

# Transform and Conquer

(Chapter 6)



# Transform and Conquer:

This technique solves a problem by a *transformation* to

1. **Instance simplification**  
a more convenient instance of the same problem
2. **Representation change**  
a different representation of the same instance

# Transform and Conquer:

1. **Instance simplification (Pre-sorting)**
  - *Checking element uniqueness in an array*
  - *Computing a mode*
2. **Representation change**
  - *Heap*
    - *Implementation*
    - *Insert and Delete*
    - *Construction*
  - *Heap sort*

# Element uniqueness in an array

- ▶ Brute force algorithm
  - Compare all pairs of elements
  - Efficiency:  $O(n^2)$
- ▶ Instance simplification (presorting)
  - Stage 1: sort by efficient sorting algorithm (e.g. mergesort)
  - Stage 2: scan array to check pairs of adjacent elements
  - Efficiency:  $O(n \log n) + O(n) = O(n \log n)$

# Element uniqueness in an array

**ALGORITHM** *PresortElementUniqueness*( $A[0..n - 1]$ )

//Solves the element uniqueness problem by sorting the array first

//Input: An array  $A[0..n - 1]$  of orderable elements

//Output: Returns “true” if  $A$  has no equal elements, “false” otherwise  
sort the array  $A$

**for**  $i \leftarrow 0$  **to**  $n - 2$  **do**

**if**  $A[i] = A[i + 1]$  **return false**

**return true**

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# Computing a mode

- ▶ A *mode* is a value that occurs most often in a given list of numbers.

5	1	6	7	6	5	7	6
---	---	---	---	---	---	---	---

*Mode: 6*

# Computing a mode

- ▶ Brute Force:
  - Scan the list
  - Compute the frequencies of all distinct values
  - Find the value with the largest frequency.

5	1	6	7	6	5	7	6
---	---	---	---	---	---	---	---



# Computing a mode

- ▶ Brute Force:

5	1	6	7	6	5	7	6
---	---	---	---	---	---	---	---

↑  
i

Data

Frequencies

5
1

# Computing a mode

- ▶ Brute Force:

5	1	6	7	6	5	7	6
---	---	---	---	---	---	---	---

$i \uparrow$

Data

Frequencies

5	1
1	1

# Computing a mode

- ▶ Brute Force:

5	1	6	7	6	5	7	6
---	---	---	---	---	---	---	---

i↑

Data

Frequencies

5	1	6
1	1	1

# Computing a mode

- ▶ Brute Force:

5	1	6	7	6	5	7	6
---	---	---	---	---	---	---	---

i↑

Data

Frequencies

5	1	6	7
1	1	1	1

# Computing a mode

- ▶ Brute Force:

5	1	6	7	6	5	7	6
---	---	---	---	---	---	---	---

i ↑

Data	5	1	6	7
Frequencies	1	1	2	1

# Computing a mode

- ▶ Brute Force:

5	1	6	7	6	5	7	6
---	---	---	---	---	---	---	---

i ↑

Data	5	1	6	7
Frequencies	2	1	2	1

# Computing a mode

- ▶ Brute Force:

5	1	6	7	6	5	7	6
---	---	---	---	---	---	---	---

↑  
i

Data	5	1	6	7
Frequencies	2	1	2	2

# Computing a mode

- ▶ Brute Force:

5	1	6	7	6	5	7	6
---	---	---	---	---	---	---	---

↑  
i

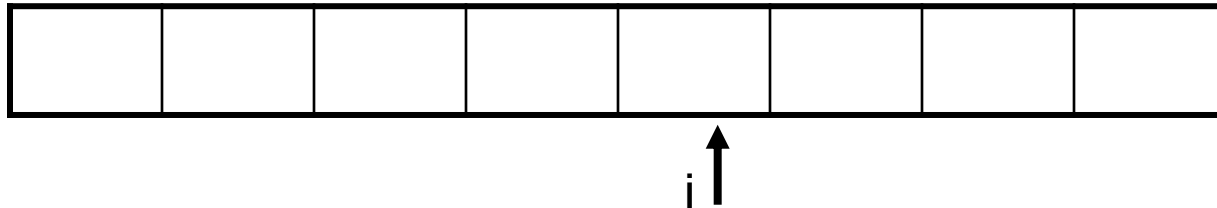
Data	5	1	6	7
Frequencies	2	1	3	2

Max



# Computing a mode

- ▶ Efficiency (worst-case) :
  - A list with no equal elements
  - $i^{\text{th}}$  element is compared with  $i - 1$  elements



Data


Frequencies

# Computing a mode

- ▶ Efficiency (worst-case):
  - Creating auxiliary list:  $0 + 1 + 2 + \dots + n - 1 = O(n^2)$
  - Finding max:  $O(n)$

Efficiency (worst-case):  $O(n^2)$

# Computing a mode(pre-sorting)

- ▶ Sort the input
- ▶ All equal values will be adjacent to each other
- ▶ Find the longest run of adjacent equal values in the sorted array

# Computing a mode(pre-sorting)

**ALGORITHM** *PresortMode*( $A[0..n - 1]$ )

//Computes the mode of an array by sorting it first

//Input: An array  $A[0..n - 1]$  of orderable elements

//Output: The array's mode

sort the array  $A$

$i \leftarrow 0$  //current run begins at position  $i$

$modefrequency \leftarrow 0$  //highest frequency seen so far

**while**  $i \leq n - 1$  **do**

$runlength \leftarrow 1$ ;  $runvalue \leftarrow A[i]$

**while**  $i + runlength \leq n - 1$  **and**  $A[i + runlength] = runvalue$

$runlength \leftarrow runlength + 1$

**if**  $runlength > modefrequency$

$modefrequency \leftarrow runlength$ ;  $modevalue \leftarrow runvalue$

$i \leftarrow i + runlength$

**return**  $modevalue$

# Computing a mode(pre-sorting)

- ▶ Efficiency:

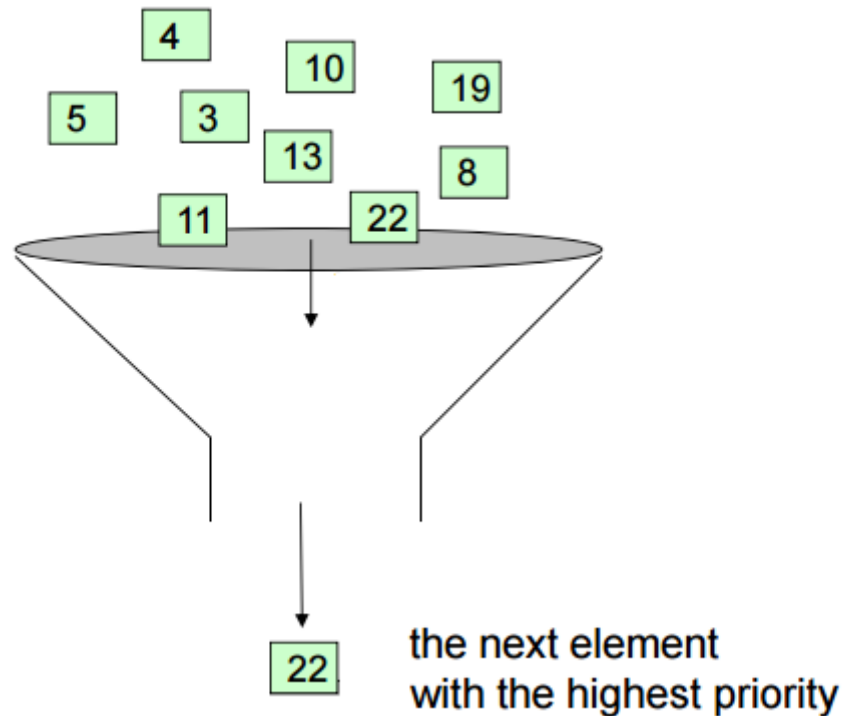
- $T(n) = T_{\text{sort}}(n) + T_{\text{search}}(n) =$   
 $(n \log n) + (\log n) = (n \log n)$

