

## Q6.8

a.

$$\mathbf{H} = \begin{pmatrix} 7 & 0 & -3 \\ -9 & -2 & 3 \\ 18 & 0 & -8 \end{pmatrix} \xrightarrow[r_1=r_1+3r_2-1.5r_3]{\substack{r_3=2r_2+r_3 \\ r_2=r_1+r_2}} \begin{pmatrix} 1 & 0 & 0 \\ -2 & -2 & 0 \\ 0 & -4 & -2 \end{pmatrix}$$

$$|\mathbf{H} - \lambda \mathbf{I}| = 0 \implies \begin{vmatrix} 1 - \lambda & 0 & 0 \\ -2 & -2 - \lambda & 0 \\ 0 & -4 & -2 - \lambda \end{vmatrix} = (1 - \lambda)(2 + \lambda)^2 = 0$$

So the eigenvalues are  $\lambda_1 = 1, \lambda_2 = \lambda_3 = -2$

Then to find eigenvectors,

(1). For  $\lambda_1 = 1$ ,

$$\begin{pmatrix} 6 & 0 & -3 \\ -9 & -3 & 3 \\ 18 & 0 & -9 \end{pmatrix} * \begin{pmatrix} u_1 \\ u_2 \\ u_3 \end{pmatrix} = 0 \implies 6u_1 - u_3 = 0 \quad \text{and} \quad -9u_1 - u_2 + u_3 = 0$$

$$u_3 = r; \quad u_1 = 0.5r; \quad u_2 = -0.5r \implies \mathbf{u} = r \begin{pmatrix} 0.5 \\ -0.5 \\ 1 \end{pmatrix} \Rightarrow \frac{1}{\sqrt{6}} \begin{pmatrix} 1 \\ -1 \\ 2 \end{pmatrix}$$

(2). For  $\lambda_2 = \lambda_3 = -2$ ,

$$\begin{pmatrix} 9 & 0 & -3 \\ -9 & 0 & 3 \\ 18 & 0 & -6 \end{pmatrix} * \begin{pmatrix} u_1 \\ u_2 \\ u_3 \end{pmatrix} = 0 \implies 3u_1 - 3u_3 = 0$$

$$\text{I. when } u_1 = u_3 = 0, \text{ then } u_2 = s, \mathbf{u} = s \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \Rightarrow \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}$$

$$\text{II. when } u_2 = 0, \text{ then } u_1 = t; \quad u_3 = 3t, \mathbf{u} = t \begin{pmatrix} 1 \\ 0 \\ 3 \end{pmatrix} \Rightarrow \frac{1}{\sqrt{10}} \begin{pmatrix} 1 \\ 0 \\ 3 \end{pmatrix}.$$

Therefore, eigenvalues are  $\mathbf{\Lambda} = \begin{pmatrix} 1 & & \\ & -2 & \\ & & -2 \end{pmatrix}$  and eigenvectors are  $\mathbf{U} =$

$$\begin{pmatrix} \frac{1}{\sqrt{6}} & 0 & \frac{1}{\sqrt{10}} \\ -\frac{1}{\sqrt{6}} & 1 & 0 \\ \frac{2}{\sqrt{6}} & 0 & \frac{3}{\sqrt{10}} \end{pmatrix}$$

**b.**

For  $\mathbf{H}$ , since it's full rank, so  $\mathbf{H} = \mathbf{U}\mathbf{\Lambda}\mathbf{U}^{-1}$ . If  $\mathbf{U}$  is unitary, then  $\mathbf{U}^\dagger = \mathbf{U}^{-1}$ . That means  $\mathbf{H} = \mathbf{U}\mathbf{\Lambda}\mathbf{U}^\dagger$ .

