a data protection relationship. If you do not assign a job schedule, you must update the relationship manually.

#### Step

1. Create a job schedule:

```
job schedule cron create -name job_name -month month -dayofweek day_of_week  
-day day_of_month -hour hour -minute minute
```

For `-month`, `-dayofweek`, and `-hour`, you can specify `all` to run the job every month, day of the week, and hour, respectively.

Beginning with ONTAP 9.10.1, you can include the Vserver for your job schedule:

```
job schedule cron create -name job_name -vserver Vserver_name -month month  
-dayofweek day_of_week -day day_of_month -hour hour -minute minute
```

The following example creates a job schedule named `my_weekly` that runs on Saturdays at 3:00 a.m.:

```
cluster_dst:> job schedule cron create -name my_weekly -dayofweek  
"Saturday" -hour 3 -minute 0
```

### Customize a replication policy

#### Create a custom replication policy

You can create a custom replication policy if the default policy for a relationship is not suitable. You might want to compress data in a network transfer, for example, or modify the number of attempts SnapMirror makes to transfer Snapshot copies.

You can use a default or custom policy when you create a replication relationship. For a custom archive (formerly SnapVault) or unified replication policy, you must define one or more *rules* that determine which Snapshot copies are transferred during initialization and update. You might also want to define a schedule for creating local Snapshot copies on the destination.

The *policy type* of the replication policy determines the type of relationship it supports. The table below shows the available policy types.

Policy type	Relationship type
async-mirror	SnapMirror DR
vault	SnapVault
mirror-vault	Unified replication
strict-sync-mirror	SnapMirror Synchronous in the StrictSync mode (supported beginning with ONTAP 9.5)
sync-mirror	SnapMirror Synchronous in the Sync mode (supported beginning with ONTAP 9.5)



When you create a custom replication policy, it is a good idea to model the policy after a default policy.

## Step

1. Create a custom replication policy:

```
snapmirror policy create -vserver SVM -policy policy -type async-
mirror|vault|mirror-vault|strict-sync-mirror|sync-mirror -comment comment
-tries transfer_tries -transfer-priority low|normal -is-network-compression
-enabled true|false
```

For complete command syntax, see the man page.

Beginning with ONTAP 9.5, you can specify the schedule for creating a common Snapshot copy schedule for SnapMirror Synchronous relationships by using the `-common-snapshot-schedule` parameter. By default, the common Snapshot copy schedule for SnapMirror Synchronous relationships is one hour. You can specify a value from 30 minutes to two hours for the Snapshot copy schedule for SnapMirror Synchronous relationships.

The following example creates a custom replication policy for SnapMirror DR that enables network compression for data transfers:

```
cluster_dst::> snapmirror policy create -vserver svm1 -policy
DR_compressed -type async-mirror -comment "DR with network compression
enabled" -is-network-compression-enabled true
```

The following example creates a custom replication policy for SnapVault:

```
cluster_dst::> snapmirror policy create -vserver svm1 -policy
my_snapvault -type vault
```

The following example creates a custom replication policy for unified replication:

```
cluster_dst:> snapmirror policy create -vserver svml -policy my_unified
-type mirror-vault
```

The following example creates a custom replication policy for SnapMirror Synchronous relationship in the StrictSync mode:

```
cluster_dst:> snapmirror policy create -vserver svml -policy
my_strictsync -type strict-sync-mirror -common-snapshot-schedule
my_sync_schedule
```

### After you finish

For “vault” and “mirror-vault” policy types, you must define rules that determine which Snapshot copies are transferred during initialization and update.

Use the `snapmirror policy show` command to verify that the SnapMirror policy was created. For complete command syntax, see the man page.

### Define a rule for a policy

For custom policies with the “vault” or “mirror-vault” policy type, you must define at least one rule that determines which Snapshot copies are transferred during initialization and update. You can also define rules for default policies with the “vault” or “mirror-vault” policy type.

### About this task

Every policy with the “vault” or “mirror-vault” policy type must have a rule that specifies which Snapshot copies to replicate. The rule “bi-monthly”, for example, indicates that only Snapshot copies assigned the SnapMirror label “bi-monthly” should be replicated. You specify the SnapMirror label when you configure the Snapshot policy on the source.

Each policy type is associated with one or more system-defined rules. These rules are automatically assigned to a policy when you specify its policy type. The table below shows the system-defined rules.

System-defined rule	Used in policy types	Result
sm_created	async-mirror, mirror-vault, Sync, StrictSync	A Snapshot copy created by SnapMirror is transferred on initialization and update.
all_source_snapshots	async-mirror	New Snapshot copies on the source are transferred on initialization and update.
daily	vault,mirror-vault	New Snapshot copies on the source with the SnapMirror label “daily” are transferred on initialization and update.

weekly	vault,mirror-vault	New Snapshot copies on the source with the SnapMirror label “weekly” are transferred on initialization and update.
monthly	mirror-vault	New Snapshot copies on the source with the SnapMirror label “monthly” are transferred on initialization and update.
app_consistent	Sync, StrictSync	Snapshot copies with the SnapMirror label “app_consistent” on source are synchronously replicated to the destination. Supported Beginning with ONTAP 9.7.

Except for the “async-mirror” policy type, you can specify additional rules as needed, for default or custom policies. For example:

- For the default `MirrorAndVault` policy, you might create a rule called “bi-monthly” to match Snapshot copies on the source with the “bi-monthly” SnapMirror label.
- For a custom policy with the “mirror-vault” policy type, you might create a rule called “bi-weekly” to match Snapshot copies on the source with the “bi-weekly” SnapMirror label.

## Step

### 1. Define a rule for a policy:

```
snapmirror policy add-rule -vserver SVM -policy policy_for_rule -snapmirror
-label snapmirror-label -keep retention_count
```

For complete command syntax, see the man page.

The following example adds a rule with the SnapMirror label `bi-monthly` to the default `MirrorAndVault` policy:

```
cluster_dst::> snapmirror policy add-rule -vserver svm1 -policy
MirrorAndVault -snapmirror-label bi-monthly -keep 6
```

The following example adds a rule with the SnapMirror label `bi-weekly` to the custom `my_snapvault` policy:

```
cluster_dst::> snapmirror policy add-rule -vserver svm1 -policy
my_snapvault -snapmirror-label bi-weekly -keep 26
```

The following example adds a rule with the SnapMirror label `app_consistent` to the custom `Sync` policy:

```
cluster_dst:> snapmirror policy add-rule -vserver svml -policy Sync
-snapmirror-label app_consistent -keep 1
```

You can then replicate Snapshot copies from the source cluster that match this SnapMirror label:

```
cluster_src:> snapshot create -vserver vs1 -volume voll -snapshot
snapshot1 -snapmirror-label app_consistent
```

### Define a schedule for creating a local copy on the destination

For SnapVault and unified replication relationships, you can protect against the possibility that an updated Snapshot copy is corrupted by creating a copy of the last transferred Snapshot copy on the destination. This “local copy” is retained regardless of the retention rules on the source, so that even if the Snapshot originally transferred by SnapMirror is no longer available on the source, a copy of it will be available on the destination.

#### About this task

You specify the schedule for creating a local copy in the `-schedule` option of the `snapmirror policy add-rule` command.

#### Step

1. Define a schedule for creating a local copy on the destination:

```
snapmirror policy add-rule -vserver SVM -policy policy_for_rule -snapmirror
-label snapmirror-label -schedule schedule
```

For complete command syntax, see the man page. For an example of how to create a job schedule, see [Creating a replication job schedule](#).

The following example adds a schedule for creating a local copy to the default `MirrorAndVault` policy:

```
cluster_dst:> snapmirror policy add-rule -vserver svml -policy
MirrorAndVault -snapmirror-label my_monthly -schedule my_monthly
```

The following example adds a schedule for creating a local copy to the custom `my_unified` policy:

```
cluster_dst:> snapmirror policy add-rule -vserver svml -policy
my_unified -snapmirror-label my_monthly -schedule my_monthly
```

### Create a replication relationship

The relationship between the source volume in primary storage and the destination volume in secondary storage is called a *data protection relationship*. You can use the



snapmirror create command to create SnapMirror DR, SnapVault, or unified replication data protection relationships.

What you'll need

- The source and destination clusters and SVMs must be peered.

Cluster and SVM peering

- The language on the destination volume must be the same as the language on the source volume.

About this task

Until ONTAP 9.3, SnapMirror invoked in DP mode and SnapMirror invoked in XDP mode used different replication engines, with different approaches to version-dependence:

- SnapMirror invoked in DP mode used a *version-dependent* replication engine in which the ONTAP version was required to be the same on primary and secondary storage:

```
cluster_dst::> snapmirror create -type DP -source-path ... -destination
-path ...
```

- SnapMirror invoked in XDP mode used a *version-flexible* replication engine that supported different ONTAP versions on primary and secondary storage:

```
cluster_dst::> snapmirror create -type XDP -source-path ...
-destination-path ...
```

With improvements in performance, the significant benefits of version-flexible SnapMirror outweigh the slight advantage in replication throughput obtained with version-dependent mode. For this reason, beginning with ONTAP 9.3, XDP mode has been made the new default, and any invocations of DP mode on the command line or in new or existing scripts are automatically converted to XDP mode.

Existing relationships are not affected. If a relationship is already of type DP, it will continue to be of type DP. The table below shows the behavior you can expect.

If you specify...	The type is...	The default policy (if you do not specify a policy) is...
DP	XDP	MirrorAllSnapshots (SnapMirror DR)
Nothing	XDP	MirrorAllSnapshots (SnapMirror DR)
XDP	XDP	XDPDefault (SnapVault)

See also the examples in the procedure below.

The only exceptions to conversion are as follows:

- SVM data protection relationships continue to default to DP mode.

Specify XDP explicitly to obtain XDP mode with the default `MirrorAllSnapshots` policy.

- Load-sharing data protection relationships continue to default to DP mode.
- SnapLock data protection relationships continue to default to DP mode.
- Explicit invocations of DP continue to default to DP mode if you set the following cluster-wide option:

```
options replication.create_data_protection_rels.enable on
```

This option is ignored if you do not explicitly invoke DP.

In ONTAP 9.3 and earlier, a destination volume can contain up to 251 Snapshot copies. In ONTAP 9.4 and later, a destination volume can contain up to 1019 Snapshot copies.

Beginning with ONTAP 9.5, SnapMirror Synchronous relationships are supported.

### Step

1. From the destination cluster, create a replication relationship:

```
snapmirror create -source-path SVM:volume|cluster://SVM/volume, ... -destination  
-path SVM:volume|cluster://SVM/volume, ... -type DP|XDP -schedule schedule  
-policy policy
```

For complete command syntax, see the man page.



The `schedule` parameter is not applicable when creating SnapMirror Synchronous relationships.

The following example creates a SnapMirror DR relationship using the default `MirrorLatest` policy:

```
cluster_dst:> snapmirror create -source-path svm1:volA -destination  
-path svm_backup:volA_dst -type XDP -schedule my_daily -policy  
MirrorLatest
```

The following example creates a SnapVault relationship using the default `XDPDefault` policy:

```
cluster_dst:> snapmirror create -source-path svm1:volA -destination  
-path svm_backup:volA_dst -type XDP -schedule my_daily -policy  
XDPDefault
```

The following example creates a unified replication relationship using the default `MirrorAndVault` policy:

```
cluster_dst:> snapmirror create -source-path svm1:volA -destination-path  
svm_backup:volA_dst -type XDP -schedule my_daily -policy MirrorAndVault
```

The following example creates a unified replication relationship using the custom `my_unified` policy:

```
cluster_dst:> snapmirror create -source-path svm1:volA -destination  
-path svm_backup:volA_dst -type XDP -schedule my_daily -policy  
my_unified
```

The following example creates a SnapMirror Synchronous relationship using the default `Sync` policy:

```
cluster_dst:> snapmirror create -source-path svm1:volA -destination  
-path svm_backup:volA_dst -type XDP -policy Sync
```

The following example creates a SnapMirror Synchronous relationship using the default `StrictSync` policy:

```
cluster_dst:> snapmirror create -source-path svm1:volA -destination  
-path svm_backup:volA_dst -type XDP -policy StrictSync
```

The following example creates a SnapMirror DR relationship. With the `DP` type automatically converted to `XDP` and with no policy specified, the policy defaults to the `MirrorAllSnapshots` policy:

```
cluster_dst:> snapmirror create -source-path svm1:volA -destination  
-path svm_backup:volA_dst -type DP -schedule my_daily
```

The following example creates a SnapMirror DR relationship. With no type or policy specified, the policy defaults to the `MirrorAllSnapshots` policy:

```
cluster_dst:> snapmirror create -source-path svm1:volA -destination  
-path svm_backup:volA_dst -schedule my_daily
```

The following example creates a SnapMirror DR relationship. With no policy specified, the policy defaults to the `XDPDefault` policy:

```
cluster_dst:> snapmirror create -source-path svm1:volA -destination  
-path svm_backup:volA_dst -type XDP -schedule my_daily
```

The following example creates a SnapMirror Synchronous relationship with the predefined policy `SnapCenterSync`:

```
cluster_dst:> snapmirror create -source-path svm1:volA -destination  
-path svm_backup:volA_dst -type XDP -policy SnapCenterSync
```



The predefined policy `SnapCenterSync` is of type `Sync`. This policy replicates any Snapshot copy that is created with the `snapmirror-label` of `"app_consistent"`.

### After you finish

Use the `snapmirror show` command to verify that the SnapMirror relationship was created. For complete command syntax, see the man page.

### Other ways to do this in ONTAP

To perform these tasks with...	See this content...
The redesigned System Manager (available with ONTAP 9.7 and later)	<a href="#">Configure mirrors and vaults</a>
System Manager Classic (available with ONTAP 9.7 and earlier)	<a href="#">Volume backup using SnapVault overview</a>

### Initialize a replication relationship

For all relationship types, initialization performs a *baseline transfer*: it makes a Snapshot copy of the source volume, then transfers that copy and all the data blocks it references to the destination volume. Otherwise, the contents of the transfer depend on the policy.

### What you'll need

The source and destination clusters and SVMs must be peered.

### [Cluster and SVM peering](#)

### About this task

Initialization can be time-consuming. You might want to run the baseline transfer in off-peak hours.

Beginning with ONTAP 9.5, SnapMirror Synchronous relationships are supported.

### Step

1. Initialize a replication relationship:

```
snapmirror initialize -source-path SVM:volume|cluster://SVM/volume, ...  
-destination-path SVM:volume|cluster://SVM/volume, ...
```

For complete command syntax, see the man page.



You must run this command from the destination SVM or the destination cluster.

The following example initializes the relationship between the source volume `volA` on `svm1` and the destination volume `volA_dst` on `svm_backup`:

```
cluster_dst::> snapmirror initialize -source-path svm1:volA -destination  
-path svm_backup:volA_dst
```

### Example: Configure a vault-vault cascade

An example will show in concrete terms how you can configure replication relationships one step at a time. You can use the vault-vault cascade deployment configured in the example to retain more than 251 Snapshot copies labeled “my-weekly”.

#### What you’ll need

- The source and destination clusters and SVMs must be peered.
- You must be running ONTAP 9.2 or later. Vault-vault cascades are not supported in earlier ONTAP releases.

#### About this task

The example assumes the following:

- You have configured Snapshot copies on the source cluster with the SnapMirror labels “my-daily”, “my-weekly”, and “my-monthly”.
- You have configured destination volumes named “volA” on the secondary and tertiary destination clusters.
- You have configured replication job schedules named “my\_snapvault” on the secondary and tertiary destination clusters.

The example shows how to create replication relationships based on two custom policies:

- The “snapvault\_secondary” policy retains 7 daily, 52 weekly, and 180 monthly Snapshot copies on the secondary destination cluster.
- The “snapvault\_tertiary” policy retains 250 weekly Snapshot copies on the tertiary destination cluster.

#### Steps

1. On the secondary destination cluster, create the “snapvault\_secondary” policy:

```
cluster_secondary::> snapmirror policy create -policy snapvault_secondary  
-type vault -comment "Policy on secondary for vault to vault cascade" -vserver  
svm_secondary
```

2. On the secondary destination cluster, define the “my-daily” rule for the policy:

```
cluster_secondary::> snapmirror policy add-rule -policy snapvault_secondary  
-snapmirror-label my-daily -keep 7 -vserver svm_secondary
```

3. On the secondary destination cluster, define the “my-weekly” rule for the policy:

```
cluster_secondary::> snapmirror policy add-rule -policy snapvault_secondary  
-snapmirror-label my-weekly -keep 52 -vserver svm_secondary
```

4. On the secondary destination cluster, define the “my-monthly” rule for the policy:

```
cluster_secondary::> snapmirror policy add-rule -policy snapvault_secondary  
-snapmirror-label my-monthly -keep 180 -vserver svm_secondary
```

5. On the secondary destination cluster, verify the policy:

```
cluster_secondary::> snapmirror policy show snapvault_secondary -instance
```

```

Vserver: svm_secondary
SnapMirror Policy Name: snapvault_secondary
SnapMirror Policy Type: vault
Policy Owner: cluster-admin
Tries Limit: 8
Transfer Priority: normal
Ignore accesstime Enabled: false
Transfer Restartability: always
Network Compression Enabled: false
Create Snapshot: false
Comment: Policy on secondary for vault to vault
cascade
Total Number of Rules: 3
Total Keep: 239
Rules: SnapMirror Label      Keep  Preserve Warn
Schedule Prefix
-----
-----
my-daily              7  false      0  -
-
my-weekly            52  false      0  -
-
my-monthly          180  false      0  -
-

```

6. On the secondary destination cluster, create the relationship with the source cluster:

```

cluster_secondary::> snapmirror create -source-path svm_primary:volA
-destination-path svm_secondary:volA -type XDP -schedule my_snapvault -policy
snapvault_secondary

```

7. On the secondary destination cluster, initialize the relationship with the source cluster:

```

cluster_secondary::> snapmirror initialize -source-path svm_primary:volA
-destination-path svm_secondary:volA

```

8. On the tertiary destination cluster, create the “snapvault\_tertiary” policy:

```

cluster_tertiary::> snapmirror policy create -policy snapvault_tertiary -type
vault -comment "Policy on tertiary for vault to vault cascade" -vserver
svm_tertiary

```

9. On the tertiary destination cluster, define the “my-weekly” rule for the policy:

```

cluster_tertiary::> snapmirror policy add-rule -policy snapvault_tertiary
-snapmirror-label my-weekly -keep 250 -vserver svm_tertiary

```

10. On the tertiary destination cluster, verify the policy:

```
cluster_tertiary::> snapmirror policy show snapvault_tertiary -instance
```

```

                Vserver: svm_tertiary
SnapMirror Policy Name: snapvault_tertiary
SnapMirror Policy Type: vault
                Policy Owner: cluster-admin
                Tries Limit: 8
                Transfer Priority: normal
Ignore accesstime Enabled: false
                Transfer Restartability: always
Network Compression Enabled: false
                Create Snapshot: false
                Comment: Policy on tertiary for vault to vault
cascade
    Total Number of Rules: 1
                Total Keep: 250
                Rules: SnapMirror Label      Keep  Preserve Warn
Schedule Prefix
-----
-----
                my-weekly                250  false      0  -
-
```

11. On the tertiary destination cluster, create the relationship with the secondary cluster:

```
cluster_tertiary::> snapmirror create -source-path svm_secondary:volA
-destination-path svm_tertiary:volA -type XDP -schedule my_snapvault -policy
snapvault_tertiary
```

12. On the tertiary destination cluster, initialize the relationship with the secondary cluster:

```
cluster_tertiary::> snapmirror initialize -source-path svm_secondary:volA
-destination-path svm_tertiary:volA
```

## Convert an existing DP-type relationship to XDP

You can easily convert an existing DP-type relationship to XDP to take advantage of version-flexible SnapMirror.

### About this task

- SnapMirror does not automatically convert existing DP-type relationships to XDP. To convert the relationship, you need to break and delete the existing relationship, create a new XDP relationship, and resync the relationship. For background information, see [XDP replaces DP as the SnapMirror default](#).
- When planning your conversion, you should be aware that background preparation and the data warehousing phase of an XDP SnapMirror relationship can take a long time. It is not uncommon to see the SnapMirror relationship reporting the status "preparing" for an extended time period.



After you convert a SnapMirror relationship type from DP to XDP, space-related settings, such as autosize and space guarantee are no longer replicated to the destination.

## Steps

1. From the destination cluster, ensure that the SnapMirror relationship is type DP, that the mirror state is SnapMirrored, the relationship status is Idle, and the relationship is healthy:

```
snapmirror show -destination-path SVM:volume|cluster://SVM/volume
```

The following example shows the output from the `snapmirror show` command:

```
cluster_dst::>snapmirror show -destination-path svm_backup:volA_dst

Source Path: svm1:volA
Destination Path: svm_backup:volA_dst
Relationship Type: DP
SnapMirror Schedule: -
Tries Limit: -
Throttle (KB/sec): unlimited
Mirror State: Snapmirrored
Relationship Status: Idle
Transfer Snapshot: -
Snapshot Progress: -
Total Progress: -
Snapshot Checkpoint: -
Newest Snapshot: snapmirror.10af643c-32d1-11e3-954b-
123478563412_2147484682.2014-06-27_100026
Newest Snapshot Timestamp: 06/27 10:00:55
Exported Snapshot: snapmirror.10af643c-32d1-11e3-954b-
123478563412_2147484682.2014-06-27_100026
Exported Snapshot Timestamp: 06/27 10:00:55
Healthy: true
```



You might find it helpful to retain a copy of the `snapmirror show` command output to keep track existing of the relationship settings.

2. From the source and the destination volumes, ensure that both volumes have a common Snapshot copy:

```
volume snapshot show -vserver SVM -volume volume
```

The following example shows the `volume snapshot show` output for the source and the destination volumes:



```
cluster_src:> volume snapshot show -vserver vsml -volume volA
---Blocks---
Vserver Volume Snapshot State Size Total% Used%
-----
svm1 volA
weekly.2014-06-09_0736 valid 76KB 0% 28%
weekly.2014-06-16_1305 valid 80KB 0% 29%
daily.2014-06-26_0842 valid 76KB 0% 28%
hourly.2014-06-26_1205 valid 72KB 0% 27%
hourly.2014-06-26_1305 valid 72KB 0% 27%
hourly.2014-06-26_1405 valid 76KB 0% 28%
hourly.2014-06-26_1505 valid 72KB 0% 27%
hourly.2014-06-26_1605 valid 72KB 0% 27%
daily.2014-06-27_0921 valid 60KB 0% 24%
hourly.2014-06-27_0921 valid 76KB 0% 28%
snapmirror.10af643c-32d1-11e3-954b-123478563412_2147484682.2014-06-
27_100026
valid 44KB 0% 19%
11 entries were displayed.
```

```
cluster_dest:> volume snapshot show -vserver svm_backup -volume volA_dst
---Blocks---
Vserver Volume Snapshot State Size Total% Used%
-----
svm_backup volA_dst
weekly.2014-06-09_0736 valid 76KB 0% 30%
weekly.2014-06-16_1305 valid 80KB 0% 31%
daily.2014-06-26_0842 valid 76KB 0% 30%
hourly.2014-06-26_1205 valid 72KB 0% 29%
hourly.2014-06-26_1305 valid 72KB 0% 29%
hourly.2014-06-26_1405 valid 76KB 0% 30%
hourly.2014-06-26_1505 valid 72KB 0% 29%
hourly.2014-06-26_1605 valid 72KB 0% 29%
daily.2014-06-27_0921 valid 60KB 0% 25%
hourly.2014-06-27_0921 valid 76KB 0% 30%
snapmirror.10af643c-32d1-11e3-954b-123478563412_2147484682.2014-06-
27_100026
```

3. To ensure scheduled updates will not run during the conversion, quiesce the existing DP-type relationship:

```
snapmirror quiesce -source-path SVM:volume|cluster://SVM/volume, ...
-destination-path SVM:volume|cluster://SVM/volume, ...
```

For complete command syntax, see the man page.



You must run this command from the destination SVM or the destination cluster.

The following example quiesces the relationship between the source volume `volA` on `svm1` and the destination volume `volA_dst` on `svm_backup`:

```
cluster_dst:> snapmirror quiesce -destination-path svm_backup:volA_dst
```

#### 4. Break the existing DP-type relationship:

```
snapmirror break -destination-path SVM:volume|cluster://SVM/volume, ...
```

For complete command syntax, see the man page.



You must run this command from the destination SVM or the destination cluster.

The following example breaks the relationship between the source volume `volA` on `svm1` and the destination volume `volA_dst` on `svm_backup`:

```
cluster_dst:> snapmirror break -destination-path svm_backup:volA_dst
```

#### 5. If automatic deletion of Snapshot copies is enabled on the destination volume, disable it:

```
volume snapshot autodelete modify -vserver SVM -volume volume -enabled false
```

The following example disables Snapshot copy autodelete on the destination volume `volA_dst`:

```
cluster_dst:> volume snapshot autodelete modify -vserver svm_backup  
-volume volA_dst -enabled false
```

#### 6. Delete the existing DP-type relationship:

```
snapmirror delete -destination-path SVM:volume|cluster://SVM/volume, ...
```

For complete command syntax, see the man page.



You must run this command from the destination SVM or the destination cluster.

The following example deletes the relationship between the source volume `volA` on `svm1` and the destination volume `volA_dst` on `svm_backup`:

```
cluster_dst:> snapmirror delete -destination-path svm_backup:volA_dst
```

#### 7. You can use the output you retained from the `snapmirror show` command to create the new XDP-type

relationship:

```
snapmirror create -source-path SVM:volume|cluster://SVM/volume, ... -destination  
-path SVM:volume|cluster://SVM/volume, ... -type XDP -schedule schedule -policy  
policy
```

The new relationship must use the same source and destination volume. For complete command syntax, see the man page.



You must run this command from the destination SVM or the destination cluster.

The following example creates a SnapMirror DR relationship between the source volume `volA` on `svm1` and the destination volume `volA_dst` on `svm_backup` using the default `MirrorAllSnapshots` policy:

```
cluster_dst:> snapmirror create -source-path svm1:volA -destination  
-path svm_backup:volA_dst  
-type XDP -schedule my_daily -policy MirrorAllSnapshots
```

#### 8. Resync the source and destination volumes:

```
snapmirror resync -source-path SVM:volume|cluster://SVM/volume, ... -destination  
-path SVM:volume|cluster://SVM/volume, ...
```

For complete command syntax, see the man page.



You must run this command from the destination SVM or the destination cluster. Although `resync` does not require a baseline transfer, it can be time-consuming. You might want to run the `resync` in off-peak hours.

The following example resyncs the relationship between the source volume `volA` on `svm1` and the destination volume `volA_dst` on `svm_backup`:

```
cluster_dst:> snapmirror resync -source-path svm1:volA -destination  
-path svm_backup:volA_dst
```

#### 9. If you disabled automatic deletion of Snapshot copies, reenale it:

```
volume snapshot autodelete modify -vserver SVM -volume volume -enabled true
```

### After you finish

1. Use the `snapmirror show` command to verify that the SnapMirror relationship was created. For complete command syntax, see the man page.
2. Once the SnapMirror XDP destination volume begins updating Snapshot copies as defined by the SnapMirror policy, you can use the output of `snapmirror list-destinations` command from the source cluster to display the new SnapMirror XDP relationship.

## Convert the type of a SnapMirror relationship

Beginning with ONTAP 9.5, SnapMirror Synchronous is supported. You can convert an asynchronous SnapMirror relationship to a SnapMirror Synchronous relationship or vice versa without performing a baseline transfer.

### About this task

You cannot convert an asynchronous SnapMirror relationship to a SnapMirror Synchronous relationship or vice versa by changing the SnapMirror policy

### Steps

- **Converting an asynchronous SnapMirror relationship to a SnapMirror Synchronous relationship**

- a. From the destination cluster, delete the asynchronous SnapMirror relationship:

```
snapmirror delete -destination-path SVM:volume
```

```
cluster2::>snapmirror delete -destination-path vs1_dr:vol1
```

- b. From the source cluster, release the SnapMirror relationship without deleting the common Snapshot copies:

```
snapmirror release -relationship-info-only true -destination-path  
dest_SVM:dest_volume
```

```
cluster1::>snapmirror release -relationship-info-only true  
-destination-path vs1_dr:vol1
```

- c. From the destination cluster, create a SnapMirror Synchronous relationship:

```
snapmirror create -source-path src_SVM:src_volume -destination-path  
dest_SVM:dest_volume -policy sync-mirror
```

```
cluster2::>snapmirror create -source-path vs1:vol1 -destination-path  
vs1_dr:vol1 -policy sync
```

- d. Resynchronize the SnapMirror Synchronous relationship:

```
snapmirror resync -destination-path dest_SVM:dest_volume
```

```
cluster2::>snapmirror resync -destination-path vs1_dr:vol1
```

- **Converting a SnapMirror Synchronous relationship to an asynchronous SnapMirror relationship**

- a. From the destination cluster, quiesce the existing SnapMirror Synchronous relationship:

```
snapmirror quiesce -destination-path dest_SVM:dest_volume
```

```
cluster2::> snapmirror quiesce -destination-path vs1_dr:vol1
```

- b. From the destination cluster, delete the asynchronous SnapMirror relationship:

```
snapmirror delete -destination-path SVM:volume
```

```
cluster2::>snapmirror delete -destination-path vs1_dr:vol1
```

- c. From the source cluster, release the SnapMirror relationship without deleting the common Snapshot copies:

```
snapmirror release -relationship-info-only true -destination-path  
dest_SVM:dest_volume
```

```
cluster1::>snapmirror release -relationship-info-only true  
-destination-path vs1_dr:vol1
```

- d. From the destination cluster, create an asynchronous SnapMirror relationship:

```
snapmirror create -source-path src_SVM:src_volume -destination-path  
dest_SVM:dest_volume -policy MirrorAllSnapshots
```

```
cluster2::>snapmirror create -source-path vs1:vol1 -destination-path  
vs1_dr:vol1 -policy sync
```

- e. Resynchronize the SnapMirror Synchronous relationship:

```
snapmirror resync -destination-path dest_SVM:dest_volume
```

```
cluster2::>snapmirror resync -destination-path vs1_dr:vol1
```

### Convert the mode of a SnapMirror Synchronous relationship

Beginning with ONTAP 9.5, SnapMirror Synchronous relationships are supported. You can convert the mode of a SnapMirror Synchronous relationship from StrictSync to Sync or vice versa.

#### About this task

You cannot modify the policy of a Snapmirror Synchronous relationship to convert its mode.

#### Steps

1. From the destination cluster, quiesce the existing SnapMirror Synchronous relationship:

```
snapmirror quiesce -destination-path dest_SVM:dest_volume
```

```
cluster2::> snapmirror quiesce -destination-path vs1_dr:vol1
```

2. From the destination cluster, delete the existing SnapMirror Synchronous relationship:

```
snapmirror delete -destination-path dest_SVM:dest_volume
```

```
cluster2::> snapmirror delete -destination-path vs1_dr:vol1
```

3. From the source cluster, release the SnapMirror relationship without deleting the common Snapshot copies:

```
snapmirror release -relationship-info-only true -destination-path  
dest_SVM:dest_volume
```

```
cluster1::> snapmirror release -relationship-info-only true -destination  
-path vs1_dr:vol1
```

4. From the destination cluster, create a SnapMirror Synchronous relationship by specifying the mode to which you want to convert the SnapMirror Synchronous relationship:

```
snapmirror create -source-path vs1:vol1 -destination-path dest_SVM:dest_volume  
-policy Sync|StrictSync
```

```
cluster2::> snapmirror create -source-path vs1:vol1 -destination-path  
vs1_dr:vol1 -policy Sync
```

5. From the destination cluster, resynchronize the SnapMirror relationship:

```
snapmirror resync -destination-path dest_SVM:dest_volume
```

```
cluster2::> snapmirror resync -destination-path vs1_dr:vol1
```

## Serve data from a SnapMirror DR destination volume

### Make the destination volume writeable

You need to make the destination volume writeable before you can serve data from the volume to clients. You can use the `snapmirror quiesce` command to stop scheduled transfers to the destination, the `snapmirror abort` command to stop ongoing transfers, and the `snapmirror break` command to make the destination writeable.

## About this task

You must perform this task from the destination SVM or the destination cluster.

### Steps

1. Stop scheduled transfers to the destination:

```
snapmirror quiesce -source-path SVM:volume|cluster://SVM/volume, ...  
-destination-path SVM:volume|cluster://SVM/volume, ...
```

For complete command syntax, see the man page.

The following example stops scheduled transfers between the source volume `volA` on `svm1` and the destination volume `volA_dst` on `svm_backup`:

```
cluster_dst:> snapmirror quiesce -source-path svm1:volA -destination  
-path svm_backup:volA_dst
```

2. Stop ongoing transfers to the destination:

```
snapmirror abort -source-path SVM:volume|cluster://SVM/volume, ... -destination  
-path SVM:volume|cluster://SVM/volume, ...
```

For complete command syntax, see the man page.



This step is not required for SnapMirror Synchronous relationships (supported beginning with ONTAP 9.5).

The following example stops ongoing transfers between the source volume `volA` on `svm1` and the destination volume `volA_dst` on `svm_backup`:

```
cluster_dst:> snapmirror abort -source-path svm1:volA -destination-path  
svm_backup:volA_dst
```

3. Break the SnapMirror DR relationship:

```
snapmirror break -source-path SVM:volume|cluster://SVM/volume, ... -destination  
-path SVM:volume|cluster://SVM/volume, ...
```

For complete command syntax, see the man page.

The following example breaks the relationship between the source volume `volA` on `svm1` and the destination volume `volA_dst` on `svm_backup` and the destination volume `volA_dst` on `svm_backup`:

```
cluster_dst:> snapmirror break -source-path svm1:volA -destination-path  
svm_backup:volA_dst
```

## Other ways to do this in ONTAP

To perform these tasks with...	See this content...
The redesigned System Manager (available with ONTAP 9.7 and later)	<a href="#">Serve data from a SnapMirror destination</a>
System Manager Classic (available with ONTAP 9.7 and earlier)	<a href="#">Volume disaster recovery overview</a>

### Configure the destination volume for data access

After making the destination volume writeable, you must configure the volume for data access. NAS clients, NVMe subsystem, and SAN hosts can access the data from the destination volume until the source volume is reactivated.

NAS environment:

1. Mount the NAS volume to the namespace using the same junction path that the source volume was mounted to in the source SVM.
2. Apply the appropriate ACLs to the SMB shares at the destination volume.
3. Assign the NFS export policies to the destination volume.
4. Apply the quota rules to the destination volume.
5. Redirect clients to the destination volume.
6. Remount the NFS and SMB shares on the clients.

SAN environment:

1. Map the LUNs in the volume to the appropriate initiator group.
2. For iSCSI, create iSCSI sessions from the SAN host initiators to the SAN LIFs.
3. On the SAN client, perform a storage re-scan to detect the connected LUNs.

For information about NVMe environment, see [SAN administration](#).

### Reactivate the original source volume

You can reestablish the original data protection relationship between the source and destination volumes when you no longer need to serve data from the destination.

#### About this task

- The procedure below assumes that the baseline in the original source volume is intact. If the baseline is not intact, you must create and initialize the relationship between the volume you are serving data from and the original source volume before performing the procedure.
- Background preparation and the data warehousing phase of an XDP SnapMirror relationship can take a long time. It is not uncommon to see the SnapMirror relationship reporting the status "preparing" for an extended time period.

#### Steps

1. Delete the original data protection relationship:



```
snapmirror delete -source-path SVM:volume|cluster://SVM/volume, ... -destination  
-path SVM:volume|cluster://SVM/volume, ...
```

For complete command syntax, see the man page.

You must run this command from the destination SVM or the destination cluster.

The following example deletes the relationship between the original source volume, volA on svm1, and the volume you are serving data from, volA\_dst on svm\_backup:

```
cluster_dst:> snapmirror delete -source-path svm1:volA -destination  
-path svm_backup:volA_dst
```

## 2. Reverse the original data protection relationship:

```
snapmirror resync -source-path SVM:volume|cluster://SVM/volume, ... -destination  
-path SVM:volume|cluster://SVM/volume, ...
```

For complete command syntax, see the man page.

You must run this command from the destination SVM or the destination cluster. Although resync does not require a baseline transfer, it can be time-consuming. You might want to run the resync in off-peak hours.

The following example reverses the relationship between the original source volume, volA on svm1, and the volume you are serving data from, volA\_dst on svm\_backup:

```
cluster_dst:> snapmirror resync -source-path svm_backup:volA_dst  
-destination-path svm1:volA
```

## 3. Stop the source SVM for the reversed relationship:

```
vserver stop -vserver SVM
```

For complete command syntax, see the man page.

The following example stops the source SVM for the reversed relationship:

```
cluster_src:> vserver stop svm_backup
```

## 4. Update the reversed relationship:

```
snapmirror update -source-path SVM:volume|cluster://SVM/volume, ... -destination  
-path SVM:volume|cluster://SVM/volume, ...
```

For complete command syntax, see the man page.



You must run this command from the destination SVM or the destination cluster. The command fails if a common Snapshot copy does not exist on the source and destination. Use `snapmirror initialize` to re-initialize the relationship.

The following example updates the relationship between the volume you are serving data from, `volA_dst` on `svm_backup`, and the original source volume, `volA` on `svm1`:

```
cluster_dst:> snapmirror update -source-path svm_backup:volA_dst  
-destination-path svm1:volA
```

#### 5. Stop scheduled transfers for the reversed relationship:

```
snapmirror quiesce -source-path SVM:volume|cluster://SVM/volume, ...  
-destination-path SVM:volume|cluster://SVM/volume, ...
```

For complete command syntax, see the man page.



You must run this command from the destination SVM or the destination cluster.

The following example stops scheduled transfers between the volume you are serving data from, `volA_dst` on `svm_backup`, and the original source volume, `volA` on `svm1`:

```
cluster_dst:> snapmirror quiesce -source-path svm_backup:volA_dst  
-destination-path svm1:volA
```

#### 6. Stop ongoing transfers for the reversed relationship:

```
snapmirror abort -source-path SVM:volume|cluster://SVM/volume, ... -destination  
-path SVM:volume|cluster://SVM/volume, ...
```

For complete command syntax, see the man page.



You must run this command from the destination SVM or the destination cluster.

The following example stops ongoing transfers between the volume you are serving data from, `volA_dst` on `svm_backup`, and the original source volume, `volA` on `svm1`:

```
cluster_dst:> snapmirror abort -source-path svm_backup:volA_dst  
-destination-path svm1:volA
```

#### 7. Break the reversed relationship:

```
snapmirror break -source-path SVM:volume|cluster://SVM/volume, ... -destination  
-path SVM:volume|cluster://SVM/volume, ...
```

For complete command syntax, see the man page.



You must run this command from the destination SVM or the destination cluster.

The following example breaks the relationship between the volume you are serving data from, `volA_dst` on `svm_backup`, and the original source volume, `volA` on `svm1`:

```
cluster_dst::> snapmirror break -source-path svm_backup:volA_dst  
-destination-path svm1:volA
```

#### 8. Start the original source SVM:

```
vserver start -vserver SVM
```

For complete command syntax, see the man page.

The following example starts the original source SVM:

```
cluster_dst::> vserver start svm1
```

#### 9. Delete the reversed data protection relationship:

```
snapmirror delete -source-path SVM:volume|cluster://SVM/volume, ... -destination  
-path SVM:volume|cluster://SVM/volume, ...
```

For complete command syntax, see the man page.

You must run this command from the source SVM or the source cluster for the reversed relationship.

The following example deletes the reversed relationship between the original source volume, `volA` on `svm1`, and the volume you are serving data from, `volA_dst` on `svm_backup`:

```
cluster_src::> snapmirror delete -source-path svm_backup:volA_dst  
-destination-path svm1:volA
```

#### 10. Reestablish the original data protection relationship:

```
snapmirror resync -source-path SVM:volume|cluster://SVM/volume, ... -destination  
-path SVM:volume|cluster://SVM/volume, ...
```

For complete command syntax, see the man page.

The following example reestablishes the relationship between the original source volume, `volA` on `svm1`, and the original destination volume, `volA_dst` on `svm_backup`:

```
cluster_dst::> snapmirror resync -source-path svm1:volA -destination  
-path svm_backup:volA_dst
```

## After you finish

Use the `snapmirror show` command to verify that the SnapMirror relationship was created. For complete command syntax, see the man page.

## Restore files from a SnapMirror destination volume

### Restore a single file, LUN, or NVMe namespace from a SnapMirror destination

You can restore a single file, LUN, a set of files or LUNs from a Snapshot copy, or an NVMe namespace from a SnapMirror destination volume. Beginning with ONTAP 9.7, you can also restore NVMe namespaces from a SnapMirror Synchronous destination. You can restore files to the original source volume or to a different volume.

### What you'll need

To restore a file or LUN from a SnapMirror Synchronous destination (supported beginning with ONTAP 9.5), you must first delete and release the relationship.

### About this task

The volume to which you are restoring files or LUNs (the destination volume) must be a read-write volume:

- SnapMirror performs an *incremental restore* if the source and destination volumes have a common Snapshot copy (as is typically the case when you are restoring to the original source volume).
- Otherwise, SnapMirror performs a *baseline restore*, in which the specified Snapshot copy and all the data blocks it references are transferred to the destination volume.

### Steps

1. List the Snapshot copies in the destination volume:

```
volume snapshot show -vserver SVM -volume volume
```

For complete command syntax, see the man page.

The following example shows the Snapshot copies on the `vserverB:secondary1` destination:

```
cluster_dst:> volume snapshot show -vserver vserverB -volume secondary1
```

Vserver	Volume	Snapshot	State	Size	Total% Used%
-----	-----	-----	-----	-----	-----
vserverB	secondary1	hourly.2013-01-25_0005	valid	224KB	0%
		daily.2013-01-25_0010	valid	92KB	0%
		hourly.2013-01-25_0105	valid	228KB	0%
		hourly.2013-01-25_0205	valid	236KB	0%
		hourly.2013-01-25_0305	valid	244KB	0%
		hourly.2013-01-25_0405	valid	244KB	0%
		hourly.2013-01-25_0505	valid	244KB	0%

7 entries were displayed.

2. Restore a single file or LUN or a set of files or LUNs from a Snapshot copy in a SnapMirror destination volume:

```
snapmirror restore -source-path SVM:volume|cluster://SVM/volume, ...
-destination-path SVM:volume|cluster://SVM/volume, ... -source-snapshot snapshot
-file-list source_file_path,@destination_file_path
```

For complete command syntax, see the man page.



You must run this command from the destination SVM or the destination cluster.

The following command restores the files `file1` and `file2` from the Snapshot copy `daily.2013-01-25_0010` in the original destination volume `secondary1`, to the same location in the active file system of the original source volume `primary1`:

```
cluster_dst:> snapmirror restore -source-path vserverB:secondary1
-destination-path vserverA:primary1 -source-snapshot daily.2013-01-
25_0010 -file-list /dir1/file1,/dir2/file2
```

```
[Job 3479] Job is queued: snapmirror restore for the relationship with
destination vserverA:primary1
```

The following command restores the files `file1` and `file2` from the Snapshot copy `daily.2013-01-25_0010` in the original destination volume `secondary1`, to a different location in the active file system of the original source volume `primary1`.

The destination file path begins with the `@` symbol followed by the path of the file from the root of the original source volume. In this example, `file1` is restored to `/dir1/file1.new` and `file2` is restored to `/dir2.new/file2` on `primary1`:

```
cluster_dst:> snapmirror restore -source-path vserverB:secondary1
-destination-path vserverA:primary1 -source-snapshot daily.2013-01-
25_0010 -file-list
/dir/file1,@/dir1/file1.new,/dir2/file2,@/dir2.new/file2
```

```
[Job 3479] Job is queued: snapmirror restore for the relationship with
destination vserverA:primary1
```

The following command restores the files `file1` and `file3` from the Snapshot copy `daily.2013-01-25_0010` in the original destination volume `secondary1`, to different locations in the active file system of the original source volume `primary1`, and restores `file2` from `snap1` to the same location in the active file system of `primary1`.

In this example, the file `file1` is restored to `/dir1/file1.new` and `file3` is restored to `/dir3.new/file3`:

```
cluster_dst:> snapmirror restore -source-path vserverB:secondary1
-destination-path vserverA:primary1 -source-snapshot daily.2013-01-
25_0010 -file-list
/dir/file1,@/dir1/file1.new,/dir2/file2,/dir3/file3,@/dir3.new/file3
```

```
[Job 3479] Job is queued: snapmirror restore for the relationship with
destination vserverA:primary1
```

### Restore the contents of a volume from a SnapMirror destination

You can restore the contents of an entire volume from a Snapshot copy in a SnapMirror destination volume. You can restore the volume's contents to the original source volume or to a different volume.

#### About this task

The destination volume for the restore operation must be one of the following:

- A read-write volume, in which case SnapMirror performs an *incremental restore*, provided that the source and destination volumes have a common Snapshot copy (as is typically the case when you are restoring to the original source volume).



The command fails if there is not a common Snapshot copy. You cannot restore the contents of a volume to an empty read-write volume.

- An empty data protection volume, in which case SnapMirror performs a *baseline restore*, in which the specified Snapshot copy and all the data blocks it references are transferred to the source volume.

Restoring the contents of a volume is a disruptive operation. SMB traffic must not be running on the SnapVault primary volume when a restore operation is running.

If the destination volume for the restore operation has compression enabled, and the source volume does not have compression enabled, disable compression on the destination volume. You need to re-enable compression after the restore operation is complete.

Any quota rules defined for the destination volume are deactivated before the restore is performed. You can use the `volume quota modify` command to reactivate quota rules after the restore operation is complete.

## Steps

1. List the Snapshot copies in the destination volume:

```
volume snapshot show -vserver SVM -volume volume
```

For complete command syntax, see the man page.

The following example shows the Snapshot copies on the `vserverB:secondary1` destination:

```
cluster_dst::> volume snapshot show -vserver vserverB -volume secondary1
```

Vserver Used%	Volume	Snapshot	State	Size	Total%
-----	-----	-----	-----	-----	-----
vserverB 0%	secondary1	hourly.2013-01-25_0005	valid	224KB	0%
0%		daily.2013-01-25_0010	valid	92KB	0%
0%		hourly.2013-01-25_0105	valid	228KB	0%
0%		hourly.2013-01-25_0205	valid	236KB	0%
0%		hourly.2013-01-25_0305	valid	244KB	0%
0%		hourly.2013-01-25_0405	valid	244KB	0%
0%		hourly.2013-01-25_0505	valid	244KB	0%

7 entries were displayed.

## 2. Restore the contents of a volume from a Snapshot copy in a SnapMirror destination volume:

```
snapmirror restore -source-path SVM:volume|cluster://SVM/volume, ...  
-destination-path SVM:volume|cluster://SVM/volume, ... -source-snapshot snapshot
```

For complete command syntax, see the man page.



You must run this command from the destination SVM or the destination cluster.

The following command restores the contents of the original source volume `primary1` from the Snapshot copy `daily.2013-01-25_0010` in the original destination volume `secondary1`:

```
cluster_dst:> snapmirror restore -source-path vserverB:secondary1  
-destination-path vserverA:primary1 -source-snapshot daily.2013-01-  
25_0010
```

Warning: All data newer than Snapshot copy `daily.2013-01-25_0010` on volume `vserverA:primary1` will be deleted.

Do you want to continue? {y|n}: y

```
[Job 34] Job is queued: snapmirror restore from source  
vserverB:secondary1 for the snapshot daily.2013-01-25_0010.
```

## 3. Remount the restored volume and restart all applications that use the volume.

### Other ways to do this in ONTAP

To perform these tasks with...	See this content...
The redesigned System Manager (available with ONTAP 9.7 and later)	<a href="#">Restore a volume from an earlier Snapshot copy</a>
System Manager Classic (available with ONTAP 9.7 and earlier)	<a href="#">Volume restore using SnapVault overview</a>

### Update a replication relationship manually

You might need to update a replication relationship manually if an update fails because the source volume has been moved.

#### About this task

SnapMirror aborts any transfers from a moved source volume until you update the replication relationship manually.

Beginning with ONTAP 9.5, SnapMirror Synchronous relationships are supported. Although the source and destination volumes are in sync at all times in these relationships, the view from the secondary cluster is synchronized with the primary only on an hourly basis. If you want to view the point-in-time data at the destination, you should perform a manual update by running the `snapmirror update` command.



## Step

1. Update a replication relationship manually:

```
snapmirror update -source-path SVM:volume|cluster://SVM/volume, ... -destination  
-path SVM:volume|cluster://SVM/volume, ...
```

For complete command syntax, see the man page.



You must run this command from the destination SVM or the destination cluster. The command fails if a common Snapshot copy does not exist on the source and destination. Use `snapmirror initialize` to re-initialize the relationship.

The following example updates the relationship between the source volume `volA` on `svm1` and the destination volume `volA_dst` on `svm_backup`:

```
cluster_src::> snapmirror update -source-path svm1:volA -destination  
-path svm_backup:volA_dst
```

## Resynchronize a replication relationship

You need to resynchronize a replication relationship after you make a destination volume writeable, after an update fails because a common Snapshot copy does not exist on the source and destination volumes, or if you want to change the replication policy for the relationship.

### About this task

- Although `resync` does not require a baseline transfer, it can be time-consuming. You might want to run the `resync` in off-peak hours.
- Volumes that are part of a fan-out or cascade configuration can take longer to resynchronize. It is not uncommon to see the SnapMirror relationship reporting the status "preparing" for an extended time period.

## Step

1. Resync the source and destination volumes:

```
snapmirror resync -source-path SVM:volume|cluster://SVM/volume, ... -destination  
-path SVM:volume|cluster://SVM/volume, ... -type DP|XDP -schedule schedule  
-policy policy
```

For complete command syntax, see the man page.



You must run this command from the destination SVM or the destination cluster.

The following example resyncs the relationship between the source volume `volA` on `svm1` and the destination volume `volA_dst` on `svm_backup`:

```
cluster_dst:> snapmirror resync -source-path svm1:volA -destination  
-path svm_backup:volA_dst
```

## Delete a volume replication relationship

You can use the `snapmirror delete` and `snapmirror release` commands to delete a volume replication relationship. You can then delete unneeded destination volumes manually.

### About this task

The `snapmirror release` command deletes any SnapMirror-created Snapshot copies from the source. You can use the `-relationship-info-only` option to preserve the Snapshot copies.

### Steps

1. Quiesce the replication relationship:

```
snapmirror quiesce -destination-path SVM:volume|cluster://SVM/volume
```

```
cluster_dst:> snapmirror quiesce -destination-path svm_backup:volA_dst
```

2. Break the replication relationship:

```
snapmirror break -source-path SVM:volume|cluster://SVM/volume, ... -destination  
-path SVM:volume|cluster://SVM/volume, ...
```

```
cluster_dst:> snapmirror break -source-path svm1:volA -destination-path  
svm_backup:volA_dst
```

3. Delete the replication relationship:

```
snapmirror delete -source-path SVM:volume|cluster://SVM/volume, ... -destination  
-path SVM:volume|cluster://SVM/volume, ...
```

For complete command syntax, see the man page.



You must run this command from the destination cluster or destination SVM.

The following example deletes the relationship between the source volume `volA` on `svm1` and the destination volume `volA_dst` on `svm_backup`:

```
cluster_dst:> snapmirror delete -source-path svm1:volA -destination  
-path svm_backup:volA_dst
```

4. Release replication relationship information from the source SVM:

```
snapmirror release -source-path SVM:volume|cluster://SVM/volume, ...  
-destination-path SVM:volume|cluster://SVM/volume, ...
```

For complete command syntax, see the man page.



You must run this command from the source cluster or source SVM.

The following example releases information for the specified replication relationship from the source SVM `svm1`:

```
cluster_src::> snapmirror release -source-path svm1:volA -destination  
-path svm_backup:volA_dst
```

## Manage storage efficiency

SnapMirror preserves storage efficiency on the source and destination volumes, with one exception, when postprocess data compression is enabled on the destination. In that case, all storage efficiency is lost on the destination. To correct this issue, you need to disable postprocess compression on the destination, update the relationship manually, and re-enable storage efficiency.

### What you'll need

- The source and destination clusters and SVMs must be peered.

#### Cluster and SVM peering

- You must disable postprocess compression on the destination.

### About this task

You can use the `volume efficiency show` command to determine whether efficiency is enabled on a volume. For more information, see the man pages.

You can check if SnapMirror is maintaining storage efficiency by viewing the SnapMirror audit logs and locating the transfer description. If the transfer description displays `transfer_desc=Logical Transfer`, SnapMirror is not maintaining storage efficiency. If the transfer description displays `transfer_desc=Logical Transfer with Storage Efficiency`, SnapMirror is maintaining storage efficiency. For example:

```
Fri May 22 02:13:02 CDT 2020 ScheduledUpdate[May 22 02:12:00]:cc0fbc29-  
b665-11e5-a626-00a09860c273 Operation-Uid=39fbcf48-550a-4282-a906-  
df35632c73a1 Group=none Operation-Cookie=0 action=End source=<sourcepath>  
destination=<destpath> status=Success bytes_transferred=117080571  
network_compression_ratio=1.0:1 transfer_desc=Logical Transfer - Optimized  
Directory Mode
```

## Logical Transfer with storage

Beginning with ONTAP 9.3, manual update is no longer required to re-enable storage efficiency. If SnapMirror detects that postprocess compression has been disabled, it automatically re-enables storage efficiency at the next scheduled update. Both the source and the destination must be running ONTAP 9.3.

Beginning with ONTAP 9.3, AFF systems manage storage efficiency settings differently from FAS systems after a destination volume is made writeable:

- After you make a destination volume writeable using the `snapmirror break` command, the caching policy on the volume is automatically set to “auto” (the default).



This behavior is applicable to FlexVol volumes, only, and it does not apply to FlexGroup volumes.

- On resync, the caching policy is automatically set to “none”, and deduplication and inline compression are automatically disabled, regardless of your original settings. You must modify the settings manually as needed.



Manual updates with storage efficiency enabled can be time-consuming. You might want to run the operation in off-peak hours.

## Step

1. Update a replication relationship and re-enable storage efficiency:

```
snapmirror update -source-path SVM:volume|cluster://SVM/volume, ... -destination  
-path SVM:volume|cluster://SVM/volume, ... -enable-storage-efficiency true
```

For complete command syntax, see the man page.



You must run this command from the destination SVM or the destination cluster. The command fails if a common Snapshot copy does not exist on the source and destination. Use `snapmirror initialize` to re-initialize the relationship.

The following example updates the relationship between the source volume `volA` on `svm1` and the destination volume `volA_dst` on `svm_backup`, and re-enables storage efficiency:

```
cluster_dst::> snapmirror update -source-path svm1:volA -destination  
-path svm_backup:volA_dst -enable-storage-efficiency true
```

## Use SnapMirror global throttling

Global network throttling is available for all SnapMirror and SnapVault transfers at a per-node level.

### About this task

SnapMirror global throttling restricts the bandwidth used by incoming and/or outgoing SnapMirror and SnapVault transfers. The restriction is enforced cluster wide on all nodes in the cluster.

For example, if the outgoing throttle is set to 100 Mbps, each node in the cluster will have the outgoing bandwidth set to 100 Mbps. If global throttling is disabled, it is disabled on all nodes.



The throttle has no effect on `volume move` transfers or load-sharing mirror transfers. Although data transfer rates are often expressed in bits per second (bps), the throttle values must be entered in kilobytes per second (KBps).

Global throttling works with the per-relationship throttle feature for SnapMirror and SnapVault transfers. The per-relationship throttle is enforced until the combined bandwidth of per-relationship transfers exceeds the value of the global throttle, after which the global throttle is enforced. A throttle value 0 implies that global throttling is disabled.



SnapMirror global throttling has no effect on SnapMirror Synchronous relationships when they are In-Sync. However, the throttle does effect SnapMirror Synchronous relationships when they perform an asynchronous transfer phase such as an initialization operation or after an Out Of Sync event. For this reason, enabling global throttling with SnapMirror Synchronous relationships is not recommended.

## Steps

1. Enable global throttling:

```
options -option-name replication.throttle.enable on|off
```

The following example shows how to enable SnapMirror global throttling on `cluster_dst`:

```
cluster_dst:> options -option-name replication.throttle.enable on
```

2. Specify the maximum total bandwidth used by incoming transfers on the destination cluster:

```
options -option-name replication.throttle.incoming.max_kbs KBps
```

The recommended minimum throttle bandwidth is 4 KBps and the maximum is up to 2 TBps. The default value for this option is `unlimited`, which means there is no limit on total bandwidth used.

The following example shows how to set the maximum total bandwidth used by incoming transfers to 100 Mbps:

```
cluster_dst:> options -option-name
replication.throttle.incoming.max_kbs 12500
```



100 Mbps = 12500 KBps

3. Specify the maximum total bandwidth used by outgoing transfers on the source cluster:

```
options -option-name replication.throttle.outgoing.max_kbs KBps
```

KBps is the maximum transfer rate in kilobytes per second. Valid transfer rate values are 1 to 125000. The default value for this option is `unlimited`, which means there is no limit on total bandwidth used.