# Transform and Conquer:

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# Sample problem

- ▶ You're running a hospital
- ▶ patients are coming in with different priority



# Simple Implementations

## ▶ ArrayList

- Insert:  $O(1)$
- deleteMax:  $O(n)$

7	5	8	1	9
---	---	---	---	---

## ▶ SortedArrayList

- Insert:  $O(\log n + n)$
- deleteMax:  $O(n)$

9	8	7	5	1
---	---	---	---	---

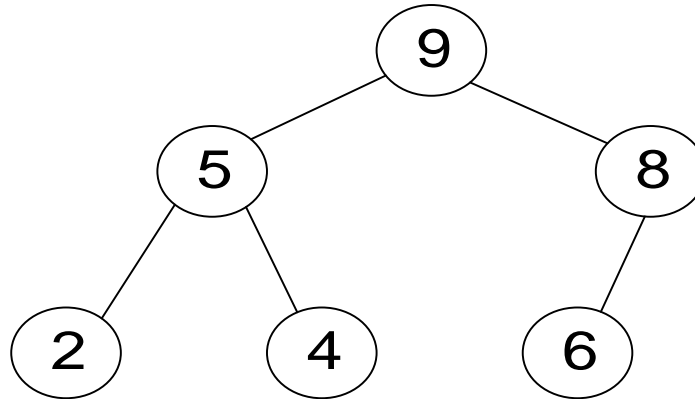


# Representation change

- ▶ Idea:
  - Given an array
  - Transform to a new data structure  
(Make a “heap” out of it)
- ▶ Efficiency of heap:
  - Insert an item:  $O(\log n)$
  - Delete an item with max priority:  $O(\log n)$

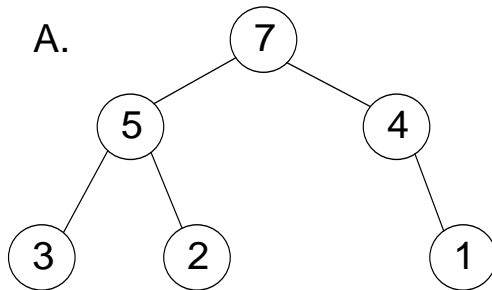
# Heap definition

- ▶ Almost complete binary tree.
  - filled on all levels, except last, where filled from left to right
- ▶ Every parent is greater than (or equal to) child

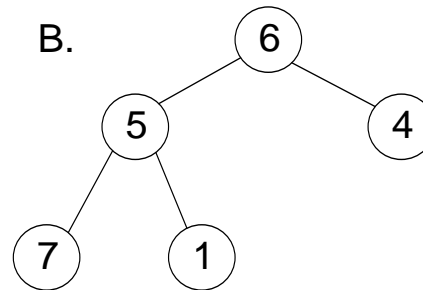


# Heap or No Heap?

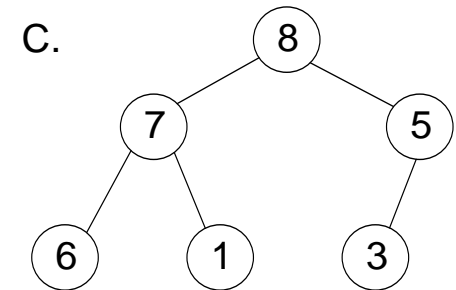
**NO**



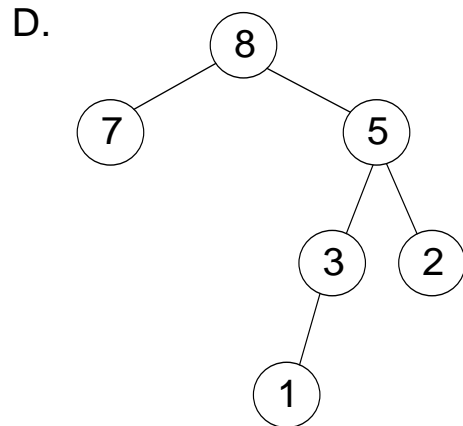
**NO**



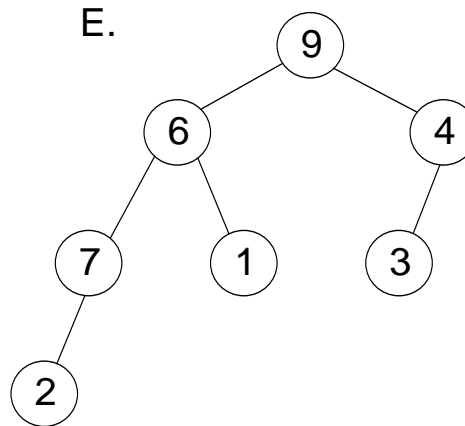
**YES**



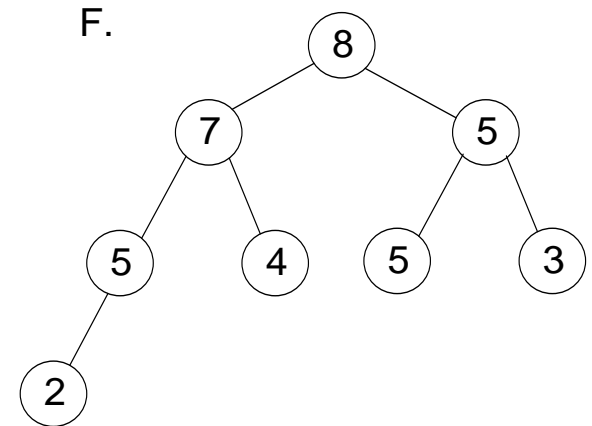
**NO**



**NO**

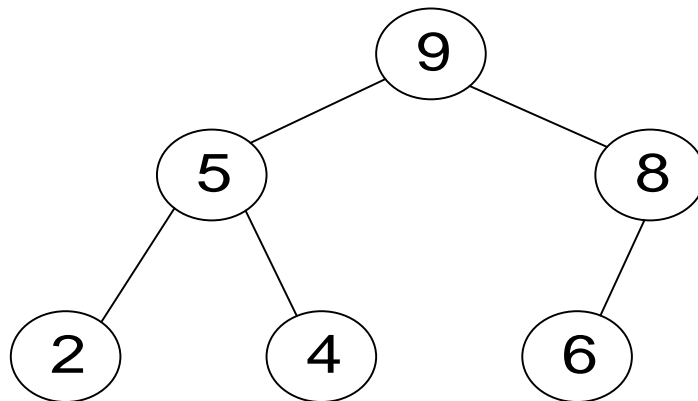


**YES**



# Heap properties

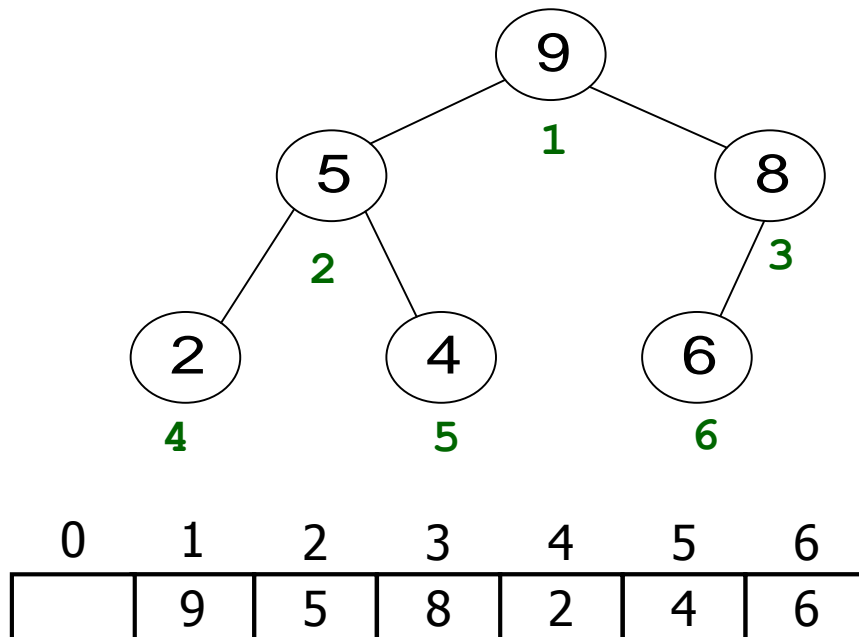
- Max element is in root.
- Heap with  $N$  elements has height  $= \lfloor \log_2 N \rfloor$ .