$$\begin{aligned} \mathbf{U}\mathbf{\Lambda}\mathbf{U}^\dagger &= \begin{pmatrix} 1 & 1 \\ \frac{1}{\sqrt{6}} & 0 & \frac{1}{\sqrt{10}} \\ -\frac{1}{\sqrt{6}} & 1 & 0 \\ 2 & 3 \\ \frac{2}{\sqrt{6}} & 0 & \frac{3}{\sqrt{10}} \end{pmatrix} \begin{pmatrix} 1 & & \\ & -2 & \\ & & -2 \end{pmatrix} \begin{pmatrix} 1 & 1 & 2 \\ \frac{1}{\sqrt{6}} & -\frac{1}{\sqrt{6}} & \frac{2}{\sqrt{6}} \\ 0 & 1 & 0 \\ \frac{1}{\sqrt{10}} & 0 & \frac{3}{\sqrt{10}} \end{pmatrix} \\ &= \begin{pmatrix} -1.5 & 0.82 & -1.42 \\ 0.82 & -2 & 0 \\ -1.42 & 0 & -1.7 \end{pmatrix} \neq \mathbf{H} \end{aligned}$$

Therefore,  $\mathbf{U}$  is not unitary.

## 6.9

Calculate eigenvectors and eigenvalues of  $\mathbf{H}_1$  in MATLAB by:

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1 H1= [3 0 -1; 0 1 0; -1 0 2];  
2 [V,D]=eig(H1);
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Then eigenvalues are  $\mathbf{D} = \begin{pmatrix} 1 & & \\ & 1.382 & \\ & & 3.618 \end{pmatrix}$ , and eigenvectors are

$\mathbf{V} = \begin{pmatrix} 0 & -0.5257 & -0.8507 \\ 1 & 0 & 0 \\ 0 & -0.8507 & 0.5257 \end{pmatrix}$ . Therefore, using  $\mathbf{V}^* \mathbf{D} \mathbf{V}$ ,

$\mathbf{V} \mathbf{D} \mathbf{V}^\dagger = \begin{pmatrix} 3 & 0 & -1 \\ 0 & 1 & 0 \\ -1 & 0 & 2 \end{pmatrix} = \mathbf{H}_1$ . It indicates eigenvectors matrix  $\mathbf{V}$  is unitary matrix.

## 6.10

(1). For  $\mathbf{V} \in \mathbb{C}_{2 \times 2}$ ,  $\mathbf{H}\mathbf{H}^\dagger = \begin{pmatrix} 3 & 1 & 1 \\ -1 & 3 & 1 \end{pmatrix} \begin{pmatrix} 3 & -1 \\ 1 & 3 \\ 1 & 1 \end{pmatrix} = \begin{pmatrix} 11 & 1 \\ 1 & 11 \end{pmatrix}$ .

To calculate its eigenvalues,  $\begin{vmatrix} 11 - \lambda & 1 \\ 1 & 11 - \lambda \end{vmatrix} = 0 \Rightarrow (11 - \lambda)^2 - 1 = 0 \Rightarrow (\lambda - 10)(\lambda - 12) = 0$ , then the eigenvalues is  $\lambda_1 = 10$  and  $\lambda_2 = 12$ .

**I.** For  $\lambda_1 = 10$ ,  $(\mathbf{A}\mathbf{A}^\dagger - 10\mathbf{I})\mathbf{v} = \mathbf{0} \Rightarrow \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} v_1 \\ v_2 \end{pmatrix} = 0 \Rightarrow v_1 + v_2 = 0$

So  $v_1 = r$ ;  $v_2 = -r \Rightarrow \mathbf{v} = r \begin{pmatrix} 1 \\ -1 \end{pmatrix} \xrightarrow{\text{orthonormalize}} \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix}$

**II.** For  $\lambda_2 = 12$ ,  $(\mathbf{A}\mathbf{A}^\dagger - 12\mathbf{I})\mathbf{v} = \mathbf{0} \Rightarrow \begin{pmatrix} -1 & 1 \\ 1 & -1 \end{pmatrix} \begin{pmatrix} v_1 \\ v_2 \end{pmatrix} = 0 \Rightarrow v_1 - v_2 = 0$

So  $v_1 = s$ ;  $v_2 = s \Rightarrow \mathbf{v} = s \begin{pmatrix} 1 \\ 1 \end{pmatrix} \xrightarrow{\text{orthonormalize}} \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}$ .