The following example shows how to set the maximum total bandwidth used by outgoing transfers to 100 Mbps:

```
cluster_src::> options -option-name  
replication.throttle.outgoing.max_kbs 12500
```

## About SnapMirror SVM replication

You can use SnapMirror to create a data protection relationship between SVMs. In this type of data protection relationship, all or part of the SVM's configuration, from NFS exports and SMB shares to RBAC, is replicated, as well as the data in the volumes that the SVM owns.

### Supported relationship types

Only data-serving SVMs can be replicated. The following data protection relationship types are supported:

- *SnapMirror DR*, in which the destination typically contains only the Snapshot copies currently on the source.

Beginning with ONTAP 9.9.1, this behavior changes when you are using the mirror-vault policy. Beginning with ONTAP 9.9.1, you can create different Snapshot policies on the source and destination, and the Snapshot copies on the destination are not overwritten by Snapshot copies on the source:

- They are not overwritten from the source to the destination during normal scheduled operations, updates and resync
- They are not deleted during break operations.
- They are not deleted during flip-resync operations.  
When you configure an SVM DR relationship using the mirror-vault policy using ONTAP 9.9.1 and later, the policy behaves as follows:
- User-defined Snapshot copy policies at the source are not copied to the destination.
- System-defined Snapshot copy policies are not copied to the destination.
- Volume association with user and system defined Snapshot policies are not copied to the destination.

SVM.

- Beginning with ONTAP 9.2, *SnapMirror unified replication*, in which the destination is configured for both DR and long-term retention.

Details about these relationship types can be found here: [Understanding SnapMirror volume replication](#).

The *policy type* of the replication policy determines the type of relationship it supports. The following table shows the available policy types.

Policy type	Relationship type
async-mirror	SnapMirror DR
mirror-vault	Unified replication

## XDP replaces DP as the SVM replication default in ONTAP 9.4

Beginning with ONTAP 9.4, SVM data protection relationships default to XDP mode. SVM data protection relationships continue to default to DP mode in ONTAP 9.3 and earlier.

Existing relationships are not affected by the new default. If a relationship is already of type DP, it will continue to be of type DP. The following table shows the behavior you can expect.

If you specify...	The type is...	The default policy (if you do not specify a policy) is...
DP	XDP	MirrorAllSnapshots (SnapMirror DR)
Nothing	XDP	MirrorAllSnapshots (SnapMirror DR)
XDP	XDP	MirrorAndVault (Unified replication)

Details about the changes in the default can be found here: [XDP replaces DP as the SnapMirror default](#).



Version-independence is not supported for SVM replication.

### Compatible ONTAP versions for SnapMirror relationships

## How SVM configurations are replicated

The content of an SVM replication relationship is determined by the interaction of the following fields:

- The `-identity-preserve true` option of the `snapmirror create` command replicates the entire SVM configuration.  
  
The `-identity-preserve false` option replicates only the volumes and authentication and authorization configurations of the SVM, and the protocol and name service settings listed in [Configurations replicated in SVM DR relationships](#).
- The `-discard-configs network` option of the `snapmirror policy create` command excludes LIFs and related network settings from SVM replication, for use in cases where the source and destination SVMs are in different subnets.
- The `-vserver-dr-protection unprotected` option of the `volume modify` command excludes the specified volume from SVM replication.

Otherwise, SVM replication is almost identical to volume replication. You can use virtually the same workflow for SVM replication as you use for volume replication.

## Support details

The following table shows support details for SnapMirror SVM replication.

Resource or feature	Support details
---------------------	-----------------

Relationship types	<ul style="list-style-type: none"> <li>• SnapMirror DR</li> <li>• Beginning with ONTAP 9.2, SnapMirror unified replication</li> </ul>
Replication scope	Intercluster only. You cannot replicate SVMs in the same cluster.
Version-independence	Not supported.
Deployment types	<ul style="list-style-type: none"> <li>• Single source to single destination</li> <li>• Beginning with ONTAP 9.4, fan-out. You can fan-out to two destinations only.</li> </ul> <p>By default, only one -identity-preserve true relationship is allowed per source SVM.</p>
Volume encryption	<ul style="list-style-type: none"> <li>• Encrypted volumes on the source are encrypted on the destination.</li> <li>• Onboard Key Manager or KMIP servers must be configured on the destination.</li> <li>• New encryption keys are generated at the destination.</li> <li>• If the destination does not contain a node that supports volume .encryption, replication succeeds, but the destination volumes are not encrypted.</li> </ul>
FabricPool	Beginning with ONTAP 9.6, SnapMirror SVM replication is supported with FabricPools.



MetroCluster	<p>Beginning with ONTAP 9.11.1, both sides of a SVM DR relationship within a MetroCluster configuration can act as a source for additional SVM DR configurations.</p> <p>Beginning with ONTAP 9.5, SnapMirror SVM replication is supported on MetroCluster configurations.</p> <ul style="list-style-type: none"> <li>• A MetroCluster configuration cannot be the destination of an SVM DR relationship.</li> <li>• Only an active SVM within a MetroCluster configuration can be the source of an SVM DR relationship.</li> </ul> <p>A source can be a sync-source SVM before switchover or a sync-destination SVM after switchover.</p> <ul style="list-style-type: none"> <li>• When a MetroCluster configuration is in a steady state, the MetroCluster sync-destination SVM cannot be the source of an SVM DR relationship, since the volumes are not online.</li> <li>• When the sync-source SVM is the source of an SVM DR relationship, the source SVM DR relationship information is replicated to the MetroCluster partner.</li> <li>• During the switchover and switchback processes, replication to the SVM DR destination might fail.</li> </ul> <p>However, after the switchover or switchback process completes, the next SVM DR scheduled updates will succeed.</p>
SnapMirror Synchronous	Not supported with SVM DR.

## Configurations replicated in SVM DR relationships

The following table shows the interaction of the `snapmirror create -identity-preserve` option and the `snapmirror policy create -discard-configs network` option:

Configuration replicated		<code>-identity-preserve true</code>		<code>-identity-preserve false</code>
		Policy without <code>-discard-configs network set</code>	Policy with <code>-discard-configs network set</code>	

Network	NAS LIFs	Yes	No	No
	LIF Kerberos configuration	Yes	No	No
	SAN LIFs	No	No	No
	Firewall policies	Yes	Yes	No
	Routes	Yes	No	No
	Broadcast domain	No	No	No
	Subnet	No	No	No
	IPspace	No	No	No
SMB	SMB server	Yes	Yes	No
	Local groups and local user	Yes	Yes	Yes
	Privilege	Yes	Yes	Yes
	Shadow copy	Yes	Yes	Yes
	BranchCache	Yes	Yes	Yes
	Server options	Yes	Yes	Yes
	Server security	Yes	Yes	No
	Home directory, share	Yes	Yes	Yes
	Symlink	Yes	Yes	Yes
	Fpolicy policy, Fsecurity policy, and Fsecurity NTFS	Yes	Yes	Yes
	Name mapping and group mapping	Yes	Yes	Yes
	Audit information	Yes	Yes	Yes

NFS	Export policies	Yes	Yes	No
	Export policy rules	Yes	Yes	No
	NFS server	Yes	Yes	No
RBAC	Security certificates	Yes	Yes	No
	Login user, public key, role, and role configuration	Yes	Yes	Yes
	SSL	Yes	Yes	No
Name services	DNS and DNS hosts	Yes	Yes	No
	UNIX user and UNIX group	Yes	Yes	Yes
	Kerberos realm and Kerberos keyblocks	Yes	Yes	No
	LDAP and LDAP client	Yes	Yes	No
	Netgroup	Yes	Yes	No
	NIS	Yes	Yes	No
	Web and web access	Yes	Yes	No
Volume	Object	Yes	Yes	Yes
	Snapshot copies, Snapshot policy, and autodelete policy	Yes	Yes	Yes
	Efficiency policy	Yes	Yes	Yes
	Quota policy and quota policy rule	Yes	Yes	Yes
	Recovery queue	Yes	Yes	Yes

Root volume	Namespace	Yes	Yes	Yes
	User data	No	No	No
	Qtrees	No	No	No
	Quotas	No	No	No
	File-level QoS	No	No	No
	Attributes: state of the root volume, space guarantee, size, autosize, and total number of files	No	No	No
Storage QoS	QoS policy group	Yes	Yes	Yes
Fibre Channel (FC)		No	No	No
iSCSI		No	No	No
LUNs	Object	Yes	Yes	Yes
	igroups	No	No	No
	portsets	No	No	No
	Serial numbers	No	No	No
SNMP	v3 users	Yes	Yes	No

## SVM DR storage limits

The following table shows the recommended maximum number of volumes and SVM DR relationships supported per storage object. You should be aware that limits are often platform dependent. Refer to the [Hardware Universe](#) to learn the limits for your specific configuration.

Storage object	Limit
SVM	300 Flexible volumes
HA pair	1,000 Flexible Volumes
Cluster	128 SVM DR relationships

## Manage SnapMirror SVM replication

### Replicate SVM configurations

#### SnapMirror SVM replication workflow

SnapMirror SVM replication involves creating the destination SVM, creating a replication job schedule, and creating and initializing a SnapMirror relationship.



This workflow assumes that you are already using a default policy or a custom replication policy.



#### Criteria for placing volumes on destination SVMs

When replicating volumes from the source SVM to the destination SVM, it's important to know the criteria for selecting aggregates.

Aggregates are selected based on the following criteria:

- Volumes are always placed on non-root aggregates.
- Non-root aggregates are selected based on the available free space and the number of volumes already hosted on the aggregate.

Aggregates with more free space and fewer volumes are given priority. The aggregate with the highest

priority is selected.

- Source volumes on FabricPool aggregates are placed on FabricPool aggregates on the destination with the same tiering-policy.
- If a volume on the source SVM is located on a Flash Pool aggregate, then the volume is placed on a Flash Pool aggregate on the destination SVM, if such an aggregate exists and has enough free space.
- If the `-space-guarantee` option of the volume that is replicated is set to `volume`, only aggregates with free space greater than the volume size are considered.
- The volume size grows automatically on the destination SVM during replication, based on the source volume size.

If you want to pre-reserve the size on the destination SVM, you must resize the volume. The volume size does not shrink automatically on the destination SVM based on the source SVM.

If you want to move a volume from one aggregate to another, you can use the `volume move` command on the destination SVM.

### Replicate an entire SVM configuration

You can use the `-identity-preserve true` option of the `snapmirror create` command to replicate an entire SVM configuration.

### Before you begin

The source and destination clusters and SVMs must be peered.

For more information, see [Create a cluster peer relationship](#) and [Create an SVM intercluster peer relationship](#).

For complete command syntax, see the man page.

### About this task

This workflow assumes that you are already using a default policy or a custom replication policy.

Beginning with ONTAP 9.9.1, when you use the mirror-vault policy, you can create different Snapshot policies on the source and destination SVM, and the Snapshot copies on the destination are not overwritten by Snapshot copies on the source. For more information, see [Understanding SnapMirror SVM replication](#).

### Steps

1. Create a destination SVM:

```
vserver create -vserver SVM_name -subtype dp-destination
```

The SVM name must be unique across the source and destination clusters.

The following example creates a destination SVM named `svm_backup`:

```
cluster_dst:> vserver create -vserver svm_backup -subtype dp-destination
```

2. From the destination cluster, create an SVM peer relationship using the `vserver peer create` command.

For more information, see [Create an SVM intercluster peer relationship](#).

### 3. Create a replication job schedule:

```
job schedule cron create -name job_name -month month -dayofweek day_of_week
-day day_of_month -hour hour -minute minute
```

For `-month`, `-dayofweek`, and `-hour`, you can specify `all` to run the job every month, day of the week, and hour, respectively.

The following example creates a job schedule named `my_weekly` that runs on Saturdays at 3:00 a.m.:

```
cluster_dst:> job schedule cron create -name my_weekly -dayofweek
saturday -hour 3 -minute 0
```

### 4. From the destination SVM or the destination cluster, create a replication relationship:

```
snapmirror create -source-path SVM_name: -destination-path SVM_name: -type
DP|XDP -schedule schedule -policy policy -identity-preserve true
```



You must enter a colon (:) after the SVM name in the `-source-path` and `-destination-path` options.

The following example creates a SnapMirror DR relationship using the default `MirrorAllSnapshots` policy:

```
cluster_dst:> snapmirror create -source-path svm1: -destination-path
svm_backup: -type XDP -schedule my_daily -policy MirrorAllSnapshots
-identity-preserve true
```

The following example creates a unified replication relationship using the default `MirrorAndVault` policy:

```
cluster_dst:> snapmirror create -source-path svm1: -destination-path
svm_backup: -type XDP -schedule my_daily -policy MirrorAndVault
-identity-preserve true
```

Assuming you have created a custom policy with the policy type `async-mirror`, the following example creates a SnapMirror DR relationship:

```
cluster_dst:> snapmirror create -source-path svm1: -destination-path
svm_backup: -type XDP -schedule my_daily -policy my_mirrored -identity
-preserve true
```

Assuming you have created a custom policy with the policy type `mirror-vault`, the following example creates a unified replication relationship:

```
cluster_dst::> snapmirror create -source-path svm1: -destination-path  
svm_backup: -type XDP -schedule my_daily -policy my_unified -identity  
-preserve true
```

#### 5. Stop the destination SVM:

```
vserver stop
```

*SVM name*

The following example stops a destination SVM named dvs1:

```
cluster_dst::> vserver stop -vserver dvs1
```

#### 6. From the destination SVM or the destination cluster, initialize the SVM replication relationship: +

```
snapmirror initialize -source-path SVM_name: -destination-path SVM_name:
```

The following example initializes the relationship between the source SVM, `svm1`, and the destination SVM, `svm_backup`:

```
cluster_dst::> snapmirror initialize -source-path svm1: -destination  
-path svm_backup:
```

#### Exclude LIFs and related network settings from SVM replication

If the source and destination SVMs are in different subnets, you can use the `-discard-configs network` option of the `snapmirror policy create` command to exclude LIFs and related network settings from SVM replication.

#### What you'll need

The source and destination clusters and SVMs must be peered.

For more information, see [Create a cluster peer relationship](#) and [Create an SVM intercluster peer relationship](#).

#### About this task

The `-identity-preserve` option of the `snapmirror create` command must be set to `true` when you create the SVM replication relationship.

For complete command syntax, see the man page.

#### Steps

##### 1. Create a destination SVM:

```
vserver create -vserver SVM -subtype dp-destination
```



The SVM name must be unique across the source and destination clusters.

The following example creates a destination SVM named `svm_backup`:

```
cluster_dst:> vserver create -vserver svm_backup -subtype dp-destination
```

2. From the destination cluster, create an SVM peer relationship using the `vserver peer create` command.

For more information, see [Create an SVM intercluster peer relationship](#).

3. Create a job schedule:

```
job schedule cron create -name job_name -month month -dayofweek day_of_week  
-day day_of_month -hour hour -minute minute
```

For `-month`, `-dayofweek`, and `-hour`, you can specify `all` to run the job every month, day of the week, and hour, respectively.

The following example creates a job schedule named `my_weekly` that runs on Saturdays at 3:00 a.m.:

```
cluster_dst:> job schedule cron create -name my_weekly -dayofweek  
"Saturday" -hour 3 -minute 0
```

4. Create a custom replication policy:

```
snapmirror policy create -vserver SVM -policy policy -type async-  
mirror|vault|mirror-vault -comment comment -tries transfer_tries -transfer  
-priority low|normal -is-network-compression-enabled true|false -discard  
-configs network
```

For complete command syntax, see the man page.

The following example creates a custom replication policy for SnapMirror DR that excludes LIFs:

```
cluster_dst:> snapmirror policy create -vserver svm1 -policy  
DR_exclude_LIFs -type async-mirror -discard-configs network
```

The following example creates a custom replication policy for unified replication that excludes LIFs:

```
cluster_dst:> snapmirror policy create -vserver svm1 -policy  
unified_exclude_LIFs -type mirror-vault -discard-configs network
```

5. From the destination SVM or the destination cluster, run the following command to create a replication relationship:

```
snapmirror create -source-path SVM: -destination-path SVM: -type DP|XDP
```

```
-schedule schedule -policy policy -identity-preserve true|false
```



You must enter a colon (:) after the SVM name in the `-source-path` and `-destination-path` options. See the examples below.

The following example creates a SnapMirror DR relationship that excludes LIFs:

```
cluster_dst:> snapmirror create -source-path svm1: -destination-path  
svm_backup: -type XDP -schedule my_daily -policy DR_exclude_LIFs  
-identity-preserve true
```

The following example creates a SnapMirror unified replication relationship that excludes LIFs:

```
cluster_dst:> snapmirror create -source-path svm1: -destination-path  
svm_backup: -type XDP -schedule my_daily -policy unified_exclude_LIFs  
-identity-preserve true
```

## 6. Stop the destination SVM:

```
vserver stop
```

*SVM name*

The following example stops a destination SVM named `dvs1`:

```
cluster_dst:> vserver stop -vserver dvs1
```

## 7. From the destination SVM or the destination cluster, initialize a replication relationship:

```
snapmirror initialize -source-path SVM: -destination-path SVM:
```

For complete command syntax, see the man page.

The following example initializes the relationship between the source, `svm1` and the destination, `svm_backup`:

```
cluster_dst:> snapmirror initialize -source-path svm1: -destination  
-path svm_backup:
```

## After you finish

You must configure the network and protocols on the destination SVM for data access in the event a disaster occurs.

## Exclude network, name service, and other settings from SVM replication

You can use the `-identity-preserve false` option of the `snapmirror create` command to replicate only the volumes and security configurations of an SVM. Some protocol and name service settings are also preserved.

### What you'll need

The source and destination clusters and SVMs must be peered.

For more information, see [Create a cluster peer relationship](#) and [Create an SVM intercluster peer relationship](#).

### About this task

For a list of preserved protocol and name service settings, see [Configurations replicated in SVM DR relationships](#).

For complete command syntax, see the man page.

### Steps

1. Create a destination SVM:

```
vserver create -vserver SVM -subtype dp-destination
```

The SVM name must be unique across the source and destination clusters.

The following example creates a destination SVM named `svm_backup`:

```
cluster_dst:> vserver create -vserver svm_backup -subtype dp-destination
```

2. From the destination cluster, create an SVM peer relationship using the `vserver peer create` command.

For more information, see [Create an SVM intercluster peer relationship](#).

3. Create a replication job schedule:

```
job schedule cron create -name job_name -month month -dayofweek day_of_week  
-day day_of_month -hour hour -minute minute
```

For `-month`, `-dayofweek`, and `-hour`, you can specify `all` to run the job every month, day of the week, and hour, respectively.

The following example creates a job schedule named `my_weekly` that runs on Saturdays at 3:00 a.m.:

```
cluster_dst:> job schedule cron create -name my_weekly -dayofweek  
"Saturday" -hour 3 -minute 0
```

4. Create a replication relationship that excludes network, name service, and other configuration settings:

```
snapmirror create -source-path SVM: -destination-path SVM: -type DP|XDP
```

```
-schedule schedule -policy policy -identity-preserve false
```



You must enter a colon (:) after the SVM name in the `-source-path` and `-destination-path` options. See the examples below. You must run this command from the destination SVM or the destination cluster.

The following example creates a SnapMirror DR relationship using the default `MirrorAllSnapshots` policy. The relationship excludes network, name service, and other configuration settings from SVM replication:

```
cluster_dst:> snapmirror create -source-path svm1: -destination-path  
svm_backup: -type XDP -schedule my_daily -policy MirrorAllSnapshots  
-identity-preserve false
```

The following example creates a unified replication relationship using the default `MirrorAndVault` policy. The relationship excludes network, name service, and other configuration settings:

```
cluster_dst:> snapmirror create svm1: -destination-path svm_backup:  
-type XDP -schedule my_daily -policy MirrorAndVault -identity-preserve  
false
```

Assuming you have created a custom policy with the policy type `async-mirror`, the following example creates a SnapMirror DR relationship. The relationship excludes network, name service, and other configuration settings from SVM replication:

```
cluster_dst:> snapmirror create -source-path svm1: -destination-path  
svm_backup: -type XDP -schedule my_daily -policy my_mirrored -identity  
-preserve false
```

Assuming you have created a custom policy with the policy type `mirror-vault`, the following example creates a unified replication relationship. The relationship excludes network, name service, and other configuration settings from SVM replication:

```
cluster_dst:> snapmirror create -source-path svm1: -destination-path  
svm_backup: -type XDP -schedule my_daily -policy my_unified -identity  
-preserve false
```

##### 5. Stop the destination SVM:

```
vserver stop
```

*SVM name*

The following example stops a destination SVM named `dvs1`:

```
destination_cluster::> vserver stop -vserver dvs1
```

6. If you are using SMB, you must also configure an SMB server.

See [SMB only: Creating an SMB server](#).

7. From the destination SVM or the destination cluster, initialize the SVM replication relationship:

```
snapmirror initialize -source-path SVM_name: -destination-path SVM_name:
```

### After you finish

You must configure the network and protocols on the destination SVM for data access in the event a disaster occurs.

### Specify aggregates to use for SVM DR relationships

After a disaster recovery SVM is created, you can use the `aggr-list` option with `vserver modify` command to limit which aggregates are used to host SVM DR destination volumes.

### Step

1. Create a destination SVM:

```
vserver create -vserver SVM -subtype dp-destination
```

2. Modify the disaster recovery SVM's `aggr-list` to limit the aggregates that are used to host the disaster recovery SVM's volume:

```
cluster_dest::> vserver modify -vserver SVM -aggr-list <comma-separated-list>
```

### SMB only: Create a SMB server

If the source SVM has an SMB configuration, and you chose to set `identity-preserve` to `false`, you must create a SMB server for the destination SVM. SMB server is required for some SMB configurations, such as shares during initialization of the SnapMirror relationship.

### Steps

1. Start the destination SVM by using the `vserver start` command.

```
destination_cluster::> vserver start -vserver dvs1  
[Job 30] Job succeeded: DONE
```

2. Verify that the destination SVM is in the `running` state and subtype is `dp-destination` by using the `vserver show` command.

```
destination_cluster::> vservers show
```

Vserver	Type	Subtype	Admin State	Operational State	Root Volume
Aggregate					
-----					
dvs1	data	dp-destination	running	running	-

3. Create a LIF by using the `network interface create` command.

```
destination_cluster::>network interface create -vserver dvs1 -lif NAS1  
-role data -data-protocol cifs -home-node destination_cluster-01 -home  
-port a0a-101 -address 192.0.2.128 -netmask 255.255.255.128
```

4. Create a route by using the `network route create` command.

```
destination_cluster::>network route create -vserver dvs1 -destination  
0.0.0.0/0  
-gateway 192.0.2.1
```

## Network management

5. Configure DNS by using the `vserver services dns create` command.

```
destination_cluster::>vserver services dns create -domains  
mydomain.example.com -vserver  
dvs1 -name-servers 192.0.2.128 -state enabled
```

6. Add the preferred domain controller by using the `vserver cifs domain preferred-dc add` command.

```
destination_cluster::>vserver cifs domain preferred-dc add -vserver dvs1  
-preferred-dc  
192.0.2.128 -domain mydomain.example.com
```

7. Create the SMB server by using the `vserver cifs create` command.

```
destination_cluster::>vserver cifs create -vserver dvs1 -domain  
mydomain.example.com  
-cifs-server CIFS1
```

8. Stop the destination SVM by using the `vserver stop` command.

```
destination_cluster::> vserver stop -vserver dvs1
[Job 46] Job succeeded: DONE
```

### Exclude volumes from SVM replication

By default, all RW data volumes of the source SVM are replicated. If you do not want to protect all the volumes on the source SVM, you can use the `-vserver-dr -protection unprotected` option of the `volume modify` command to exclude volumes from SVM replication.

#### Steps

1. Exclude a volume from SVM replication:

```
volume modify -vserver SVM -volume volume -vserver-dr-protection unprotected
```

For complete command syntax, see the man page.

The following example excludes the volume `volA_src` from SVM replication:

```
cluster_src::> volume modify -vserver SVM1 -volume volA_src -vserver-dr
-protection unprotected
```

If you later want to include a volume in the SVM replication that you originally excluded, run the following command:

```
volume modify -vserver SVM -volume volume -vserver-dr-protection protected
```

The following example includes the volume `volA_src` in the SVM replication:

```
cluster_src::> volume modify -vserver SVM1 -volume volA_src -vserver-dr
-protection protected
```

2. Create and initialize the SVM replication relationship as described in [Replicating an entire SVM configuration](#).

### Serve data from an SVM DR destination

#### SVM disaster recovery workflow

To recover from a disaster and serve data from the destination SVM, you must activate the destination SVM. Activating the destination SVM involves stopping scheduled SnapMirror transfers, aborting ongoing SnapMirror transfers, breaking the replication relationship, stopping the source SVM, and starting the destination SVM.



#### Make SVM destination volumes writeable

You need to make SVM destination volumes writeable before you can serve data to clients. The procedure is largely identical to the procedure for volume replication, with one exception. If you set `-identity-preserve true` when you created the SVM replication relationship, you must stop the source SVM before activating the destination SVM.

#### About this task

For complete command syntax, see the man page.





In a disaster recovery scenario, you cannot perform a SnapMirror update from the source SVM to the disaster recovery destination SVM because your source SVM and its data will be inaccessible, and because updates since the last resync might be bad or corrupt.

## Steps

1. From the destination SVM or the destination cluster, stop scheduled transfers to the destination:

```
snapmirror quiesce -source-path SVM: -destination-path SVM:
```



You must enter a colon (:) after the SVM name in the `-source-path` and `-destination-path` options. See the example below.

The following example stops scheduled transfers between the source SVM `svm1` and the destination SVM `svm_backup`:

```
cluster_dst::> snapmirror quiesce -source-path svm1: -destination-path  
svm_backup:
```

2. From the destination SVM or the destination cluster, stop ongoing transfers to the destination:

```
snapmirror abort -source-path SVM: -destination-path SVM:
```



You must enter a colon (:) after the SVM name in the `-source-path` and `-destination-path` options. See the example below.

The following example stops ongoing transfers between the source SVM `svm1` and the destination SVM `svm_backup`:

```
cluster_dst::> snapmirror abort -source-path svm1: -destination-path  
svm_backup:
```

3. From the destination SVM or the destination cluster, break the replication relationship:

```
snapmirror break -source-path SVM: -destination-path SVM:
```



You must enter a colon (:) after the SVM name in the `-source-path` and `-destination-path` options. See the example below.

The following example breaks the relationship between the source SVM `svm1` and the destination SVM `svm_backup`:

```
cluster_dst::> snapmirror break -source-path svm1: -destination-path  
svm_backup:
```

4. If you set `-identity-preserve true` when you created the SVM replication relationship, stop the

source SVM:

```
vserver stop -vserver SVM
```

The following example stops the source SVM `svm1`:

```
cluster_src::> vserver stop svm1
```

#### 5. Start the destination SVM:

```
vserver start -vserver SVM
```

The following example starts the destination SVM `svm_backup`:

```
cluster_dst::> vserver start svm_backup
```

#### After you finish

Configure SVM destination volumes for data access, as described in [Configuring the destination volume for data access](#).

#### Reactivate the source SVM

##### Source SVM reactivation workflow

If the source SVM exists after a disaster, you can reactivate it and protect it by recreating the SVM disaster recovery relationship.



### Reactivate the original source SVM

You can reestablish the original data protection relationship between the source and destination SVM when you no longer need to serve data from the destination. The procedure is largely identical to the procedure for volume replication, with one exception. You must stop the destination SVM before reactivating the source SVM.

#### What you'll need

If you have increased the size of destination volume while serving data from it, before you reactivate the source volume, you should manually increase max-autosize on the original source volume to ensure it can grow sufficiently.

#### When a destination volume grows automatically

#### About this task

Beginning with ONTAP 9.11.1, you can reduce resynchronization time during a disaster recovery rehearsal by using the `-quick-resync true` option of the `snapmirror resync` command while performing a reverse resync of an SVM DR relationship. A quick resync can reduce the time it takes to return to production by bypassing the data warehouse rebuild and restore operations.



Quick resync does not preserve the storage efficiency of the destination volumes. Enabling quick resync might increase the volume space used by the destination volumes.

This procedure assumes that the baseline in the original source volume is intact. If the baseline is not intact, you must create and initialize the relationship between the volume you are serving data from and the original

source volume before performing the procedure.

For complete command syntax on commands, see the man page.

## Steps

1. From the original source SVM or the original source cluster, create a reverse SVM DR relationship using the same configuration, policy, and identity-preserve setting as the original SVM DR relationship:

```
snapmirror create -source-path SVM: -destination-path SVM:
```



You must enter a colon (:) after the SVM name in the `-source-path` and `-destination-path` options. See the example below.

The following example creates a relationship between the SVM from which you are serving data, `svm_backup`, and the original source SVM, `svm1`:

```
cluster_src::> snapmirror create -source-path svm_backup: -destination  
-path svm1:
```

2. From the original source SVM or the original source cluster, run the following command to reverse the data protection relationship:

```
snapmirror resync -source-path SVM: -destination-path SVM:
```



You must enter a colon (:) after the SVM name in the `-source-path` and `-destination-path` options. See the example below.

Although `resync` does not require a baseline transfer, it can be time-consuming. You might want to run the `resync` in off-peak hours.



The command fails if a common Snapshot copy does not exist on the source and destination. Use `snapmirror initialize` to reinitialize the relationship.

The following example reverses the relationship between the original source SVM, `svm1`, and the SVM from which you are serving data, `svm_backup`:

```
cluster_src::> snapmirror resync -source-path svm_backup: -destination  
-path svm1:
```

Example using `-quick-resync` option:

```
cluster_src::> snapmirror resync -source-path svm_backup: -destination  
-path svm1: -quick-resync true
```

3. When you are ready to reestablish data access to the original source SVM, stop the original destination SVM to disconnect any clients currently connected to the original destination SVM.

```
vserver stop -vserver SVM
```

The following example stops the original destination SVM which is currently serving data:

```
cluster_dst::> vserver stop svm_backup
```

4. Verify that the original destination SVM is in the stopped state by using the `vserver show` command.

```
cluster_dst::> vserver show
```

Vserver	Type	Subtype	Admin State	Operational State	Root Volume
Aggregate					
-----	-----	-----	-----	-----	-----
-----					
svm_backup	data	default	stopped	stopped	rv
aggr1					

5. From the original source SVM or the original source cluster, run the following command to perform the final update of the reversed relationship to transfer all changes from the original destination SVM to the original source SVM:

```
snapmirror update -source-path SVM: -destination-path SVM:
```



You must enter a colon (:) after the SVM name in the `-source-path` and `-destination-path` options. See the example below.

The following example updates the relationship between the original destination SVM from which you are serving data, `svm_backup`, and the original source SVM, `svm1`:

```
cluster_src::> snapmirror update -source-path svm_backup: -destination-path svm1:
```

6. From the original source SVM or the original source cluster, run the following command to stop scheduled transfers for the reversed relationship:

```
snapmirror quiesce -source-path SVM: -destination-path SVM:
```



You must enter a colon (:) after the SVM name in the `-source-path` and `-destination-path` options. See the example below.

The following example stops scheduled transfers between the SVM you are serving data from, `svm_backup`, and the original SVM, `svm1`:

```
cluster_src::> snapmirror quiesce -source-path svm_backup: -destination  
-path svm1:
```

7. When the final update is complete and the relationship indicates "Quiesced" for the relationship status, run the following command from the original source SVM or the original source cluster to break the reversed relationship:

```
snapmirror break -source-path SVM: -destination-path SVM:
```



You must enter a colon (:) after the SVM name in the `-source-path` and `-destination-path` options. See the example below.

The following example breaks the relationship between the original destination SVM from which you were serving data, `svm_backup`, and the original source SVM, `svm1`:

```
cluster_src::> snapmirror break -source-path svm_backup: -destination  
-path svm1:
```

8. If the original source SVM was previously stopped, from the original source cluster, start the original source SVM:

```
vserver start -vserver SVM
```

The following example starts the original source SVM:

```
cluster_src::> vserver start svm1
```

9. From the original destination SVM or the original destination cluster, reestablish the original data protection relationship:

```
snapmirror resync -source-path SVM: -destination-path SVM:
```



You must enter a colon (:) after the SVM name in the `-source-path` and `-destination-path` options. See the example below.

The following example reestablishes the relationship between the original source SVM, `svm1`, and the original destination SVM, `svm_backup`:

```
cluster_dst::> snapmirror resync -source-path svm1: -destination-path  
svm_backup:
```

10. From the original source SVM or the original source cluster, run the following command to delete the reversed data protection relationship:

```
snapmirror delete -source-path SVM: -destination-path SVM:
```



You must enter a colon (:) after the SVM name in the `-source-path` and `-destination-path` options. See the example below.

The following example deletes the reversed relationship between the original destination SVM, `svm_backup`, and the original source SVM, `svm1`:

```
cluster_src::> snapmirror delete -source-path svm_backup: -destination-path svm1:
```

11. From the original destination SVM or the original destination cluster, release the reversed data protection relationship:

```
snapmirror release -source-path SVM: -destination-path SVM:
```



You must enter a colon (:) after the SVM name in the `-source-path` and `-destination-path` options. See the example below.

The following example releases the reversed relationship between the original destination SVM, `svm_backup`, and the original source SVM, `svm1`:

```
cluster_dst::> snapmirror release -source-path svm_backup: -destination-path svm1:
```

### After you finish

Use the `snapmirror show` command to verify that the SnapMirror relationship was created. For complete command syntax, see the man page.

### Reactivate the original source SVM (FlexGroup volumes only)

You can reestablish the original data protection relationship between the source and destination SVM when you no longer need to serve data from the destination. To reactivate the original source SVM when you are using FlexGroup volumes, you need to perform some additional steps, including deleting the original SVM DR relationship and releasing the original relationship before you reverse the relationship. You also need to release the reversed relationship and recreate the original relationship before stopping scheduled transfers.

### Steps

1. From the original destination SVM or the original destination cluster, delete the original SVM DR relationship:

```
snapmirror delete -source-path SVM: -destination-path SVM:
```



You must enter a colon (:) after the SVM name in the `-source-path` and `-destination-path` options. See the example below.

The following example deletes the original relationship between the original source SVM, `svm1`, and the original destination SVM, `svm_backup`:

```
cluster_dst::> snapmirror delete -source-path svm1: -destination-path  
svm_backup:
```

2. From the original source SVM or the original source cluster, release the original relationship while keeping the Snapshot copies intact:

```
snapmirror release -source-path SVM: -destination-path SVM: -relationship-info  
-only true
```



You must enter a colon (:) after the SVM name in the `-source-path` and `-destination-path` options. See the example below.

The following example releases the original relationship between the original source SVM, `svm1`, and the original destination SVM, `svm_backup`.

```
cluster_src::> snapmirror release -source-path svm1: -destination-path  
svm_backup: -relationship-info-only true
```

3. From the original source SVM or the original source cluster, create a reverse SVM DR relationship using the same configuration, policy, and identity-preserve setting as the original SVM DR relationship:

```
snapmirror create -source-path SVM: -destination-path SVM:
```



You must enter a colon (:) after the SVM name in the `-source-path` and `-destination-path` options. See the example below.

The following example creates a relationship between the SVM from which you are serving data, `svm_backup`, and the original source SVM, `svm1`:

```
cluster_src::> snapmirror create -source-path svm_backup: -destination  
-path svm1:
```

4. From the original source SVM or the original source cluster, run the following command to reverse the data protection relationship:

```
snapmirror resync -source-path SVM: -destination-path SVM:
```



You must enter a colon (:) after the SVM name in the `-source-path` and `-destination-path` options. See the example below.

Although `resync` does not require a baseline transfer, it can be time-consuming. You might want to run the `resync` in off-peak hours.





The command fails if a common Snapshot copy does not exist on the source and destination. Use `snapmirror initialize` to reinitialize the relationship.

The following example reverses the relationship between the original source SVM, `svm1`, and the SVM from which you are serving data, `svm_backup`:

```
cluster_src::> snapmirror resync -source-path svm_backup: -destination
-path svm1:
```

5. When you are ready to reestablish data access to the original source SVM, stop the original destination SVM to disconnect any clients currently connected to the original destination SVM.

```
vserver stop -vserver SVM
```

The following example stops the original destination SVM which is currently serving data:

```
cluster_dst::> vserver stop svm_backup
```

6. Verify that the original destination SVM is in the stopped state by using the `vserver show` command.

```
cluster_dst::> vserver show
```

Vserver	Type	Subtype	Admin State	Operational State	Root Volume
Aggregate					
-----	-----	-----	-----	-----	-----
-----					
svm_backup	data	default	stopped	stopped	rv
aggr1					

7. From the original source SVM or the original source cluster, run the following command to perform the final update of the reversed relationship to transfer all changes from the original destination SVM to the original source SVM:

```
snapmirror update -source-path SVM: -destination-path SVM:
```



You must enter a colon (:) after the SVM name in the `-source-path` and `-destination-path` options. See the example below.

The following example updates the relationship between the original destination SVM from which you are serving data, `svm_backup`, and the original source SVM, `svm1`:

```
cluster_src::> snapmirror update -source-path svm_backup: -destination
-path svm1:
```

8. From the original source SVM or the original source cluster, run the following command to stop scheduled transfers for the reversed relationship:

```
snapmirror quiesce -source-path SVM: -destination-path SVM:
```



You must enter a colon (:) after the SVM name in the `-source-path` and `-destination-path` options. See the example below.

The following example stops scheduled transfers between the SVM you are serving data from, `svm_backup`, and the original SVM, `svm1`:

```
cluster_src::> snapmirror quiesce -source-path svm_backup: -destination  
-path svm1:
```

9. When the final update is complete and the relationship indicates "Quiesced" for the relationship status, run the following command from the original source SVM or the original source cluster to break the reversed relationship:

```
snapmirror break -source-path SVM: -destination-path SVM:
```



You must enter a colon (:) after the SVM name in the `-source-path` and `-destination-path` options. See the example below.

The following example breaks the relationship between the original destination SVM from which you were serving data, `svm_backup`, and the original source SVM, `svm1`:

```
cluster_src::> snapmirror break -source-path svm_backup: -destination  
-path svm1:
```

10. If the original source SVM was previously stopped, from the original source cluster, start the original source SVM:

```
vserver start -vserver SVM
```

The following example starts the original source SVM:

```
cluster_src::> vserver start svm1
```

11. From the original source SVM or the original source cluster, delete the reversed SVM DR relationship:

```
snapmirror delete -source-path SVM: -destination-path SVM:
```



You must enter a colon (:) after the SVM name in the `-source-path` and `-destination-path` options. See the example below.

The following example deletes the reversed relationship between the original destination SVM,

svm\_backup, and the original source SVM, svm1:

```
cluster_src:> snapmirror delete -source-path svm_backup: -destination  
-path svm1:
```

12. From the original destination SVM or the original destination cluster, release the reversed relationship while keeping the Snapshot copies intact:

```
snapmirror release -source-path SVM: -destination-path SVM: -relationship-info  
-only true
```



You must enter a colon (:) after the SVM name in the -source-path and -destination -path options. See the example below.

The following example releases the reversed relationship between the original destination SVM, svm\_backup, and the original source SVM, svm1:

```
cluster_dst:> snapmirror release -source-path svm_backup: -destination  
-path svm1: -relationship-info-only true
```

13. From the original destination SVM or the original destination cluster, recreate the original relationship. Use the same configuration, policy, and identity-preserve setting as the original SVM DR relationship:

```
snapmirror create -source-path SVM: -destination-path SVM:
```



You must enter a colon (:) after the SVM name in the -source-path and -destination -path options. See the example below.

The following example creates a relationship between the original source SVM, svm1, and the original destination SVM, svm\_backup:

```
cluster_dst:> snapmirror create -source-path svm1: -destination-path  
svm_backup:
```

14. From the original destination SVM or the original destination cluster, reestablish the original data protection relationship:

```
snapmirror resync -source-path SVM: -destination-path SVM:
```



You must enter a colon (:) after the SVM name in the -source-path and -destination -path options. See the example below.

The following example reestablishes the relationship between the original source SVM, svm1, and the original destination SVM, svm\_backup:

```
cluster_dst:> snapmirror resync -source-path svm1: -destination-path  
svm_backup:
```

## Convert volume replication relationships to an SVM replication relationship

You can convert replication relationships between volumes to a replication relationship between the storage virtual machines (SVMs) that own the volumes, provided that each volume on the source (except the root volume) is being replicated, and each volume on the source (including the root volume) has the same name as the volume on the destination.

### About this task

Use the `volume rename` command when the SnapMirror relationship is idle to rename destination volumes if necessary.

### Steps

1. From the destination SVM or the destination cluster, run the following command to resync the source and destination volumes:

```
snapmirror resync -source-path SVM:volume -destination-path SVM:volume -type  
DP|XDP -schedule schedule -policy policy
```

For complete command syntax, see the man page.



Although `resync` does not require a baseline transfer, it can be time-consuming. You might want to run the `resync` in off-peak hours.

The following example resyncs the relationship between the source volume `volA` on `svm1` and the destination volume `volA` on `svm_backup`:

```
cluster_dst:> snapmirror resync -source-path svm1:volA -destination  
-path svm_backup:volA
```

2. Create an SVM replication relationship between the source and destination SVMs, as described in [Replicating SVM configurations](#).

You must use the `-identity-preserve true` option of the `snapmirror create` command when you create your replication relationship.

3. Stop the destination SVM:

```
vserver stop -vserver SVM
```

For complete command syntax, see the man page.

The following example stops the destination SVM `svm_backup`:

```
cluster_dst:> vserver stop svm_backup
```

4. From the destination SVM or the destination cluster, run the following command to resync the source and destination SVMs:

```
snapmirror resync -source-path SVM: -destination-path SVM: -type DP|XDP  
-schedule schedule -policy policy
```

For complete command syntax, see the man page.



You must enter a colon (:) after the SVM name in the `-source-path` and `-destination-path` options. See the example below.

Although resync does not require a baseline transfer, it can be time-consuming. You might want to run the resync in off-peak hours.

The following example resyncs the relationship between the source SVM `svm1` and the destination SVM `svm_backup`:

```
cluster_dst:> snapmirror resync -source-path svm1: -destination-path  
svm_backup:
```

## Delete an SVM replication relationship

You can use the `snapmirror delete` and `snapmirror release` commands to delete an SVM replication relationship. You can then delete unneeded destination volumes manually.

### About this task

The `snapmirror release` command deletes any SnapMirror-created Snapshot copies from the source. You can use the `-relationship-info-only` option to preserve the Snapshot copies.

For complete command syntax on commands, see the man page.

### Steps

1. Run the following command from the destination SVM or the destination cluster to break the replication relationship:

```
snapmirror break -source-path SVM: -destination-path SVM:
```



You must enter a colon (:) after the SVM name in the `-source-path` and `-destination-path` options. See the example below.

The following example breaks the relationship between the source SVM `svm1` and the destination SVM `svm_backup`:

```
cluster_dst::> snapmirror break -source-path svm1: -destination-path  
svm_backup:
```

2. Run the following command from the destination SVM or the destination cluster to delete the replication relationship:

```
snapmirror delete -source-path SVM: -destination-path SVM:
```



You must enter a colon (:) after the SVM name in the `-source-path` and `-destination-path` options. See the example below.

The following example deletes the relationship between the source SVM `svm1` and the destination SVM `svm_backup`:

```
cluster_dst::> snapmirror delete -source-path svm1: -destination-path  
svm_backup:
```

3. Run the following command from the source cluster or source SVM to release the replication relationship information from the source SVM:

```
snapmirror release -source-path SVM: -destination-path SVM:
```



You must enter a colon (:) after the SVM name in the `-source-path` and `-destination-path` options. See the example below.

The following example releases information for the specified replication relationship from the source SVM `svm1`:

```
cluster_src::> snapmirror release -source-path svm1: -destination-path  
svm_backup:
```

## Manage SnapMirror root volume replication

### Manage SnapMirror root volume replication overview

Every SVM in a NAS environment has a unique namespace. The SVM *root volume*, containing operating system and related information, is the entry point to the namespace hierarchy. To ensure that data remains accessible to clients in the event of a node outage or failover, you should create a load-sharing mirror copy of the SVM root volume.

The main purpose of load-sharing mirrors for SVM root volumes is no longer for load sharing; instead, their purpose is for disaster recovery.

- If the root volume is temporarily unavailable, the load-sharing mirror automatically provides read-only access to root volume data.

- If the root volume is permanently unavailable, you can promote one of the load-sharing volumes to provide write access to root volume data.

## Create and initializing load-sharing mirror relationships

You should create a load-sharing mirror (LSM) for each SVM root volume that serves NAS data in the cluster. You can create the LSM on any node other than the one containing the root volume, such as the partner node in an HA pair, or preferably in a different HA pair. For a two-node cluster, you should create the LSM on the partner of the node with the SVM root volume.

### About this task

If you create an LSM on the same node, and the node is unavailable, you have a single point of failure, and you do not have a second copy to ensure the data remains accessible to clients. But when you create the LSM on a node other than the one containing the root volume, or on a different HA pair, your data is still accessible in the event of an outage.

For example, in a four-node cluster with a root volume on three nodes:

- For the root volume on HA 1 node 1, create the LSM on HA 2 node 1 or HA 2 node 2.
- For the root volume on HA 1 node 2, create the LSM on HA 2 node 1 or HA 2 node 2.
- For the root volume on HA 2 node 1, create the LSM on HA 1 node 1 or HA 1 node 2.

### Steps

1. Create a destination volume for the LSM:

```
volume create -vserver SVM -volume volume -aggregate aggregate -type DP -size size
```

The destination volume should be the same or greater in size than the root volume.

It is a best practice to name the root and destination volume with suffixes, such as `_root` and `_m1`.

For complete command syntax, see the man page.

The following example creates a load-sharing mirror volume for the root volume `svm1_root` in `cluster_src`:

```
cluster_src:> volume create -vserver svm1 -volume svm1_m1 -aggregate aggr_1 -size 1gb -state online -type DP
```

2. Create a replication job schedule, as described in [Creating a replication job schedule](#).
3. Create a load-sharing mirror relationship between the SVM root volume and the destination volume for the LSM:

```
snapmirror create -source-path SVM:volume|cluster://SVM/volume -destination -path SVM:volume|cluster://SVM/volume -type LS -schedule schedule
```

For complete command syntax, see the man page.

The following example creates a load-sharing mirror relationship between the root volume `svm1_root` and the load-sharing mirror volume `svm1_m1`:

```
cluster_src:> snapmirror create -source-path svm1:svm1_root
-destination-path svm1:svm1_m1 -type LS -schedule hourly
```

The type attribute of the load-sharing mirror changes from `DP` to `LS`.

#### 4. Initialize the load-sharing mirror:

```
snapmirror initialize-ls-set -source-path SVM:volume|cluster://SVM/volume
```

Initialization can be time-consuming. You might want to run the baseline transfer in off-peak hours.

For complete command syntax, see the man page.

The following example initializes the load-sharing mirror for the root volume `svm1_root`:

```
cluster_src:> snapmirror initialize-ls-set -source-path svm1:svm1_root
```

### Update a load-sharing mirror relationship

Load-sharing mirror (LSM) relationships are updated automatically for SVM root volumes after a volume in the SVM is mounted or unmounted, and during `volume create` operations that include the ``junction-path`` option. You can manually update a LSM relationship if you want it updated before the next scheduled update.

Load-sharing mirror relationships update automatically in the following circumstances:

- It's time for a scheduled update
- A mount or unmount operation is performed on a volume in the SVM root volume
- A `volume create` command is issued that includes the `junction-path` option

#### Step

##### 1. Update a load-sharing mirror relationship manually:

```
snapmirror update-ls-set -source-path SVM:volume|cluster://SVM/volume
```

The following example updates the load-sharing mirror relationship for the root volume `svm1_root`:

```
cluster_src:> snapmirror update-ls-set -source-path svm1:svm1_root
```

### Promote a load-sharing mirror

If a root volume is permanently unavailable, you can promote the load-sharing mirror



(LSM) volume to provide write access to root volume data.

### What you'll need

You must use advanced privilege level commands for this task.

### Steps

1. Change to advanced privilege level:

```
set -privilege advanced
```

2. Promote an LSM volume:

```
snapmirror promote -destination-path SVM:volume|cluster://SVM/volume
```

For complete command syntax, see the man page.

The following example promotes the volume `svm1_m2` as the new SVM root volume:

```
cluster_src::*> snapmirror promote -destination-path svm1:svm1_m2

Warning: Promote will delete the offline read-write volume
cluster_src://svm1/svm1_root and replace it with
cluster_src://svm1/svm1_m2. Because the volume is offline,
it is not possible to determine whether this promote will
affect other relationships associated with this source.
Do you want to continue? {y|n}: y
```

Enter `y`. ONTAP makes the LSM volume a read/write volume, and deletes the original root volume if it is accessible.



The promoted root volume might not have all of the data that was in the original root volume if the last update did not occur recently.

3. Return to admin privilege level:

```
set -privilege admin
```

4. Rename the promoted volume following the naming convention you used for the root volume:

```
volume rename -vserver SVM -volume volume -newname new_name
```

The following example renames the promoted volume `svm1_m2` with the name `svm1_root`:

```
cluster_src::> volume rename -vserver svm11 -volume svm1_m2 -newname
svm1_root
```

5. Protect the renamed root volume, as described in step 3 through step 4 in [Creating and initializing load-sharing mirror relationships](#).

# SnapMirror technical details

## Use path name pattern matching

You can use pattern matching to specify the source and destination paths in `snapmirror` commands.

`snapmirror` commands use fully qualified path names in the following format: `vserver:volume`. You can abbreviate the path name by not entering the SVM name. If you do this, the `snapmirror` command assumes the local SVM context of the user.

Assuming that the SVM is called “vserver1” and the volume is called “vol1”, the fully qualified path name is `vserver1:vol1`.

You can use the asterisk (\*) in paths as a wildcard to select matching, fully qualified path names. The following table provides examples of using the wildcard to select a range of volumes.

*	Matches all paths.
vs*	Matches all SVMs and volumes with SVM names beginning with <code>vs</code> .
:*src	Matches all SVMs with volume names containing the <code>src</code> text.
:vol	Matches all SVMs with volume names beginning with <code>vol</code> .

```
vs1::> snapmirror show -destination-path *:*dest*

Progress
Source          Destination  Mirror          Relationship  Total
Last
Path            Type   Path            State          Status          Progress
Healthy Updated
-----
vs1:sm_src2
DP   vs2:sm_dest1
Snapmirrored  Idle
true  -
```

## Use extended queries to act on many SnapMirror relationships

You can use *extended queries* to perform SnapMirror operations on many SnapMirror relationships at one time. For example, you might have multiple uninitialized SnapMirror

relationships that you want to initialize using one command.

### About this task

You can apply extended queries to the following SnapMirror operations:

- Initializing uninitialized relationships
- Resuming quiesced relationships
- Resynchronizing broken relationships
- Updating idle relationships
- Aborting relationship data transfers

### Step

1. Perform a SnapMirror operation on many relationships:

```
snapmirror command {-state state } *
```

The following command initializes SnapMirror relationships that are in an Uninitialized state:

```
vs1::> snapmirror initialize {-state Uninitialized} *
```

### Ensure a common Snapshot copy in a mirror-vault deployment

You can use the `snapmirror snapshot-owner create` command to preserve a labeled Snapshot copy on the secondary in a mirror-vault deployment. Doing so ensures that a common Snapshot copy exists for the update of the vault relationship.

### About this task

If you use a combination mirror-vault fan-out or cascade deployment, you should keep in mind that updates will fail if a common Snapshot copy does not exist on the source and destination volumes.

This is never an issue for the mirror relationship in a mirror-vault fan-out or cascade deployment, since SnapMirror always creates a Snapshot copy of the source volume before it performs the update.

It might be an issue for the vault relationship, however, since SnapMirror does not create a Snapshot copy of the source volume when it updates a vault relationship. You need to use the `snapmirror snapshot-owner create` to ensure that there is at least one common Snapshot copy on both the source and destination of the vault relationship.

### Steps

1. On the source volume, assign an owner to the labeled Snapshot copy you want to preserve:

```
snapmirror snapshot-owner create -vserver SVM -volume volume -snapshot  
snapshot -owner owner
```

The following example assigns ApplicationA as the owner of the snap1 Snapshot copy:

```
clust1::> snapmirror snapshot-owner create -vserver vs1 -volume vol1  
-snapshot snap1 -owner ApplicationA
```

2. Update the mirror relationship, as described in [Updating a replication relationship manually](#).

Alternatively, you can wait for the scheduled update of the mirror relationship.

3. Transfer the labeled Snapshot copy to the vault destination:

```
snapmirror update -source-path SVM:volume|cluster://SVM/volume, ... -destination  
-path SVM:volume|cluster://SVM/volume, ... -source-snapshot snapshot
```

For complete command syntax, see the man page.

**The following example transfers the `snap1` Snapshot copy**

```
clust1::> snapmirror update -vserver vs1 -volume vol1  
-source-snapshot snap1
```

The labeled Snapshot copy will be preserved when the vault relationship is updated.

4. On the source volume, remove the owner from the labeled Snapshot copy:

```
snapmirror snapshot-owner delete -vserver SVM -volume volume -snapshot  
snapshot -owner owner
```

The following examples removes `ApplicationA` as the owner of the `snap1` Snapshot copy:

```
clust1::> snapmirror snapshot-owner delete -vserver vs1 -volume vol1  
-snapshot snap1 -owner ApplicationA
```

## Compatible ONTAP versions for SnapMirror relationships

You should verify that the source and destination volumes are running compatible ONTAP versions before creating a SnapMirror data protection relationship.



Version-independence is not supported for SVM replication.

### SnapMirror DR relationships

For SnapMirror relationships of type “DP” and policy type “async-mirror”:



DP-type mirrors cannot be initialized in ONTAP 9.11.1 and will be completely deprecated in a future release. For more information, see [Deprecation of data protection SnapMirror relationships](#).



In the following table, the column on the left indicates the ONTAP version on the source volume, and the top row indicates the ONTAP versions you can have on your destination volume.

Source	Destination													
	8.2	8.3	9.0	9.1	9.2	9.3	9.4	9.5	9.6	9.7	9.8	9.9.1	9.10.1	9.11.1
8.2	Yes	Yes	Yes	No	No	No	No	No	No	No	No	No	No	No
8.3	No	Yes	Yes	Yes	No	No	No	No	No	No	No	No	No	No
9.0	No	No	Yes	Yes	Yes	No	No	No	No	No	No	No	No	No
9.1	No	No	No	Yes	Yes	Yes	No	No	No	No	No	No	No	No
9.2	No	No	No	No	Yes	Yes	Yes	No	No	No	No	No	No	No
9.3	No	No	No	No	No	Yes	Yes	Yes	No	No	No	No	No	No
9.4	No	No	No	No	No	No	Yes	Yes	Yes	No	No	No	No	No
9.5	No	No	No	No	No	No	No	Yes	Yes	Yes	No	No	No	No
9.6	No	No	No	No	No	No	No	No	Yes	Yes	Yes	No	No	No
9.7	No	No	No	No	No	No	No	No	No	Yes	Yes	Yes	No	No
9.8	No	No	No	No	No	No	No	No	No	No	Yes	Yes	Yes	No
9.9.1	No	No	No	No	No	No	No	No	No	No	No	Yes	Yes	Yes
9.10.1	No	No	No	No	No	No	No	No	No	No	No	No	Yes	Yes
9.11.1	No	No	No	No	No	No	No	No	No	No	No	No	No	Yes



Interoperability is not bidirectional.

#### Unified replication relationships

For SnapMirror relationships of type “XDP”, using on premises or Cloud Volumes ONTAP releases:



The asterisk (\*) after the release version indicates a Cloud Volumes ONTAP-only release.



Locate the higher, more recent ONTAP version in the left column, and in the top row locate the lower ONTAP version to determine interoperability. Interoperability is bidirectional.