$N = 6$   
Height = 2

# Heap Implementation

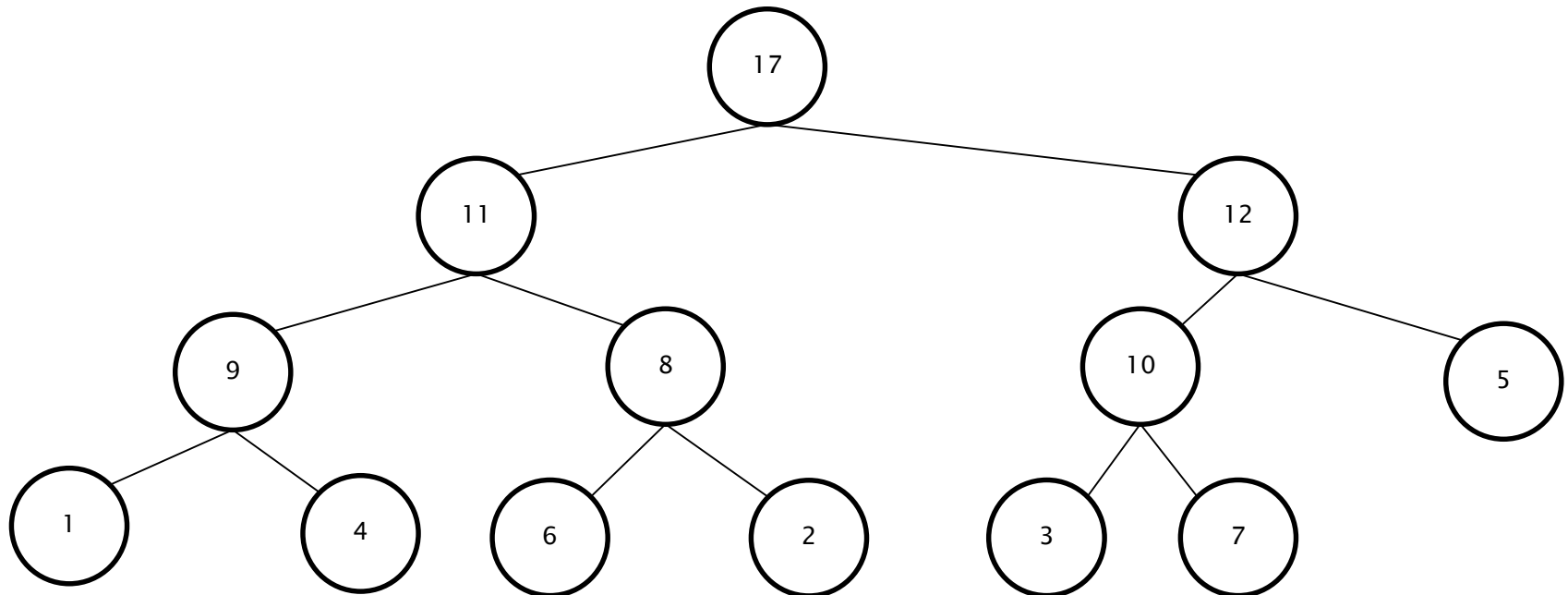
- ▶ Use an array: no need for explicit parent or child pointers.
  - $\text{Parent}(i) = \lfloor i/2 \rfloor$
  - $\text{Left}(i) = 2i$
  - $\text{Right}(i) = 2i + 1$



# Example1

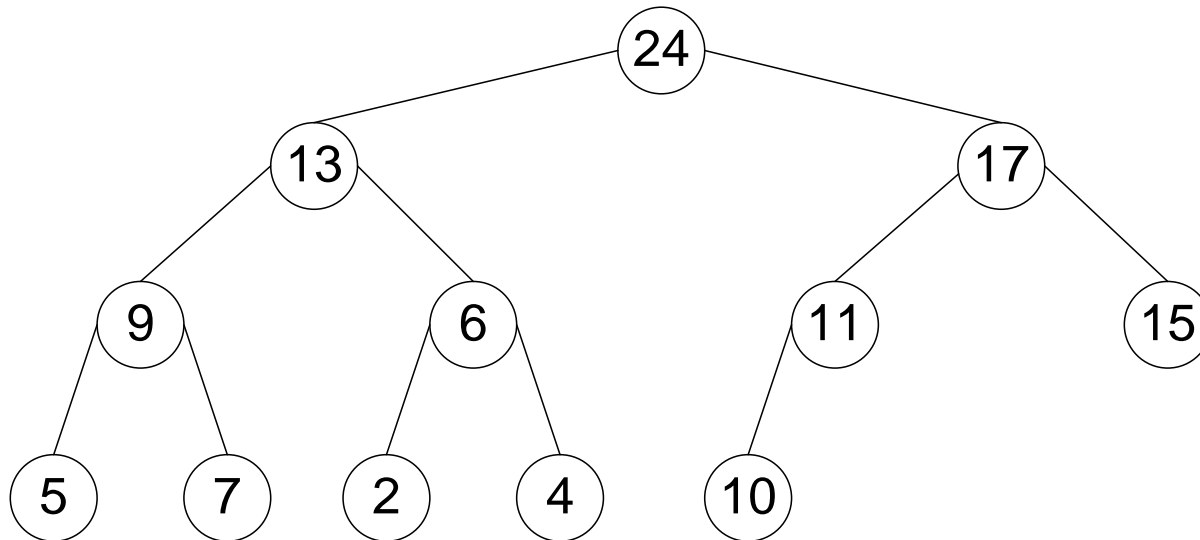
- ▶ draw the tree representation of this heap