Therefore,  $\mathbf{V} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}$

(2). For  $\mathbf{U} \in \mathbb{C}_{3 \times 3}$ ,  $\mathbf{H}^\dagger\mathbf{H} = \begin{pmatrix} 3 & -1 \\ 1 & 3 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} 3 & 1 & 1 \\ -1 & 3 & 1 \end{pmatrix} = \begin{pmatrix} 10 & 0 & 2 \\ 0 & 10 & 4 \\ 2 & 4 & 2 \end{pmatrix}$

To get its eigenvalues,  $\begin{vmatrix} 10 - \lambda & 0 & 2 \\ 0 & 10 - \lambda & 4 \\ 2 & 4 & 2 - \lambda \end{vmatrix} = \lambda(\lambda - 10)(\lambda - 12) = 0$   
 $\Rightarrow \lambda_1 = 0$ ;  $\lambda_2 = 10$ ;  $\lambda_3 = 12$ .

**I.** For  $\lambda_1 = 0$ ,  $(\mathbf{H}^\dagger\mathbf{H} - \mathbf{0})\mathbf{u} = \begin{pmatrix} 10 & 0 & 2 \\ 0 & 10 & 4 \\ 2 & 4 & 2 \end{pmatrix} \begin{pmatrix} u_1 \\ u_2 \\ u_3 \end{pmatrix} = 0 \Rightarrow$

$10u_1 + 2u_3 = 0$ ;  $10u_2 + 4u_3 = 0$ ;  $2u_1 + 4u_2 + 2u_3 = 0$ ;

Let  $u_1 = r$ , then  $u_2 = 2r$  and  $u_3 = -5r$ .

$\mathbf{u} = r \begin{pmatrix} 1 \\ 2 \\ -5 \end{pmatrix} \xrightarrow{\text{orthonormalize}} \frac{1}{\sqrt{30}} \begin{pmatrix} 1 \\ 2 \\ -5 \end{pmatrix}$

**II.** For  $\lambda_2 = 10$ ,  $(\mathbf{H}^\dagger \mathbf{H} - 10\mathbf{I})\mathbf{u} = \begin{pmatrix} 0 & 0 & 2 \\ 0 & 0 & 4 \\ 2 & 4 & -8 \end{pmatrix} \begin{pmatrix} u_1 \\ u_2 \\ u_3 \end{pmatrix} = 0 \Rightarrow$   
 $u_3 = 0$  and  $2u_1 + 4u_2 - 8u_3 = 0$

Let  $u_1 = s$ , then  $u_2 = -0.5s$ .  $\mathbf{u} = s \begin{pmatrix} 1 \\ -0.5 \\ 0 \end{pmatrix} \xrightarrow{\text{orthonormalize}} \frac{1}{\sqrt{5}} \begin{pmatrix} 2 \\ -1 \\ 0 \end{pmatrix}$

**III.** For  $\lambda_3 = 12$ ,  $(\mathbf{H}^\dagger \mathbf{H} - 12\mathbf{I})\mathbf{u} = \begin{pmatrix} -2 & 0 & 2 \\ 0 & -2 & 4 \\ 2 & 4 & -10 \end{pmatrix} \begin{pmatrix} u_1 \\ u_2 \\ u_3 \end{pmatrix} = 0 \Rightarrow$   
 $-2u_1 + 2u_3 = 0$ ;  $-2u_2 + 4u_3 = 0$ ; and  $2u_1 + 4u_2 - 10u_3 = 0$

Let  $u_3 = t$ , then  $u_1 = t$ ;  $u_2 = 2t$ .  $\mathbf{u} = t \begin{pmatrix} 1 \\ 2 \\ 1 \end{pmatrix} \xrightarrow{\text{orthonormalize}} \frac{1}{\sqrt{6}} \begin{pmatrix} 1 \\ 2 \\ 1 \end{pmatrix}$