**Table 2: Interoperability for ONTAP version 8.3.x and later**

ONTAP version ...	Interoperates with previous ONTAP versions...																
	8.3.x	8.3.2 P4	9.0	9.1	9.2	9.3	9.4	9.5	9.6	9.7	9.8	9.9.0*	9.9.1	9.10.0*	9.10.1	9.11.0*	9.11.1
8.3.x	Yes	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
8.3.2P4	Yes	Yes	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
9.0	Yes	Yes	Yes	-	-	-	-	-	-	-	-	-	-	-	-	-	-
9.1	Yes	Yes	Yes	Yes	-	-	-	-	-	-	-	-	-	-	-	-	-
9.2	No	Yes	Yes	Yes	Yes	-	-	-	-	-	-	-	-	-	-	-	-
9.3	No	No	Yes	Yes	Yes	Yes	-	-	-	-	-	-	-	-	-	-	-
9.4	No	No	Yes	Yes	No	Yes	Yes	-	-	-	-	-	-	-	-	-	-
9.5	No	No	No	Yes	No	Yes	Yes	Yes	-	-	-	-	-	-	-	-	-
9.6	No	No	No	Yes	No	Yes	No	Yes	Yes	-	-	-	-	-	-	-	-
9.7	No	No	No	No	No	Yes	No	Yes	Yes	Yes	-	-	-	-	-	-	-
9.8	No	No	No	No	No	Yes	No	Yes	Yes	Yes	Yes	-	-	-	-	-	-
9.9.0*	No	No	No	No	No	No	No	Yes	Yes	Yes	Yes	Yes	-	-	-	-	-
9.9.1	No	No	No	No	No	No	No	Yes	Yes	Yes	Yes	Yes	Yes	-	-	-	-
9.10.0*	No	No	No	No	No	No	No	Yes	Yes	Yes	Yes	No	Yes	Yes	-	-	-

9.10 .1	No	No	No	No	No	No	No	Yes	Yes	Yes	Yes	No	Yes	Yes	Yes	-	-
9.11 .0*	No	No	No	No	No	No	No	No	Yes	Yes	Yes	No	Yes	No	Yes	Yes	-
9.11 .1	No	No	No	No	No	No	No	No	Yes	Yes	Yes	No	Yes	No	Yes	Yes	Yes

## SnapMirror limitations

You should be aware of basic SnapMirror limitations before creating a data protection relationship.

- A destination volume can have only one source volume.



A source volume can have multiple destination volumes. The destination volume can be the source volume for any type of SnapMirror replication relationship.

- You can fan out a maximum of eight destination volumes from a single source volume.
- You cannot restore files to the destination of a SnapMirror DR relationship.
- Source or destination SnapVault volumes cannot be 32-bit.
- The source volume for a SnapVault relationship should not be a FlexClone volume.



The relationship will work, but the efficiency offered by FlexClone volumes will not be preserved.

# Archive and compliance using SnapLock technology

## Archive and compliance overview

You can use NetApp SnapLock technology to retain files in unmodified form for regulatory and governance purposes. It shows you how to commit files and Snapshot copies to “write once, read many” (WORM) storage, and how to set retention periods for WORM-protected data.

You should use these procedures if you want to work with SnapLock in the following ways:

- You want to use the ONTAP command-line interface (CLI), not System Manager or an automated scripting tool.

A limited but important set of SnapLock technology is available in System Manager. You can install SnapLock licenses, set the Compliance Clock, create SnapLock aggregates and volumes, and configure SnapLock volumes.

- You want to create Compliance or Enterprise aggregates to host SnapLock audit log volumes on MetroCluster configurations, with the following limitation:

- SnapLock Enterprise is supported on mirrored and unmirrored aggregates.
- SnapLock Compliance is supported on unmirrored aggregates only.

All MetroCluster configurations support mirrored aggregates. See the [ONTAP release notes](#) to determine if your MetroCluster configuration supports unmirrored aggregates.

- You want to use SnapLock Enterprise aggregates with FabricPool.

Beginning with ONTAP 9.8, SnapLock Enterprise aggregates are supported with [FabricPool](#).

- You are not using SAN LUNs

SAN LUNs are not supported on SnapLock volumes. Although it is possible to move SAN LUNs onto a SnapLock volume using legacy technology, this is not a supported operation, nor is any other operation involving SAN LUNs on a SnapLock volume.

- You are not using SMTape.

SMTape is not supported by SnapLock.

- You are not creating Compliance aggregates for array LUNs.

SnapLock Compliance aggregates do not support array LUNs.

- You are not creating Compliance aggregates with the SyncMirror option.

SnapLock Compliance aggregates do not support SyncMirror plexes.



SSDs and Flash Pool aggregates are supported by SnapLock beginning with ONTAP 9.1.

## What SnapLock is

SnapLock is a high-performance compliance solution for organizations that use WORM storage to retain files in unmodified form for regulatory and governance purposes. A single license entitles you to use SnapLock in strict *Compliance mode*, to satisfy external mandates like SEC Rule 17a-4, and a looser *Enterprise mode*, to meet internally mandated regulations for the protection of digital assets.

### Differences between Compliance and Enterprise modes

SnapLock Compliance and Enterprise modes differ mainly in the level at which each mode protects WORM files:

- Compliance-mode WORM files are protected at the disk level.

You cannot reinitialize a disk that contains Compliance-mode aggregates.

- Enterprise-mode WORM files are protected at the file level.

A related difference involves how strictly each mode manages file deletes:

- Compliance-mode WORM files cannot be deleted during the retention period.



- Enterprise-mode WORM files can be deleted during the retention period by the compliance administrator, using an audited *privileged delete* procedure.

After the retention period has elapsed, you are responsible for deleting any files you no longer need. Once a file has been committed to WORM, whether under Compliance or Enterprise mode, it cannot be modified, even after the retention period has expired.

You cannot move a WORM file during or after the retention period. You can copy a WORM file, but the copy will not retain its WORM characteristics.


The following table shows the differences between SnapLock Compliance and Enterprise modes:

Capability	SnapLock Compliance	SnapLock Enterprise
Privileged delete	No	Yes
Reinitialize disk	No	Yes
Destroy SnapLock aggregate and volume during retention period	No	Yes, with the exception of the SnapLock audit log volume
Rename an aggregate or volume	No	Yes
Non-NetApp disks	No	Yes (with FlexArray Virtualization)
Use SnapLock volume for audit logging	Yes	Yes, beginning with ONTAP 9.5

### Supported and unsupported features with SnapLock

The following table shows the features that are supported with SnapLock Compliance mode, SnapLock Enterprise mode, or both:

Feature	Supported with SnapLock Compliance	Supported with SnapLock Enterprise
Consistency Groups	No	No
SAN	No	No
SnapMirror Synchronous	No	No
SnapMirror Business Continuity	No	No
Single-file SnapRestore	No	Yes
SnapRestore	No	Yes

FlexClone	You can clone SnapLock volumes, but you cannot clone files on a SnapLock volume.	You can clone SnapLock volumes, but you cannot clone files on a SnapLock volume.
LUNs	No	No
SMTape	No	No
MetroCluster configurations	<p>SnapLock Compliance or Enterprise aggregates are supported to host SnapLock audit log volumes on MetroCluster configurations, with the following limitation:</p> <ul style="list-style-type: none"> <li>• SnapLock Enterprise is supported on mirrored and unmirrored aggregates.</li> <li>• SnapLock Compliance is supported only on unmirrored aggregates only.</li> </ul> <p>All MetroCluster configurations support mirrored aggregates. See the ONTAP release notes to determine if your MetroCluster configuration supports unmirrored aggregates.</p> <p><a href="#">ONTAP 9 Release Notes</a></p>	No
Support FabricPools on SnapLock aggregates	No	<p>Yes, beginning with ONTAP 9.8. However, your account team needs to open a product variance request documenting that you understand that FabricPool data tiered to a public or private cloud is no longer protected by SnapLock because a cloud admin can delete that data.</p> <div>  <p>You should be aware that any data that FabricPool tiers to a public or private cloud is no longer protected by SnapLock because that data can be deleted by a cloud admin.</p> </div>

FlexGroup volumes	<p>Yes, beginning with ONTAP 9.11.1; however, the following features are not supported:</p> <ul style="list-style-type: none"> <li>• Legal-hold</li> <li>• Event-based retention</li> <li>• SnapLock for SnapVault</li> </ul> <p>You should also be aware of the following behaviors:</p> <ul style="list-style-type: none"> <li>• The volume compliance clock (VCC) of a FlexGroup volume is determined by the VCC of the root constituent. All non-root constituents will have their VCC closely synced to the root VCC.</li> <li>• SnapLock configuration properties are set only on the FlexGroup as a whole. Individual constituents cannot have different configuration properties, such as default retention time and autocommit period.</li> </ul>	<p>Yes, beginning with ONTAP 9.11.1; however, the following features are not supported:</p> <ul style="list-style-type: none"> <li>• Legal-hold</li> <li>• Event-based retention</li> <li>• SnapLock for SnapVault</li> </ul> <p>You should also be aware of the following behaviors:</p> <ul style="list-style-type: none"> <li>• The volume compliance clock (VCC) of a FlexGroup volume is determined by the VCC of the root constituent. All non-root constituents will have their VCC closely synced to the root VCC.</li> <li>• SnapLock configuration properties are set only on the FlexGroup as a whole. Individual constituents cannot have different configuration properties, such as default retention time and autocommit period.</li> </ul>
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## MetroCluster configurations and compliance clocks

MetroCluster configurations use two compliance clock mechanisms, the Volume Compliance Clock (VCC) and the System Compliance Clock (SCC). The VCC and SCC are available to all SnapLock configurations. When you create a new volume on a node, its VCC is initialized with the current value of the SCC on that node. After the volume is created, the volume and file retention time is always tracked with the VCC.

When a volume is replicated to another site, its VCC is also replicated. When a volume switchover occurs, from Site A to Site B, for example, the VCC continues to be updated on Site B while the SCC on Site A halts when Site A goes offline.

When Site A is brought back online and the volume switchback is performed, the Site A SCC clock restarts while the VCC of the volume continues to be updated. Because the VCC is continuously updated, regardless of switchover and switchback operations, the file retention times do not depend on SCC clocks and do not stretch.

## Committing files to WORM

You can use an application to commit files to WORM over NFS or CIFS, or use the SnapLock autocommit feature to commit files to WORM automatically. You can use a *WORM appendable file* to retain data that is written incrementally, like log information.

## Data protection

SnapLock supports data protection methods that should satisfy most compliance requirements:

- You can use SnapLock for SnapVault to WORM-protect Snapshot copies on secondary storage.
- You can use SnapMirror to replicate WORM files to another geographic location for disaster recovery.

## Storage efficiency

Beginning with ONTAP 9.9.1, SnapLock supports storage efficiency features, such as data compaction, cross-volume-deduplication, and adaptive compression for SnapLock volumes and aggregates.

## 7-Mode Transition

You can use the Copy-Based Transition (CBT) feature of the 7-Mode Transition Tool to migrate SnapLock volumes from 7-Mode to ONTAP. The SnapLock mode of the destination volume, Compliance or Enterprise, must match the SnapLock mode of the source volume. You cannot use Copy-Free Transition (CFT) to migrate SnapLock volumes.

## Encryption

ONTAP offers both software- and hardware-based encryption technologies for ensuring that data at rest cannot be read if the storage medium is repurposed, returned, misplaced, or stolen.

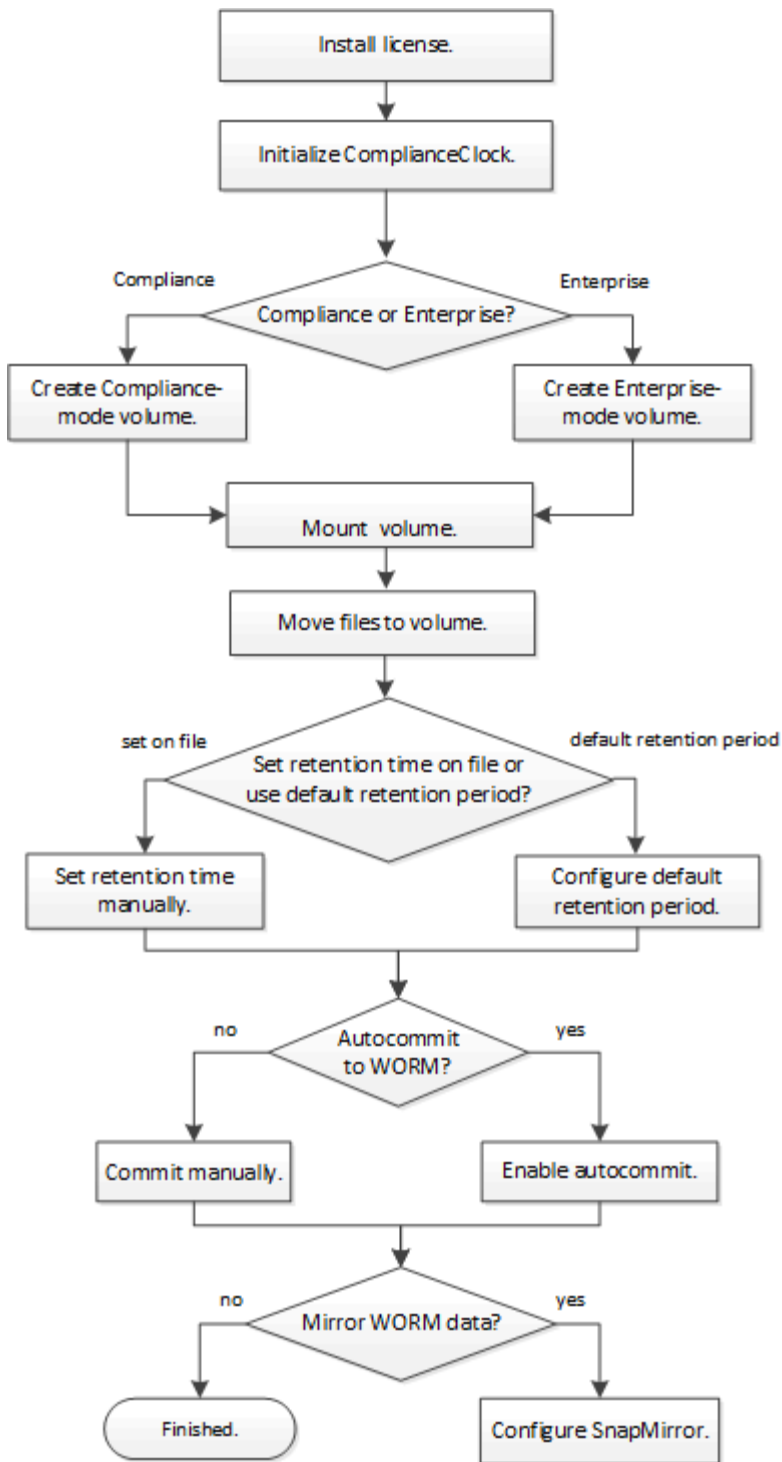
**Disclaimer:** NetApp cannot guarantee that SnapLock-protected WORM files on self-encrypting drives or volumes will be retrievable if the authentication key is lost or if the number of failed authentication attempts exceeds the specified limit and results in the drive being permanently locked. You are responsible for ensuring against authentication failures.



Beginning with ONTAP 9.2, encrypted volumes are supported on SnapLock aggregates.

## SnapLock workflow

You specify which SnapLock mode you want to use, Compliance or Enterprise, when you create a SnapLock volume. You typically use a file archiving application to move files from primary storage to the SnapLock volume.



## Configure SnapLock

### Install the license

A SnapLock license entitles you to use both SnapLock Compliance mode and SnapLock Enterprise mode. SnapLock licenses are issued on a per-node basis. You must install a license for each node that hosts a SnapLock aggregate.

### What you'll need

You must be a cluster administrator to perform this task.

### About this task

You should have received the SnapLock license keys from your sales representative.

### Steps

1. Install the SnapLock license for a node:

```
system license add -license-code license_key
```

The following command installs the license with the key AAAAAAAAAAAAAAAAAAAAAAAAAAAAAA.

```
cluster1::> system license add -license-code  
AAAAAAAAAAAAAAAAAAAAAAAAAAAAA
```

2. Repeat the previous step for each node license.

### Initialize the ComplianceClock

The SnapLock ComplianceClock ensures against tampering that might alter the retention period for WORM files. You must initialize the *system ComplianceClock* on each node that hosts a SnapLock aggregate. Once you initialize the ComplianceClock on a node, you cannot initialize it again.

### What you'll need

- You must be a cluster administrator to perform this task.
- The SnapLock license must be installed on the node.

### About this task

The time on the system ComplianceClock is inherited by the *volume ComplianceClock*, which controls the retention period for WORM files on the volume. The volume ComplianceClock is initialized automatically when you create a new SnapLock volume.



The initial setting of the ComplianceClock is based on the current system clock. For that reason, you should verify that the system time and time zone are correct before initializing the ComplianceClock. Once you initialize the ComplianceClock on a node, you cannot initialize it again.

### Steps

1. Initialize the system ComplianceClock:

```
snaplock compliance-clock initialize -node node_name
```

The following command initializes the system ComplianceClock on node1:

```
cluster1::> snaplock compliance-clock initialize -node node1
```

2. When prompted, confirm that the system clock is correct and that you want to initialize the ComplianceClock:

```
Warning: You are about to initialize the secure ComplianceClock of
the node "node1" to the current value of the node's system clock.
This procedure can be performed only once on a given node, so you
should ensure that the system time is set correctly before proceeding.
```

```
The current node's system clock is: Mon Apr 25 06:04:10 GMT 2016
```

```
Do you want to continue? (y|n): y
```

3. Repeat this procedure for each node that hosts a SnapLock aggregate.

### Create a SnapLock aggregate

You use the volume `-snaplock-type` option to specify a Compliance or Enterprise SnapLock volume type. For releases earlier than ONTAP 9.10.1, you must create a separate SnapLock aggregate. Beginning with ONTAP 9.10.1, SnapLock and non-SnapLock volumes can exist on the same aggregate; therefore, you are no longer required to create a separate SnapLock aggregate if you are using ONTAP 9.10.1.

#### What you'll need

- You must be a cluster administrator to perform this task.
- The SnapLock license must be installed on the node.
- The ComplianceClock on the node must be initialized.
- If you have partitioned the disks as "root", "data1", and "data2", you must ensure that spare disks are available.

#### Upgrade considerations

When upgrading to ONTAP 9.10.1, existing SnapLock and non-SnapLock aggregates are upgraded to support the existence of both SnapLock and non-SnapLock volumes; however, the existing SnapLock volume attributes are not automatically updated. For example, data-compaction, cross-volume-dedupe, and cross-volume-background-dedupe fields remain unchanged. New SnapLock volumes created on existing aggregates have the same default values as non-SnapLock volumes, and the default values for new volumes and aggregates are platform dependent.

#### Revert considerations

If you need to revert to an ONTAP version earlier than 9.10.1, you must move all SnapLock Compliance, SnapLock Enterprise, and SnapLock volumes to their own SnapLock aggregates.

#### About this task

- You cannot create Compliance aggregates for FlexArray LUNs, but SnapLock Compliance aggregates are supported with FlexArray LUNs.
- You cannot create Compliance aggregates with the SyncMirror option.
- You can create mirrored Compliance aggregates in a MetroCluster configuration only if the aggregate is used to host SnapLock audit log volumes.



In a MetroCluster configuration, SnapLock Enterprise is supported on mirrored and unmirrored aggregates. SnapLock Compliance is supported only on unmirrored aggregates.

## Steps

1. Create a SnapLock aggregate:

```
storage aggregate create -aggregate aggregate_name -node node_name -diskcount  
number_of_disks -snaplock-type compliance|enterprise
```

The man page for the command contains a complete list of options.

The following command creates a SnapLock Compliance aggregate named `aggr1` with three disks on `node1`:

```
cluster1::> storage aggregate create -aggregate aggr1 -node node1  
-diskcount 3 -snaplock-type compliance
```

## Create a SnapLock volume

You must create a SnapLock volume for the files or Snapshot copies that you want to commit to the WORM state. Beginning with ONTAP 9.10.1, any volume you create, regardless of the aggregate type, is created by default as a non-SnapLock volume. You must use the `-snaplock-type` option to explicitly create a SnapLock volume by specifying either Compliance or Enterprise as the SnapLock type. By default, the SnapLock type is set to `non-snaplock`.

### What you'll need

- The SnapLock aggregate must be online.
- The SnapLock license must be installed on the node.
- The ComplianceClock on the node must be initialized.

### About this task

With the proper SnapLock permissions, you can destroy or rename an Enterprise volume at any time. You cannot destroy a Compliance volume until the retention period has elapsed. You can never rename a Compliance volume.

You can clone SnapLock volumes, but you cannot clone files on a SnapLock volume. The clone volume will be of the same SnapLock type as the parent volume.



LUNs are not supported on SnapLock volumes. Although it is possible to move LUNs onto a SnapLock volume using legacy technology, this is not a supported operation, nor is any other operation involving LUNs on a SnapLock volume.

## Steps

1. Create a SnapLock volume:

```
volume create -vserver SVM_name -volume volume_name -aggregate aggregate_name
```



```
-snaplock-type compliance|enterprise
```

For a complete list of options, see the man page for the command. The following options are not available for SnapLock volumes: `-nvfail`, `-atime-update`, `-is-autobalance-eligible`, `-space-mgmt`, `-try-first`, and `vmalign`.

The following command creates a SnapLock Compliance volume named `vol1` on `aggr1` on `vs1`:

```
cluster1::> volume create -vserver vs1 -volume vol1 -aggregate aggr1
-snaplock-type compliance
```

## Mount a SnapLock volume

You can mount a SnapLock volume to a junction path in the SVM namespace for NAS client access.

### What you'll need

The SnapLock volume must be online.

### About this task

- You can mount a SnapLock volume only under the root of the SVM.
- You cannot mount a regular volume under a SnapLock volume.

### Steps

1. Mount a SnapLock volume:

```
volume mount -vserver SVM_name -volume volume_name -junction-path path
```

For a complete list of options, see the man page for the command.

The following command mounts a SnapLock volume named `vol1` to the junction path `/sales` in the `vs1` namespace:

```
cluster1::> volume mount -vserver vs1 -volume vol1 -junction-path /sales
```

## Set the retention time

### Set the retention time overview

You can set the retention time for a file explicitly, or you can use the default retention period for the volume to derive the retention time. Unless you set the retention time explicitly, SnapLock uses the default retention period to calculate the retention time.

### About retention period and retention time

The *retention period* for a WORM file specifies the length of time the file must be retained after it is committed to the WORM state. The *retention time* for a WORM file is the time after which the file no longer needs to be

retained. A retention period of 20 years for a file committed to the WORM state on 10 November 2020 6:00 a.m., for example, would yield a retention time of 10 November 2040 6:00 a.m.



Beginning with ONTAP 9.10.1, you can set a retention time up to October 26, 3058 and a retention period up to 100 years. When you extend retention dates, older policies are converted automatically. In ONTAP 9.9.1 and earlier releases, unless you set the default retention period to infinite, the maximum supported retention time is January 19 2071 (GMT).

### Important replication considerations

When establishing a SnapMirror relationship with a SnapLock source volume using a retention date later than January 19th 2071 (GMT), the destination cluster must be running ONTAP 9.10.1 or later or the SnapMirror transfer will fail.

### Important revert considerations

ONTAP prevents you from reverting a cluster from ONTAP 9.10.1 to an earlier ONTAP version when there are any files with a retention period later than "January 19, 2071 8:44:07 AM".

### Understanding the default retention periods

A SnapLock Compliance or Enterprise volume has four retention periods:

- Minimum retention period (`min`), with a default of 0
- Maximum retention period (`max`), with a default of 30 years
- Default retention period, with a default equal to `min` for both Compliance mode and Enterprise mode beginning with ONTAP 9.10.1. In ONTAP releases earlier than ONTAP 9.10.1, the default retention period depends on the mode:
  - For Compliance mode, the default is equal to `max`.
  - For Enterprise mode, the default is equal to `min`.
- Unspecified retention period.

Beginning with ONTAP 9.8, you can set the retention period on files in a volume to `unspecified`, to enable the file to be retained until you set an absolute retention time. You can set a file with absolute retention time to unspecified retention and back to absolute retention as long as the new absolute retention time is later than the absolute time you previously set.

So, if you do not set the retention time explicitly before committing a Compliance-mode file to the WORM state, and you do not modify the defaults, the file will be retained for 30 years. Similarly, if you do not set the retention time explicitly before committing an Enterprise-mode file to the WORM state, and you do not modify the defaults, the file will be retained for 0 years, or, effectively, not at all.

### Set the retention time for a file explicitly

You can set the retention time for a file explicitly by modifying its last access time. You can use any suitable command or program over NFS or CIFS to modify the last access time.

#### About this task

After a file has been committed to WORM, you can extend but not shorten the retention time. The retention time is stored in the `atime` field for the file.



You cannot explicitly set the retention time of a file to `infinite`. That value is only available when you use the default retention period to calculate the retention time.

#### Steps

1. Use a suitable command or program to modify the last access time for the file whose retention time you want to set.

In a UNIX shell, use the following command to set a retention time of 21 November 2020 6:00 a.m. on a file named `document.txt`:

```
touch -a -t 202011210600 document.txt
```



You can use any suitable command or program to modify the last access time in Windows.

### Set the default retention period

You can use the `volume snaplock modify` command to set the default retention period for files on a SnapLock volume.

#### What you'll need

The SnapLock volume must be online.

#### About this task

The following table shows the possible values for the default retention period option:



The default retention period must be greater than or equal to ( $\geq$ ) the minimum retention period and less than or equal to ( $\leq$ ) the maximum retention period.

Value	Unit	Notes
0 - 65535	seconds	
0 - 24	hours	
0 - 365	days	
0 - 12	months	

Value	Unit	Notes
0 - 100	years	Beginning with ONTAP 9.10.1. For earlier ONTAP releases, the value is 0 - 70.
max	-	Use the maximum retention period.
min	-	Use the minimum retention period.
infinite	-	Retain the files forever.
unspecified	-	Retain the files until an absolute retention period is set.

The values and ranges for the maximum and minimum retention periods are identical, except for `max` and `min`, which are not applicable. For more information about this task, see [Set the retention time overview](#).

You can use the `volume snaplock show` command to view the retention period settings for the volume. For more information, see the man page for the command.



After a file has been committed to the WORM state, you can extend but not shorten the retention period.

## Steps

1. Set the default retention period for files on a SnapLock volume:

```
volume snaplock modify -vserver SVM_name -volume volume_name -default  
-retention-period default_retention_period -minimum-retention-period  
min_retention_period -maximum-retention-period max_retention_period
```

For a complete list of options, see the man page for the command.



The following examples assume that the minimum and maximum retention periods have not been modified previously.

The following command sets the default retention period for a Compliance or Enterprise volume to 20 days:

```
cluster1::> volume snaplock modify -vserver vs1 -volume vol1 -default  
-retention-period 20days
```

The following command sets the default retention period for a Compliance volume to 70 years:

```
cluster1::> volume snaplock modify -vserver vs1 -volume vol1 -maximum  
-retention-period 70years
```

The following command sets the default retention period for an Enterprise volume to 10 years:

```
cluster1::> volume snaplock modify -vserver vs1 -volume vol1 -default  
-retention-period max -maximum-retention-period 10years
```

The following commands set the default retention period for an Enterprise volume to 10 days:

```
cluster1::> volume snaplock modify -vserver vs1 -volume vol1 -minimum  
-retention-period 10days  
cluster1::> volume snaplock modify -vserver vs1 -volume vol1 -default  
-retention-period min
```

The following command sets the default retention period for a Compliance volume to infinite:

```
cluster1::> volume snaplock modify -vserver vs1 -volume vol1 -default  
-retention-period infinite -maximum-retention-period infinite
```

## Verify SnapLock settings

You can use the `volume file fingerprint start` and `volume file fingerprint dump` commands to view key information about files and volumes, including the file type (regular, WORM, or WORM appendable), the volume expiration date, and so forth.

### Steps

1. Generate a file fingerprint:

```
volume file fingerprint start -vserver SVM_name -file file_path
```

```
svml1::> volume file fingerprint start -vserver svml -file  
/vol/sle/vol/f1  
File fingerprint operation is queued. Run "volume file fingerprint show  
-session-id 16842791" to view the fingerprint session status.
```

The command generates a session ID that you can use as input to the `volume file fingerprint dump` command.



You can use the `volume file fingerprint show` command with the session ID to monitor the progress of the fingerprint operation. Make sure that the operation has completed before attempting to display the fingerprint.

2. Display the fingerprint for the file:

```
volume file fingerprint dump -session-id session_ID
```

```

svml1:> volume file fingerprint dump -session-id 33619976
Vserver:svml
Session-ID:33619976
Volume:slc_vol
Path:/vol/slc_vol/fl
Data
Fingerprint:MOFJVevxNSJm3C/4Bn5oEEYH51CrudOzZYK4r5Cfy1g=Metadata

Fingerprint:8iMjqJXiNcggXT5XuRhLiEwIrJEihDmwS0hrexnjgmc=Fingerprint
Algorithm:SHA256
    Fingerprint Scope:data-and-metadata
    Fingerprint Start Time:1460612586
    Formatted Fingerprint Start Time:Thu Apr 14 05:43:06 GMT 2016
    Fingerprint Version:3
    **SnapLock License:available**
    Vserver UUID:acf7ae64-00d6-11e6-a027-0050569c55ae
    Volume MSID:2152884007
    Volume DSID:1028
    Hostname:my_host
    Filer ID:5f18eda2-00b0-11e6-914e-6fb45e537b8d
    Volume Containing Aggregate:slc_aggr1
    Aggregate ID:c84634aa-c757-4b98-8f07-eefe32565f67
    **SnapLock System ComplianceClock:1460610635
    Formatted SnapLock System ComplianceClock:Thu Apr 14 05:10:35
GMT 2016
    Volume SnapLock Type:compliance
    Volume ComplianceClock:1460610635
    Formatted Volume ComplianceClock:Thu Apr 14 05:10:35 GMT 2016
    Volume Expiry Date:1465880998**
    Is Volume Expiry Date Wraparound:false
    Formatted Volume Expiry Date:Tue Jun 14 05:09:58 GMT 2016
    Filesystem ID:1028
    File ID:96
    File Type:worm
    File Size:1048576
    Creation Time:1460612515
    Formatted Creation Time:Thu Apr 14 05:41:55 GMT 2016
    Modification Time:1460612515
    Formatted Modification Time:Thu Apr 14 05:41:55 GMT 2016
    Changed Time:1460610598
    Is Changed Time Wraparound:false
    Formatted Changed Time:Thu Apr 14 05:09:58 GMT 2016
    Retention Time:1465880998
    Is Retention Time Wraparound:false
    Formatted Retention Time:Tue Jun 14 05:09:58 GMT 2016
    Access Time:-

```

```
Formatted Access Time:-
Owner ID:0
Group ID:0
Owner SID:-
Fingerprint End Time:1460612586
Formatted Fingerprint End Time:Thu Apr 14 05:43:06 GMT 2016
```

## Reset the ComplianceClock for an NTP-configured system

When the SnapLock secure clock daemon detects a skew beyond the threshold, the system time is used to reset both the system and volume ComplianceClocks.

### What you'll need

- This feature is available only at the advanced privilege level.
- You must be a cluster administrator to perform this task.
- The SnapLock license must be installed on the node.
- This feature is available only for Cloud Volumes ONTAP, ONTAP Select, and VSIM platforms.

### About this task

When the SnapLock secure clock daemon detects a skew beyond the threshold, the system time is used to reset both the system and volume ComplianceClocks. A period of 24 hours is set as the skew threshold. This means that the system ComplianceClock is synchronized to the system clock only if the skew is more than a day old.

The SnapLock secure clock daemon detects a skew and changes the ComplianceClock to the system time. Any attempt at modifying the system time to force the ComplianceClock to synchronize to the system time fails, since the ComplianceClock synchronizes to the system time only if the system time is synchronized with the NTP time.

### Steps

1. Enable the SnapLock ComplianceClock time synchronization feature when an NTP server is configured:

```
snaplock compliance-clock ntp
```

The following command enables the system ComplianceClock time synchronization feature:

```
cluster1::*> snaplock compliance-clock ntp modify -is-sync-enabled true
```

2. When prompted, confirm that the configured NTP servers are trusted and that the communications channel is secure to enable the feature:
3. Check that the feature is enabled:

```
snaplock compliance-clock ntp show
```

The following command checks that the system ComplianceClock time synchronization feature is enabled:

```
cluster1::*> snaplock compliance-clock ntp show  
  
Enable clock sync to NTP system time: true
```

## Commit files to WORM

### Commit files to WORM manually

You commit a file to WORM manually by making the file read-only. You can use any suitable command or program over NFS or CIFS to change the read-write attribute of a file to read-only.

#### What you'll need

- The file you want to commit must reside on a SnapLock volume.
- The file must be writable.

#### About this task

The volume ComplianceClock time is written to the `ctime` field of the file when the command or program is executed. The ComplianceClock time determines when the retention time for the file has been reached.

#### Steps

1. Use a suitable command or program to change the read-write attribute of a file to read-only.

In a UNIX shell, use the following command to make a file named `document.txt` read-only:

```
chmod -w document.txt
```

In a Windows shell, use the following command to make a file named `document.txt` read-only:

```
attrib +r document.txt
```

## Autocommit files to WORM

The SnapLock autocommit feature enables you to commit files to WORM automatically.

#### What you'll need

- The files you want to autocommit must reside on a SnapLock volume.
- The SnapLock volume must be online.
- The SnapLock volume must be a read-write volume.





The SnapLock autocommit feature scans through all of the files in the volume and commits a file if it meets the autocommit requirement. There might be a time interval between when the file is ready for autocommit and when it is actually committed by the SnapLock autocommit scanner. However, the file is still protected from modifications and deletion by the file system as soon as it is eligible for autocommit.

### About this task

The *autocommit period* specifies the amount of time that files must remain unchanged before they are autocommitted. Changing a file before the autocommit period has elapsed restarts the autocommit period for the file.

The following table shows the possible values for the autocommit period:

Value	Unit	Notes
none	-	The default.
5 - 5256000	minutes	-
1 - 87600	hours	-
1 - 3650	days	-
1 - 120	months	-
1 - 10	years	-



The minimum value is 5 minutes and the maximum value is 10 years.

### Steps

1. Autocommit files on a SnapLock volume to WORM:

```
volume snaplock modify -vserver SVM_name -volume volume_name -autocommit  
-period autocommit_period
```

For a complete list of options, see the man page for the command.

The following command autocommits the files on volume `vol1` of SVM `vs1`, as long as the files remain unchanged for 5 hours:

```
cluster1::>volume snaplock modify -vserver vs1 -volume vol1 -autocommit  
-period 5hours
```

### Use a command or program to create a WORM appendable file

You can use any suitable command or program over NFS or CIFS to create a WORM appendable file. A WORM appendable file retains data written incrementally, like log

entries. Data is appended to the file in 256 KB chunks. As each chunk is written, the previous chunk becomes WORM-protected. You cannot delete the file until the retention period has elapsed.

### What you'll need

The WORM appendable file must reside on a SnapLock volume.

### About this task

Data does not have to be written sequentially to the active 256 KB chunk. When data is written to byte  $n \times 256\text{KB} + 1$  of the file, the previous 256 KB segment becomes WORM-protected.

### Steps

1. Use a suitable command or program to create a zero-length file with the desired retention time.

In a UNIX shell, use the following command to set a retention time of 21 November 2020 6:00 a.m. on a zero-length file named `document.txt`:

```
touch -a -t 202011210600 document.txt
```

2. Use a suitable command or program to change the read-write attribute of the file to read-only.

In a UNIX shell, use the following command to make a file named `document.txt` read-only:

```
chmod 444 document.txt
```

3. Use a suitable command or program to change the read-write attribute of the file back to writable.



This step is not deemed a compliance risk because there is no data in the file.

In a UNIX shell, use the following command to make a file named `document.txt` writable:

```
chmod 777 document.txt
```

4. Use a suitable command or program to start writing data to the file.

In a UNIX shell, use the following command to write data to `document.txt`:

```
echo test data >> document.txt
```



Change the file permissions back to read-only when you no longer need to append data to the file.

## Use volume append mode to create WORM appendable files

Beginning with ONTAP 9.3, you can use the SnapLock *volume append mode* (VAM) feature to create WORM appendable files by default. A WORM appendable file retains data written incrementally, like log entries. Data is appended to the file in 256 KB chunks. As each chunk is written, the previous chunk becomes WORM-protected. You cannot delete the file until the retention period has elapsed.

### What you'll need

- The WORM appendable file must reside on a SnapLock volume.
- The SnapLock volume must be unmounted and empty of Snapshot copies and user-created files.

### About this task

Data does not have to be written sequentially to the active 256 KB chunk. When data is written to byte  $n \times 256\text{KB} + 1$  of the file, the previous 256 KB segment becomes WORM-protected.

If you specify an autocommit period for the volume, WORM appendable files that are not modified for a period greater than the autocommit period are committed to WORM.



VAM is not supported on SnapLock audit log volumes.

### Steps

1. Enable VAM:

```
volume snaplock modify -vserver SVM_name -volume volume_name -is-volume-append  
-mode-enabled true|false
```

For a complete list of options, see the man page for the command.

The following command enables VAM on volume `vol1` of SVM`vs1`:

```
cluster1::>volume snaplock modify -vserver vs1 -volume vol1 -is-volume  
-append-mode-enabled true
```

2. Use a suitable command or program to create files with write permissions.

The files are WORM-appendable by default.

## Commit Snapshot copies to WORM

You can use SnapLock for SnapVault to WORM-protect Snapshot copies on secondary storage. You perform all of the basic SnapLock tasks on the SnapVault destination. The destination volume is automatically mounted read-only, so there is no need to explicitly commit the Snapshot copies to WORM; therefore, creating scheduled Snapshot copies on the destination volume using SnapMirror policies is not supported.

### Before you begin

- The source cluster must be running ONTAP 8.2.2 or later.

- The source and destination aggregates must be 64-bit.
- The source volume cannot be a SnapLock volume.
- The source and destination volumes must be created in peered clusters with peered SVMs.

For more information, see [Cluster Peering](#).

- If volume autogrow is disabled, the free space on the destination volume must be at least five percent more than the used space on the source volume.

### About this task

The source volume can use NetApp or non-NetApp storage. For non-NetApp storage, you must use FlexArray Virtualization.



You cannot rename a Snapshot copy that is committed to the WORM state.

You can clone SnapLock volumes, but you cannot clone files on a SnapLock volume.



LUNs are not supported on SnapLock volumes. Although it is possible to move LUNs onto a SnapLock volume using legacy technology, this is not a supported operation, nor is any other operation involving LUNs on a SnapLock volume.

For MetroCluster configurations, you should be aware of the following:

- You can create a SnapVault relationship only between sync-source SVMs, not between a sync-source SVM and a sync-destination SVM.
- You can create a SnapVault relationship from a volume on a sync-source SVM to a data-serving SVM.
- You can create a SnapVault relationship from a volume on a data-serving SVM to a DP volume on a sync-source SVM.

The following illustration shows the procedure for initializing a SnapVault relationship:

### Steps

1. Identify the destination cluster.
2. On the destination cluster, install the SnapLock license, initialize the ComplianceClock, and, if you are using an ONTAP release earlier than 9.10.1, create a SnapLock aggregate, as described in [SnapLock workflow](#).
3. On the destination cluster, create a SnapLock destination volume of type `DP` that is either the same or greater in size than the source volume:

```
volume create -vserver SVM_name -volume volume_name -aggregate aggregate_name  
-snaplock-type compliance|enterprise -type DP -size size
```



Beginning with ONTAP 9.10.1, SnapLock and non-SnapLock volumes can exist on the same aggregate; therefore, you are no longer required to create a separate SnapLock aggregate if you are using ONTAP 9.10.1. You use the volume `-snaplock-type` option to specify a Compliance or Enterprise SnapLock volume type. In ONTAP releases earlier than ONTAP 9.10.1, the SnapLock mode, Compliance or Enterprise, is inherited from the aggregate. Version-flexible destination volumes are not supported. The language setting of the destination volume must match the language setting of the source volume.

The following command creates a 2 GB SnapLock Compliance volume named `dstvolB` in SVM2 on the aggregate `node01_aggr`:

```
cluster2::> volume create -vserver SVM2 -volume dstvolB -aggregate
node01_aggr -snaplock-type compliance -type DP -size 2GB
```

4. On the destination cluster, set the default retention period, as described in [Set the default retention period](#).



A SnapLock volume that is a vault destination has a default retention period assigned to it. The value for this period is initially set to a minimum of 0 years for SnapLock Enterprise volumes and a maximum of 30 years for SnapLock Compliance volumes. Each NetApp Snapshot copy is committed with this default retention period at first. The retention period can be extended later, if needed. For more information, see [Set retention time overview](#).

5. [Create a new replication relationship](#) between the non-SnapLock source and the new SnapLock destination you created in Step 3.

This example creates a new SnapMirror relationship with destination SnapLock volume `dstvolB` using a policy of `XDPDefault` to vault Snapshot copies labeled daily and weekly on an hourly schedule:

```
cluster2::> snapmirror create -source-path SVM1:srcvolA -destination
-path SVM2:dstvolB -vserver SVM2 -policy XDPDefault -schedule hourly
```



[Create a custom replication policy](#) or a [custom schedule](#) if the available defaults are not suitable.

6. On the destination SVM, initialize the SnapVault relationship created in Step 5:

**`snapmirror initialize -destination-path destination_path`**

The following command initializes the relationship between the source volume `srcvolA` on SVM1 and the destination volume `dstvolB` on SVM2:

```
cluster2::> snapmirror initialize -destination-path SVM2:dstvolB
```

7. After the relationship is initialized and idle, use the `snapshot show` command on the destination to verify the SnapLock expiry time applied to the replicated Snapshot copies.

This example lists the Snapshot copies on volume `dstvolB` that have the SnapMirror label and the SnapLock expiration date:

```
cluster2::> snapshot show -vserver SVM2 -volume dstvolB -fields
snapmirror-label, snaplock-expiry-time
```

## Related information

## Mirror WORM files

You can use SnapMirror to replicate WORM files to another geographic location for disaster recovery and other purposes. Both the source volume and destination volume must be configured for SnapLock, and both volumes must have the same SnapLock mode, Compliance or Enterprise. All key SnapLock properties of the volume and files are replicated.

### Prerequisites

The source and destination volumes must be created in peered clusters with peered SVMs. For more information, see [Cluster and SVM peering](#).

### About this task

- Beginning with ONTAP 9.5, you can replicate WORM files with the XDP (extended data protection) type SnapMirror relationship rather than the DP (data protection) type relationship. XDP mode is ONTAP version-independent, and is able to differentiate files stored in the same block, making it much easier to resync replicated Compliance-mode volumes. For information on how to convert an existing DP-type relationship to an XDP-type relationship, see [Data Protection](#).
- A resync operation on a DP type SnapMirror relationship fails for a Compliance-mode volume if SnapLock determines that it will result in a loss of data. If a resync operation fails, you can use the `volume clone create` command to make a clone of the destination volume. You can then resync the source volume with the clone.
- A SnapMirror relationship of type XDP between SnapLock compliant volumes supports a resync after a break even if data on the destination has diverged from the source post the break.

On a resync, when data divergence is detected between the source the destination beyond the common snapshot, a new snapshot is cut on the destination to capture this divergence. The new snapshot and the common snapshot are both locked with a retention time as follows:

- The volume expiry time of the destination
- If the volume expiry time is in the past or has not been set, then the snapshot is locked for a period of 30 days
- If the destination has legal-holds, the actual volume expiry period is masked and shows up as 'indefinite', however the snapshot is locked for the duration of the actual volume expiry period.

If the destination volume has an expiry period that is later than the source, the destination expiry period is retained and will not be overwritten by the expiry period of the source volume post the resync.

If the destination has legal-holds placed on it that differ from the source, a resync is not allowed. The source and destination must have identical legal-holds or all legal-holds on the destination must be released before a resync is attempted.

A locked snapshot on the destination volume created to capture the divergent data can be copied to the source by running the `snapmirror update -s snapshot` command. The snapshot once copied will continue to be locked at the source as well.

- SVM data protection relationships are not supported.

- Load-sharing data protection relationships are not supported.

The following illustration shows the procedure for initializing a SnapMirror relationship:

### Steps

1. Identify the destination cluster.
2. On the destination cluster, install the SnapLock license, initialize the ComplianceClock, and, if you are using an ONTAP release earlier than 9.10.1, create a SnapLock aggregate.
3. On the destination cluster, create a SnapLock destination volume of type `DP` that is either the same size as or greater in size than the source volume:

```
volume create -vserver SVM_name -volume volume_name -aggregate aggregate_name
-snaplock-type compliance|enterprise -type DP -size size
```



Beginning with ONTAP 9.10.1, SnapLock and non-SnapLock volumes can exist on the same aggregate; therefore, you are no longer required to create a separate SnapLock aggregate if you are using ONTAP 9.10.1. You use the volume `-snaplock-type` option to specify a Compliance or Enterprise SnapLock volume type. In ONTAP releases earlier than ONTAP 9.10.1, the SnapLock mode—Compliance or Enterprise—is inherited from the aggregate. Version-flexible destination volumes are not supported. The language setting of the destination volume must match the language setting of the source volume.

The following command creates a 2 GB SnapLock Compliance volume named `dstvolB` in `SVM2` on the aggregate `node01_aggr`:

```
cluster2::> volume create -vserver SVM2 -volume dstvolB -aggregate
node01_aggr -snaplock-type compliance -type DP -size 2GB
```

4. On the destination SVM, create a SnapMirror policy:

```
snapmirror policy create -vserver SVM_name -policy policy_name
```

The following command creates the SVM-wide policy `SVM1-mirror`:

```
SVM2::> snapmirror policy create -vserver SVM2 -policy SVM1-mirror
```

5. On the destination SVM, create a SnapMirror schedule:

```
job schedule cron create -name schedule_name -dayofweek day_of_week -hour hour
-minute minute
```

The following command creates a SnapMirror schedule named `weekendcron`:

```
SVM2::> job schedule cron create -name weekendcron -dayofweek "Saturday,
Sunday" -hour 3 -minute 0
```

6. On the destination SVM, create a SnapMirror relationship:

```
snapmirror create -source-path source_path -destination-path destination_path  
-type XDP|DP -policy policy_name -schedule schedule_name
```

The following command creates a SnapMirror relationship between the source volume `srcvolA` on SVM1 and the destination volume `dstvolB` on SVM2, and assigns the policy `SVM1-mirror` and the schedule `weekendcron`:

```
SVM2::> snapmirror create -source-path SVM1:srcvolA -destination-path  
SVM2:dstvolB -type XDP -policy SVM1-mirror -schedule weekendcron
```



The XDP type is available in ONTAP 9.5 and later. You must use the DP type in ONTAP 9.4 and earlier.

7. On the destination SVM, initialize the SnapMirror relationship:

```
snapmirror initialize -destination-path destination_path
```

The initialization process performs a *baseline transfer* to the destination volume. SnapMirror makes a Snapshot copy of the source volume, then transfers the copy and all the data blocks that it references to the destination volume. It also transfers any other Snapshot copies on the source volume to the destination volume.

The following command initializes the relationship between the source volume `srcvolA` on SVM1 and the destination volume `dstvolB` on SVM2:

```
SVM2::> snapmirror initialize -destination-path SVM2:dstvolB
```

## Related information

[Cluster and SVM peering](#)

[Volume disaster recovery preparation](#)

[Data protection](#)

## Create an audit log

You must create a SnapLock-protected audit log before performing a privileged delete or SnapLock volume move. The audit log records the creation and deletion of SnapLock administrator accounts, modifications to the log volume, whether privileged delete is enabled, privileged delete operations, and SnapLock volume move operations.

### What you'll need

You must be a cluster administrator to create a SnapLock aggregate.

### About this task

You cannot delete an audit log until the log file retention period has elapsed. You cannot modify an audit log



even after the retention period has elapsed. This is true for both SnapLock Compliance and Enterprise modes.



In ONTAP 9.4 and earlier, you cannot use a SnapLock Enterprise volume for audit logging. You must use a SnapLock Compliance volume. In ONTAP 9.5 and later, you can use either a SnapLock Enterprise volume or a SnapLock Compliance volume for audit logging. In all cases, the audit log volume must be mounted at the junction path `/snaplock_audit_log`. No other volume can use this junction path.

You can find the SnapLock audit logs in the `/snaplock_log` directory under the root of the audit log volume, in subdirectories named `privdel_log` (privileged delete operations) and `system_log` (everything else). Audit log file names contain the timestamp of the first logged operation, making it easy to search for records by the approximate time that operations were executed.

- You can use the `snaplock log file show` command to view the log files on the audit log volume.
- You can use the `snaplock log file archive` command to archive the current log file and create a new one, which is useful in cases where you need to record audit log information in a separate file.

For more information, see the man pages for the commands.



A data protection volume cannot be used as a SnapLock audit log volume.

### Steps

1. Create a SnapLock aggregate.

[Create a SnapLock aggregate](#)

2. On the SVM that you want to configure for audit logging, create a SnapLock volume.

[Create a SnapLock volume](#)

3. Configure the SVM for audit logging:

```
snaplock log create -vserver SVM_name -volume snaplock_volume_name -max-file  
-size size -retention-period default_retention_period
```



The minimum default retention period for audit log files is six months. If the retention period of an affected file is longer than the retention period of the audit log, the retention period of the log inherits the retention period of the file. So, if the retention period for a file deleted using privileged delete is 10 months, and the retention period of the audit log is 8 months, the retention period of the log is extended to 10 months.

The following command configures SVM1 for audit logging using the SnapLock volume `logVol`. The audit log has a maximum size of 20 GB and is retained for eight months.

```
SVM1::> snaplock log create -vserver SVM1 -volume logVol -max-file-size  
20GB -retention-period 8months
```

4. On the SVM that you configured for audit logging, mount the SnapLock volume at the junction path `/snaplock_audit_log`.

## Use the privileged delete feature

### Create a SnapLock administrator account

You must have SnapLock administrator privileges to perform a privileged delete. These privileges are defined in the vsadmin-snaplock role. If you have not already been assigned that role, you can ask your cluster administrator to create an SVM administrator account with the SnapLock administrator role.

#### What you'll need

- You must be a cluster administrator to perform this task.
- You must have logged in on a secure connection (SSH, console, or ZAPI).

#### Steps

1. Create an SVM administrator account with the SnapLock administrator role:

```
security login create -vserver SVM_name -user-or-group-name user_or_group_name -application application -authmethod authentication_method -role role -comment comment
```

The following command enables the SVM administrator account SnapLockAdmin with the predefined vsadmin-snaplock role to access SVM1 using a password:

```
cluster1::> security login create -vserver SVM1 -user-or-group-name SnapLockAdmin -application ssh -authmethod password -role vsadmin-snaplock
```

### Enable the privileged delete feature

You must explicitly enable the privileged delete feature on the Enterprise volume that contains the WORM files you want to delete.

#### About this task

The value of the `-privileged-delete` option determines whether privileged delete is enabled. Possible values are `enabled`, `disabled`, and `permanently-disabled`.



`permanently-disabled` is the terminal state. You cannot enable privileged delete on the volume after you set the state to `permanently-disabled`.

#### Steps

1. Enable privileged delete for a SnapLock Enterprise volume:

```
volume snaplock modify -vserver SVM_name -volume volume_name -privileged -delete disabled|enabled|permanently-disabled
```

The following command enables the privileged delete feature for the Enterprise volume dataVol on SVM1:

```
SVM1::> volume snaplock modify -vserver SVM1 -volume dataVol -privileged  
-delete enabled
```

### Delete WORM files using privileged delete

You can use the privileged delete feature to delete Enterprise-mode WORM files during the retention period.

#### What you'll need

- You must be a SnapLock administrator to perform this task.
- You must have created a SnapLock audit log and enabled the privileged delete feature on the Enterprise volume.

#### About this task

You cannot use a privileged delete operation to delete an expired WORM file. You can use the `volume file retention show` command to view the retention time of the WORM file that you want to delete. For more information, see the man page for the command.

#### Step

1. Delete a WORM file on an Enterprise volume:

```
volume file privileged-delete -vserver SVM_name -file file_path
```

The following command deletes the file `/vol/dataVol/f1` on the SVM SVM1:

```
SVM1::> volume file privileged-delete -file /vol/dataVol/f1
```

## Use the Legal Hold feature

Beginning with ONTAP 9.3, you can use the *Legal Hold* feature to retain Compliance-mode WORM files for the duration of a litigation.

#### What you'll need

- You must be a SnapLock administrator to perform this task.

[Create a SnapLock administrator account](#)

- You must have logged in on a secure connection (SSH, console, or ZAPI).

#### About this task

A file under a Legal Hold behaves like a WORM file with an indefinite retention period. It is your responsibility to specify when the Legal Hold period ends.

The number of files you can place under a Legal Hold depends on the space available on the volume.

## Steps

1. Start a Legal Hold:

```
snaplock legal-hold begin -litigation-name litigation_name -volume volume_name -path path_name
```

The following command starts a Legal Hold for all the files in vol1:

```
cluster1::>snaplock legal-hold begin -litigation-name litigation1  
-volume vol1 -path /
```

2. End a Legal Hold:

```
snaplock legal-hold end -litigation-name litigation_name -volume volume_name -path path_name
```

The following command ends a Legal Hold for all the files in vol1:

```
cluster1::>snaplock legal-hold end -litigation-name litigation1 -volume  
vol1 -path /
```

## Use the Event Based Retention (EBR) feature

Beginning with ONTAP 9.3, you can use the SnapLock *Event Based Retention (EBR)* feature to define how long a file is retained after the occurrence of an event.

### What you'll need

- You must be a SnapLock administrator to perform this task.

[Create a SnapLock administrator account](#)

- You must have logged in on a secure connection (SSH, console, or ZAPI).

### About this task

The *event retention policy* defines the retention period for the file after the event occurs. The policy can be applied to a single file or all the files in a directory.

- If a file is not a WORM file, it will be committed to the WORM state for the retention period defined in the policy.
- If a file is a WORM file or a WORM appendable file, its retention period will be extended by the retention period defined in the policy.

You can use a Compliance-mode or Enterprise-mode volume.



EBR policies cannot be applied to files under a Legal Hold.

For advanced usage, see [Compliant WORM Storage Using NetApp SnapLock](#).

### Using EBR to extend the retention period of already existing WORM files

EBR is convenient when you want to extend the retention period of already existing WORM files. For example, it might be your firm's policy to retain employee W-4 records in unmodified form for three years after the employee changes a withholding election. Another company policy might require that W-4 records be retained for five years after the employee is terminated.

In this situation, you could create an EBR policy with a five-year retention period. After the employee is terminated (the "event"), you would apply the EBR policy to the employee's W-4 record, causing its retention period to be extended. That will usually be easier than extending the retention period manually, particularly when a large number of files is involved.

#### Steps

1. Create an EBR policy:

```
snaplock event-retention policy create -vserver SVM_name -name policy_name  
-retention-period retention_period
```

The following command creates the EBR policy `employee_exit` on `vs1` with a retention period of ten years:

```
cluster1::>snaplock event-retention policy create -vserver vs1 -name  
employee_exit -retention-period 10years
```

2. Apply an EBR policy:

```
snaplock event-retention apply -vserver SVM_name -name policy_name -volume  
volume_name -path path_name
```

The following command applies the EBR policy `employee_exit` on `vs1` to all the files in the directory `d1`:

```
cluster1::>snaplock event-retention apply -vserver vs1 -name  
employee_exit -volume vol1 -path /d1
```

## Move a SnapLock volume

### Create a SnapLock security administrator account

You must have SnapLock security administrator privileges to perform a SnapLock volume move. This privilege is granted to you with the *snaplock* role, introduced in ONTAP 9.8. If you have not already been assigned that role, you can ask your cluster administrator to create a SnapLock security user with this SnapLock security role.

#### What you'll need

- You must be a cluster administrator to perform this task.
- You must have logged in on a secure connection (SSH, console, or ZAPI).

## About this task

The snaplock role is associated with the admin SVM, unlike the vsadmin-snaplock role, which is associated with the data SVM.

## Step

1. Create an SVM administrator account with the SnapLock administrator role:

```
security login create -vserver SVM_name -user-or-group-name user_or_group_name  
-application application -authmethod authentication_method -role role -comment  
comment
```

The following command enables the SVM administrator account SnapLockAdmin with the predefined snaplock role to access admin SVM cluster1 using a password:

```
cluster1::> security login create -vserver cluster1 -user-or-group-name  
SnapLockAdmin -application ssh -authmethod password -role snaplock
```

## Move a SnapLock volume

You can use the `volume move` command to move a SnapLock volume to a destination aggregate.

## What you'll need

- You must have created a SnapLock-protected audit log before performing SnapLock volume move.

[Create an audit log.](#)

- If you are using a version of ONTAP earlier than ONTAP 9.10.1, the destination aggregate must be the same SnapLock type as the SnapLock volume you want to move; either Compliance to Compliance or Enterprise to Enterprise. Beginning with ONTAP 9.10.1, this restriction is removed and an aggregate can include both Compliance and Enterprise SnapLock volumes, as well as non-SnapLock volumes.
- You must be a user with the SnapLock security role.