Index	1	2	3	4	5	6	7	8	9	10	11	12	13
value	17	11	12	9	8	10	5	1	4	6	2	3	7



# Example 2

- ▶ draw the array representation of this heap



Index	1	2	3	4	5	6	7	8	9	10	11	12
value	24	13	17	9	6	11	15	5	7	2	4	10

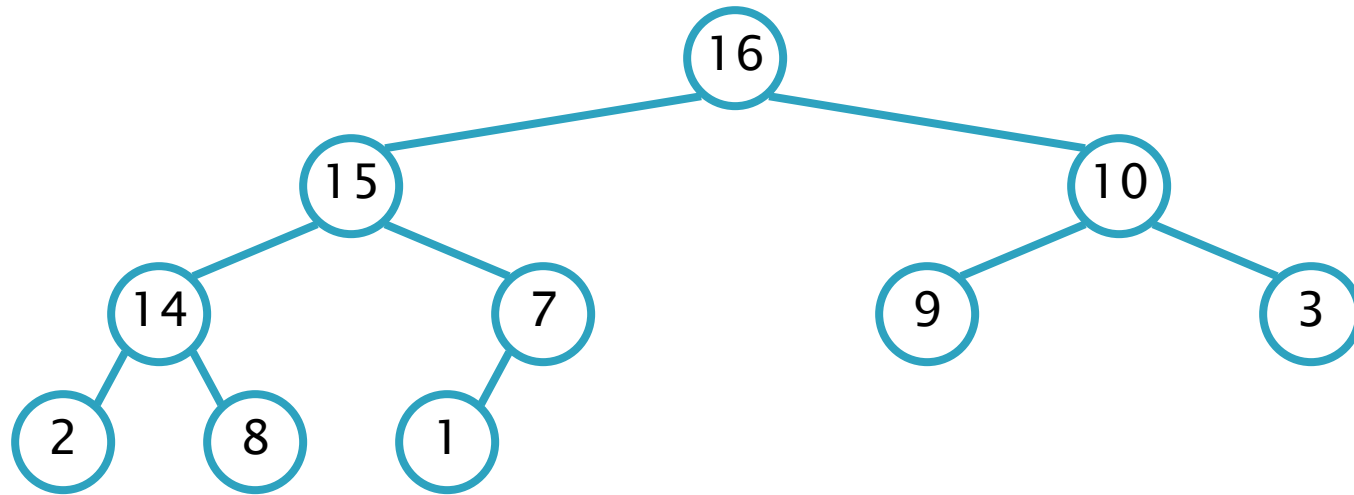
# Heap insertion

- ▶ Insert into next available slot.
- ▶ Bubble up until it's heap ordered (heapify)



# Insert to heap Example

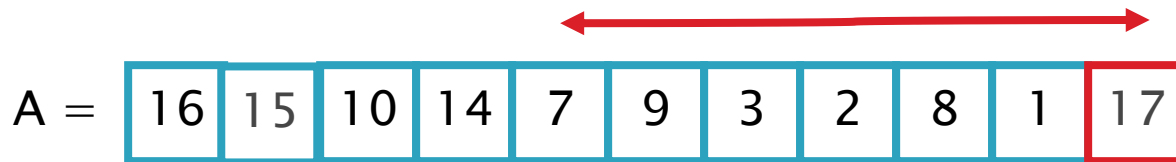
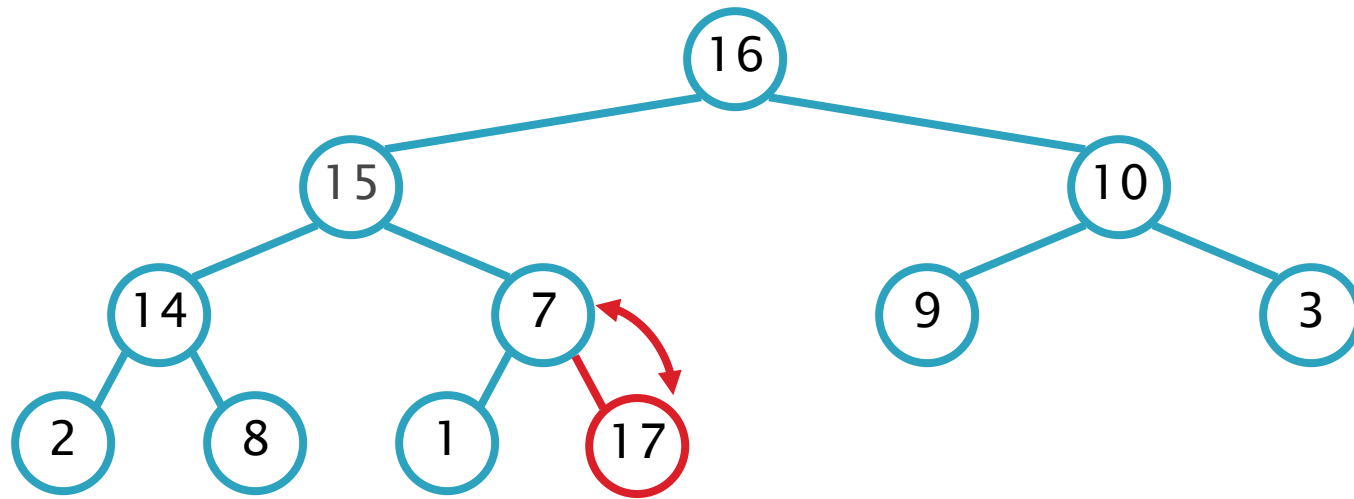
## ► Insert 17



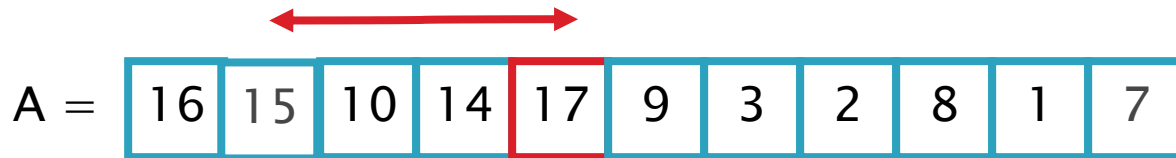
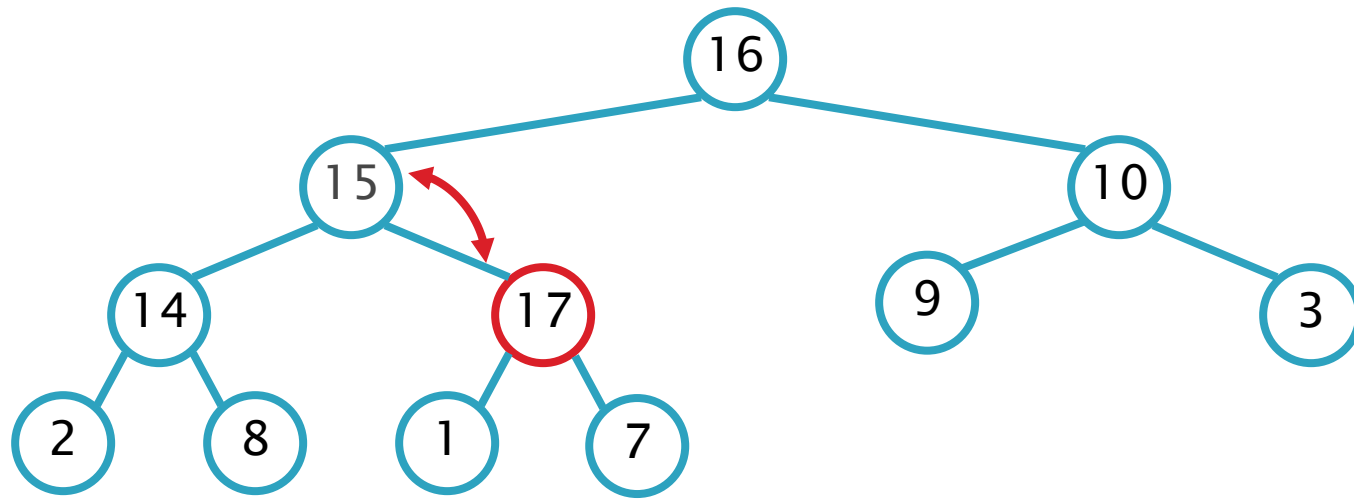
A = 

