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This document summarizes and presents the topics for the Robotics 1 course for the Master's degree in Artificial Intelligence and Robotics at Sapienza University of Rome. The document is free for any use. If the reader notices any typos, they are kindly requested to report them to the author.



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# CHAPTER

## 1

# INTRODUCTION

In this chapter we will see a brief introduction to the mathematical tools used in the main topics of the course. The topics presented in this section may seem somewhat unclear, as many concepts and definitions are only briefly introduced and deliberately not elaborated upon. They will be discussed in detail in their respective chapters.

## 1.1 About the End Effector Pose

A robot is made up of a series of arms connected to one another by joints, these joints can be **revolut** or **prismatic** (as shown in figure 1.1), a revolut joint rotate the link connected along 1 axis, the prismatic joint can make the link extend or contract, making them translate along 1 axis.

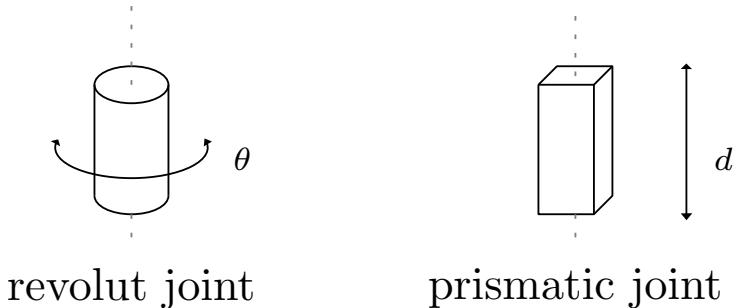


Figure 1.1: two types of joints (spatial representation)

It is important to know that if the angle  $\theta$  increase the joint is rotating counter clock wise. In a planar drawing, the joints are denoted as shown in image 1.2.

In the mathematical/geometrical model of a robotic arms, it is important the *kinematic skeleton*, the quantities involved are

- the current angle of the joints
- the length of the links

everything is defined respect to the base frame, usually denoted as  $\Sigma_0$ .

The robot shown in figure 1.3 is an *R4 robot* (4 revolut joints) with three links. With  ${}^0\mathbf{p}_e$  and  $\Sigma_e$  we denote the position and the reference frame of the **end effector**, if there are a 0 superscript to a vector, we mean that is expressed in the base reference frame.

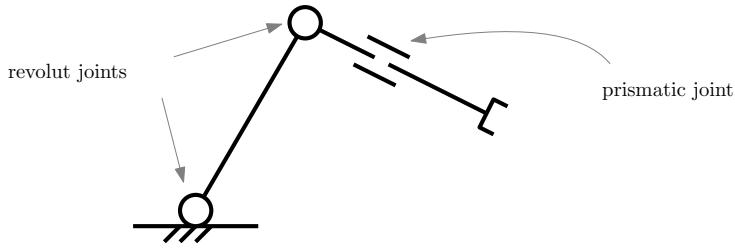


Figure 1.2: planar representation of the joints

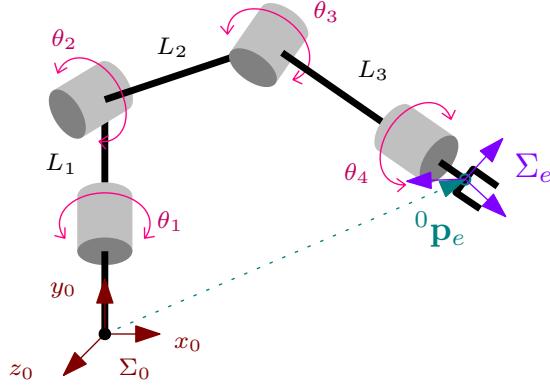


Figure 1.3: spatial R4 robot

With **Direct Kinematics**, we define the problem to find what are the **pose** (position and orientation) of the end effector, in function of the joint's angles.

$$Kin_p(\boldsymbol{\theta}) : \Sigma_0 \rightarrow \Sigma_e \quad (1.1)$$

$$\boldsymbol{\theta} = (\theta_1 \ \theta_2 \ \theta_3 \ \theta_4)^T \quad (1.2)$$

With  $\Sigma_e$  is denoted the reference frame of the end effector. How can we compute  $Kin_p(\boldsymbol{\theta})$ ? This is given by an homogeneous  $4 \times 4$  matrix defined as follows:

$${}^0T_e = \begin{pmatrix} {}^0R_e & {}^0\mathbf{p}_e \\ 0 & 0 & 0 & 1 \end{pmatrix} \quad (1.3)$$

where

- ${}^0R_e \in SO(3)$  is the rotation matrix, and depends from  $\boldsymbol{\theta}$
- ${}^0\mathbf{p}_e \in \mathbb{R}^3$  is the translation vector.

**Recall:**  $SO(3)$  is the group of all the orthogonal  $3 \times 3$  matrices with determinant equals to 1.

The matrix  ${}^0T_e$  is obtained by multiplying  $n$  matrix (where  $n$  is the number of joints)

$${}^0T_e = {}^0A_1(\theta_1){}^1A_2(\theta_2) \dots {}^{n-1}A_n(\theta_n) = \quad (1.4)$$

$$\prod_{i=0}^{n-1} {}^iA_{i+1}(\theta_{i+1}). \quad (1.5)$$

Each *homogeneous matrix*  ${}^{i-1}A_i$  describe the pose of the  $i$ -th joint's frame respect to the previous joint's frame, and depends from  $\theta_i$  (the  $i$ -th joint's angle). A more detailed description of the matrix describing the direct kinematics will be given later.

If there are another frame  $\Sigma_w$ , the new matrix can be computed as follows

$${}^wT_e = {}^wT_0 {}^0T_e. \quad (1.6)$$



the end effector position respect to the base frame is  ${}^0T_e {}^e p$

The **Inverse Kinematics** is the opposite problem, given a position  ${}^0p_e$  for the end effector, we want to find the values of  $\theta$  such that

$${}^0p_e = Kin_p(\theta) \quad (1.7)$$

to find  $\theta$ , we have to solve a non-linear system of equations, this is generally an undecidable problem, but for some specific cases, there exists a closed form, that can be found analytically, there are also numerical methods. Clearly, for the positions out of the work space, the system does not admit solutions (also this can be checked analytically).

## 1.2 About the End Effector Velocity

Let's now consider **Differential Kinematics**, that is the problem to find the end effector velocity in the workspace given the velocity of the joint's angles. Since the superposition principle is valid, the components resulting from the movement of each individual joint, which constitute the final velocity of the end effector, can be considered separately. It is important to know that the velocity component of the end effector given by a joint, is always orthogonal to the rotation axis of that joint.

The end effector have

- a linear velocity, usually denoted  $v$
- an angular velocity, usually denoted  $\omega$ .



Figure 1.4: possible trajectory by moving  $\theta_1$

In the figure 1.4 the curve  $\gamma$  represent all possible positions where the end effector could lie if the angle  $\theta_1$  change, the linear velocity of the end effector is orthogonal to the  $z_0$  axis. The velocity of the end effector doesn't depend only from the angular velocity, but also from the current configuration of the angles  $\theta$ .

Even if the end effector is a rigid body, is sufficient to know the linear velocity of only one point and his angular velocity to compute the velocity of all the other points, since the following relation holds:

$$\mathbf{v}_2 = \mathbf{v}_1 + \boldsymbol{\omega} \times \mathbf{r}_{12} \quad (1.8)$$

where

- $\mathbf{v}_1$  is the velocity of the first point
- $\mathbf{v}_2$  is the velocity of the second point
- $\boldsymbol{\omega}$  is the angular velocity of the rigid body
- $\mathbf{r}_{12}$  is the difference between the positions of the two points.

Let's analyze the velocity components of the end effector. If the  $i$ -th joint is changing its angle, the linear velocity of the end effector will have one component that is

$$\mathbf{v}_i = \mathbf{j}_i(\boldsymbol{\theta})\dot{\theta}_i \quad (1.9)$$

where  $\mathbf{j}_i$  is a 3 components vector describing the direction of the velocity. Since the direction depends from the configuration, the vector  $\mathbf{j}_i$  is in function of  $\boldsymbol{\theta}$ . This holds for all the angles  $\theta_i$ , the resultant linear velocity of the end effector will be

$$\mathbf{v} = \sum_{i=1}^n \mathbf{j}_i(\boldsymbol{\theta})\dot{\theta}_i \quad (1.10)$$

it can be written in matrix form

$$\mathbf{v} = J_L(\boldsymbol{\theta})\dot{\boldsymbol{\theta}} = \begin{pmatrix} \mathbf{j}_1(\boldsymbol{\theta}) & \dots & \mathbf{j}_n(\boldsymbol{\theta}) \end{pmatrix} \begin{pmatrix} \dot{\theta}_1 \\ \vdots \\ \dot{\theta}_n \end{pmatrix} \quad (1.11)$$

where  $J_L(\boldsymbol{\theta})$  is a  $3 \times n$  matrix called the **Jacobian Matrix**, where  $n$  is the number of joints. This description were given in terms of the linear velocity, but it holds also for the angular velocity of the end effector, indeed we have two Jacobian Matrix:

- we denote  $J_L(\boldsymbol{\theta})$  the Jacobian matrix for the linear velocity
- we denote  $J_A(\boldsymbol{\theta})$  the Jacobian matrix for the angular velocity

$$\boldsymbol{\omega} = J_A(\boldsymbol{\theta})\dot{\boldsymbol{\theta}} \quad (1.12)$$

the matrix

$$J(\boldsymbol{\theta}) = \begin{pmatrix} J_L(\boldsymbol{\theta}) \\ J_A(\boldsymbol{\theta}) \end{pmatrix} \in Mat(6 \times n) \quad (1.13)$$

it's called **basic Jacobian**.

The Jacobian matrix is a mapping from the joint velocity space to the end effector velocity space. Let's ignore the angular velocity for now, suppose that we want to impose to the end effector a desired linear velocity (in a specific time instant)

$$\mathbf{v} = \mathbf{v}_d \in \mathbb{R}^3 \quad (1.14)$$

we need to find the values for the vector  $\dot{\boldsymbol{\theta}}$  such that

$$\mathbf{v}_d = J_L(\boldsymbol{\theta})\dot{\boldsymbol{\theta}} \quad (1.15)$$

if we have 3 joints, the matrix  $J$  is squared and can be inverted

$$\dot{\boldsymbol{\theta}} = J_L^{-1}(\boldsymbol{\theta})\mathbf{v}_d \quad (1.16)$$

but this is not the general case, if  $n > 3$ , the system of equations given in (1.15) could

- have zero solutions

- have infinite solutions

if the determinant of  $J_L$  is zero, the system admit infinite solutions if and only if the desired velocity vector  $\mathbf{v}_d$  is in the range space of  $J_L$

$$\det J_L = 0 \implies \exists \text{ inf. sol.} \iff \mathbf{v}_d \in \text{Range}(J_L) \quad (1.17)$$

we remind that the range space of a matrix, is the set of all the linear combinations of the matrix's columns. If this isn't true, the system does not admit any solution, it means that no possible combination of velocity  $\dot{\theta}$  could realize the desired end effector velocity.

### 1.2.1 Singularity

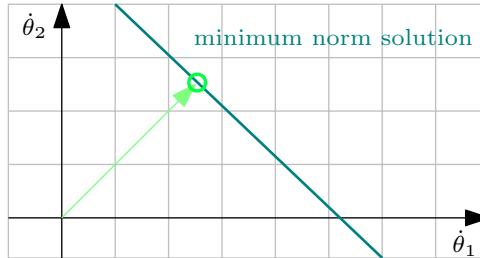
Let's talk about **singularities** in the joint velocity space, we will give a geometric example. Let's consider a 2R planar robot, with a fixed joints configuration  $\theta$ , the Jacobian is a  $2 \times 2$  matrix. Let  $\mathbf{v}_d$  to be the desired velocity, the system is the following

$$\begin{pmatrix} v_d^x \\ v_d^y \end{pmatrix} = \begin{pmatrix} \mathbf{j}_1^T \\ \mathbf{j}_2^T \end{pmatrix} \begin{pmatrix} \dot{\theta}_1 \\ \dot{\theta}_2 \end{pmatrix} \quad (1.18)$$

the two linear equation of the system is represented on the plane as two lines. If  $\det J_L \neq 0$ , there are only one solution, and is the intersection between the two lines.



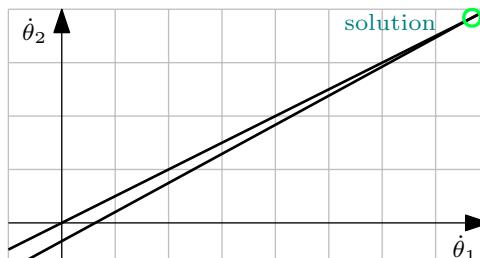
If  $\det J_L = 0$ , the two lines are parallel, so either they have no intersection, or they are the same line. If there are infinite solutions, we can choose the one with the smallest norm, since represents the "minimum energy" solution (the solution that requires the least joint rotation speed intensity).



We have a *singularity* when the determinant approaches zero

$$\det J_L \rightarrow 0 \quad (1.19)$$

The closer the determinant (in absolute value) gets to zero, the more "nearly" parallel the row vectors (and thus the lines they represent) become, which means the angle of intersection approaches zero. In this case the norm of the solution could be large.



This is true also because the following relations holds

$$J_L = \begin{pmatrix} j_{11} & j_{12} \\ j_{21} & j_{22} \end{pmatrix} \Rightarrow \quad (1.20)$$

$$J_L^{-1} = \frac{1}{\det J_L} \begin{pmatrix} j_{22} & -j_{12} \\ -j_{21} & j_{11} \end{pmatrix} \quad (1.21)$$

$$\mathbf{v}_d = J_L \dot{\boldsymbol{\theta}} \quad (1.22)$$

$$\dot{\boldsymbol{\theta}} = J_L^{-1} \mathbf{v}_d \quad (1.23)$$

$$\dot{\boldsymbol{\theta}} = \frac{1}{\det J_L} \begin{pmatrix} j_{22} & -j_{12} \\ -j_{21} & j_{11} \end{pmatrix} \mathbf{v}_d \quad (1.24)$$

with  $\det J_L \rightarrow 0$  the term  $\frac{1}{\det J_L}$  (and with it, also  $\dot{\boldsymbol{\theta}}$ ) became bigger and bigger. In this case, the required joint rotation velocity might not be achievable by the robotic arm's motors.

The previous example showed how certain algebraic relationships are connected to physical problems in robot joint control. Another similar example is the following, consider the robotic arm shown in figure 1.5.

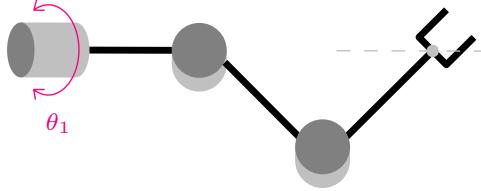


Figure 1.5: 3R spatial robot

Geometrically, it can be seen that by rotating only the first joint  $\theta_1$ , the position of the end effector will not change, this condition holds when

$$J_L(\boldsymbol{\theta}) \begin{pmatrix} \dot{\theta}_1 \\ 0 \\ 0 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix} \quad (1.25)$$

this is true if the vector  $(\dot{\theta}_1 \ 0 \ 0)^T$  is in the kernel of the Jacobian matrix

$$\begin{pmatrix} \dot{\theta}_1 \\ 0 \\ 0 \end{pmatrix} \in \ker J_L(\boldsymbol{\theta}). \quad (1.26)$$

Therefore, the vectors contained in the kernel of the Jacobian matrix for the linear (or angular) velocity represent all possible combinations of individual joint velocities that would not change the position (or orientation) of the end effector.

### 1.3 Brief Overview of Planning and Control

When we want to control the end effector of a robotic arm, we want to know how to move the joints to get a specific position for the end effector, and also how to control the joints over the time to get a particular *trajectory* in the working space.

Consider a 2R planar robot, as shown in figure 1.6, where  $\boldsymbol{\theta}$  is the angular position of the joints, and  $\mathbf{p}_e = f(\boldsymbol{\theta})$  is the position of the end effector for some  $f: \mathbb{R}^2 \rightarrow \mathbb{R}^2$ .

We would like to move the end effector from a certain starting point  $\mathbf{p}_a \in \mathbb{R}^2$  to an another point  $\mathbf{p}_b \in \mathbb{R}^2$ . We could consider the segment line from  $\mathbf{p}_a$  to  $\mathbf{p}_b$  defined as follows:

$$\mathbf{p}(s) = s\mathbf{p}_b + (1-s)\mathbf{p}_a \quad s \in [0, 1]. \quad (1.27)$$



Figure 1.6: a 2R planar robot

Such a trajectory can be represented by a time-dependent function that starts from an initial time  $t_0 = 0$  until a final time  $T$ , making  $s$  a monotonically increasing function of  $t \in [0, T]$ :

$$s : [0, T] \mapsto [0, 1] \quad (1.28)$$

$$s(0) = 0 \quad (1.29)$$

$$s(T) = 1 \quad (1.30)$$

$$\mathbf{p}(s) = \mathbf{p}(s(t)) \quad (1.31)$$

We say that a trajectory is rest-to-rest if the velocity of the end effector at the start and at the end of that trajectory is zero:

$$\dot{\mathbf{p}}(s(0)) = \dot{\mathbf{p}}(s(T)) = \mathbf{0} \quad (1.32)$$

we need to include boundary conditions. Considering the chain rule, the derivative of  $\mathbf{p}$  respect to the time  $t$  is

$$\dot{\mathbf{p}} = \frac{d\mathbf{p}}{dt} = \frac{d\mathbf{p}}{ds} \frac{ds}{dt} = \frac{d\mathbf{p}}{ds} \dot{s} \quad (1.33)$$

since

$$\frac{d\mathbf{p}}{ds} = \frac{d}{ds} (s\mathbf{p}_b + (1-s)\mathbf{p}_a) = \mathbf{p}_b - \mathbf{p}_a \quad (1.34)$$

we have

$$\dot{\mathbf{p}} = \frac{d\mathbf{p}}{ds} \dot{s} = \dot{s}(\mathbf{p}_b - \mathbf{p}_a) \quad (1.35)$$

the acceleration is

$$\ddot{\mathbf{p}} = \ddot{s}(\mathbf{p}_b - \mathbf{p}_a) + \dot{s} \cdot \mathbf{0} = \ddot{s}(\mathbf{p}_b - \mathbf{p}_a) \quad (1.36)$$

we have that

$$\dot{\mathbf{p}}(s(0)) = 0 \iff \dot{s}(0)(\mathbf{p}_b - \mathbf{p}_a) \iff \dot{s}(0) = 0 \quad (1.37)$$

$$\dot{\mathbf{p}}(s(T)) = 0 \iff \dot{s}(T)(\mathbf{p}_b - \mathbf{p}_a) \iff \dot{s}(T) = 0 \quad (1.38)$$

The starting velocity and the final velocity is zero, so the variation of the velocity is zero, this can be seen by the integral of the acceleration

$$\int_0^T \ddot{\mathbf{p}} dt = \int_0^T \ddot{s}(\mathbf{p}_b - \mathbf{p}_a) dt = (\mathbf{p}_b - \mathbf{p}_a) \int_0^T \ddot{s} dt = (\mathbf{p}_b - \mathbf{p}_a)(\dot{s}(T) - \dot{s}(0)) = 0. \quad (1.39)$$

Let's see an example, let's say that the linear position of the end effector along 1 axis is given by the law

$$s(t) \quad (1.40)$$



we have the following profile for the acceleration:

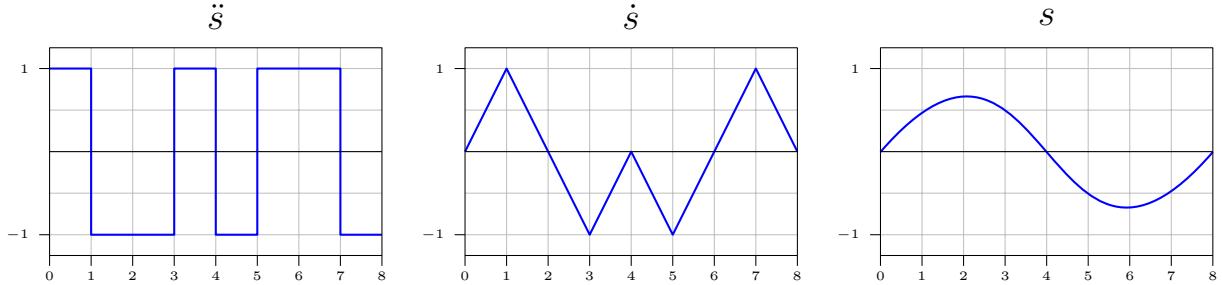
$$\ddot{s}(t) = \begin{cases} 1 & \text{if } t \in [0, 1] \cup [\frac{3}{8}, 4] \cup [\frac{5}{8}, \frac{7}{8}] \\ -1 & \text{if } t \in [1, \frac{3}{8}] \cup [4, \frac{5}{8}] \cup [\frac{7}{8}, 8] \end{cases} \quad (1.41)$$

Let's assume that

$$\dot{s}(0) = s(0) = 0 \quad (1.42)$$

- Question: What will be the speed of the end effector at  $t = 8$ ? We can easily see that the integral of  $\dot{s}$  over  $t \in [0, 8]$  is 0, so  $\Delta \dot{s} = 0 \implies \dot{s}(8) = 0$ .
- Question: What will be the position of the end effector at  $t = 8$ ? We can easily see that the integral of  $\dot{s}$  over  $t \in [0, 8]$  is 0, so  $\Delta s = 0 \implies s(8) = 0$ .

The acceleration, speed and position profiles are the following:



Now we consider the *control aspects* of the problem, we denote  $\mathbf{p}_e(t)$  the position of the end effector at the time  $t$ , and  $\mathbf{p}_d(t)$  the **desired position** at time  $t$ .

$$\mathbf{p}_d(0) = \mathbf{p}_a. \quad (1.43)$$

We define the **error** such as the difference between the current position and the desired position:

$$\mathbf{e}(t) = \mathbf{p}_d(t) - \mathbf{p}_e(t) \quad (1.44)$$

The aim of the *control system* of the robot is to maintain  $\mathbf{e}$  as close to zero as possible. This can be done by computing the initial error, and by giving to the system a new command  $\dot{\theta}(t)$  to correct it such that  $\mathbf{e}(t) \rightarrow \mathbf{0}$ . Let's denote the error as follows

$$\mathbf{e}(t) = \begin{pmatrix} e_x(t) \\ e_y(t) \end{pmatrix} \quad (1.45)$$

For now, we will not discuss in detail how to control the error through the control of joint velocities  $\dot{\theta}$ ; it is sufficient to know that the following condition is required:

$$\dot{\mathbf{e}}(t) = -K\mathbf{e}(t) = \begin{pmatrix} -k_x & 0 \\ 0 & -k_y \end{pmatrix} \begin{pmatrix} e_x(t) \\ e_y(t) \end{pmatrix} \quad (1.46)$$

with  $k_x, k_y > 0$ . Why this conditions is required?

- if  $e_x(t)$  is greater than zero, the condition  $\dot{e}_x(t) = -k_x e_x(t)$  describes a decrease in error, making it approach zero
- if  $e_x(t)$  is smaller than zero, the condition  $\dot{e}_x(t) = -k_x e_x(t)$  describes an increase in error, making it approach zero

- same for  $e_y$ .

The system of equations

$$\dot{\mathbf{e}}(t) = \begin{pmatrix} -k_x & 0 \\ 0 & -k_y \end{pmatrix} \begin{pmatrix} e_x(t) \\ e_y(t) \end{pmatrix} \implies \begin{cases} \dot{e}_x(t) = -k_x e_x(t) \\ \dot{e}_y(t) = -k_y e_y(t) \end{cases} \quad (1.47)$$

admits exponential functions as a solution

$$e_x(t) = e_x(0)e^{-k_x t} \quad (1.48)$$

$$e_y(t) = e_y(0)e^{-k_y t} \quad (1.49)$$

if the initial error  $\mathbf{e}(0)$  is not zero, then the error will approach zero, without never reaching it. For practical applications it goes sufficiently fast to values very close to zero.

We introduce now an important concept in linear differential equations systems.