## Steps

1. Using a secure connection, log in to the ONTAP cluster management LIF:

```
ssh snaplock_user@cluster_mgmt_ip
```

2. Move a SnapLock volume:

```
volume move start -vserver SVM_name -volume SnapLock_volume_name -destination  
-aggregate destination_aggregate_name
```

3. Check the status of the volume move operation:

```
volume move show -volume SnapLock_volume_name -vserver SVM_name -fields  
volume,phase,vserver
```

## SnapLock APIs

You can use Zephyr APIs to integrate with SnapLock functionality in scripts or workflow automation. The APIs use XML messaging over HTTP, HTTPS, and Windows DCE/RPC.

### **file-fingerprint-abort**

Abort a file fingerprint operation.

### **file-fingerprint-dump**

Display file fingerprint information.

### **file-fingerprint-get-iter**

Display the status of file fingerprint operations.

### **file-fingerprint-start**

Generate a file fingerprint.

### **snaplock-archive-vserver-log**

Archive the active audit log file.

### **snaplock-create-vserver-log**

Create an audit log configuration for an SVM.

### **snaplock-delete-vserver-log**

Delete an audit log configuration for an SVM.

### **snaplock-file-privileged-delete**

Execute a privileged delete operation.

### **snaplock-get-file-retention**

Get the retention period of a file.

### **snaplock-get-node-compliance-clock**

Get the node ComplianceClock date and time.

### **snaplock-get-vserver-active-log-files-iter**

Display the status of active log files.

### **snaplock-get-vserver-log-iter**

Display the audit log configuration.

### **snaplock-modify-vserver-log**

Modify the audit log configuration for an SVM.

### **snaplock-set-file-retention**

Set the retention time for a file.

### **snaplock-set-node-compliance-clock**

Set the node ComplianceClock date and time.

### **snaplock-volume-set-privileged-delete**

Set the privileged-delete option on a SnapLock Enterprise volume.

### **volume-get-snaplock-attrs**

Get the attributes of a SnapLock volume.

### **volume-set-snaplock-attrs**

Set the attributes of a SnapLock volume.

## **Consistency Groups management**

### **Consistency groups overview**

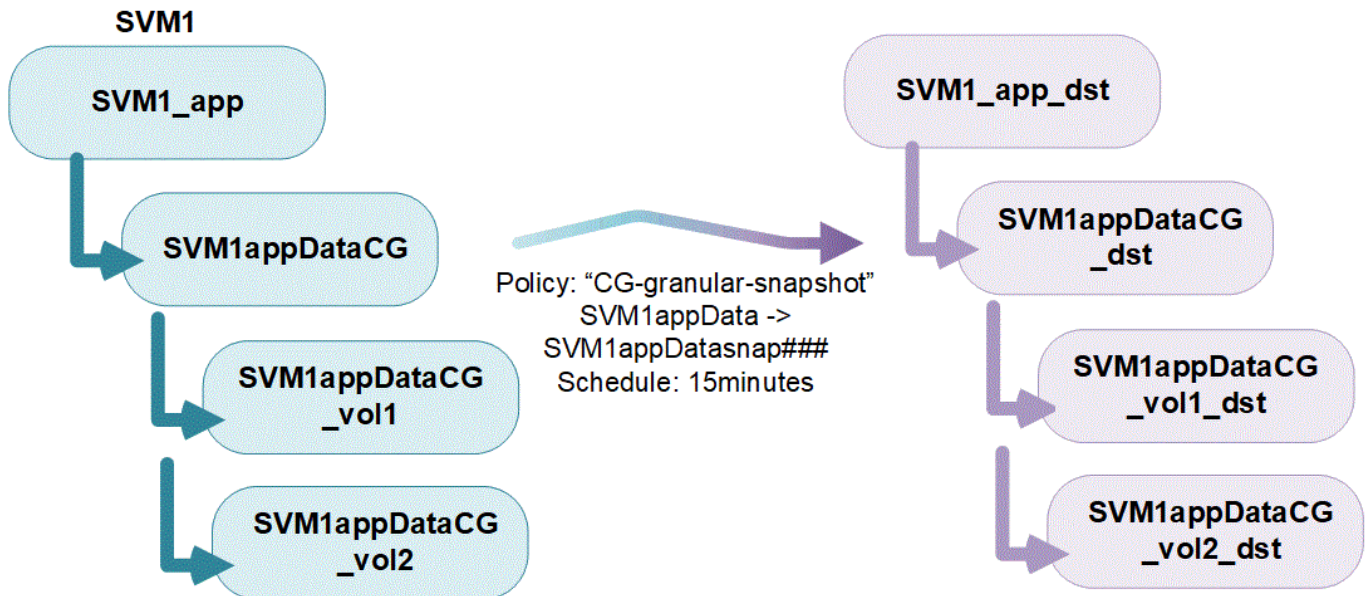
A consistency group is a collection of volumes that provides a write-order consistency guarantee for an application workload spanning multiple volumes.

Consistency groups facilitate application workload management, providing easy management of local and remote protection policies and providing simultaneous crash-consistent or application-consistent Snapshot copies of a collection of volumes at a point in time. Snapshots in consistency groups enable an entire application workload to be restored.

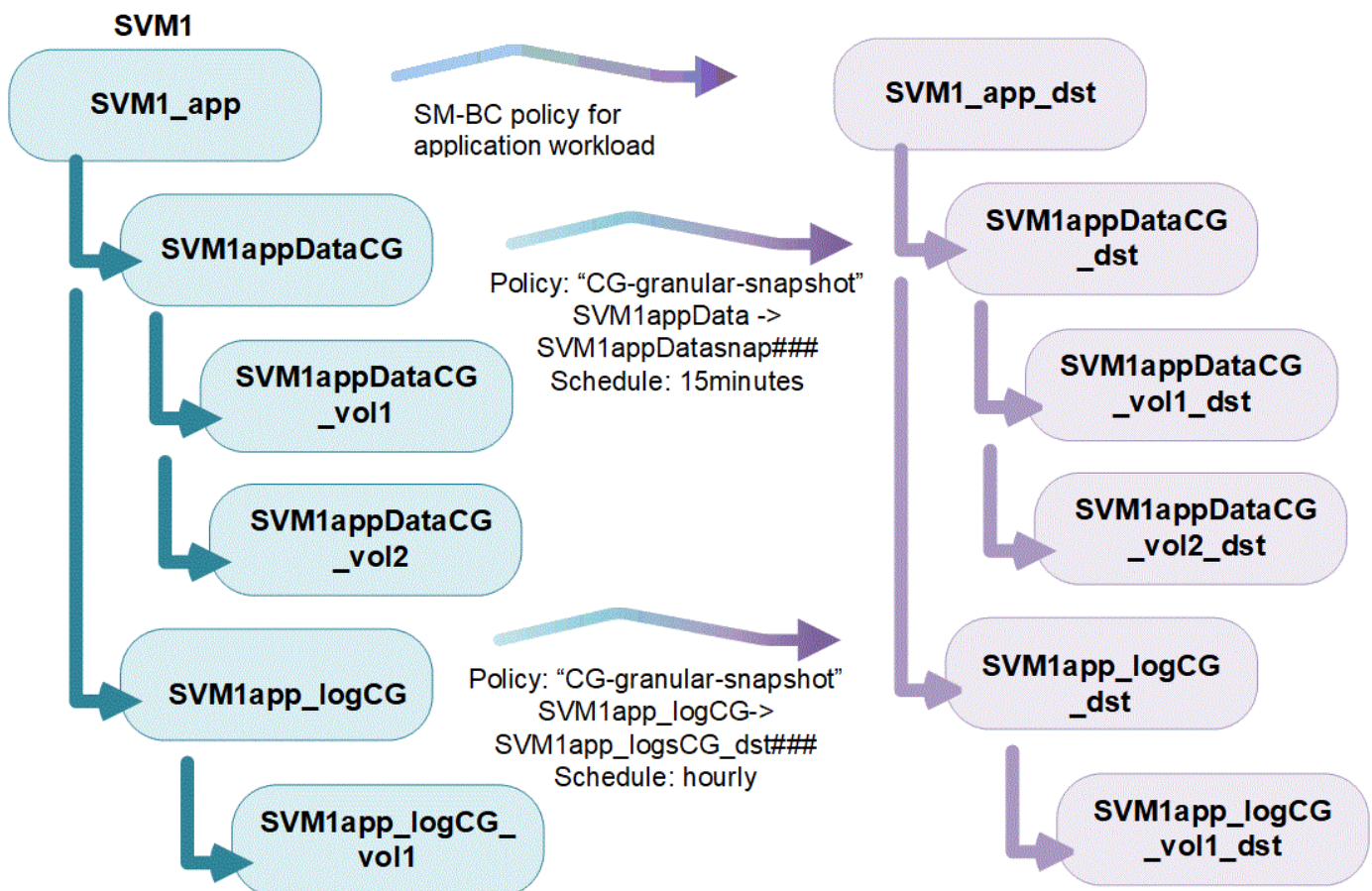
Consistency groups support any FlexVol volume regardless of protocol (NAS, SAN, or NVMe) and can be managed through the ONTAP REST APIs or in System Manager under the **Storage > Consistency Groups** menu item.

Consistency groups can exist on their own or in a hierarchical relationship. An individual consistency group is a collection of volumes. Volumes can have their own volume-granular snapshot policy. In addition, the consistency group the volume is associated with can have its own snapshot policy. The consistency group can only have one SM-BC relationship and shared SM-BC policy, which can be used to recover the entire consistency group.





Larger application workloads might require multiple consistency groups. In these situations, multiple consistency groups can be placed together in a hierarchical relationship. In this configuration, single consistency groups become the child components of a parent consistency group. The parent consistency group can include up to five child consistency groups. Like in individual consistency groups, a remote SM-BC protection policy can be applied to the entire configuration of consistency groups (parent and children) to recover the application workload.



## Protection

Consistency groups offer remote protection through SnapMirror Business Continuity (SM-BC) and local protection through Snapshot policies. In order to utilize remote protection, you must meet the requirements for [SnapMirror Business Continuity deployments](#). By default, consistency groups do not have a protection policy set and will not protect your data unless a policy is selected. See [“Protect a consistency group”](#) for more information.



SM-BC relationships cannot be established on volumes mounted for NAS access.

Beginning in ONTAP 9.11.1, there is additional support for consistency groups with [two-phase consistency group snapshot creation](#).

## Consistency groups in MetroCluster configurations

Beginning with ONTAP 9.11.1, you can provision consistency groups with new volumes on a cluster within a MetroCluster configuration. These volumes are provisioned on mirrored aggregates.

After they are provisioned, you can move volumes associated with consistency groups between mirrored and unmirrored aggregates. Therefore, they can be located on mirrored aggregates, unmirrored aggregates, or both. You can modify mirrored aggregates containing volumes associated with consistency groups to become unmirrored. Similarly, you can modify unmirrored aggregates containing volumes associated with consistency groups to enable mirroring.

Volumes associated with consistency groups placed on mirrored aggregates and their Snapshots, including any consistency group Snapshots, are replicated to the remote site (site B). The contents of the volumes on site B are consistency group semantics-compliant. You can access replicated consistency group Snapshots using consistency group Snapshot REST APIs and System Manager on clusters running ONTAP 9.11.1 or later.

If some or all of the volumes associated with a consistency group are located on unmirrored aggregates that are not currently accessible, GET or DELETE operations on the consistency group behave as if the local volumes or hosting aggregates are offline.

### Consistency group configuration replication

If site B is running ONTAP 9.10.1 or earlier, only the volumes associated with the consistency groups located on mirrored aggregates are replicated to site B. The consistency group configurations are only replicated to site B, if both sites are running ONTAP 9.11.1 or later. After site B is upgraded to ONTAP 9.11.1, data for consistency groups on site A that have all their associated volumes placed on mirrored aggregates are replicated to site B.

## Upgrade considerations

Consistency groups created with SM-BC in ONTAP 9.8 and 9.9.1 will automatically be upgraded and become manageable under **Storage > Consistency Groups** in System Manager or the ONTAP REST API when upgrading to ONTAP 9.10.1. For more information about upgrading, see [SM-BC upgrade and revert considerations](#).

Consistency group snapshots created with the ONTAP REST API can be managed through System Manager's Consistency Group interface and through consistency group API endpoints.

Snapshots created with the ONTAPI commands `cg-start` and `cg-commit` will not be recognized as consistency group Snapshots and thus cannot be managed through System Manager's Consistency Group

interface or the consistency group endpoints in the ONTAP API.

Consistency group object limits

Consistency Groups	Scope	Minimum	Maximum
Number of consistency groups	Cluster	0	Same as maximum volume count in cluster
Number of parent consistency groups	Cluster	0	Same as maximum volume count in cluster
Number of individual and parent consistency groups	Cluster	0	Same as maximum volume count in cluster
Consistency group	Same as maximum volume count in cluster	1	80
Number of volumes in the child of a parent consistency group	Parent consistency group	1	80
Number of volumes in a child consistency group	Child consistency group	1	80
Number of child consistency groups in a parent consistency group	Parent consistency group	1	5

If you are using SM-BC, refer to [SM-BC restrictions and limitations for limits](#).

Learn more about consistency groups

# Consistency Groups for Application Management & Protection

With NetApp ONTAP 9.10.1 + System Manager





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## Configure a single consistency group

Consistency groups can be created with existing volumes or with new volumes. Once a volume is added to a consistency group, it cannot be added to another consistency group. A volume can be removed from a consistency group by deleting the volume or deleting the consistency group.

### Create a consistency group with new volumes

#### Steps

1. Select **Storage > Consistency groups**.
2. Select **+Add**, then **Using New LUNs**.
3. Name the consistency group. Designate the number of LUNs and capacity per LUN.
4. Select the host operating system and LUN format. Enter the host initiator information.
5. To configure protection policies, add a child consistency group, or show more options about host initiators, select **More options**.
6. Select **Save**.
7. Confirm your consistency group has been created by returning to the main consistency group menu where it will appear once the ONTAP job completes. If you set a protection policy, look under the appropriate policy, remote or local, which should display a green shield with a checkmark.

### Create a consistency group with existing volumes

#### Steps

1. Select **Storage > Consistency groups**.
2. Select **+Add**, then **Using existing volumes**.
3. Name the consistency group and select the storage VM.
4. Select the existing volumes to include. Only volumes that are not already part of a consistency group will be available for selection.

If creating a consistency group with new volumes, the consistency group supports FlexVol volumes. Volumes with Asynchronous or Synchronous SnapMirror relationships can be added to consistency groups, but they are not consistency group-aware. Consistency groups do not support S3 buckets, MCC, or SVMs with SVMDR relationships.

5. Select **Save**.
6. Confirm your consistency group has been created by returning to the main consistency group menu where it will appear once the ONTAP job completes. If you have chosen a protection policy, confirm it was properly set by selecting your consistency group from the menu. If you set a protection policy, look under the appropriate policy, remote or local, which should display a green shield with a checkmark.

## Configure a hierarchical consistency group

If your application workload consists of more than one subset of volumes, where each subset is consistent across its own associated volumes, ONTAP allows you to create a hierarchical consistency group. Hierarchical consistency groups have a parent that can include up to five individual consistency groups. Hierarchical consistency groups can

support different local Snapshot policies across consistency groups or individual volumes. If you use a remote SM-BC policy, that will apply for the entire consistency group.

For object limits on consistency groups, see [Object limits for consistency groups](#).

## Create a hierarchical consistency group with new volumes

### Steps

1. Select **Storage > Consistency groups**.
2. Select **+Add**, then **Using New LUNs**.
3. Name the consistency group. Designate the number of LUNs and capacity per LUN.
4. Select the host operating system and LUN format. Enter the host initiator information.
5. To configure protection policies, add a child consistency group, or show more options about host initiators, select **More options**.
6. To add a child consistency group, select **+Add child consistency group**.
7. Select the performance level, the number of LUNs, and capacity per LUN. Designate the host operating system, LUN format, and select a new or existing host initiator group.
8. **Optional**: select a local snapshot policy.
9. Repeat for up to five child consistency groups.
10. Select **Save**.
11. Confirm your consistency group has been created by returning to the main consistency group menu where it will appear once the ONTAP job completes. If you set a protection policy, look under the appropriate policy, remote or local, which should display a green shield with a checkmark in it.

## Create a hierarchical consistency group with existing volumes

### Steps

1. Select **Storage > Consistency groups**.
2. Select **+Add**, then **Using existing volumes**.
3. Select the storage VM.
4. Select the existing volumes to include. Only volumes that are not already part of a consistency group will be available for selection.
5. To add a child consistency group, select **+Add Child Consistency Group**. Create the necessary consistency groups, which will be named automatically.
6. Assign existing volumes to each consistency group.
7. **Optional**: select a local Snapshot policy.
8. Repeat for up to five child consistency groups.
9. Select **Save**.
10. Confirm your consistency group has been created by returning to the main consistency group menu where it will appear once the ONTAP job completes. If you have chosen a protection policy, confirm it was properly set by selecting your consistency group from the menu. If you set a protection policy, look under the appropriate policy, remote or local, which should display a green shield with a checkmark in it.



## Protect a consistency group

Consistency groups offer easily managed local and remote protection for SAN, NAS, and NVMe applications that span multiple volumes.

Creating a consistency group does not automatically enable protection. Local and/or remote protection policies can be set at the time of creation or after creating your consistency group. Protection policies can include local Snapshot copies or remote SnapMirror protection with SnapMirror Business Continuity (SM-BC). If you are utilizing nested consistency groups, you can set different protection policies for individual volumes. Beginning in ONTAP 9.11.1, consistency groups offer [two-phase consistency group Snapshot creation](#).


If you are utilizing remote SM-BC protection, to ensure Snapshot copies of consistency groups created on your consistency group are copied to the destination, the policy labels in the source and destination cluster must match. SM-BC will not replicate Snapshot copies by default unless a rule with a SnapMirror label is added to the predefined AutomatedFailOver policy and the Snapshot copies are created with that label. To learn more about this process, refer to [Configure protection for business continuity](#).

Recovery can occur for an entire consistency group, a single consistency group in a hierarchical configuration, or for individual volumes within the consistency group. Recovery can be achieved by selecting the consistency group you want to recover from, selecting the Snapshot copy type, and then identifying the particular Snapshot copy to base the restoration on. For more information about this process, see [Restore a volume from an earlier Snapshot copy](#).

Beginning with ONTAP 9.10.1, System Manager visualizes LUN maps under the **Protection > Relationships** menu. When you select a source relationship, System Manager displays a visualization of the source relationships. By selecting a volume, you can delve deeper into these relationships to see a list of the contained LUNs and the initiator group relationships. This information can be downloaded as an Excel workbook from the individual volume view. The task will run in the background.

### Set a local Snapshot protection policy

#### Steps

1. Select the consistency group you have created from the Consistency group menu.
2. At the top right of the overview page for the consistency group, select **Edit**.
3. Check the box next to **Schedule Snapshot copies (local)**.
4. Select a Snapshot policy. To configure a new, custom policy, refer to [Create a custom data protection policy](#).
5. Select **Save**.
6. Return to the consistency group overview menu. In the left column under **Snapshot Copies (Local)**, the status should say protected next to .

### Set a remote SM-BC policy

#### Steps

1. Ensure you have met the prerequisites for using SM-BC. See [SM-BC prerequisites](#)



SM-BC relationships cannot be established on volumes mounted for NAS access.

1. Select the consistency group you have created from the Consistency group menu.
2. At the top right of the overview page, select **More** then **Protect**.

3. The source-side information should be autofilled on the left-hand side of the page.
4. Select the appropriate cluster and storage VM for the destination. Select a protection policy. Ensure that **Initialize relationship** is checked.
5. Click **Save**.
6. The consistency group will have to initialize and synchronize. When this is complete, under **SnapMirror (Remote)** the status should say "Protected" next to .

## Two-phase CG Snapshot creation

Beginning in ONTAP 9.11.1, consistency groups support two-phase commits for consistency group (CG) Snapshot creation. This feature is only available with the ONTAP REST API. Two-phase CG Snapshot creation is only available for Snapshot creation, not provisioning consistency groups or restoring consistency groups.

A two-phase CG Snapshot creation breaks the Snapshot creation process invoked with a POST request to the `/application/consistency-groups/{consistency_group_uuid}/snapshots` endpoint into a sequence of two phases. In the first phase initiated with a POST request, the API executes prechecks, triggers Snapshot creation, and starts a timer for designated interval. If the POST request in phase one completes with a 201 status code, you can invoke the second phase within the designated interval from the first phase, committing the Snapshot to the appropriate endpoint.

To use two-phase CG Snapshot creation, all nodes in the cluster must be running ONTAP 9.11.1. The two-phase CG Snapshot creation can be invoked with the `action=start` parameter. You can additionally use the `action_timeout` parameter that specifies the maximum number of seconds that the Snapshot creation process can take. The `action_timeout` parameter can be set equal to an integer between 5 and 120. The default value of `action_timeout` is 7.

Only one active invocation of a consistency group Snapshot creation operation is supported on a consistency group instance at a time, whether it be a one-phase or two-phase. Attempting to invoke a Snapshot creation while another one is in progress will result in a failure.

For more information about the ONTAP REST API, refer to the [API reference](#) or visit the [ONTAP REST API page](#) at the NetApp Developer Network for a complete list of API endpoints.

## Create a two-phase commit

1. Invoke the Snapshot creation with a POST request to the consistency group endpoint using the `action=start` parameter.

```
curl -k -X POST 'https://<IP_address>/application/consistency-groups/<cg-uuid>/snapshots?action=start&action_timeout=7' -H "accept: application/hal+json" -H "content-type: application/json" -d '{
  "name": "name_of_this_snapshot",
  "consistency_type": "crash",
  "comment": "<comment>",
  "snapmirror_label": "<snap_mirror_label>"
}'
```

2. If the POST request succeeds, your output will include a snapshot uuid. Using that information, submit a PATCH request to commit the Snapshot.

```
curl -k -X PATCH 'https://<IP_address>/application/consistency-  
groups/<cg_uuid>/snapshots/<snapshot_id>?action=commit' -H "accept:  
application/hal+json" -H "content-type: application/json"
```

## Next Steps

[Configure Snapshot copies](#)

[Create custom data protection policies](#)

[Recover from Snapshot copies](#)

[Restore a volume from an earlier Snapshot copy](#)


## Delete a consistency group

If you decide that you no longer need a consistency group, it can be deleted.

Deleting a consistency group deletes the instance of the consistency group and does **not** impact the constituent volumes or LUNs. Deleting a consistency group does not result in deletion of the Snapshots present on each volume, but they will no longer be accessible as consistency group Snapshots. They can, however, continue to be managed as ordinary volume granular snapshots.

Consistency groups will also be deleted if all of the volumes in a consistency group are deleted. Volumes can only be removed from a consistency group if the volume itself is deleted, in which case the volume is automatically removed from the consistency group.

### Steps

1. In the consistency group menu under **Storage > Consistency groups**, select the consistency group you would like to delete.
2. Next to the name of the consistency group, select  and then **Delete**.

## SnapMirror Business Continuity

### Overview

Beginning with ONTAP 9.8, you can use SnapMirror Business Continuity (SM-BC) to protect applications with LUNs, enabling applications to fail over transparently, ensuring business continuity in case of a disaster. SM-BC is supported on AFF clusters or All SAN Array (ASA) clusters, where the primary and secondary clusters can be either AFF or ASA. SM-BC protects applications with iSCSI or FCP LUNs.

### Benefits

SnapMirror Business Continuity provides the following benefits:

- Provides continuous availability for business-critical applications
- Ability to host critical applications alternately from primary and secondary site
- Simplified application management using consistency groups for dependent write-order consistency
- The ability to test failover for each application
- Instantaneous creation of mirror clones without impacting application availability



- Beginning in ONTAP 9.11.1, SM-BC supports [single-file SnapRestore](#).

## Typical use cases

### Application deployment for zero RTO or Transparent Application Failover

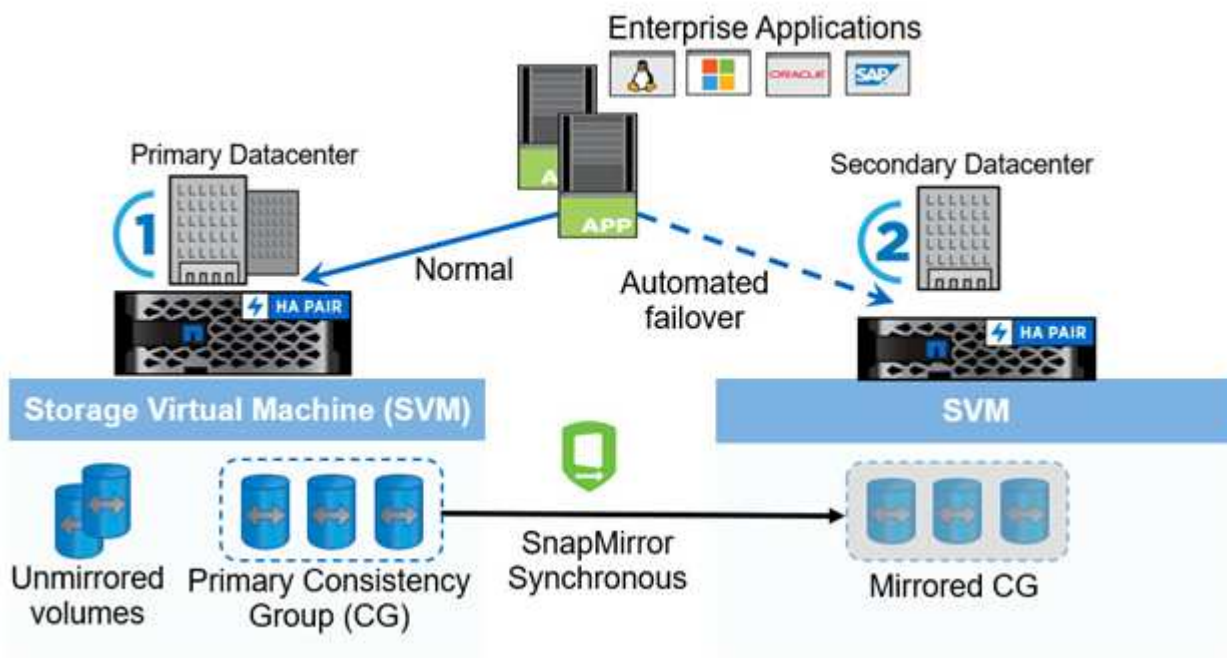
Transparent Application Failover is based on host multipath I/O (MPIO) software-based path failover to achieve non-disruptive access to the storage. Both LUN copies, for example, primary(L1P) and mirror copy(L1S), have the same identity (serial number) and are reported as read-writable to the host. However, reads and writes are serviced only by the primary volume. I/Os issued to the mirror copy are proxied to the primary copy. The host's preferred path to L1 is VS1:N1 based on Asymmetric Logical Unit Access (ALUA) access state Active Optimized (A/O). Mediator is recommended as part of the deployment, primarily to perform failover in case of a storage outage on the primary.

### Disaster scenario

The site hosting the primary cluster experiences a disaster. Host multipathing software marks all paths through the cluster as down and uses paths from the secondary cluster. The result is a non-disruptive failover to the mirror copy for LUN L1. L1S is converted from a mirror copy to an active copy of LUN L1. The failover happens automatically when an external Mediator is configured. The host's preferred path to L1 becomes VS2:N1.

### Architecture

The following figure illustrates the operation of the SnapMirror Business Continuity feature at a high level.



### Additional information

For more information about data protection using SnapMirror Synchronous, see the [SnapMirror Synchronous disaster recovery documentation](#).

### Key concepts

As you begin to explore the ONTAP SnapMirror Business Continuity and plan a

deployment, it is helpful to become familiar with the key terminology and concepts.

## **SM-BC**

Acronym for the SnapMirror Business Continuity (SM-BC) solution available with ONTAP 9.8 and later.

### **Consistency group**

Beginning with ONTAP 9.10.1, consistency groups have become a first-order management unit. To learn more about consistency groups, refer to [Consistency groups overview](#).

A consistency group (CG) is a collection of FlexVol volumes that provide a write order consistency guarantee for the application workload which needs to be protected for business continuity. The purpose of a consistency group is to take simultaneous crash-consistent Snapshot copies of a collection of volumes at a point in time. In regular deployment, the group of volumes picked to be part of a CG are mapped to an application instance. SnapMirror relationships, also known as a CG relationship, is established between a source CG and a destination CG. The source and destination CGs must contain the same number and type of volumes.

### **Constituent**

The individual FlexVol volumes that are part of a consistency group.

### **Mediator**

ONTAP Mediator provides an alternate health path to the peer cluster, with the intercluster LIFs providing the other health path. With the Mediator's health information, clusters can differentiate between intercluster LIF failure and site failure. When the site goes down, Mediator passes on the health information to the peer cluster on demand, facilitating the peer cluster to fail over. With the Mediator-provided information and the intercluster LIF health check information, ONTAP determines whether to perform an auto failover, if it is failover incapable, continue or stop.

Mediator is one of three parties in the SM-BC quorum, working with the primary cluster and the secondary cluster to reach a consensus. A consensus requires at least two parties in the quorum to agree to an operation.

### **Out of Sync (OOS)**

The application I/O is not replicating to the secondary storage system. The destination volume is not in sync with the source volume because SnapMirror replication is not occurring. If the mirror state is Snapmirrored, this indicates a transfer failure or failure due to an unsupported operation.

### **Zero RPO**

Zero recovery point objective. This is the acceptable amount of data loss from downtime.

### **Zero RTO**

Zero recovery time objective or Transparent Application Failover is achieved by using host multipath I/O (MPIO) software-based path failover to provide non-disruptive access to the storage.

### **Planned failover**

A manual operation to change the roles of copies in a SM-BC relationship. The primary becomes the secondary and the secondary becomes the primary. ALUA reporting also changes.

### **Automatic unplanned failover (AUFO)**

An automatic operation to perform a failover to the mirror copy. The operation requires assistance from Mediator to detect that the primary copy is unavailable.

## Planning

### Prerequisites

There are several prerequisites that you should consider as part of planning a SnapMirror Business Continuity solution deployment.

### Hardware

- Only two-node HA clusters are supported
- Both clusters must be either AFF or ASA (no mixing)

### Software

- ONTAP 9.8 or later
- ONTAP Mediator 1.2 or later
- A Linux server or virtual machine for the ONTAP Mediator running one of the following:

Mediator version	Supported Linux versions
1.4	<ul style="list-style-type: none"><li>• Red Hat Enterprise Linux: 7.6, 7.7, 7.8, 7.9, 8.1, 8.2, 8.3, 8.4, 8.5</li><li>• CentOS: 7.6, 7.7, 7.8, 7.9</li></ul>
1.3	<ul style="list-style-type: none"><li>• Red Hat Enterprise Linux: 7.6, 7.7, 7.8, 7.9, 8.1, 8.2, 8.3</li><li>• CentOS: 7.6, 7.7, 7.8, 7.9</li></ul>
1.2	<ul style="list-style-type: none"><li>• Red Hat Enterprise Linux: 7.6, 7.7, 7.8, 8.1</li><li>• CentOS: 7.6, 7.7, 7.8</li></ul>

### Licensing

- SnapMirror synchronous (SM-S) license must be applied on both clusters
- SnapMirror license must be applied on both clusters



If your ONTAP storage systems were purchased before June 2019, click [NetApp ONTAP Master License Keys](#) to get the required SM-S license.

### Networking environment

- Inter-cluster latency round trip time (RTT) must be less than 10 milliseconds
- SCSI-3 persistent reservations are **not** supported with SM-BC

### Supported protocols

- Only SAN protocols are supported (not NFS/SMB)
- Only Fibre Channel and iSCSI protocols are supported

- The default IPspace is required by SM-BC for cluster peer relationships. Custom IPspace is not supported.

## ONTAP Mediator

- Must be provisioned externally and attached to ONTAP for transparent application failover.
- For more information about the ONTAP Mediator, see [Prepare to install the ONTAP Mediator service](#).

## Read-write destination volumes

- SM-BC relationships are not supported on read-write destination volumes. Before you can use a read-write volume, you must convert it to a DP volume by creating a volume-level SnapMirror relationship and then deleting the relationship. For details, see [Converting existing relationships to SM-BC relationships](#)

## Large LUNs and large volumes

- Large LUNs and large volumes greater than 100TB are supported only on All SAN Arrays



You must ensure that both the primary and secondary cluster are All SAN Arrays, and that they both have ONTAP 9.8 installed. If the secondary cluster is running a version earlier than ONTAP 9.8 or if it is not an All SAN Array, the synchronous relationship can go out of sync if the primary volume grows larger than 100 TB.

## Additional restrictions and limitations

There are several additional restrictions and limitations when using the SnapMirror Business Continuity solution.

## Consistency groups in a cluster

Consistency group limits for a cluster with SM-BC are calculated based on relationships and depend on the version of ONTAP used. Limits are platform-independent.

ONTAP version	Maximum number of relationships
ONTAP 9.8-9.9.1	5
ONTAP 9.10.1	20
ONTAP 9.11.1	50

## Volumes per consistency group

From ONTAP 9.8 to 9.9.1, the maximum number of volumes supported per SM-BC consistency group relationship is twelve, a limit which is platform-independent. Beginning with ONTAP 9.10.1, the maximum number of volumes supported per SM-BC relationship is sixteen.

## Volumes

Limits in SM-BC are calculated based on the number of endpoints, not the number of relationships. A consistency group with 12 volumes contributes 12 endpoints on both the source and destination. Both SM-BC and SnapMirror Synchronous relationships contribute to the total number of endpoints.

The maximum endpoints per platform are included in the following table.

S. No	Platform	Endpoints per HA for SM-BC			Overall sync and SM-BC endpoints per HA		
		ONTAP 9.8-9.9.1	ONTAP 9.10.1	ONTAP 9.11.1	ONTAP 9.8-9.9.1	ONTAP 9.10.1	ONTAP 9.11.1
1	AFF	60	200	400	80	200	400
2	ASA	60	200	400	80	200	400

## SAN object limits

The following SAN object limits are included in the following table and apply regardless of the platform.

Limits of objects in an SM-BC relationship	Count
LUNs per volume	256
LUN maps per node	2048
LUN maps per cluster	4096
LIFs per VServer (with at least one volume in an SM-BC relationship)	256
Inter-cluster LIFs per node	4
Inter-cluster LIFs per cluster	8

## NTFS Security Style

NTFS security style is not supported on SM-BC volumes.

## Fan-out configurations

SM-BC supports [fan-out configurations](#) with the `MirrorAllSnapshots` policy and, beginning in ONTAP 9.11.1, the `MirrorAndVault` policy. Fan-out configurations are not supported with `XDPDefault` policy.

If you experience a failover on the SM-BC destination in a fan-out configuration, you will have to manually [resume protection in the fan-out configuration](#).

## AIX

Beginning with ONTAP 9.11.1, AIX is supported with SM-BC. With an AIX configuration, the primary cluster is the "active" cluster.

In an AIX configuration, failovers are disruptive. With each failover, you will need to perform a re-scan on the host for I/O operations to resume.

To configure for AIX host with SM-BC, refer to the Knowledge Base article [How to configure an AIX host for SnapMirror Business Continuity \(SM-BC\)](#).

## Solaris Host setting recommendation for SM-BC configuration

Beginning with ONTAP 9.10.1, SM-BC supports Solaris 11.4. To ensure the Solaris client applications are nondisruptive when an unplanned site failover switchover occurs in an SM-BC environment, the following setting must be configured on Solaris 11.4 Host. This setting overrides failover module – `f_tpgs` to prevent

the code path that detects the contradiction from being executed.

Follow these steps to configure the override parameter:

1. Create configuration file `/etc/driver/drv/scsi_vhci.conf` with an entry similar to the following for the NetApp storage type connected to the host:

```
scsi-vhci-failover-override =  
"NETAPP LUN", "f_tpgs"
```

2. Use `devprop` and `mdb` commands to verify the override has been successfully applied:

```
root@host-A:~# devprop -v -n /scsi_vhci scsi-vhci-failover-override  
scsi-vhci-failover-override=NETAPP LUN + f_tpgs  
root@host-A:~# echo "*scsi_vhci_dip::print -x struct dev_info devi_child  
| ::list struct dev_info devi_sibling| ::print struct dev_info  
devi_mdi_client| ::print mdi_client_t ct_vprivate| ::print struct  
scsi_vhci_lun svl_lun_wnn svl_fops_name"| mdb -k`
```

```
svl_lun_wnn = 0xa002a1c8960 "600a098038313477543f524539787938"  
svl_fops_name = 0xa00298d69e0 "conf f_tpgs"
```



conf will be added to the `svl_fops_name` when a `scsi-vhci-failover-override` has been applied.

For additional information and recommended changes to default settings, refer to NetApp KB article [Solaris Host support recommended settings in SnapMirror Business Continuity \(SM-BC\) configuration](#).

## HP-UX Known issues and limitations for SM-BC configuration

Beginning in ONTAP 9.10.1, SM-BC for HP-UX is supported. If an automatic unplanned failover (AUFO) event occurs on the isolated master cluster in the SM-BC configuration, it might take more than 120 seconds for I/O to resume on the HP-UX host. Depending on the applications that are running, this might not lead to any I/O disruption or error messages. If an AUFO event on the isolated master cluster occurs, you must restart applications on the HP-UX host that have a disruption tolerance of less than 120 seconds.

An AUFO event on the isolated master cluster might cause dual event failure when the connection between the primary and the secondary cluster is lost and the connection between the primary cluster and the mediator is also lost. This is considered a rare event, unlike other AUFO events.

### ONTAP access options

You have several access options available when configuring the ONTAP nodes participating in an SM- BC deployment. You should select the option that best matches your specific environment and deployment goals.



In all cases, you must sign in using the administrator account with a valid password.

## Command line interface

The text-based command line interface is available through the ONTAP management shell. You can access the CLI using secure shell (SSH).

## System Manager

You can connect to the System Manager using a modern web browser. The web GUI provides an intuitive and easy-to-use interface when accessing the SnapMirror Business Continuity functionality. For more information about using System Manager, see [System Manager documentation](#).

## REST API

The ONTAP REST API exposed to external clients provides another option when connecting to the ONTAP. You can access the API using any mainstream programming language or tool that supports REST web services. Popular choices include:

- Python (including the ONTAP Python client library)
- Java
- Curl

Using a programming or scripting language provides an opportunity to automate the deployment and management of a SnapMirror Business Continuity deployment. For more information, see the ONTAP online documentation page at your ONTAP storage system.

## Prepare to use the ONTAP CLI

You should be familiar with the following commands when deploying the SnapMirror Business Continuity solution using the ONTAP command line interface.



SM-BC does not support the `snapmirror quiesce` and `snapmirror resume` commands for relationships with active sync policy.

For more information about the following ONTAP commands, see [NetApp Documentation: ONTAP 9](#).

Command	Description
<code>lun igroup create</code>	Create an igroup on a cluster
<code>lun map</code>	Map a LUN to an igroup
<code>lun show</code>	Display a list of LUNs
<code>snapmirror create</code>	Create a new SnapMirror relationship
<code>snapmirror initialize</code>	Initialize an SM-BC consistency group
<code>snapmirror update</code>	Initiates a common snapshot creation operation
<code>snapmirror show</code>	Display a list of SnapMirror relationships
<code>snapmirror failover</code>	Start a planned failover operation
<code>snapmirror resync</code>	Start a resynchronization operation

Command	Description
snapmirror delete	Delete a SnapMirror relationship
snapmirror release	Remove source information for a SnapMirror relationship
volume snapshot restore-file	Available with SM-BC beginning in ONTAP 9.11.1, <a href="#">restore a single file or LUN</a>

### Prepare to use the ONTAP Mediator

The ONTAP Mediator establishes a quorum for the ONTAP clusters in an SM-BC relationship. It coordinates automated failover when a failure is detected and helps to avoid split-brain scenarios when each cluster simultaneously tries to establish control as the primary cluster.

### Prerequisites for the ONTAP Mediator

The ONTAP Mediator includes its own set of prerequisites. You must meet these prerequisites before installing the mediator. For more information, see [Prepare to install the ONTAP Mediator service](#).

### Network configuration

By default, the ONTAP Mediator provides service through TCP port 31784. You should make sure that port 31784 is open and available between the ONTAP clusters and the mediator.

### Summary of deployment best practices

There are several best practices that you should consider as part of planning an SnapMirror Business Continuity deployment.

### SAN

The SnapMirror Business Continuity solution supports only SAN workloads. You should follow the SAN best practices in all cases.

In addition:

- Replicated LUNs in the secondary cluster must be mapped to the host and the I/O paths to the LUNs from both the primary and secondary cluster must be discovered at the time of host configuration.
- After an out of sync (OOS) event exceeds 80 seconds, or after an automatic unplanned failover, it is important to rescan the host LUN I/O path to ensure that there is no I/O path loss. For more information, see the respective host OS vendor's documentation on rescan of LUN I/O paths.

### Mediator

To be fully functional and to enable automatic unplanned failover, the external ONTAP mediator should be provisioned and configured with ONTAP clusters.

When installing the mediator, you should replace the self-signed certificate with a valid certificate signed by a mainstream reliable CA.



## SnapMirror

You should terminate an SnapMirror relationship in the following order:

1. Perform `snapmirror delete` at the destination cluster
2. Perform `snapmirror release` at the source cluster

## Manage SnapMirror for Business Continuity using System Manager

### Configure Mediator

Use System Manager to configure the Mediator server to be used for automated failover. You can also replace the self-signed SSL and CA with the third party validated SSL Certificate and CA if you have not already done so.

#### Steps

1. Navigate to **Protection > Overview > Mediator > Configure**.
2. Click **Add**, and enter the following Mediator server information:
  - IPv4 address
  - Username
  - Password
  - Certificate

### Configure protection for business continuity

Configuring protection for business continuity involves selecting LUNs on the ONTAP source cluster and adding them to a consistency group. Open System Manager from a browser on the source cluster to begin configuring protection for business continuity.

This workflow is designed for ONTAP 9.8 and 9.9. Beginning with ONTAP 9.10.1, it is recommended that you begin by creating a consistency group and then use SM-BC as a remote protection.

#### About this task

- LUNs must reside on the same storage VM.
- LUNs can reside on different volumes.
- The source and destination cluster cannot be the same.
- The default IPspace is required by SM-BC for cluster peer relationships. Custom IPspace is not supported.

#### Steps


1. Choose the LUNs you want to protect and add them to a protection group: **Protection > Overview > Protect for Business Continuity > Protect LUNs**.
2. Select one or more LUNs to protect on the source cluster.
3. Select the destination cluster and SVM.
4. **Initialize relationship** is selected by default. Click **Save** to begin protection.
5. Go to **Dashboard > Performance** to verify IOPS activity for the LUNs.

6. On the destination cluster, use System Manager to verify that the protection for business continuity relationship is in sync: **Protection > Relationships**.

#### Reestablish the original protection relationship after an unplanned failover

ONTAP uses the ONTAP Mediator to detect when a failure occurs on the primary storage system and executes automatic unplanned failover to the secondary storage system. You can use System Manager to reverse the relationship and reestablish the original protection relationship when original source cluster is back online.

#### Steps

1. Navigate to **Protection > Relationships** and wait for the relationship state to show “InSync.”
2. To resume operations on the original source cluster, click  and select **Failover**.

#### Installation and setup using the ONTAP CLI

##### High level deployment workflow

You can use the following workflow to install and implement the SnapMirror Business Continuity solution.



#### Install ONTAP Mediator Service and confirm the ONTAP cluster configuration

You should make sure that your source and destination clusters are configured properly.

##### About this task

Proceed through each of the following steps. For each step, you should confirm that the specific configuration has been performed. Use the link included after each step to get more information as needed.

##### Steps

1. Install the ONTAP Mediator service before you ensure that your source and destination clusters are configured properly.

[ONTAP Mediator service](#)

2. Confirm that a cluster peering relationship exists between the clusters.



The default IPspace is required by SM-BC for cluster peer relationships. Custom IPspace is not supported.

#### [Configure peer relationships](#)

3. Confirm that the Storage VMs are created on each cluster.

#### [Creating an SVM](#)

4. Confirm that a peer relationship exists between the Storage VMs on each cluster.

#### [Creating an SVM peering relationship](#)

5. Confirm that the volumes exist for your LUNs.

#### [Creating a volume](#)

6. Confirm that at least one SAN LIF is created on each node in the cluster.

#### [Considerations for LIFs in a cluster SAN environment](#)

#### [Creating a LIF](#)

7. Confirm that the necessary LUNs are created and mapped to igroup, which is used to map LUNs to the initiator on the application host.

#### [Create LUNs and map igroups](#)

8. Rescan the application host to discover any new LUNs.

### **Initialize the ONTAP Mediator**

You must initialize Mediator on one of your cluster peers before SM-BC can perform planned and automatic unplanned failover operations.

#### **About this task**

You can initialize Mediator from either cluster. When you issue the `mediator add` command on one cluster, Mediator is automatically added on the other cluster.

#### **Steps**

1. Initialize Mediator on one of the clusters:

```
snapmirror mediator add -mediator-address IP_Address -peer-cluster  
cluster_name -username user_name
```

#### **Example**

```
cluster1::> snapmirror mediator add -mediator-address 192.168.10.1 -peer
-cluster cluster2 -username mediatoradmin
Notice: Enter the mediator password.

Enter the password: *****
Enter the password again: *****
```

## 2. Check the status of the Mediator configuration:

```
snapmirror mediator show
```

Mediator Address	Peer Cluster	Connection Status	Quorum Status
192.168.10.1	cluster-2	connected	true

-quorum-status indicates whether the SnapMirror consistency group relationships are synchronized with Mediator.

### Create a consistency group relationship

You must create a SM-BC consistency group which also establishes the synchronous consistency group relationship.



This workflow applies to users in ONTAP 9.8 and 9.9.1. If using these ONTAP CLI commands beginning with ONTAP 9.10.1, they will still work to create a consistency group, however, it is recommended that you manage consistency groups with System Manager or the ONTAP REST API.

### Before you begin

The following prerequisites and restrictions apply:

- You must be a cluster or storage VM administrator
- You must have a SnapMirror Synchronous license
- The destination volumes must be type DP
- The primary and the secondary storage VM must be in a peered relationship
- All constituent volumes in a consistency group must be in a single Storage VM
- You cannot establish SM-BC consistency group relationships across ASA clusters and non-ASA clusters
- The name of the consistency group must be unique

### About this task

You must create the consistency group relationship from the destination cluster. You can map up to 12 constituents using the `cg-item-mappings` parameter on the `snapmirror create` command.

### Steps

1. Create a consistency group and constituent relationship. This example creates two consistency groups: cg\_src with constituent volumes vol1 and vol2, and cg\_dist with constituent volumes vol1\_dr and vol2\_dr.

```
destination::> snapmirror create -source-path vs1_src:/cg/cg_src -destination  
-path vs1_dst:/cg/cg_dst -cg-item-mappings  
vol_src1:@vol_dst1,vol_src2:@vol_dst2 -policy AutomatedFailOver
```

### Initialize a consistency group

After creating a consistency group, you must initialize it.



This workflow applies to users in ONTAP 9.8 and 9.9.1. If using these ONTAP CLI commands beginning with ONTAP 9.10.1, they will still work to initialize a consistency group, however, is recommended that you manage consistency groups with System Manager or the ONTAP REST API.

### Before you begin

You must be a cluster or storage VM administrator.

### About this task

You initialize the consistency group from the destination cluster.

### Steps

1. Sign in to the ONTAP CLI at the destination cluster and initialize the consistency group:

```
destination::> snapmirror initialize -destination-path vs1_dst:/cg/cg_dst
```

2. Confirm that the initialization operation completed successfully. The status should be InSync.

```
snapmirror show
```

### Mapping LUNs to the application hosts

You must create an igroup on each cluster so you can map LUNs to the initiator on the application host.

### About this task

You should perform this configuration on both the source and destination clusters.

### Steps

1. Create an igroup on each cluster:

```
lun igroup create -igroup name -protocol fcp|iscsi -ostype os -initiator  
initiator_name
```

### Example

```
lun igroup create -igroup ig1 -protocol iscsi -ostype linux -initiator  
-initiator iqn.2001-04.com.example:abc123
```

## 2. Map LUNs to the igroup:

```
lun map -path path_name -igroup igroup_name
```

### Example:

```
lun map -path /vol/src1/11 -group ig1
```

## 3. Verify the LUNs are mapped:

```
lun show
```

## 4. On the application host, discover the new LUNs.

## Administration

### Create a common Snapshot copy

In addition to the regularly scheduled Snapshot copy operations, you can manually create a common Snapshot copy between the volumes in the primary SnapMirror consistency group and the volumes in the secondary SnapMirror consistency group.

In ONTAP 9.8, the scheduled snapshot creation interval is one hour. Beginning with ONTAP 9.9.1, that interval is 12 hours.

### Before you begin

The SnapMirror group relationship must be in sync.

### Steps

#### 1. Create a common Snapshot copy:

```
destination::>snapmirror update -destination-path vs1_dst:/cg/cg_dst
```

#### 2. Monitor the progress of the update:

```
destination::>snapmirror show -fields -newest-snapshot
```

### Perform a planned failover

You can perform a planned failover to test your disaster recovery configuration or to perform maintenance on the primary cluster.

### Before you begin

- The relationship must be in sync
- Nondisruptive operations must not be running
- The ONTAP Mediator must be configured, connected, and in quorum

### About this task

A planned failover is initiated by the administrator of the secondary cluster. The operation requires switching

the primary and secondary roles so that the secondary cluster takes over from the primary. The new primary cluster can then begin processing input and output requests locally without disrupting client operations.

**Steps**

- 1. Start the failover operation:

```
destination::>snapmirror failover start -destination-path vs1_dst:/cg/cg_dst
```

- 2. Monitor the progress of the failover:

```
destination::>snapmirror failover show
```

- 3. When the failover operation is complete, you can monitor the Synchronous SnapMirror protection relationship status from the destination:

```
destination::>snapmirror show
```

**Automatic unplanned failover operations**

An automatic unplanned failover (AUFO) operation occurs when the primary cluster is down or isolated. When this occurs, the secondary cluster is converted to the primary and begins serving clients. This operation is performed only with assistance from the ONTAP Mediator.



After the automatic unplanned failover, it is important to rescan the host LUN I/O paths so that there is no loss of I/O paths.

You can monitor the status of the automatic unplanned failover by using the `snapmirror failover show` command.

**Basic monitoring**

There are several SM-BC components and operations you can monitor.

**ONTAP mediator**

During normal operation, the Mediator state should be connected. If it is in any other state, this might indicate an error condition. You can review the Event Management System (EMS) messages to determine the error and appropriate corrective actions.

EMS Name	Description
sm.mediator.added	Mediator is added successfully
sm.mediator.removed	Mediator is removed successfully
sm.mediator.unusable	Mediator is unusable due to a corrupted Mediator server
sm.mediator.misconfigured	Mediator is repurposed or the Mediator package is no longer installed on the Mediator server
sm.mediator.unreachable	Mediator is unreachable



EMS Name	Description
sm.mediator.removed.force	Mediator is removed from the cluster using the "force" option
sm.mediator.cacert.expiring	Mediator certificate authority (CA) certificate is due to expire in 30 days or less
sm.mediator.serverc.expiring	Mediator server certificate is due to expire in 30 days or less
sm.mediator.clientc.expiring	Mediator client certificate is due to expire in 30 days or less
sm.mediator.cacert.expired	Mediator certificate authority (CA) certificate has expired
sm.mediator.serverc.expired	Mediator server certificate has expired
sm.mediator.clientc.expired	Mediator client certificate has expired
sm.mediator.in.quorum	All the SM-BC records are resynchronized with Mediator

### Planned failover operations

You can monitor status and progress of a planned failover operation using the `snapmirror failover show` command. For example:

```
ClusterB::> snapmirror failover start -destination-path vs1:/cg/dcg1
```

Once the failover operation is complete, you can monitor the Synchronous SnapMirror protection status from the new destination cluster. For example:

```
ClusterA::> snapmirror show
```

You can also review the following messages to determine if there is an error and take the appropriate corrective actions.

EMS Name	Description
smbc.pfo.failed	SMBC planned failover operation failed. Destination path:
smbc.pfo.start. Destination path:	SMBC planned failover operation started

### Automatic unplanned failover operations

During an unplanned automatic failover, you can monitor the status of the operation using the `snapmirror failover show` command. For example:

```

ClusterB::> snapmirror failover show -instance
Start Time: 9/23/2020 22:03:29
    Source Path: vs1:/cg/scg3
    Destination Path: vs3:/cg/dcg3
    Failover Status: completed
    Error Reason:
        End Time: 9/23/2020 22:03:30
Primary Data Cluster: cluster-2
Last Progress Update: -
    Failover Type: unplanned
Error Reason codes: -

```

You can also review the following messages to determine if there is an error and take the appropriate corrective actions.

EMS Name	Description
smbc.aufo.failed	SnapMirror automatic planned failover operation failed. Destination path:
smbc.aufo.start	SMBC planned failover operation started. Destination path:
smbc.aufo.completed:	SnapMirror automatic planned failover operation completed. Destination path:
smbc.aufo.failover.incapable	block.giveback.during.aufo

### SM-BC availability

You can check the availability of the SM-BC relationship using a series of commands, either on the primary cluster, the secondary cluster, or both.

Commands you use include the `snapmirror mediator show` command on both the primary and secondary cluster to check the connection and quorum status, the `snapmirror show` command, and the `volume show` command. For example:

```

SMBC_A::*> snapmirror mediator show
Mediator Address Peer Cluster      Connection Status Quorum Status
-----
10.236.172.86    SMBC_B      connected      true

SMBC_B::*> snapmirror mediator show
Mediator Address Peer Cluster      Connection Status Quorum Status
-----
10.236.172.86    SMBC_A      connected      true

SMBC_B::*> snapmirror show -expand

Progress
Source          Destination Mirror Relationship Total
Last
Path            Type Path            State Status Progress Healthy
Updated
-----
-----
vs0:/cg/cg1 XDP vs1:/cg/cg1_dp Snapmirrored InSync - true -
vs0:vol1 XDP vs1:vol1_dp Snapmirrored InSync - true -
2 entries were displayed.

SMBC_A::*> volume show -fields is-smbc-master,smbc-consensus,is-smbc-
failover-capable -volume vol1
vserver volume is-smbc-master is-smbc-failover-capable smbc-consensus
-----
vs0 vol1 true false Consensus

SMBC_B::*> volume show -fields is-smbc-master,smbc-consensus,is-smbc-
failover-capable -volume vol1_dp
vserver volume is-smbc-master is-smbc-failover-capable smbc-consensus
-----
vs1 vol1_dp false true No-consensus

```

#### Add and remove volumes in a consistency group

If you want to change the composition of the consistency group by adding or removing a volume, you must first delete the original relationship and then create the consistency group again with the new composition.



This workflow applies to ONTAP 9.8 and 9.9.1. Beginning with ONTAP 9.10.1, it is recommended that you manage [consistency groups](#) through System Manager or with the ONTAP REST API.

#### About this task

- The composition change is not allowed when the consistency group is in the “InSync” state.
- The destination volume should be of type DP.



The new volume you add to expand the consistency group must have a pair of common Snapshot copies between the source and destination volumes.

## Steps

This procedure assumes that there are two volume mappings: vol\_src1 ↔ vol\_dst1 and vol\_src2 ↔ vol\_dst2, in a consistency group relationship between the end points vs1\_src:/cg/cg\_src and vs1\_dst:/cg/cg\_dst.

1. Verify that a common Snapshot copy exists between the source and destination volumes on both the source and destination cluster:

```
source::>snapshot show -vserver vs1_src -volume vol_src3 -snapshot snapmirror*
```

```
destination::>snapshot show -vserver vs1_dst -volume vol_dst3 -snapshot  
snapmirror*
```

2. If no common Snapshot copy exists, create and initialize a FlexVol SnapMirror relationship:

```
destination::>snapmirror initialize -source-path vs1_src:vol_src3 -destination  
-path vs1_dst:vol_dst3
```

3. Delete the zero RTO consistency group relationship:

```
destination::>snapmirror delete -destination-path vs1_dst:vol_dst3
```

4. Release the source SnapMirror relationship and retain the common Snapshot copies:

```
source::>snapmirror release -relationship-info-only true -destination-path  
vs1_dst:vol_dst3
```

5. Unmap the LUNs and delete the existing consistency group relationship:

```
destination::>lun mapping delete -vserver vs1_dst -path <lun_path> -igroup  
<igroup_name>
```



The destination LUNs are unmapped, while the LUNs on the primary copy continue to serve the host I/O.

```
destination::>snapmirror delete -destination-path vs1_dst:/cg/cg_dst
```

```
source::>snapmirror release -destination-path vs1_dst:/cg/cg_dst -relationship  
-info-only true
```

6. Create the new consistency group with the new composition:

```
destination::>snapmirror create -source-path vs1_src:/cg/cg_src -destination  
-path vs1_dst:/cg/cg_dst -cg-item-mappings vol_src1:@vol_dst1,  
vol_src2:@vol_dst2, vol_src3:@vol_dst3
```

7. Resynchronize the zero RTO consistency group relationship to ensure it is in sync:

```
destination::>snapmirror resync -destination-path vs1_dst:/cg/cg_dst
```

8. Remap the LUNs that you unmapped in Step 5:

```
destination::> lun map -vserver vs1_dst -path <lun_path> -igroup <igroup_name>
```

9. Rescan host LUN I/O paths to restore all paths to the LUNs.

### Resume protection in a fan-out configuration with SM-BC

SM-BC supports [fan-out configurations](#). Your source volume can be mirrored to an SM-BC destination endpoint and to one or more asynchronous SnapMirror relationships.

Fan-out configurations are supported with the `MirrorAllSnapshots` policy, and, beginning with ONTAP 9.11.1, the `MirrorAndVault` policy. Beginning in ONTAP 9.11.1, fan-out configurations in SM-BC are not supported with the `XDPDefault` policy.

If you experience a failover on the SM-BC destination, the asynchronous SnapMirror destination will become unhealthy, and you must manually restore protection by deleting and recreating the relationship with the asynchronous SnapMirror endpoint.

### Resume protection in a fan-out configuration

1. Verify the failover has completed successfully:

```
snapmirror failover show
```

2. On the asynchronous Snapmirror endpoint, delete the fan-out endpoint:

```
snapmirror delete -destination-path destination_path
```

3. On the third site, create an asynchronous SnapMirror relationships between the new SM-BC primary volume and the async fan-out destination volume:

```
snapmirror create -source-path source_path -destination-path destination_path  
-policy MirrorAllSnapshots -schedule schedule
```

4. Resynchronize the relationship:

```
SnapMirror resync -destination-path destination_path
```

5. Verify the relationship status and health:

```
snapmirror show
```

### Convert existing relationships to SM-BC relationships

You can convert an existing zero recovery point protection (zero RPO) Synchronous SnapMirror relationship to an SM-BC zero RTO Synchronous SnapMirror consistency group relationship.

#### Before you begin

- A zero RPO Synchronous SnapMirror relationship exists between the primary and secondary.
- All LUNs on the destination volume are unmapped before the zero RTO SnapMirror relationship is created.
- SM-BC only supports SAN protocols (not NFS/CIFS). Ensure no constituent of the consistency group is mounted for NAS access.

## About this task

- You must be a cluster and SVM administrator on the source and destination.
- You cannot convert zero RPO to zero RTO sync by changing the SnapMirror policy.
- If existing LUNs on the secondary volume are mapped, `snapmirror create` with `AutomatedFailover` policy triggers an error.  
You must ensure the LUNs are unmapped before issuing the `snapmirror create` command.

## Steps

1. Perform a SnapMirror update operation on the existing relationship:

```
destination::>snapmirror update -destination-path vs1_dst:vol1
```

2. Verify that the SnapMirror update completed successfully:

```
destination::>snapmirror show
```

3. Quiesce each of the zero RPO synchronous relationships:

```
destination::>snapmirror quiesce -destination-path vs1_dst:vol1
```

```
destination::>snapmirror quiesce -destination-path vs1_dst:vol2
```

4. Delete each of the zero RPO synchronous relationships:

```
destination::>snapmirror delete -destination-path vs1_dst:vol1
```

```
destination::>snapmirror delete -destination-path vs1_dst:vol2
```

5. Release the source SnapMirror relationship but retain the common Snapshot copies:

```
source::>snapmirror release -relationship-info-only true -destination-path  
vs1_dst:vol1
```

```
source::>snapmirror release -relationship-info-only true -destination-path  
vs1_dst:vol2
```

6. Create a group zero RTO Synchronous Snapmirror relationship:

```
destination::> snapmirror create -source-path vs1_src:/cg/cg_src -destination  
-path vs1_dst:/cg/cg_dst -cg-item-mappings vol1:@vol1,vol2:@vol2 -policy  
AutomatedFailover
```

7. Resynchronize the zero RTO consistency group:

```
destination::> snapmirror resync -destination-path vs1_dst:/cg/cg_dst
```

8. Rescan host LUN I/O paths to restore all paths to the LUNs.

## SM-BC upgrade and revert considerations

You should be aware of the requirements for upgrading and reverting an SM-BC configuration.

## Upgrade

Before you can configure and use SM-BC, you must upgrade all nodes on the source and destination clusters to ONTAP 9.8 or later.

xref:./smbc/[Upgrading software on ONTAP clusters](#)



SM-BC is not supported with mixed ONTAP 9.7 and ONTAP 9.8 clusters.

Upgrading clusters from 9.8 or 9.9.1 to 9.10.1 creates new consistency groups on both source and destination for SM-BC relationships.

### Reverting to ONTAP 9.9.1 from ONTAP 9.10.1

To revert relationships from 9.10.1 to 9.9.1, SM-BC relationships must be deleted, followed by the 9.10.1 consistency group instance. Consistency groups cannot be deleted with an active SMBC relationship. Any FlexVol volumes that were upgraded to 9.10.1 previously associated with another Smart Container or Enterprise App in 9.9.1 or earlier will no longer be associated on revert. Deleting consistency groups does not delete the constituent volumes or volume granular snapshots. Refer to [Delete a consistency group](#) for more information on this task.

### Reverting to ONTAP 9.7 from ONTAP 9.8

When you revert from ONTAP 9.8 to ONTAP 9.7, you must be aware of the following:

- If the cluster is hosting an SM-BC destination, reverting to ONTAP 9.7 is not allowed until the relationship is broken and deleted.
- If the cluster is hosting an SM-BC source, reverting to ONTAP 9.7 is not allowed until the relationship is released.
- All user-created custom SM-BC SnapMirror policies must be deleted before reverting to ONTAP 9.7.

### Steps

1. Perform a revert check from one of the clusters in the SM-BC relationship:

```
cluster::*> system node revert-to -version 9.7 -check-only
```

Example:

```
cluster::*> system node revert-to -version 9.7 -check-only
Error: command failed: The revert check phase failed. The following
issues must be resolved before revert can be completed. Bring the data
LIFs down on running vservers. Command to list the running vservers:
vserver show -admin-state running Command to list the data LIFs that are
up: network interface show -role data -status-admin up Command to bring
all data LIFs down: network interface modify {-role data} -status-admin
down
Disable snapshot policies.
    Command to list snapshot policies: "snapshot policy show".
    Command to disable snapshot policies: "snapshot policy modify
-vserver
    * -enabled false"
```

Break off the initialized online data-protection (DP) volumes and delete

Uninitialized online data-protection (DP) volumes present on the local node.

Command to list all online data-protection volumes on the local node:

```
volume show -type DP -state online -node <local-node-name>
```

Before breaking off the initialized online data-protection volumes, quiesce and abort transfers on associated SnapMirror relationships and

wait for the Relationship Status to be Quiesced.

Command to quiesce a SnapMirror relationship: `snapmirror quiesce`

Command to abort transfers on a SnapMirror relationship: `snapmirror abort`

Command to see if the Relationship Status of a SnapMirror relationship

is Quiesced: `snapmirror show`

Command to break off a data-protection volume: `snapmirror break`

Command to break off a data-protection volume which is the destination

of a SnapMirror relationship with a policy of type "vault":  
`snapmirror`

`break -delete-snapshots`

Uninitialized data-protection volumes are reported by the "snapmirror

`break`" command when applied on a DP volume.

Command to delete volume: `volume delete`

Delete current version snapshots in advanced privilege level.

Command to list snapshots: `"snapshot show -fs-version 9.8"`

Command to delete snapshots: `"snapshot prepare-for-revert -node <nodename>"`

Delete all user-created policies of the type active-strict-sync-mirror

and active-sync-mirror.

The command to see all active-strict-sync-mirror and active-sync-mirror

type policies is:

`snapmirror policy show -type`

`active-strict-sync-mirror,active-sync-mirror`

The command to delete a policy is :

`snapmirror policy delete -vserver <SVM-name> -policy <policy-name>`



For information on reverting clusters, see [Revert ONTAP](#).

### Remove an SM-BC configuration

You can remove zero RTO Synchronous SnapMirror protection and delete the SM-BC relationship configuration.

#### About this task

Before you delete the SM-BC relationship, all LUNs in the destination cluster must be unmapped. After the LUNs are unmapped and the host is rescanned, the SCSI target notifies the hosts that the LUN inventory has changed. The existing LUNs on the zero RTO secondary volumes change to reflect a new identity after the zero RTO relationship is deleted. Hosts discover the secondary volume LUNs as new LUNs that have no relationship to the source volume LUNs.

The secondary volumes remain DP volumes after the relationship is deleted. You can issue the `snapmirror break` command to convert them to read/write.

Deleting the relationship is not allowed in the failed-over state when the relationship is not reversed.

#### Steps

1. Delete the SM-BC consistency group relationship between the source endpoint and destination endpoint:

```
Destination::>snapmirror delete -destination-path vs1_dst:/cg/cg_dst
```

2. From the source cluster, release the consistency group relationship and the Snapshot copies created for the relationship:

```
Source::>snapmirror release -destination-path vs1_dst:/cg/cg_dst
```

3. Perform a host rescan to update the LUN inventory.
4. Beginning with ONTAP 9.10.1, deleting the SnapMirror relationship does not delete the consistency group. If you want to delete the consistency group, you must use System Manager or the ONTAP REST API. See [Delete a consistency group](#) for more information.

### Remove ONTAP Mediator

If you want to remove an existing ONTAP Mediator configuration from your ONTAP clusters, you can do so by using the `snapmirror mediator remove` command.

#### Steps

1. Remove ONTAP Mediator:

```
snapmirror mediator remove -mediator-address 12.345.678.90 -peer-cluster  
cluster_xyz
```

### Troubleshooting

#### SnapMirror delete operation fails in takeover state

##### Issue:

When ONTAP 9.9.1 is installed on a cluster, executing the `snapmirror delete` command fails when an SM-BC consistency group relationship is in takeover state.

**Example:**

```
C2_cluster::> snapmirror delete vs1:/cg/dd

Error: command failed: RPC: Couldn't make connection
```

**Solution**

When the nodes in an SM-BC relationship are in takeover state, perform the SnapMirror delete and release operation with the "-force" option set to true.

**Example:**

```
C2_cluster::> snapmirror delete vs1:/cg/dd -force true

Warning: The relationship between source "vs0:/cg/ss" and destination
        "vs1:/cg/dd" will be deleted, however the items of the
destination
        Consistency Group might not be made writable, deletable, or
modifiable
        after the operation. Manual recovery might be required.
Do you want to continue? {y|n}: y
Operation succeeded: snapmirror delete for the relationship with
destination "vs1:/cg/dd".
```

**Failure creating a SnapMirror relationship and initializing consistency group****Issue:**

Creation of SnapMirror relationship and consistency group initialization fails.

**Solution:**

Ensure that you have not exceeded the limit of consistency groups per cluster. Consistency group limits in SM-BC are platform independent and differ based on the version of ONTAP. See [Additional restrictions and limitations](#) for limitations based on ONTAP version.

**Error:**


If the consistency group is stuck initializing, check the status of your consistency group initializations with the ONTAP REST API, System Manager or the command `sn show -expand`.

**Solution:**

If consistency groups fail to initialize, remove the SM-BC relationship, delete the consistency group, then recreate the relationship and initialize it. This workflow differs depending on the version of ONTAP you are using.

<b>If you are using ONTAP 9.8-9.9.1</b>	<b>If you are using ONTAP 9.10.1 or later</b>
---	---

1. [Remove the SM-BC configuration](#)
2. [Create a consistency group relationship](#)
3. [Initialize the consistency group relationship](#)

1. Under **Protection > Relationships**, find the SM-BC relationship on the consistency group. Select , then **Delete** to remove the SM-BC relationship.
2. [Delete the consistency group](#)
3. [Configure the consistency group](#)

## Planned failover unsuccessful

### Issue:

After executing the `snapmirror failover start` command, the output for the `snapmirror failover show` command displays a message indicates that a nondisruptive operation is in progress.

### Example:

```
Cluster1::> snapmirror failover show
Source Destination Error
Path Path Type Status start-time end-time Reason
-----
vs1:/cg/cg vs0:/cg/cg planned failed 10/1/2020 10/1/2020 SnapMirror
Failover cannot start because a volume move is running. Retry the command
once volume move has finished.

08:35:04
```

### Cause:

Planned failover cannot begin when a nondisruptive operation is in progress, including volume move, aggregate relocation, and storage failover.

### Solution:

Wait for the nondisruptive operation to complete and try the failover operation again.

## Mediator not reachable or Mediator quorum status is false

### Issue:

After executing the `snapmirror failover start` command, the output for the `snapmirror failover show` command displays a message indicating that Mediator is not configured.

See [Initialize the ONTAP Mediator](#).

**Example:**

```
Cluster1::> snapmirror failover show
Source Destination Error
Path Path Type Status start-time end-time Reason
-----
vs0:/cg/cg vs1:/cg/cg planned failed 10/1/2020 10/1/2020 SnapMirror
failover cannot start because the source-side precheck failed. reason:
Mediator not configured.
05:50:42 05:50:43
```

**Cause:**

Mediator is not configured or there are network connectivity issues.

**Solution:**

If Mediator is not configured, you must configure Mediator before you can establish an SM-BC relationship. Fix any network connectivity issues. Make sure Mediator is connected and quorum status is true on both the source and destination site using the `snapmirror mediator show` command.

**Example:**

```
cluster::> snapmirror mediator show
Mediator Address Peer Cluster Connection Status Quorum Status
-----
10.234.10.143 cluster2 connected true
```

**Automatic unplanned failover not triggered on Site B****Issue:**

A failure on Site A does not trigger an unplanned failover on Site B.

**Possible cause #1:**

Mediator is not configured. To determine if this is the cause, issue the `snapmirror mediator show` command on the Site B cluster.

**Example:**

```
Cluster2::*> snapmirror mediator show
This table is currently empty.
```

This example indicates that Mediator is not configured on Site B.

**Solution:**

Ensure that Mediator is configured on both clusters, that the status is connected, and quorum is set to True.

**Possible cause #2:**

SnapMirror consistency group is out of sync. To determine if this is the cause, view the event log to view if the consistency group was in sync during the time at which the Site A failure occurred.

**Example:**

```
cluster::*> event log show -event *out.of.sync*
```

Time	Node	Severity	Event
10/1/2020 23:26:12	sti42-vs1m-ucs511w	ERROR	sms.status.out.of.sync: Source volume "vs0:zrto_cg_556844_511u_RW1" and destination volume "vs1:zrto_cg_556881_511w_DP1" with relationship UUID "55ab7942-03e5-11eb- ba5a-005056a7dc14" is in "out-of-sync" status due to the following reason: "Transfer failed."

**Solution:**

Complete the following steps to perform a forced failover on Site B.

1. Unmap all LUNs belonging to the consistency group from Site B.
2. Delete the SnapMirror consistency group relationship using the `force` option.
3. Enter the `snapmirror break` command on the consistency group constituent volumes to convert volumes from DP to R/W, to enable I/O from Site B.
4. Boot up the Site A nodes to create a zero RTO relationship from Site B to Site A.
5. Release the consistency group with `relationship-info-only` on Site A to retain common Snapshot copy and unmap the LUNs belonging to the consistency group.
6. Convert volumes on Site A from R/W to DP by setting up a volume level relationship using either the Sync policy or Async policy.
7. Issue the `snapmirror resync` to synchronize the relationships.
8. Delete the SnapMirror relationships with the Sync policy on Site A.
9. Release the SnapMirror relationships with Sync policy using `relationship-info-only true` on Site B.
10. Create a consistency group relationship from Site B to Site A.
11. Perform a consistency group resync from Site A, and then verify that the consistency group is in sync.
12. Rescan host LUN I/O paths to restore all paths to the LUNs.

**Link between Site B and Mediator down and Site A down**

To check on the connection of the Mediator, use the `snapmirror mediator show` command. If the connection status is unreachable and Site B is unable to reach Site A, you will have an output similar to the one below. Follow the steps in the solution to restore connection

### Example:

```
cluster::*> snapmirror mediator show
Mediator Address Peer Cluster      Connection Status Quorum Status
-----
10.237.86.17      C1_cluster      unreachable      true
SnapMirror consistency group relationship status is out of sync.

C2_cluster::*> snapmirror show -expand
Source                Destination Mirror  Relationship    Total
Last
Path                Type  Path                State  Status                Progress  Healthy
Updated
-----
-----
vs0:/cg/src_cg_1 XDP vs1:/cg/dst_cg_1 Snapmirrored OutOfSync - false -
vs0:zrto_cg_655724_188a_RW1 XDP vs1:zrto_cg_655755_188c_DP1 Snapmirrored
OutOfSync - false -
vs0:zrto_cg_655733_188a_RW2 XDP vs1:zrto_cg_655762_188c_DP2 Snapmirrored
OutOfSync - false -
vs0:zrto_cg_655739_188b_RW1 XDP vs1:zrto_cg_655768_188d_DP1 Snapmirrored
OutOfSync - false -
vs0:zrto_cg_655748_188b_RW2 XDP vs1:zrto_cg_655776_188d_DP2 Snapmirrored
OutOfSync - false -
5 entries were displayed.

Site B cluster is unable to reach Site A.
C2_cluster::*> cluster peer show
Peer Cluster Name      Cluster Serial Number Availability
Authentication
-----
-----
C1_cluster              1-80-000011              Unavailable      ok
```

### Solution

Force a failover to enable I/O from Site B and then establish a zero RTO relationship from Site B to Site A.

Complete the following steps to perform a forced failover on Site B.

1. Unmap all LUNs belonging to the consistency group from Site B.
2. Delete the SnapMirror consistency group relationship using the force option.
3. Enter the snapmirror break command on the consistency group constituent volumes to convert volumes from DP to RW, to enable I/O from Site B.
4. Boot up the Site A nodes to create a zero RTO relationship from Site B to Site A.
5. Release the consistency group with relationship-info-only on Site A to retain common Snapshot copy and unmap the LUNs belonging to the consistency group.

6. Convert volumes on Site A from RW to DP by setting up a volume level relationship using either Sync policy or Async policy.
7. Issue the snapmirror resync to synchronize the relationships.
8. Delete the SnapMirror relationships with Sync policy on Site A.
9. Release the SnapMirror relationships with Sync policy using relationship-info-only true on Site B.
10. Create a consistency group relationship from Site B to Site A.
11. Perform a consistency group resync from Site A, and then verify that the consistency group is in sync.
12. Rescan host LUN I/O paths to restore all paths to the LUNs.

#### Link between Site A and Mediator down and Site B down

When using SM-BC, you may lose connectivity between the mediator or your peered clusters. You can diagnose the issue by checking the connection, availability, and consensus status of the different parts of the SM-BC relationship and then forcefully resuming connection.

**Table 1. Determining the cause**

What to check	CLI command	Indicator
Mediator from Site A	<code>snapmirror mediator show</code>	The connection status will be unreachable
Site B connectivity	<code>cluster peer show</code>	Availability will be unavailable
Consensus status of the SM-BC volume	<code>volume show volume_name -fields smbc-consensus</code>	The sm-bc consensus field will read Awaiting-consensus

For additional information about diagnosing and resolving this issue, refer to the Knowledge Base article [Link between Site A and Mediator down and Site B down when using SM-BC](#).

#### SM-BC SnapMirror delete operation fails when fence is set on destination volume

##### Issue:

SnapMirror delete operation fails when any of the destination volumes have redirection fence set.

##### Solution

Performing the following operations to retry the redirection and remove the fence from the destination volume.

- SnapMirror resync
- SnapMirror update

#### Volume move operation stuck when primary is down

##### Issue:

A volume move operation is stuck indefinitely in cutover deferred state when the primary site is down in an SM-BC relationship.

When the primary site is down, the secondary site performs an automatic unplanned failover (AUFO). When a volume move operation is in progress when the AUFO is

triggered the volume move becomes stuck.

**Solution:**

Abort the volume move instance that is stuck and restart the volume move operation.

**SnapMirror release fails when unable to delete Snapshot copy**

**Issue:**

The SnapMirror release operation fails when the Snapshot copy cannot be deleted.

**Solution:**

The Snapshot copy contains a transient tag. Use the `snapshot delete` command with the `-ignore-owners` option to remove the transient Snapshot copy.

```
snapshot delete -volume <volume_name> -snapshot <snapshot_name> -ignore-owners true -force true
```

Retry the `snapmirror release` command.

**Volume move reference Snapshot copy shows as the newest**

**Issue:**

After performing a volume move operation on a consistency group volume, the volume move reference Snapshot copy might display as the newest for the SnapMirror relationship.

You can view the newest Snapshot copy with the following command:

```
snapmirror show -fields newest-snapshot status -expand
```

**Solution:**

Manually perform a `snapmirror resync` or wait for the next automatic resync operation after the volume move operation completes.

## Mediator service for MetroCluster and SnapMirror Business Continuity

### Install or upgrade the ONTAP Mediator service

To install the ONTAP Mediator service, you must ensure all prerequisites are met, get the installation package and run the installer on the host. This procedure is used for an installation or an upgrade of an existing installation.

**About this task**

- Beginning with ONTAP 9.7, you can use any version of ONTAP Mediator to monitor a MetroCluster IP configuration.
- Beginning with ONTAP 9.8, you can use any version of ONTAP Mediator to monitor an SM-BC relationship.

**Before you begin**

You must meet the following prerequisites.



Mediator version	Supported Linux versions
1.4	<ul style="list-style-type: none"> <li>• Red Hat Enterprise Linux: 7.6, 7.7, 7.8, 7.9, 8.1, 8.2, 8.3, 8.4, 8.5</li> <li>• CentOS: 7.6, 7.7, 7.8, 7.9</li> </ul>
1.3	<ul style="list-style-type: none"> <li>• Red Hat Enterprise Linux: 7.6, 7.7, 7.8, 7.9, 8.1, 8.2, 8.3</li> <li>• CentOS: 7.6, 7.7, 7.8, 7.9</li> </ul>
1.2	<ul style="list-style-type: none"> <li>• Red Hat Enterprise Linux: 7.6, 7.7, 7.8, 8.1</li> <li>• CentOS: 7.6, 7.7, 7.8</li> </ul>



The kernel version must match the operating system version.

- 64-bit physical installation or virtual machine
- 8 GB RAM
- User: Root access

### Upgrade the host operating system and then the Mediator

The following table provides the upgrade guidelines if you are upgrading from RHEL/CentOS 7.6 to a later RHEL/CentOS release in addition upgrading the Mediator version.

Target Linux version	Target Mediator version	Upgrade notes
<ul style="list-style-type: none"> <li>• Red Hat Enterprise Linux: 7.6, 7.7, 7.8, 8.1</li> <li>• CentOS: 7.6, 7.7, 7.8</li> </ul>	1.2	<ul style="list-style-type: none"> <li>• The upgrade must be performed in the following order:             <ol style="list-style-type: none"> <li>a. Upgrade the operating system from RHEL/CentOS version.</li> <li>b. Reboot the host to apply the kernel module changes.</li> <li>c. Upgrade the Mediator from the immediately prior version to the current version.</li> </ol> </li> <li>• For MetroCluster:             <ol style="list-style-type: none"> <li>1. The storage iscsi-initiator show command will report that the connection to the Mediator service is down during the upgrade.</li> <li>2. The ONTAP operating system will generate the following EMS events:                 <ol style="list-style-type: none"> <li>a. cf.mccip.med.auso.stDisabled during the upgrade</li> <li>b. cf.mccip.med.auso.stEnabled when automatic unplanned switchover is re-enabled</li> </ol> </li> </ol> </li> </ul>

<ul style="list-style-type: none"> <li>• Red Hat Enterprise Linux: 7.6, 7.7, 7.8, 7.9, 8.1, 8.2, 8.3</li> <li>• CentOS: 7.6, 7.7, 7.8, 7.9</li> </ul>	1.3	<ul style="list-style-type: none"> <li>a. Upgrade the operating system from RHEL/CentOS version.</li> <li>b. Reboot the host to apply the kernel module changes.</li> <li>c. Upgrade the Mediator from the immediately prior version to the current version.</li> </ul>
<ul style="list-style-type: none"> <li>• Red Hat Enterprise Linux: 7.6, 7.7, 7.8, 7.9, 8.1, 8.2, 8.3, 8.4, 8.5</li> <li>• CentOS: 7.6, 7.7, 7.8, 7.9</li> </ul>	1.4	<ul style="list-style-type: none"> <li>a. Upgrade the operating system from RHEL/CentOS version.</li> <li>b. Reboot the host to apply the kernel module changes.</li> <li>c. Upgrade the Mediator from the immediately prior version to the current version.</li> </ul>

The best practices for installing Red Hat Enterprise Linux or CentOS and the associated repositories on your system are listed below. Systems installed or configured differently might require additional steps.

- You must install Red Hat Enterprise Linux or CentOS according to Red Hat best practices. Due to end-of-life support for CentOS 8.x versions, compatible versions of CentOS 8.x are not recommended.
- While installing the ONTAP Mediator service on Red Hat Enterprise Linux or CentOS, the system must have access to the appropriate repository so that the installation program can access and install all the required software dependencies.
- For the yum installer to find dependent software in the Red Hat Enterprise Linux repositories, you must have registered the system during the Red Hat Enterprise Linux installation or afterwards by using a valid Red Hat subscription.

See the Red Hat documentation for information about the Red Hat Subscription Manager.

- The following ports must be unused and available for the Mediator:
  - 31784
  - 3260
- If using a third-party firewall: refer to [Firewall requirements for ONTAP Mediator](#)
- If the Linux host is in a location without access to the internet, you must ensure that the required packages are available in a local repository.

If you are using Link Aggregation Control Protocol (LACP) in a Linux environment, you must correctly configure the kernel and make sure the `sysctl net.ipv4.conf.all.arp_ignore` is set to "2".

The following packages are required by the ONTAP Mediator service:

All RHEL/CentOS versions	Additional packages for RHEL/CentOS 7.x	Additional packages for RHEL 8.x
--------------------------	---	----------------------------------

<ul style="list-style-type: none"> <li>• openssl</li> <li>• openssl-devel</li> <li>• kernel-devel</li> <li>• gcc</li> <li>• libselinux-utils</li> <li>• make</li> <li>• redhat-lsb-core</li> <li>• patch</li> <li>• bzip2</li> <li>• python36</li> <li>• python36-devel</li> <li>• perl-Data-Dumper</li> <li>• perl-ExtUtils-MakeMaker</li> <li>• python3-pip</li> </ul>	<ul style="list-style-type: none"> <li>• policycoreutils-python</li> <li>• python36-pip</li> </ul>	<ul style="list-style-type: none"> <li>• elfutils-libelf-devel</li> <li>• policycoreutils-python-utils</li> </ul>
--	--	---

The Mediator installation package is a self-extracting compressed tar file that includes:

- An RPM file containing all dependencies that cannot be obtained from the supported release's repository.
- An install script.

A valid SSL certification is recommended, as documented in this procedure.

### Enable access to the repositories

If your operating system is...	You must provide access to these repositories...
RHEL 7.x	rhel-7-server-optional-rpms
CentOS 7.x	C7.6.1810 - Base repository
RHEL 8.x	<ul style="list-style-type: none"> <li>• rhel-8-for-x86_64-baseos-rpms</li> <li>• rhel-8-for-x86_64-appstream-rpms</li> </ul>

Enable access to the repositories listed above so Mediator can access the required packages during the installation process. Use the procedure below for your operating system.

- Procedure for [RHEL 7.x](#) operating system.
- Procedure for [RHEL 8.x](#) operating system.
- Procedure for [CentOS 7.x](#) operating system.

## Procedure for RHEL 7.x operating system

If your operating system is **RHEL 7.x**:

### Steps

1. Subscribe to the required repository:

```
subscription-manager repos --enable rhel-7-server-optional-rpms
```

The following example shows the execution of this command:

```
[root@localhost ~]# subscription-manager repos --enable rhel-7-server-optional-rpms
Repository 'rhel-7-server-optional-rpms' is enabled for this system.
```

2. Run the `yum repolist` command.

The following example shows the execution of this command. The "rhel-7-server-optional-rpms" repository should appear in the list.

```
[root@localhost ~]# yum repolist
Loaded plugins: product-id, search-disabled-repos, subscription-manager
rhel-7-server-optional-rpms | 3.2 kB  00:00:00
rhel-7-server-rpms | 3.5 kB  00:00:00
(1/3): rhel-7-server-optional-rpms/7Server/x86_64/group
| 26 kB  00:00:00
(2/3): rhel-7-server-optional-rpms/7Server/x86_64/updateinfo
| 2.5 MB  00:00:00
(3/3): rhel-7-server-optional-rpms/7Server/x86_64/primary_db
| 8.3 MB  00:00:01
repo id                                repo name
status
rhel-7-server-optional-rpms/7Server/x86_64  Red Hat Enterprise Linux 7
Server - Optional (RPMs) 19,447
rhel-7-server-rpms/7Server/x86_64          Red Hat Enterprise Linux 7
Server (RPMs) 26,758
repolist: 46,205
[root@localhost ~]#
```

## Procedure for RHEL 8.x operating system

If your operating system is **RHEL 8.x**:

### Steps

1. Subscribe to the required repository:

```
subscription-manager repos --enable rhel-8-for-x86_64-baseos-rpms
```

```
subscription-manager repos --enable rhel-8-for-x86_64-appstream-rpms
```

The following example shows the execution of this command:

```
[root@localhost ~]# subscription-manager repos --enable rhel-8-for-x86_64-baseos-rpms
[root@localhost ~]# subscription-manager repos --enable rhel-8-for-x86_64-appstream-rpms
Repository 'rhel-8-for-x86_64-baseos-rpms' is enabled for this system.
Repository 'rhel-8-for-x86_64-appstream-rpms' is enabled for this system.
```

2. Run the `yum repolist` command.

The newly subscribed repositories should appear in the list.

#### Procedure for CentOS 7.x operating system

If your operating system is **CentOS 7.x**:



The following examples are showing a repository for CentOS 7.6 and may not work for other CentOS versions. Use the base repository for your version of CentOS.

#### Steps

1. Add the C7.6.1810 - Base repository. The C7.6.1810 - Base vault repository contains the kernel-devel package needed for ONTAP Mediator.
2. Add the following lines to `/etc/yum.repos.d/CentOS-Vault.repo`.

```
[C7.6.1810-base]
name=CentOS-7.6.1810 - Base
baseurl=http://vault.centos.org/7.6.1810/os/$basearch/
gpgcheck=1
gpgkey=file:///etc/pki/rpm-gpg/RPM-GPG-KEY-CentOS-7
enabled=1
```

3. Run the `yum repolist` command.

The following example shows the execution of this command. The CentOS-7.6.1810 - Base repository should appear in the list.

```

Loaded plugins: fastestmirror
Loading mirror speeds from cached hostfile
* base: distro.ibiblio.org
* extras: distro.ibiblio.org
* updates: ewr.edge.kernel.org
C7.6.1810-base | 3.6
kB 00:00:00
(1/2): C7.6.1810-base/x86_64/group_gz | 166
kB 00:00:00
(2/2): C7.6.1810-base/x86_64/primary_db | 6.0
MB 00:00:04
repo id repo name
status
C7.6.1810-base/x86_64 CentOS-7.6.1810 - Base
10,019
base/7/x86_64 CentOS-7 - Base
10,097
extras/7/x86_64 CentOS-7 - Extras
307
updates/7/x86_64 CentOS-7 - Updates
1,010
repolist: 21,433
[root@localhost ~]#

```

## Download the Mediator installation package

### Steps

1. Download the Mediator installation package from the ONTAP Mediator page.

[ONTAP Mediator download page](#)

2. Confirm that the Mediator installation package is in the target directory:

```
ls
```

```

[root@mediator-host ~]#ls
ontap-mediator

```

If you are at a location without access to the internet, you must ensure that the installer has access to the required packages.

3. If necessary, move the Mediator installation package from the download directory to the installation directory on the Linux Mediator host.

## Install the ONTAP Mediator installation package

### About this task

- Beginning with ONTAP Mediator 1.4, the Secure Boot mechanism is enabled on UEFI systems. When Secure Boot is enabled, you must take additional steps to register the security key after installation:
  - Follow instructions in the README file:  
`/opt/netapp/lib/ontap_mediator/ontap_mediator/SCST_mod_keys/README.module-signing`  
to sign the SCST kernel module.
  - Locate the required keys:  
`/opt/netapp/lib/ontap_mediator/ontap_mediator/SCST_mod_keys`



After installation, the README files and key location are also provided in the system output.

### Step

1. Install the Mediator installation package and respond to the prompts as required:

```
./ontap-mediator
```

The installation process proceeds to create the required accounts and install required packages. If you have a previous version of Mediator installed on the host, you will be prompted to confirm that you want to upgrade.

## Example of ONTAP Mediator 1.4 installation (console output)

```
[root@scs000065018 ~]# ./ontap-mediator
ONTAP Mediator: Self Extracting Installer
ONTAP Mediator requires two user accounts. One for the service
(netapp), and one for use by ONTAP to the mediator API (mediatoradmin).
Would you like to use the default account names: netapp +
mediatoradmin? (Y(es)/n(o)): y
Enter ONTAP Mediator user account (mediatoradmin) password:
Re-Enter ONTAP Mediator user account (mediatoradmin) password:
Checking if SELinux is in enforcing mode
Checking for default Linux firewall
Linux firewall is running. Open ports 31784 and 3260? y(es)/n(o): y
success
success

Preparing for installation of ONTAP Mediator packages.
Do you wish to continue? Y(es)/n(o): y
+ Installing required packages.
Last metadata expiration check: 1:56:17 ago on Thu 07 Apr 2022 11:35:42
AM EDT.
Package openssl-1:1.1.1k-6.el8_5.x86_64 is already installed.
Package openssl-devel-1:1.1.1k-6.el8_5.x86_64 is already installed.

.
.
.
.

Dependencies resolved.
Nothing to do.
Complete!
OS package installations finished
+ Installing ONTAP Mediator. (Log: /tmp/ontap_mediator.5gmxnI/ontap-
mediator/install_20220407133105.log)
    This step will take several minutes. Use the log file to view
progress.
Sudo include verified
ONTAP Mediator logging enabled
+ Install successful. (Moving log to
/opt/netapp/lib/ontap_mediator/log/install_20220407133105.log)
+ WARNING: This system supports UEFI
    Secure Boot (SB) is currently enabled on this system.
    The following action need be taken:
```



```
Using the keys in
/opt/netapp/lib/ontap_mediator/ontap_mediator/SCST_mod_keys follow
instructions in
/opt/netapp/lib/ontap_mediator/ontap_mediator/SCST_mod_keys/README.modu
le-signing
to sign the SCST kernel module. Note that reboot will be
needed.
SCST will not start automatically when Secure Boot is enabled and
not configured properly.
+ Note: ONTAP Mediator uses a kernel module compiled specifically for
the current
system OS. Using 'yum update' to upgrade the kernel may cause a
service
interruption.
For more information, see /opt/netapp/lib/ontap_mediator/README
[root@scs000065018 ~]#
```

## Verify the installation

### Steps

1. Run the following commands to view the status of the ONTAP Mediator services:
  - a. Run: `systemctl status ontap_mediator`

```
[root@scspr1915530002 ~]# systemctl status ontap_mediator

ontap_mediator.service - ONTAP Mediator
Loaded: loaded (/etc/systemd/system/ontap_mediator.service; enabled;
vendor preset: disabled)
Active: active (running) since Mon 2022-04-18 10:41:49 EDT; 1 weeks 0
days ago
Process: 286710 ExecStop=/bin/kill -s INT $MAINPID (code=exited,
status=0/SUCCESS)
Main PID: 286712 (uwsgi)
Status: "uWSGI is ready"
Tasks: 3 (limit: 49473)
Memory: 139.2M
CGroup: /system.slice/ontap_mediator.service
├─286712 /opt/netapp/lib/ontap_mediator/pyenv/bin/uwsgi --ini
/opt/netapp/lib/ontap_mediator/uwsgi/ontap_mediator.ini
├─286716 /opt/netapp/lib/ontap_mediator/pyenv/bin/uwsgi --ini
/opt/netapp/lib/ontap_mediator/uwsgi/ontap_mediator.ini
└─286717 /opt/netapp/lib/ontap_mediator/pyenv/bin/uwsgi --ini
/opt/netapp/lib/ontap_mediator/uwsgi/ontap_mediator.ini

[root@scspr1915530002 ~]#
```

b. Run: `systemctl status mediator-scst`

```
[root@scspr1915530002 ~]# systemctl status mediator-scst

Loaded: loaded (/etc/systemd/system/mediator-scst.service;
enabled; vendor preset: disabled)
Active: active (running) since Mon 2022-04-18 10:41:47 EDT; 1
weeks 0 days ago
Process: 286595 ExecStart=/etc/init.d/scst start (code=exited,
status=0/SUCCESS)
Main PID: 286662 (iscsi-scstd)
Tasks: 1 (limit: 49473)
Memory: 1.2M
CGroup: /system.slice/mediator-scst.service
└─286662 /usr/local/sbin/iscsi-scstd

[root@scspr1915530002 ~]#
```

2. Confirm the ports the ONTAP Mediator service is using: `netstat`

```
[root@scspr1905507001 ~]# netstat -anlt | grep -E '3260|31784'

tcp    0      0 0.0.0.0:31784      0.0.0.0:*          LISTEN
tcp    0      0 0.0.0.0:3260      0.0.0.0:*          LISTEN
tcp6   0      0 :::3260           :::*               LISTEN
```

## Result

The ONTAP Mediator service is now installed and running. Further configuration must be performed in the ONTAP storage system to use the Mediator features:

- To use the ONTAP Mediator service in a MetroCluster IP configuration, see [Configuring the ONTAP Mediator service from a MetroCluster IP configuration](#)
- To use SnapMirror Business Continuity, see [Install ONTAP Mediator Service and confirm the ONTAP cluster configuration](#)

## Manage the ONTAP mediator service

After you have installed ONTAP Mediator service, you may change the user name or password. You may also uninstall the ONTAP Mediator Service.

### Change the user name

#### About these tasks

This task is performed on the Linux host on which the ONTAP Mediator service is installed.

If you are unable to reach this command, you might need to run the command using the full path as shown in the following example:

```
/usr/local/bin/mediator_username
```

#### Procedure

Change the username by choosing one of the following options:

- Run the command `mediator_change_user` and respond to the prompts as shown in the following example:

```
[root@mediator-host ~]# mediator_change_user
Modify the Mediator API username by entering the following values:
  Mediator API User Name: mediatoradmin
  Password:
New Mediator API User Name: mediator
The account username has been modified successfully.
[root@mediator-host ~]#
```

- Run the following command:

```
MEDIATOR_USERNAME=mediator MEDIATOR_PASSWORD=mediator2  
MEDIATOR_NEW_USERNAME=mediatoradmin mediator_change_user
```

```
[root@mediator-host ~]# MEDIATOR_USERNAME= mediator  
MEDIATOR_PASSWORD='mediator2' MEDIATOR_NEW_USERNAME= mediatoradmin  
mediator_change_user  
The account username has been modified successfully.  
[root@mediator-host ~]#
```

## Change the password

### About this task

This task is performed on the Linux host on which the ONTAP Mediator service is installed.

If you are unable to reach this command, you might need to run the command using the full path as shown in the following example:

```
/usr/local/bin/mediator_change_password
```

### Procedure

Change the password by choosing one of the following options:

- Run the `mediator_change_password` command and respond to the prompts as shown in the following example:

```
[root@mediator-host ~]# mediator_change_password  
Change the Mediator API password by entering the following values:  
Mediator API User Name: mediatoradmin  
Old Password:  
New Password:  
Confirm Password:  
The password has been updated successfully.  
[root@mediator-host ~]#
```

- Run the following command:

```
MEDIATOR_USERNAME= mediatoradmin MEDIATOR_PASSWORD=mediator1  
MEDIATOR_NEW_PASSWORD=mediator2 mediator_change_password
```

The example shows the password is changed from "mediator1" to "mediator2".

```
[root@mediator-host ~]# MEDIATOR_USERNAME=mediatoradmin  
MEDIATOR_PASSWORD=mediator1 MEDIATOR_NEW_PASSWORD=mediator2  
mediator_change_password  
The password has been updated successfully.  
[root@mediator-host ~]#
```

## Uninstall the ONTAP Mediator service

### Before you begin

If necessary, you can remove the ONTAP Mediator service. The Mediator must be disconnected from ONTAP before you remove the Mediator service.

### About this task

This task is performed on the Linux host on which the ONTAP Mediator service is installed.

If you are unable to reach this command, you might need to run the command using the full path as shown in the following example:

```
/usr/local/bin/uninstall_ontap_mediator
```

### Step

1. Uninstall the ONTAP Mediator service:

```
uninstall_ontap_mediator
```

```
[root@mediator-host ~]# uninstall_ontap_mediator  
  
ONTAP Mediator: Self Extracting Uninstaller  
  
+ Removing ONTAP Mediator. (Log:  
/tmp/ontap_mediator.GmRGdA/uninstall_ontap_mediator/remove.log)  
+ Remove successful.  
[root@mediator-host ~]#
```

## Manage MetroCluster sites with System Manager

### MetroCluster site management overview with System Manager

Beginning with ONTAP 9.8, you can use System Manager as a simplified interface for managing a configuration of a MetroCluster setup.

A MetroCluster configuration allows two clusters to mirror data to each other so if one cluster goes down, the data isn't lost.

Typically, an organization sets up the clusters in two separate geographical locations. An administrator at each location sets up a cluster and configures it. Then one of the administrators can set up the peering between the

clusters so that they can share data.

The organization can also install an ONTAP Mediator in a third location. The ONTAP Mediator service monitors the status of each cluster. When one of the clusters detects that it cannot communicate with the partner cluster, it queries the monitor to determine if the error is a problem with the cluster system or with the network connection.

If the problem is with the network connection, the system administrator performs troubleshooting methods to correct the error and reconnect. If the partner cluster is down, the other cluster initiates a switchover process to control the data I/O for both clusters.

You can also perform a switchover to bring down one of the cluster systems for planned maintenance. The partner cluster handles all data I/O operations for both clusters until you bring up the cluster on which you performed maintenance and perform a switchback operation.

You can manage the following operations:

- [Set up an IP MetroCluster site](#)
- [Set up IP MetroCluster peering](#)
- [Configure an IP MetroCluster site](#)
- [Perform IP MetroCluster switchover and switchback](#)
- [Troubleshoot problems with IP MetroCluster configurations](#)
- [Upgrade ONTAP on MetroCluster clusters](#)

## Set up an IP MetroCluster site

Beginning with ONTAP 9.8, you can use System Manager to set up an IP configuration of a MetroCluster site.

A MetroCluster site consists of two clusters. Typically, the clusters are located in different geographical locations.

### Before you start

- Your system should already be installed and cabled according to the [Installation and Setup Instructions](#) that came with the system.
- Cluster network interfaces should be configured on each node of each cluster for intra-cluster communication.

### Assign a node-management IP address

#### Windows System

You should connect your Windows computer to the same subnet as the controllers. This will automatically assign a node-management IP address to your system.

#### Steps

1. From the Windows system, open the **Network** drive to discover the nodes.
2. Double-click the node to launch the cluster setup wizard.

## Other systems

You should configure the node-management IP address for one of the nodes in your cluster. You can use this node-management IP address to launch the cluster set up wizard.

See [Creating the cluster on the first node](#) for information about assigning a node-management IP address.

## Initialize and configure the cluster

You initialize the cluster by setting an administrative password for the cluster and setting up the cluster management and node management networks. You can also configure services like a DNS server to resolve host names and an NTP server to synchronize time.

### Steps

1. On a web browser, enter the node-management IP address that you have configured: "https://node-management-IP"

System Manager automatically discovers the remaining nodes in the cluster.

2. In the **Initialize Storage System** window, perform the following:
  - a. Enter cluster management network configuration data.
  - b. Enter Node management IP addresses for all the nodes.
  - c. Provide domain name servers (DNS) details.
  - d. In the **Other** section, select the check box labeled **Use time service (NTP)** to add the time servers.

When you click **Submit**, wait for the cluster to be created and configured. Then, a validation process occurs.

### What's Next?

After both clusters have been set up, initialized, and configured, perform the following procedure:

- [Set up IP MetroCluster peering](#)

## Configure ONTAP on a new cluster video



## Set up IP MetroCluster peering

Beginning with ONTAP 9.8, you can manage an IP configuration of a MetroCluster operation with System Manager. After setting up two clusters, you set up peering between them.

### Before you start

You should have completed the following procedure to set up two clusters:

- [Set up an IP MetroCluster site](#)

Certain steps of this process are performed by different system administrators located at the geographical sites of each cluster. For the purposes of explaining this process, the clusters are called "Site A cluster" and "Site B cluster".

### Performing the peering process from Site A

This process is performed by a system administrator at Site A.

#### Steps

1. Log in to Site A cluster.
2. In System Manager, select **Dashboard** from the left navigation column to display the cluster overview.

The dashboard shows the details for this cluster (Site A). In the **MetroCluster** section, Site A cluster is shown on the left.

3. Click **Attach Partner Cluster**.
4. Enter the details of the network interfaces that allow the nodes in Site A cluster to communicate with the nodes in Site B cluster.



5. Click **Save and Continue**.
6. On the **Attach Partner Cluster** window, select **I do not have a passphrase**, which lets you generate a passphrase.
7. Copy the generated passphrase and share it with the system administrator at Site B.
8. Select **Close**.

## Performing the peering process from Site B

This process is performed by a system administrator at Site B.

### Steps

1. Log in to Site B cluster.
2. In System Manager, select **Dashboard** to display the cluster overview.

The dashboard shows the details for this cluster (Site B). In the MetroCluster section, Site B cluster is shown on the left.

3. Click **Attach Partner Cluster** to start the peering process.
4. Enter the details of the network interfaces that allow the nodes in Site B cluster to communicate with the nodes in Site A cluster.
5. Click **Save and Continue**.
6. On the **Attach Partner Cluster** window, select **I have a passphrase**, which lets you enter the passphrase that you received from the system administrator at Site A.
7. Select **Peer** to complete the peering process.

### What's next?

After the peering process is successfully completed, you configure the clusters. See [Configure an IP MetroCluster site](#).

## Configure an IP MetroCluster site

Beginning with ONTAP 9.8, you can manage an IP configuration of a MetroCluster operation with System Manager. After setting up two clusters and peering them, you configure each cluster.

### Before you start

You should have completed the following procedures:

- [Set up an IP MetroCluster site](#)
- [Set up IP MetroCluster peering](#)

## Configure the connection between clusters

### Steps

1. Log in to System Manager on one of the sites, and select **Dashboard**.

In the **MetroCluster** section, the graphic shows the two clusters that you set up and peered for the MetroCluster sites. The cluster you are working from (local cluster) is shown on the left.

2. Click **Configure MetroCluster**. From this window, you can perform the following tasks:
  - a. The nodes for each cluster in the MetroCluster configuration are shown. Use the drop-down lists to select which nodes in the local cluster will be disaster recovery partners with which nodes in the remote cluster.
  - b. Click the check box if you want to configure an ONTAP Mediator service. See [Configure the ONTAP Mediator service](#).
  - c. If both clusters have a license to enable encryption, the **Encryption** section is displayed.

To enable encryption, enter a passphrase.

- d. Click the check box if you want to configure MetroCluster with shared layer 3 network.



The HA partner nodes and network switches connecting to the nodes must have a matching configuration.

3. Click **Save** to configure the MetroCluster sites.

On the **Dashboard**, in the **MetroCluster** section, the graphic shows a check mark on the link between the two clusters, indicating a healthy connection.


## Configure the ONTAP Mediator service

The ONTAP Mediator service is typically installed at a geographic location separate from either location of the clusters. The clusters communicate regularly with the service to indicate that they are up and running. If one of the clusters in the MetroCluster configuration detects that the communication with its partner cluster is down, it checks with the ONTAP Mediator to determine if the partner cluster itself is down.

### Before you start

Both clusters at the MetroCluster sites should be up and peered.

### Steps

1. In System Manager in ONTAP 9.8, select **Cluster > Settings**.
2. In the **Mediator** section, click .
3. On the **Configure Mediator** window, click **Add+**.
4. Enter the configuration details for the ONTAP Mediator.

## Perform IP MetroCluster switchover and switchback

You can switch over control from one IP MetroCluster site to the other to perform maintenance or recover from an issue.



Switchover and switchback procedures are supported only for IP MetroCluster configurations.

### Overview of switchover and switchback

A switchover can occur in two instances:

- **A planned switchover**

This switchover is initiated by a system administrator using System Manager. The planned switchover allows a system administrator of a local cluster to switch control so that the data services of the remote cluster are handled by the local cluster. Then, a system administrator at the remote cluster location can perform maintenance on the remote cluster.

- **An unplanned switchover**

In some cases, when a MetroCluster cluster goes down or the connections between the clusters are down, ONTAP will automatically initiate a switchover procedure so that the cluster that is still running handles the data handling responsibilities of the down cluster.

At other times, when ONTAP cannot determine the status of one of the clusters, the system administrator of the site that is working initiates the switchover procedure to take control of the data handling responsibilities of the other site.

For any type of switchover procedure, the data servicing capability is returned to the cluster by using a *switchback* process.

You perform different switchover and switchback processes for ONTAP 9.7 and 9.8:

- [Use System Manager in ONTAP 9.7 for switchover and switchback](#)
- [Use System Manager in ONTAP 9.8 for switchover and switchback](#)

## **Use System Manager in ONTAP 9.7 for switchover and switchback**

### **Steps**

1. Log in to System Manager in ONTAP 9.7.
2. Click **(Return to classic version)**.
3. Click **Configuration > MetroCluster**.

System Manager verifies whether a negotiated switchover is possible.


4. Perform one of the following substeps when the validation process has completed:
  - a. If validation fails, but Site B is up, then an error has occurred. For example, there might be a problem with a subsystem, or NVRAM mirroring might not be synchronized.
    - i. Fix the issue that is causing the error, click **Close**, and then start again at Step 2.
    - ii. Halt the Site B nodes, click **Close**, and then perform the steps in [Performing an unplanned switchover](#).
  - b. If validation fails, and Site B is down, then most likely there is a connection problem. Verify that Site B is really down, then perform the steps in [Performing an unplanned switchover](#).
5. Click **Switchover from Site B to Site A** to initiate the switchover process.
6. Click **Switch to the new experience**.

## **Use System Manager in ONTAP 9.8 for switchover and switchback**

### **Perform a planned switchover (ONTAP 9.8)**

### **Steps**

1. Log in to System Manager in ONTAP 9.8.

2. Select **Dashboard**. In the **MetroCluster** section, the two clusters are shown with a connection.
3. In the local cluster (shown on the left), click , and select **Take control of remote site**.

After the switchover request is validated, control is transferred from the remote site to the local site, which performs data service requests for both clusters.

The remote cluster reboots, but the storage components are not active, and the cluster does not service data requests. It is now available for planned maintenance.



The remote cluster should not be used for data servicing until you perform a switchback.

### Perform an unplanned switchover (ONTAP 9.8)

An unplanned switchover might be initiated automatically by ONTAP. If ONTAP cannot determine if a switchback is needed, the system administrator of the MetroCluster site that is still running initiates the switchover with the following steps:

#### Steps

1. Log in to System Manager in ONTAP 9.8.
2. Select **Dashboard**.

In the **MetroCluster** section, the connection between the two clusters is shown with an "X" on it, meaning a connection cannot be detected. Either the connections or the cluster is down.

3. In the local cluster (shown on the left), click , and select **Take control of remote site**.

After the switchover request is validated, control is transferred from the remote site to the local site, which performs data service requests for both clusters.

The cluster must be repaired before it is brought online again.



After the remote cluster is brought online again, it should not be used for data servicing until you perform a switchback.

### Perform a switchback (ONTAP 9.8)


#### Before you start

Whether the remote cluster was down due to planned maintenance or due to a disaster, it should now be up and running and waiting for the switchback.

#### Steps

1. On the local cluster, log in to System Manager in ONTAP 9.8.
2. Select **Dashboard**.

In the **MetroCluster** section, the two clusters are shown.

3. In the local cluster (shown on the left), click , and select **Take back control**.

The data is *healed* first, to ensure data is synchronized and mirrored between both clusters.

4. When the data healing is complete, click , and select **Initiate switchback**.

When the switchback is complete, both clusters are active and servicing data requests. Also, the data is being mirrored and synchronized between the clusters.

## Modify address, netmask, and gateway in a MetroCluster IP

Beginning with ONTAP 9.10.1, you can change the following properties of a MetroCluster IP interface: IP address and mask, and gateway. You can use any combination of parameters to update.

You might need to update these properties, for example, if a duplicate IP address is detected or if a gateway needs to change in the case of a layer 3 network due to router configuration changes. You can only change one interface at a time. There will be traffic disruption on that interface until the other interfaces are updated and connections are reestablished.



You must make the changes on each port. Similarly, network switches also need to update their configuration. For example, if the gateway is updated, ideally it is changed on both nodes of an HA pair, since they are same. Plus the switch connected to those nodes also needs to update its gateway.

### Step

Update the IP address, netmask, and gateway for a each node and interface.

## Troubleshoot problems with IP MetroCluster configurations

Beginning with ONTAP 9.8, System Manager monitors the health of IP MetroCluster configurations and helps you identify and correct problems that might occur.

### Overview of the MetroCluster Health Check

System Manager periodically checks the health of your IP MetroCluster configuration. When you view the MetroCluster section in the Dashboard, usually the message is "MetroCluster systems are healthy."

However, when a problem occurs, the message will show the number of events. You can click on that message and view the results of the health check for the following components:

- Node
- Network Interface
- Tier (Storage)
- Cluster
- Connection
- Volume
- Configuration Replication

The **Status** column identifies which components have problems, and the **Details** column suggests how to correct the problem.

## MetroCluster troubleshooting

### Steps

1. In System Manager, select **Dashboard**.
2. In the **MetroCluster** section, notice the message.
  - a. If the message indicates that your MetroCluster configuration is healthy, and the connections between the clusters and the ONTAP Mediator are healthy (shown with check marks), then you have no problems to correct.
  - b. If the message lists the number of events, or the connections have gone down (shown with an "X"), then continue to the next step.
3. Click the message that shows the number of events.

The MetroCluster Health Report displays.

4. Troubleshoot the problems that appear in the report using the suggestions in the **Details** column.
5. When all the problems have been corrected, click **Check MetroCluster Health**.



The MetroCluster Health Check uses an intensive amount of resources, so it is recommended that you perform all your troubleshooting tasks before running the check.

The MetroCluster Health Check runs in the background. You can work on other tasks while you wait for it to finish.

## Data protection using tape backup

### Tape backup of FlexVol volumes overview

ONTAP supports tape backup and restore through Network Data Management Protocol (NDMP). NDMP allows you to back up data in storage systems directly to tape, resulting in efficient use of network bandwidth. ONTAP supports both dump and SMTape engines for tape backup.

You can perform a dump or SMTape backup or restore by using NDMP-compliant backup applications. Only NDMP version 4 is supported.

#### Tape backup using dump

Dump is a Snapshot copy based backup in which your file system data is backed up to tape. The ONTAP dump engine backs up files, directories, and the applicable access control list (ACL) information to tape. You can back up an entire volume, an entire qtree, or a subtree that is not an entire volume or an entire qtree. Dump supports baseline, differential, and incremental backups.

#### Tape backup using SMTape

SMTape is a Snapshot copy based disaster recovery solution from ONTAP that backs up blocks of data to tape. You can use SMTape to perform volume backups to tapes. However, you cannot perform a backup at the qtree or subtree level. SMTape supports baseline, differential, and incremental backups.

### Tape backup and restore workflow

You can perform tape backup and restore operations by using an NDMP-enabled backup application.

## About this task

The tape backup and restore workflow provides an overview of the tasks that are involved in performing tape backup and restore operations. For detailed information about performing a backup and restore operation, see the backup application documentation.

## Steps

1. Set up a tape library configuration by choosing an NDMP-supported tape topology.
2. Enable NDMP services on your storage system.

You can enable the NDMP services either at the node level or at the storage virtual machine (SVM) level. This depends on the NDMP mode in which you choose to perform the tape backup and restore operation.

3. Use NDMP options to manage NDMP on your storage system.

You can use NDMP options either at the node level or at the SVM level. This depends on the NDMP mode in which you choose to perform the tape backup and restore operation.

You can modify the NDMP options at the node level by using the `system services ndmp modify` command and at the SVM level by using the `vserver services ndmp modify` command. For more information about these commands, see the man pages.

4. Perform a tape backup or restore operation by using an NDMP-enabled backup application.

ONTAP supports both dump and SMTape engines for tape backup and restore.

For more information about using the backup application (also called *Data Management Applications* or *DMAs*) to perform backup or restore operations, see your backup application documentation.

## Related information

[Common NDMP tape backup topologies](#)

[Understanding dump engine for FlexVol volumes](#)

## Use cases for choosing a tape backup engine

ONTAP supports two backup engines: SMTape and dump. You should be aware of the use cases for the SMTape and dump backup engines to help you choose the backup engine to perform tape backup and restore operations.

Dump can be used in the following cases:

- Direct Access Recovery (DAR) of files and directories
- Backup of a subset of subdirectories or files in a specific path
- Excluding specific files and directories during backups
- Preserving backup for long durations

SMTape can be used in the following cases:

- Disaster recovery solution
- Preserving deduplication savings and deduplication settings on the backed up data during a restore operation

- Backup of large volumes

## Manage tape drives


### Manage tape drives overview

You can verify tape library connections and view tape drive information before performing a tape backup or restore operation. You can use a nonqualified tape drive by emulating this to a qualified tape drive. You can also assign and remove tape aliases in addition to viewing existing aliases.

When you back up data to tape, the data is stored in tape files. File marks separate the tape files, and the files have no names. You specify a tape file by its position on the tape. You write a tape file by using a tape device. When you read the tape file, you must specify a device that has the same compression type that you used to write that tape file.

### Commands for managing tape drives, media changers, and tape drive operations

There are commands for viewing information about tape drives and media changers in a cluster, bringing a tape drive online and taking it offline, modifying the tape drive cartridge position, setting and clearing tape drive alias name, and resetting a tape drive. You can also view and reset tape drive statistics.

If you want to...	Use this command...
Bring a tape drive online	<code>storage tape online</code>
Clear an alias name for tape drive or media changer	<code>storage tape alias clear</code>
Enable or disable a tape trace operation for a tape drive	<code>storage tape trace</code>
Modify the tape drive cartridge position	<code>storage tape position</code>
Reset a tape drive	<div> <code>storage tape reset</code> </div> <div>  This command is available only at the advanced privilege level.         </div>
Set an alias name for tape drive or media changer	<code>storage tape alias set</code>
Take a tape drive offline	<code>storage tape offline</code>
View information about all tape drives and media changers	<code>storage tape show</code>



If you want to...	Use this command...
View information about tape drives attached to the cluster	<ul style="list-style-type: none"> <li><code>storage tape show-tape-drive</code></li> <li><code>system node hardware tape drive show</code></li> </ul>
View information about media changers attached to the cluster	<code>storage tape show-media-changer</code>
View error information about tape drives attached to the cluster	<code>storage tape show-errors</code>
View all ONTAP qualified and supported tape drives attached to each node in the cluster	<code>storage tape show-supported-status</code>
View aliases of all tape drives and media changers attached to each node in the cluster	<code>storage tape alias show</code>
Reset the statistics reading of a tape drive to zero	<code>storage stats tape zero tape_name</code>  You must use this command at the nodeshell.
View tape drives supported by ONTAP	<code>storage show tape supported [-v]</code>  You must use this command at the nodeshell. You can use the <code>-v</code> option to view more details about each tape drive.
View tape device statistics to understand tape performance and check usage pattern	<code>storage stats tape tape_name</code>  You must use this command at the nodeshell.

For more information about these commands, see the man pages.

### Use a nonqualified tape drive

You can use a nonqualified tape drive on a storage system if it can emulate a qualified tape drive. It is then treated like a qualified tape drive. To use a nonqualified tape drive, you must first determine whether it emulates any of the qualified tape drives.

#### About this task

A nonqualified tape drive is one that is attached to the storage system, but not supported or recognized by ONTAP.

#### Steps

1. View the nonqualified tape drives attached to a storage system by using the `storage tape show-supported-status` command.

The following command displays tape drives attached to the storage system and the support and

qualification status of each tape drive. The nonqualified tape drives are also listed.  
tape\_drive\_vendor\_name is a nonqualified tape drive attached to the storage system, but not supported by ONTAP.

```
cluster1::> storage tape show-supported-status -node Node1
```

Node: Node1		
	Is	
Tape Drive	Supported	Support Status
-----	-----	-----
"tape_drive_vendor_name"	false	Nonqualified tape drive
Hewlett-Packard C1533A	true	Qualified
Hewlett-Packard C1553A	true	Qualified
Hewlett-Packard Ultrium 1	true	Qualified
Sony SDX-300C	true	Qualified
Sony SDX-500C	true	Qualified
StorageTek T9840C	true	Dynamically Qualified
StorageTek T9840D	true	Dynamically Qualified
Tandberg LTO-2 HH	true	Dynamically Qualified

## 2. Emulate the qualified tape drive.

[NetApp Downloads: Tape Device Configuration Files](#)

### Related information

[What qualified tape drives are](#)

### Assign tape aliases

For easy device identification, you can assign tape aliases to a tape drive or medium changer. Aliases provide a correspondence between the logical names of backup devices and a name permanently assigned to the tape drive or medium changer.

#### Steps

1. Assign an alias to a tape drive or medium changer by using the `storage tape alias set` command.

For more information about this command, see the man pages.

You can view the serial number (SN) information about the tape drives by using the `system node hardware tape drive show` command and about tape libraries by using the `system node hardware tape library show` commands.

The following command sets an alias name to a tape drive with serial number SN[123456]L4 attached to the node, cluster1-01:

```
cluster-01::> storage tape alias set -node cluster-01 -name st3  
-mapping SN[123456]L4
```

The following command sets an alias name to a media changer with serial number SN[65432] attached to the node, cluster1-01:

```
cluster-01::> storage tape alias set -node cluster-01 -name mc1  
-mapping SN[65432]
```

### Related information

[What tape aliasing is](#)

[Removing tape aliases](#)

### Remove tape aliases

You can remove aliases by using the `storage tape alias clear` command when persistent aliases are no longer required for a tape drive or medium changer.

#### Steps

1. Remove an alias from a tape drive or medium changer by using the `storage tape alias clear` command.

For more information about this command, see the man pages.

The following command removes the aliases of all tape drives by specifying the scope of the alias clear operation to `tape`:

```
cluster-01::>storage tape alias clear -node cluster-01 -clear-scope tape
```

### After you finish

If you are performing a tape backup or restore operation using NDMP, then after you remove an alias from a tape drive or medium changer, you must assign a new alias name to the tape drive or medium changer to continue access to the tape device.

### Related information

[What tape aliasing is](#)

[Assigning tape aliases](#)

### Enabling or disabling tape reservations

You can control how ONTAP manages tape device reservations by using the `tape.reservations` option. By default, tape reservation is turned off.

#### About this task

Enabling the tape reservations option can cause problems if tape drives, medium changers, bridges, or libraries do not work properly. If tape commands report that the device is reserved when no other storage systems are using the device, this option should be disabled.

#### Steps

1. To use either the SCSI Reserve/Release mechanism or SCSI Persistent Reservations or to disable tape reservations, enter the following command at the cluster shell:

```
options -option-name tape.reservations -option-value {scsi | persistent | off}
```

`scsi` selects the SCSI Reserve/Release mechanism.

`persistent` selects SCSI Persistent Reservations.

`off` disables tape reservations.

## Related information

[What tape reservations are](#)

## Commands for verifying tape library connections

You can view information about the connection path between a storage system and a tape library configuration attached to the storage system. You can use this information to verify the connection path to the tape library configuration or for troubleshooting issues related to the connection paths.

You can view the following tape library details to verify the tape library connections after adding or creating a new tape library, or after restoring a failed path in a single-path or multipath access to a tape library. You can also use this information while troubleshooting path-related errors or if access to a tape library fails.

- Node to which the tape library is attached
- Device ID
- NDMP path
- Tape library name
- Target port and initiator port IDs
- Single-path or multipath access to a tape library for every target or FC initiator port
- Path-related data integrity details, such as “Path Errors” and “Path Qual”
- LUN groups and LUN counts

If you want to...	Use this command...
View information about a tape library in a cluster	<code>system node hardware tape library show</code>
View path information for a tape library	<code>storage tape library path show</code>
View path information for a tape library for every initiator port	<code>storage tape library path show-by-initiator</code>
View connectivity information between a storage tape library and cluster	<code>storage tape library config show</code>

For more information about these commands, see the man pages.

## About tape drives

### Qualified tape drives overview

You must use a qualified tape drive that has been tested and found to work properly on a storage system. You can follow tape aliasing and also enable tape reservations to ensure that only one storage system accesses a tape drive at any particular time.

A qualified tape drive is a tape drive that has been tested and found to work properly on storage systems. You can qualify tape drives for existing ONTAP releases by using the tape configuration file.

### Format of the tape configuration file

The tape configuration file format consists of fields such as vendor ID, product ID, and details of compression types for a tape drive. This file also consists of optional fields for enabling the autoloader feature of a tape drive and changing the command timeout values of a tape drive.

The following table displays the format of the tape configuration file:

Item	Size	Description
vendor_id (string)	up to 8 bytes	The vendor ID as reported by the SCSI Inquiry command.
product_id(string)	up to 16 bytes	The product ID as reported by the SCSI Inquiry command.
id_match_size(number)		The number of bytes of the product ID to be used for matching to detect the tape drive to be identified, beginning with the first character of the product ID in the Inquiry data.
vendor_pretty (string)	up to 16 bytes	If this parameter is present, it is specified by the string displayed by the command, <code>storage tape show -device-names</code> ; otherwise, <code>INQ_VENDOR_ID</code> is displayed.
product_pretty(string)	up to 16 bytes	If this parameter is present, it is specified by the string displayed by the command, <code>storage tape show -device-names</code> ; otherwise, <code>INQ_PRODUCT_ID</code> is displayed.




The `vendor_pretty` and `product_pretty` fields are optional, but if one of these fields has a value, the other must also have a value.

The following table explains the description, density code, and compression algorithm for the various compression types, such as l, m, h, and a:

Item	Size	Description
<code>{l   m   h   a}_description=(string)</code>	up to 24 bytes	The string to print for the nodeshell command, <code>sysconfig -t</code> , that describes characteristics of the particular density setting.
<code>{l   m   h   a}_density=(hex codes)</code>		The density code to be set in the SCSI mode page block descriptor corresponding to the desired density code for l, m, h, or a.
<code>{l   m   h   a}_algorithm=(hex codes)</code>		The compression algorithm to be set in the SCSI Compression Mode Page corresponding to the density code and the desired density characteristic.

The following table describes the optional fields available in the tape configuration file:

Field	Description
<code>autoload=(Boolean yes/no)</code>	This field is set to <code>yes</code> if the tape drive has an automatic loading feature; that is, after tape cartridge is inserted, the tape drive becomes ready without the need to execute a SCSI <code>load (start/stop unit)</code> command. The default for this field is <code>no</code> .
<code>cmd_timeout_0x</code>	Individual timeout value. You must use this field only if you want to specify a different timeout value from the one being used as a default by the tape driver. The sample file lists the default SCSI command timeout values used by the tape drive. The timeout value can be expressed in minutes (m), seconds (s), or milliseconds (ms).   You should not change this field.

You can download and view the tape configuration file from the NetApp Support Site.

#### Example of a tape configuration file format

The tape configuration file format for the HP LTO5 ULTRIUM tape drive is as follows:

```
vendor_id="HP"
```

```
product_id="Ultrium 5-SCSI"

id_match_size=9

vendor_pretty="Hewlett-Packard"

product_pretty="LTO-5"

l_description="LTO-3(ro)/4 4/800GB"

l_density=0x00

l_algorithm=0x00

m_description="LTO-3(ro)/4 8/1600GB cmp"

m_density=0x00

m_algorithm=0x01

h_description="LTO-5 1600GB"

h_density=0x58

h_algorithm=0x00

a_description="LTO-5 3200GB cmp"

a_density=0x58

a_algorithm=0x01

autoload="yes"
```

## Related information

[NetApp Tools: Tape Device Configuration Files](#)

## How the storage system qualifies a new tape drive dynamically

The storage system qualifies a tape drive dynamically by matching its vendor ID and product ID with the information contained in the tape qualification table.

When you connect a tape drive to the storage system, it looks for a vendor ID and product ID match between the information obtained during tape discovery and the information in the internal tape qualification table. If the storage system discovers a match, it marks the tape drive as qualified and can access the tape drive. If the storage system cannot find a match, the tape drive remains in the unqualified state and is not accessed.

## Tape devices overview

### Tape devices overview

A tape device is a representation of a tape drive. It is a specific combination of rewind

## type and compression capability of a tape drive.

A tape device is created for each combination of rewind type and compression capability. Therefore, a tape drive or tape library can have several tape devices associated with it. You must specify a tape device to move, write, or read tapes.

When you install a tape drive or tape library on a storage system, ONTAP creates tape devices associated with the tape drive or tape library.

ONTAP detects tape drives and tape libraries and assigns logical numbers and tape devices to them. ONTAP detects the Fibre Channel, SAS, and parallel SCSI tape drives and libraries when they are connected to the interface ports. ONTAP detects these drives when their interfaces are enabled.

### Tape device name format

Each tape device has an associated name that appears in a defined format. The format includes information about the type of device, rewind type, alias, and compression type.

The format of a tape device name is as follows:

```
rewind_type st alias_number compression_type
```

`rewind_type` is the rewind type.

The following list describes the various rewind type values:

- **r**

ONTAP rewinds the tape after it finishes writing the tape file.

- **nr**

ONTAP does not rewind the tape after it finishes writing the tape file. You must use this rewind type when you want to write multiple tape files on the same tape.

- **ur**

This is the unload/reload rewind type. When you use this rewind type, the tape library unloads the tape when it reaches the end of a tape file, and then loads the next tape, if there is one.

You must use this rewind type only under the following circumstances:

- The tape drive associated with this device is in a tape library or is in a medium changer that is in the library mode.
- The tape drive associated with this device is attached to a storage system.
- Sufficient tapes for the operation that you are performing are available in the library tape sequence defined for this tape drive.



If you record a tape using a no-rewind device, you must rewind the tape before you read it.

`st` is the standard designation for a tape drive.

`alias_number` is the alias that ONTAP assigns to the tape drive. When ONTAP detects a new tape drive,



ONTAP assigns an alias to the tape drive.

`compression_type` is a drive-specific code for the density of data on the tape and the type of compression.

The following list describes the various values for `compression_type`:

- **a**  
Highest compression
- **h**  
High compression
- **m**  
Medium compression
- **l**  
Low compression

### Examples

`nrst0a` specifies a no-rewind device on tape drive 0 using the highest compression.

### Example of a listing of tape devices

The following example shows the tape devices associated with HP Ultrium 2-SCSI:

```
Tape drive (fc202_6:2.126L1)  HP      Ultrium 2-SCSI
rst0l - rewind device,          format is: HP (200GB)
nrst0l - no rewind device,       format is: HP (200GB)
urst0l - unload/reload device,   format is: HP (200GB)
rst0m - rewind device,          format is: HP (200GB)
nrst0m - no rewind device,       format is: HP (200GB)
urst0m - unload/reload device,   format is: HP (200GB)
rst0h - rewind device,          format is: HP (200GB)
nrst0h - no rewind device,       format is: HP (200GB)
urst0h - unload/reload device,   format is: HP (200GB)
rst0a - rewind device,          format is: HP (400GB w/comp)
nrst0a - no rewind device,       format is: HP (400GB w/comp)
urst0a - unload/reload device,   format is: HP (400GB w/comp)
```

The following list describes the abbreviations in the preceding example:

- GB—Gigabytes; this is the capacity of the tape.
- w/comp—With compression; this shows the tape capacity with compression.

**Supported number of simultaneous tape devices**

ONTAP supports a maximum of 64 simultaneous tape drive connections, 16 medium changers, and 16 bridge or router devices for each storage system (per node) in any mix of Fibre Channel, SCSI, or SAS attachments.

Tape drives or medium changers can be devices in physical or virtual tape libraries or stand-alone devices.



Although a storage system can detect 64 tape drive connections, the maximum number of backup and restore sessions that can be performed simultaneously depends upon the scalability limits of the backup engine.

**Related information**

[Scalability limits for dump backup and restore sessions](#)

**Tape aliasing**

**Tape aliasing overview**

Aliasing simplifies the process of device identification. Aliasing binds a physical path name (PPN) or a serial number (SN) of a tape or a medium changer to a persistent, but modifiable alias name.

The following table describes how tape aliasing enables you to ensure that a tape drive (or tape library or medium changer) is always associated with a single alias name:

Scenario	Reassigning of the alias
When the system reboots	The tape drive is automatically reassigned its previous alias.
When a tape device moves to another port	The alias can be adjusted to point to the new address.
When more than one system uses a particular tape device	The user can set the alias to be the same for all the systems.



When you upgrade from Data ONTAP 8.1.x to Data ONTAP 8.2.x, the tape alias feature of Data ONTAP 8.2.x modifies the existing tape alias names. In such a case you might have to update the tape alias names in the backup application.

Assigning tape aliases provides a correspondence between the logical names of backup devices (for example, st0 or mc1) and a name permanently assigned to a port, a tape drive, or a medium changer.



st0 and st00 are different logical names.



Logical names and serial numbers are used only to access a device. After the device is accessed, it returns all error messages by using the physical path name.

There are two types of names available for aliasing: physical path name and serial number.

## What physical path names are

Physical path names (PPNs) are the numerical address sequences that ONTAP assigns to tape drives and tape libraries based on the SCSI-2/3 adapter or switch (specific location) they are connected to the storage system. PPNs are also known as electrical names.

PPNs of direct-attached devices use the following format: `host_adapter.device_id_lun`



The LUN value is displayed only for tape and medium changer devices whose LUN values are not zero; that is, if the LUN value is zero the `lun` part of the PPN is not displayed.

For example, the PPN 8.6 indicates that the host adapter number is 8, the device ID is 6, and the logical unit number (LUN) is 0.

SAS tape devices are also direct-attached devices. For example, the PPN 5c.4 indicates that in a storage system, the SAS HBA is connected in slot 5, SAS tape is connected to port C of the SAS HBA, and the device ID is 4.

PPNs of Fibre Channel switch-attached devices use the following format: `switch:port_id.device_id_lun`

For example, the PPN MY\_SWITCH:5.3L2 indicates that the tape drive connected to port 5 of a switch called MY\_SWITCH is set with device ID 3 and has the LUN 2.

The LUN (logical unit number) is determined by the drive. Fibre Channel, SCSI tape drives and libraries, and disks have PPNs.

PPNs of tape drives and libraries do not change unless the name of the switch changes, the tape drive or library moves, or the tape drive or library is reconfigured. PPNs remain unchanged after reboot. For example, if a tape drive named MY\_SWITCH:5.3L2 is removed and a new tape drive with the same device ID and LUN is connected to port 5 of the switch MY\_SWITCH, the new tape drive would be accessible by using MY\_SWITCH:5.3L2.

## What serial numbers are

A serial number (SN) is a unique identifier for a tape drive or a medium changer. ONTAP generates aliases based on SN instead of the WWN.

Since the SN is a unique identifier for a tape drive or a medium changer, the alias remains the same regardless of the multiple connection paths to the tape drive or medium changer. This helps storage systems to track the same tape drive or medium changer in a tape library configuration.

The SN of a tape drive or a medium changer does not change even if you rename the Fibre Channel switch to which the tape drive or medium changer is connected. However, in a tape library if you replace an existing tape drive with a new one, then ONTAP generates new aliases because the SN of the tape drive changes. Also, if you move an existing tape drive to a new slot in a tape library or remap the tape drive's LUN, ONTAP generates a new alias for that tape drive.



You must update the backup applications with the newly generated aliases.

The SN of a tape device uses the following format: `SN[xxxxxxxxxx]L[X]`

x is an alphanumeric character and Lx is the LUN of the tape device. If the LUN is 0, the Lx part of the string is not displayed.

Each SN consists of up to 32 characters; the format for the SN is not case-sensitive.

**Considerations when configuring multipath tape access**

You can configure two paths from the storage system to access the tape drives in a tape library. If one path fails, the storage system can use the other paths to access the tape drives without having to immediately repair the failed path. This ensures that tape operations can be restarted.

You must consider the following when configuring multipath tape access from your storage system:

- In tape libraries that support LUN mapping, for multipath access to a LUN group, LUN mapping must be symmetrical on each path.  
  
Tape drives and media changers are assigned to LUN groups (set of LUNs that share the same initiator path set) in a tape library. All tape drives of a LUN group must be available for backup and restore operations on all multiple paths.
- A maximum of two paths can be configured from the storage system to access the tape drives in a tape library.
- Multipath tape access supports load balancing. Load balancing is disabled by default.

In the following example, the storage system accesses LUN group 0 through two initiator paths: 0b and 0d. In both these paths, the LUN group has the same LUN number, 0, and LUN count, 5. The storage system accesses LUN group 1 through only one initiator path, 3d.

```
STSW-3070-2_cluster::> storage tape library config show

Node                               LUN Group  LUN Count  Library Name  Library
Target Port  Initiator
-----
STSW-3070-2_cluster-01            0           5      IBM 3573-TL_1
510a09800000412d      0b
0d
                               1           2      IBM 3573-TL_2
50050763124b4d6f      3d

3 entries were displayed
```

For more information, see the man pages.

**How you add tape drives and libraries to storage systems**

You can add tape drives and libraries to storage system dynamically (without taking the

storage system offline).

When you add a new medium changer, the storage system detects its presence and adds it to the configuration. If the medium changer is already referenced in the alias information, no new logical names are created. If the library is not referenced, the storage system creates a new alias for the medium changer.

In a tape library configuration, you must configure a tape drive or medium changer on LUN 0 of a target port for ONTAP to discover all medium changers and tape drives on that target port.

### What tape reservations are

Multiple storage systems can share access to tape drives, medium changers, bridges, or tape libraries. Tape reservations ensure that only one storage system accesses a device at any particular time by enabling either the SCSI Reserve/Release mechanism or SCSI Persistent Reservations for all tape drives, medium changers, bridges, and tape libraries.



All the systems that share devices in a library, whether switches are involved or not, must use the same reservation method.

The SCSI Reserve/Release mechanism for reserving devices works well under normal conditions. However, during interface error recovery procedures, reservations can be lost. If this occurs, initiators other than the reserved owner can access the device.

Reservations made with SCSI Persistent Reservations are not affected by error recovery mechanisms, such as loop reset or target reset; however, not all devices implement SCSI Persistent Reservations correctly.

## Transfer data using ndmcopy

### Transfer data using ndmcopy overview

The `ndmcopy` nodeshell command transfers data between storage systems that support NDMP v4. You can perform both full and incremental data transfers. You can transfer full or partial volumes, qtrees, directories, or individual files.

#### About this task

Using ONTAP 8.x and earlier releases, incremental transfers are limited to a maximum of two levels (one full and up to two incremental backups).


Beginning with ONTAP 9.0 and later releases, incremental transfers are limited to a maximum of nine levels (one full and up to nine incremental backups).

You can run `ndmcopy` at the nodeshell command line of the source and destination storage systems, or a storage system that is neither the source nor the destination of the data transfer. You can also run `ndmcopy` on a single storage system that is both the source and the destination of the data transfer.

You can use IPv4 or IPv6 addresses of the source and destination storage systems in the `ndmcopy` command. The path format is `/vserver_name/volume_name \[path\]`.

#### Steps

1. Enable NDMP service on the source and destination storage systems:

If you are performing data transfer at the source or destination in...	Use the following command...
SVM-scoped NDMP mode	<pre>vserver services ndmp on</pre> <div>  <p>For NDMP authentication in the admin SVM, the user account is <code>admin</code> and the user role is <code>admin</code> or <code>backup</code>. In the data SVM, the user account is <code>vsadmin</code> and the user role is <code>vsadmin</code> or <code>vsadmin-backup</code> role.</p> </div>
Node-scoped NDMP mode	<pre>system services ndmp on</pre>

2. Transfer data within a storage system or between storage systems using the `ndmpcopy` command at the nodeshell:

```
::> system node run -node <node_name> < ndmpcopy [options]
source_IP:source_path destination_IP:destination_path [-mcs {inet|inet6}] [-mcd {inet|inet6}] [-md {inet|inet6}]
```



DNS names are not supported in `ndmpcopy`. You must provide the IP address of the source and the destination. The loopback address (127.0.0.1) is not supported for the source IP address or the destination IP address.

- The `ndmpcopy` command determines the address mode for control connections as follows:
  - The address mode for control connection corresponds to the IP address provided.
  - You can override these rules by using the `-mcs` and `-mcd` options.
- If the source or the destination is the ONTAP system, then depending on the NDMP mode (node-scoped or SVM-scoped), use an IP address that allows access to the target volume.
- `source_path` and `destination_path` are the absolute path names till the granular level of volume, `qtree`, directory or file.
- `-mcs` specifies the preferred addressing mode for the control connection to the source storage system.

`inet` indicates an IPv4 address mode and `inet6` indicates an IPv6 address mode.

- `-mcd` specifies the preferred addressing mode for the control connection to the destination storage system.

`inet` indicates an IPv4 address mode and `inet6` indicates an IPv6 address mode.

- `-md` specifies the preferred addressing mode for data transfers between the source and the destination storage systems.

`inet` indicates an IPv4 address mode and `inet6` indicates an IPv6 address mode.

If you do not use the `-md` option in the `ndmpcopy` command, the addressing mode for the data

connection is determined as follows:

- If either of the addresses specified for the control connections is an IPv6 address, the address mode for the data connection is IPv6.
- If both the addresses specified for the control connections are IPv4 addresses, the `ndmcopy` command first attempts an IPv6 address mode for the data connection.

If that fails, the command uses an IPv4 address mode.



An IPv6 address, if specified, must be enclosed within square brackets.

This sample command migrates data from a source path (`source_path`) to a destination path (`destination_path`).

```
> ndmcopy -sa admin:<ndmp_password> -da admin:<ndmp_password>
  -st md5 -dt md5 192.0.2.129:/<src_svm>/<src_vol>
192.0.2.131:/<dst_svm>/<dst_vol>
```

This sample command explicitly sets the control connections and the data connection to use IPv6 address mode:


```
> ndmcopy -sa admin:<ndmp_password> -da admin:<ndmp_password> -st
md5 -dt md5 -mcs inet6 -mcd inet6 -md
  inet6 [2001:0db8:1:1:209:6bff:feae:6d67]:/<src_svm>/<src_vol>
[2001:0ec9:1:1:200:7cgg:gfdg:7e78]:/<dst_svm>/<dst_vol>
```

## Options for the `ndmcopy` command

You should understand the options available for the `ndmcopy` `nodeshell` command to successfully transfer data.

The following table lists the available options. For more information, see the `ndmcopy` man pages available through the `nodeshell`.

Option	Description
<code>-sa username:[password]</code>	<p>This option sets the source authentication user name and password for connecting to the source storage system. This is a mandatory option.</p> <p>For a user without admin privilege, you must specify the user's system-generated NDMP-specific password. The system-generated password is mandatory for both admin and non-admin users.</p>

Option	Description
<code>-da username:[password]</code>	This option sets the destination authentication user name and password for connecting to the destination storage system. This is a mandatory option.
<code>-st {md5 text}</code>	This option sets the source authentication type to be used when connecting to the source storage system. This is a mandatory option and therefore the user should provide either the <code>text</code> or <code>md5</code> option.
<code>-dt {md5 text}</code>	This option sets the destination authentication type to be used when connecting to the destination storage system.
<code>-l</code>	This option sets the dump level used for the transfer to the specified value of level. Valid values are 0, 1, to 9, where 0 indicates a full transfer and 1 to 9 specifies an incremental transfer. The default is 0.
<code>-d</code>	This option enables generation of ndmpcopy debug log messages. The ndmpcopy debug log files are located in the <code>/mroot/etc/log</code> root volume. The ndmpcopy debug log file names are in the <code>ndmpcopy.yyyymmdd</code> format.
<code>-f</code>	This option enables the forced mode. This mode enables system files to be overwritten in the <code>/etc</code> directory on the root of the 7-Mode volume.
<code>-h</code>	This option prints the help message.
<code>-p</code>	<p>This option prompts you to enter the password for source and destination authorization. This password overrides the password specified for <code>-sa</code> and <code>-da</code> options.</p> <div>  <p>You can use this option only when the command is running in an interactive console.</p> </div>
<code>-exclude</code>	This option excludes specified files or directories from the path specified for data transfer. The value can be a comma-separated list of directory or file names such as <code>.pst</code> or <code>.txt</code> .



## NDMP for FlexVol volumes

### About NDMP for FlexVol volumes

The Network Data Management Protocol (NDMP) is a standardized protocol for controlling backup, recovery, and other types of data transfer between primary and secondary storage devices, such as storage systems and tape libraries.

By enabling NDMP support on a storage system, you enable that storage system to communicate with NDMP-enabled network-attached backup applications (also called *Data Management Applications* or *DMAs*), data servers, and tape servers participating in backup or recovery operations. All network communications occur over TCPIP or TCP/IPv6 network. NDMP also provides low-level control of tape drives and medium changers.

You can perform tape backup and restore operations in either node-scoped NDMP mode or storage virtual machine (SVM) scoped NDMP mode.

You must be aware of the considerations that you have to take into account while using NDMP, list of environment variables, and supported NDMP tape backup topologies. You can also enable or disable the enhanced DAR functionality. The two authentication methods supported by ONTAP for authenticating NDMP access to a storage system are: plaintext and challenge.

#### Related information

[Environment variables supported by ONTAP](#)

### About NDMP modes of operation

You can choose to perform tape backup and restore operations either at the node level as you have been doing until now or at the storage virtual machine (SVM) level. To perform these operations successfully at the SVM level, NDMP service must be enabled on the SVM.

If you upgrade from Data ONTAP 8.2 to Data ONTAP 8.3, the NDMP mode of operation used in 8.2 will continue to be retained post the upgrade from 8.2 to 8.3.

If you install a new cluster with Data ONTAP 8.2 or later, NDMP is in the SVM-scoped NDMP mode by default. To perform tape backup and restore operations in the node-scoped NDMP mode, you must explicitly enable the node-scoped NDMP mode.

#### Related information

[Commands for managing node-scoped NDMP mode](#)

[Managing node-scoped NDMP mode for FlexVol volumes](#)

[Managing SVM-scoped NDMP mode for FlexVol volumes](#)

#### What node-scoped NDMP mode is

In the node-scoped NDMP mode, you can perform tape backup and restore operations at the node level. The NDMP mode of operation used in Data ONTAP 8.2 will continue to be retained post the upgrade from 8.2 to 8.3.

In the node-scoped NDMP mode, you can perform tape backup and restore operations on a node that owns

the volume. To perform these operations, you must establish NDMP control connections on a LIF hosted on the node that owns the volume or tape devices.



This mode is deprecated and will be removed in a future major release.

### Related information

[Managing node-scoped NDMP mode for FlexVol volumes](#)

### What SVM-scoped NDMP mode is

You can perform tape backup and restore operations at the storage virtual machine (SVM) level successfully if the NDMP service is enabled on the SVM. You can back up and restore all volumes hosted across different nodes in the SVM of a cluster if the backup application supports the CAB extension.

An NDMP control connection can be established on different LIF types. In the SVM-scoped NDMP mode, these LIFs belong to either the data SVM or admin SVM. The connection can be established on a LIF only if the NDMP service is enabled on the SVM that owns this LIF.

A data LIF belongs to the data SVM and the intercluster LIF, node-management LIF, and cluster-management LIF belong to the admin SVM.

In the SVM-scoped NDMP mode, the availability of volumes and tape devices for backup and restore operations depends on the LIF type on which the NDMP control connection is established and the status of the CAB extension. If your backup application supports the CAB extension and a volume and the tape device share the same affinity, then the backup application can perform a local backup or restore operation, instead of a three-way backup or restore operation.

### Related information

[Managing SVM-scoped NDMP mode for FlexVol volumes](#)

### Considerations when using NDMP

You must take into account a number of considerations when starting the NDMP service on your storage system.

- Each node supports a maximum of 16 concurrent backups, restores, or combination of the two using connected tape drives.
- NDMP services can generate file history data at the request of NDMP backup applications.

File history is used by backup applications to enable optimized recovery of selected subsets of data from a backup image. File history generation and processing might be time-consuming and CPU-intensive for both the storage system and the backup application.



SM Tape does not support file history.

If your data protection is configured for disaster recovery—where the entire backup image will be recovered—you can disable file history generation to reduce backup time. See your backup application documentation to determine whether it is possible to disable NDMP file history generation.

- Firewall policy for NDMP is enabled by default on all LIF types.

- In node-scoped NDMP mode, backing up a FlexVol volume requires that you use the backup application to initiate a backup on a node that owns the volume.

However, you cannot back up a node root volume.

- You can perform NDMP backup from any LIF as permitted by the firewall policies.

If you use a data LIF, you must select a LIF that is not configured for failover. If a data LIF fails over during an NDMP operation, the NDMP operation fails and must be run again.

- In node-scoped NDMP mode and storage virtual machine (SVM) scoped NDMP mode with no CAB extension support, the NDMP data connection uses the same LIF as the NDMP control connection.
- During LIF migration, ongoing backup and restore operations are disrupted.

You must initiate the backup and restore operations after the LIF migration.

- The NDMP backup path is of the format `/vserver_name/volume_name/path_name`.

*path\_name* is optional, and specifies the path of the directory, file, or Snapshot copy.

- When a SnapMirror destination is backed up to tape by using the dump engine, only the data in the volume is backed up.

However, if a SnapMirror destination is backed up to tape using SMTape, then the metadata is also backed up. The SnapMirror relationships and the associated metadata are not backed up to tape. Therefore, during restore, only the data on that volume is restored, but the associated SnapMirror relationships are not restored.

## Related information

[What Cluster Aware Backup extension does](#)

[ONTAP concepts](#)

[System administration](#)

## Environment variable

### Environment variables overview

Environment variables are used to communicate information about a backup or restore operation between an NDMP-enabled backup application and a storage system.

For example, if a user specifies that a backup application should back up `/vserver1/vol1/dir1`, the backup application sets the FILESYSTEM environment variable to `/vserver1/vol1/dir1`. Similarly, if a user specifies that a backup should be a level 1 backup, the backup application sets the LEVEL environment variable to 1 (one).



The setting and examining of environment variables are typically transparent to backup administrators; that is, the backup application sets them automatically.

A backup administrator rarely specifies environment variables; however, you might want to change the value of an environment variable from that set by the backup application to characterize or work around a functional or performance problem. For example, an administrator might want to temporarily disable file history generation

to determine if the backup application's processing of file history information is contributing to performance issues or functional problems.

Many backup applications provide a means to override or modify environment variables or to specify additional environment variables. For information, see your backup application documentation.

**Environment variables supported by ONTAP**

Environment variables are used to communicate information about a backup or restore operation between an NDMP-enabled backup application and a storage system. ONTAP supports environment variables, which have an associated default value. However, you can manually modify these default values.

If you manually modify the values set by the backup application, the application might behave unpredictably. This is because the backup or restore operations might not be doing what the backup application expected them to do. But in some cases, judicious modification might help in identifying or working around problems.

The following tables list the environment variables whose behavior is common to dump and SMTape and those variables that are supported only for dump and SMTape. These tables also contain descriptions of how the environment variables that are supported by ONTAP work if they are used:



In most cases, variables that have the value, Y also accept T and N also accept F.

**Environment variables supported for dump and SMTape**

Environment variable	Valid values	Default	Description
DEBUG	Y or N	N	Specifies that debugging information is printed.
FILESYSTEM	string	none	Specifies the path name of the root of the data that is being backed up.

Environment variable	Valid values	Default	Description
NDMP_VERSION	return_only	none	<p>You should not modify the NDMP_VERSION variable. Created by the backup operation, the NDMP_VERSION variable returns the NDMP version.</p> <p>ONTAP sets the NDMP_VERSION variable during a backup for internal use and to pass to a backup application for informational purposes. The NDMP version of an NDMP session is not set with this variable.</p>
PATHNAME_SEPARATOR	return_value	none	<p>Specifies the path name separator character.</p> <p>This character depends on the file system being backed up. For ONTAP, the character "/" is assigned to this variable. The NDMP server sets this variable before starting a tape backup operation.</p>
TYPE	dump or smtape	dump	Specifies the type of backup supported to perform tape backup and restore operations.
VERBOSE	Y or N	N	Increases the log messages while performing a tape backup or restore operation.


#### Environment variables supported for dump

Environment variable	Valid values	Default	Description
ACL_START	return_only	none	<p>Created by the backup operation, the ACL_START variable is an offset value used by a direct access restore or restartable NDMP backup operation.</p> <p>The offset value is the byte offset in the dump file where the ACL data (Pass V) begins and is returned at the end of a backup. For a direct access restore operation to correctly restore backed-up data, the ACL_START value must be passed to the restore operation when it begins. An NDMP restartable backup operation uses the ACL_START value to communicate to the backup application where the nonrestartable portion of the backup stream begins.</p>
BASE_DATE	0, -1, or DUMP_DATE value	-1	<p>Specifies the start date for incremental backups.</p> <p>When set to -1, the BASE_DATE incremental specifier is disabled. When set to 0 on a level 0 backup, incremental backups are enabled. After the initial backup, the value of the DUMP_DATE variable from the previous incremental backup is assigned to the BASE_DATE variable.</p> <p>These variables are an alternative to the LEVEL/UPDATE based incremental backups.</p>


Environment variable	Valid values	Default	Description
DIRECT	Y or N	N	<p>Specifies that a restore should fast-forward directly to the location on the tape where the file data resides instead of scanning the entire tape.</p> <p>For direct access recovery to work, the backup application must provide positioning information. If this variable is set to Y, the backup application specifies the file or directory names and the positioning information.</p>
DMP_NAME	string	none	<p>Specifies the name for a multiple subtree backup.</p> <p>This variable is mandatory for multiple subtree backups.</p>
DUMP_DATE	return_value	none	<p>You do not change this variable directly. It is created by the backup if the BASE_DATE variable is set to a value other than -1.</p> <p>The DUMP_DATE variable is derived by prepending the 32-bit level value to a 32-bit time value computed by the dump software. The level is incremented from the last level value passed into the BASE_DATE variable. The resulting value is used as the BASE_DATE value on a subsequent incremental backup.</p>


Environment variable	Valid values	Default	Description
ENHANCED_DAR_ENABLED	Y or N	N	<p>Specifies whether enhanced DAR functionality is enabled. Enhanced DAR functionality supports directory DAR and DAR of files with NT Streams. It provides performance improvements.</p> <p>Enhanced DAR during restore is possible only if the following conditions are met:</p> <ul style="list-style-type: none"> <li>• ONTAP supports enhanced DAR.</li> <li>• File history is enabled (HIST=Y) during the backup.</li> <li>• The <code>ndmpd.offset_map.enable</code> option is set to on.</li> <li>• ENHANCED_DAR_ENABLED variable is set to Y during restore.</li> </ul>



Environment variable	Valid values	Default	Description
EXCLUDE	pattern_string	none	<p>Specifies files or directories that are excluded when backing up data.</p> <p>The exclude list is a comma-separated list of file or directory names. If the name of a file or directory matches one of the names in the list, it is excluded from the backup.</p> <p>The following rules apply while specifying names in the exclude list:</p> <ul style="list-style-type: none"> <li>• The exact name of the file or directory must be used.</li> <li>• The asterisk (*), a wildcard character, must be either the first or the last character of the string.</li> </ul> <p>Each string can have up to two asterisks.</p> <ul style="list-style-type: none"> <li>• A comma in a file or directory name must be preceded with a backslash.</li> <li>• The exclude list can contain up to 32 names.</li> </ul> <div>  <p>Files or directories specified to be excluded for backup are not excluded if you set NON_QUOTA_TREE to Y simultaneously.</p> </div>

Environment variable	Valid values	Default	Description
EXTRACT	Y, N, or E	N	<p>Specifies that subtrees of a backed-up data set are to be restored.</p> <p>The backup application specifies the names of the subtrees to be extracted. If a file specified matches a directory whose contents were backed up, the directory is recursively extracted.</p> <p>To rename a file, directory, or qtree during restore without using DAR, you must set the EXTRACT environment variable to E.</p>
EXTRACT_ACL	Y or N	Y	<p>Specifies that ACLs from the backed up file are restored on a restore operation.</p> <p>The default is to restore ACLs when restoring data, except for DARs (DIRECT=Y).</p>

Environment variable	Valid values	Default	Description
FORCE	Y or N	N	<p>Determines if the restore operation must check for volume space and inode availability on the destination volume.</p> <p>Setting this variable to Y causes the restore operation to skip checks for volume space and inode availability on the destination path.</p> <p>If enough volume space or inodes are not available on the destination volume, the restore operation recovers as much data allowed by the destination volume space and inode availability. The restore operation stops when volume space or inodes are not available.</p>
HIST	Y or N	N	<p>Specifies that file history information is sent to the backup application.</p> <p>Most commercial backup applications set the HIST variable to Y. If you want to increase the speed of a backup operation, or you want to troubleshoot a problem with the file history collection, you can set this variable to N.</p> <div>  <p>You should not set the HIST variable to Y if the backup application does not support file history.</p> </div>

Environment variable	Valid values	Default	Description
IGNORE_CTIME	Y or N	N	<p>Specifies that a file is not incrementally backed up if only its ctime value has changed since the previous incremental backup.</p> <p>Some applications, such as virus scanning software, change the ctime value of a file within the inode, even though the file or its attributes have not changed. As a result, an incremental backup might back up files that have not changed. The IGNORE_CTIME variable should be specified only if incremental backups are taking an unacceptable amount of time or space because the ctime value was modified.</p> <div>  <p>The NDMP dump command sets IGNORE_CTIME to false by default. Setting it to true can result in the following data loss:</p> <ol style="list-style-type: none"> <li>If IGNORE_CTIME is set to true with a volume level incremental ndmpcopy, it results in the deleting</li> </ol> </div>

Environment variable	Valid values	Default	Description
IGNORE_QTREES	Y or N	N	Specifies that the restore operation does not restore qtree information from backed-up qtrees.
LEVEL	0-31	0	<p>Specifies the backup level.</p> <p>Level 0 copies the entire data set. Incremental backup levels, specified by values above 0, copy all files (new or modified) since the last incremental backup. For example, a level 1 backs up new or modified files since the level 0 backup, a level 2 backs up new or modified files since the level 1 backup, and so on.</p>
LIST	Y or N	N	Lists the backed-up file names and inode numbers without actually restoring the data.
LIST_QTREES	Y or N	N	Lists the backed-up qtrees without actually restoring the data.
MULTI_SUBTREE_NAMES	string	none	<p>Specifies that the backup is a multiple subtree backup.</p> <p>Multiple subtrees are specified in the string, which is a newline-separated, null-terminated list of subtree names. Subtrees are specified by path names relative to their common root directory, which must be specified as the last element of the list.</p> <p>If you use this variable, you must also use the DMP_NAME variable.</p>

Environment variable	Valid values	Default	Description
NDMP_UNICODE_FH	Y or N	N	<p>Specifies that a Unicode name is included in addition to the NFS name of the file in the file history information.</p> <p>This option is not used by most backup applications and should not be set unless the backup application is designed to receive these additional file names. The HIST variable must also be set.</p>
NO_ACLS	Y or N	N	<p>Specifies that ACLs must not be copied when backing up data.</p>

Environment variable	Valid values	Default	Description
NON_QUOTA_TREE	Y or N	N	<p>Specifies that files and directories in qtrees must be ignored when backing up data.</p> <p>When set to Y, items in qtrees in the data set specified by the FILESYSTEM variable are not backed up. This variable has an effect only if the FILESYSTEM variable specifies an entire volume. The NON_QUOTA_TREE variable only works on a level 0 backup and does not work if the MULTI_SUBTREE_NAMES variable is specified.</p> <div>  <p>Files or directories specified to be excluded for backup are not excluded if you set NON_QUOTA_TREE to Y simultaneously.</p> </div>
NOWRITE	Y or N	N	<p>Specifies that the restore operation must not write data to the disk.</p> <p>This variable is used for debugging.</p>

Environment variable	Valid values	Default	Description
RECURSIVE	Y or N	Y	<p>Specifies that directory entries during a DAR restore be expanded.</p> <p>The DIRECT and ENHANCED_DAR_ENABLED environment variables must be enabled (set to Y) as well. If the RECURSIVE variable is disabled (set to N), only the permissions and ACLs for all the directories in the original source path are restored from tape, not the contents of the directories. If the RECURSIVE variable is set to N or the RECOVER_FULL_PATHS variable is set to Y, the recovery path must end with the original path.</p> <div>  <p>If the RECURSIVE variable is disabled and if there is more than one recovery path, all of the recovery paths must be contained within the longest of the recovery paths. Otherwise, an error message is displayed.</p> </div> <p>For example, the following are valid recovery paths because all of the recovery paths are within foo/dir1/deepdir/my file:</p>



Environment variable	Valid values	Default	Description
RECOVER_FULL_PATHS	Y or N	N	<p>Specifies that the full recovery path will have their permissions and ACLs restored after the DAR.</p> <p>DIRECT and ENHANCED_DAR_ENABLED must be enabled (set to Y) as well. If RECOVER_FULL_PATHS is set to Y, the recovery path must end with the original path. If directories already exist on the destination volume, their permissions and ACLs will not be restored from tape.</p>
UPDATE	Y or N	Y	Updates the metadata information to enable LEVEL based incremental backup.

#### Environment variables supported for SMTape

Environment variable	Valid values	Default	Description
BASE_DATE	DUMP_DATE	-1	<p>Specifies the start date for incremental backups.</p> <p>BASE_DATE is a string representation of the reference Snapshot identifiers. Using the BASE_DATE string, SMTape locates the reference Snapshot copy.</p> <p>BASE_DATE is not required for baseline backups. For an incremental backup, the value of the DUMP_DATE variable from the previous baseline or incremental backup is assigned to the BASE_DATE variable.</p> <p>The backup application assigns the DUMP_DATE value from a previous SMTape baseline or incremental backup.</p>
DUMP_DATE	return_value	none	<p>At the end of an SMTape backup, DUMP_DATE contains a string identifier that identifies the Snapshot copy used for that backup. This Snapshot copy could be used as the reference Snapshot copy for a subsequent incremental backup.</p> <p>The resulting value of DUMP_DATE is used as the BASE_DATE value for subsequent incremental backups.</p>

Environment variable	Valid values	Default	Description
SMTAPE_BACKUP_SET_ID	string	none	<p>Identifies the sequence of incremental backups associated with the baseline backup.</p> <p>Backup set ID is a 128-bit unique ID that is generated during a baseline backup. The backup application assigns this ID as the input to the SMTAPE_BACKUP_SET_ID variable during an incremental backup.</p>
SMTAPE_SNAPSHOT_NAME	Any valid Snapshot copy that is available in the volume	Invalid	<p>When the SMTAPE_SNAPSHOT_NAME variable is set to a Snapshot copy, that Snapshot copy and its older Snapshot copies are backed up to tape.</p> <p>For incremental backup, this variable specifies incremental Snapshot copy. The BASE_DATE variable provides the baseline Snapshot copy.</p>
SMTAPE_DELETE_SNAPSHOT	Y or N	N	<p>For a Snapshot copy created automatically by SMTape, when the SMTAPE_DELETE_SNAPSHOT variable is set to Y, then after the backup operation is complete, SMTape deletes this Snapshot copy. However, a Snapshot copy created by the backup application will not be deleted.</p>
SMTAPE_BREAK_MIRROR	Y or N	N	<p>When the SMTAPE_BREAK_MIRROR variable is set to Y, the volume of type DP is changed to a RW volume after a successful restore.</p>

## Common NDMP tape backup topologies

NDMP supports a number of topologies and configurations between backup applications and storage systems or other NDMP servers providing data (file systems) and tape services.

### Storage system-to-local-tape

In the simplest configuration, a backup application backs up data from a storage system to a tape subsystem attached to the storage system. The NDMP control connection exists across the network boundary. The NDMP data connection that exists within the storage system between the data and tape services is called an NDMP local configuration.

### Storage system-to-tape attached to another storage system

A backup application can also back up data from a storage system to a tape library (a medium changer with one or more tape drives) attached to another storage system. In this case, the NDMP data connection between the data and tape services is provided by a TCP or TCP/IPv6 network connection. This is called an NDMP three-way storage system-to-storage system configuration.

### Storage system-to-network-attached tape library

NDMP-enabled tape libraries provide a variation of the three-way configuration. In this case, the tape library attaches directly to the TCP/IP network and communicates with the backup application and the storage system through an internal NDMP server.

### Storage system-to-data server-to-tape or data server-to-storage system-to-tape

NDMP also supports storage system-to-data-server and data-server-to-storage system three-way configurations, although these variants are less widely deployed. Storage system-to-server allows storage system data to be backed up to a tape library attached to the backup application host or to another data server system. The server-to-storage system configuration allows server data to be backed up to a storage system-attached tape library.

## Supported NDMP authentication methods

You can specify an authentication method to allow NDMP connection requests. ONTAP supports two methods for authenticating NDMP access to a storage system: plaintext and challenge.

In node-scoped NDMP mode, both challenge and plaintext are enabled by default. However, you cannot disable challenge. You can enable and disable plaintext. In the plaintext authentication method, the login password is transmitted as clear text.

In the storage virtual machine (SVM)-scoped NDMP mode, by default the authentication method is challenge. Unlike the node-scoped NDMP mode, in this mode you can enable and disable both plaintext and challenge authentication methods.

### Related information

[User authentication in a node-scoped NDMP mode](#)

[User authentication in the SVM-scoped NDMP mode](#)

## NDMP extensions supported by ONTAP

NDMP v4 provides a mechanism for creating NDMP v4 protocol extensions without modifying the core NDMP v4 protocol. You should be aware of the NDMP v4 extensions that are supported by ONTAP.

The following NDMP v4 extensions are supported by ONTAP:

- Cluster Aware Backup (CAB)



This extension is supported only in the SVM-scoped NDMP mode.

- Connection Address Extension (CAE) for IPv6 support
- Extension class 0x2050

This extension supports restartable backup operations and Snapshot Management Extensions.



The `NDMP_SNAP_RECOVER` message, which is part of the Snapshot Management Extensions, is used to initiate a recovery operation and to transfer the recovered data from a local Snapshot copy to a local file system location. In ONTAP, this message allows the recovery of volumes and regular files only.

The `NDMP_SNAP_DIR_LIST` message enables you to browse through the Snapshot copies of a volume. If a nondisruptive operation takes place while a browsing operation is in progress, the backup application must reinitiate the browsing operation.

## NDMP restartable backup extension for a dump supported by ONTAP

You can use the NDMP restartable backup extension (RBE) functionality to restart a backup from a known checkpoint in the data stream before the failure.

### What enhanced DAR functionality is

You can use the enhanced direct access recovery (DAR) functionality for directory DAR and DAR of files and NT streams. By default, enhanced DAR functionality is enabled.

Enabling enhanced DAR functionality might impact the backup performance because an offset map has to be created and written onto tape. You can enable or disable enhanced DAR in both the node-scoped and storage virtual machine (SVM)-scoped NDMP modes.

### Scalability limits for NDMP sessions

You must be aware of the maximum number of NDMP sessions that can be established simultaneously on storage systems of different system memory capacities. This maximum number depends on the system memory of a storage system.

The limits mentioned in the following table are for the NDMP server. The limits mentioned in the section “Scalability limits for dump backup and restore sessions” are for the dump and restore session.

### [Scalability limits for dump backup and restore sessions](#)

System memory of a storage system	Maximum number of NDMP sessions
Less than 16 GB	8
Greater than or equal to 16 GB but less than 24 GB	20
Greater than or equal to 24 GB	36

You can obtain the system memory of your storage system by using the `sysconfig -a` command (available through the nodeshell). For more information about using this command, see the man pages.

## About NDMP for FlexGroup volumes

Beginning with ONTAP 9.7, NDMP is supported on FlexGroup volumes.

Beginning with ONTAP 9.7, the `ndmpcopy` command is supported for data transfer between FlexVol and FlexGroup volumes.

If you revert from ONTAP 9.7 to an earlier version, the incremental transfer information of the previous transfers is not retained and therefore, you must perform a baseline copy after reverting.

Beginning with ONTAP 9.8, the following NDMP features are supported on FlexGroup volumes:

- The `NDMP_SNAP_RECOVER` message in the extension class `0x2050` can be used for recovering individual files in a FlexGroup volume.
- NDMP restartable backup extension (RBE) is supported for FlexGroup volumes.
- Environment variables `EXCLUDE` and `MULTI_SUBTREE_NAMES` are supported for FlexGroup volumes.

## About NDMP with SnapLock volumes

Creating multiple copies of regulated data provides you with redundant recovery scenarios, and by using NDMP dump and restore, it's possible to preserve the write once, read many (WORM) characteristics of source files on a SnapLock volume.

WORM attributes on the files in a SnapLock volume are preserved when backing up, restoring and copying data; however, WORM attributes are enforced only when restoring to a SnapLock volume. If a backup from a SnapLock volume is restored to a volume other than a SnapLock volume, the WORM attributes are preserved but are ignored and are not enforced by ONTAP.

## Manage node-scoped NDMP mode for FlexVol volumes

### Manage node-scoped NDMP mode for FlexVol volumes overview

You can manage NDMP at the node level by using NDMP options and commands. You can modify the NDMP options by using the `options` command. You must use NDMP-specific credentials to access a storage system to perform tape backup and restore operations.

For more information about the `options` command, see the man pages.

## Related information

[Commands for managing node-scoped NDMP mode](#)

[What node-scoped NDMP mode is](#)

## Commands for managing node-scoped NDMP mode

You can use the `system services ndmp` commands to manage NDMP at a node level. Some of these commands are deprecated and will be removed in a future major release.

You can use the following NDMP commands only at the advanced privilege level:

- `system services ndmp service terminate`
- `system services ndmp service start`
- `system services ndmp service stop`
- `system services ndmp log start`
- `system services ndmp log stop`

If you want to...	Use this command...
Enable NDMP service	<code>system services ndmp on*</code>
Disable NDMP service	<code>system services ndmp off*</code>
Display NDMP configuration	<code>system services ndmp show*</code>
Modify NDMP configuration	<code>system services ndmp modify*</code>
Display the default NDMP version	<code>system services ndmp version*</code>
Display NDMP service configuration	<code>system services ndmp service show</code>
Modify NDMP service configuration	<code>system services ndmp service modify</code>
Display all NDMP sessions	<code>system services ndmp status</code>
Display detailed information about all NDMP sessions	<code>system services ndmp probe</code>
Terminate the specified NDMP session	<code>system services ndmp kill</code>
Terminate all NDMP sessions	<code>system services ndmp kill-all</code>
Change the NDMP password	<code>system services ndmp password*</code>

If you want to...	Use this command...
Enable node-scoped NDMP mode	<code>system services ndmp node-scope-mode on*</code>
Disable node-scoped NDMP mode	<code>system services ndmp node-scope-mode off*</code>
Display the node-scoped NDMP mode status	<code>system services ndmp node-scope-mode status*</code>
Forcefully terminate all NDMP sessions	<code>system services ndmp service terminate</code>
Start the NDMP service daemon	<code>system services ndmp service start</code>
Stop the NDMP service daemon	<code>system services ndmp service stop</code>
Start logging for the specified NDMP session	<code>system services ndmp log start*</code>
Stop logging for the specified NDMP session	<code>system services ndmp log stop*</code>

- These commands are deprecated and will be removed in a future major release.

For more information about these commands, see the man pages for the `system services ndmp` commands.

### User authentication in a node-scoped NDMP mode

In the node-scoped NDMP mode, you must use NDMP specific credentials to access a storage system in order to perform tape backup and restore operations.

The default user ID is “root”. Before using NDMP on a node, you must ensure that you change the default NDMP password associated with the NDMP user. You can also change the default NDMP user ID.

#### Related information

[Commands for managing node-scoped NDMP mode](#)

## Manage SVM-scoped NDMP mode for FlexVol volumes

### Manage SVM-scoped NDMP mode for FlexVol volumes overview

You can manage NDMP on a per SVM basis by using the NDMP options and commands. You can modify the NDMP options by using the `vserver services ndmp modify` command. In the SVM-scoped NDMP mode, user authentication is integrated with the role-based access control mechanism.

You can add NDMP in the allowed or disallowed protocols list by using the `vserver modify` command. By default, NDMP is in the allowed protocols list. If NDMP is added to the disallowed protocols list, NDMP



sessions cannot be established.

You can control the LIF type on which an NDMP data connection is established by using the `-preferred-interface-role` option. During an NDMP data connection establishment, NDMP chooses an IP address that belongs to the LIF type as specified by this option. If the IP addresses do not belong to any of these LIF types, then the NDMP data connection cannot be established. For more information about the `-preferred-interface-role` option, see the man pages.

For more information about the `vserver services ndmp modify` command, see the man pages.

## Related information

[Commands for managing SVM-scoped NDMP mode](#)

[What Cluster Aware Backup extension does](#)

[ONTAP concepts](#)

[What SVM-scoped NDMP mode is](#)

[System administration](#)

## Commands for managing SVM-scoped NDMP mode

You can use the `vserver services ndmp` commands to manage NDMP on each storage virtual machine (SVM, formerly known as Vserver).