16	15	10	14	7	9	3	2	8	1
----	----	----	----	---	---	---	---	---	---

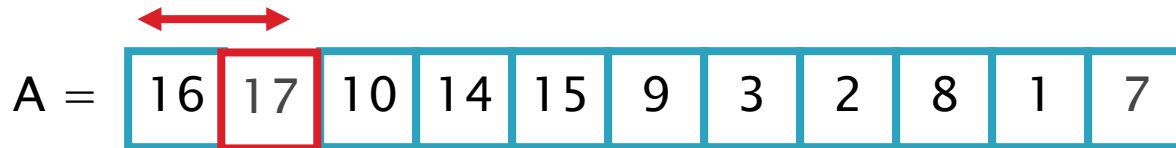
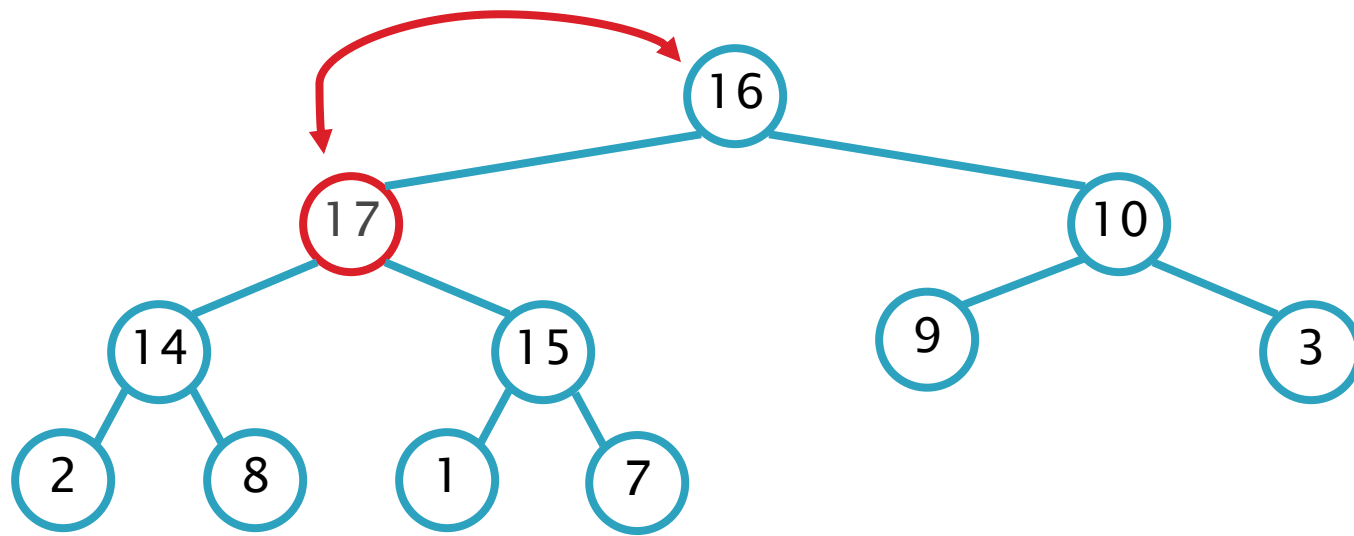
# Insert to heap Example



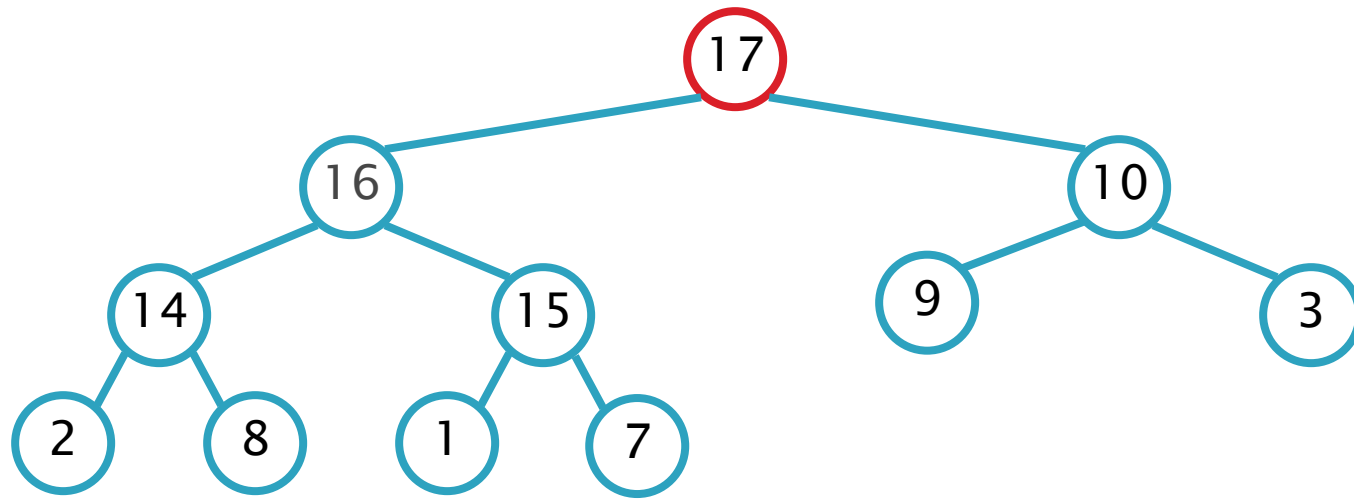
# Insert to heap Example



# Insert to heap Example



# Insert to heap Example

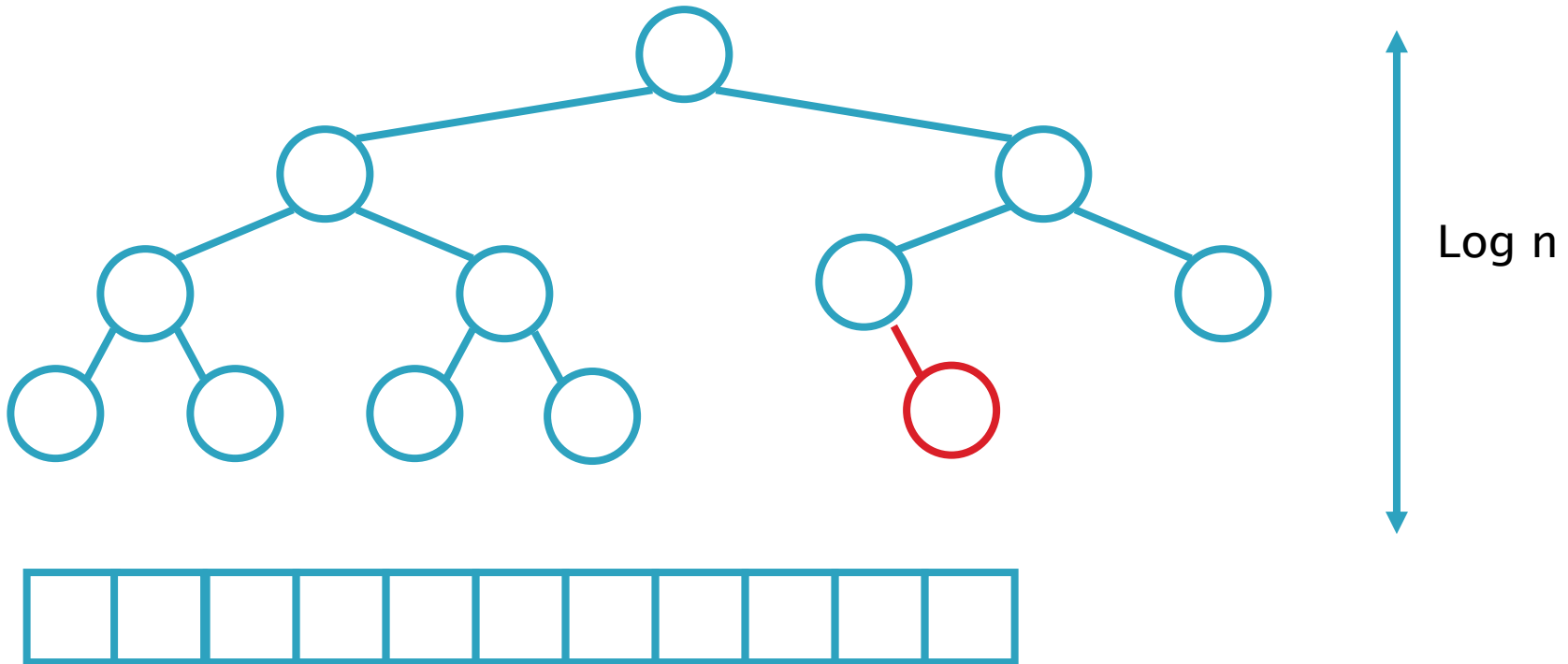


A = 

17	18	10	14	15	9	3	2	8	1	7
----	----	----	----	----	---	---	---	---	---	---

# Insert to heap Example

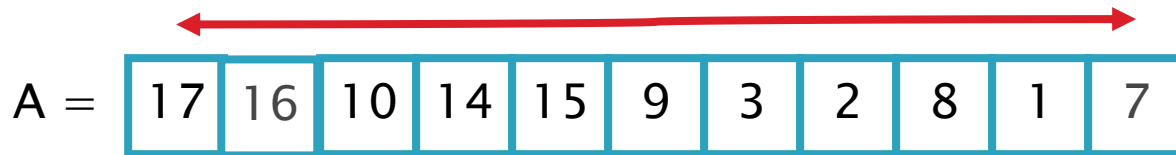
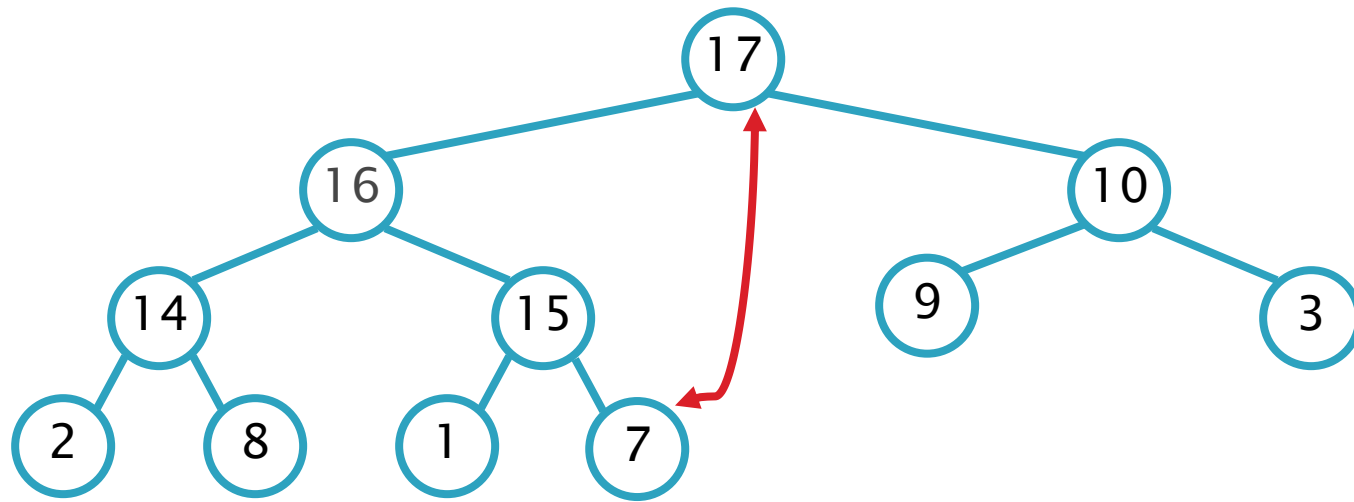
- ▶ Efficiency is  $O(\log n)$



# Delete max from Heap

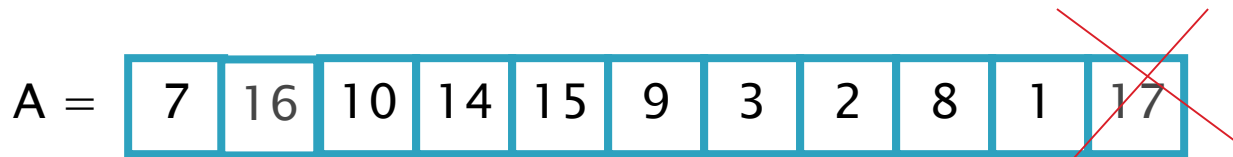
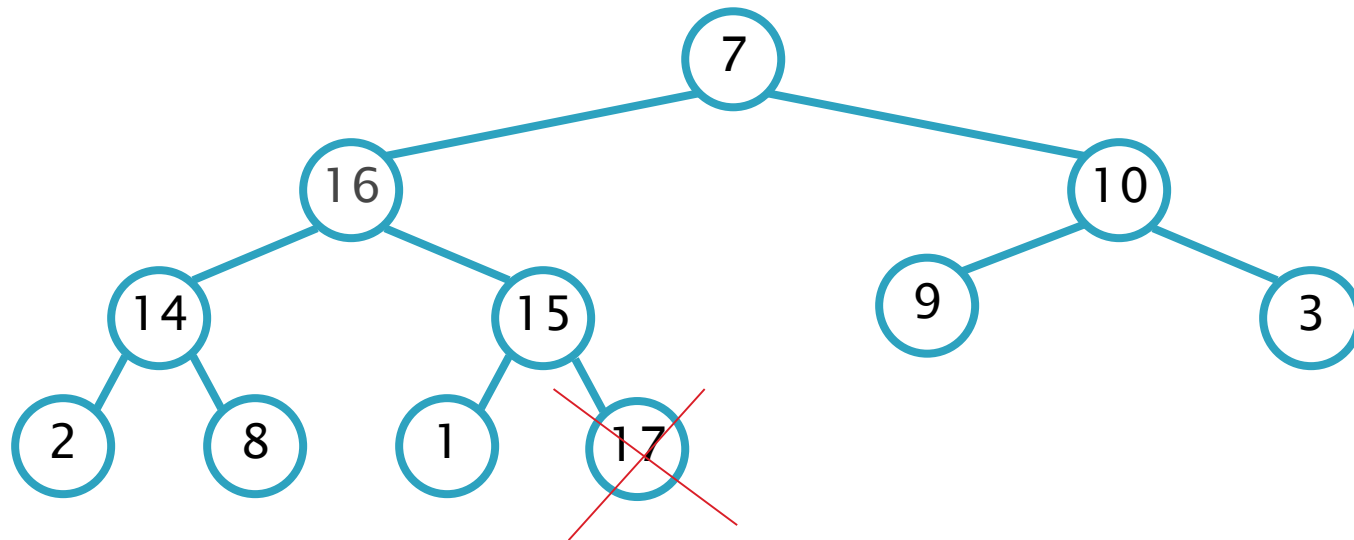
- ▶ Exchange root with rightmost leaf
- ▶ Delete element
- ▶ Bubble root down until it's heap ordered

# Delete max from Heap Example

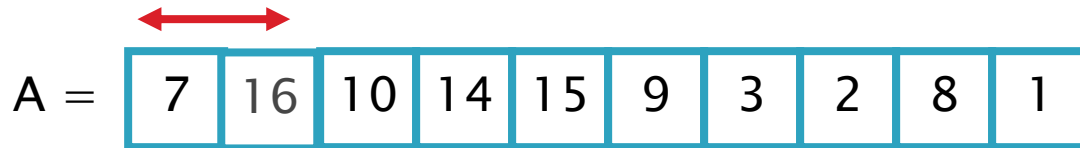
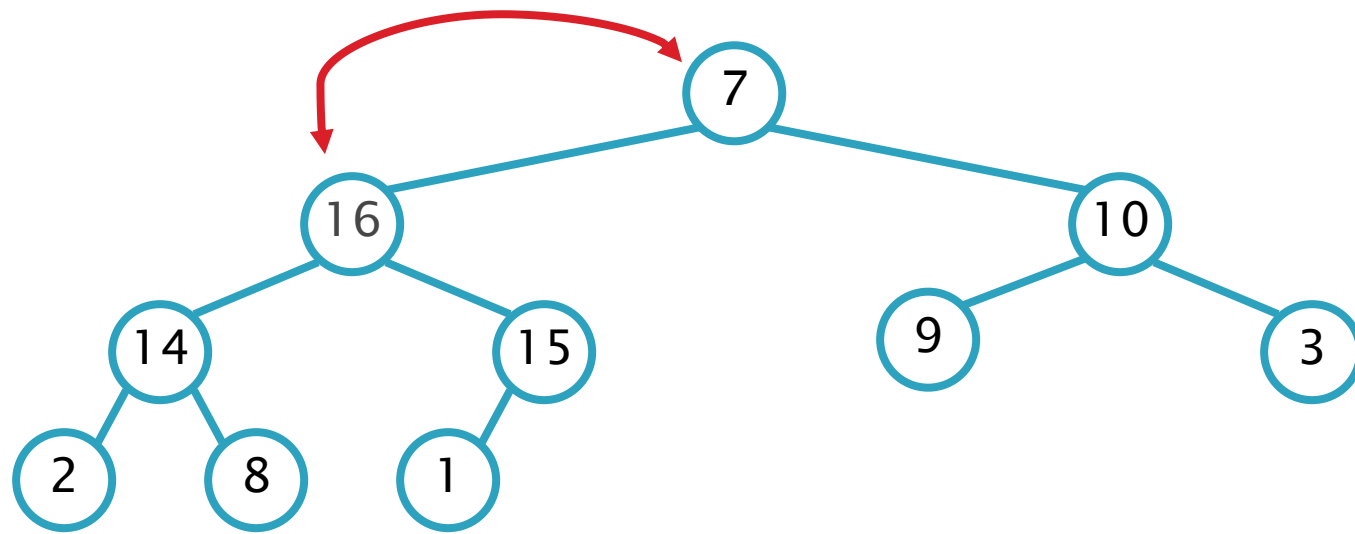




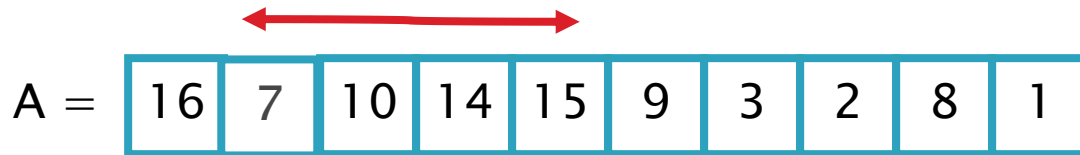
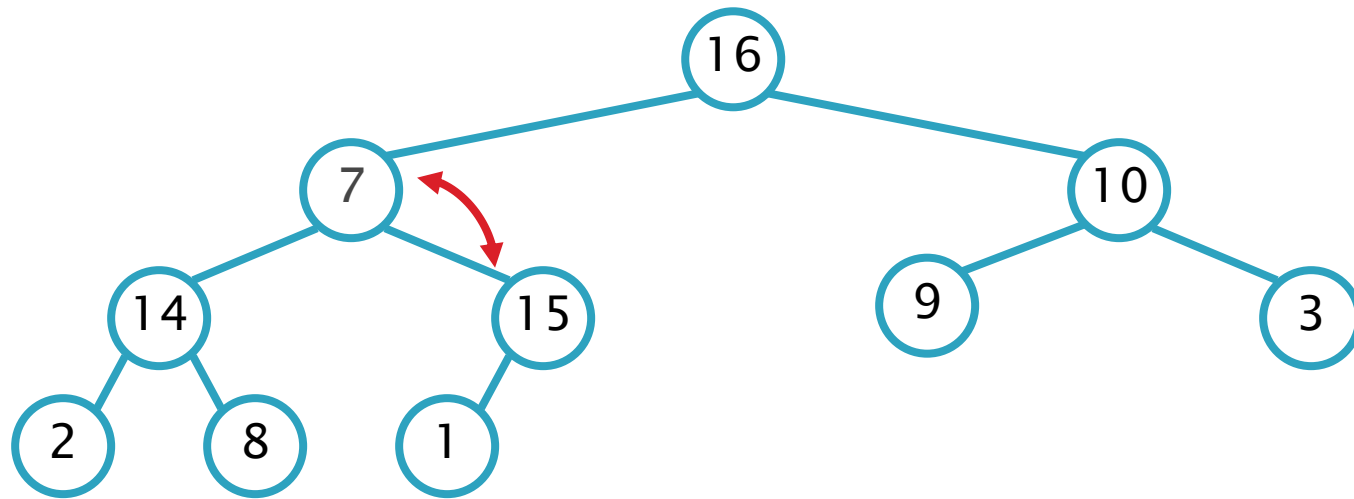
# Delete max from Heap Example



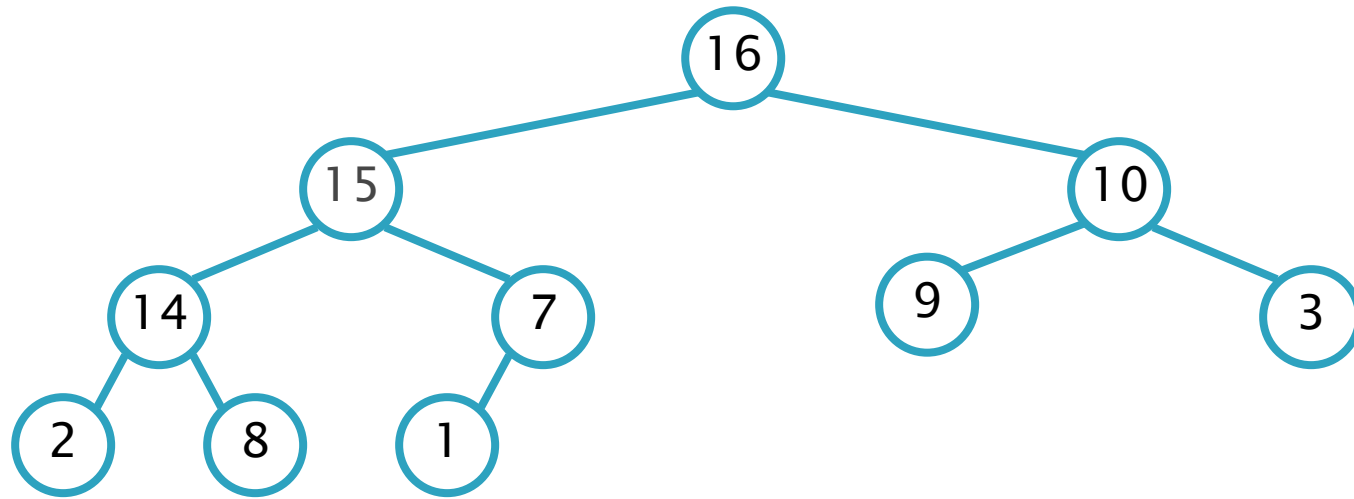
# Delete max from Heap Example



# Delete max from Heap Example



# Delete max from Heap Example

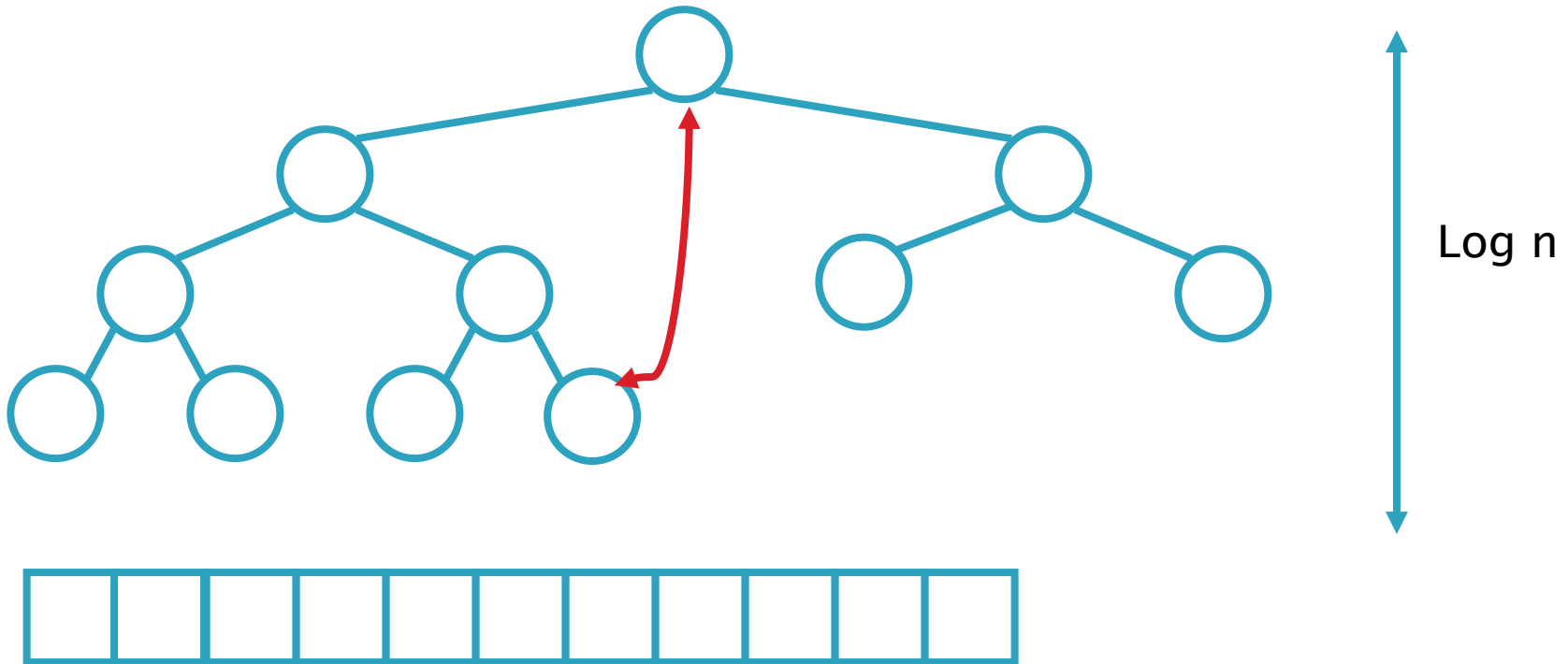


A = 

16	15	10	14	7	9	3	2	8	1
----	----	----	----	---	---	---	---	---	---

# Delete from heap Example

- ▶ Efficiency is  $O(\log n)$



# Heap Construction

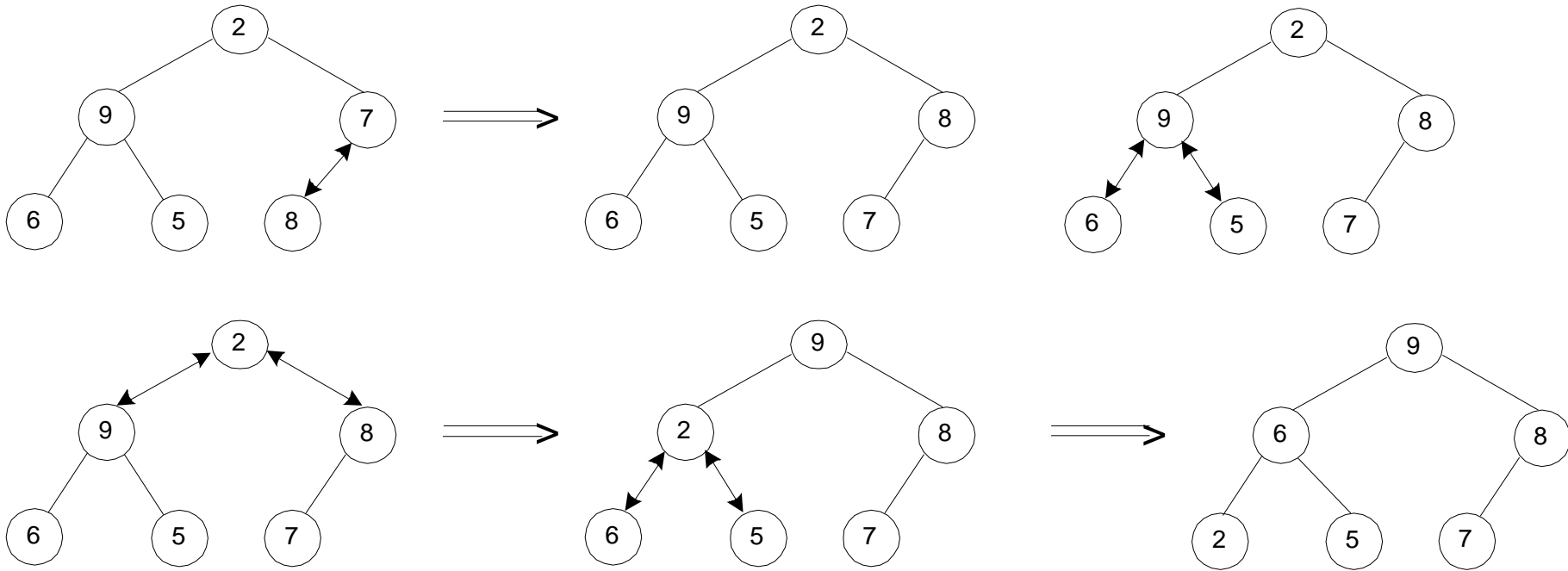
Step 0: Initialize the structure with keys in the order given

Step 1: Starting with the last (rightmost) parental node, fix the heap rooted at it, if it doesn't satisfy the heap condition: keep exchanging it with its largest child until the heap condition holds

Step 2: Repeat Step 1 for the preceding parental node

# Example of Heap Construction

Construct a heap for the list 2, 9, 7, 6, 5, 8



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  - *Heap sort*



# HeapSort

How can we use a Heap to sort an arbitrary array?

1. transform the array into a heap (Construct a heap)
2. call RemoveMax to get all array elements in sorted order

# Example of Sorting by Heapsort

Sort the list 2, 9, 7, 6, 5, 8 by heapsort

Stage 1 (heap construction)

2	9	<u>7</u>	6	5	8
2	<u>9</u>	8	6	5	7
<u>2</u>	9	8	6	5	7
9	<u>2</u>	8	6	5	7
9	6	8	2	5	7

stage 2

<u>9</u>	6	8	2	5	7
<u>7</u>	6	8	2	5	
<u>8</u>	6	7	2	5	
5	6	7	2		
<u>7</u>	6	5	2		
2	6	5			
<u>6</u>	2	5			
5	2				
<u>5</u>	2				
2					

# Analysis of Heapsort

Stage 1: Build heap for a given list of  $n$  keys  
 $O(n \log n)$

Stage 2: Repeat operation of root removal  $n-1$  times (fix heap)  
 $O(n \log n)$

# QUIZ Announcement

- ▶ There will be a quiz in the lab next week.
- ▶ It will be 5 questions, on D2L
  - It will take 10–20 minutes
  - Followed by a lab activity

# Try it/ homework

1. Chapter 6.1, page 205, questions 2, 3, 7
2. Chapter 6.4, page 233, question 1,2,7