Therefore,  $\mathbf{U} = \begin{pmatrix} \frac{1}{\sqrt{6}} & \frac{2}{\sqrt{5}} & \frac{1}{\sqrt{30}} \\ \frac{2}{\sqrt{6}} & -\frac{1}{\sqrt{5}} & \frac{2}{\sqrt{30}} \\ \frac{1}{\sqrt{6}} & 0 & -\frac{5}{\sqrt{30}} \end{pmatrix}$  and  $\mathbf{\Sigma}^{1/2} = \begin{pmatrix} \sqrt{12} & 0 & 0 \\ 0 & \sqrt{10} & 0 \end{pmatrix}$

In this case,  $\mathbf{V}\mathbf{\Sigma}^{1/2}\mathbf{U}^\dagger = \begin{pmatrix} 3 & 1 & 1 \\ -1 & 3 & 1 \end{pmatrix} = \mathbf{H}$

### 6.11

Since  $x_1 + x_2 \xrightleftharpoons[f_2]{f_1} x_3$ , then

$$x_1/dt = -f_1 + f_2; \quad x_2/dt = -f_1 + f_2; \quad x_3/dt = f_1 - f_2 \Rightarrow$$

$$\mathbf{s}_1 = \begin{pmatrix} -1 & 1 \end{pmatrix}; \quad \mathbf{s}_2 = \begin{pmatrix} -1 & 1 \end{pmatrix}; \quad \mathbf{s}_3 = \begin{pmatrix} 1 & -1 \end{pmatrix}$$

Then the stoichiometric matrix  $\mathbf{S} = (\mathbf{s}_1 \ \mathbf{s}_2 \ \mathbf{s}_3) = \begin{pmatrix} -1 & 1 \\ -1 & 1 \\ 1 & -1 \end{pmatrix}$

(1). For  $\mathbf{V} \in \mathbb{C}_{3 \times 3}$ ,  $\mathbf{S}\mathbf{S}^\dagger = \begin{pmatrix} -1 & 1 \\ -1 & 1 \\ 1 & -1 \end{pmatrix} \begin{pmatrix} -1 & -1 & 1 \\ 1 & 1 & -1 \end{pmatrix} = \begin{pmatrix} 2 & 2 & -2 \\ 2 & 2 & -2 \\ -2 & -2 & 2 \end{pmatrix} \Rightarrow$

its eigenvalues are  $\lambda_1 = 6$ ,  $\lambda_2 = \lambda_3 = 0$ .

I. when  $\lambda_1 = 6$ , then  $(\mathbf{S}\mathbf{S}^\dagger - 6\mathbf{I})\mathbf{v} = \begin{pmatrix} -4 & 2 & -2 \\ 2 & -4 & -2 \\ -2 & -2 & -4 \end{pmatrix} \begin{pmatrix} v_1 \\ v_2 \\ v_3 \end{pmatrix} = 0$ . Like

problem 6.10, its eigenvectors are  $\mathbf{v} = r \begin{pmatrix} -1 \\ -1 \\ 1 \end{pmatrix} \Rightarrow \frac{1}{\sqrt{3}} \begin{pmatrix} -1 \\ -1 \\ 1 \end{pmatrix}$  (orthonormal)

II. when  $\lambda_2 = \lambda_3 = 0$ , then  $\begin{pmatrix} 2 & 2 & -2 \\ 2 & 2 & -2 \\ -2 & -2 & 2 \end{pmatrix} \begin{pmatrix} v_1 \\ v_2 \\ v_3 \end{pmatrix} = 0$ . Similarly, its

eigenvectors should be

$$\mathbf{v}_2 = s \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix} \Rightarrow \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix} \text{ and } \mathbf{v}_3 = t \begin{pmatrix} 1 \\ 0 \\ 1 \end{pmatrix} \Rightarrow \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 0 \\ 1 \end{pmatrix} \text{ (orthonormal)}$$

Therefore,  $\mathbf{V} = \begin{pmatrix} 1 & 1 & 0 \\ -\frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} & 0 \\ -\frac{1}{\sqrt{3}} & 0 & \frac{1}{\sqrt{2}} \\ 1 & 1 & 1 \\ \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \end{pmatrix}$