If you want to...	Use this command...
Enable NDMP service	<code>vserver services ndmp on</code> <div> NDMP service must always be enabled on all nodes in a cluster. You can enable NDMP service on a node by using the <code>system services ndmp on</code> command. By default, NDMP service is always enabled on a node.</div>
Disable NDMP service	<code>vserver services ndmp off</code>
Display NDMP configuration	<code>vserver services ndmp show</code>
Modify NDMP configuration	<code>vserver services ndmp modify</code>
Display default NDMP version	<code>vserver services ndmp version</code>
Display all NDMP sessions	<code>vserver services ndmp status</code>
Display detailed information about all NDMP sessions	<code>vserver services ndmp probe</code>

If you want to...	Use this command...
Terminate a specified NDMP session	<code>vserver services ndmp kill</code>
Terminate all NDMP sessions	<code>vserver services ndmp kill-all</code>
Generate the NDMP password	<code>vserver services ndmp generate-password</code>
Display NDMP extension status	<code>vserver services ndmp extensions show</code>  This command is available at the advanced privilege level.
Modify (enable or disable) NDMP extension status	<code>vserver services ndmp extensions modify</code>  This command is available at the advanced privilege level.
Start logging for the specified NDMP session	<code>vserver services ndmp log start</code>  This command is available at the advanced privilege level.
Stop logging for the specified NDMP session	<code>vserver services ndmp log stop</code>  This command is available at the advanced privilege level.

For more information about these commands, see the man pages for the `vserver services ndmp` commands.

### What Cluster Aware Backup extension does

CAB (Cluster Aware Backup) is an NDMP v4 protocol extension. This extension enables the NDMP server to establish a data connection on a node that owns a volume. This also enables the backup application to determine if volumes and tape devices are located on the same node in a cluster.

To enable the NDMP server to identify the node that owns a volume and to establish a data connection on such a node, the backup application must support the CAB extension. CAB extension requires the backup application to inform the NDMP server about the volume to be backed up or restored prior to establishing the data connection. This allows the NDMP server to determine the node that hosts the volume and appropriately establish the data connection.

With the CAB extension supported by the backup application, the NDMP server provides affinity information about volumes and tape devices. Using this affinity information, the backup application can perform a local backup instead of a three-way backup if a volume and tape device are located on the same node in a cluster.

## Availability of volumes and tape devices for backup and restore on different LIF types

You can configure a backup application to establish an NDMP control connection on any of the LIF types in a cluster. In the storage virtual machine (SVM)-scoped NDMP mode, you can determine the availability of volumes and tape devices for backup and restore operations depending upon these LIF types and the status of the CAB extension.

The following tables show the availability of volumes and tape devices for NDMP control connection LIF types and the status of the CAB extension:

### Availability of volumes and tape devices when CAB extension is not supported by the backup application

NDMP control connection LIF type	Volumes available for backup or restore	Tape devices available for backup or restore
Node-management LIF	All volumes hosted by a node	Tape devices connected to the node hosting the node-management LIF
Data LIF	Only volumes that belong to the SVM hosted by a node that hosts the data LIF	None
Cluster-management LIF	All volumes hosted by a node that hosts the cluster-management LIF	None
Intercluster LIF	All volumes hosted by a node that hosts the intercluster LIF	Tape devices connected to the node hosting the intercluster LIF

### Availability of volumes and tape devices when CAB extension is supported by the backup application

NDMP control connection LIF type	Volumes available for backup or restore	Tape devices available for backup or restore
Node-management LIF	All volumes hosted by a node	Tape devices connected to the node hosting the node-management LIF
Data LIF	All volumes that belong to the SVM that hosts the data LIF	None
Cluster-management LIF	All volumes in the cluster	All tape devices in the cluster
Intercluster LIF	All volumes in the cluster	All tape devices in the cluster

## What affinity information is

With the backup application being CAB aware, the NDMP server provides unique location information about volumes and tape devices. Using this affinity information, the backup

application can perform a local backup instead of a three-way backup if a volume and a tape device share the same affinity.

If the NDMP control connection is established on a node management LIF, cluster management LIF, or an intercluster LIF, the backup application can use the affinity information to determine if a volume and tape device are located on the same node and then perform either a local or a three-way backup or restore operation. If the NDMP control connection is established on a data LIF, then the backup application always performs a three-way backup.

#### Local NDMP backup and Three-way NDMP backup



Using the affinity information about volumes and tape devices, the DMA (backup application) performs a local NDMP backup on the volume and tape device located on Node 1 in the cluster. If the volume moves from Node 1 to Node 2, affinity information about the volume and tape device changes. Hence, for a subsequent backup the DMA performs a three-way NDMP backup operation. This ensures continuity of the backup policy for the volume irrespective of the node to which the volume is moved to.

#### Related information

[What Cluster Aware Backup extension does](#)

#### NDMP server supports secure control connections in SVM-scoped mode

A secure control connection can be established between the Data Management Application (DMA) and NDMP server by using secure sockets (SSL/TLS) as the communication mechanism. This SSL communication is based on the server certificates. The NDMP server listens on port 30000 (assigned by IANA for “ndmps” service).

After establishing the connection from the client on this port, the standard SSL handshake ensues where the server presents the certificate to the client. When the client accepts the certificate, the SSL handshake is complete. After this process is complete, all of the communication between the client and the server is encrypted. The NDMP protocol workflow remains exactly as before. The secure NDMP connection requires server- side certificate authentication only. A DMA can choose to establish a connection either by connecting to the secure NDMP service or the standard NDMP service.

By default, secure NDMP service is disabled for a storage virtual machine (SVM). You can enable or disable the secure NDMP service on a given SVM by using the `vserver services ndmp modify -vserver vserver -is-secure-control-connection-enabled [true|false]` command.

## NDMP data connection types

In the storage virtual machine (SVM)-scoped NDMP mode, the supported NDMP data connection types depend on the NDMP control connection LIF type and the status of the CAB extension. This NDMP data connection type indicates whether you can perform a local or a three-way NDMP backup or restore operation.

You can perform a three-way NDMP backup or restore operation over a TCP or TCP/IPv6 network. The following tables show the NDMP data connection types based on the NDMP control connection LIF type and the status of the CAB extension.

### NDMP data connection type when CAB extension is supported by the backup application

NDMP control connection LIF type	NDMP data connection type
Node-management LIF	LOCAL, TCP, TCP/IPv6
Data LIF	TCP, TCP/IPv6
Cluster-management LIF	LOCAL, TCP, TCP/IPv6
Intercluster LIF	LOCAL, TCP, TCP/IPv6

### NDMP data connection type when CAB extension is not supported by the backup application

NDMP control connection LIF type	NDMP data connection type
Node-management LIF	LOCAL, TCP, TCP/IPv6
Data LIF	TCP, TCP/IPv6
Cluster-management LIF	TCP, TCP/IPv6
Intercluster LIF	LOCAL, TCP, TCP/IPv6

## Related information

[What Cluster Aware Backup extension does](#)

[Network management](#)

## User authentication in the SVM-scoped NDMP mode

In the storage virtual machine (SVM)-scoped NDMP mode, NDMP user authentication is integrated with role-based access control. In the SVM context, the NDMP user must have either the “vsadmin” or “vsadmin-backup” role. In a cluster context, the NDMP user must have either the “admin” or “backup” role.

Apart from these pre-defined roles, a user account associated with a custom role can also be used for NDMP authentication provided that the custom role has the “vserver services ndmp” folder in its command directory

and the access level of the folder is not “none”. In this mode, you must generate an NDMP password for a given user account, which is created through role-based access control. Cluster users in an admin or backup role can access a node-management LIF, a cluster-management LIF, or an intercluster LIF. Users in a vsadmin-backup or vsadmin role can access only the data LIF for that SVM. Therefore, depending on the role of a user, the availability of volumes and tape devices for backup and restore operations vary.

This mode also supports user authentication for NIS and LDAP users. Therefore, NIS and LDAP users can access multiple SVMs with a common user ID and password. However, NDMP authentication does not support Active Directory users.

In this mode, a user account must be associated with the SSH application and the “User password” authentication method.

## Related information

[Commands for managing SVM-scoped NDMP mode](#)

[System administration](#)

[ONTAP concepts](#)

## Generate an NDMP-specific password for NDMP users

In the storage virtual machine (SVM)-scoped NDMP mode, you must generate a password for a specific user ID. The generated password is based on the actual login password for the NDMP user. If the actual login password changes, you must generate the NDMP-specific password again.

### Steps

1. Use the `vserver services ndmp generate-password` command to generate an NDMP-specific password.

You can use this password in any current or future NDMP operation that requires password input.



From the storage virtual machine (SVM, formerly known as Vserver) context, you can generate NDMP passwords for users belonging only to that SVM.

The following example shows how to generate an NDMP-specific password for a user ID `user1`:

```
cluster1::vserver services ndmp> generate-password -vserver vs1 -user
user1

Vserver: vs1
User: user1
Password: jWZiNt57huPOoD8d
```

2. If you change the password to your regular storage system account, repeat this procedure to obtain your new NDMP-specific password.

**How tape backup and restore operations are affected during disaster recovery in MetroCluster configuration**

You can perform tape backup and restore operations simultaneously during disaster recovery in a MetroCluster configuration. You must understand how these operations are affected during disaster recovery.

If tape backup and restore operations are performed on a volume of anSVM in a disaster recovery relationship, then you can continue performing incremental tape backup and restore operations after a switchover and switchback.

**About dump engine for FlexVol volumes**

**About dump engine for FlexVol volumes**

Dump is a Snapshot copy based backup and recovery solution from ONTAP that helps you to back up files and directories from a Snapshot copy to a tape device and restore the backed up data to a storage system.

You can back up your file system data, such as directories, files, and their associated security settings, to a tape device by using the dump backup. You can back up an entire volume, an entire qtree, or a subtree that is neither an entire volume nor an entire qtree.

You can perform a dump backup or restore by using NDMP-compliant backup applications.

When you perform a dump backup, you can specify the Snapshot copy to be used for a backup. If you do not specify a Snapshot copy for the backup, the dump engine creates a Snapshot copy for the backup. After the backup operation is completed, the dump engine deletes this Snapshot copy.

You can perform level-0, incremental, or differential backups to tape by using the dump engine.



After reverting to a release earlier than Data ONTAP 8.3, you must perform a baseline backup operation before performing an incremental backup operation.

**Related information**

[Upgrade, revert, or downgrade](#)

**How a dump backup works**

A dump backup writes file system data from disk to tape using a predefined process. You can back up a volume, a qtree, or a subtree that is neither an entire volume nor an entire qtree.

The following table describes the process that ONTAP uses to back up the object indicated by the dump path:

Stage	Action
1	For less than full volume or full qtree backups, ONTAP traverses directories to identify the files to be backed up. If you are backing up an entire volume or qtree, ONTAP combines this stage with Stage 2.

Stage	Action
2	For a full volume or full qtree backup, ONTAP identifies the directories in the volumes or qtrees to be backed up.
3	ONTAP writes the directories to tape.
4	ONTAP writes the files to tape.
5	ONTAP writes the ACL information (if applicable) to tape.

The dump backup uses a Snapshot copy of your data for the backup. Therefore, you do not have to take the volume offline before initiating the backup.

The dump backup names each Snapshot copy it creates as `snapshot_for_backup.n`, where `n` is an integer starting at 0. Each time the dump backup creates a Snapshot copy, it increments the integer by 1. The integer is reset to 0 after the storage system is rebooted. After the backup operation is completed, the dump engine deletes this Snapshot copy.

When ONTAP performs multiple dump backups simultaneously, the dump engine creates multiple Snapshot copies. For example, if ONTAP is running two dump backups simultaneously, you find the following Snapshot copies in the volumes from which data is being backed up: `snapshot_for_backup.0` and `snapshot_for_backup.1`.



When you are backing up from a Snapshot copy, the dump engine does not create an additional Snapshot copy.

### Types of data that the dump engine backs up

The dump engine enables you to back up data to tape to guard against disasters or controller disruptions. In addition to backing up data objects such as a files, directories, qtrees, or entire volumes, the dump engine can back up many types of information about each file. Knowing the types of data that the dump engine can back up and the restrictions to take into consideration can help you plan your approach to disaster recovery.

In addition to backing up data in files, the dump engine can back up the following information about each file, as applicable:

- UNIX GID, owner UID, and file permissions
- UNIX access, creation, and modification time
- File type
- File size
- DOS name, DOS attributes, and creation time
- Access control lists (ACLs) with 1,024 access control entries (ACEs)
- Qtree information
- Junction paths



Junction paths are backed up as symbolic links.

- LUN and LUN clones

You can back up an entire LUN object; however, you cannot back up a single file within the LUN object. Similarly, you can restore an entire LUN object but not a single file within the LUN.



The dump engine backs up LUN clones as independent LUNs.

- VM-aligned files

Backup of VM-aligned files is not supported in releases earlier than Data ONTAP 8.1.2.



When a snapshot-backed LUN clone is transitioned from Data ONTAP operating in 7-Mode to ONTAP, it becomes an inconsistent LUN. The dump engine does not back up inconsistent LUNs.

When you restore data to a volume, client I/O is restricted on the LUNs being restored. The LUN restriction is removed only when the dump restore operation is complete. Similarly, during a SnapMirror single file or LUN restore operation, client I/O is restricted on both files and LUNs being restored. This restriction is removed only when the single file or LUN restore operation is complete. If a dump backup is performed on a volume on which a dump restore or SnapMirror single file or LUN restore operation is being performed, then the files or LUNs that have client I/O restriction are not included in the backup. These files or LUNs are included in a subsequent backup operation if the client I/O restriction is removed.



A LUN running on Data ONTAP 8.3 that is backed up to tape can be restored only to 8.3 and later releases and not to an earlier release. If the LUN is restored to an earlier release, then the LUN is restored as a file.

When you back up a SnapVault secondary volume or a volume SnapMirror destination to tape, only the data on the volume is backed up. The associated metadata is not backed up. Therefore, when you try to restore the volume, only the data on that volume is restored. Information about the volume SnapMirror relationships is not available in the backup and therefore is not restored.

If you dump a file that has only Windows NT permissions and restore it to a UNIX-style qtree or volume, the file gets the default UNIX permissions for that qtree or volume.

If you dump a file that has only UNIX permissions and restore it to an NTFS-style qtree or volume, the file gets the default Windows permissions for that qtree or volume.

Other dumps and restores preserve permissions.

You can back up VM-aligned files and the `vm-align-sector` option. For more information about VM-aligned files, see [Logical storage management](#).

### What increment chains are

An increment chain is a series of incremental backups of the same path. Because you can specify any level of backup at any time, you must understand increment chains to be able to perform backups and restores effectively. You can perform 31 levels of incremental backup operations.

There are two types of increment chains:

- A consecutive increment chain, which is a sequence of incremental backups that starts with level 0 and is raised by 1 at each subsequent backup.
- A nonconsecutive increment chain, where incremental backups skip levels or have levels that are out of sequence, such as 0, 2, 3, 1, 4, or more commonly 0, 1, 1, 1 or 0, 1, 2, 1, 2.

Incremental backups are based on the most recent lower-level backup. For example, the sequence of backup levels 0, 2, 3, 1, 4 provides two increment chains: 0, 2, 3 and 0, 1, 4. The following table explains the bases of the incremental backups:

Backup order	Increment level	Increment chain	Base	Files backed up
1	0	Both	Files on the storage system	All files in the backup path
2	2	0, 2, 3	Level-0 backup	Files in the backup path created since the level-0 backup
3	3	0, 2, 3	Level-2 backup	Files in the backup path created since the level-2 backup
4	1	0, 1, 4	Level-0 backup, because this is the most recent level that is lower than the level-1 backup	Files in the backup path created since the level-0 backup, including files that are in the level-2 and level-3 backups
5	4	0, 1, 4	The level-1 backup, because it is a lower level and is more recent than the level-0, level-2, or level-3 backups	Files created since the level-1 backup

### What the blocking factor is

A tape block is 1,024 bytes of data. During a tape backup or restore, you can specify the number of tape blocks that are transferred in each read/write operation. This number is called the *blocking factor*.

You can use a blocking factor from 4 to 256. If you plan to restore a backup to a system other than the system that did the backup, the restore system must support the blocking factor that you used for the backup. For example, if you use a blocking factor of 128, the system on which you restore that backup must support a blocking factor of 128.

During an NDMP backup, the `MOVER_RECORD_SIZE` determines the blocking factor. ONTAP allows a maximum value of 256 KB for `MOVER_RECORD_SIZE`.

## When to restart a dump backup

A dump backup sometimes does not finish because of internal or external errors, such as tape write errors, power outages, accidental user interruptions, or internal inconsistency on the storage system. If your backup fails for one of these reasons, you can restart it.

You can choose to interrupt and restart a backup to avoid periods of heavy traffic on the storage system or to avoid competition for other limited resources on the storage system, such as a tape drive. You can interrupt a long backup and restart it later if a more urgent restore (or backup) requires the same tape drive. Restartable backups persist across reboots. You can restart an aborted backup to tape only if the following conditions are true:

- The aborted backup is in phase IV.
- All of the associated Snapshot copies that were locked by the dump command are available.
- The file history must be enabled.

When such a dump operation is aborted and left in a restartable state, the associated Snapshot copies are locked. These Snapshot copies are released after the backup context is deleted. You can view the list of backup contexts by using the `vserver services ndmp restartable backup show` command.

```

cluster::> vservers services ndmpd restartable-backup show
Vserver      Context Identifier      Is Cleanup Pending?
-----
vs1 330e6739-0179-11e6-a299-005056bb4bc9 false
vs1 481025c1-0179-11e6-a299-005056bb4bc9 false
vs2 5cf10132-0179-11e6-a299-005056bb4bc9 false
3 entries were displayed.

cluster::> vservers services ndmpd restartable-backup show -vs1
vs1 -context-id 330e6739-0179-11e6-a299-005056bb4bc9

Vserver: vs1
Context Identifier: 330e6739-0179-11e6-a299-005056bb4bc9
Volume Name: /vs1/vol1
Is Cleanup Pending?: false
Backup Engine Type: dump
Is Snapshot Copy Auto-created?: true
Dump Path: /vol/vol1
Incremental Backup Level ID: 0
Dump Name: /vs1/vol1
Context Last Updated Time: 1460624875
Has Offset Map?: true
Offset Verify: true
Is Context Restartable?: true
Is Context Busy?: false
Restart Pass: 4
Status of Backup: 2
Snapshot Copy Name: snapshot_for_backup.1
State of the Context: 7

cluster::>"

```

## How a dump restore works

A dump restore writes file system data from tape to disk using a predefined process.

The process in the following table shows how the dump restore works:

Stage	Action
1	ONTAP catalogs the files that need to be extracted from the tape.
2	ONTAP creates directories and empty files.

Stage	Action
3	ONTAP reads a file from tape, writes it to disk, and sets the permissions (including ACLs) on it.
4	ONTAP repeats stages 2 and 3 until all the specified files are copied from the tape.

### Types of data that the dump engine restores

When a disaster or controller disruption occurs, the dump engine provides multiple methods for you to recover all of the data that you backed up, from single files, to file attributes, to entire directories. Knowing the types of data that dump engine can restore and when to use which method of recovery can help minimize downtime.

You can restore data to an online mapped LUN. However, host applications cannot access this LUN until the restore operation is complete. After the restore operation is complete, the host cache of the LUN data should be flushed to provide coherency with the restored data.

The dump engine can recover the following data:

- Contents of files and directories
- UNIX file permissions
- ACLs

If you restore a file that has only UNIX file permissions to an NTFS qtree or volume, the file has no Windows NT ACLs. The storage system uses only the UNIX file permissions on this file until you create a Windows NT ACL on it.



If you restore ACLs backed up from storage systems running Data ONTAP 8.2 to storage systems running Data ONTAP 8.1.x and earlier that have an ACE limit lower than 1,024, a default ACL is restored.

- Qtree information

Qtree information is used only if a qtree is restored to the root of a volume. Qtree information is not used if a qtree is restored to a lower directory, such as `/vs1/vol1/subdir/lowerdir`, and it ceases to be a qtree.

- All other file and directory attributes
- Windows NT streams
- LUNs

- A LUN must be restored to a volume level or a qtree level for it to remain as a LUN.

If it is restored to a directory, it is restored as a file because it does not contain any valid metadata.

- A 7-Mode LUN is restored as a LUN on an ONTAP volume.
- A 7-Mode volume can be restored to an ONTAP volume.
- VM-aligned files restored to a destination volume inherit the VM-align properties of the destination volume.

- The destination volume for a restore operation might have files with mandatory or advisory locks.

While performing restore operation to such a destination volume, the dump engine ignores these locks.

### Considerations before restoring data

You can restore backed-up data to its original path or to a different destination. If you are restoring backed-up data to a different destination, you must prepare the destination for the restore operation.

Before restoring data either to its original path or to a different destination, you must have the following information and meet the following requirements:

- The level of the restore
- The path to which you are restoring the data
- The blocking factor used during the backup
- If you are doing an incremental restore, all tapes must be in the backup chain
- A tape drive that is available and compatible with the tape to be restored from

Before restoring data to a different destination, you must perform the following operations:

- If you are restoring a volume, you must create a new volume.
- If you are restoring a qtree or a directory, you must rename or move files that are likely to have the same names as files you are restoring.



In ONTAP 9, qtree names support the Unicode format. The earlier releases of ONTAP do not support this format. If a qtree with Unicode names in ONTAP 9 is copied to an earlier release of ONTAP using the `ndmptcopy` command or through restoration from a backup image in a tape, the qtree is restored as a regular directory and not as a qtree with Unicode format.



If a restored file has the same name as an existing file, the existing file is overwritten by the restored file. However, the directories are not overwritten.

To rename a file, directory, or qtree during restore without using DAR, you must set the `EXTRACT` environment variable to `E`.

### Required space on the destination storage system

You require about 100 MB more space on the destination storage system than the amount of data to be restored.



The restore operation checks for volume space and inode availability on the destination volume when the restore operation starts. Setting the `FORCE` environment variable to `Y` causes the restore operation to skip the checks for volume space and inode availability on the destination path. If there is not enough volume space or inodes available on the destination volume, the restore operation recovers as much data allowed by the destination volume space and inode availability. The restore operation stops when there is no more volume space or inodes left.

## Scalability limits for dump backup and restore sessions

You must be aware of the maximum number of dump backup and restore sessions that can be performed simultaneously on storage systems of different system memory capacities. This maximum number depends on the system memory of a storage system.

The limits mentioned in the following table are for the dump or restore engine. The limits mentioned in the scalability limits for NDMP sessions are for the NDMP server, which are higher than the engine limits.

System memory of a storage system	Total number of dump backup and restore sessions
Less than 16 GB	4
Greater than or equal to 16 GB but less than 24 GB	16
Greater than or equal to 24 GB	32



If you use `ndmpcopy` command to copy data within storage systems, two NDMP sessions are established, one for dump backup and the other for dump restore.

You can obtain the system memory of your storage system by using the `sysconfig -a` command (available through the nodeshell). For more information about using this command, see the man pages.

### Related information

[Scalability limits for NDMP sessions](#)

## Tape backup and restore support between Data ONTAP operating in 7-Mode and ONTAP

You can restore data backed up from a storage system operating in 7-Mode or running ONTAP to a storage system either operating in 7-Mode or running ONTAP.

The following tape backup and restore operations are supported between Data ONTAP operating in 7-Mode and ONTAP:

- Backing up a 7-Mode volume to a tape drive connected to a storage system running ONTAP
- Backing up an ONTAP volume to a tape drive connected to a 7-Mode system
- Restoring backed-up data of a 7-Mode volume from a tape drive connected to a storage system running ONTAP
- Restoring backed-up data of an ONTAP volume from a tape drive connected to a 7-Mode system
- Restoring a 7-Mode volume to an ONTAP volume



- A 7-Mode LUN is restored as a LUN on an ONTAP volume.
- You should retain the ONTAP LUN identifiers when restoring a 7-Mode LUN to an existing ONTAP LUN.

- Restoring an ONTAP volume to a 7-Mode volume



An ONTAP LUN is restored as a regular file on a 7-Mode volume.

## Delete restartable contexts

If you want to start a backup instead of restarting a context, you can delete the context.

### About this task

You can delete a restartable context using the `vserver services ndmp restartable-backup delete` command by providing the SVM name and the context ID.

### Steps

1. Delete a restartable context:

```
vserver services ndmp restartable-backup delete -vserver vserver-name -context -id context_identifier.
```

```
cluster::> vserver services ndmpd restartable-backup show
Vserver      Context Identifier      Is Cleanup Pending?
-----
vserver1     330e6739-0179-11e6-a299-005056bb4bc9 false
vserver1     481025c1-0179-11e6-a299-005056bb4bc9 false
vserver2     5cf10132-0179-11e6-a299-005056bb4bc9 false
3 entries were displayed.

cluster::>
cluster::> vserver services ndmp restartable-backup delete -vserver
vserver1 -context-id 481025c1-0179-11e6-a299-005056bb4bc9

cluster::> vserver services ndmpd restartable-backup show
Vserver      Context Identifier      Is Cleanup Pending?
-----
vserver1     330e6739-0179-11e6-a299-005056bb4bc9 false
vserver2     5cf10132-0179-11e6-a299-005056bb4bc9 false
3 entries were displayed.

cluster::>"
```

## How dump works on a SnapVault secondary volume

You can perform tape backup operations on data that is mirrored on the SnapVault secondary volume. You can back up only the data that is mirrored on the SnapVault secondary volume to tape, and not the SnapVault relationship metadata.

When you break the data protection mirror relationship (`snapmirror break`) or when a SnapMirror



resynchronization occurs, you must always perform a baseline backup.

### How dump works with storage failover and ARL operations

Before you perform dump backup or restore operations, you should understand how these operations work with storage failover (takeover and giveback) or aggregate relocation (ARL) operations. The `-override-vetoes` option determines the behavior of dump engine during a storage failover or ARL operation.

When a dump backup or restore operation is running and the `-override-vetoes` option is set to `false`, a user-initiated storage failover or ARL operation is stopped. However, if the `-override-vetoes` option is set to `true`, then the storage failover or ARL operation is continued and the dump backup or restore operation is aborted. When a storage failover or ARL operation is automatically initiated by the storage system, an active dump backup or restore operation is always aborted. You cannot restart dump backup and restore operations even after storage failover or ARL operations complete.

### Dump operations when CAB extension is supported

If the backup application supports CAB extension, you can continue performing incremental dump backup and restore operations without reconfiguring backup policies after a storage failover or ARL operation.

### Dump operations when CAB extension is not supported

If the backup application does not support CAB extension, you can continue performing incremental dump backup and restore operations if you migrate the LIF configured in the backup policy to the node that hosts the destination aggregate. Otherwise, after the storage failover and ARL operation, you must perform a baseline backup prior to performing the incremental backup operation.



For storage failover operations, the LIF configured in the backup policy must be migrated to the partner node.

### Related information

[ONTAP concepts](#)

[High Availability](#)

### How dump works with volume move

Tape backup and restore operations and volume move can run in parallel until the final cutover phase is attempted by the storage system. After this phase, new tape backup and restore operations are not allowed on the volume that is being moved. However, the current operations continue to run until completion.

The following table describes the behavior of tape backup and restore operations after the volume move operation:

If you are performing tape backup and restore operations in the...	Then...
storage virtual machine (SVM) scoped NDMP mode when CAB extension is supported by the backup application	You can continue performing incremental tape backup and restore operations on read/write and read-only volumes without reconfiguring backup policies.
SVM-scoped NDMP mode when CAB extension is not supported by the backup application	You can continue performing incremental tape backup and restore operations on read/write and read-only volumes if you migrate the LIF configured in the backup policy to the node that hosts the destination aggregate. Otherwise, after the volume move, you must perform a baseline backup before performing the incremental backup operation.



When a volume move occurs, if the volume belonging to a different SVM on the destination node has the same name as that of the moved volume, then you cannot perform incremental backup operations of the moved volume.

#### Related information

[ONTAP concepts](#)

#### How dump works when a FlexVol volume is full

Before performing an incremental dump backup operation, you must ensure that there is sufficient free space in the FlexVol volume.

If the operation fails, you must increase the free space in the Flex Vol volume either by increasing its size or by deleting the Snapshot copies. Then perform the incremental backup operation again.

#### How dump works when volume access type changes

When a SnapMirror destination volume or a SnapVault secondary volume changes state from read/write to read-only or from read-only to read/write, you must perform a baseline tape backup or restore operation.

SnapMirror destination and SnapVault secondary volumes are read-only volumes. If you perform tape backup and restore operations on such volumes, you must perform a baseline backup or restore operation whenever the volume changes state from read-only to read/write or from read/write to read-only.

#### Related information

[ONTAP concepts](#)

#### How dump works with SnapMirror single file or LUN restore

Before you perform dump backup or restore operations on a volume to which a single file or LUN is restored by using SnapMirror technology, you must understand how dump operations work with a single file or LUN restore operation.

During a SnapMirror single file or LUN restore operation, client I/O is restricted on the file or LUN being

restored. When the single file or LUN restore operation finishes, the I/O restriction on the file or LUN is removed. If a dump backup is performed on a volume to which a single file or LUN is restored, then the file or LUN that has client I/O restriction is not included in the dump backup. In a subsequent backup operation, this file or LUN is backed up to tape after the I/O restriction is removed.

You cannot perform a dump restore and a SnapMirror single file or LUN restore operation simultaneously on the same volume.

## **How dump backup and restore operations are affected in MetroCluster configurations**

Before you perform dump backup and restore operations in a MetroCluster configuration, you must understand how dump operations are affected when a switchover or switchback operation occurs.

### **Dump backup or restore operation followed by switchover**

Consider two clusters: cluster 1 and cluster 2. During a dump backup or restore operation on cluster 1, if a switchover is initiated from cluster 1 to cluster 2, then the following occurs:

- If the value of the `override-vetoes` option is `false`, then the switchover is aborted and the backup or restore operation continues.
- If the value of the option is `true`, then the dump backup or restore operation is aborted and the switchover continues.

### **Dump backup or restore operation followed by switchback**

A switchover is performed from cluster 1 to cluster 2 and a dump backup or restore operation is initiated on cluster 2. The dump operation backs up or restores a volume that is located on cluster 2. At this point, if a switchback is initiated from cluster 2 to cluster 1, then the following occurs:

- If the value of the `override-vetoes` option is `false`, then the switchback is cancelled and the backup or restore operation continues.
- If the value of the option is `true`, then the backup or restore operation is aborted and the switchback continues.

### **Dump backup or restore operation initiated during a switchover or switchback**

During a switchover from cluster 1 to cluster 2, if a dump backup or restore operation is initiated on cluster 1, then the backup or restore operation fails and the switchover continues.

During a switchback from cluster 2 to cluster 1, if a dump backup or restore operation is initiated from cluster 2, then the backup or restore operation fails and the switchback continues.

## **About SMTape engine for FlexVol volumes**

### **About SMTape engine for FlexVol volumes**

SMTape is a disaster recovery solution from ONTAP that backs up blocks of data to tape. You can use SMTape to perform volume backups to tapes. However, you cannot perform a backup at the qtree or subtree level. SMTape supports baseline, differential, and incremental backups. SMTape does not require a license.

You can perform an SMTape backup and restore operation by using an NDMP-compliant backup application. You can choose SMTape to perform backup and restore operations only in the storage virtual machine (SVM) scoped NDMP mode.



Reversion process is not supported when an SMTape backup or restore session is in progress. You must wait until the session finishes or you must abort the NDMP session.

Using SMTape, you can back up 255 Snapshot copies. For subsequent baseline, incremental, or differential backups, you must delete older backed-up Snapshot copies.

Before performing a baseline restore, the volume to which data is being restored must be of type `DP` and this volume must be in the restricted state. After a successful restore, this volume is automatically online. You can perform subsequent incremental or differential restores on this volume in the order in which the backups were performed.

### **Use Snapshot copies during SMTape backup**

You should understand how Snapshot copies are used during an SMTape baseline backup and an incremental backup. There are also considerations to keep in mind while performing a backup using SMTape.

#### **Baseline backup**

While performing a baseline backup, you can specify the name of the Snapshot copy to be backed up to tape. If no Snapshot copy is specified, then depending on the access type of the volume (read/write or read-only), either a Snapshot copy is created automatically or existing Snapshot copies are used. When you specify a Snapshot copy for the backup, all the Snapshot copies older than the specified Snapshot copy are also backed up to tape.

If you do not specify a Snapshot copy for the backup, the following occurs:

- For a read/write volume, a Snapshot copy is created automatically.

The newly created Snapshot copy and all the older Snapshot copies are backed up to tape.

- For a read-only volume, all the Snapshot copies, including the latest Snapshot copy, are backed up to tape.

Any new Snapshot copies created after the backup is started are not backed up.

#### **Incremental backup**

For SMTape incremental or differential backup operations, the NDMP-compliant backup applications create and manage the Snapshot copies.

You must always specify a Snapshot copy while performing an incremental backup operation. For a successful incremental backup operation, the Snapshot copy backed up during the previous backup operation (baseline or incremental) must be on the volume from which the backup is performed. To ensure that you use this backed-up Snapshot copy, you must consider the Snapshot policy assigned on this volume while configuring the backup policy.

#### **Considerations on SMTape backups on SnapMirror destinations**

- A data protection mirror relationship creates temporary Snapshot copies on the destination volume for replication.

You should not use these Snapshot copies for SMTape backup.

- If a SnapMirror update occurs on a destination volume in a data protection mirror relationship during an SMTape backup operation on the same volume, then the Snapshot copy that is backed up by SMTape must not be deleted on the source volume.

During the backup operation, SMTape locks the Snapshot copy on the destination volume and if the corresponding Snapshot copy is deleted on the source volume, then the subsequent SnapMirror update operation fails.

- You should not use these Snapshot copies during incremental backup.

## SMTape capabilities

SMTape capabilities such as backup of Snapshot copies, incremental and differential backups, preservation of deduplication and compression features on restored volumes, and tape seeding help you optimize your tape backup and restore operations.

SMTape provides the following capabilities:

- Provides a disaster recovery solution
- Enables incremental and differential backups
- Backs up Snapshot copies
- Enables backup and restore of deduplicated volumes and preserves deduplication on the restored volumes
- Backs up compressed volumes and preserves compression on the restored volumes
- Enables tape seeding

SMTape supports the blocking factor in multiples of 4 KB, in the range of 4 KB through 256 KB.



You can restore data to volumes created across up to two major consecutive ONTAP releases only.

## Features not supported in SMTape

SMTape does not support restartable backups and verification of backed-up files.

## Scalability limits for SMTape backup and restore sessions

While performing SMTape backup and restore operations through NDMP or CLI (tape seeding), you must be aware of the maximum number of SMTape backup and restore sessions that can be performed simultaneously on storage systems with different system memory capacities. This maximum number depends on the system memory of a storage system.



SMTape backup and restore sessions scalability limits are different from NDMP session limits and dump session limits.

System memory of the storage system	Total number of SMTape backup and restore sessions
Less than 16 GB	6
Greater than or equal to 16 GB but less than 24 GB	16
Greater than or equal to 24 GB	32

You can obtain the system memory of your storage system by using the `sysconfig -a` command (available through the nodeshell). For more information about using this command, see the man pages.

#### Related information

[Scalability limits for NDMP sessions](#)

[Scalability limits for dump backup and restore sessions](#)

#### What tape seeding is

Tape seeding is an SMTape functionality that helps you initialize a destination FlexVol volume in a data protection mirror relationship.

Tape seeding enables you to establish a data protection mirror relationship between a source system and a destination system over a low-bandwidth connection.

Incremental mirroring of Snapshot copies from the source to the destination is feasible over a low bandwidth connection. However, an initial mirroring of the base Snapshot copy takes a long time over a low-bandwidth connection. In such cases, you can perform an SMTape backup of the source volume to a tape and use the tape to transfer the initial base Snapshot copy to the destination. You can then set up incremental SnapMirror updates to the destination system using the low-bandwidth connection.

#### Related information

[ONTAP concepts](#)

#### How SMTape works with storage failover and ARL operations

Before you perform SMTape backup or restore operations, you should understand how these operations work with storage failover (takeover and giveback) or aggregate relocation (ARL) operation. The `-override-vetoes` option determines the behavior of SMTape engine during a storage failover or ARL operation.

When an SMTape backup or restore operation is running and the `-override-vetoes` option is set to `false`, a user-initiated storage failover or ARL operation is stopped and the backup or restore operation complete. If the backup application supports CAB extension, then you can continue performing incremental SMTape backup and restore operations without reconfiguring backup policies. However, if the `-override-vetoes` option is set to `true`, then the storage failover or ARL operation is continued and the SMTape backup or restore operation is aborted.

#### Related information

[Network management](#)

### How SMTape works with volume move

SMTape backup operations and volume move operations can run in parallel until the storage system attempts the final cutover phase. After this phase, new SMTape backup operations cannot run on the volume that is being moved. However, the current operations continue to run until completion.

Before the cutover phase for a volume is started, the volume move operation checks for active SMTape backup operations on the same volume. If there are active SMTape backup operations, then the volume move operation moves into a cutover deferred state and allows the SMTape backup operations to complete. After these backup operations are completed, you must manually restart the volume move operation.

If the backup application supports CAB extension, you can continue performing incremental tape backup and restore operations on read/write and read-only volumes without reconfiguring backup policies.

Baseline restore and volume move operations cannot be performed simultaneously; however, incremental restore can run in parallel with volume move operations, with the behavior similar to that of SMTape backup operations during volume move operations.

#### Related information

[ONTAP concepts](#)

### How SMTape works with volume rehost operations

SMTape operations cannot commence when a volume rehost operation is in progress on a volume. When a volume is involved in a volume rehost operation, SMTape sessions should not be started on that volume.

If any volume rehost operation is in progress, then SMTape backup or restore fails. If an SMTape backup or restore is in progress, then volume rehost operations fail with an appropriate error message. This condition applies to both NDMP-based and CLI-based backup or restore operations.

### How NDMP backup policy are affected during ADB

When the automatic data balancer (ADB) is enabled, the balancer analyzes the usage statistics of aggregates to identify the aggregate that has exceeded the configured high-threshold usage percentage.

After identifying the aggregate that has exceeded the threshold, the balancer identifies a volume that can be moved to aggregates residing in another node in the cluster and attempts to move that volume. This situation affects the backup policy configured for this volume because if the data management application (DMA) is not CAB aware, then the user has to reconfigure the backup policy and run the baseline backup operation.



If the DMA is CAB aware and the backup policy has been configured using specific interface, then the ADB is not affected.

### How SMTape backup and restore operations are affected in MetroCluster configurations

Before you perform SMTape backup and restore operations in a MetroCluster

configuration, you must understand how SMTape operations are affected when a switchover or switchback operation occurs.

#### **SMTape backup or restore operation followed by switchover**

Consider two clusters: cluster 1 and cluster 2. During an SMTape backup or restore operation on cluster 1, if a switchover is initiated from cluster 1 to cluster 2, then the following occurs:

- If the value of the `-override-vetoes` option is `false`, then the switchover process is aborted and the backup or restore operation continues.
- If the value of the option is `true`, then the SMTape backup or restore operation is aborted and the switchover process continues.

#### **SMTape backup or restore operation followed by switchback**

A switchover is performed from cluster 1 to cluster 2 and an SMTape backup or restore operation is initiated on cluster 2. The SMTape operation backs up or restores a volume that is located on cluster 2. At this point, if a switchback is initiated from cluster 2 to cluster 1, then the following occurs:

- If the value of the `-override-vetoes` option is `false`, then the switchback process is aborted and the backup or restore operation continues.
- If the value of the option is `true`, then the backup or restore operation is aborted and the switchback process continues.

#### **SMTape backup or restore operation initiated during a switchover or switchback**

During a switchover process from cluster 1 to cluster 2, if an SMTape backup or restore operation is initiated on cluster 1, then the backup or restore operation fails and the switchover continues.

During a switchback process from cluster 2 to cluster 1, if an SMTape backup or restore operation is initiated from cluster 2, then the backup or restore operation fails and the switchback continues.

## **Monitor tape backup and restore operations for FlexVol volumes**

### **Monitor tape backup and restore operations for FlexVol volumes overview**

You can view the event log files to monitor the tape backup and restore operations. ONTAP automatically logs significant backup and restore events and the time at which they occur in a log file named `backup` in the controller's `/etc/log/` directory. By default, event logging is set to `on`.

You might want to view event log files for the following reasons:

- Checking whether a nightly backup was successful
- Gathering statistics on backup operations
- For using the information in past event log files to help diagnose problems with backup and restore operations

Once every week, the event log files are rotated. The `/etc/log/backup` file is renamed to `/etc/log/backup.0`, the `/etc/log/backup.0` file is renamed to `/etc/log/backup.1`, and so on. The system saves the log files for up to six weeks; therefore, you can have up to seven message files



(`/etc/log/backup.[0-5]` and the current `/etc/log/backup` file).

## Access the event log files

You can access the event log files for tape backup and restore operations in the `/etc/log/` directory by using the `rdfile` command at the nodeshell. You can view these event log files to monitor tape backup and restore operations.

### About this task

With additional configurations, such as an access-control role with access to the `spi` web service or a user account set up with the `http` access method, you can also use a web browser to access these log files.

### Steps

1. To access the nodeshell, enter the following command:

```
node run -node node_name
```

`node_name` is the name of the node.

2. To access the event log files for tape backup and restore operations, enter the following command:

```
rdfile /etc/log/backup
```

### Related information

[System administration](#)

[ONTAP concepts](#)

## What the dump and restore event log message format is

### Dump and restore event log message format overview

For each dump and restore event, a message is written to the backup log file.

The format of the dump and restore event log message is as follows:

```
type timestamp identifier event (event_info)
```

The following list describes the fields in the event log message format:

- Each log message begins with one of the type indicators described in the following table:

Type	Description
log	Logging event
dmp	Dump event
rst	Restore event

- `timestamp` shows the date and time of the event.
- The `identifier` field for a dump event includes the dump path and the unique ID for the dump. The `identifier` field for a restore event uses only the restore destination path name as a unique identifier. Logging-related event messages do not include an `identifier` field.

#### What logging events are

The event field of a message that begins with a log specifies the beginning of a logging or the end of a logging.

It contains one of the events shown in the following table:

Event	Description
Start_Logging	Indicates the beginning of logging or that logging has been turned back on after being disabled.
Stop_Logging	Indicates that logging has been turned off.

#### What dump events are

The event field for a dump event contains an event type followed by event-specific information within parentheses.

The following table describes the events, their descriptions, and the related event information that might be recorded for a dump operation:

Event	Description	Event information
Start	NDMP dump is started	Dump level and the type of dump
End	Dumps completed successfully	Amount of data processed
Abort	The operation is cancelled	Amount of data processed
Options	Specified options are listed	All options and their associated values, including NDMP options
Tape_open	The tape is open for read/write	The new tape device name
Tape_close	The tape is closed for read/write	The tape device name
Phase-change	A dump is entering a new processing phase	The new phase name
Error	A dump has encountered an unexpected event	Error message

Event	Description	Event information
Snapshot	A Snapshot copy is created or located	The name and time of the Snapshot copy
Base_dump	A base dump entry in the internal metafile has been located	The level and time of the base dump (for incremental dumps only)

#### What restore events are

The event field for a restore event contains an event type followed by event-specific information in parentheses.

The following table provides information about the events, their descriptions, and the related event information that can be recorded for a restore operation:

Event	Description	Event information
Start	NDMP restore is started	Restore level and the type of restore
End	Restores completed successfully	Number of files and amount of data processed
Abort	The operation is cancelled	Number of files and amount of data processed
Options	Specified options are listed	All options and their associated values, including NDMP options
Tape_open	The tape is open for read/write	The new tape device name
Tape_close	The tape is closed for read/write	The tape device name
Phase-change	Restore is entering a new processing phase	The new phase name
Error	Restore encounters an unexpected event	Error message

#### Enabling or disabling event logging

You can turn the event logging on or off.

##### Steps

1. To enable or disable event logging, enter the following command at the clustershell:

```
options -option_name backup.log.enable -option-value {on | off}
```

`on` turns event logging on.

`off` turns event logging off.



Event logging is turned on by default.

## Error messages for tape backup and restore of FlexVol volumes

### Backup and restore error messages

#### Resource limitation: no available thread

- **Message**

`Resource limitation: no available thread`

- **Cause**

The maximum number of active local tape I/O threads is currently in use. You can have a maximum of 16 active local tape drives.

- **Corrective action**

Wait for some tape jobs to finish before starting a new backup or restore job.

#### Tape reservation preempted

- **Message**

`Tape reservation preempted`

- **Cause**

The tape drive is in use by another operation or the tape has been closed prematurely.

- **Corrective action**

Ensure that the tape drive is not in use by another operation and that the DMA application has not aborted the job and then retry.

#### Could not initialize media

- **Message**

`Could not initialize media`

- **Cause**

You might get this error for one of the following reasons:

- The tape drive used for the backup is corrupt or damaged.
- The tape does not contain the complete backup or is corrupt.

- The maximum number of active local tape I/O threads is currently in use.

You can have a maximum of 16 active local tape drives.

- **Corrective action**

- If the tape drive is corrupt or damaged, retry the operation with a valid tape drive.
- If the tape does not contain the complete backup or is corrupt, you cannot perform the restore operation.
- If tape resources are not available, wait for some of the backup or restore jobs to finish and then retry the operation.

#### Maximum number of allowed dumps or restores (maximum session limit) in progress

- **Message**

Maximum number of allowed dumps or restores (*maximum session limit*) in progress

- **Cause**

The maximum number of backup or restore jobs is already running.

- **Corrective action**

Retry the operation after some of the currently running jobs have finished.

#### Media error on tape write

- **Message**

Media error on tape write

- **Cause**

The tape used for the backup is corrupted.

- **Corrective action**

Replace the tape and retry the backup job.

#### Tape write failed

- **Message**

Tape write failed

- **Cause**

The tape used for the backup is corrupted.

- **Corrective action**

Replace the tape and retry the backup job.

#### **Tape write failed - new tape encountered media error**

- **Message**

Tape write failed - new tape encountered media error

- **Cause**

The tape used for the backup is corrupted.

- **Corrective action**

Replace the tape and retry the backup.

#### **Tape write failed - new tape is broken or write protected**

- **Message**

Tape write failed - new tape is broken or write protected

- **Cause**

The tape used for the backup is corrupted or write-protected.

- **Corrective action**

Replace the tape and retry the backup.

#### **Tape write failed - new tape is already at the end of media**

- **Message**

Tape write failed - new tape is already at the end of media

- **Cause**

There is not enough space on the tape to complete the backup.

- **Corrective action**

Replace the tape and retry the backup.

#### **Tape write error**

- **Message**

Tape write error - The previous tape had less than the required minimum capacity, size MB, for this tape operation, The operation should be restarted from the beginning

- **Cause**

The tape capacity is insufficient to contain the backup data.

- **Corrective action**

Use tapes with larger capacity and retry the backup job.

#### Media error on tape read

- **Message**

Media error on tape read

- **Cause**

The tape from which data is being restored is corrupted and might not contain the complete backup data.

- **Corrective action**

If you are sure that the tape has the complete backup, retry the restore operation. If the tape does not contain the complete backup, you cannot perform the restore operation.

#### Tape read error

- **Message**

Tape read error

- **Cause**

The tape drive is damaged or the tape does not contain the complete backup.

- **Corrective action**

If the tape drive is damaged, use another tape drive. If the tape does not contain the complete backup, you cannot restore the data.

#### Already at the end of tape

- **Message**

Already at the end of tape

- **Cause**

The tape does not contain any data or must be rewound.

- **Corrective action**

If the tape does not contain data, use the tape that contains the backup and retry the restore job. Otherwise, rewind the tape and retry the restore job.

#### Tape record size is too small. Try a larger size.

- **Message**

Tape record size is too small. Try a larger size.

- **Cause**

The blocking factor specified for the restore operation is smaller than the blocking factor that was used during the backup.

- **Corrective action**

Use the same blocking factor that was specified during the backup.

#### **Tape record size should be `block_size1` and not `block_size2`**

- **Message**

Tape record size should be `block_size1` and not `block_size2`

- **Cause**

The blocking factor specified for the local restore is incorrect.

- **Corrective action**

Retry the restore job with `block_size1` as the blocking factor.

#### **Tape record size must be in the range between 4KB and 256KB**

- **Message**

Tape record size must be in the range between 4KB and 256KB

- **Cause**

The blocking factor specified for the backup or restore operation is not within the permitted range.

- **Corrective action**

Specify a blocking factor in the range of 4 KB to 256 KB.

### **NDMP error messages**

#### **Network communication error**

- **Message**

Network communication error

- **Cause**

Communication to a remote tape in an NDMP three-way connection has failed.

- **Corrective action**

Check the network connection to the remote mover.



#### Message from Read Socket: error\_string

- **Message**

Message from Read Socket: error\_string

- **Cause**

Restore communication from the remote tape in NDMP 3-way connection has errors.

- **Corrective action**

Check the network connection to the remote mover.

#### Message from Write Dirnet: error\_string

- **Message**

Message from Write Dirnet: error\_string

- **Cause**

Backup communication to a remote tape in an NDMP three-way connection has an error.

- **Corrective action**

Check the network connection to the remote mover.

#### Read Socket received EOF

- **Message**

Read Socket received EOF

- **Cause**

Attempt to communicate with a remote tape in an NDMP three-way connection has reached the End Of File mark. You might be attempting a three-way restore from a backup image with a larger block size.

- **Corrective action**

Specify the correct block size and retry the restore operation.

#### ndmpd invalid version number: version\_number ``

- **Message**

ndmpd invalid version number: version\_number

- **Cause**

The NDMP version specified is not supported by the storage system.

- **Corrective action**

Specify NDMP version 4.

#### **ndmpd session session\_ID not active**

- **Message**

`ndmpd session session_ID not active`

- **Cause**

The NDMP session might not exist.

- **Corrective action**

Use the `ndmpd status` command to view the active NDMP sessions.

#### **Could not obtain vol ref for Volume volume\_name**

- **Message**

`Could not obtain vol ref for Volume vol_name`

- **Cause**

The volume reference could not be obtained because the volume might be in use by other operations.

- **Corrective action**

Retry the operation later.

#### **Data connection type ["NDMP4\_ADDR\_TCP"|"NDMP4\_ADDR\_TCP\_IPv6"] not supported for ["IPv6"|"IPv4"] control connections**

- **Message**

`Data connection type ["NDMP4_ADDR_TCP"|"NDMP4_ADDR_TCP_IPv6"] not supported for ["IPv6"|"IPv4"] control connections`

- **Cause**

In node-scoped NDMP mode, the NDMP data connection established must be of the same network address type (IPv4 or IPv6) as the NDMP control connection.

- **Corrective action**

Contact your backup application vendor.

#### **DATA LISTEN: CAB data connection prepare precondition error**

- **Message**

`DATA LISTEN: CAB data connection prepare precondition error`

- **Cause**

NDMP data listen fails when the backup application has negotiated the CAB extension with the NDMP server and there is a mismatch in the specified NDMP data connection address type between the NDMP\_CAB\_DATA\_CONN\_PREPARE and the NDMP\_DATA\_LISTEN messages.

- **Corrective action**

Contact your backup application vendor.

#### **DATA CONNECT: CAB data connection prepare precondition error**

- **Message**

```
DATA CONNECT: CAB data connection prepare precondition error
```

- **Cause**

NDMP data connect fails when the backup application has negotiated the CAB extension with the NDMP server and there is a mismatch in the specified NDMP data connection address type between the NDMP\_CAB\_DATA\_CONN\_PREPARE and the NDMP\_DATA\_CONNECT messages.

- **Corrective action**

Contact your backup application vendor.

#### **Error:show failed: Cannot get password for user '<username>'**

- **Message**

```
Error: show failed: Cannot get password for user '<username>'
```

- **Cause**

Incomplete user account configuration for NDMP

- **Corrective action**

Ensure that the user account is associated with the SSH access method and the authentication method is user password.

#### **Dump error messages**

##### **Destination volume is read-only**

- **Message**

```
Destination volume is read-only
```

- **Cause**

The path to which the restore operation is attempted to is read-only.

- **Corrective action**

Try restoring the data to a different location.

#### Destination qtree is read-only

- **Message**

Destination qtree is read-only

- **Cause**

The qtree to which the restore is attempted to is read-only.

- **Corrective action**

Try restoring the data to a different location.

#### Dumps temporarily disabled on volume, try again

- **Message**

Dumps temporarily disabled on volume, try again

- **Cause**

NDMP dump backup is attempted on a SnapMirror destination volume that is part of either a `snapmirror break` or a `snapmirror resync` operation.

- **Corrective action**

Wait for the `snapmirror break` or `snapmirror resync` operation to finish and then perform the dump operation.



Whenever the state of a SnapMirror destination volume changes from read/write to read-only or from read-only to read/write, you must perform a baseline backup.

#### NFS labels not recognized

- **Message**

Error: Aborting: dump encountered NFS security labels in the file system

- **Cause**

NFS security labels are supported Beginning with ONTAP 9.9.1 when NFSv4.2 is enabled. However, NFS security labels are not currently recognized by the dump engine. If it encounters any NFS security labels on the files, directories, or any special files in any format of dump, the dump fails.

- **Corrective action**

Verify that no files or directories have NFS security labels.

#### No files were created

- **Message**

No files were created

- **Cause**

A directory DAR was attempted without enabling the enhanced DAR functionality.

- **Corrective action**

Enable the enhanced DAR functionality and retry the DAR.

#### Restore of the file <file name> failed

- **Message**

Restore of the file file name failed

- **Cause**

When a DAR (Direct Access Recovery) of a file whose file name is the same as that of a LUN on the destination volume is performed, then the DAR fails.

- **Corrective action**

Retry DAR of the file.

#### Truncation failed for src inode <inode number>...

- **Message**

Truncation failed for src inode <inode number>. Error <error number>. Skipping inode.

- **Cause**

Inode of a file is deleted when the file is being restored.

- **Corrective action**

Wait for the restore operation on a volume to complete before using that volume.

#### Unable to lock a snapshot needed by dump

- **Message**

Unable to lock a snapshot needed by dump

- **Cause**

The Snapshot copy specified for the backup is not available.

- **Corrective action**

Retry the backup with a different Snapshot copy.

Use the `snap list` command to see the list of available Snapshot copies.

#### Unable to locate bitmap files

- **Message**

Unable to locate bitmap files

- **Cause**

The bitmap files required for the backup operation might have been deleted. In this case, the backup cannot be restarted.

- **Corrective action**

Perform the backup again.

#### Volume is temporarily in a transitional state

- **Message**

Volume is temporarily in a transitional state

- **Cause**

The volume being backed up is temporarily in an unmounted state.

- **Corrective action**

Wait for some time and perform the backup again.

#### SM Tape error messages

##### Chunks out of order

- **Message**

Chunks out of order

- **Cause**

The backup tapes are not being restored in the correct sequence.

- **Corrective action**

Retry the restore operation and load the tapes in the correct sequence.

#### Chunk format not supported

- **Message**

Chunk format not supported

- **Cause**

The backup image is not of SMTape.

- **Corrective action**

If the backup image is not of SMTape, retry the operation with a tape that has the SMTape backup.

#### Failed to allocate memory

- **Message**

Failed to allocate memory

- **Cause**

The system has run out of memory.

- **Corrective action**

Retry the job later when the system is not too busy.

#### Failed to get data buffer

- **Message**

Failed to get data buffer

- **Cause**

The storage system ran out of buffers.

- **Corrective action**

Wait for some storage system operations to finish and then retry the job.

#### Failed to find snapshot

- **Message**

Failed to find snapshot

- **Cause**

The Snapshot copy specified for the backup is unavailable.

- **Corrective action**

Check if the specified Snapshot copy is available. If not, retry with the correct Snapshot copy.

#### Failed to create snapshot

- **Message**

Failed to create snapshot

- **Cause**

The volume already contains the maximum number of Snapshot copies.

- **Corrective action**

Delete some Snapshot copies and then retry the backup operation.

#### Failed to lock snapshot

- **Message**

Failed to lock snapshot

- **Cause**

The Snapshot copy is either in use or has been deleted.

- **Corrective action**

If the Snapshot copy is in use by another operation, wait for that operation to finish and then retry the backup. If the Snapshot copy has been deleted, you cannot perform the backup.

#### Failed to delete snapshot

- **Message**

Failed to delete snapshot

- **Cause**

The auto Snapshot copy could not be deleted because it is in use by other operations.

- **Corrective action**

Use the `snap` command to determine the status of the Snapshot copy. If the Snapshot copy is not required, delete it manually.

#### Failed to get latest snapshot

- **Message**

Failed to get latest snapshot

- **Cause**



The latest Snapshot copy might not exist because the volume is being initialized by SnapMirror.

- **Corrective action**

Retry after initialization is complete.

#### **Failed to load new tape**

- **Message**

Failed to load new tape

- **Cause**

Error in tape drive or media.

- **Corrective action**

Replace the tape and retry the operation.

#### **Failed to initialize tape**

- **Message**

Failed to initialize tape

- **Cause**

You might get this error message for one of the following reasons:

- The backup image is not of SMTape.
- The tape blocking factor specified is incorrect.
- The tape is corrupt or damaged.
- The wrong tape is loaded for restore.

- **Corrective action**

- If the backup image is not of SMTape, retry the operation with a tape that has SMTape backup.
- If the blocking factor is incorrect, specify the correct blocking factor and retry the operation.
- If the tape is corrupt, you cannot perform the restore operation.
- If the wrong tape is loaded, retry the operation with the correct tape.

#### **Failed to initialize restore stream**

- **Message**

Failed to initialize restore stream

- **Cause**

You might get this error message for one of the following reasons:

- The backup image is not of SMTape.

- The tape blocking factor specified is incorrect.
- The tape is corrupt or damaged.
- The wrong tape is loaded for restore.

- **Corrective action**

- If the backup image is not of SMTape, retry the operation with a tape that has the SMTape backup.
- If the blocking factor is incorrect, specify the correct blocking factor and retry the operation.
- If the tape is corrupt, you cannot perform the restore operation.
- If the wrong tape is loaded, retry the operation with the correct tape.

#### Failed to read backup image

- **Message**

Failed to read backup image

- **Cause**

The tape is corrupt.

- **Corrective action**

If the tape is corrupt, you cannot perform the restore operation.

#### Image header missing or corrupted

- **Message**

Image header missing or corrupted

- **Cause**

The tape does not contain a valid SMTape backup.

- **Corrective action**

Retry with a tape containing a valid backup.

#### Internal assertion

- **Message**

Internal assertion

- **Cause**

There is an internal SMTape error.

- **Corrective action**

Report the error and send the `etc/log/backup` file to technical support.

#### Invalid backup image magic number

- **Message**

Invalid backup image magic number

- **Cause**

The backup image is not of SMTape.

- **Corrective action**

If the backup image is not of SMTape, retry the operation with a tape that has the SMTape backup.

#### Invalid backup image checksum

- **Message**

Invalid backup image checksum

- **Cause**

The tape is corrupt.

- **Corrective action**

If the tape is corrupt, you cannot perform the restore operation.

#### Invalid input tape

- **Message**

Invalid input tape

- **Cause**

The signature of the backup image is not valid in the tape header. The tape has corrupted data or does not contain a valid backup image.

- **Corrective action**

Retry the restore job with a valid backup image.

#### Invalid volume path

- **Message**

Invalid volume path

- **Cause**

The specified volume for the backup or restore operation is not found.

- **Corrective action**

Retry the job with a valid volume path and volume name.

#### Mismatch in backup set ID

- **Message**

Mismatch in backup set ID

- **Cause**

The tape loaded during a tape change is not a part of the backup set.

- **Corrective action**

Load the correct tape and retry the job.

#### Mismatch in backup time stamp

- **Message**

Mismatch in backup time stamp

- **Cause**

The tape loaded during a tape change is not a part of the backup set.

- **Corrective action**

Use the `smtape restore -h` command to verify the header information of a tape.

#### Job aborted due to shutdown

- **Message**

Job aborted due to shutdown

- **Cause**

The storage system is being rebooted.

- **Corrective action**

Retry the job after the storage system reboots.

#### Job aborted due to Snapshot autodelete

- **Message**

Job aborted due to Snapshot autodelete

- **Cause**

The volume does not have enough space and has triggered the automatic deletion of Snapshot copies.

- **Corrective action**

Free up space in the volume and retry the job.

#### **Tape is currently in use by other operations**

- **Message**

Tape is currently in use by other operations

- **Cause**

The tape drive is in use by another job.

- **Corrective action**

Retry the backup after the currently active job is finished.

#### **Tapes out of order**

- **Message**

Tapes out of order

- **Cause**

The first tape of the tape sequence for the restore operation does not have the image header.

- **Corrective action**

Load the tape with the image header and retry the job.

#### **Transfer failed (Aborted due to MetroCluster operation)**

- **Message**

Transfer failed (Aborted due to MetroCluster operation)

- **Cause**

The SMTape operation is aborted because of a switchover or switchback operation.

- **Corrective action**

Perform the SMTape operation after the switchover or switchback operation finishes.

#### **Transfer failed (ARL initiated abort)**

- **Message**

Transfer failed (ARL initiated abort)

- **Cause**

While an SMTape operation is in progress if an aggregate relocation is initiated, then the SMTape operation is aborted.

- **Corrective action**

Perform the SMTape operation after the aggregate relocation operation finishes.

#### **Transfer failed (CFO initiated abort)**

- **Message**

Transfer failed (CFO initiated abort)

- **Cause**

The SMTape operation is aborted because of a storage failover (takeover and giveback) operation of a CFO aggregate.

- **Corrective action**

Perform the SMTape operation after the storage failover of the CFO aggregate finishes.

#### **Transfer failed (SFO initiated abort)**

- **Message**

Transfer failed (SFO initiated abort)

- **Cause**

The SMTape operation is aborted because of a storage failover (takeover and giveback) operation.

- **Corrective action**

Perform the SMTape operation after the storage failover (takeover and giveback) operation finishes.

#### **Underlying aggregate under migration**

- **Message**

Underlying aggregate under migration

- **Cause**

If an SMTape operation is initiated on an aggregate that is under migration (storage failover or aggregate relocation), then the SMTape operation fails.

- **Corrective action**

Perform the SMTape operation after the aggregate migration finishes.

#### Volume is currently under migration

- **Message**

Volume is currently under migration

- **Cause**

Volume migration and SMTape backup cannot run simultaneously.

- **Corrective action**

Retry the backup job after the volume migration is complete.

#### Volume offline

- **Message**

Volume offline

- **Cause**

The volume being backed up is offline.

- **Corrective action**

Bring the volume online and retry the backup.

#### Volume not restricted

- **Message**

Volume not restricted

- **Cause**

The destination volume to which data is being restored is not restricted.

- **Corrective action**

Restrict the volume and retry the restore operation.

## NDMP configuration

### NDMP configuration overview

You can quickly configure an ONTAP 9 cluster to use the Network Data Management Protocol (NDMP) to back up data directly to tape using a third-party backup application.

Configure NDMP in the following circumstances:

- The cluster is running ONTAP 9.

- You have a third-party backup application (also called a Data Management Application or DMA).
- You are a cluster administrator.
- You want to perform backup operations either at the cluster level (using the admin storage virtual machine (SVM)) or node level.
- Tape devices and an optional media server are installed.
- Tape devices are connected to the cluster through a Fibre Channel (FC) switch and not directly attached.
- At least one tape device has a logical unit number (LUN) of 0.

**Related information**

[Data protection using tape backup.](#)

**NDMP configuration workflow**

Setting up tape backup over NDMP involves preparing for NDMP configuration, verifying the tape device connections, enabling tape reservations, configuring NDMP at the SVM or node level, enabling NDMP on the cluster, configuring a backup user, configuring LIFs, and configuring the backup application.





## Prepare for NDMP configuration

Before you configure tape backup access over Network Data Management Protocol (NDMP), you must verify that the planned configuration is supported, verify that your tape drives are listed as qualified drives on each node, verify that all nodes have intercluster LIFs, and identify whether the backup application supports the Cluster Aware Backup (CAB) extension.

### Steps

1. Verify that the planned configuration is supported by using the Interoperability Matrix (IMT).

[NetApp Interoperability Matrix Tool](#)

You should verify that the following components are compatible:

- The version of ONTAP 9 that is running on the cluster.
- The backup application vendor and application version: for example, Symantec NetBackup 7.6 or

CommVault Simpana 10 SP8.

- The tape devices details, such as the manufacturer, model, and interface of the tape drives: for example, IBM Ultrium-TD4 FC or HP Ultrium-5 SAS.
- The platforms of the nodes in the cluster: for example, FAS3260 or FAS6280.

2. Verify that your tape drives are listed as qualified drives in each node's built-in tape configuration file:

- a. On the command line-interface, view the built-in tape configuration file by using the `storage tape show-supported-status` command.

```
cluster1::> storage tape show-supported-status

Node: cluster1-1

Tape Drives                                Is
-----                                -
Certance Ultrium 2                        true      Dynamically Qualified
Certance Ultrium 3                        true      Dynamically Qualified
Digital DLT2000                          true      Qualified
....
```

- b. Compare your tape drives to the list of qualified drives in the output.



The names of the tape devices in the output might vary slightly from the names on the device label or in the Interoperability Matrix. For example, Digital DLT2000 can also be known as DLT2k. You can ignore these minor naming differences.

- c. If a device is not listed as qualified in the output even though the device is qualified according to the Interoperability Matrix, download and install an updated configuration file for the device using the instructions on the NetApp Support Site.

#### [NetApp Downloads: Tape Device Configuration Files](#)

A qualified device might not be listed in the built-in tape configuration file if the tape device was qualified after the node was shipped.

3. Verify that every node in the cluster has an intercluster LIF:

- a. View the intercluster LIFs on the nodes by using the `network interface show -role intercluster` command.

```
cluster1::> network interface show -role intercluster
```

	Logical	Status	Network	Current
Current Is				
Vserver	Interface	Admin/Oper	Address/Mask	Node
Port	Home			
-----	-----	-----	-----	-----
-----	-----	-----	-----	-----
cluster1	IC1	up/up	192.0.2.65/24	cluster1-1
e0a	true			

- b. If an intercluster LIF does not exist on any node, create an intercluster LIF by using the `network interface create` command.

```
cluster1::> network interface create -vserver cluster1 -lif IC2 -role
intercluster
-home-node cluster1-2 -home-port e0b -address 192.0.2.68 -netmask
255.255.255.0
-status-admin up -failover-policy local-only -firewall-policy
intercluster
```

```
cluster1::> network interface show -role intercluster
```

	Logical	Status	Network	Current
Current Is				
Vserver	Interface	Admin/Oper	Address/Mask	Node
Port	Home			
-----	-----	-----	-----	-----
-----	-----	-----	-----	-----
cluster1	IC1	up/up	192.0.2.65/24	cluster1-1
e0a	true			
cluster1	IC2	up/up	192.0.2.68/24	cluster1-2
e0b	true			

## Network management

- Identify whether the backup application supports Cluster Aware Backup (CAB) by using the documentation provided with the backup application.

CAB support is a key factor in determining the type of backup you can perform.

## Verify tape device connections

You must ensure that all drives and media changers are visible in ONTAP as devices.

Steps

- 1. View information about all drives and media changers by using the storage tape show command.

```
cluster1::> storage tape show

Node: cluster1-01
Device ID           Device Type      Description
Status
-----
sw4:10.11           tape drive      HP LTO-3
normal
0b.125L1            media changer   HP MSL G3 Series
normal
0d.4                 tape drive      IBM LTO 5 ULT3580
normal
0d.4L1              media changer   IBM 3573-TL
normal
...
```

- 2. If a tape drive is not displayed, troubleshoot the problem.
- 3. If a media changer is not displayed, view information about media changers by using the storage tape show-media-changer command, and then troubleshoot the problem.

```
cluster1::> storage tape show-media-changer

Media Changer: sw4:10.11L1
Description: PX70-TL
           WWNN: 2:00a:000e11:10b919
           WWPN: 2:00b:000e11:10b919
Serial Number: 00FRU7800000_LL1

Errors: -

Paths:
Node           Initiator  Alias   Device State
Status
-----
cluster1-01    2b         mc0     in-use
normal
...
```

## Enable tape reservations

You must ensure that tape drives are reserved for use by backup applications for NDMP backup operations.

### About this task

The reservation settings vary in different backup applications, and these settings must match the backup application and the nodes or servers using the same drives. See the vendor documentation of the backup application for the correct reservation settings.

### Steps

1. Enable reservations by using the options `-option-name tape.reservations -option-value persistent` command.

The following command enables reservations with the `persistent` value:

```
cluster1::> options -option-name tape.reservations -option-value
persistent
2 entries were modified.
```

2. Verify that reservations are enabled on all nodes by using the `options tape.reservations` command, and then review the output.

```
cluster1::> options tape.reservations

cluster1-1
    tape.reservations                persistent

cluster1-2
    tape.reservations                persistent
2 entries were displayed.
```

## Configure NDMP at the SVM level or the node level

### Configure NDMP at the SVM level or the node level overview

If the backup application supports Cluster Aware Backup (CAB), you can configure NDMP as SVM-scoped at the cluster (admin SVM) level, which enables you to back up all volumes hosted across different nodes of the cluster. Otherwise, you can configure node-scoped NDMP, which enables you to back up all the volumes hosted on that node.

### Configure SVM-scoped NDMP

#### Configure SVM-scoped NDMP overview

If the DMA supports the Cluster Aware Backup (CAB) extension, you can back up all the

volumes hosted across different nodes in a cluster by enabling SVM-scoped NDMP, configuring a backup user account, and configuring LIFs for data and control connection.

**What you'll need**

The CAB extension must be supported by the DMA.

**Enable SVM-scoped NDMP on the cluster**

You can configure SVM-scoped NDMP on the cluster by enabling SVM-scoped NDMP mode and NDMP service on the cluster (admin SVM).

**About this task**

Turning off node-scoped NDMP mode enables SVM-scoped NDMP mode on the cluster.

**Steps**

- 1. Enable SVM-scoped NDMP mode by using the `system services ndmp` command with the `node-scope-mode` parameter.

```
cluster1::> system services ndmp node-scope-mode off
NDMP node-scope-mode is disabled.
```

- 2. Enable NDMP service on the admin SVM by using the `vserver services ndmp on` command.

```
cluster1::> vserver services ndmp on -vserver cluster1
```

The authentication type is set to `challenge` by default and plaintext authentication is disabled.



For secure communication, you should keep plaintext authentication disabled.

- 3. Verify that NDMP service is enabled by using the `vserver services ndmp show` command.

```
cluster1::> vserver services ndmp show
```

Vserver	Enabled	Authentication type
-----	-----	-----
cluster1	true	challenge
vs1	false	challenge

**Configure a backup user for the cluster**

To authenticate NDMP from the backup application, you must create a local backup user, or an NIS or LDAP user for the cluster with the admin or backup role, and generate an NDMP password for the backup user.

**What you'll need**

If you are using an NIS or LDAP user, the user must be created on the respective server. You cannot use an Active Directory user.

### Steps

1. Create a backup user with the `admin` or `backup` role by using the `security login create` command.

You can specify a local backup user name or an NIS or LDAP user name for the `-user-or-group-name` parameter.

The following command creates the backup user `backup_admin1` with the `backup` role:

```
cluster1::> security login create -user-or-group-name backup_admin1
-application ssh
-authmethod password -role backup

Please enter a password for user 'backup_admin1':
Please enter it again:
```

2. Generate a password for the admin SVM by using the `vserver services ndmp generate password` command.

The generated password must be used to authenticate the NDMP connection by the backup application.

```
cluster1::> vserver services ndmp generate-password -vserver cluster1
-user backup_admin1

Vserver: cluster1
User: backup_admin1
Password: qG5CqQHYxw7tE57g
```

### Configure LIFs

You must identify the LIFs that will be used for establishing a data connection between the data and tape resources, and for control connection between the admin SVM and the backup application. After identifying the LIFs, you must verify that firewall and failover policies are set for the LIFs, and specify the preferred interface role.

Beginning with ONTAP 9.10.1, firewall policies are deprecated and wholly replaced with LIF service policies. For more information, see [LIFs and service policies in ONTAP 9.6 and later](#).

### Steps

1. Identify the intercluster, cluster-management, and node-management LIFs by using the `network interface show` command with the `-role` parameter.

The following command displays the intercluster LIFs:

```
cluster1::> network interface show -role intercluster
```

	Logical	Status	Network	Current
Current Is				
Vserver	Interface	Admin/Oper	Address/Mask	Node
Port	Home			
-----	-----	-----	-----	
-----	-----			
cluster1	IC1	up/up	192.0.2.65/24	cluster1-1
e0a	true			
cluster1	IC2	up/up	192.0.2.68/24	cluster1-2
e0b	true			

The following command displays the cluster-management LIF:

```
cluster1::> network interface show -role cluster-mgmt
```

	Logical	Status	Network	Current
Current Is				
Vserver	Interface	Admin/Oper	Address/Mask	Node
Port	Home			
-----	-----	-----	-----	
-----	-----			
cluster1	cluster_mgmt	up/up	192.0.2.60/24	cluster1-2
e0M	true			

The following command displays the node-management LIFs:

```
cluster1::> network interface show -role node-mgmt
```

	Logical	Status	Network	Current
Current Is				
Vserver	Interface	Admin/Oper	Address/Mask	Node
Port	Home			
-----	-----	-----	-----	-----
-----	-----			
cluster1	cluster1-1_mgmt1	up/up	192.0.2.69/24	cluster1-1
e0M	true			
	cluster1-2_mgmt1	up/up	192.0.2.70/24	cluster1-2
e0M	true			

2. Ensure that the firewall policy is enabled for NDMP on the intercluster, cluster-management (cluster-mgmt), and node-management (node-mgmt) LIFs:



- a. Verify that the firewall policy is enabled for NDMP by using the `system services firewall policy show` command.

The following command displays the firewall policy for the cluster-management LIF:

```
cluster1::> system services firewall policy show -policy cluster
```

Vserver	Policy	Service	Allowed
-----	-----	-----	-----
cluster	cluster	dns	0.0.0.0/0
		http	0.0.0.0/0
		https	0.0.0.0/0
		** ndmp	0.0.0.0/0**
		ndmps	0.0.0.0/0
		ntp	0.0.0.0/0
		rsh	0.0.0.0/0
		snmp	0.0.0.0/0
		ssh	0.0.0.0/0
		telnet	0.0.0.0/0

10 entries were displayed.

The following command displays the firewall policy for the intercluster LIF:

```
cluster1::> system services firewall policy show -policy intercluster
```

Vserver	Policy	Service	Allowed
-----	-----	-----	-----
cluster1	intercluster	dns	-
		http	-
		https	-
		**ndmp	0.0.0.0/0, ::/0**
		ndmps	-
		ntp	-
		rsh	-
		ssh	-
		telnet	-

9 entries were displayed.

The following command displays the firewall policy for the node-management LIF:

```
cluster1::> system services firewall policy show -policy mgmt
```

Vserver	Policy	Service	Allowed
cluster1-1	mgmt	dns	0.0.0.0/0, ::/0
		http	0.0.0.0/0, ::/0
		https	0.0.0.0/0, ::/0
		**ndmp	0.0.0.0/0, ::/0**
		ndmps	0.0.0.0/0, ::/0
		ntp	0.0.0.0/0, ::/0
		rsh	-
		snmp	0.0.0.0/0, ::/0
		ssh	0.0.0.0/0, ::/0
		telnet	-

10 entries were displayed.

- b. If the firewall policy is not enabled, enable the firewall policy by using the `system services firewall policy modify` command with the `-service` parameter.

The following command enables firewall policy for the intercluster LIF:

```
cluster1::> system services firewall policy modify -vserver cluster1  
-policy intercluster -service ndmp 0.0.0.0/0
```

3. Ensure that the failover policy is set appropriately for all the LIFs:

- a. Verify that the failover policy for the cluster-management LIF is set to `broadcast-domain-wide`, and the policy for the intercluster and node-management LIFs is set to `local-only` by using the `network interface show -failover` command.

The following command displays the failover policy for the cluster-management, intercluster, and node-management LIFs:

```
cluster1::> network interface show -failover
```

Failover Vserver Group	Logical Interface	Home Node:Port	Failover Policy
cluster1 cluster	cluster1_clus1	cluster1-1:e0a	local-only
			Failover
			.....
**cluster1 wide Default**	cluster_mgmt	cluster1-1:e0m	broadcast-domain-
			Failover
			.....
Default**	**IC1	cluster1-1:e0a	local-only
			Failover
			.....
Default**	**IC2	cluster1-1:e0b	local-only
			Failover
			.....
**cluster1-1 Default**	cluster1-1_mgmt1	cluster1-1:e0m	local-only
			Failover
			.....
**cluster1-2 Default**	cluster1-2_mgmt1	cluster1-2:e0m	local-only
			Failover
			.....

- b. If the failover policies are not set appropriately, modify the failover policy by using the `network interface modify` command with the `-failover-policy` parameter.

```
cluster1::> network interface modify -vserver cluster1 -lif IC1  
-failover-policy local-only
```

4. Specify the LIFs that are required for data connection by using the `vserver services ndmp modify` command with the `preferred-interface-role` parameter.

```
cluster1::> vserver services ndmp modify -vserver cluster1 -preferred
-interface-role intercluster,cluster-mgmt,node-mgmt
```

5. Verify that the preferred interface role is set for the cluster by using the `vserver services ndmp show` command.

```
cluster1::> vserver services ndmp show -vserver cluster1

                Vserver: cluster1
                NDMP Version: 4
                .....
                .....
                Preferred Interface Role: intercluster, cluster-mgmt, node-
mgmt
```

## Configure node-scoped NDMP

### Enable node-scoped NDMP on the cluster

You can back up volumes hosted on a node by enabling node-scoped NDMP, setting up the password for the root user, and configuring a LIF for data and control connection.

You can configure node-scoped NDMP by enabling node-scoped NDMP on the cluster and NDMP service on all nodes of the cluster. You must also configure the `root` user for NDMP when enabling the NDMP service.

### Steps

1. Enable node-scoped NDMP mode by using the `system services ndmp` command with the `node-scope-mode` parameter.

```
cluster1::> system services ndmp node-scope-mode on
NDMP node-scope-mode is enabled.
```

2. Enable NDMP service on all nodes in the cluster by using the `system services ndmp on` command.

Using the wildcard `"**"` enables NDMP service on all nodes at the same time.

You must specify a password for authentication of the NDMP connection by the backup application.

```
cluster1::> system services ndmp on -node *
```

```
Please enter password:
```

```
Confirm password:
```

```
2 entries were modified.
```

3. Disable the `-clear-text` option for secure communication of the NDMP password by using the `system services ndmp modify` command.

Using the wildcard `"**"` disables the `-clear-text` option on all nodes at the same time.

```
cluster1::> system services ndmp modify -node * -clear-text false
2 entries were modified.
```

4. Verify that NDMP service is enabled and the `-clear-text` option is disabled by using the `system services ndmp show` command.

```
cluster1::> system services ndmp show
Node                Enabled   Clear text  User Id
-----
cluster1-1          true     false       root
cluster1-2          true     false       root
2 entries were displayed.
```

## Configure a LIF

You must identify a LIF that will be used for establishing a data connection and control connection between the node and the backup application. After identifying the LIF, you must verify that firewall and failover policies are set for the LIF.

### Steps

1. Identify the intercluster LIF hosted on the nodes by using the `network interface show` command with the `-role` parameter.

```
cluster1::> network interface show -role intercluster
```

Current Is Vserver	Logical Interface	Status Admin/Oper	Network Address/Mask	Current Node	Port
Home					
-----	-----	-----	-----	-----	-----
cluster1 true	IC1	up/up	192.0.2.65/24	cluster1-1	e0a
cluster1 true	IC2	up/up	192.0.2.68/24	cluster1-2	e0b

## 2. Ensure that the firewall policy is enabled for NDMP on the intercluster LIFs:

- Verify that the firewall policy is enabled for NDMP by using the `system services firewall policy show` command.

The following command displays the firewall policy for the intercluster LIF:

```
cluster1::> system services firewall policy show -policy intercluster
```

Vserver	Policy	Service	Allowed
-----	-----	-----	-----
cluster1	intercluster	dns	-
		http	-
		https	-
		**ndmp	0.0.0.0/0, ::/0**
		ndmps	-
		ntp	-
		rsh	-
		ssh	-
		telnet	-

9 entries were displayed.

- If the firewall policy is not enabled, enable the firewall policy by using the `system services firewall policy modify` command with the `-service` parameter.

The following command enables firewall policy for the intercluster LIF:

```
cluster1::> system services firewall policy modify -vserver cluster1  
-policy intercluster -service ndmp 0.0.0.0/0
```

## 3. Ensure that the failover policy is set appropriately for the intercluster LIFs:

- a. Verify that the failover policy for the intercluster LIFs is set to `local-only` by using the `network interface show -failover` command.

```
cluster1::> network interface show -failover
```

Vserver	Logical Interface	Home Node:Port	Failover Policy	Failover Group
cluster1	**IC1	cluster1-1:e0a	local-only	
Default**				
			Failover Targets:	
			.....	
	**IC2	cluster1-2:e0b	local-only	
Default**				
			Failover Targets:	
			.....	
cluster1-1	cluster1-1_mgmt1	cluster1-1:e0m	local-only	Default
			Failover Targets:	
			.....	

- b. If the failover policy is not set appropriately, modify the failover policy by using the `network interface modify` command with the `-failover-policy` parameter.

```
cluster1::> network interface modify -vserver cluster1 -lif IC1
-failover-policy local-only
```

## Configure the backup application

After the cluster is configured for NDMP access, you must gather information from the cluster configuration and then configure the rest of the backup process in the backup application.

### Steps

1. Gather the following information that you configured earlier in ONTAP:
  - The user name and password that the backup application requires to create the NDMP connection
  - The IP addresses of the intercluster LIFs that the backup application requires to connect to the cluster
2. In ONTAP, display the aliases that ONTAP assigned to each device by using the `storage tape alias show` command.

The aliases are often useful in configuring the backup application.

```
cluster1::> storage tape show -alias
```

```
Device ID: 2a.0  
Device Type: tape drive  
Description: Hewlett-Packard LTO-5
```

Node	Alias	Mapping
-----	-----	-----
stsw-3220-4a-4b-02	st2	SN[HU19497WVR]
...		

3. In the backup application, configure the rest of the backup process by using the backup application's documentation.

#### After you finish

If a data mobility event occurs, such as a volume move or LIF migration, you must be prepared to reinitialize any interrupted backup operations.

## Replication between NetApp Element software and ONTAP

### Replication between NetApp Element software and ONTAP overview

You can ensure business continuity on an Element system by using SnapMirror to replicate Snapshot copies of an Element volume to an ONTAP destination. In the event of a disaster at the Element site, you can serve data to clients from the ONTAP system, and then reactivate the Element system when service is restored.

Beginning with ONTAP 9.4, you can replicate Snapshot copies of a LUN created on an ONTAP node back to an Element system. You might have created a LUN during an outage at the Element site, or you might be using a LUN to migrate data from ONTAP to Element software.

You should work with Element to ONTAP backup if the following apply:

- You want to use best practices, not explore every available option.
- You want to use the ONTAP command-line interface (CLI), not System Manager or an automated scripting tool.
- You are using iSCSI to serve data to clients.

If you require additional configuration or conceptual information, see the following documentation:

- Element configuration

[NetApp Element software documentation](#)

- SnapMirror concepts and configuration

[Data protection overview](#)



## About replication between Element and ONTAP

Beginning with ONTAP 9.3, you can use SnapMirror to replicate Snapshot copies of an Element volume to an ONTAP destination. In the event of a disaster at the Element site, you can serve data to clients from the ONTAP system, then reactivate the Element source volume when service is restored.

Beginning with ONTAP 9.4, you can replicate Snapshot copies of a LUN created on an ONTAP node back to an Element system. You might have created a LUN during an outage at the Element site, or you might be using a LUN to migrate data from ONTAP to Element software.

### Types of data protection relationship

SnapMirror offers two types of data protection relationship. For each type, SnapMirror creates a Snapshot copy of the Element source volume before initializing or updating the relationship:

- In a *disaster recovery (DR)* data protection relationship, the destination volume contains only the Snapshot copy created by SnapMirror, from which you can continue to serve data in the event of a catastrophe at the primary site.
- In a *long-term retention* data protection relationship, the destination volume contains point-in-time Snapshot copies created by Element software, as well as the Snapshot copy created by SnapMirror. You might want to retain monthly Snapshot copies created over a 20-year span, for example.

### Default policies

The first time you invoke SnapMirror, it performs a *baseline transfer* from the source volume to the destination volume. The *SnapMirror policy* defines the contents of the baseline and any updates.

You can use a default or custom policy when you create a data protection relationship. The *policy type* determines which Snapshot copies to include and how many copies to retain.

The table below shows the default policies. Use the `MirrorLatest` policy to create a traditional DR relationship. Use the `MirrorAndVault` or `Unified7year` policy to create a unified replication relationship, in which DR and long-term retention are configured on the same destination volume.

Policy	Policy Type	Update behavior
MirrorLatest	async-mirror	Transfer the Snapshot copy created by SnapMirror.
MirrorAndVault	mirror-vault	Transfer the Snapshot copy created by SnapMirror and any less recent Snapshot copies made since the last update, provided they have SnapMirror labels “daily” or “weekly”.
Unified7year	mirror-vault	Transfer the Snapshot copy created by SnapMirror and any less recent Snapshot copies made since the last update, provided they have SnapMirror labels “daily”, “weekly”, or “monthly”.



For complete background information on SnapMirror policies, including guidance on which policy to use, see [Data Protection](#).

## Understanding SnapMirror labels

Every policy with the “mirror-vault” policy type must have a rule that specifies which Snapshot copies to replicate. The rule “daily”, for example, indicates that only Snapshot copies assigned the SnapMirror label “daily” should be replicated. You assign the SnapMirror label when you configure Element Snapshot copies.

### Replication from an Element source cluster to an ONTAP destination cluster

You can use SnapMirror to replicate Snapshot copies of an Element volume to an ONTAP destination system. In the event of a disaster at the Element site, you can serve data to clients from the ONTAP system, then reactivate the Element source volume when service is restored.

An Element volume is roughly equivalent to an ONTAP LUN. SnapMirror creates a LUN with the name of the Element volume when a data protection relationship between Element software and ONTAP is initialized. SnapMirror replicates data to an existing LUN if the LUN meets the requirements for Element to ONTAP replication.

Replication rules are as follows:

- An ONTAP volume can contain data from one Element volume only.
- You cannot replicate data from an ONTAP volume to multiple Element volumes.

### Replication from an ONTAP source cluster to an Element destination cluster

Beginning with ONTAP 9.4, you can replicate Snapshot copies of a LUN created on an ONTAP system back to an Element volume:

- If a SnapMirror relationship already exists between an Element source and an ONTAP destination, a LUN created while you are serving data from the destination is automatically replicated when the source is reactivated.
- Otherwise, you must create and initialize a SnapMirror relationship between the ONTAP source cluster and the Element destination cluster.

Replication rules are as follows:

- The replication relationship must have a policy of type “async-mirror”.

Policies of type “mirror-vault” are not supported.

- Only iSCSI LUNs are supported.
- You cannot replicate more than one LUN from an ONTAP volume to an Element volume.
- You cannot replicate a LUN from an ONTAP volume to multiple Element volumes.

### Prerequisites

You must have completed the following tasks before configuring a data protection relationship between Element and ONTAP:

- The Element cluster must be running NetApp Element software version 10.1 or later.
- The ONTAP cluster must be running ONTAP 9.3 or later.
- SnapMirror must have been licensed on the ONTAP cluster.
- You must have configured volumes on the Element and ONTAP clusters that are large enough to handle

anticipated data transfers.

- If you are using the “mirror-vault” policy type, a SnapMirror label must have been configured for the Element Snapshot copies to be replicated.



You can perform this task in the Element software web UI only. For more information, see the [NetApp Element software documentation](#)

- You must have ensured that port 5010 is available.
- If you foresee that you might need to move a destination volume, you must have ensured that full-mesh connectivity exists between the source and destination. Every node on the Element source cluster must be able to communicate with every node on the ONTAP destination cluster.

### Support details

The following table shows support details for Element to ONTAP backup.

Resource or feature	Support details
SnapMirror	<ul style="list-style-type: none"><li>• The SnapMirror restore feature is not supported.</li><li>• The <code>MirrorAllSnapshots</code> and <code>XDPDefault</code> policies are not supported.</li><li>• The “vault” policy type is not supported.</li><li>• The system-defined rule “all_source_snapshots” is not supported.</li><li>• The “mirror-vault” policy type is supported only for replication from Element software to ONTAP. Use “async-mirror” for replication from ONTAP to Element software.</li><li>• The <code>-schedule</code> and <code>-prefix</code> options for <code>snapmirror policy add-rule</code> are not supported.</li><li>• The <code>-preserve</code> and <code>-quick-resync</code> options for <code>snapmirror resync</code> are not supported.</li><li>• Storage efficiency is not preserved.</li><li>• Fan-out and cascade data protection deployments are not supported.</li></ul>
ONTAP	<ul style="list-style-type: none"><li>• ONTAP Select is supported beginning with ONTAP 9.4 and Element 10.3.</li><li>• Cloud Volumes ONTAP is supported beginning with ONTAP 9.5 and Element 11.0.</li></ul>
Element	<ul style="list-style-type: none"><li>• Volume size limit is 8 TiB.</li><li>• Volume block size must be 512 bytes. A 4K byte block size is not supported.</li><li>• Volume size must be a multiple of 1 MiB.</li><li>• Volume attributes are not preserved.</li><li>• Maximum number of Snapshot copies to be replicated is 30.</li></ul>

Network	<ul style="list-style-type: none"> <li>• A single TCP connection is allowed per transfer.</li> <li>• The Element node must be specified as an IP address. DNS hostname lookup is not supported.</li> <li>• IPspaces are not supported.</li> </ul>
SnapLock	SnapLock volumes are not supported.
FlexGroup	FlexGroup volumes are not supported.
SVM DR	ONTAP volumes in an SVM DR configuration are not supported.
MetroCluster	ONTAP volumes in a MetroCluster configuration are not supported.

## Workflow for replication between Element and ONTAP

Whether you are replicating data from Element to ONTAP or from ONTAP to Element, you need to configure a job schedule, specify a policy, and create and initialize the relationship. You can use a default or custom policy.

The workflow assumes that you have completed the prerequisite tasks listed in [Prerequisites](#). For complete background information on SnapMirror policies, including guidance on which policy to use, see [Data protection](#).



## Enable SnapMirror in Element software

### Enable SnapMirror on the Element cluster

You must enable SnapMirror on the Element cluster before you can create a replication

relationship. You can perform this task in the Element software web UI only.

#### Before you begin

- The Element cluster must be running NetApp Element software version 10.1 or later.
- SnapMirror can only be enabled for Element clusters used with NetApp ONTAP volumes.

#### About this task

The Element system comes with SnapMirror disabled by default. SnapMirror is not automatically enabled as part of a new installation or upgrade.



Once enabled, SnapMirror cannot be disabled. You can only disable the SnapMirror feature and restore the default settings by returning the cluster to the factory image.

#### Steps

1. Click **Clusters > Settings**.
2. Find the cluster-specific settings for SnapMirror.
3. Click **Enable SnapMirror**.

#### Enable SnapMirror on the Element source volume

You must enable SnapMirror on the Element source volume before you can create a replication relationship. You can perform this task in the Element software web UI only.

#### Before you begin

- You must have enabled SnapMirror on the Element cluster.
- The volume block size must be 512 bytes.
- The volume must not be participating in Element remote replication.
- The volume access type must not be “Replication Target”.

#### About this task

The procedure below assumes the volume already exists. You can also enable SnapMirror when you create or clone a volume.

#### Steps

1. Click **Management > Volumes**.
2. Click the  button for the volume.
3. In the drop-down menu, select **Edit**.
4. In the **Edit Volume** dialog, select **Enable SnapMirror**.
5. Click **Save Changes**.

#### Create a SnapMirror endpoint

You must create a SnapMirror endpoint before you can create a replication relationship. You can perform this task in the Element software web UI only.

#### Before you begin

You must have enabled SnapMirror on the Element cluster.

## Steps

1. Click **Data Protection > SnapMirror Endpoints**.
2. Click **Create Endpoint**.
3. In the **Create a New Endpoint** dialog, enter the ONTAP cluster management IP address.
4. Enter the user ID and password of the ONTAP cluster administrator.
5. Click **Create Endpoint**.

## Configure a replication relationship

### Create a replication job schedule

Whether you are replicating data from Element to ONTAP or from ONTAP to Element, you need to configure a job schedule, specify a policy, and create and initialize the relationship. You can use a default or custom policy.

You can use the `job schedule cron create` command to create a replication job schedule. The job schedule determines when SnapMirror automatically updates the data protection relationship to which the schedule is assigned.

### About this task

You assign a job schedule when you create a data protection relationship. If you do not assign a job schedule, you must update the relationship manually.

### Step

1. Create a job schedule:

```
job schedule cron create -name job_name -month month -dayofweek day_of_week
                        -day day_of_month -hour hour -minute minute
```

For `-month`, `-dayofweek`, and `-hour`, you can specify `all` to run the job every month, day of the week, and hour, respectively.

Beginning with ONTAP 9.10.1, you can include the Vserver for your job schedule:

```
job schedule cron create -name job_name -vserver Vserver_name -month month
                        -dayofweek day_of_week -day day_of_month -hour hour -minute minute
```

The following example creates a job schedule named `my_weekly` that runs on Saturdays at 3:00 a.m.:

```
cluster_dst::> job schedule cron create -name my_weekly -dayofweek
"Saturday" -hour 3 -minute 0
```

## Customize a replication policy

### Create a custom replication policy

You can use a default or custom policy when you create a replication relationship. For a custom unified replication policy, you must define one or more *rules* that determine which

Snapshot copies are transferred during initialization and update.

You can create a custom replication policy if the default policy for a relationship is not suitable. You might want to compress data in a network transfer, for example, or modify the number of attempts SnapMirror makes to transfer Snapshot copies.

### About this task

The *policy type* of the replication policy determines the type of relationship it supports. The table below shows the available policy types.

Policy type	Relationship type
async-mirror	SnapMirror DR
mirror-vault	Unified replication

### Step

1. Create a custom replication policy:

```
snapmirror policy create -vserver SVM -policy policy -type async-  
mirror|mirror-vault -comment comment -tries transfer_tries -transfer-priority  
low|normal -is-network-compression-enabled true|false
```

For complete command syntax, see the man page.

Beginning with ONTAP 9.5, you can specify the schedule for creating a common Snapshot copy schedule for SnapMirror Synchronous relationships by using the `-common-snapshot-schedule` parameter. By default, the common Snapshot copy schedule for SnapMirror Synchronous relationships is one hour. You can specify a value from 30 minutes to two hours for the Snapshot copy schedule for SnapMirror Synchronous relationships.

The following example creates a custom replication policy for SnapMirror DR that enables network compression for data transfers:

```
cluster_dst::> snapmirror policy create -vserver svm1 -policy  
DR_compressed -type async-mirror -comment "DR with network compression  
enabled" -is-network-compression-enabled true
```

The following example creates a custom replication policy for unified replication:

```
cluster_dst::> snapmirror policy create -vserver svm1 -policy my_unified  
-type mirror-vault
```

### After you finish

For “mirror-vault” policy types, you must define rules that determine which Snapshot copies are transferred during initialization and update.

Use the `snapmirror policy show` command to verify that the SnapMirror policy was created. For complete



command syntax, see the man page.

### Define a rule for a policy

For custom policies with the “mirror-vault” policy type, you must define at least one rule that determines which Snapshot copies are transferred during initialization and update. You can also define rules for default policies with the “mirror-vault” policy type.

### About this task

Every policy with the “mirror-vault” policy type must have a rule that specifies which Snapshot copies to replicate. The rule “bi-monthly”, for example, indicates that only Snapshot copies assigned the SnapMirror label “bi-monthly” should be replicated. You assign the SnapMirror label when you configure Element Snapshot copies.

Each policy type is associated with one or more system-defined rules. These rules are automatically assigned to a policy when you specify its policy type. The table below shows the system-defined rules.

System-defined rule	Used in policy types	Result
sm_created	async-mirror, mirror-vault	A Snapshot copy created by SnapMirror is transferred on initialization and update.
daily	mirror-vault	New Snapshot copies on the source with the SnapMirror label “daily” are transferred on initialization and update.
weekly	mirror-vault	New Snapshot copies on the source with the SnapMirror label “weekly” are transferred on initialization and update.
monthly	mirror-vault	New Snapshot copies on the source with the SnapMirror label “monthly” are transferred on initialization and update.

You can specify additional rules as needed, for default or custom policies. For example:

- For the default `MirrorAndVault` policy, you might create a rule called “bi-monthly” to match Snapshot copies on the source with the “bi-monthly” SnapMirror label.
- For a custom policy with the “mirror-vault” policy type, you might create a rule called “bi-weekly” to match Snapshot copies on the source with the “bi-weekly” SnapMirror label.

### Step

1. Define a rule for a policy:

```
snapmirror policy add-rule -vserver SVM -policy policy_for_rule -snapmirror  
-label snapmirror-label -keep retention_count
```

For complete command syntax, see the man page.

The following example adds a rule with the SnapMirror label `bi-monthly` to the default `MirrorAndVault` policy:

```
cluster_dst:> snapmirror policy add-rule -vserver svml -policy
MirrorAndVault -snapmirror-label bi-monthly -keep 6
```

The following example adds a rule with the SnapMirror label `bi-weekly` to the custom `my_snapvault` policy:

```
cluster_dst:> snapmirror policy add-rule -vserver svml -policy
my_snapvault -snapmirror-label bi-weekly -keep 26
```

The following example adds a rule with the SnapMirror label `app_consistent` to the custom `Sync` policy:

```
cluster_dst:> snapmirror policy add-rule -vserver svml -policy Sync
-snapmirror-label app_consistent -keep 1
```

You can then replicate Snapshot copies from the source cluster that match this SnapMirror label:

```
cluster_src:> snapshot create -vserver vs1 -volume voll -snapshot
snapshot1 -snapmirror-label app_consistent
```

## Create a replication relationship

### Create a relationship from an Element source to an ONTAP destination

The relationship between the source volume in primary storage and the destination volume in secondary storage is called a *data protection relationship*. You can use the `snapmirror create` command to create a data protection relationship from an Element source to an ONTAP destination, or from an ONTAP source to an Element destination.

You can use SnapMirror to replicate Snapshot copies of an Element volume to an ONTAP destination system. In the event of a disaster at the Element site, you can serve data to clients from the ONTAP system, then reactivate the Element source volume when service is restored.

### Before you begin

- The Element node containing the volume to be replicated must have been made accessible to ONTAP.
- The Element volume must have been enabled for SnapMirror replication.
- If you are using the “mirror-vault” policy type, a SnapMirror label must have been configured for the Element Snapshot copies to be replicated.



You can perform this task in the Element software web UI only. For more information, see the [Element documentation](#).

### About this task

You must specify the Element source path in the form *hostip:/lun/name*, where “lun” is the actual string “lun” and *name* is the name of the Element volume.

An Element volume is roughly equivalent to an ONTAP LUN. SnapMirror creates a LUN with the name of the Element volume when a data protection relationship between Element software and ONTAP is initialized. SnapMirror replicates data to an existing LUN if the LUN meets the requirements for replicating from Element software to ONTAP.

Replication rules are as follows:

- An ONTAP volume can contain data from one Element volume only.
- You cannot replicate data from an ONTAP volume to multiple Element volumes.

In ONTAP 9.3 and earlier, a destination volume can contain up to 251 Snapshot copies. In ONTAP 9.4 and later, a destination volume can contain up to 1019 Snapshot copies.

### Step

1. From the destination cluster, create a replication relationship from an Element source to an ONTAP destination:

```
snapmirror create -source-path hostip:/lun/name -destination-path SVM:volume  
|cluster://SVM/volume -type XDP -schedule schedule -policy policy
```

For complete command syntax, see the man page.

The following example creates a SnapMirror DR relationship using the default `MirrorLatest` policy:

```
cluster_dst:> snapmirror create -source-path 10.0.0.11:/lun/0005  
-destination-path svm_backup:volA_dst -type XDP -schedule my_daily  
-policy MirrorLatest
```

The following example creates a unified replication relationship using the default `MirrorAndVault` policy:

```
cluster_dst:> snapmirror create -source-path 10.0.0.11:/lun/0005  
-destination-path svm_backup:volA_dst -type XDP -schedule my_daily  
-policy MirrorAndVault
```

The following example creates a unified replication relationship using the `Unified7year` policy:

```
cluster_dst:> snapmirror create -source-path 10.0.0.11:/lun/0005  
-destination-path svm_backup:volA_dst -type XDP -schedule my_daily  
-policy Unified7year
```

The following example creates a unified replication relationship using the custom `my_unified` policy:

```
cluster_dst:> snapmirror create -source-path 10.0.0.11:/lun/0005
-destination-path svm_backup:volA_dst -type XDP -schedule my_daily
-policy my_unified
```

### After you finish

Use the `snapmirror show` command to verify that the SnapMirror relationship was created. For complete command syntax, see the man page.

### Create a relationship from an ONTAP source to an Element destination

Beginning with ONTAP 9.4, you can use SnapMirror to replicate Snapshot copies of a LUN created on an ONTAP source back to an Element destination. You might be using the LUN to migrate data from ONTAP to Element software.

### Before you begin

- The Element destination node must have been made accessible to ONTAP.
- The Element volume must have been enabled for SnapMirror replication.

### About this task

You must specify the Element destination path in the form `hostip:/lun/name`, where “lun” is the actual string “lun” and `name` is the name of the Element volume.

Replication rules are as follows:

- The replication relationship must have a policy of type “async-mirror”.

You can use a default or custom policy.

- Only iSCSI LUNs are supported.
- You cannot replicate more than one LUN from an ONTAP volume to an Element volume.
- You cannot replicate a LUN from an ONTAP volume to multiple Element volumes.

### Step

1. Create a replication relationship from an ONTAP source to an Element destination:

```
snapmirror create -source-path SVM:volume|cluster://SVM/volume -destination
-path hostip:/lun/name -type XDP -schedule schedule -policy policy
```

For complete command syntax, see the man page.

The following example creates a SnapMirror DR relationship using the default `MirrorLatest` policy:

```
cluster_dst::> snapmirror create -source-path svm_1:volA_dst
-destination-path 10.0.0.11:/lun/0005 -type XDP -schedule my_daily
-policy MirrorLatest
```

The following example creates a SnapMirror DR relationship using the custom `my_mirror` policy:

```
cluster_dst::> snapmirror create -source-path svm_1:volA_dst
-destination-path 10.0.0.11:/lun/0005 -type XDP -schedule my_daily
-policy my_mirror
```

### After you finish

Use the `snapmirror show` command to verify that the SnapMirror relationship was created. For complete command syntax, see the man page.

### Initialize a replication relationship

For all relationship types, initialization performs a *baseline transfer*: it makes a Snapshot copy of the source volume, then transfers that copy and all the data blocks it references to the destination volume.

#### Before you begin

- The Element node containing the volume to be replicated must have been made accessible to ONTAP.
- The Element volume must have been enabled for SnapMirror replication.
- If you are using the “mirror-vault” policy type, a SnapMirror label must have been configured for the Element Snapshot copies to be replicated.

#### About this task

You must specify the Element source path in the form `hostip:/lun/name`, where “lun” is the actual string “lun” and `name` is the name of the Element volume.

Initialization can be time-consuming. You might want to run the baseline transfer in off-peak hours.



If initialization of a relationship from an ONTAP source to an Element destination fails for any reason, it will continue to fail even after you have corrected the problem (an invalid LUN name, for example). The workaround is as follows:

1. Delete the relationship.
2. Delete the Element destination volume.
3. Create a new Element destination volume.
4. Create and initialize a new relationship from the ONTAP source to the Element destination volume.

### Step

1. Initialize a replication relationship:

```
snapmirror initialize -source-path hostip:/lun/name -destination-path  
SVM:volume|cluster://SVM/volume
```

For complete command syntax, see the man page.

The following example initializes the relationship between the source volume 0005 at IP address 10.0.0.11 and the destination volume `volA_dst` on `svm_backup`:

```
cluster_dst:> snapmirror initialize -source-path 10.0.0.11:/lun/0005  
-destination-path svm_backup:volA_dst
```

## Serve data from a SnapMirror DR destination volume

### Make the destination volume writeable

When disaster disables the primary site for a SnapMirror DR relationship, you can serve data from the destination volume with minimal disruption. You can reactivate the source volume when service is restored at the primary site.

You need to make the destination volume writeable before you can serve data from the volume to clients. You can use the `snapmirror quiesce` command to stop scheduled transfers to the destination, the `snapmirror abort` command to stop ongoing transfers, and the `snapmirror break` command to make the destination writeable.

### About this task

You must specify the Element source path in the form `hostip:/lun/name`, where “lun” is the actual string “lun” and `name` is the name of the Element volume.

### Steps

1. Stop scheduled transfers to the destination:

```
snapmirror quiesce -source-path hostip:/lun/name -destination-path SVM:volume  
|cluster://SVM/volume
```

For complete command syntax, see the man page.

The following example stops scheduled transfers between the source volume 0005 at IP address 10.0.0.11 and the destination volume `volA_dst` on `svm_backup`:

```
cluster_dst:> snapmirror quiesce -source-path 10.0.0.11:/lun/0005  
-destination-path svm_backup:volA_dst
```

2. Stop ongoing transfers to the destination:

```
snapmirror abort -source-path hostip:/lun/name -destination-path SVM:volume  
|cluster://SVM/volume
```

For complete command syntax, see the man page.

The following example stops ongoing transfers between the source volume 0005 at IP address 10.0.0.11 and the destination volume `volA_dst` on `svm_backup`:

```
cluster_dst::> snapmirror abort -source-path 10.0.0.11:/lun/0005  
-destination-path svm_backup:volA_dst
```

### 3. Break the SnapMirror DR relationship:

```
snapmirror break -source-path hostip:/lun/name -destination-path SVM:volume  
| cluster://SVM/volume
```

For complete command syntax, see the man page.

The following example breaks the relationship between the source volume 0005 at IP address 10.0.0.11 and the destination volume `volA_dst` on `svm_backup` and the destination volume `volA_dst` on `svm_backup`:

```
cluster_dst::> snapmirror break -source-path 10.0.0.11:/lun/0005  
-destination-path svm_backup:volA_dst
```

## Configure the destination volume for data access

After making the destination volume writeable, you must configure the volume for data access. SAN hosts can access the data from the destination volume until the source volume is reactivated.

1. Map the Element LUN to the appropriate initiator group.
2. Create iSCSI sessions from the SAN host initiators to the SAN LIFs.
3. On the SAN client, perform a storage re-scan to detect the connected LUN.

## Reactivate the original source volume

You can reestablish the original data protection relationship between the source and destination volumes when you no longer need to serve data from the destination.

### About this task

The procedure below assumes that the baseline in the original source volume is intact. If the baseline is not intact, you must create and initialize the relationship between the volume you are serving data from and the original source volume before performing the procedure.

You must specify the Element source path in the form `hostip:/lun/name`, where “lun” is the actual string “lun” and `name` is the name of the Element volume.

Beginning with ONTAP 9.4, Snapshot copies of a LUN created while you are serving data from the ONTAP destination are automatically replicated when the Element source is reactivated.

Replication rules are as follows:

- Only iSCSI LUNs are supported.
- You cannot replicate more than one LUN from an ONTAP volume to an Element volume.
- You cannot replicate a LUN from an ONTAP volume to multiple Element volumes.

## Steps

1. Delete the original data protection relationship:

```
snapmirror delete -source-path SVM:volume|cluster://SVM/volume -destination
-path hostip:/lun/name -policy policy
```

For complete command syntax, see the man page.

The following example deletes the relationship between the original source volume, 0005 at IP address 10.0.0.11, and the volume you are serving data from, volA\_dst on svm\_backup:

```
cluster_dst::> snapmirror delete -source-path 10.0.0.11:/lun/0005
-policy MirrorLatest -destination-path svm_backup:volA_dst
```

2. Reverse the original data protection relationship:

```
snapmirror resync -source-path SVM:volume|cluster://SVM/volume -destination
-path hostip:/lun/name -policy policy
```

For complete command syntax, see the man page.

Although resync does not require a baseline transfer, it can be time-consuming. You might want to run the resync in off-peak hours.

The following example reverses the relationship between the original source volume, 0005 at IP address 10.0.0.11, and the volume you are serving data from, volA\_dst on svm\_backup:

```
cluster_dst::> snapmirror resync -source-path svm_backup:volA_dst
-destination-path 10.0.0.11:/lun/0005 -policy MirrorLatest
```

3. Update the reversed relationship:

```
snapmirror update -source-path SVM:volume|cluster://SVM/volume -destination
-path hostip:/lun/name
```

For complete command syntax, see the man page.



The command fails if a common Snapshot copy does not exist on the source and destination. Use `snapmirror initialize` to re-initialize the relationship.

The following example updates the relationship between the volume you are serving data from, volA\_dst on svm\_backup, and the original source volume, 0005 at IP address 10.0.0.11:



```
cluster_dst:> snapmirror update -source-path svm_backup:volA_dst  
-destination-path 10.0.0.11:/lun/0005
```

#### 4. Stop scheduled transfers for the reversed relationship:

```
snapmirror quiesce -source-path SVM:volume|cluster://SVM/volume -destination  
-path hostip:/lun/name
```

For complete command syntax, see the man page.

The following example stops scheduled transfers between the volume you are serving data from, volA\_dst on svm\_backup, and the original source volume, 0005 at IP address 10.0.0.11:

```
cluster_dst:> snapmirror quiesce -source-path svm_backup:volA_dst  
-destination-path 10.0.0.11:/lun/0005
```

#### 5. Stop ongoing transfers for the reversed relationship:

```
snapmirror abort -source-path SVM:volume|cluster://SVM/volume -destination  
-path hostip:/lun/name
```

For complete command syntax, see the man page.

The following example stops ongoing transfers between the volume you are serving data from, volA\_dst on svm\_backup, and the original source volume, 0005 at IP address 10.0.0.11:

```
cluster_dst:> snapmirror abort -source-path svm_backup:volA_dst  
-destination-path 10.0.0.11:/lun/0005
```

#### 6. Break the reversed relationship:

```
snapmirror break -source-path SVM:volume|cluster://SVM/volume -destination  
-path hostip:/lun/name
```

For complete command syntax, see the man page.

The following example breaks the relationship between the volume you are serving data from, volA\_dst on svm\_backup, and the original source volume, 0005 at IP address 10.0.0.11:

```
cluster_dst:> snapmirror break -source-path svm_backup:volA_dst  
-destination-path 10.0.0.11:/lun/0005
```

#### 7. Delete the reversed data protection relationship:

```
snapmirror delete -source-path SVM:volume|cluster://SVM/volume -destination  
-path hostip:/lun/name -policy policy
```

For complete command syntax, see the man page.

The following example deletes the reversed relationship between the original source volume, 0005 at IP address 10.0.0.11, and the volume you are serving data from, volA\_dst on svm\_backup:

```
cluster_src::> snapmirror delete -source-path svm_backup:volA_dst  
-destination-path 10.0.0.11:/lun/0005 -policy MirrorLatest
```

#### 8. Reestablish the original data protection relationship:

```
snapmirror resync -source-path hostip:/lun/name -destination-path  
SVM:volume|cluster://SVM/volume
```

For complete command syntax, see the man page.

The following example reestablishes the relationship between the original source volume, 0005 at IP address 10.0.0.11, and the original destination volume, volA\_dst on svm\_backup:

```
cluster_dst::> snapmirror resync -source-path 10.0.0.11:/lun/0005  
-destination-path svm_backup:volA_dst
```

#### After you finish

Use the `snapmirror show` command to verify that the SnapMirror relationship was created. For complete command syntax, see the man page.

## Update a replication relationship manually

You might need to update a replication relationship manually if an update fails because of a network error.

#### About this task

You must specify the Element source path in the form `hostip:/lun/name`, where “lun” is the actual string “lun” and name is the name of the Element volume.

#### Steps

1. Update a replication relationship manually:

```
snapmirror update -source-path hostip:/lun/name -destination-path SVM:volume  
|cluster://SVM/volume
```

For complete command syntax, see the man page.



The command fails if a common Snapshot copy does not exist on the source and destination. Use `snapmirror initialize` to re-initialize the relationship.

The following example updates the relationship between the source volume 0005 at IP address 10.0.0.11 and the destination volume volA\_dst on svm\_backup:

```
cluster_src::> snapmirror update -source-path 10.0.0.11:/lun/0005  
-destination-path svm_backup:volA_dst
```

## Resynchronize a replication relationship

You need to resynchronize a replication relationship after you make a destination volume writeable, after an update fails because a common Snapshot copy does not exist on the source and destination volumes, or if you want to change the replication policy for the relationship.

### About this task

Although resync does not require a baseline transfer, it can be time-consuming. You might want to run the resync in off-peak hours.

You must specify the Element source path in the form *hostip:/lun/name*, where “lun” is the actual string “lun” and *name* is the name of the Element volume.

### Step

1. Resync the source and destination volumes:

```
snapmirror resync -source-path hostip:/lun/name -destination-path SVM:volume  
|cluster://SVM/volume -type XDP -schedule schedule -policy policy
```

For complete command syntax, see the man page.

The following example resyncs the relationship between the source volume 0005 at IP address 10.0.0.11 and the destination volume volA\_dst on svm\_backup:

```
cluster_dst::> snapmirror resync -source-path 10.0.0.11:/lun/0005  
-policy MirrorLatest -destination-path svm_backup:volA_dst
```

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