**Definition 1** Let  $A \in M_{n,n}(\mathbb{R})$  to be a squared real-valued matrix. The **matrix exponential**, denoted  $e^A$ , is the  $n \times n$  matrix defined as follows:

$$e^A = \sum_{k=0}^{\infty} \frac{A^k}{k!} \quad (1.50)$$

Given a linear system

$$\dot{\mathbf{x}} = A\mathbf{x} \quad (1.51)$$

the solution is

$$\mathbf{x}(t) = e^{At}\mathbf{x}(0) \quad (1.52)$$

In some cases the exponential matrix can be computed easily, let's assume that  $A$  is diagonal

$$A = \begin{pmatrix} a_1 & 0 & \cdots & 0 \\ 0 & a_2 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & a_n \end{pmatrix} \quad (1.53)$$

in this case we have that

$$A^k = \begin{pmatrix} a_1 & 0 & \cdots & 0 \\ 0 & a_2 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & a_n \end{pmatrix} \times \cdots \times \begin{pmatrix} a_1 & 0 & \cdots & 0 \\ 0 & a_2 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & a_n \end{pmatrix} = \begin{pmatrix} a_1^k & 0 & \cdots & 0 \\ 0 & a_2^k & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & a_n^k \end{pmatrix} \quad (1.54)$$

so

$$e^A = \sum_{k=0}^{\infty} \frac{1}{k!} \begin{pmatrix} a_1^k & 0 & \cdots & 0 \\ 0 & a_2^k & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & a_n^k \end{pmatrix} = \begin{pmatrix} \sum_{k=0}^{\infty} \frac{a_1^k}{k!} & 0 & \cdots & 0 \\ 0 & \sum_{k=0}^{\infty} \frac{a_2^k}{k!} & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & \sum_{k=0}^{\infty} \frac{a_n^k}{k!} \end{pmatrix} \quad (1.55)$$

$$= \begin{pmatrix} e^{a_1} & 0 & \cdots & 0 \\ 0 & e^{a_2} & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & e^{a_n} \end{pmatrix}. \quad (1.56)$$

So, if a system is described by a diagonalizable matrix  $A$  there exists a diagonal matrix  $\Lambda$  and an invertible matrix  $T$  such that

$$A = T\Lambda T^{-1} \quad (1.57)$$

in this case we can easily calculate the exponential matrix

$$e^{At} = T^{-1}e^{\Lambda t}T. \quad (1.58)$$

**Note:** The Sections 1.4, 1.6, 1.7, 1.5, 1.8 are written with the aid of Gemini, by having the model process the information taken from the professor's slides and the lecture notes.



## 1.4 Defining Robots

The concept of a robot is formalized through several key definitions, spanning from strict industrial standards to more encompassing theoretical perspectives.

### 1.4.1 Standardized Definitions

#### 1. Industrial Definition (Robotic Institute of America - RIA)

The RIA defines a robot as a **re-programmable, multi-functional manipulator** designed to move material, parts, tools, or specialized devices through variable programmed motions for the performance of a variety of tasks. A crucial element of this definition is the requirement that the robot must **acquire information from the environment** and move intelligently in response, setting it apart from simpler automated machinery.

#### 2. ISO 8373:2012 Definition

The International Organization for Standardization (ISO) provides a formal, international standard: an industrial robot is an **automatically controlled, re-programmable, multi-purpose manipulator** programmable in **three or more axes**. The manipulator may be either **fixed in place or mobile** and is intended for use in industrial automation applications. This specific definition helps to delineate complex, versatile machines from basic mechanical devices.

### 1.4.2 The Visionary Definition: Perception and Action

A broader, more "visionary" definition emphasizes the cognitive and functional aspects of robotic systems: the **intelligent connection between perception and action**.

- **Perception:** This is the process of acquiring and processing sensing information from the environment.
- **Action:** This involves not just controlling the robot's current state, but actively **making some changes in the physical world** to achieve a goal.

This relationship forms a continuous feedback loop that governs autonomous behavior:

$$\text{percept} \longrightarrow \text{action} \longrightarrow \text{percept}$$

The robot's understanding of its environment (*percept*) drives its movement or operation (*action*), which modifies the environment, leading to a new cycle of perception.

## 1.5 Notable Robot Examples

Throughout history, various robots have exemplified different facets of the definition of robotics, from pure industrial work to exploration and human interaction.

- **Comau H4 (1995):** Representing the industrial segment, these manipulators were widely used in **automotive industries** (e.g., owned by Fiat at the time). They are a classic example of fixed, multi-functional, re-programmable automation.
- **Waseda WAM-8 (1984):** This famous **humanoid robot** from a Japanese university demonstrated early cognitive abilities. It was capable of complex tasks such as playing an organ and reading music from a sheet, combining perception (reading) and fine manipulation.
- **Spirit Rover (2002):** An excellent example of **autonomous mobile robotics and exploration**.
  - It was landed on Mars, featuring articulated wheels and solar panels.
  - Its mission was to move, analyze material, and send gathered information back to Earth.
  - While the **global target is specified remotely**, the rover must operate with significant **local autonomy** because of the approximately 8-minute communication delay required to receive instructions from Earth. This necessity highlights the critical role of the onboard perception-action loop for mission success.



Figure 1.7: Spirit Rover (2002)

According to the rigorous **ISO 8373:2012** definition, certain devices and systems are **not considered robots**. These exclusions are typically applied to specific devices with only **one or two degrees of freedom (DOF)** and complex software systems lacking the physical manipulator required by the standard. Systems that are not classified as robots under the ISO 2012 standard include:

- Software "bots", Artificial Intelligence (AI), and Robotic Process Automation (RPA).
- Voice assistants.
- Automatic Teller Machines (ATMs).
- Cooking machines, smart washing machines, and similar appliances.

Furthermore, advanced mobile systems like drones and autonomous cars are generally not classified as robots under this definition. However, in a **2021 revision**, the term **robotic device** was introduced to encompass these increasingly sophisticated, automated machines that fall outside the strict definition of an industrial robot.

The word "robot" has an historical and literary origin that is foundational to the field:

- The term derives from the Slavic word **Robota**, meaning "work" or "forced labor."
- The first recorded use of the word "Robot" in a theatrical context was in **1920** by Czech writer **Karel Čapek** in his science-fiction play, *Rossum's Universal Robots (R.U.R.)*. In the play, "robots" are artificial, human-like creatures created to be inexpensive workers.

## 1.6 The Ethical Framework: Asimov's Three Laws of Robotics

The science fiction author Isaac Asimov defined a set of foundational ethical rules for robotics in his short stories.

1. **First Law:** A robot may not injure a human being or, through inaction, allow a human being to come to harm.
  - This law is fundamental to modern **collaborative robotics** (cobots), ensuring human safety is prioritized as robots and humans work in close proximity.
2. **Second Law:** A robot must obey orders given to it by human beings, except where such orders would conflict with the First Law.
  - This establishes the robot's subordination to human command. Situations like robots used in war or faulty programming clearly violate this rule.
3. **Third Law:** A robot must protect its own existence as long as such protection does not conflict with the First or Second Law.
  - The robot's self-preservation is conditional, being secondary to human safety and commands.

## 1.7 Evolution and Characteristics of Robot Manipulators

The journey toward the industrial robot began around the 1950s with the convergence of **Computerized Numerically Controlled (CNC) machines** and **mechanical telemanipulators**. This synthesis led to the development of the first **robot manipulators**, with the **Unimation PUMA (1970)** being a key early example.

Unlike the early mechanical telemanipulators, which often required continuous human control, true robot manipulators offered several distinct advantages:

- **Absence of Position Memory:** The robot operates based on its programmed coordinates, not needing to remember past states.
- **Adaptivity** to conditions previously unknown.
- High **Accuracy** in positioning.
- Superior **Repeatability** of operation (the ability to return consistently to a programmed point).

For an industrial manipulator, it is often noted that **repeatability** and **compliance** (adaptivity to variations) are more fundamental for task success than absolute accuracy.

The history of industrial robotics is marked by key patented designs and technological firsts: **The First Industrial Robot** The very first industrial robot was installed at a General Motors plant in **1961**. It was developed by **George Devol and Joseph Engelberger** of Unimation.

- **Kinematics:** This design featured a total of **6 Degrees of Freedom (DOF)**, comprising five revolute (rotational) joints and one prismatic (linear) joint. This combination was considered the optimal solution at the time to achieve **full control over the end effector's pose** (position and orientation).

**Key Successor Robot Manipulators** Following the first installation, several robots introduced foundational innovations:

- **ASEA IRB-6 (1973):** The first robot where all axes were driven by **electric motors** (all-electric drives), featuring 5 DOF.
- **Cincinnati Milacron T3 (1974):** Recognized as the first industrial robot to be controlled by a **micro-computer**.
- **Hirata AR-300 (1978):** Introduced the first **SCARA (Selective Compliance Assembly Robot Arm)** robot, which has a distinct cylindrical workspace, prioritizing speed and rigidity in the vertical axis.
- **Unimation PUMA 560 (1979):** Characterized by its 6 revolute joints, this was the first truly '**anthropomorphic**' robot, offering human-like dexterity.



Figure 1.8: Unimation PUMA 560 (1979)

Actuators power the robot and sustain its payload. **Electric motors** are the most common choice, converting electrical energy to torque. However, when required to sustain a **heavy payload**, a **hydraulic actuator** generally works better due to its higher power-to-weight ratio.

## 1.8 Global Industrial Robotics Market Statistics

The following statistics are sourced from the **International Federation of Robotics (IFR)** World Robotics documents (Executive Summary for 2025 statistics). These figures illustrate the rapid global expansion of industrial automation.

### 1.8.1 Operational Stock and Growth Rates

The total worldwide operational stock of industrial robots reached **4.6 million units** at the end of 2024. This represents a substantial growth of **+9%** compared to 2023. Over the five-year period from 2019 to 2024, the market demonstrated a robust Compound Annual Growth Rate (**CAGR**) of **+11%**.

The Compound Annual Growth Rate is calculated as:

$$\text{CAGR} = \left( \frac{V_{\text{end}}}{V_{\text{begin}}} \right)^{1/\text{years}} - 1$$

New robot sales in 2024 reached **542,000 units**, maintaining stability ( $\pm 0\%$ ) compared to 2023, and demonstrating a **+7% CAGR** from 2019–2024. This marks the **fourth consecutive year** that annual new installations have surpassed 500,000 units. The estimated average service life of an industrial robot is between **12 and 15 years**.

Regarding market size, the value of the robot market (excluding software and peripherals) was **\$15.7 billion** in 2022. The value of the total robotic systems market, which includes surrounding equipment and services, is estimated to be approximately **four times** this core market value.

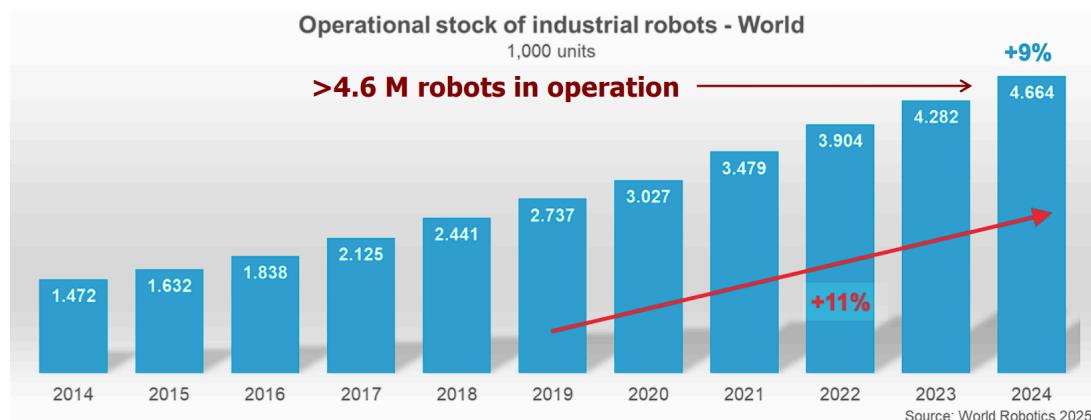
### 1.8.2 Sectoral and Geographic Distribution

The market growth is primarily driven by the **electronics** and **automotive** industries, with collaborative robots (cobots) also contributing significantly to market expansion.

The global distribution of installations is highly concentrated:

- **China** is the world's largest market for new installations, a position it has held since 2013. China installs **every other robot** globally, accounting for **54%** of all new annual installations.
- A vast majority—**80%** of all new robot installations—occur in just five countries: **China, Japan, USA, Korea, and Germany**.
- Within Europe, **Italy** stands out as the second-largest European country for new installations.

The scale of growth is highlighted by the historical operational stock data: starting from a modest 3,000 units in 1973, stock grew to 66K in 1983, 575K in 1993, and 800K in 2003, culminating in the 4.6 million units recorded in 2024.



# CHAPTER

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## 2

# SENSORS AND ACTUATORS

From a high level prospective, a robot is a system composed by different units, that takes commands and responds with actions in a working environment, as shown in figure 2.1.

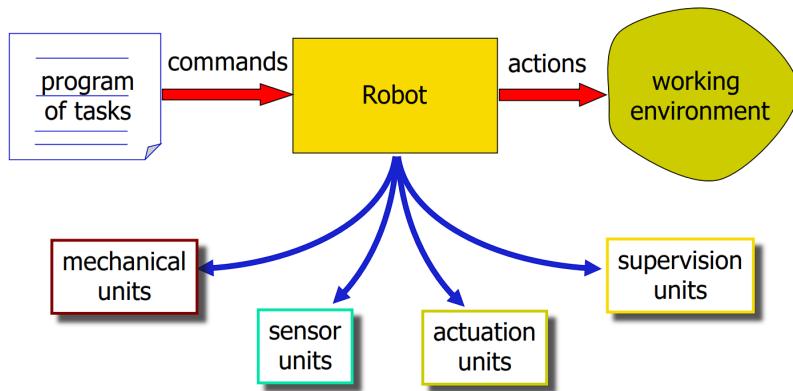


Figure 2.1: Robot as a system

The functional units are the following:

- mechanical units (robot arms): We see the links as rigid bodies, connected by rotational or prismatic joints, with an end effector attached at the end of the serial structure.
- actuation units: we have motors for the joints, that can be electrical, hydraulic or pneumatic, and eventually transmissions (i.g. a belt). We also consider the motion control algorithm as a part of that unit.
- sensor units: proprioceptive sensors that measure the internal state of the robot (position and velocity of the joints) and exteroceptive that measure the external environment.
- supervision units: AI and reasoning, task planning and control.

Let's focus on the actuation system, we can consider the scheme in figure 2.2. We see how the power is measured in different units in different stage of the actuation process:

- Electrical :  $\text{power} = \text{voltage} \times \text{current}$
- Hydraulic :  $\text{power} = \text{pressure} \times \text{flow rate}$

- Linear Mechanics : power = force  $\times$  speed
- Rotational Mechanics : power = torque  $\times$  angular speed

fig:system.



Figure 2.2: Actuation system

We define the efficiency as the ratio between the output and input power:

$$\text{efficiency} = \frac{P_u}{P_c}. \quad (2.1)$$

## 2.1 Electrical Motors

Since pneumatic systems have difficulties to guarantee high levels of precision, and the hydraulic actuation systems are expensive and need hydraulic supply, in most of the cases electrical servo motors are mounted on the robots.

- advantages of electrical motors:
  - power supply available everywhere
  - low cost
  - large variety of products
  - high power conversion efficiency
  - easy maintenance
  - no pollution in working environment
- disadvantages
  - overheating in static conditions (in the presence of gravity)
  - need special protection in flammable environments
  - some advanced models require more complex control laws



If a robot should keep an object in a still position, the force of gravity tends to rotate the arms, so torque from the motors must be applied to keep that positions (this does not happen with hydraulic motors).

**Definition 2** A *servomotor* is a type of electric motor (AC or DC) specifically designed for the precision control of position, speed, and acceleration. It uses a closed-loop system with a feedback component (such as an encoder) that continuously monitors the motor's current position and compares it to the desired position. If there is a difference (an error signal), the controller corrects it, ensuring extremely accurate and dynamic motion.

Desired characteristics for a servomotor mounted on a robot are:

- low inertia and high power-to-weight ratio
- high acceleration capabilities and large range of operational velocities (1 to 2000 round per minute)
- low torque ripple<sup>1</sup> and high accuracy in positioning
- power: 10 W to 10 kW.

There are two types of electrical motors

- Brushed DC: Has windings on the rotor (rotating part) and permanent magnets on the stator (stationary part). It uses physical brushes rubbing against a commutator to mechanically switch the current direction and maintain rotation.
- Brushless DC (BLDC): Has permanent magnets on the rotor and windings on the stator. It uses an electronic controller (instead of brushes/commutator) to electrically switch the current to the windings for rotation.



The DC motor has a complex construction, but the mathematical model that describes it is simple. The rotor (the rotating part) includes copper windings (coils) through which current circulates in a determined direction; power is supplied thanks to the contact of brushes that rub against the motor. The entire assembly is immersed in a magnetic field provided by permanent magnets, as shown in figure 2.3.

The electrons will move in the direction of the vector  $\bar{i}$  (current density), with intensity I, and due to the presence of a magnetic field  $\bar{B}$ , they will be subjected to the **Lorentz force**

$$\bar{F} = I(\bar{l} \times \bar{B}) \quad (2.2)$$

where  $\bar{l}$  represents the section of wire. In this way, a torque will be generated, causing the circuit to rotate. The brushes, colored yellow in figure 2.3, by making contact, ensure that the current circulates. Note how the slip ring is divided into two parts; it acts as a *commutator*. This construction allows the current to reverse direction with every half-turn. If this were not the case, the Lorentz force would alternate, causing the rotor to oscillate until it stopped, without rotating, as shown in figure 2.4.

The **torque** is equal to

$$\bar{T} = (\bar{r}_1 \times \bar{F}_1 + \bar{r}_2 \times \bar{F}_2) \quad (2.3)$$

We denote  $\tau = \pm|\bar{T}|$  the *scalar torque*.

<sup>1</sup>Torque ripple is an issue that arises in motors due to their construction, and it will be explained later.

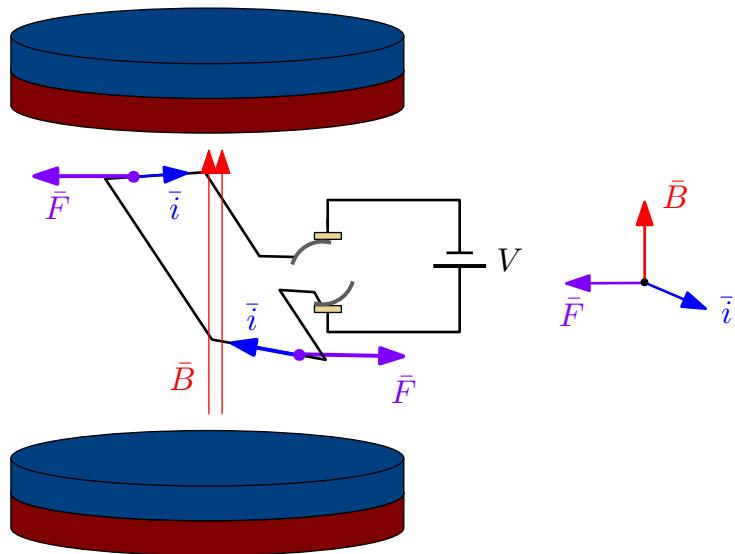
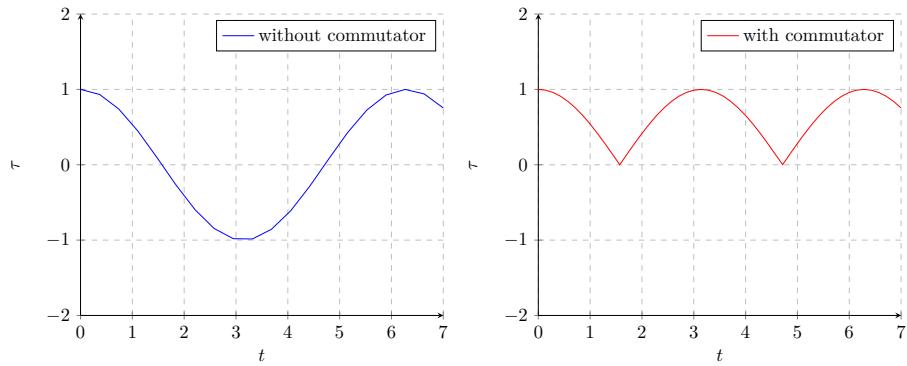
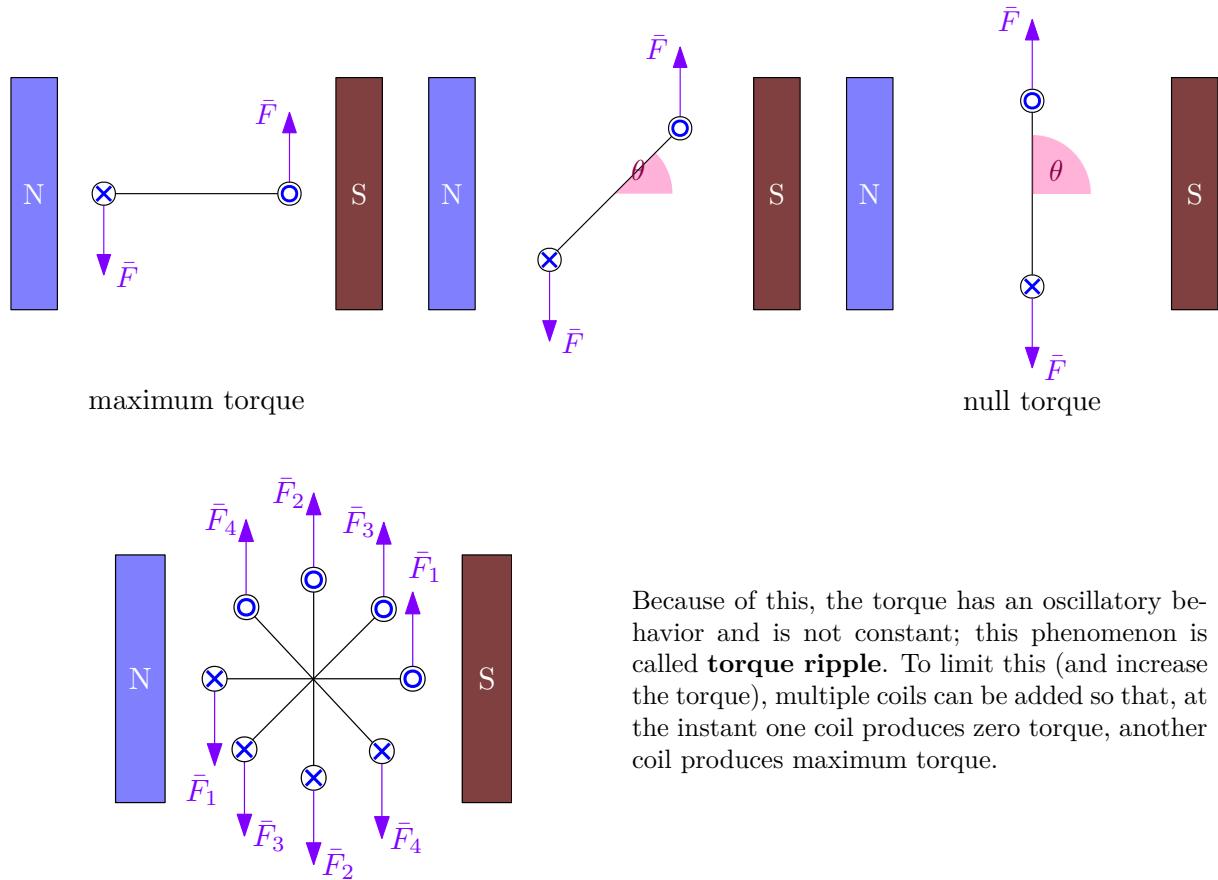


Figure 2.3: brush DC motor

Figure 2.4: Torque profile  $\tau$ 

The force  $\bar{F}$  depends on the angle  $\theta$  of the motor's rotation, because the change in the direction of the current  $\bar{i}$  can cause the force to attenuate. The torque becomes zero every time the angle between the generated force and the lever arm of rotation is  $k\pi$ , where  $k \in \mathbb{N}$ .



Because of this, the torque has an oscillatory behavior and is not constant; this phenomenon is called **torque ripple**. To limit this (and increase the torque), multiple coils can be added so that, at the instant one coil produces zero torque, another coil produces maximum torque.



Figure 2.5: torque generated by multiple coils.

The greater the number of coils included, the more the torque "flattens out", tending towards a constant behavior.

### 2.1.1 Dynamical Model of the Motor

Now let's model an electric motor as a dynamical system. The motor is composed by two main sub-model

- An electromagnetic model
- A mechanical model

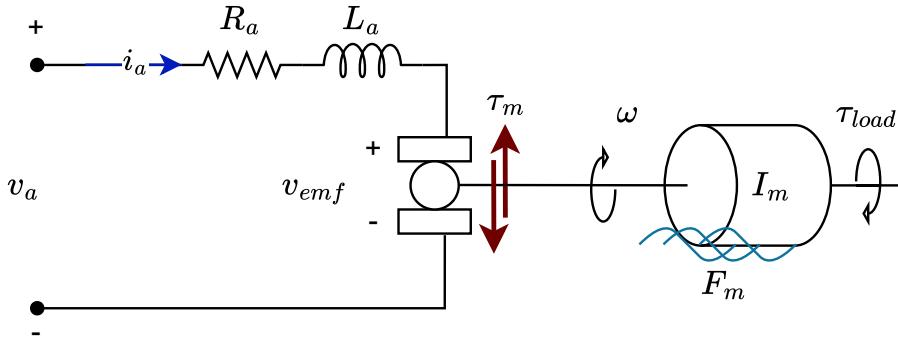


Figure 2.6: Dynamical Model

The commutator circuit have in series a resistor and an inductor, the model is shown in figure 2.6. in the electromagnetic sub model, the voltage  $v_a$  is given by the Kirchhoff laws

$$v_a(t) = R_a i_a(t) + L_a \frac{di_a}{dt} + v_{emf}(t) \quad (2.4)$$

where

- $R_a$  is the resistance coefficient
- $L_a$  is the inductance.

The term  $v_{emf}(t)$  is called **Back Electromotive Force** and is a voltage generated within the rotating armature of an electric motor, based on Faraday's Law of Induction, when the motor's armature coils  $\omega(t)$  rotate and cut across the magnetic field (produced by the field coils or permanent magnets), a voltage is induced across the armature windings. Since a motor in motion is essentially acting as a generator, this is often called generator action. This voltage is proportional to the angular speed of the motor

$$v_{emf}(t) = k_v \omega(t) \quad (2.5)$$

Let's consider the mechanical sub model, we apply the newton law on torques, the scalar torque  $\tau_m(t)$  of the rotating body is given by

$$\tau_m(t) = I_m \frac{d\omega}{dt} + F_m \omega(t) + \tau_{load}(t) \quad (2.6)$$

where

- $I_m$  is the moment of inertia of the motor, a larger moment of inertia means the motor will take more torque and time to accelerate or decelerate to a given speed.
- $F_m$  is the viscous friction coefficient (since the motor never rotate in the void), and is proportional to the angular speed. It has a damping action on the rotational speed
- $\tau_{load}(t)$  is the external mechanical torque load given by the weight of the object that the motor is trying to rotate, and it opposes to the input torque.

The two sub models are related by an equation, it states that the torque  $\tau_m$  of the motor is proportional to the applied current  $i_a$  on the circuit:

$$\tau_m(t) = k_t i_a(t) \quad (2.7)$$

Let's define the state variables of our model, we can apply a voltage  $v_a(t)$ , and we are interested in the resulting angular position  $\theta$  and speed  $\dot{\theta} = \omega$ .

$$\begin{cases} v_a(t) = R_a i_a(t) + L_a \frac{di_a}{dt} + v_{emf}(t) \\ \tau_m(t) = I_m \frac{d\omega}{dt} + F_m \omega(t) + \tau_{load}(t) \end{cases} \quad (2.8)$$

by using the equations (2.7) and (2.5) we get

$$\begin{cases} v_a(t) = R_a i_a(t) + L_a \frac{di_a}{dt} + v_{emf}(t) \\ k_t i_a(t) = I_m \frac{d\omega}{dt} + F_m \omega(t) + \tau_{load}(t) \end{cases} \implies \quad (2.9)$$

$$\begin{cases} L_a \frac{di_a}{dt} = v_a(t) - R_a i_a(t) - k_v \omega(t) \\ I_m \frac{d\omega}{dt} = k_t i_a(t) - F_m \omega(t) - \tau_{load}(t) \\ \frac{d\theta}{dt} = \omega(t) \end{cases} \quad (2.10)$$

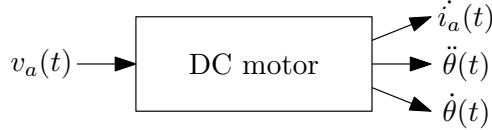
in the dot notation for derivatives:

$$\begin{cases} L_a \dot{i}_a = v_a(t) - R_a i_a(t) - k_v \omega(t) \\ I_m \ddot{\theta} = k_t i_a(t) - F_m \omega(t) - \tau_{load}(t) \\ \dot{\theta} = \omega(t) \end{cases} \quad (2.11)$$

the state variables are

$$\mathbf{x} = \begin{pmatrix} \dot{i}_a \\ \ddot{\theta} \\ \dot{\theta} \end{pmatrix} \quad (2.12)$$

the position  $\theta$  can be found by integrating  $\omega$ . The motor is a system that given a input voltage over time  $v_a(t)$ , returns the electric current (more precisely, it's derivative,  $i_a$  can be found by integrating over time), the angular speed and the acceleration of the motor.



**About the notation:** if  $f(t)$  is a function in the time domain, we denote  $\mathcal{L}[f](s) = f(s)$  it's Laplace transform in the complex variable  $s$ . For example:

$$\mathcal{L}[i_a](s) = i_a(s) \quad (2.13)$$

Let's analyze the model in the Laplace domain by considering the Laplace transform, and then draw the block diagram of the system. For the electrical model we have

$$\mathcal{L}[L_a \dot{i}_a] = v_a(t) - R_a i_a(t) - k_v \omega(t) \implies \quad (2.14)$$

$$sL_a i_a(s) = v_a(s) - R_a i_a(s) - k_v \omega(s) \implies \quad (2.15)$$

$$sL_a i_a(s) + R_a i_a(s) = v_a(s) - k_v \omega(s) \implies \quad (2.16)$$

$$(sL_a + R_a) i_a(s) = v_a(s) - k_v \omega(s) \implies \quad (2.17)$$

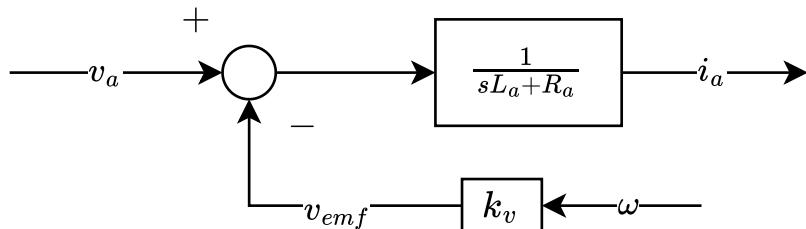
$$i_a(s) = \frac{v_a(s) - k_v \omega(s)}{sL_a + R_a} \quad (2.18)$$

assuming that the quantities are null in  $t = 0$ . In the end, we get:

$$i_a(s) = \frac{v_a(s) - k_v \omega(s)}{sL_a + R_a} \quad (2.19)$$

$v_a$  and  $v_{emf}$  are external input to the model, so the transfer function for the electromagnetic sub model is

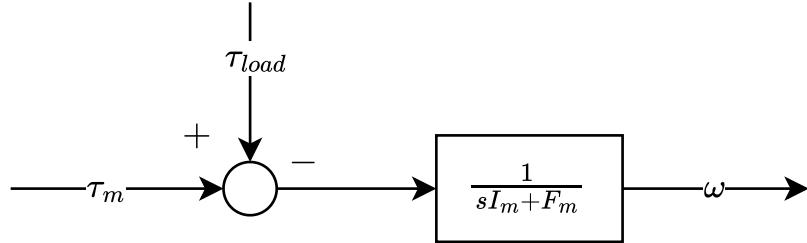
$$\frac{1}{sL_a + R_a} \quad (2.20)$$



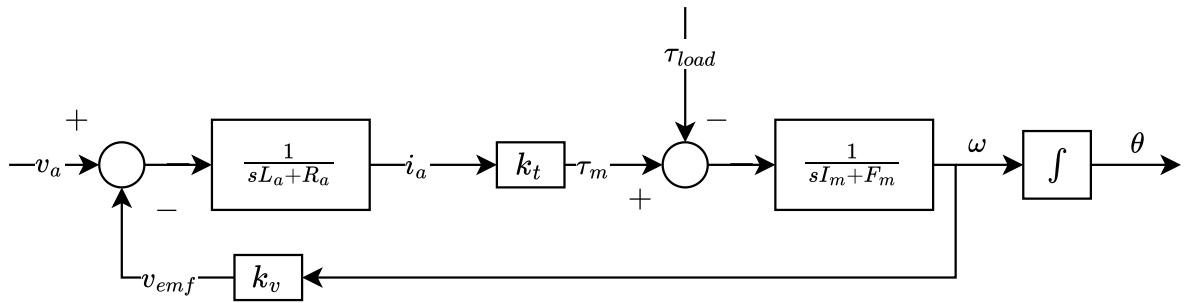
By analogous steps, we find that the transfer function for the mechanical sub model is

$$\frac{1}{sI_m + F_m} \quad (2.21)$$

where the input is the torque  $\tau_m$  from which we subtract the torque load  $\tau_{load}$ .



We can chain the models since  $\tau_m = k_t i_a$



**Proposition 1** *The two constants  $k_v$  and  $k_t$  are equals*

$$k_v = k_t. \quad (2.22)$$

*Proof:* This is trivially true. The electric power generated by the EM sub model is

$$v_{emf} i_a \quad (2.23)$$

the mechanical power is

$$\tau_m \omega \quad (2.24)$$

since the conservation of power holds in energy transformations:

$$v_{emf} i_a = \tau_m \omega \quad (2.25)$$

applying equations (2.5),(2.7) we get

$$v_{emf} i_a = \tau_m \omega \implies \quad (2.26)$$

$$k_v \omega i_a = k_t i_a \omega \implies \quad (2.27)$$

$$k_v = k_t. \quad (2.28)$$

■

## 2.2 Transmissions

We make use of motion transmission gears to:

- optimize the transfer of mechanical torque from actuating motors to driven links
- quantitative transformation of torque/velocity, since these two can be controlled, by letting constant the ratio
- qualitative transformation from rotational motion to linear (and vice versa)

- allow improvement of static and dynamic performance by reducing the weight of the actual robot structure in motion (locating the motors remotely, closer to the robot base)

There are different kinds of transmissions in robotics:

- spur/bevel gears:** modify direction and/or translate axis of motor displacement.
  - Problems:* deformations, backlash
- lead screws, worm gearing:** convert rotational into translational motion (prismatic joints)
  - Problems:* friction, elasticity, backlash
- toothed belts and chains:** dislocate the motor with respect to the joint axis
  - Problems:* belts are subject to compliance and larger mass at high speed can induce vibrations to the chains.
- harmonic drives:** compact, in-line, power efficient, with high reduction ratio (up to 150-200:1)
  - Problems:* elasticity.
- transmission shafts:** long, inside the links, with flexible couplings for alignment



### 2.2.1 Harmonic Drives

A Harmonic Drive, also known as a Strain Wave Gear, is a high-precision, compact gear system capable of achieving very high reduction ratios in a single stage, with near-zero backlash. They are widely used in robotics and aerospace.

The core of a Harmonic Drive mechanism involves the elastic deflection of a flexible gear component. It consists of three main components:

1. **Wave Generator (Input):** This is typically an elliptical plug fitted with a specialized, thin-raced ball bearing. It serves as the input element, usually attached to the motor shaft. As it rotates, its elliptical shape is transmitted to the next component.
2. **Flexspline (Output):** A thin-walled, flexible metal cup with external gear teeth around its open edge. When the wave generator is inserted, the flexspline conforms to the elliptical shape, causing its teeth to engage with the circular spline at two opposite regions (the major axis of the ellipse) and disengage at the minor axis. The output shaft is typically connected to the rigid base of this cup.

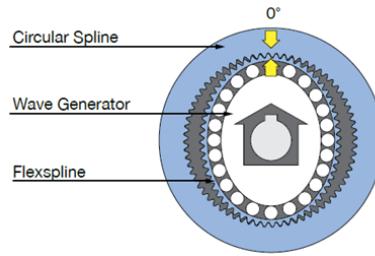
3. Circular Spline (Fixed): A rigid ring with internal teeth that meshes with the flexspline's external teeth. The key is that the Circular Spline has two more teeth than the Flexspline. It is usually fixed to the housing.

Given

- $N_{CS}$  : number of inner teeth
- $N_{FS}$  : number of outer teeth,  $N_{CS} = N_{FS} + 2$  since the Circular Spline typically has two more teeth than the Flexspline

the reduction ratio  $n$  is

$$n = \frac{N_{FS}}{N_{CS} - N_{FS}} = \frac{N_{FS}}{2} \quad (2.29)$$



The video in the following link:

<https://www.youtube.com/watch?v=bzRh672peNk>

shows in details how a harmonic drives works.

### 2.2.2 Reduction Ratio

We can see the transmission gear system as a black box that takes in input mechanical power  $P_m$  from the motors, and gives in output mechanical power to the links  $P_u$ , the mechanical power in this context is given by the product of the torque generated by the motors and the angular speed:

$$P_m = \tau_m \dot{\theta}_m \quad (2.30)$$

$$P_u = \tau_u \dot{\theta}_u \quad (2.31)$$

there are some power that are dissipated due to the friction:

$$P_u = P_m - P_d, \quad P_d > 0 \quad (2.32)$$

in the ideal case there are no friction:

$$P_m = \tau_m \dot{\theta}_m = \tau_u \dot{\theta}_u = P_u \quad (2.33)$$

every transmission gear have a reduction ratio  $n$ , such that

$$\dot{\theta}_m = n \dot{\theta}_u \quad (2.34)$$

$$\tau_u = n \tau_m \quad (2.35)$$

reducing the angular speed will increase the torque and vice versa.

Let's assume that we would like to provide a desired angular acceleration  $\ddot{\theta}_u = a$  to the link through the transmission gear, we have

$$\ddot{\theta}_u = a \implies \ddot{\theta}_m = na$$

Let

- $J_m$  to be the moment of inertia of the motor
- $J_u$  is the moment of inertia of the load

to achieve  $\ddot{\theta}_u = a$  the motor should provide a torque

$$\tau_m = J_m \ddot{\theta}_m + \frac{1}{n} (J_u \ddot{\theta}_u) = \left( J_m n + \frac{J_u}{n} \right) a \quad (2.36)$$

we want to choose the ratio  $n$  that minimize the sufficient torque  $\tau_m$  to achieve  $\ddot{\theta}_u = a$ . We set

$$\frac{\partial \tau_m}{\partial n} = 0 \implies \quad (2.37)$$

$$\left( J_m + \frac{J_u}{n^2} \right) a = 0 \implies \quad (2.38)$$

$$n = \sqrt{\frac{J_u}{J_m}} \quad (2.39)$$

## 2.3 Sensors

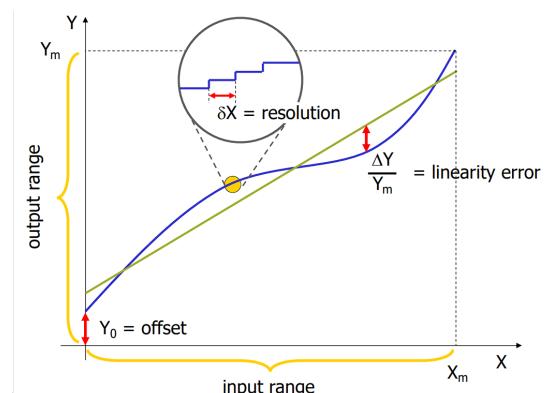
A measurement system should be **accurate**, the measured values should agree with the reference standard (usually given in ideal cases). Consecutive measurements of the same constant input quantity should reproduce similar measurement output (**repeatability**), and it should be **stable**, by keeping the same measuring characteristics over time. Usually

- better components leads to better repeatability
- calibration leads to high accuracy



There are other properties of a measurement system:

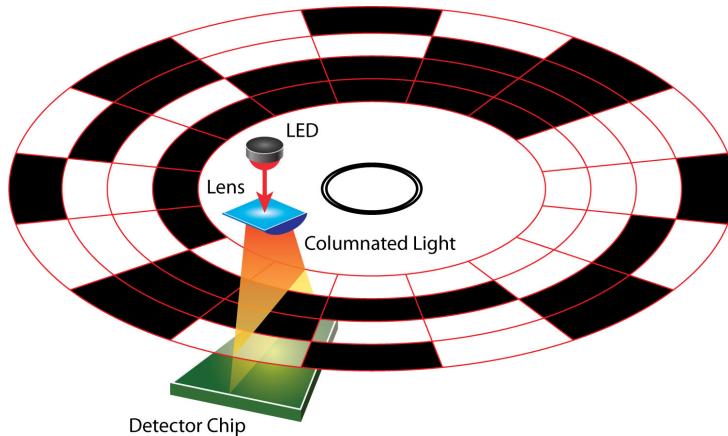
- the linearity error is the maximum deviation of the measured output from the straight line that best fits the real characteristics, this is the "error" of the measurement system if we assume that the process that we are measuring produces output that are linear in the input.
- the offset error is the value of the measured output for the null input
- the resolution error is maximum variation (measured in %) of the input quantity producing no variation of the measured output



### 2.3.1 Absolute and Incremental Encoders

The **absolute encoders** are angular position sensors, highly used in robotics, these are optical sensor, that uses light pulses to measure the absolute angular position. An encoder works in the following way:

- there are a rotating optical disk, with alternate transparent and opaque sectors on multiple concentric tracks
- a light beam are emitted, it passes trough these opaque and transparent sensor and then hits a photo-receiver
- the intensity of the lights received depends on the tracks, in this way, the intensity is a measure of the position of the rotating part
- light pulses are converted into electrical pulses, electronically processed and transmitted in output



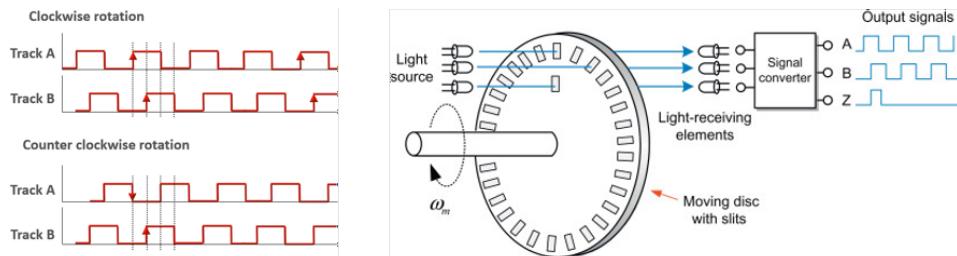
We denote  $N_t$  the number of tracks, there is a digital encoding of the absolute position, stored in  $N_t$  bits. The resolution of an absolute encoder is

$$\frac{360}{2^{N_t}} \text{ degrees} \quad (2.40)$$

The alternation of opaque and transparent sectors leads to  $2^{N_t}$  possible combination that can be detected.

The **incremental encoders** works differently, they have only 3 tracks, usually called  $A$ ,  $B$  and  $Z$ , alternating transparent and opaque areas. Instead of measuring the angular position, the lights detects angular displacements, each displacement (change of the light received) is a pulse, we denote  $N_e$  the number of pulses per turn.

- The first two tracks  $A$  and  $B$  of the encoder are "shifted" and necessary to detect the rotation direction.
- The lights passes trough the two tracks and are received as two signals (one for each tracks).



The third track  $Z$  is used to define a zero reference position with a reset of the counter of the pulses. We define one **electrical degree** as

$$\frac{1 \text{ mechanical degree}}{N_e} \quad (2.41)$$

360 electrical degrees (one full electrical turn) corresponds to  $\frac{360}{N_e}$  mechanical degrees. The two signals  $A$  and  $B$  are shifted of  $90^\circ$  electrical degrees. In that case, the signals  $A$  and  $B$  are always out of phase of  $90$  degrees, if  $A$  anticipates  $B$ , the rotation direction is clockwise, else, if  $B$  anticipates  $A$ , is clockwise.

The resolution of the incremental encoder depends on the number of slits on the rotating disc.

- since the incremental encoder can count the pulses per second, we can count the pulses  $C$  every  $\Delta t$  seconds. The "pulses speed" is

$$f = \frac{C}{\Delta T}$$

- the resolution of the encoder, denoted  $PPR$  is the number of pulses per each turn.
- we can compute the degrees for each pulses as

$$AR = \frac{360^\circ}{PPR}$$

- at this point  $f$  is the number of pulses per second,  $AR$  is the degrees per pulses, angular velocity is the number of degrees per second:

$$\omega = f \cdot AR = \frac{C}{\Delta T} \cdot \frac{360^\circ}{PPR} \frac{\text{degrees}}{\text{seconds}} \quad (2.42)$$

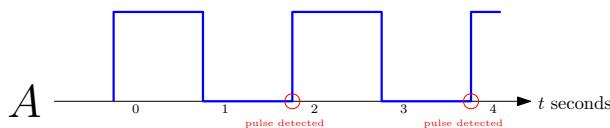
For example, if an encoder have a resolution of  $PPR = 1000$  (every 1000 pulses, the motor performs one complete turn), the angular resolution is  $\frac{360^\circ}{1000} = 0.36^\circ$ , if in an interval of  $\Delta t = 0.1$  seconds, we count  $C = 200$  pulses, the angular speed of the motor is

$$\frac{200}{0.1} \frac{360}{1000} = 720 \frac{\text{degrees}}{\text{seconds}} \quad (2.43)$$

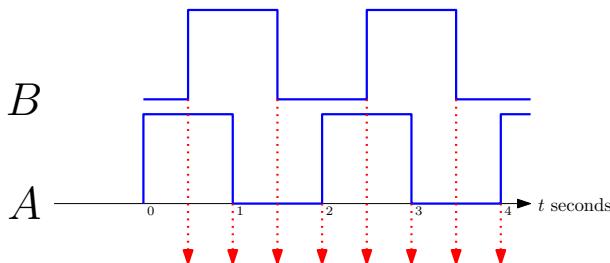
So every second the motor performs two complete revolutions.

### 2.3.2 Quadrature

For now, we described the "pulses" per second, in details, the encoder counts the rising edges of the signal  $A$ :



in the image, in the interval  $t \in [0, 4]$ , 2 pulses are detected, so the velocity is  $\frac{2}{4}$  pulses per seconds. The angular resolution  $AR$  is computed as the number of pulses (rising edges of the signal  $A$ ) per round. We can increase the angular resolution, instead of counting the rising edges of  $A$ , we can count the rising and descending edges of the two signals  $A$  and  $B$ , increasing the angular resolution by 4 times.



In that case instead of counting 2 pulses, we detected 8 pulses by the two signals, in that case the angular resolution become

$$AR = \frac{360^\circ}{PPR \cdot 4} \quad (2.44)$$

Since the pulses per revolution are 4 times greater than the PPR without counting the two signals. We recap the general procedure:

1. Every incremental encoder have a fixed number of slits, we denote  $PPR$  (pulses per revolution) this number. This is the number of square waves generated for each complete revolution of the motor.
2. The angular resolution depends on the quadrature

- if we count as pulses the rising edges of the signal  $A$ , we have a  $D = 1$  encoding
  - if we count as pulses the rising edges of  $A$  and  $B$ , we have  $D = 2$  as encoding
  - if we count as pulses the rising and descending edges of  $A$  and  $B$ , we have  $D = 4$  as encoding
3. the angular resolution (degrees over pulses) is

$$AR = \frac{360^\circ}{PPR \cdot D} \quad (2.45)$$

CHAPTER

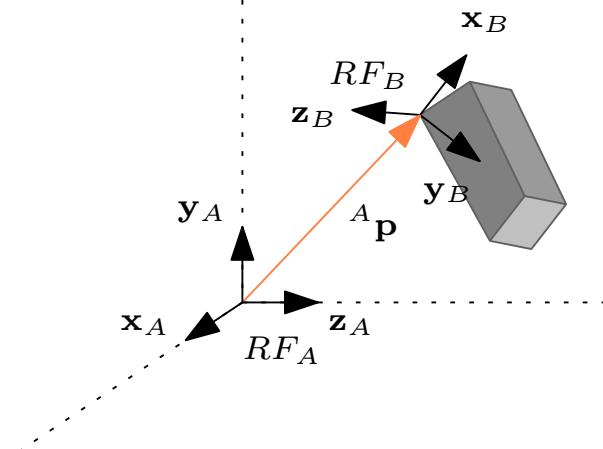
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3

# DESCRIBING ORIENTATION

### 3.1 Position and Orientation of a Rigid Body

We have a base reference frame  $RF_A = (\mathbf{x}_A, \mathbf{y}_A, \mathbf{z}_A)$ , and a rigid body  $B$  in the space, let's say that there is a fixed reference frame  $RF_B = (\mathbf{x}_B, \mathbf{y}_B, \mathbf{z}_B)$  attached to a point of  $B$ , a vector  ${}^A\mathbf{p} \in \mathbb{R}^3$  can describe the position of the whole body respect to the frame  $RF_A$ , and a  $3 \times 3$  matrix is sufficient to describe the orientation of that body.



The orientation of the reference frame  $RF_B$  respect to  $RF_A$  is described by a matrix  $R$  of the following type

- $R$  is orthonormal, the columns vector are orthogonal from each other and have unitary length.
- $R^T = R^{-1} \implies RR^T = I$
- $\det R = 1$

These are the matrices in the orthogonal group  $SO(3)$ . More precisely, we denote  ${}^A R_B$  the matrix that describe the orientation of  $RF_B$  respect to  $RF_A$ .

$${}^A R_B = \begin{pmatrix} {}^A \mathbf{x}_B & {}^A \mathbf{y}_B & {}^A \mathbf{z}_B \end{pmatrix} \quad (3.1)$$

the components of  ${}^A R_B$  are the direction cosines of the axes of  $RF_B$  with respect to  $RF_A$ . In general, let's say the reference vector of two different frames are

$$(\mathbf{x}_A, \mathbf{y}_A, \mathbf{z}_A) \quad (3.2)$$

$$(\mathbf{x}_B, \mathbf{y}_B, \mathbf{z}_B) \quad (3.3)$$

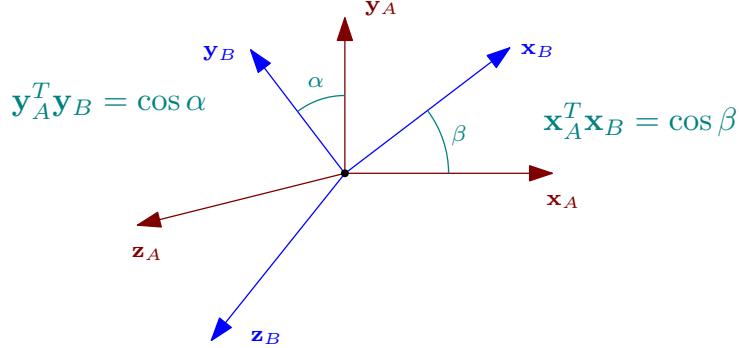
the matrix  ${}^A R_B$  is the following

$${}^A R_B = \begin{pmatrix} \mathbf{x}_A^T \mathbf{x}_B & \mathbf{x}_A^T \mathbf{y}_B & \mathbf{x}_A^T \mathbf{z}_B \\ \mathbf{y}_A^T \mathbf{x}_B & \mathbf{y}_A^T \mathbf{y}_B & \mathbf{y}_A^T \mathbf{z}_B \\ \mathbf{z}_A^T \mathbf{x}_B & \mathbf{z}_A^T \mathbf{y}_B & \mathbf{z}_A^T \mathbf{z}_B \end{pmatrix} \quad (3.4)$$

since all the basis vectors are unitary, and

$$\mathbf{u}^T \mathbf{v} = \|\mathbf{u}\| \cdot \|\mathbf{v}\| \cdot \cos \alpha \quad (3.5)$$

we have that each component represent the angle between the two considered axis.



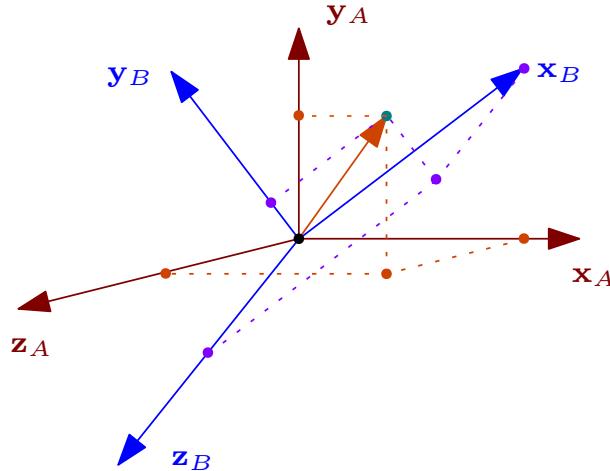
for three different reference frame  $RF_i, RF_j, RF_k$ , the **chain rule** holds:

$${}^k RF_i {}^i RF_j = {}^k RF_j \quad (3.6)$$

so, if  ${}^A \mathbf{p}$  is a vector in the frame reference  $RF_A$ , the same vector in the reference frame  $RF_B$  is

$${}^B \mathbf{p} = {}^B RF_A {}^A \mathbf{p} \quad (3.7)$$

so the matrix is used for the **change of coordinates** between the two frames with different orientation.



The position of a rigid body can be expressed in cartesian, cylindrical or spherical coordinates. A point in  $\mathbb{R}^3$  in cylindrical coordinates is described by

$$r, \theta, h \quad (3.8)$$

where the transformation from cylindrical to cartesian is

$$x = r \cos \theta \quad (3.9)$$

$$y = r \sin \theta \quad (3.10)$$

$$z = h \quad (3.11)$$

the inverse transformation (assuming  $r \geq 0$ ) is

$$r = \sqrt{x^2 + y^2} \quad (3.12)$$

$$\theta = \text{atan2}(y, x) \quad (3.13)$$

$$h = z \quad (3.14)$$

The  $\text{atan2}(y, x)$  function is a two-argument arctangent that returns the angle  $\theta$  in standard position whose terminal side passes through the point  $(x, y)$ , correctly placing the angle in the correct quadrant ( $\pi$  to  $\pi$  or  $0$  to  $2\pi$ ). Is defined as follows;

$$\text{atan2}(y, x) = \begin{cases} \text{atan}(\frac{y}{x}) & x > 0 \\ \pi + \text{atan}(\frac{y}{x}) & y \geq 0, x < 0 \\ -\pi + \text{atan}(\frac{y}{x}) & y < 0, x < 0 \\ \frac{\pi}{2} & y > 0, x = 0 \\ -\frac{\pi}{2} & y < 0, x = 0 \\ \text{undefined} & y = 0, x = 0 \end{cases} \quad (3.15)$$

We saw that the rotation matrices can describes the change of coordinates between two frames, and the orientation of a given frame with respect to another. These matrices can also describes the rotation of a vector in  $\mathbb{R}^3$ , let's consider a rotation around the  $z$  axis. We have a vector  $\mathbf{v}$  that lies in the  $xy$  plane, with coordinates

$$\mathbf{v} = \begin{pmatrix} x \\ y \\ z \end{pmatrix} = \begin{pmatrix} \|\mathbf{v}\| \cos \alpha \\ \|\mathbf{v}\| \sin \alpha \\ z \end{pmatrix}$$

where  $\alpha$  is the angle between  $\mathbf{v}$  and the  $x$  axis. We rotate  $\mathbf{v}$  by  $\theta$  radiant around the  $z$  axis, obtaining a new vector  $\mathbf{v}'$  with coordinates

$$\mathbf{v}' = \begin{pmatrix} \|\mathbf{v}\| \cos(\alpha + \theta) \\ \|\mathbf{v}\| \sin(\alpha + \theta) \\ z \end{pmatrix} = \begin{pmatrix} x \cos \theta - y \sin \alpha \\ x \sin \theta + y \cos \alpha \\ z \end{pmatrix}$$



Notice how the relation between  $\mathbf{v}$  and  $\mathbf{v}'$  is given by a matrix  $\mathbf{v}' = R_z(\theta)\mathbf{v}$  called the **elementary rotation around  $z$**  by  $\theta$  radiant.

$$R_z(\theta) = \begin{pmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{pmatrix} \quad (3.16)$$

similarly, are defined elementary rotation around  $x$  and  $y$  too:

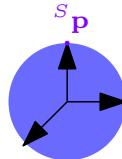
$$R_x(\theta) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta \\ 0 & \sin \theta & \cos \theta \end{pmatrix} \quad R_y(\theta) = \begin{pmatrix} \cos \theta & 0 & \sin \theta \\ 0 & 1 & 0 \\ -\sin \theta & 0 & \cos \theta \end{pmatrix} \quad (3.17)$$

So an orthonormal matrix have 3 possible interpretations:

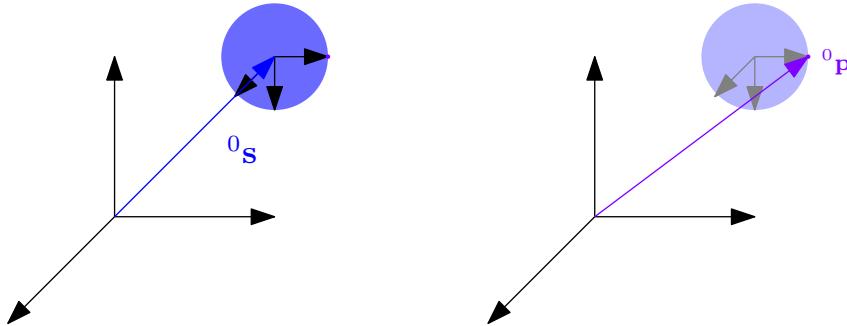
- it can describe the orientation of a rigid body with respect to a reference frame
- it can describe the change of coordinates between two different frames one rotated respect to the other
- it can describe the rotation operator on a vector.

### Example

Let's consider a sphere of radius 1 as a rigid body, we have a reference frame  $RF_S$  for the sphere fixed in the center, clearly the top pole in that frame is in position  ${}^S\mathbf{p} = (0 \ 1 \ 0)^T$ .



We have a base frame  $RF_0$  with the canonical base  $\mathbf{e}_x, \mathbf{e}_y, \mathbf{e}_z$ , the sphere is placed in position  $(3 \ 3 \ 0)^T$ , we denote  ${}^0\mathbf{s}$  the position of the frame  $RF_S$  respect to  $RF_0$ . The sphere, is also rotated by 90 degrees clock wise around the  $z$  axis.



to find the position of the north pole  $\mathbf{p}$  respect to  $RF_0$ , we have to apply the rotation matrix  $R_z(-\pi/2)$  to  ${}^S\mathbf{p}$  and consider the translation.

$${}^0\mathbf{p} = R_z(-\pi/2){}^S\mathbf{p} + {}^0\mathbf{s} = \quad (3.18)$$

$$\begin{pmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} + \begin{pmatrix} 3 \\ 3 \\ 0 \end{pmatrix} = \begin{pmatrix} 4 \\ 3 \\ 0 \end{pmatrix}. \quad (3.19)$$

Let's consider 4 reference frames  $RF_0, RF_1, RF_2, RF_3$ , with different orientations, we have 3 matrix

$${}^0R_1, {}^1R_2, {}^2R_3$$

let's consider a position  $\mathbf{p}$ , in the coordinate system of  $RF_3$ , it's denoted  ${}^3\mathbf{p}$ , to express this position in  $RF_0$  we can consider the matrix

$${}^0R_1 {}^1R_2 {}^2R_3 = {}^0R_3$$

and we have

$${}^0\mathbf{p} = {}^0R_3 {}^3\mathbf{p}$$

by getting this matrix products, we perform in total 63 products an 42 summations, we may calculate  ${}^0\mathbf{p}$  by deriving  ${}^1\mathbf{p}$  and  ${}^2\mathbf{p}$

$${}^2\mathbf{p} = {}^2R_3 {}^3\mathbf{p} \quad (3.20)$$

$${}^1\mathbf{p} = {}^1R_2 {}^2\mathbf{p} \quad (3.21)$$

$${}^0\mathbf{p} = {}^0R_1 {}^1\mathbf{p} \quad (3.22)$$

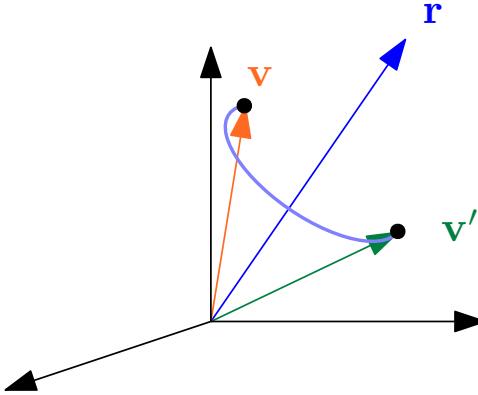
in this case, we perform in total 27 products an 18 summations, this is computationally better, in case we have to change the coordinates of multiple vector, is convenient to calculate the matrix  ${}^0R_3$ .

## 3.2 Generalizing Rotation

We defined the basic rotation along the three axis by an angle  $\theta$

$$R_x(\theta), R_y(\theta), R_z(\theta) \quad (3.23)$$

we want to generalize this concept by considering a rotation of  $\theta$  radians along an arbitrary direction  $\mathbf{r}$  (with  $\|\mathbf{r}\| = 1$ ).



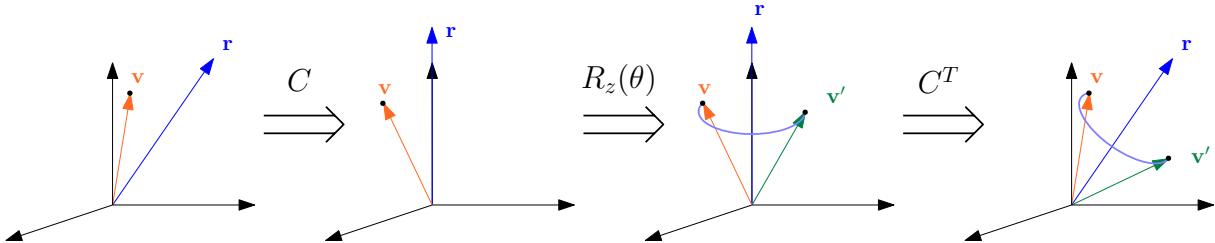
Given  $\mathbf{r}, \theta$ , we want to find the rotation matrix  $R(\theta, \mathbf{r})$  that describes the rotation of a vector of  $\theta$  radians along  $\mathbf{r}$ , or the change of coordinates from a reference frame that is rotated in that orientation respect to the base frame.

$$\mathbf{v}' = R(\theta, \mathbf{r})\mathbf{v} \quad (3.24)$$

### 3.2.1 Direct Problem

We have to calculate  $R(\theta, \mathbf{r}) \in SO(3)$  given  $\theta, \mathbf{r}$ . We can apply the following process:

1. We consider a transformation denoted  $C$  that change the coordinate of our system, by making to set  $\mathbf{r}$  aligned with the axis  $z$ .
2. We consider the rotation along the  $z$  axis by  $\theta$  radians.
3. We consider the inverse transformation of  $C$  (since  $C \in SO(3)$ ,  $C^{-1} = C^T$ ).



In fact, we are looking for a rotation matrix  $C$  such that

$$R(\theta, \mathbf{r}) = CR_z(\theta)C^T \quad (3.25)$$

decomposing  $R(\theta, \mathbf{r})$  in a sequence of three rotations. It's relevant to know that the third columns of  $C$  is the vector  $\mathbf{r}$

$$C = \begin{pmatrix} \mathbf{n} & \mathbf{s} & \mathbf{r} \end{pmatrix} \quad (3.26)$$

Since  $C \in SO(3)$ , the vectors  $\mathbf{n}, \mathbf{s}, \mathbf{r}$  are orthogonal, and it holds that

$$\mathbf{n} \times \mathbf{s} = \mathbf{r}. \quad (3.27)$$

Now we show how to get to  $C$ , by the fact that the column vectors are orthogonal, the inner product  $C^T C$  is the identity matrix

$$\begin{pmatrix} \mathbf{n}^T \\ \mathbf{s}^T \\ \mathbf{r}^T \end{pmatrix} \begin{pmatrix} \mathbf{n} & \mathbf{s} & \mathbf{r} \end{pmatrix} = I \quad (3.28)$$

the **outer product** of two vectors  $\mathbf{v}, \mathbf{u} \in \mathbb{R}^n$  is defined as follows

$$\mathbf{v}\mathbf{u}^T \in Mat(n \times n) \quad (3.29)$$

let  $\mathbf{e}_i$  to be a vector of the canonical basis, the outer product

$$\mathbf{e}_i \mathbf{e}_j^T \quad (3.30)$$

is the  $n \times n$  matrix with all entries equal to zeros, except for the  $i, j$  entry, that is one, for example:

$$\mathbf{e}_1 \mathbf{e}_3^T = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} (0 \ 0 \ 1) = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad (3.31)$$

We define the **dyadic expansion** of an  $n \times n$  matrix  $A$  as the sum of  $n^2$  matrices, in term of the entrance of that matrix

$$A = \sum_{i=1}^n \sum_{j=1}^n a_{ij} \mathbf{e}_i \mathbf{e}_j^T \quad (3.32)$$

such that  $a_{ij}$  is the  $(i, j)$  entry of  $A$ . Note how

$$\sum_{i=1}^n \sum_{j=1}^n a_{ij} \mathbf{e}_i \mathbf{e}_j^T = IAI^T \quad (3.33)$$

the product of three matrices  $B, A, B^T$  can be expressed in dyadic expansion as

$$BAB^T = \sum_{i=1}^n \sum_{j=1}^n a_{ij} \mathbf{b}_i \mathbf{b}_j^T \quad (3.34)$$

Since  $C \in SO(3)$ ,  $CC^T = I$ , with dyadic expansion:

$$CC^T = I = \begin{pmatrix} \mathbf{n}^T \\ \mathbf{s}^T \\ \mathbf{r}^T \end{pmatrix} \begin{pmatrix} \mathbf{n} & \mathbf{s} & \mathbf{r} \end{pmatrix} = \begin{pmatrix} \mathbf{n}^T \\ \mathbf{s}^T \\ \mathbf{r}^T \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \mathbf{n} & \mathbf{s} & \mathbf{r} \end{pmatrix} = \mathbf{n}\mathbf{n}^T + \mathbf{s}\mathbf{s}^T + \mathbf{r}\mathbf{r}^T \quad (3.35)$$

the outer product of different vectors cancels out since are orthogonal from each other. We have that

$$\mathbf{n}\mathbf{n}^T + \mathbf{s}\mathbf{s}^T + \mathbf{r}\mathbf{r}^T = I. \quad (3.36)$$

**Definition 3** A matrix  $S \in Mat(n \times n)$  is **skew symmetric** if

$$S^T = -S \quad (3.37)$$

The diagonal of a skew symmetric matrix have null entries. There are some notable properties:

- Any square matrix  $A$  can be decomposed in a sum of two matrices, one symmetric, and one skew symmetric:

$$A = \frac{A + A^T}{2} + \frac{A - A^T}{2} \quad (3.38)$$

where  $\frac{A+A^T}{2}$  is symmetric and  $\frac{A-A^T}{2}$  is skew symmetric.

- In quadratic forms, only the symmetric part of a matrix influences the function:

$$\mathbf{x}^T A \mathbf{x} = \frac{1}{2} (\mathbf{x}^T A \mathbf{x} + (\mathbf{x}^T A \mathbf{x})^T) = \frac{1}{2} (\mathbf{x}^T A \mathbf{x} + \mathbf{x}^T A^T \mathbf{x}) = \mathbf{x}^T \frac{A + A^T}{2} \mathbf{x} \quad (3.39)$$

so only the symmetric part matters

$$\mathbf{x}^T A \mathbf{x} = \mathbf{x}^T \frac{A + A^T}{2} \mathbf{x} \quad (3.40)$$

if follows that if  $S$  is skew symmetric

$$\mathbf{x}^T S \mathbf{x} = \mathbf{0}. \quad (3.41)$$

The canonical form of a skew symmetric matrix is the following

$$S = \begin{pmatrix} 0 & -v_z & v_y \\ v_z & 0 & -v_x \\ -v_y & v_x & 0 \end{pmatrix} \quad (3.42)$$

so to describe a matrix  $S$  is sufficient a single vector

$$\mathbf{v} = \begin{pmatrix} v_x \\ v_y \\ v_z \end{pmatrix} \quad (3.43)$$

in this way, given an arbitrary vector  $\mathbf{v} \in \mathbb{R}^n$ , we can construct the associated skew symmetric matrix  $S(\mathbf{v}) \in Mat(n \times n)$ .

**Proposition 2** *Given two vectors  $\mathbf{v}, \mathbf{u}$ , the dot product  $\mathbf{v} \times \mathbf{u}$  is equal to the product from the skew symmetric associated matrix  $S(\mathbf{v})$  and  $\mathbf{u}$ :*

$$\mathbf{v} \times \mathbf{u} = S(\mathbf{v})\mathbf{u} \quad (3.44)$$

Since  $\mathbf{v} \times \mathbf{u} = -\mathbf{u} \times \mathbf{v}$  and  $-\mathbf{u} \times \mathbf{v} = -S(\mathbf{u})\mathbf{v}$  it follows that

$$S(\mathbf{v})\mathbf{u} = S^T(\mathbf{u})\mathbf{v}. \quad (3.45)$$

Let's go back to the original problem, given that  $R(\theta, \mathbf{r}) = CR_z(\theta)C^T$ , we want to derive  $C$ . Expanding the form we can see how

$$\begin{pmatrix} \mathbf{n} & \mathbf{s} & \mathbf{r} \end{pmatrix} \begin{pmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \mathbf{n}^T \\ \mathbf{s}^T \\ \mathbf{r}^T \end{pmatrix} = \quad (3.46)$$

$$\mathbf{rr}^T + (\mathbf{nn}^T + \mathbf{ss}^T) \cos \theta + (\mathbf{sn}^T - \mathbf{ns}^T) \sin \theta \quad (3.47)$$

Since  $CC^T = \mathbf{rr}^T + \mathbf{nn}^T + \mathbf{ss}^T = I$ , it implies that  $\mathbf{nn}^T + \mathbf{ss}^T = I - \mathbf{rr}^T$ .

$$\mathbf{rr}^T + (\mathbf{nn}^T + \mathbf{ss}^T) \cos \theta + (\mathbf{sn}^T - \mathbf{ns}^T) \sin \theta = \quad (3.48)$$

$$\mathbf{rr}^T + (I - \mathbf{rr}^T) \cos \theta + (\mathbf{sn}^T - \mathbf{ns}^T) \sin \theta \quad (3.49)$$

It's important to notice that  $\mathbf{r}$  is orthogonal to  $\mathbf{s}$  and  $\mathbf{n}$ , and all three vectors are unit 1, it has to be that

$$\mathbf{n} \times \mathbf{s} = \mathbf{r} \quad (3.50)$$

so

$$\mathbf{n} \times \mathbf{s} = \begin{pmatrix} n_y s_z - s_y n_z \\ n_z s_x - s_z n_x \\ n_x s_y - s_x n_y \end{pmatrix} = \begin{pmatrix} r_x \\ r_y \\ r_z \end{pmatrix} = \mathbf{r} \quad (3.51)$$

we can see how

$$\mathbf{sn}^T - \mathbf{ns}^T = \begin{pmatrix} 0 & -r_z & r_y \\ r_z & 0 & -r_x \\ -r_y & r_x & 0 \end{pmatrix} = S(\mathbf{r}) \quad (3.52)$$

So  $\mathbf{sn}^T - \mathbf{ns}^T$  is equal to the skew symmetric matrix associated to  $\mathbf{r}$ . Given these propositions, the following theorem holds.

**Theorem 1** *Given a vector  $\mathbf{r} \in \mathbb{R}^3$  and an angle  $\theta$ , the rotation matrix that describes a rotation of  $\theta$  radians along the vector  $\mathbf{r}$  is*

$$R(\theta, \mathbf{r}) = \mathbf{rr}^T + (I - \mathbf{rr}^T) \cos \theta + S(\mathbf{r}) \sin \theta. \quad (3.53)$$

By developing all the computation we can expand the form of that matrix:

$$R(\theta, \mathbf{r}) = \begin{pmatrix} r_x^2(1 - \cos \theta) + \cos \theta & r_x r_y(1 - \cos \theta) - r_z \sin \theta & r_x r_z(1 - \cos \theta) + r_y \sin \theta \\ r_x r_y(1 - \cos \theta) + r_z \sin \theta & r_y^2(1 - \cos \theta) + \cos \theta & r_y r_z(1 - \cos \theta) - r_x \sin \theta \\ r_x r_z(1 - \cos \theta) - r_y \sin \theta & r_y r_z(1 - \cos \theta) + r_x \sin \theta & r_z^2(1 - \cos \theta) + \cos \theta \end{pmatrix} \quad (3.54)$$

The trace of that matrix is  $1 + 2 \cos \theta$ :

$$\text{Trace}(R(\theta, \mathbf{r})) = 1 + 2 \cos \theta \quad (3.55)$$

and we have that

$$R(\theta, \mathbf{r}) = R(-\theta, -\mathbf{r}) = R^T(-\theta, \mathbf{r}) \quad (3.56)$$

There are some properties of  $R(\theta, \mathbf{r})$ :

- Since is the rotation along  $\mathbf{r}$ , is invariant to  $\mathbf{r}$

$$R(\theta, \mathbf{r})\mathbf{r} = \mathbf{r} \quad (3.57)$$

- the map  $(\theta, \mathbf{r}) \rightarrow R(\theta, \mathbf{r})$  is not injective since  $R(\theta, \mathbf{r}) = R(-\theta, -\mathbf{r})$
- if  $\lambda_1, \lambda_2, \lambda_3$  are the eigenvalues of  $R(\theta, \mathbf{r})$ , the determinant is

$$\det R = \lambda_1 \lambda_2 \lambda_3 = 1 \quad (3.58)$$

the trace is

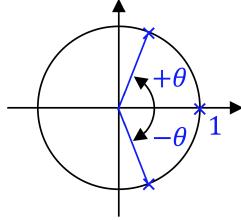
$$\lambda_1 + \lambda_2 + \lambda_3 = 1 + 2 \cos \theta \quad (3.59)$$

one of the eigenvalues of  $R$  is 1. Since  $\lambda_2 + \lambda_3 = 2 \cos \theta$  to find the other eigenvalues we can set the quadratic equation

$$\lambda^2 + 2 \cos \theta \lambda + 1 = 0 \quad (3.60)$$

the two complex roots are

$$e^{\pm i\theta} \quad (3.61)$$



Since  $R$  is orthonormal, all eigenvalues have unitary norm.

### 3.2.2 Inverse Problem

Given a rotation matrix  $R = (R_{ij})$ , we want to find the unit vector  $\mathbf{r}$  and the angle  $\theta$  such that

$$R(\theta, \mathbf{r}) = (R_{ij}) \quad (3.62)$$

Since the trace is  $R_{11} + R_{22} + R_{33} = 1 + 2 \cos \theta$ , a possible solution for  $\theta$  is

$$\theta = \arccos \frac{R_{11} + R_{22} + R_{33} - 1}{2} \quad (3.63)$$

this is not a good solution since  $\arccos \in [0, \pi]$ , and the function, when implemented, lacks of precision for  $\theta$  near to 0. We can consider from the data  $R$  that

$$R - R^T = \begin{pmatrix} 0 & R_{12} - R_{21} & R_{13} - R_{31} \\ R_{21} - R_{12} & 0 & R_{23} - R_{32} \\ R_{31} - R_{13} & R_{32} - R_{23} & 0 \end{pmatrix} = 2 \sin \theta \begin{pmatrix} 0 & -r_z & r_y \\ r_z & 0 & -r_x \\ -r_y & r_x & 0 \end{pmatrix} \quad (3.64)$$

and since  $\|\mathbf{r}\|$  we derive

$$\sin \theta = \pm \frac{1}{2} \sqrt{(R_{12} - R_{21})^2 + (R_{13} - R_{31})^2 + (R_{23} - R_{32})^2} \quad (3.65)$$

so we can use the atan2 function

$$\text{atan2}(\pm \frac{1}{2} \sqrt{(R_{12} - R_{21})^2 + (R_{13} - R_{31})^2 + (R_{23} - R_{32})^2}, R_{11} + R_{22} + R_{33} - 1) \quad (3.66)$$

if  $\sin \theta \neq 0$ ,  $\mathbf{r}$  is

$$\mathbf{r} = \frac{1}{2 \sin \theta} \begin{pmatrix} R_{32} - R_{23} \\ R_{13} - R_{31} \\ R_{21} - R_{12} \end{pmatrix} \quad (3.67)$$

if  $\sin \theta = 0$ , we have two cases

- if  $\theta = 0$ , there is no solution for  $\mathbf{r}$
- if  $\theta = \pm\pi$ , we have  $\sin \theta = 0$ ,  $\cos \theta = -1$  and we solve

$$R = 2\mathbf{r}\mathbf{r}^T - I \quad (3.68)$$

for  $\mathbf{r}$ .

### 3.2.3 Quaternion Representation

We can use quaternions to represent rotations, we will not enter in the algebraic details of the quaternions field, in this context, is sufficient to know that a quaternion is an element that can be described with a scalar and a vector in  $\mathbb{R}^3$

$$q = (\eta, \boldsymbol{\epsilon}) \quad (3.69)$$

$$\eta \in \mathbb{R} \quad (3.70)$$

$$\boldsymbol{\epsilon} \in \mathbb{R}^3 \quad (3.71)$$

We can represent a rotation along  $\mathbf{r}$  of  $\theta$  radians by considering the quaternion

$$q = \left( \cos \frac{\theta}{2}, \sin \frac{\theta}{2} \mathbf{r} \right) \quad (3.72)$$

notice how  $(\theta, \mathbf{r})$  and  $(-\theta, -\mathbf{r})$  are associated to the same quaternion. Given  $q$ , the rotation matrix is

$$R(q) = R((\eta, \boldsymbol{\epsilon})) = \begin{pmatrix} 2(\eta^2 + \epsilon_x^2) - 1 & 2(\epsilon_x \epsilon_y - \eta \epsilon_z) & 2(\epsilon_x \epsilon_z + \eta \epsilon_y) \\ 2(\epsilon_x \epsilon_y + \eta \epsilon_z) & 2(\eta^2 + \epsilon_y^2) - 1 & 2(\epsilon_y \epsilon_z - \eta \epsilon_x) \\ 2(\epsilon_x \epsilon_z - \eta \epsilon_y) & 2(\epsilon_y \epsilon_z + \eta \epsilon_x) & 2(\eta^2 + \epsilon_z^2) - 1 \end{pmatrix} \quad (3.73)$$

the inverse rotation of  $q = (\eta, \boldsymbol{\epsilon})$  is  $(\eta, -\boldsymbol{\epsilon})$ , and the null rotation is  $(1, \mathbf{0})$ . There is a binary operation on quaternions  $*$  such that

$$q_1 * q_2 = (\eta_1, \boldsymbol{\epsilon}_1) * (\eta_2, \boldsymbol{\epsilon}_2) = (\eta_1 \eta_2 - \boldsymbol{\epsilon}_1^T \boldsymbol{\epsilon}_2, \eta_1 \boldsymbol{\epsilon}_2 + \eta_2 \boldsymbol{\epsilon}_1 + \boldsymbol{\epsilon}_1 \times \boldsymbol{\epsilon}_2) \quad (3.74)$$

We also want to find the quaternion  $q$  associated to a given rotation matrix  $R = (R_{ij})$ . Summing the elements on the diagonal of the matrix shown in (3.73) we get

$$R_{11} + R_{22} + R_{33} = 4\eta^2 - 1 \quad (3.75)$$

the positive roots of this quadratic equation is the value of  $\eta$

$$\eta = \frac{1}{2} \sqrt{R_{11} + R_{22} + R_{33} + 1} \geq 0 \quad (3.76)$$

without entering in the details, from the equation (3.73) we can derive the values for  $\boldsymbol{\epsilon}$  as follows:

$$\epsilon_x = \frac{1}{2} \text{sign}(R_{32} - R_{23}) \sqrt{R_{11} - R_{22} - R_{33} + 1} \quad (3.77)$$

$$\epsilon_y = \frac{1}{2} \text{sign}(R_{13} - R_{32}) \sqrt{R_{22} - R_{11} - R_{33} + 1} \quad (3.78)$$

$$\epsilon_z = \frac{1}{2} \text{sign}(R_{21} - R_{12}) \sqrt{R_{33} - R_{11} - R_{22} + 1} \quad (3.79)$$

### 3.3 Minimal Representations of Orientation

We discussed many representation of rotation, quaternions, rotation axis and angle and rotation matrices, we want to describe an orientation with the minimum number of variables, indeed, the group  $SO(3)$  is a 3-manifold, to describe an element in  $SO(3)$  we need just three independent variables. We define three rotation along the base axis with three angles  $\alpha_1, \alpha_2, \alpha_3$ .

There are two ways to use 3 angles to describe a rotation, one along moving axis, and one along fixed axis.

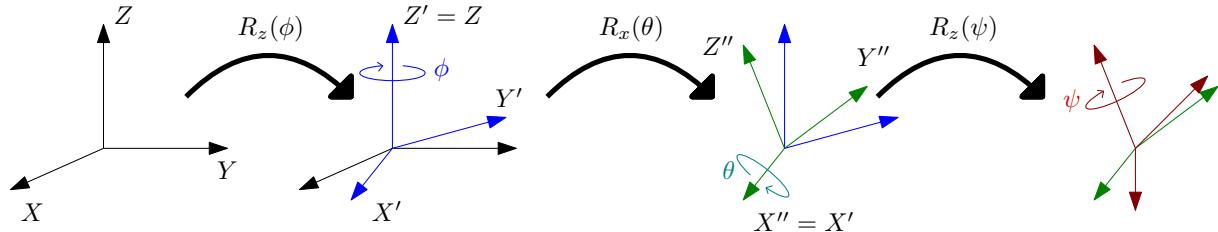
#### 3.3.1 Euler Angles

We describe a rotation as a triple of angles  $(\alpha_1, \alpha_2, \alpha_3)$ , each angle is associated to an axis  $X, Y$  or  $Z$ . The angle  $\alpha_1$  describes a rotation of the frame along his associated axis, this will provide a new set of axes  $X', Y', Z'$  with a different orientation respect to the initial one. The angle  $\alpha_2$  describes a rotation of the frame along his associated axis in the new frame  $X', Y', Z'$ , this will provide a new frame  $X'', Y'', Z''$ , and  $\alpha_3$  describes a rotation of the frame along his associated axis in this last frame.

There are 12 possible sequences of axes for a rotation, for example

- $XY'X''$  describes a rotation along  $X$ , then  $Y'$ , and then  $X''$ . We use the quotation mark ' do emphasizes the fact that the axis is part of a frame that has been rotated.
- $ZX'Z''$  describes a rotation along  $Z$ , then  $X'$ , and then  $Z''$ .

We exclude contiguous repetitions of axes, like  $XX'Z''$  or  $YZ'Z''$ . Let's consider an example, we have the three Euler angles  $(\phi, \theta, \psi)$  associated to the axes  $ZX'Z''$ .



The rotation matrix that describes this rotation is

$$R_z(\phi)R_x(\theta)R_z(\psi) \quad (3.80)$$

**Definition 4** Given three Euler angles  $\alpha_1, \alpha_2, \alpha_3$  and three axes

$$(a_1, a_2, a_3) : a_i \in \{x, y, z\}, a_1 \neq a_2, a_2 \neq a_3$$

the rotation matrix describing the rotation along these moving axes is

$$R_{a_1}(\alpha_1)R_{a_2}(\alpha_2)R_{a_3}(\alpha_3) \quad (3.81)$$

For the example with the axes  $ZX'Z''$ , the expanded version of the matrix is the following

$$R = \begin{pmatrix} \cos \phi \cos \psi - \sin \phi \cos \theta \sin \psi & -\cos \phi \sin \psi - \sin \phi \cos \theta \cos \psi & \sin \phi \sin \theta \\ \sin \phi \sin \psi + \cos \phi \cos \theta \sin \psi & -\sin \phi \sin \psi + \cos \phi \cos \theta \cos \psi & -\cos \phi \sin \theta \\ \sin \theta \sin \psi & \sin \theta \cos \psi & \cos \theta \end{pmatrix} \quad (3.82)$$

Given a rotation matrix  $R = (R_{ij})$ , we can derive the values for  $(\phi, \theta, \psi)$  by looking at the matrix in equation (3.82). Since

$$R_{13} = \sin \phi \sin \theta \quad (3.83)$$

$$R_{23} = -\cos \phi \sin \theta \quad (3.84)$$

we have that

$$R_{13}^2 + R_{23}^2 = \sin^2 \phi \sin^2 \theta + (-\cos \phi)^2 \sin^2 \theta = \sin^2 \theta (\sin^2 \phi + (-\cos \phi)^2) = \sin^2 \theta (\sin^2 \phi + \cos^2 \phi) = \sin^2 \theta \quad (3.85)$$

$$\sin^2 \theta (\sin^2 \phi + (-\cos \phi)^2) = \sin^2 \theta (\sin^2 \phi + \cos^2 \phi) = \sin^2 \theta \quad (3.86)$$

$$\Rightarrow \sin \theta = \pm \sqrt{R_{13}^2 + R_{23}^2} \quad (3.87)$$

and

$$R_{33} = \cos \theta \quad (3.88)$$

we can derive  $\theta$  with the atan2 function:

$$\theta = \text{atan2} \left( \pm \sqrt{R_{13}^2 + R_{23}^2}, R_{33} \right). \quad (3.89)$$

We have two possible values for  $\theta$  since we have to consider that  $\sin \theta = \pm \sqrt{R_{13}^2 + R_{23}^2}$ . We consider the case without singularities, where  $\sin \theta \neq 0$ , since  $R_{31} = \sin \theta \sin \psi$  and  $R_{32} = \sin \theta \cos \psi$ , we have that

$$\sin \psi = R_{31}/\sin \theta \quad (3.90)$$

$$\cos \psi = R_{32}/\sin \theta \quad (3.91)$$

$$\Rightarrow \psi = \text{atan2} \left( \frac{R_{31}}{\sin \theta}, \frac{R_{32}}{\sin \theta} \right). \quad (3.92)$$

By analogous steps, we can derive  $\phi$ :

$$\phi = \text{atan2} \left( \frac{R_{13}}{\sin \theta}, -\frac{R_{23}}{\sin \theta} \right). \quad (3.93)$$

Since we have two different values for  $\theta$ , this process provides two different triplets for the angles  $(\phi, \theta, \psi)$ . We have a singularity when

$$\theta = 0 \vee \theta = \pm \pi \quad (3.94)$$

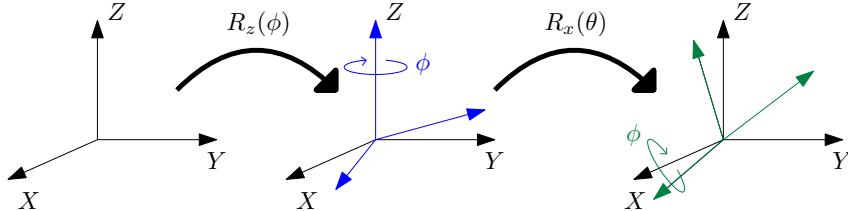
in that case,  $\sin \theta = 0$ , so we can't derive the values for  $\phi, \psi$ . When  $\sin \theta = 0$ , the rotation along the second axis  $X'$  is irrelevant, we can't derive fixed values for  $\psi, \phi$ , but we can determine only the sum  $\phi + \psi$  or the difference  $\phi - \psi$ . This fact should be intuitive, since we are not rotating along  $X'$ , we are doing two consecutive rotation along  $Z = Z''$ .

$$R = R_z(\phi + \psi)$$

This discussion concerns the specific case of rotations along  $ZX'Z''$ , analogous considerations can be made for all other cases.

### 3.3.2 Roll-Pitch-Yaw Angles

We describe a rotation as a triple of angles  $(\alpha_1, \alpha_2, \alpha_3)$ , each angle is associated to an axis  $X, Y$  or  $Z$ . The frame gets rotated always along fixed axis, so, if we have a rotation along  $ZXZ$  with angles  $(\phi, \theta, \psi)$ , the frame gets rotated by  $\phi$  along  $Z$ , then by  $\theta$  along the original axis  $X$  and not the rotated one  $X'$ .



In this case

1. We perform a rotation along  $Z$  with  $R_z(\phi)$
2. We perform a rotation along the original  $X$ , that is not coincident with the current axis  $X'$ , so the rotation matrix is  $C_1 R_x(\theta) C_1^T$

3. We perform a rotation along the original  $Z$ , that is not coincident with the current axis  $Z''$ , so the rotation matrix is  $C_2 R_z(\psi) C_2^T$

The final matrix is

$$R_z(\phi) C_1 R_x(\theta) C_1^T C_2 R_z(\psi) C_2^T \quad (3.95)$$

**Theorem 2**  $R_z(\phi) C_1 R_x(\theta) C_1^T C_2 R_z(\psi) C_2^T = R_z(\psi) R_x(\theta) R_z(\phi)$

*Proof:* The rotation  $C_1$  align the axis  $X'$  of the frame after the first rotation along  $Z$  with the original axis  $X$ , so

$$C_1 = R_z^T(\phi).$$

Similarly, the transformation  $C_2$  align the axis  $Z''$  after two rotation with the original axis  $Z$ , so

$$C_2 = R_z^T(\phi) R_x^T(\theta).$$

We can expand the matrix

$$R_z(\phi) C_1 R_x(\theta) C_1^T C_2 R_z(\psi) C_2^T = \quad (3.96)$$

$$R_z(\phi) R_z^T(\phi) R_x(\theta) R_z(\phi) R_z^T(\phi) R_x^T(\theta) R_z(\psi) R_x(\theta) R_z(\phi) = \quad (3.97)$$

$$\color{red} R_z(\phi) R_z^T(\phi) R_x(\theta) \color{black} R_z(\phi) R_z^T(\phi) R_x^T(\theta) R_z(\psi) R_x(\theta) R_z(\phi) = \quad (3.98)$$

$$\color{red} R_x(\theta) R_x^T(\theta) \color{black} R_z(\psi) R_x(\theta) R_z(\phi) = \quad (3.99)$$

$$R_z(\psi) R_x(\theta) R_z(\phi) \quad \blacksquare \quad (3.100)$$

**Definition 5** Given three roll-pitch-yaw angles  $\alpha_1, \alpha_2, \alpha_3$  and three axis

$$(a_1, a_2, a_3) : a_i \in \{x, y, z\}, a_1 \neq a_2, a_2 \neq a_3$$

the rotation matrix describing the rotation along this fixed axes is

$$R_{a_3}(\alpha_3) R_{a_2}(\alpha_2) R_{a_1}(\alpha_1) \quad (3.101)$$

The roll-pitch-yaw angles rotation is equivalent to an euler angles rotation, we get this equivalence by inverting the order of the sequence of axis:

#### Euler angles

first rotation : by $\alpha_1$ radians along $a_1$	first rotation : by $\alpha_3$ radians along $a_3$
second rotation : by $\alpha_2$ radians along $a_2$	second rotation : by $\alpha_2$ radians along $a_2$
third rotation : by $\alpha_3$ radians along $a_3$	third rotation : by $\alpha_1$ radians along $a_1$

#### Roll-Pitch-Yaw angles

Let's consider now a rotation along  $XYZ$ , by the angles  $(\psi, \theta, \phi)$ . The rotation matrix that describes this rotation is

$$R = R_z(\phi) R_y(\theta) R_x(\psi) \quad (3.102)$$

the expanded form is

$$\begin{pmatrix} \cos \phi \cos \theta & \cos \phi \sin \psi - \sin \phi \cos \psi & \cos \phi \sin \theta \cos \psi + \sin \phi \sin \psi \\ \sin \phi \cos \theta & \sin \phi \sin \theta \sin \psi + \cos \phi \cos \psi & \sin \phi \sin \theta \cos \phi - \cos \phi \sin \psi \\ -\sin \theta & \cos \theta \sin \psi & \cos \theta \cos \psi \end{pmatrix} \quad (3.103)$$

The inverse problem is to find  $(\psi, \theta, \phi)$  given  $R = (R_{ij})$ , we can determine the values of the angles by considering the matrix in equation (3.103). Since

$$R_{32}^2 + R_{33}^2 = \cos^2 \theta \quad (3.104)$$

$$R_{31} = -\sin \theta \quad (3.105)$$

we get the two possible values for  $\theta$ :

$$\theta = \text{atan2}\left(-R_{31}, \pm \sqrt{R_{32}^2 + R_{33}^2}\right) \quad (3.106)$$

if  $\cos \theta \neq 0$ , we have

$$\psi = \text{atan2}\left(\frac{R_{32}}{\cos \theta}, \frac{R_{33}}{\cos \theta}\right) \quad (3.107)$$

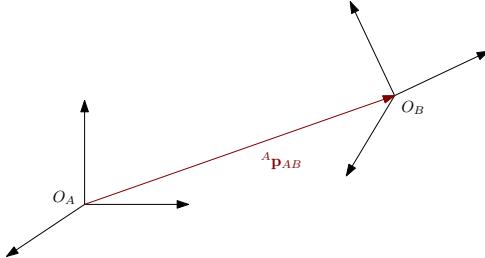
$$\phi = \text{atan2}\left(\frac{R_{21}}{\cos \theta}, \frac{R_{11}}{\cos \theta}\right) \quad (3.108)$$

We have singularities when  $\theta = \pm \frac{\pi}{2}$ , in these cases, we can determine only the sum  $\phi + \psi$  or the difference  $\phi - \psi$ . This discussion concerns the specific case of rotations along  $XYZ$ , analogous considerations can be made for all other cases.

### 3.4 Homogeneous Transformations

For now, we discussed about frames with different orientation, but fixed in the same spot. Now we consider frames with different orientation and position. Let's consider two frames,  $O_A$  and  $O_B$ , and let's say that

- ${}^A R_B$  is the rotation matrix that act as a change of coordinates from  $O_A$  to  $O_B$
- ${}^A \mathbf{p}_{AB}$  is the vector that starts from  $A$  and goes to  $B$ , it describes the position of the frame  $O_B$  respect to  $O_A$ .



Let's consider a point  $P$ , let  ${}^B \mathbf{p}$  the vector that describes the position  $P$  in the frame  $O_B$ . To determine the vector  ${}^A \mathbf{p}$  that describes the position  $P$  in the frame  $O_A$ , we have to apply the translation and the rotation as follows:

$${}^A \mathbf{p} = {}^A \mathbf{p}_{AB} + {}^A R_B {}^B \mathbf{p} \quad (3.109)$$



Instead of considering a sum and a multiplication by a matrix, we can consider the **affine relationship** as a linear relationship by considering a  $4 \times 4$  homogeneous matrix and transforming  ${}^A \mathbf{p}$  and  ${}^B \mathbf{p}$  in the homogeneous coordinates as follows:

$${}^A \mathbf{p}_{hom} = \begin{pmatrix} {}^A \mathbf{p} \\ 1 \end{pmatrix} \in \mathbb{R}^4 \quad (3.110)$$

$${}^B \mathbf{p}_{hom} = \begin{pmatrix} {}^B \mathbf{p} \\ 1 \end{pmatrix} \in \mathbb{R}^4 \quad (3.111)$$

$${}^A \mathbf{p}_{hom} = \begin{pmatrix} {}^A R_B & {}^A \mathbf{p}_{AB} \\ 0 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} {}^B \mathbf{p} \\ 1 \end{pmatrix} = {}^A \mathbf{p}_{AB} + {}^A R_B {}^B \mathbf{p} \quad (3.112)$$

we denote this **homogeneous transformation**  ${}^A T_B$

$${}^A \mathbf{p}_{hom} = {}^A T_B {}^B \mathbf{p}_{hom} \quad (3.113)$$

- A homogeneous transformation  $T$  describes the relation between two reference frames relative to the pose.
- Transforms the representation of a position vector (applied vector starting from the origin of the frame) from one frame to another frame.
- It is a roto-translation operator on vectors in the three dimensional space.
- It is invertible  $({}^A T_B)^{-1} = {}^B T_A$
- and can be composed

$${}^A T_B {}^B T_C = {}^A T_C. \quad (3.114)$$

If

$${}^A T_B = \begin{pmatrix} {}^A R_B & {}^A \mathbf{p}_{AB} \\ \mathbf{0}^T & 1 \end{pmatrix}$$

the inverse

$${}^B T_A = \begin{pmatrix} {}^B R_A & {}^B \mathbf{p}_{BA} \\ \mathbf{0}^T & 1 \end{pmatrix}$$

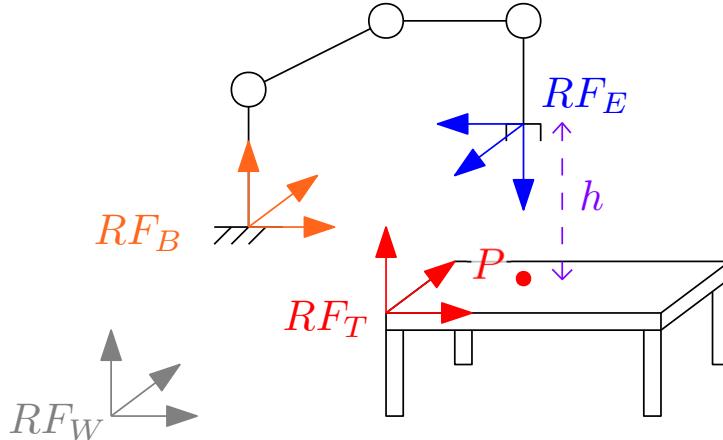
can be written in term of the original vector/matrices as follows

$${}^B T_A = ({}^A T_B)^{-1} = \begin{pmatrix} {}^A R_B^T & -{}^A R_B^T {}^A \mathbf{p}_{AB} \\ \mathbf{0}^T & 1 \end{pmatrix} \quad (3.115)$$

### 3.4.1 Example of a Robotic Task

Let's consider the following scenario

- There is a world reference frame  $RF_W$
- There is a robotic arm with his reference frame  $RF_B$
- There is a reference frame  $RF_E$  attached to the end effector of the robot
- There is a table with a reference frame  $RF_T$  and an object placed on the table, in position  $P$ .



The end effector is placed in a way such that, is pointing downward to the table, so the axis  $z$  of  $RF_T$  is in the same direction of the axis  $z$  of  $RF_E$ , but with opposite orientation

$${}^E R_T = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{pmatrix} = R_x(\pi) \quad (3.116)$$

Since the point  $P$  lies on the table, the  $z$  value for the vector that describes  $P$  in  $RF_T$  will be null

$${}^T \mathbf{p} = \begin{pmatrix} p_x \\ p_y \\ 0 \end{pmatrix} \quad (3.117)$$

Let's say that the end effector is pointing  $P$ , in that case the vector that describes  $P$  in  $RF_E$  will be

$${}^E \mathbf{p} = \begin{pmatrix} 0 \\ 0 \\ h \end{pmatrix} \quad (3.118)$$

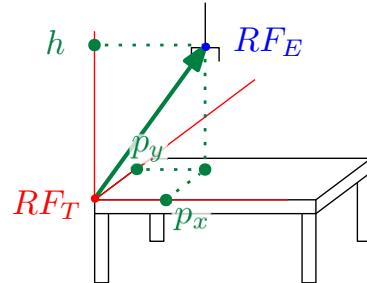
where  $h$  is the distance between  $P$  and  $RF_E$ . To determine the position of the end effector respect to the table, we have to consider

$${}^T R_E = {}^E R_T^{-1} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{pmatrix}^T = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{pmatrix} = {}^E R_T \quad (3.119)$$

we need the vector  ${}^T \mathbf{p}_{TE}$  that describes the position of the end effector respect to the frame  $RF_T$ . This is given by

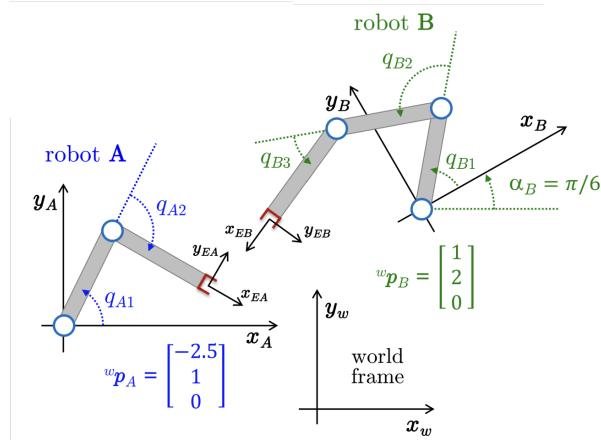
$${}^T \mathbf{p}_{TE} = {}^T \mathbf{p} - {}^T R_E {}^E \mathbf{p} = \quad (3.120)$$

$$\begin{pmatrix} p_x \\ p_y \\ 0 \end{pmatrix} - \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{pmatrix} \begin{pmatrix} 0 \\ 0 \\ h \end{pmatrix} = \begin{pmatrix} p_x \\ p_y \\ h \end{pmatrix} \quad (3.121)$$



### 3.4.2 Example of An Exercise

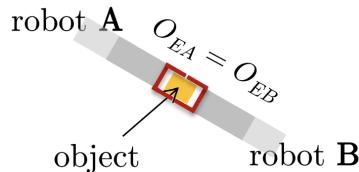
Let's consider the following configuration:



We have two robots, robot  $A$  with the base in the frame  $RF_A$ , and robot  $B$  with the base in  $RF_B$ .  $RF_B$  is rotated along the  $z$  axis respect to our base world frame  $RF_w$ . We also have the frames of the end effectors  $RF_{EA}$  and  $RF_{EB}$ . The joints  $\mathbf{q}_A$  are fixed

$$\mathbf{q}_A = \begin{pmatrix} \frac{\pi}{3} \\ -\frac{\pi}{2} \end{pmatrix} \quad (3.122)$$

We want to find the values for  $\mathbf{q}_B$  such that the two end effectors are in the same positions and points each other:



In that case, the rotation matrix that describe the orientation of  $RF_{EA}$  respects to  $RF_{EB}$  is

$${}^{EA} R_{EB} = R_z(\pi) = \begin{pmatrix} \cos \pi & -\sin \pi & 0 \\ \sin \pi & \cos \pi & 0 \\ 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \quad (3.123)$$

so

$${}^{EA}T_{EB} = \begin{pmatrix} -1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \quad (3.124)$$

Since  $RF_w$  and  $RF_A$  have the same orientation, the homogeneous transformation  ${}^wT_A$  is just a translation

$${}^wT_A = \begin{pmatrix} 1 & 0 & 0 & -2.5 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \quad (3.125)$$

the frame  $RF_B$  is rotated along  $z$  by  $\frac{\pi}{6}$  radians respects to the frame  $RF_w$  so

$${}^wR_B = R_z(\pi/6) \quad (3.126)$$

the homogeneous transformation is

$${}^wT_B = \begin{pmatrix} R_z(\pi/6) & 1 \\ 0 & 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 0.866 & -0.5 & 0 & 1 \\ 0.5 & 0.866 & 0 & 2 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \quad (3.127)$$

The pose of the end effector  $RF_{EA}$  respect his base  $RF_A$  is calculated with direct kinematics, and it's in function of  $\mathbf{q}_A$

$${}^A T_{EA} = \begin{pmatrix} 0.866 & 0.5 & 0 & 1.366 \\ -0.5 & 0.866 & 0 & 0.366 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \quad (3.128)$$

For now, it is not important how  ${}^A T_{EA}$  is calculated, since we didn't discussed about direct kinematics yet. The pose of the end effector of the robot  $A$  respect to our world frame is

$${}^w T_{EA} = {}^w T_A {}^A T_{EA} \quad (3.129)$$

The position of the end effector of  $B$  can be computed by "passing" through the robot  $A$  as follows

$${}^w T_{EB} = {}^w T_A {}^A T_{EA} {}^{EA} T_{EB} \quad (3.130)$$

can be also computed by passing through  $B$

$${}^w T_{EB} = {}^w T_B {}^B T_{EB} \quad (3.131)$$

the transformation  ${}^B T_{EB}$  is unknown and it is in function of  $\mathbf{q}_B$ . We have to solve the system of non linear equations

$${}^w T_A {}^A T_{EA} {}^{EA} T_{EB} = {}^w T_B {}^B T_{EB}(\mathbf{q}_B) \quad (3.132)$$

$${}^B T_{EB}(\mathbf{q}_B) = ({}^w T_B)^{-1} {}^w T_A {}^A T_{EA} {}^{EA} T_{EB} \quad (3.133)$$

for  $\mathbf{q}_B$  with inverse kinematics. We do not discuss the full procedure to find the solutions (there are two).

## CHAPTER

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# 4

# DIRECT AND INVERSE KINEMATICS

In this chapter we study the geometric and timing aspects of robot motion, without considering the forces that causes that motion (since we are not considering the dynamics of a robotic manipulator, but just the kinematics).

A robot is defined as an open kinematic chain of rigid bodies, interconnected by joints, these joints can be revolute or prismatic. To plan a robotic task, we consider three abstract spaces:

- the actuation space, where we give commands to the actuators to generate torque and forces to make the joints move
- the joint space, where we define the degree of freedom of a robotic system
- the tasks space, such as the cartesian space where the end effector lies.

We don't take in account the actuation space for now, we assume that we can directly control the joint space, the *direct kinematics* is a map from that space and the task space, we usually denote

$$\mathbf{q} = (q_1 \dots, q_n) \quad (4.1)$$

the variables of the joint space, and

$$\mathbf{r} = (r_1 \dots, r_m) \quad (4.2)$$

the variables of the task space. The direct kinematics is a map  $f$  such that

$$\mathbf{r} = f_r(\mathbf{q}) \quad (4.3)$$

the  $r$  as a subscript denotes that the function  $f$  depends on the characterization of the task space, this should be *minimal* and *unambiguous*.

A non minimal characterization of the configuration of a mechanical system is the following: Let's consider a simple pendulum with a stick of length  $L$ , we want to describe the position of each point of the stick, we define the vector  $(p_x, p_y)$ , as shown in figure 4.1.

Since there is a constraint

$$p_x^2 + p_y^2 = L^2 \quad (4.4)$$

only one variable will be sufficient to describe the entire configuration, a minimal representation is to consider the angle  $\theta$  between the stick and the  $y$  axis, as shown in figure 4.2.

We have an ambiguous choice of the representation when the variables of the task space doesn't define one single configuration, let's consider the robotic arm shown in figure 4.3, if the configuration is represented by the angle of the third joint  $q_3$  and the position of that joint

$$\mathbf{r} = (p_x, p_y, q_3)$$

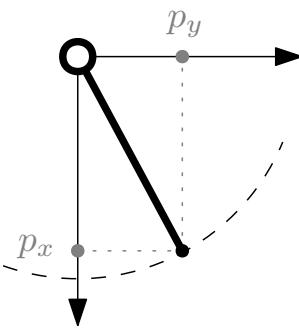


Figure 4.1: Non minimal representation of the configuration

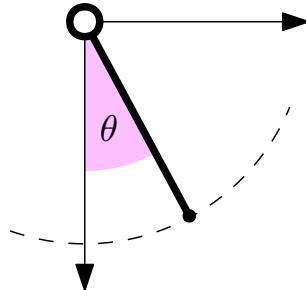


Figure 4.2: Minimal representation of the configuration

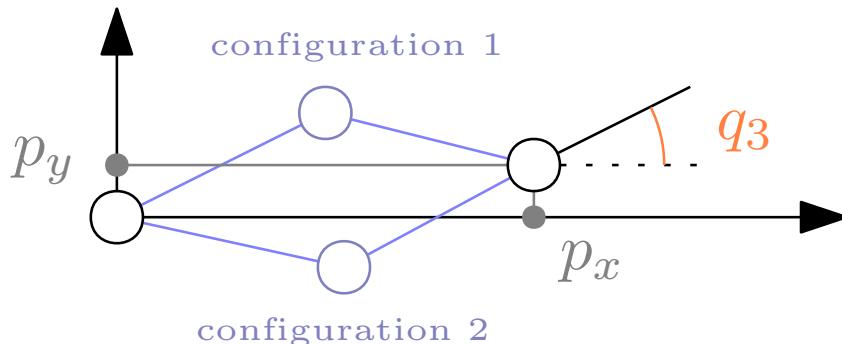


Figure 4.3: ambiguous representation of the configuration

a single value for  $\mathbf{r}$  identifies two configurations.

Usually, the number of variables of the configuration space are less or equals than the number of degree of freedom:

$$m \leq n$$

to describe the pose of an object we need a configuration space with  $m = 6$  variables (3 for the position and 3 for the orientation).

For simple robotic system, as the one shown in figure 4.4, the direct kinematics can be computed geometrically by inspecting the planar diagram.

With simple consideration we can easily compute the configuration  $\mathbf{r} = (p_x, p_y, \phi)$ :

$$p_x = l_1 \cos q_1 + l_2 \cos(q_1 + q_2) \quad (4.5)$$

$$p_y = l_1 \sin q_1 + l_2 \sin(q_1 + q_2) \quad (4.6)$$

$$\phi = q_1 + q_2 \quad (4.7)$$

For more general case, we need a systematic method that assigns frames to each joints.

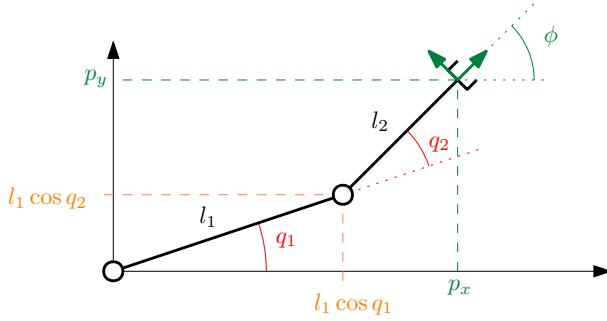


Figure 4.4: Planar manipulator

## 4.1 Denavit-Hartenberg Frames

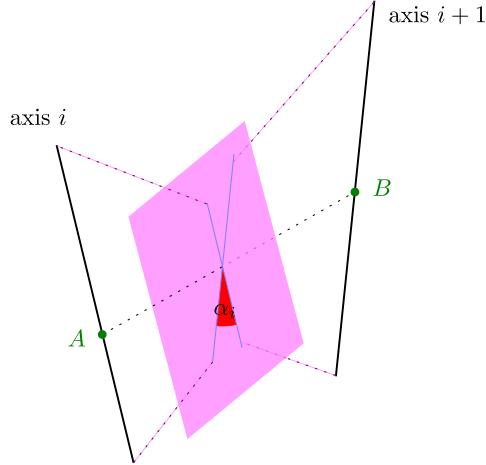
**Definition 6** Given two axes in  $\mathbb{R}^3$ , described by two functions

$$f_1(t) = \mathbf{p}_1 + \mathbf{v}_1 t \quad (4.8)$$

$$f_2(t) = \mathbf{p}_2 + \mathbf{v}_2 t \quad (4.9)$$

the **common normal** is the segment passing through  $f_1$  and  $f_2$  that is orthogonal to both axes. Is the shortest segment that connects the two axis.

**Definition 7** Given two axes  $f_1, f_2$  and the common normal  $AB$ , let  $\Pi$  to be the plane orthogonal to  $AB$ , and let  $f'_1$  and  $f'_2$  to be the projection of  $f_1, f_2$  on  $\Pi$ . The angle between  $f'_1$  and  $f'_2$  is called the **twist angle** between the axes.

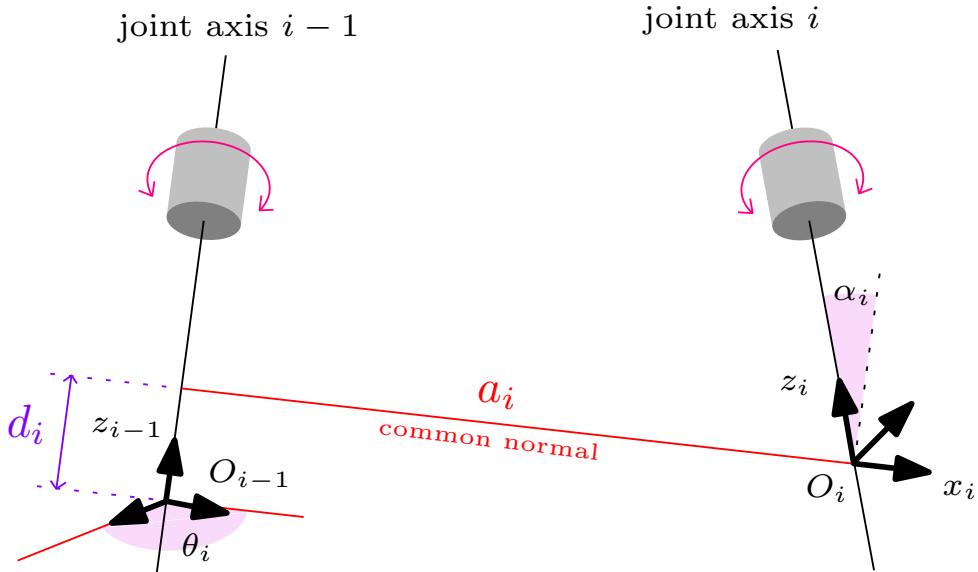


In our representation of a robotics arm, given two consecutive joints  $i$  and  $i+1$ , and given the axis of these joints, we consider the common normal, and denote  $\alpha_i$  the twisted angle between the axis, and  $a_i$  the *displacement*, the length of the common normal.

Now we have to describe how to assign a frame to each joints of a robotic arm. We recall that

- Given a joint axis  $i$ , if the joint is revolut, the rigid body associated can rotate around the axis.
- Given a joint axis  $i$ , if the joint is prismatic, the rigid body associated can translate along the axis.

We describe two consecutive joints ( $i-1$  and  $i$ ), we consider the axis of these joints. The frame  $O_{i-1}$  of the joint  $i-1$  will have the  $z$  axes (denoted  $z_{i-1}$ ) aligned with the joint axis, and we consider the common normal between the two joints axes, denoting with  $a_i$  the length.



We denote  $d_i$  the distance between  $O_{i-1}$  and the point that is the intersection between the axis  $i - 1$  and the common normal.  $\alpha_i$  is the twisted angle between the two axes  $i - 1$  and  $i$ . The  $x_i$  axis of the frame  $O_i$  is aligned with the common normal.

$\theta_i$  is the axis between the common normal  $a_{i-1}$  and  $a_i$ . If the joint  $i - 1$  is prismatic,  $d_i$  is variable and  $\theta_i$  is fixed, if it is revolut,  $d_i$  is fixed and  $\theta_i$  is variable.  $\alpha_i$  and  $a_i$  are fixed and depends on the construction of the robotic arm.

The homogeneous transformation from  $O_{i-1}$  to  $O_i$  is given by

1. a translation of  $d_i$  along  $z_{i-1}$
2. a rotation of  $\theta_i$  radians around  $z_{i-1}$ . Once these rotation is performed,  $x_{i-1}$  and  $x_i$  will be parallel.
3. a translation of  $a_i$  along  $x_i$
4. a rotation of  $\alpha_i$  radians around  $x_i$ .

The first rotation around  $z_{i-1}$  is given by

$$\begin{pmatrix} \cos \theta_i & -\sin \theta_i & 0 & 0 \\ \sin \theta_i & \cos \theta_i & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \quad (4.10)$$

the translation along  $z_{i-1}$  is given by

$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & d_i \\ 0 & 0 & 0 & 1 \end{pmatrix} \quad (4.11)$$

the roto-translation around and along  $x_i$  is given by

$$\begin{pmatrix} 1 & 0 & 0 & a_i \\ 0 & \cos \alpha_i & -\sin \alpha_i & 0 \\ 0 & \sin \alpha_i & \cos \alpha_i & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \quad (4.12)$$

the final homogeneous transformation to describe the pose of  $O_i$  respects to  $O_{i-1}$  is

$${}^{i-1}A_i(q_i) = \begin{pmatrix} \cos \theta_i & -\sin \theta_i & 0 & 0 \\ \sin \theta_i & \cos \theta_i & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & d_i \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 & a_i \\ 0 & \cos \alpha_i & -\sin \alpha_i & 0 \\ 0 & \sin \alpha_i & \cos \alpha_i & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} = \quad (4.13)$$

$$\begin{pmatrix} \cos \theta_i & -\cos \alpha_i \sin \theta_i & \sin \alpha_i \sin \theta_i & a_i \cos \theta_i \\ \sin \theta_i & \cos \alpha_i \cos \theta_i & -\sin \alpha_i \cos \theta_i & a_i \sin \theta_i \\ 0 & \sin \alpha_i & \cos \alpha_i & d_i \\ 0 & 0 & 0 & 1 \end{pmatrix} \quad (4.14)$$

if the joint is revolut,  $q_i = \theta_i$ , if it is prismatic, then  $q_i = d_i$ . This matrix is called the **DH matrix**. When we consider a full robotic arm, the first frame  $O_0$  is placed arbitrary, with the only condition to align the  $z_0$  axis with the first joint axis. To describe the pose of the last  $n$ -th joint frame  $O_n$  respect to the first joint frame  $O_0$ , we perform a product of DH matrices

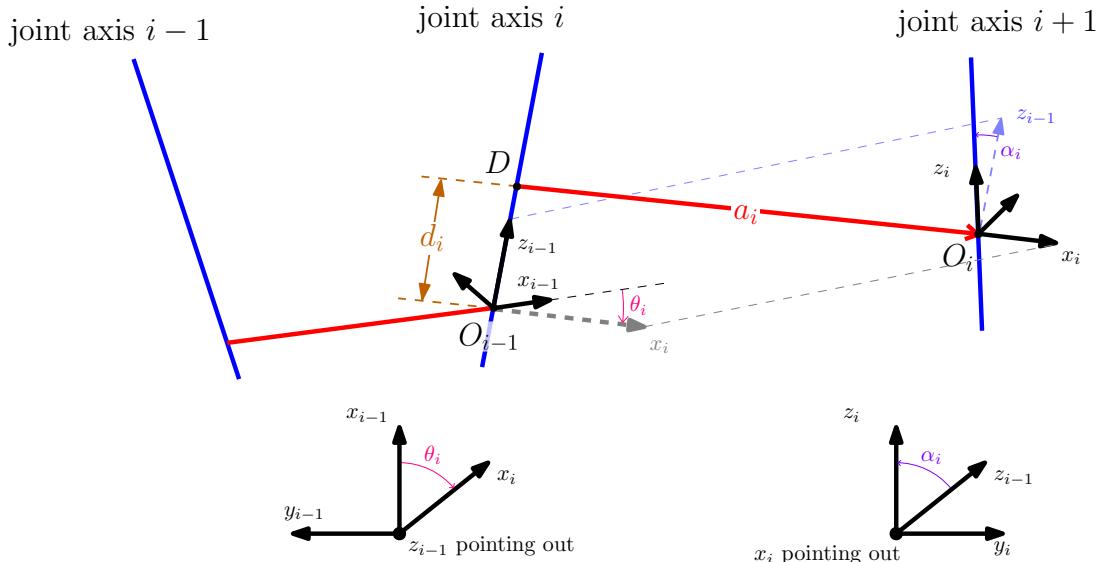
$${}^0 A_n = {}^0 A_1(q_1) {}^1 A_2(q_2) \dots {}^{n-1} A_n(q_n) \quad (4.15)$$

Usually, if the word frame  $RF_w$  is not in the same pose of the first joint frame, we have a constant transformation  ${}^w T_0$ . The transformation from the  $n$ -th joint frame  $O_n$  and the end effector frame  $RF_E$  is constant (we will discuss about this transformation later). In general, the final direct kinematics transformation is

$${}^w T_E(\mathbf{q}) = {}^w T_0 {}^0 A_1(q_1) {}^1 A_2(q_2) \dots {}^{n-1} A_n(q_n) {}^n T_E. \quad (4.16)$$

We recap the parameters of the DH frames:

- the unit vector  $z_i$  is along the axis of the joint  $i + 1$
- the unit vector  $x_i$  is placed along the common normal to joint  $i$  and  $i + 1$
- $a_i$  is the distance  $DO_i$  oriented as  $x_i$ , is always constant and is the length of the common normal that intersects the joint axis  $i$  and  $i + 1$ . We recall that the point  $D$  is the point of the intersection between the common normal and the joint's axis  $i - 1$ .
- $d_i$  is the distance  $O_{i-1}D$ , oriented as  $z_{i-1}$ , this value is variable if the joint  $i$  is prismatic
- $\alpha_i$  is the twist angle from  $z_{i-1}$  to  $z_i$  around  $x_i$ , is constant.
- $\theta_i$  is the angle from  $x_{i-1}$  to  $x_i$  around  $z_{i-1}$ , this value is variable if the joint  $i$  is revolute.



There are some caveats in the assignments of the DH frames:

- the frame 0 origin and  $x_0$  axis are arbitrary,  $z_0$  must be on the first joint axis.
- the origin of frame  $n$  should be chosen conveniently (to zero out displacements),  $x_n$  must intersect and be chosen orthogonal to  $z_{n-1}$ .
- the direction of  $z_{i-1}$  is arbitrary, is better to avoid to flip over consecutive  $z$  axes.
- if  $z_{i-1}$  and  $z_i$  are parallel, there are infinitely many common normal. We should try to zero out parameters.

- if  $z_{i-1}$  and  $z_i$  are coincident,  $x_i$  axis can be chosen at will.



## 4.2 Workspace

The *reachable workspace* of a robot manipulator is the set of all points that can be reached by the origin of the end effector (realized by changing the joint's variables) with at least one orientation. Let  $f_p(\mathbf{q})$  to be the positional part of the direct kinematics map, that describe the position of the origin of the end effector, it is a restriction of  $f_r$ . The workspace is defined as follows:

$$WS_1 = \{f_p(\mathbf{q}) : \mathbf{q} \in \mathbb{R}^n \wedge q_{im} \leq q_i \leq q_{iM}, i = 1, 2, \dots, n\} \quad (4.17)$$

where  $n$  is the number of joints and  $[q_{im}, q_{iM}]$  is the range of the joint's variable  $q_i$ . We can define the joint's space as

$$Q = \{\mathbf{q} : \mathbf{q} \in \mathbb{R}^n \wedge q_{im} \leq q_i \leq q_{iM}, i = 1, 2, \dots, n\} \quad (4.18)$$

and rewrite the reachable workspace as follows:

$$WS_1 = \{f_p(\mathbf{q}) : \mathbf{q} \in Q\} \quad (4.19)$$

Since the joint's can be spherical, revolut and prismatic, the workspace  $WS_1$  can always be defined as the intersection of planar, toroidal, spherical and cylindrical surfaces. In general,  $Q$  is a differentiable manifold, and  $WS_1$  is finite, closed and connected, because the function  $f_p$  is continuous on  $Q$ .

The space  $WS_1$  is called **primary workspace**. We define also the **secondary workspace**  $WS_2$  as the set of positions  $\mathbf{p}$  that can be reached by the origin of the end effector in any possible orientation. Clearly,

$$WS_2 \subseteq WS_1$$

if  $f_r : Q \rightarrow \mathbb{R}^6$  is the direct kinematics map that assigns at each joint's configuration  $\mathbf{q}$  a pose  $\mathbf{r} \in \mathbb{R}^6$ , we can describe  $f_r$  as a couple of two functions, one for the position and one for the orientation:

$$f_r(\mathbf{q}) = \begin{pmatrix} f_p(\mathbf{q}) \\ f_\phi(\mathbf{q}) \end{pmatrix} \quad (4.20)$$

The secondary workspace is defined as follows:

$$WS_2 = \left\{ \mathbf{p} \in \mathbb{R}^3 : \forall \phi \in [0, 2\pi]^3, \exists \mathbf{q} \in Q \text{ such that } \begin{pmatrix} f_p(\mathbf{q}) \\ f_\phi(\mathbf{q}) \end{pmatrix} = \begin{pmatrix} \mathbf{p} \\ \phi \end{pmatrix} \right\} \quad (4.21)$$

Usually, side views of the workspace of a robot manipulator are shown in the technical sheets of the robot as shown in figure 4.5.

Let's consider a planar 2R arm, with two links of length  $l_1, l_2$ . The workspace is

- if  $l_1 \neq l_2$ 
  - $- WS_1 = \{\mathbf{p} \in \mathbb{R}^2 : |l_1 - l_2| \leq \|\mathbf{p}\| \leq l_1 + l_2\}$
  - $- WS_2 = \emptyset$

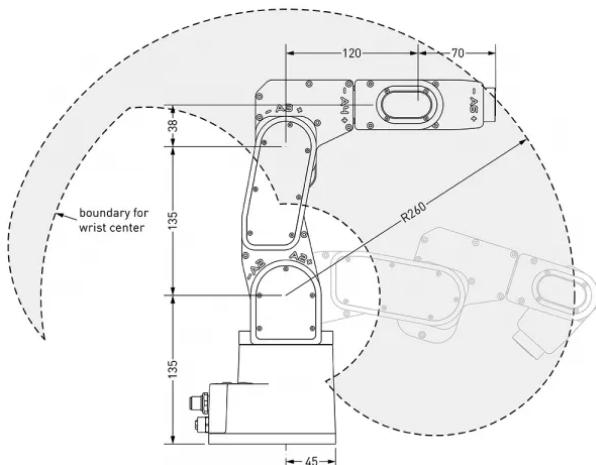


Figure 4.5: side view workspace of a robotic manipulator

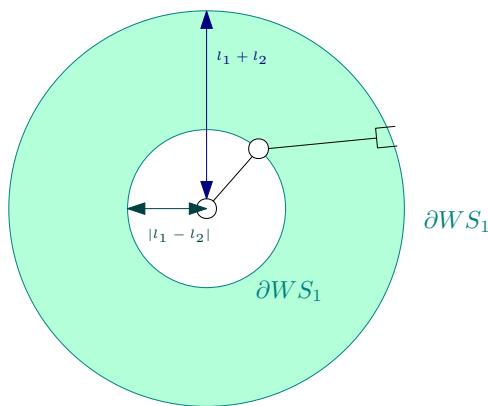


Figure 4.6: workspace of a planar 2R robotic manipulator

- if  $l_1 = l_2 = l$ 
  - $WS_1 = \{\mathbf{p} \in \mathbb{R}^2 : \|\mathbf{p}\| \leq 2l\}$
  - $WS_2 = \{\mathbf{0}\}$

The workspace is the difference between a closed and an open ball in  $\mathbb{R}^2$ , as shown in figure 4.6.

We denote  $\partial WS_1$  the boundaries of  $WS_1$ . The end effector can lie in only one orientation in  $\partial WS_1$  and in two possible orientation in  $WS_1 \setminus \partial WS_1$ .

### 4.3 The Inverse Kinematics Problem

The inverse kinematics problem is to find the joint's configuration  $\mathbf{q}$  that realize a desired task configuration  $\mathbf{r}$ . The direct kinematics is always unique, given  $\mathbf{q} \in Q$ , we can always identify one configuration  $\mathbf{r}$ . This is not true for the inverse kinematics, since, given a desired pose  $\mathbf{r}$ , they may be zero, infinite, or a finite number of joint's configuration that realize  $\mathbf{r}$ .

This is in general a non linear problem, due to the trigonometric functions inside the homogeneous transformation. We have to determine if there are solutions (workspace definition), the uniqueness and multiplicity of these solutions, and the methods for finding them.

For example, given an anthropomorphic arm (3 revolut joints, the first orthogonal to the second, the second and the third parallel) we can identify four possible joint's configuration that realize a given end-effector position, as shown in figure 4.7

For the UR10 robotic arm, shown in figure 4.8, there are 8 IK solutions that realize the desired pose:



Figure 4.7: IK solutions of an anthropomorphic arm

$$\mathbf{p} = \begin{pmatrix} -0.2373 \\ -0.0832 \\ 1.3224 \end{pmatrix} \text{ meters} \quad (4.22)$$

$$R = \begin{pmatrix} \sqrt{3}/2 & 0.5 & 0 \\ -0.5 & \sqrt{3}/2 & 0 \\ 0 & 0 & 1 \end{pmatrix} \quad (4.23)$$

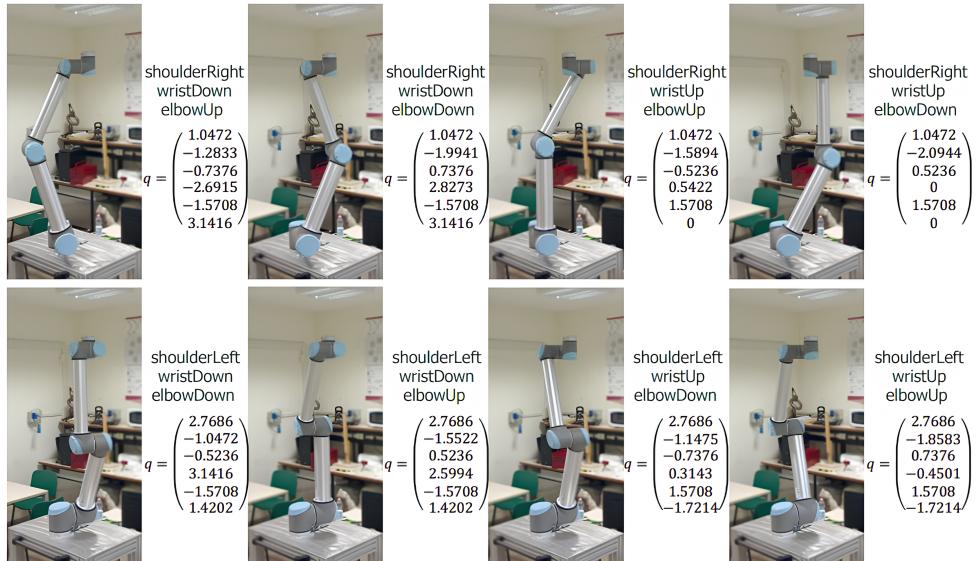


Figure 4.8: IK solutions for the UR10 robotic manipulator

The multiplicity of solutions depends on the kinematic structure of the manipulator, a few examples are the following:

- For a desired position of the end effector of a 2R planar robot ( $m = n = 2$ )<sup>1</sup> we have
  - 2 regular solutions in  $WS_1 \setminus \partial WS_1$
  - 1 solution on  $\partial WS_1$
  - if  $l_1 = l_2$  (the link's lengths are equals) there are infinite solutions on the origin  $WS_2 = \{\mathbf{0}\}$ .
- For a desired position of the end effector of a elbow-type 3R robot ( $m = n = 3$ ) we have 4 regular solutions on  $WS_1$ . The singular cases have not been investigated yet.

<sup>1</sup>We denote with  $n$  the number of joints (DOF) and with  $m$  the number of variables of the configuration space.

- For a spatial 6R robot ( $m = n = 6$ ) we have
  - at most 16 distinct solutions. This upper bound of 16 is attained by a particular instance of an orthogonal robotic arm.
  - the analysis of the solutions is based on algebraic transformations of the robot kinematics, transcendental equations are transformed into a single polynomial equation in one variable, or we seek for a transformed polynomial equation of the least possible degree.

Let's see an example of these algebraic transformations. In some cases, we may have to solve for  $\theta$  the equation

$$a \sin \theta + b \cos \theta = c \quad (4.24)$$

we define a variable  $u = \tan \theta/2$ , in this case:

$$\sin \theta = \frac{2u}{1+u^2} \quad (4.25)$$

$$\cos \theta = \frac{1-u^2}{1+u^2} \quad (4.26)$$

and

$$\tan \theta = \tan \frac{2\theta}{2} = \frac{2 \tan \theta/2}{1 - \tan^2 \theta/2} = \frac{2u}{1-u^2} \quad (4.27)$$

We substitute in equation (4.24):

$$a \frac{2u}{1+u^2} + b \frac{1-u^2}{1+u^2} = c \quad (4.28)$$

this is a second degree polynomial equation:

$$a \frac{2u}{1+u^2} + b \frac{1-u^2}{1+u^2} = c \implies \frac{2au + b(1-u^2)}{1+u^2} = c \quad (4.29)$$

$$\frac{b - u^2b + 2au}{1+u^2} = c \implies \frac{b - u^2b + 2au}{1+u^2} = c \quad (4.30)$$

$$b - u^2b + 2au = c + cu^2 \implies b - u^2b + 2au - c - cu^2 = 0 \quad (4.31)$$

$$b - u^2b + 2au - c - cu^2 = 0 \implies b - u^2b - 2au + c + cu^2 = 0 \quad (4.32)$$

$$b - u^2b - 2au + c + cu^2 = 0 \implies -b + u^2b - 2au + c + cu^2 = 0 \quad (4.33)$$

$$u^2(c + b) - 2au - (b - c) = 0 \implies \quad (4.34)$$

the solutions are

$$u_{1,2} = \frac{a \pm \sqrt{a^2 + b^2 - c^2}}{b + c} \quad (4.35)$$

if  $a^2 + b^2 \geq c^2$  we have

$$u_1 = \tan \frac{\theta_1}{2} \quad (4.36)$$

$$u_2 = \tan \frac{\theta_2}{2} \quad (4.37)$$

and we can solve for  $\theta_{1,2}$

$$\theta_{1,2} = 2 \arctan(u_{1,2}) \quad (4.38)$$

### 4.3.1 Workspace of a Planar 3R Arm

Let's consider a 3R planar robotic manipulator ( $n = 3, m = 2$ ), we consider the case where the 3 links have the same length  $l_1 = l_2 = l_3 = l$ . The primary workspace is the closed ball of radius  $3l$  centered in the origin

$$WS_1 = \{\mathbf{p} \in \mathbb{R}^2 : \|\mathbf{p}\| \leq 3l\} \quad (4.39)$$

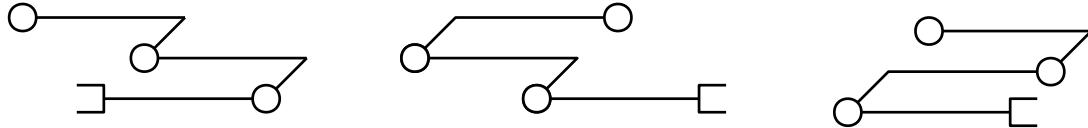
The end effector could lie in any possible orientation in the closed ball of radius  $l$ :

$$WS_2 = \{\mathbf{p} \in \mathbb{R}^2 : \|\mathbf{p}\| \leq l\} \quad (4.40)$$

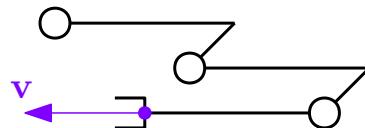


Figure 4.9: workspace of a planar 3R robotic manipulator

- In the space  $WS_1 \setminus \{\partial WS_1 + \partial WS_2\}$  (the orange region), the end effector can lie in any position with a continuum number of possible orientation  $\phi \in \Phi \subset [0, 2\pi]$ , but *not all possible* orientation.
- In the region  $\partial WS_1$  ( $\|\mathbf{p}\| = 3l$ ) the end effector can lie in only one possible orientation (*singular case*).
- In the region  $\partial WS_2$  ( $\|\mathbf{p}\| = l$ ), the end effector can lie in any position and in any orientation, there are infinite solution for an IK problem in that region, but 3 of that solutions are singular:



These configurations are singular since the arm loses degree of freedom: In that particular configuration, the arm cant move in any arbitrary direction.

Figure 4.10: in the current configuration, the end effector can't move in the direction  $\mathbf{v}$ .

- In the region  $WS_2 \setminus \partial WS_2$  ( $\|\mathbf{p}\| < l$ ), the end effector can lie in any position and in any orientation, each solution is non singular.

In general,  $l_1, l_2, l_3$  are not necessarily equal, the reachable points that are the most far from the first joint are at distance  $l_1 + l_2 + l_3$ , the closest one are at distance  $\max\{0, l_{max} - (l_{med} + l_{min})\}$  where

$$l_{max} = \max\{l_1, l_2, l_3\} \quad (4.41)$$

$$l_{min} = \min\{l_1, l_2, l_3\} \quad (4.42)$$

$$l_{med} \text{ is the remaining one} \quad (4.43)$$

In general:

- if  $m = n$ , for an IK problem they may:
  - doesn't exist solutions (out of workspace)
  - exist a finite number of solution (regular case)
  - infinite set of solutions, or a finite set, in a different number respects to the regular case, these are the **singular case**.

- if  $m < n$ , the robot are more DOF than the dimension of the task space, the robot is *kinematically redundant*, in that case for an IK problem they may:
  - doesn't exist solutions (out of workspace)
  - infinitely many solutions, in details, we say that there are  $\infty^{n-m}$  solutions, if the set  $Q'$  of joint's configuration that is a solution for the inverse kinematics, is a  $n - m$ -mainfold.
  - they may be a finite or infinite number of **singular** solutions.

The formal definition of a **singular solution/case** will be formalized in chapter 5 in the context of differential kinematics.

### 4.3.2 Solution Methods

There are two ways to solve the inverse kinematics for a robotic arm:

- **Analytical Solution:** It is preferred, since it doesn't require too much computation, can be found algebraically by solving some polynomial equation derived from the DK equations. There are systematic ways to generate a reduced set of equations to solve. The following condition (for a 6 dof arm) are sufficient to find an analytical solution
  - there are 3 consecutive rotational joints that are parallel
  - there are 3 consecutive rotational joints that are incidents (spherical wrist).
- **Numerical Solution:** If the problem is too complex (i.g. in the redundant case where  $n > m$ ) an iterative method to solve system of non linear equations can be used. These methods are easy to set up, but computationally expensive, the methods usually consider the Jacobian matrix of the direct kinematics map<sup>2</sup>

$$J_r(\mathbf{q}) = \left( \frac{\partial f_r^i}{\partial q_j} \right)$$

common methods are Newton method, Quasi Newton Method, Gradient descent and so on.

### 4.3.3 Inverse Kinematics of a Planar 2R

We will inspect the IK for some common cases. The simplest one is the 2R planar arm, in figure 1.6. We will denote  $q_1$  and  $q_2$  the joint's angles. The direct kinematics for the position is

$$\mathbf{p}(\mathbf{q}) = \begin{pmatrix} p_x \\ p_y \end{pmatrix} = \begin{pmatrix} l_1 \cos q_1 + l_2 \cos(q_1 + q_2) \\ l_1 \sin q_1 + l_2 \sin(q_1 + q_2) \end{pmatrix} \quad (4.44)$$

we have to solve the system

$$\begin{cases} p_x = l_1 \cos q_1 + l_2 \cos(q_1 + q_2) \\ p_y = l_1 \sin q_1 + l_2 \sin(q_1 + q_2) \end{cases} \quad (4.45)$$

in the unknown  $q_1, q_2$ . In this case, one variable can be isolated by squaring and summing the two equations:

$$\begin{cases} p_x = l_1 \cos q_1 + l_2 \cos(q_1 + q_2) \\ p_y = l_1 \sin q_1 + l_2 \sin(q_1 + q_2) \end{cases} \implies \quad (4.46)$$

$$p_x^2 + p_y^2 = l_1^2 \cos^2 q_1 + l_2^2 \cos^2(q_1 + q_2) + 2l_1 l_2 \cos q_1 \cos(q_1 + q_2) + \quad (4.47)$$

$$l_1^2 \sin^2 q_1 + l_2^2 \sin^2(q_1 + q_2) + 2l_1 l_2 \sin q_1 \sin(q_1 + q_2) \implies \quad (4.48)$$

$$p_x^2 + p_y^2 - (l_1^2 + l_2^2) = 2l_1 l_2 (\cos q_1 \cos(q_1 + q_2) + \sin q_1 \sin(q_1 + q_2)) = 2l_1 l_2 \cos q_2 \implies \quad (4.49)$$

$$\cos q_2 = \frac{p_x^2 + p_y^2 - (l_1^2 + l_2^2)}{2l_1 l_2} \quad (4.50)$$

We used the identity

$$\cos(x) \cos(x + y) + \sin(x) \sin(x + y) = \cos(y) \quad (4.51)$$

<sup>2</sup>with  $f_r^i$  is denoted the  $i$ -th component of the direct kinematics map  $f_r$

Since we have  $\cos q_2$ , we can evaluate the two possible values for  $\sin q_2$  since

$$\sin q_2 = \pm \sqrt{1 - \cos^2 q_2} \quad (4.52)$$

At this point we can evaluate the two possible values of  $q_2$ :

$$q_2^+ = \text{atan2}(\sqrt{1 - \cos^2 q_2}, \cos q_2) \quad (4.53)$$

$$q_2^- = \text{atan2}(-\sqrt{1 - \cos^2 q_2}, \cos q_2) \quad (4.54)$$

Since

$$\cos q_2 = \frac{p_x^2 + p_y^2 - (l_1^2 + l_2^2)}{2l_1l_2} \quad (4.55)$$

it is necessary that

$$\frac{p_x^2 + p_y^2 - (l_1^2 + l_2^2)}{2l_1l_2} \in [-1, 1] \quad (4.56)$$

if not, there is no value for  $q_2$ , the desired position  $p_x, p_y$  is outside of the workspace. Once we have evaluated  $q_2^+$  and  $q_2^-$ , we can evaluate  $q_1$  by inspecting the geometry of the problem.



The angle  $\alpha$  is given by

$$\alpha = \text{atan2}(p_y, p_x) \quad (4.57)$$

the angle  $\beta$  can be found by considering the reference frame where the link  $l_1$  is parallel to the  $x$  axis:

$$\beta = \text{atan2}(l_2 \sin(q_2), l_1 + l_2 \cos(q_2)) \quad (4.58)$$

$q_1$  is given by  $\alpha - \beta$ :

$$q_1 = \text{atan2}(p_y, p_x) - \text{atan2}(l_2 \sin(q_2), l_1 + l_2 \cos(q_2)) \quad (4.59)$$

Since there are two possible values for  $q_2$ , there are also two possible values for  $q_1$ :

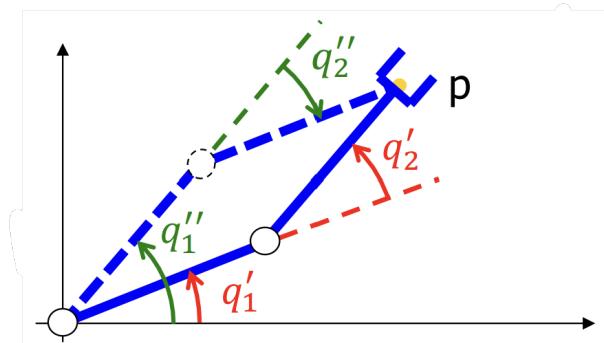
$$q_1^+ = \text{atan2}(p_y, p_x) - \text{atan2}(l_2 \sin(q_2^+), l_1 + l_2 \cos(q_2^+)) \quad (4.60)$$

$$q_1^- = \text{atan2}(p_y, p_x) - \text{atan2}(l_2 \sin(q_2^-), l_1 + l_2 \cos(q_2^-)) \quad (4.61)$$

**Note:** When the difference of these atan2 functions are evaluated, the result may be outside the interval  $(-\pi, \pi]$ , these value have to be re-expressed in the interval.

In the regular case, there are two possible solution for the IK problem:

$$\mathbf{q}' = \begin{pmatrix} q_1^+ \\ q_2^+ \end{pmatrix}, \quad \mathbf{q}'' = \begin{pmatrix} q_1^- \\ q_2^- \end{pmatrix} \quad (4.62)$$



There is a singularity when the desired position is on the boundaries of  $WS_1$ , in that case, we have that

$$\sqrt{p_x^2 + p_y^2} = l_1 + l_2 \quad (4.63)$$

so

$$\cos q_2 = \frac{p_x^2 + p_y^2 - (l_1^2 + l_2^2)}{2l_1l_2} = \frac{(l_1 + l_2)^2 - (l_1^2 + l_2^2)}{2l_1l_2} = \frac{l_1^2 + l_2^2 + 2l_1l_2 - l_1^2 - l_2^2}{2l_1l_2} = 1 \quad (4.64)$$

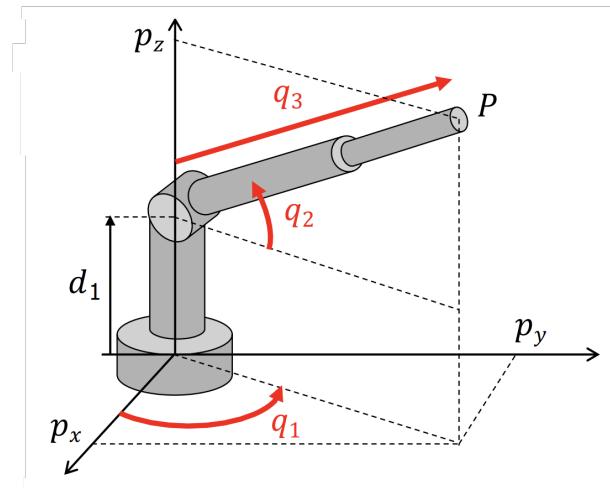
if  $\cos q_2 = 1$ , there is only one value for  $\sin q_2$ :

$$\sin q_2 = \pm \sqrt{1 - \cos^2 q_2} = \pm 0 = 0 \implies q_2 = 0 \quad (4.65)$$

There is also another singularity when  $l_1 = l_2$  and the desired position is the origin, in that case, there are infinite solution (with  $q_2 = \pi$  fixed).

#### 4.3.4 Inverse Kinematics of a Polar RRP arm

We consider a robotic arm of the following type:



Note that  $q_2$  is not a DH variable. The direct kinematics for this type of manipulator is the following

$$\begin{cases} p_x = q_3 \cos q_2 \cos q_1 \\ p_y = q_3 \cos q_2 \sin q_1 \\ p_z = d_1 + q_3 \sin q_2 \end{cases} \quad (4.66)$$

By summing and squaring the equations we can find the value of  $q_3$

$$p_x^2 + p_y^2 + (p_z - d_1)^2 = q_3^2 \cos^2 q_2 \cos^2 q_1 + q_3^2 \cos^2 q_2 \sin^2 q_1 + q_3^2 \sin^2 q_2 \quad (4.67)$$

$$p_x^2 + p_y^2 + (p_z - d_1)^2 = q_3^2 (\cos^2 q_2 \cos^2 q_1 + \cos^2 q_2 \sin^2 q_1 + \sin^2 q_2) \quad (4.68)$$

$$p_x^2 + p_y^2 + (p_z - d_1)^2 = q_3^2 [\cos^2 q_2 (\cos^2 q_1 + \sin^2 q_1) + \sin^2 q_2] \quad (4.69)$$

$$p_x^2 + p_y^2 + (p_z - d_1)^2 = q_3^2 [\cos^2 q_2 + \sin^2 q_2] \quad (4.70)$$

$$q_3^2 = p_x^2 + p_y^2 + (p_z - d_1)^2 \quad (4.71)$$

$$q_3 = \pm \sqrt{p_x^2 + p_y^2 + (p_z - d_1)^2} = \pm \gamma \quad (4.72)$$

For simplicity, we denote  $\gamma = \sqrt{p_x^2 + p_y^2 + (p_z - d_1)^2}$ . If  $q_3 = 0$ , the end effector is on the point  $(0, 0, d_1)$ , in such case, every value of  $q_1$  and  $q_2$  works as a solution, we can say that the set of solutions for an IK problem where the end effector lies on  $(0, 0, d_1)$  is a 2-manifold. We can solve the third equation for  $\sin q_2$

$$p_z = d_1 \pm \gamma \sin q_2 \quad (4.73)$$

$$\sin q_2 = \pm \frac{p_z - d_1}{\gamma} \quad (4.74)$$

$$(4.75)$$

We can find the two values for  $\cos q_2$ :

$$\cos q_2 = \pm \sqrt{1 - \left( \pm \frac{p_z - d_1}{\gamma} \right)^2} \quad (4.76)$$

Note how we have two values for  $q_3 = \pm \gamma$  and two values for  $\cos q_2$ , at this point, we are identifying 4 possible solutions for  $(q_2, q_3)$ . We can extract  $q_2$ :

$$q_2 = \text{atan2} \left( \pm \frac{p_z - d_1}{\gamma}, \pm \sqrt{1 - \left( \pm \frac{p_z - d_1}{\gamma} \right)^2} \right) \quad (4.77)$$

The four solutions for now are

$$q_3 = \gamma, \quad q_2 = \text{atan2} \left( \frac{p_z - d_1}{\gamma}, \sqrt{1 - \left( \frac{p_z - d_1}{\gamma} \right)^2} \right) \quad (4.78)$$

$$q_3 = \gamma, \quad q_2 = \text{atan2} \left( \frac{p_z - d_1}{\gamma}, -\sqrt{1 - \left( \frac{p_z - d_1}{\gamma} \right)^2} \right) \quad (4.79)$$

$$q_3 = -\gamma, \quad q_2 = \text{atan2} \left( \frac{p_z - d_1}{-\gamma}, \sqrt{1 - \left( \frac{p_z - d_1}{-\gamma} \right)^2} \right) \quad (4.80)$$

$$q_3 = -\gamma, \quad q_2 = \text{atan2} \left( \frac{p_z - d_1}{-\gamma}, -\sqrt{1 - \left( \frac{p_z - d_1}{-\gamma} \right)^2} \right) \quad (4.81)$$

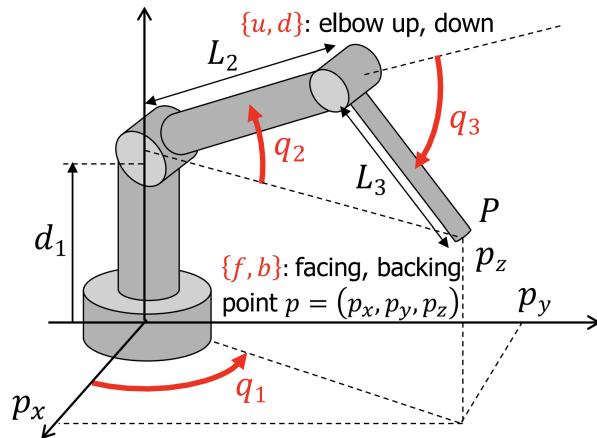
If we fix  $q_2, q_3$ , by the system of equation

$$\begin{cases} p_x = q_3 \cos q_2 \cos q_1 \\ p_y = q_3 \cos q_2 \sin q_1 \end{cases} \implies q_1 = \text{atan2} \left( \frac{p_y}{\cos q_2}, \frac{p_x}{\cos q_2} \right) \quad (4.82)$$

we find one value for  $q_1$  (by solving it for  $\cos q_1$  and  $\sin q_1$ ), at the end, for an IK problem (where the task vector is the pose of the end effector) of this polar robot, there are 4 solutions in the regular case.

#### 4.3.5 Inverse Kinematics of a 3R Elbow-type Arm

We now consider a manipulator with 3 rotational joints, as shown in the following picture.



The direct kinematics is given by the following system of equations:

$$\begin{cases} p_x = \cos q_1 (L_2 \cos q_2 + L_3 \cos(q_2 + q_3)) \\ p_y = \sin q_1 (L_2 \cos q_2 + L_3 \cos(q_2 + q_3)) \\ p_z = d_1 + L_2 \sin q_2 + L_3 \sin(q_2 + q_3) \end{cases} \quad (4.83)$$

In the third equation we can move  $d_1$  to the left side

$$\begin{cases} p_x = \cos q_1(L_2 \cos q_2 + L_3 \cos(q_2 + q_3)) \\ p_y = \sin q_1(L_2 \cos q_2 + L_3 \cos(q_2 + q_3)) \\ p_z - d_1 = L_2 \sin q_2 + L_3 \sin(q_2 + q_3) \end{cases} \quad (4.84)$$

then we square and sum the three equations:

$$p_x^2 + p_y^2 + p_z^2 = \quad (4.85)$$

$$\cos^2 q_1(L_2 \cos q_2 + L_3 \cos(q_2 + q_3))^2 + \sin^2 q_1(L_2 \cos q_2 + L_3 \cos(q_2 + q_3))^2 + \quad (4.86)$$

$$(L_2 \sin q_2 + L_3 \sin(q_2 + q_3))^2 = \quad (4.87)$$

$$(L_2 \cos q_2 + L_3 \cos(q_2 + q_3))^2 + (L_2 \sin q_2 + L_3 \sin(q_2 + q_3))^2 = \quad (4.88)$$

$$\textcolor{red}{L_2^2 \sin^2 q_2} + \textcolor{blue}{L_3^2 \sin^2(q_2 + q_3)} + 2L_2 \sin q_2 L_3 \sin(q_2 + q_3) + \quad (4.89)$$

$$\textcolor{blue}{L_2^2 \cos^2 q_2} + \textcolor{red}{L_3^2 \cos^2(q_2 + q_3)} + 2L_2 \cos q_2 L_3 \cos(q_2 + q_3) = \quad (4.90)$$

$$\textcolor{blue}{L_2^2} + \textcolor{red}{L_3^2} + 2L_2 L_3 (\sin q_2 \sin(q_2 + q_3) + \cos q_2 \cos(q_2 + q_3)) = \quad (4.91)$$

We use the identity  $\sin q_2 \sin(q_2 + q_3) + \cos q_2 \cos(q_2 + q_3) = \cos q_3$ :

$$p_x^2 + p_y^2 + p_z^2 = L_2^2 + L_3^2 + 2L_2 L_3 \cos q_3 \implies \quad (4.92)$$

$$2L_2 L_3 \cos q_3 = p_x^2 + p_y^2 + p_z^2 - L_2^2 - L_3^2 \implies \quad (4.93)$$

$$\cos q_3 = \frac{p_x^2 + p_y^2 + p_z^2 - L_2^2 - L_3^2}{2L_2 L_3} \quad (4.94)$$

if  $\frac{p_x^2 + p_y^2 + p_z^2 - L_2^2 - L_3^2}{2L_2 L_3} \notin [-1, 1]$ , the point is out of the workspace. Else, we can consider

$$\sin q_3 = \pm s_3 = \pm \sqrt{1 - \cos^2 q_3} \implies \begin{cases} q_3^{+} = \text{atan2}(s_3, \cos q_3) \\ q_3^{-} = \text{atan2}(-s_3, \cos q_3) \end{cases} \quad (4.95)$$

If we square and sum the first two equations we get

$$p_x^2 + p_y^2 = (L_2 \cos q_2 + L_3 \cos(q_2 + q_3))^2 \implies \quad (4.96)$$

$$L_2 \cos q_2 + L_3 \cos(q_2 + q_3) = \pm \sqrt{p_x^2 + p_y^2} \quad (4.97)$$

So we rearrange the first two equations:

$$\begin{cases} p_x = \pm \cos q_1 \sqrt{p_x^2 + p_y^2} \\ p_y = \pm \sin q_1 \sqrt{p_x^2 + p_y^2} \end{cases} \implies \begin{cases} \cos q_1 = \frac{p_x}{\pm \sqrt{p_x^2 + p_y^2}} \\ \sin q_1 = \frac{p_y}{\pm \sqrt{p_x^2 + p_y^2}} \end{cases} \quad (4.98)$$

If  $p_x^2 + p_y^2 = 0$ , the sine and cosine of  $q_1$  are undefined, so there are infinite solutions (singular case), else

$$\begin{cases} q_1^{+} = \text{atan2}(p_y, p_x) \\ q_1^{-} = \text{atan2}(-p_y, -p_x) \end{cases} \quad (4.99)$$

To find  $q_2$ , we have to combine the two equations and rearrange the last one in the following way:

$$\begin{cases} p_x = \cos q_1(L_2 \cos q_2 + L_3 \cos(q_2 + q_3)) \\ p_y = \sin q_1(L_2 \cos q_2 + L_3 \cos(q_2 + q_3)) \\ p_z = d_1 + L_2 \sin q_2 + L_3 \sin(q_2 + q_3) \end{cases} \quad (4.100)$$

$$\begin{cases} p_x + p_y = \cos q_1(L_2 \cos q_2 + L_3 \cos(q_2 + q_3)) + \sin q_1(L_2 \cos q_2 + L_3 \cos(q_2 + q_3)) \\ p_z - d_1 = L_2 \sin q_2 + L_3 \sin(q_2 + q_3) \end{cases} \quad (4.101)$$

$$\begin{cases} \cos q_1 p_x + \sin q_1 p_y = (L_2 + L_3 \cos q_3) \cos q_2 - L_3 \sin q_3 \sin q_2 \\ p_z - d_1 = L_3 \sin q_3 \cos q_2 + (L_2 + L_3 \cos q_3) \sin q_2 \end{cases} \quad (4.102)$$

Since  $q_1$  and  $q_3$  are known, this became a linear system in the variables  $\cos q_2, \sin q_2$

$$\begin{cases} \cos q_1 p_x + \sin q_1 p_y = (L_2 + L_3 \cos q_3) \cos q_2 - L_3 \sin q_3 \sin q_2 \\ p_z - d_1 = L_3 \sin q_3 \cos q_2 + (L_2 + L_3 \cos q_3) \sin q_2 \end{cases} \quad (4.103)$$

In details, there are 4 linear systems for the 4 possible combinations of  $q_1, q_3$

$$(q_1^{\{+}\}, q_3^{\{+}\}), \quad (q_1^{\{+}\}, q_3^{\{-\}}), \quad (q_1^{\{-\}}, q_3^{\{+}\}), \quad (q_1^{\{-\}}, q_3^{\{-\}}) \quad (4.104)$$

$q_2$  is defined only if the system have the determinant different than zero:

$$p_x^2 + p_y^2 + (p_z - d_1)^2 \neq 0 \quad (4.105)$$

In the end, by solving the four systems we will obtain four regular solutions.

## 4.4 Numerical Solutions

Numerical solutions are applied in the most part in real life cases, if a task is redundant ( $n > m$ ), it's impossible to find an analytical solution, since there are infinitely many in the regular case.

### 4.4.1 Newton Method

The newton method is an optimization iterative algorithm that aims to find the roots of a multi-variate real function. It's easy to understand the case with a function  $f : \mathbb{R} \rightarrow \mathbb{R}$ . This method aims to find  $x^*$  such that  $f(x^*) = 0$ . This method can be applied to find an  $x$  that realize any real value  $r_d$  by setting  $\hat{f} = f - r_d$  and applying the method to find  $x^*$  such that  $\hat{f}(x^*) = 0 \implies f(x^*) = r_d$ .

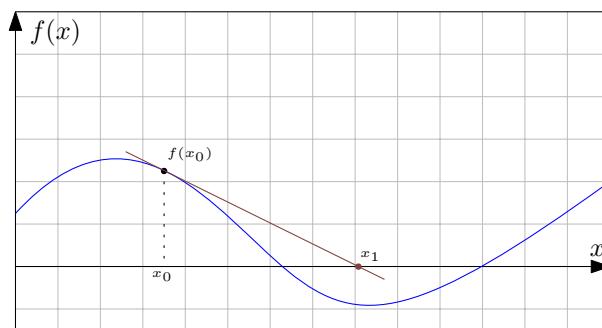
The method needs an initial guess  $x_0$  to start, and consider a linear approximation of the function  $f$  in  $x_0$ :

$$f(x) \simeq f(x_0) + f'(x_0)(x - x_0) \quad (4.106)$$

we denote  $f'$  the derivative of  $f$ . To reach the next step, we consider the root of that linear approximation:

$$f(x_0) + f'(x_0)(x^* - x_0) = 0 \implies x^* = x_0 - \frac{f(x_0)}{f'(x_0)} \quad (4.107)$$

The points  $x^* = x_1$  and  $x_0$  (initial guess) is represented in the following picture.



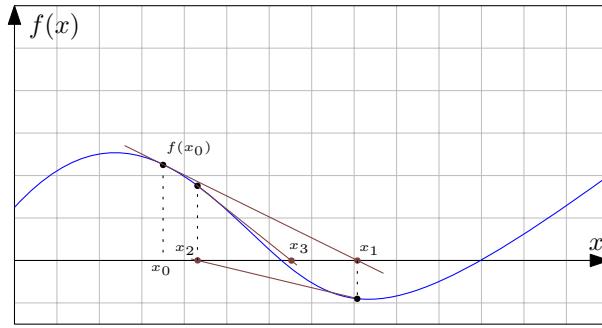
Then, we consider the next guess  $x_1$  and linearize the function  $f$  on  $x_1$ , to get

$$f(x) \simeq f(x_1) + f'(x_1)(x - x_1) \quad (4.108)$$

the root of this linearized function will be the next guess  $x_2$ :

$$x_2 = x_1 - \frac{f(x_1)}{f'(x_1)} \quad (4.109)$$

The step 2 and 3 is represented in the following picture.



In general, the method is applied iterative, with the  $n + 1$ -th guess as

$$x_{n+1} = \frac{f(x_n)}{f'(x_n)} \quad (4.110)$$

Under sufficient conditions, the method will converge to the root of the function  $f(x)$ .

Let's now consider the robotic task where  $n = m$  (no redundant case), we have the direct kinematics equation

$$\mathbf{r} = f_r(\mathbf{q}) = \begin{pmatrix} f_r^1(\mathbf{q}) \\ \vdots \\ f_r^m(\mathbf{q}) \end{pmatrix} \quad (4.111)$$

In a given initial guess  $\mathbf{q}^0$ , the linearize version of  $f_r$  as a function of  $\mathbf{q}$  is

$$f_r(\mathbf{q}^0) + J_r(\mathbf{q}^0)(\mathbf{q} - \mathbf{q}^0) \quad (4.112)$$

where  $J_r$  is the analytical Jacobian of  $f_r$

$$J_r = \begin{pmatrix} \frac{\partial f_r^1}{\partial q_j} \\ \vdots \\ \frac{\partial f_r^m}{\partial q_j} \end{pmatrix} = \begin{pmatrix} \frac{\partial f_r^1}{\partial q_1} & \cdots & \frac{\partial f_r^1}{\partial q_n} \\ \vdots & \ddots & \vdots \\ \frac{\partial f_r^m}{\partial q_1} & \cdots & \frac{\partial f_r^m}{\partial q_n} \end{pmatrix} \quad (4.113)$$

Let  $\mathbf{r}_d$  to be the desired solution for the inverse kinematics problem. The iterative method consider the  $k + 1$ -th guess as:

$$\mathbf{q}^{k+1} = \mathbf{q}^k + J_r^{-1}(\mathbf{q}^k)(\mathbf{r}_d - f_r(\mathbf{q}^k)) \quad (4.114)$$

So, the Jacobian matrix  $J_r$  must be invertible during the iterations, in case of singularities, there will be problems. In case of redundancy ( $m < n$ ), instead of the inverse, we consider the pseudo inverse of the Jacobian matrix

$$\mathbf{q}^{k+1} = \mathbf{q}^k + J_r^\#(\mathbf{q}^k)(\mathbf{r}_d - f_r(\mathbf{q}^k)) \quad (4.115)$$

where

$$J_r^\# = (J_r^T J_r)^{-1} J_r^T \quad (4.116)$$

We have singularities when  $J_r^T J_r$  is not invertible. Without entering in the mathematical and computational complexity details, the Newton method converge when the initial guess is "close enough" to some  $\mathbf{q}^*$  that realize  $f_r(\mathbf{q}^*) = \mathbf{r}_d$ , and the convergence rate is quadratic.

We will now discuss about singularities during the iterations. Let's assume that we iterate  $k - 1$  times, and we obtained a series of guesses that are converging to a solution  $\mathbf{q}^*$

$$\{\mathbf{q}^0, \mathbf{q}^1, \mathbf{q}^2, \dots, \mathbf{q}^{k-1}\} \rightarrow \mathbf{q}^* \quad (4.117)$$

Let's assume that we are getting closer to the solution:

$$\|\mathbf{q}^i - \mathbf{q}^*\| > \|\mathbf{q}^{i+1} - \mathbf{q}^*\|, \quad \forall i \quad (4.118)$$

We are near a singularity at the  $k$ -th step, so, the matrix  $J_r(\mathbf{q}^{k-1})$  is almost (but not) singular:

$$\det J_r(\mathbf{q}^{k-1}) \simeq 0 \quad (4.119)$$

so the determinant is not zero, but really close, we calculate the  $k$ -th guess by considering

$$\mathbf{q}^k = \mathbf{q}^{k-1} + J_r^{-1}(\mathbf{q}^{k-1})(\mathbf{r}_d - f_r(\mathbf{q}^{k-1})) \quad (4.120)$$

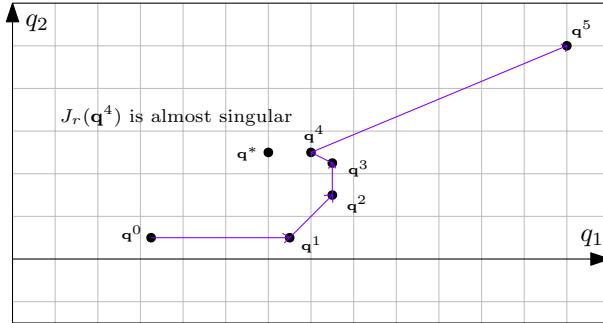
we use the identity

$$J_r^{-1} = \frac{1}{\det J_r} J_r^+ \quad (4.121)$$

where  $J_r^+$  is the classical adjoint matrix of  $J_r$ . The  $k$ -th guess is

$$\mathbf{q}^k = \mathbf{q}^{k-1} + \frac{1}{\det J_r(\mathbf{q}^{k-1})} J_r^+(\mathbf{q}^{k-1})(\mathbf{r}_d - f_r(\mathbf{q}^{k-1})) \quad (4.122)$$

Since  $\det J_r(\mathbf{q}^{k-1})$  is really small, matrix  $J_r^{-1}$  will have terms that grows really fast to infinity with  $\det J_r(\mathbf{q}^{k-1}) \rightarrow 0$ , and the next guess  $\mathbf{q}^k$  will be really far from  $\mathbf{q}^{k-1}$ , and far from the solution  $\mathbf{q}^*$ , so this methods might diverge.



An useful visualization of the newton method in case  $m = n = 1$  is presented to the following link.

[https://upload.wikimedia.org/wikipedia/commons/e/e0/NewtonIteration\\_Ani.gif](https://upload.wikimedia.org/wikipedia/commons/e/e0/NewtonIteration_Ani.gif)

#### 4.4.2 Gradient Descent

The gradient descent is an iterative algorithm that aims to minimize a given function. In our context, we want to find a  $\mathbf{q}^*$  that realize

$$f_r(\mathbf{q}^*) = \mathbf{r}_d \quad (4.123)$$

for a given task vector  $\mathbf{r}_d$ . We can define the following *error function*:

$$H(\mathbf{q}) = \frac{1}{2} \|\mathbf{r}_d - f_r(\mathbf{q})\|^2 = \frac{1}{2} (\mathbf{r}_d - f_r(\mathbf{q}))^T (\mathbf{r}_d - f_r(\mathbf{q})) \quad (4.124)$$

that, for a given  $\mathbf{q}$ , measure how far it is from a solution  $\mathbf{q}^*$  (this distance is expressed in the task space). After defining  $H$ , we apply the gradient descent to minimize it, since, if  $H(\mathbf{q}^*) = 0 \implies f_r(\mathbf{q}^*) = \mathbf{r}_d$ . We start with an initial guess  $\mathbf{q}^0$ , the  $k+1$ -th guess is given by

$$\mathbf{q}^{k+1} = \mathbf{q}^k - \alpha \nabla H(\mathbf{q}^k) \quad (4.125)$$

$\nabla H(\mathbf{q}^k)$  is the gradient of  $H$  respect to  $\mathbf{q}$ , by expanding it we get

$$\mathbf{q}^{k+1} = \mathbf{q}^k + \alpha J_r^T(\mathbf{q}^k)(\mathbf{r}_d - f_r(\mathbf{q}^k)) \quad (4.126)$$

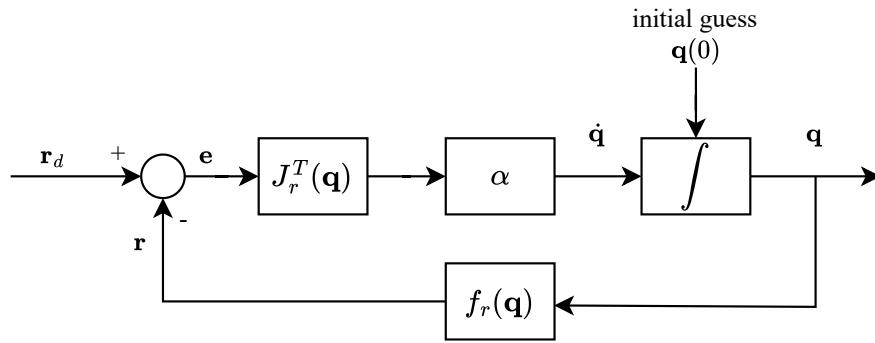
$\alpha \in (0, +\infty)$  is a real value called **step size**, and have to be chosen as an hyper parameter of the optimization.

- a small choice of  $\alpha$  may leads to extremely slow convergence
- a large choice of  $\alpha$  may lead the method to "miss" the minimum

Notice how this method is a good choice since at each step we don't have to invert the Jacobian matrix. We may also see revisit the gradient method as a feedback scheme, by considering continuous steps in time  $t$  instead of discrete steps  $k$ , in that case:

$$\mathbf{q}(t + dt) = \mathbf{q}(0) + \int_0^t K J_r^T(\mathbf{q}(\tau))(\mathbf{r}_d - f_r(\mathbf{q}(\tau))) d\tau \quad (4.127)$$

$K$  is a gain that depends on  $\alpha$ . The feedback scheme is the following:



$\mathbf{e}$  is the error  $\mathbf{r}_d - f_r(\mathbf{q})$ , this system is asymptotically stable and  $\mathbf{e}$  will converge to 0. The function

$$V = \frac{1}{2} \mathbf{e}^T \mathbf{e} = H(\mathbf{q}) \quad (4.128)$$

is a *Lyapunov candidate function*.

**Definition 8** For a dynamical system, a **Lyapunov function** is a scalar function defined on the state space of the system, that proves the stability of an equilibrium point. A function  $V$  for the equilibrium point  $\mathbf{x} = \mathbf{0}$  is a Lyapunov function if

- $V(\mathbf{x}) > 0$  for all  $\mathbf{x} \neq \mathbf{0}$  and  $V(\mathbf{0}) = 0$
- $\dot{V}(\mathbf{x}) = \frac{dV}{dt} \leq 0$ , the time derivative must be less than or equal to zero.

For now, we will not enter in the details of Lyapunov stability. Let's consider our Lyapunov candidate

$$V = \frac{1}{2} \mathbf{e}^T \mathbf{e} = H(\mathbf{q})$$

the time derivative is

$$\dot{V} = \dot{H} = -\alpha \mathbf{e}^T J_r(\mathbf{q}) J_r^T(\mathbf{q}) \mathbf{e} \leq 0 \quad (4.129)$$

notice how  $\dot{V} = 0 \iff \mathbf{e} \in \ker(J_r^T(\mathbf{q}))$ . The Gradient descent is a good optimization method because:

- It never diverges, may converge to a point that is not a solution
- Have the same use for regular case  $n = m$  and redundant case  $n > m$
- It is computationally simpler since it uses the Jacobian transpose and not the inverse

CHAPTER

5

DIFFERENTIAL KINEMATICS

# APPENDIX

## Useful Trigonometric Formulas

### atan2 Definition

$$\text{atan2}(y, x) = \begin{cases} \text{atan}(\frac{y}{x}) & x > 0 \\ \pi + \text{atan}(\frac{y}{x}) & y \geq 0, x < 0 \\ -\pi + \text{atan}(\frac{y}{x}) & y < 0, x < 0 \\ \frac{\pi}{2} & y > 0, x = 0 \\ -\frac{\pi}{2} & y < 0, x = 0 \\ \text{undefined} & y = 0, x = 0 \end{cases}$$

### Fundamental Identities

$$\begin{aligned} \sin^2 x + \cos^2 x &= 1 \\ \tan^2 x + 1 &= \sec^2 x \\ 1 + \cot^2 x &= \csc^2 x \\ \tan x &= \frac{\sin x}{\cos x}, \quad \cot x = \frac{\cos x}{\sin x} \\ \sec x &= \frac{1}{\cos x}, \quad \csc x = \frac{1}{\sin x} \end{aligned}$$

### Associated Angles

$$\begin{array}{ll} \sin(\pi - x) = \sin x, & \cos(\pi - x) = -\cos x \\ \sin(\pi + x) = -\sin x, & \cos(\pi + x) = -\cos x \\ \sin(2\pi - x) = -\sin x, & \cos(2\pi - x) = \cos x \\ \sin\left(\frac{\pi}{2} - x\right) = \cos x, & \cos\left(\frac{\pi}{2} - x\right) = \sin x \end{array}$$

## Sum and Difference of Angles

$$\begin{aligned}\sin(x+y) &= \sin x \cos y + \cos x \sin y \\ \cos(x+y) &= \cos x \cos y - \sin x \sin y \\ \tan(x+y) &= \frac{\tan x + \tan y}{1 - \tan x \tan y}\end{aligned}$$

$$\begin{aligned}\sin(x-y) &= \sin x \cos y - \cos x \sin y \\ \cos(x-y) &= \cos x \cos y + \sin x \sin y \\ \tan(x-y) &= \frac{\tan x - \tan y}{1 + \tan x \tan y}\end{aligned}$$

## Double and Triple Angle Formulas

$$\begin{aligned}\sin(2x) &= 2 \sin x \cos x \\ \cos(2x) &= \cos^2 x - \sin^2 x = 2 \cos^2 x - 1 = 1 - 2 \sin^2 x \\ \tan(2x) &= \frac{2 \tan x}{1 - \tan^2 x} \\ \sin(3x) &= 3 \sin x - 4 \sin^3 x \\ \cos(3x) &= 4 \cos^3 x - 3 \cos x \\ \tan(3x) &= \frac{3 \tan x - \tan^3 x}{1 - 3 \tan^2 x}\end{aligned}$$

## Half-Angle Formulas

$$\begin{aligned}\sin \frac{x}{2} &= \pm \sqrt{\frac{1 - \cos x}{2}} \\ \cos \frac{x}{2} &= \pm \sqrt{\frac{1 + \cos x}{2}} \\ \tan \frac{x}{2} &= \frac{\sin x}{1 + \cos x} = \frac{1 - \cos x}{\sin x}\end{aligned}$$

## Sum-to-Product Formulas

$$\begin{aligned}\sin x + \sin y &= 2 \sin \frac{x+y}{2} \cos \frac{x-y}{2} \\ \sin x - \sin y &= 2 \cos \frac{x+y}{2} \sin \frac{x-y}{2} \\ \cos x + \cos y &= 2 \cos \frac{x+y}{2} \cos \frac{x-y}{2} \\ \cos x - \cos y &= -2 \sin \frac{x+y}{2} \sin \frac{x-y}{2}\end{aligned}$$

## Product-to-Sum Formulas

$$\begin{aligned}\sin x \sin y &= \frac{1}{2} [\cos(x-y) - \cos(x+y)] \\ \cos x \cos y &= \frac{1}{2} [\cos(x-y) + \cos(x+y)] \\ \sin x \cos y &= \frac{1}{2} [\sin(x+y) + \sin(x-y)]\end{aligned}$$



## Exponentials and Complex Numbers

$$\begin{aligned} e^{ix} &= \cos x + i \sin x \\ \cos x &= \frac{e^{ix} + e^{-ix}}{2}, \quad \sin x = \frac{e^{ix} - e^{-ix}}{2i} \\ \tan x &= \frac{e^{ix} - e^{-ix}}{i(e^{ix} + e^{-ix})} \end{aligned}$$

$$(\cos x + i \sin x)^n = \cos(nx) + i \sin(nx)$$

## Hyperbolic Functions and Exponentials

$$\begin{aligned} \sinh x &= \frac{e^x - e^{-x}}{2}, \quad \cosh x = \frac{e^x + e^{-x}}{2}, \quad \tanh x = \frac{\sinh x}{\cosh x} \\ \sinh(ix) &= i \sin x, \quad \cosh(ix) = \cos x \end{aligned}$$

## Complex Numbers in Trigonometric Form

$$\begin{aligned} z &= r(\cos \theta + i \sin \theta) = re^{i\theta} \\ r &= |z| = \sqrt{x^2 + y^2}, \quad \theta = \arg(z) = \tan^{-1} \frac{y}{x} \end{aligned}$$

## Periodicity and Symmetry

$$\begin{aligned} \sin(x + 2k\pi) &= \sin x, \quad \cos(x + 2k\pi) = \cos x, \quad \tan(x + k\pi) = \tan x, \quad k \in \mathbb{Z} \\ \sin(-x) &= -\sin x, \quad \cos(-x) = \cos x, \quad \tan(-x) = -\tan x \end{aligned}$$