(2). For  $\mathbf{U} \in \mathbb{C}_{2 \times 2}$ ,  $\mathbf{S}^\dagger \mathbf{S} = \begin{pmatrix} 3 & -3 \\ -3 & 3 \end{pmatrix}$ , whose eigenvalues are  $\lambda_1 = 6$

and  $\lambda_2 = 0$ . Likewise, the eigenvectors are  $\mathbf{U} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ -1 & 1 \end{pmatrix}$

And  $\Sigma^{1/2} = \begin{pmatrix} \sqrt{6} & 0 \\ 0 & 0 \\ 0 & 0 \end{pmatrix}$  so that  $\mathbf{V}\Sigma^{1/2}\mathbf{U} = \begin{pmatrix} -1 & 1 \\ -1 & 1 \\ 1 & -1 \end{pmatrix} = \mathbf{S}$

$\mathbf{S}$  is only rank 1, with 1 dynamic mode. Since  $\mathbf{u}_1 = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix}$  and  $\mathbf{S}\mathbf{u}_1 = \sqrt{\varsigma_1}\mathbf{v}_1$  as equation 6.19, apply  $\mathbf{u}_1$  to  $\mathbf{S}$  by multiplying  $\sqrt{2}$  at each side of the equation.

$$\mathbf{S} \begin{pmatrix} 1 \\ -1 \end{pmatrix} = \sqrt{2} * \sqrt{6} \frac{1}{\sqrt{3}} \begin{pmatrix} -1 \\ -1 \\ 1 \end{pmatrix} = 2 \begin{pmatrix} -1 \\ -1 \\ 1 \end{pmatrix}$$

Therefore, like the example illustrated in the textbook section 6.9, this reaction's flux mode changes the concentrations of  $x$  in the opposite direction at twice the magnitude of flux. The  $1\times$  fold change in flux generate  $2\times$  fold change in concentration rate.

## 6.12

(a). Because there is 5 components and 5 fluxes in this reaction, the stoichiometric matrix  $\mathbf{S} \in \mathbb{R}_{5 \times 5}$ .

$$\mathbf{x}_1 = (-1 \ 0 \ -1 \ 0 \ 0) \mathbf{f}$$

$$\mathbf{x}_2 = (1 \ -1 \ 0 \ 0 \ 0) \mathbf{f}$$

$$\mathbf{x}_3 = (0 \ 0 \ 1 \ -1 \ 0) \mathbf{f}$$

$$\mathbf{x}_4 = (0 \ 1 \ 0 \ 1 \ 0) \mathbf{f}$$

$$\mathbf{x}_5 = (0 \ 0 \ 0 \ 0 \ 1) \mathbf{f} \Rightarrow$$

$$\mathbf{S} = \begin{pmatrix} -1 & 0 & -1 & 0 & 0 \\ 1 & -1 & 0 & 0 & 0 \\ 0 & 0 & 1 & -1 & 0 \\ 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{pmatrix}$$

(b). Apply eigenanalysis to  $\mathbf{S}$  by  $[\mathbf{V}, \mathbf{E}] = \text{eig}(\mathbf{S})$ , get eigenvalues  $\mathbf{E}$  and eigenvectors  $\mathbf{V}$ :

$$\mathbf{V} = \begin{pmatrix} -0.3536 & -0.5 & 0.5 & 0.3536 & 0 \\ 0.8536 & -0.5 & 0.5 & 0.1464 & 0 \\ -0.1464 & 0.5 & -0.5 & -0.8536 & 0 \\ -0.3536 & 0.5 & -0.5 & 0.3536 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{pmatrix} \text{ and } \mathbf{E} = \begin{pmatrix} -\sqrt{2} & & & & \\ & 0 & & & \\ & & 0 & & \\ & & & \sqrt{2} & \\ & & & & 1 \end{pmatrix}$$

$$\text{So } \mathbf{v}_1 = \begin{pmatrix} -0.5 \\ -0.5 \\ 0.5 \\ 0.5 \\ 0 \end{pmatrix} \text{ and } \mathbf{v}_2 = \begin{pmatrix} 0.5 \\ 0.5 \\ -0.5 \\ -0.5 \\ 0 \end{pmatrix} \text{ are the eigenvectors corresponding to}$$

homogeneous pathway. They look like this:

