

Self-Organization and Artificial Life

Carlos Gershenson^{1,2}, Vito Trianni³, Justin Werfel⁴ & Hiroki Sayama⁵

¹Universidad Nacional Autónoma de México, Mexico City, Mexico

²ITMO University, St. Petersburg, Russian Federation

³Institute of Cognitive Sciences and Technologies, Italian National Research Council, Rome, Italy

⁴Wyss Institute for Biologically Inspired Engineering, Harvard University, Cambridge, MA 02138, USA

⁵Center for Collective Dynamics of Complex Systems, Binghamton University, Binghamton, NY 13902, USA

cgg@unam.mx, vito.trianni@istc.cnr.it, justin.werfel@wyss.harvard.edu, sayama@binghamton.edu

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Abstract

Self-organization can be broadly defined as the ability of a system to display ordered spatio-temporal patterns solely as the result of the interactions among the system components. Processes of this kind characterize both living and artificial systems, making self-organization a concept that is at the basis of several disciplines, from physics to biology to engineering. Placed at the frontiers between disciplines, Artificial Life (ALife) has heavily borrowed concepts and tools from the study of self-organization, providing mechanistic interpretations of life-like phenomena as well as useful constructivist approaches to artificial system design. Despite its broad usage within ALife, the concept of self-organization has been often excessively stretched or misinterpreted, calling for a clarification that could help with tracing the borders between what can and cannot be considered self-organization. In this review, we discuss the fundamental aspects of self-organization and list the main usages within three primary ALife domains, namely “soft” (mathematical/computational modeling), “hard” (physical robots), and “wet” (chemical/biological systems) ALife. Finally, we discuss the usefulness of self-organization within ALife studies, point to perspectives for future research, and list open questions.

1 What is self-organization?

The term “self-organization” was used sparingly in the XIXth century, mainly applied to social systems. In the 1930s it was introduced within embryology (Stengers, 1985). Similar concepts had been proposed earlier by Kant (Juarrero-Roqué, 1985). The idea can even be traced to antiquity, including Greek and Buddhist philosophies (Kirk, 1951; Gershenson, 2018). The term “self-organizing system” was coined by Ashby (1947) to describe phenomena

where local interactions between independent elements lead to global behaviors or patterns. The phrase is used when an external observer perceives a pattern in a system with many components, and this pattern is not imposed by a central authority among or external to those components, but rather arises from the collective behavior of the elements themselves. Natural examples are found in areas such as collective motion (Vicsek and Zafeiris, 2012), as when birds or fish move in flocks or schools exhibiting complex group behavior; morphogenesis (Lawrence, 1992), in which cells in a living body divide and specialize to develop into a complex body plan; and pattern formation (Cross and Hohenberg, 1993) in a variety of physical, chemical, and biological systems (Camazine et al., 2003), such as convection and crystal growth as well as the formation of patterns like stripes and spots on animal coats.

A formal definition of the term runs into difficulties in agreeing on what is a *system*, what is *organization*, and what is *self* (Gershenson and Heylighen, 2003), none of which are perfectly straightforward. However, a pragmatic approach focuses on when it is *useful* to describe a system as self-organizing (Gershenson, 2007). This utility typically comes when an observer identifies a pattern at a higher scale but is also interested in phenomena at a lower scale; there then arise questions of how the lower scale produces the observables at the higher scale, as well as how the higher scale constrains and promotes observables at the lower scale. For example, bird behavior leads to flock formation, and descriptors at the level of the flock can also be used to understand regulation of individual bird behavior (Keys and Dugatkin, 1990).

Self-organization has been an important concept within a number of disciplines, including statistical mechanics (Wolfram, 1983; Crutchfield, 2011), supramolecular chemistry (Lehn, 2017), and computer science (Mamei et al., 2006). Artificial Life (ALife) frequently draws heavily on self-organizing systems in different contexts (Aguilar et al., 2014), starting in the early days of the field with studies of systems like snowflake formation (Packard, 1986) and agent flocking (Reynolds, 1987), and continuing to the present day. However, there are often confusions and misinterpretations involved with this concept, possibly due to an apparent lack of recent systematic literature. In this work, we aim at providing a review of self-organization within the context of ALife, with a goal to open discussions on this important topic to the interested audience within the community. We first articulate some fundamental aspects of self-organization, outline ways the term has been used by researchers in the field, and then summarize work based on self-organization within *soft* (simulated), *hard* (robotic), and *wet* (chemical and biochemical) domains of ALife. We also provide perspectives for further research.

2 Usage

Ashby coined the term “self-organizing system” to show that a machine could be strictly deterministic and yet exhibit a self-induced change of organization (Ashby, 1947). This notion was further developed within cybernetics (von Foerster, 1960; Ashby, 1962). In many contexts, a thermodynamical perspective has been taken, where “organization” is viewed as the opposite of entropy (Nicolis and Prigogine, 1977). Since there is an equivalence between Boltzmann-Gibbs entropy and Shannon information, this notion has also been applied in contexts related to information theory (Fernández et al., 2014). In this view, a self-organizing

system is one whose dynamics lead it to decrease its entropy or its information content. In the meantime, there are several other definitions of self-organization as well. For example, [Shalizi \(2001\)](#) defines self-organization as an increase in statistical complexity, which in turn is defined as the amount of information required to minimally specify the state of the system’s causal architecture. As an alternative to entropy, the use of the mean value of random variables has also been proposed ([Holzer and De Meer, 2011](#)).

The recent subfield of *guided self-organization* explores mechanisms by which self-organization can be regulated for specific purposes — that is, how to find or design dynamics for a system such that it will have particular attractors or outcomes ([Prokopenko, 2009](#); [Ay et al., 2012](#); [Polani et al., 2013](#); [Prokopenko, 2014](#); [Prokopenko and Gershenson, 2014](#)). Much of this research is based on information theory. For example, the self-organization of random Boolean networks ([Kauffman, 1969, 1993](#)) can be guided to specific dynamical regimes ([Gershenson, 2012](#)). The concept of self-organization is also heavily used in organization science, with relevance to early artificial society models ([Gilbert and Conte, 1995](#); [Epstein and Axtell, 1996b](#)) which have evolved into what is known today as computational social science ([Lazer et al., 2009](#)).

While there may be no single agreed-on definition of self-organization, this lack need not be an insurmountable obstacle for its study, any more than a lack of a unanimous formal definition of “life” has been an obstacle for progress in the fields of biology or ALife. In what follows, we provide a concise review of how self-organization has contributed to the progress of ALife.

3 Domains

One way to classify ALife research is to divide it into *soft*, *hard*, and *wet* domains, roughly referring to computer simulations, physical robots, and chemical/biological research (including living technology as the application of ALife ([Bedau et al., 2009](#))), respectively. Self-organization has played a central role in work in all three domains.

3.1 Soft ALife

Soft ALife, or mathematical and computational modeling and simulation of life-like behaviors, has been linked to self-organization in many sub-domains. Cellular automata (CAs) ([Ilachinski, 2001](#)), one of the most popular modeling frameworks used in earlier forms of soft ALife, are well-explored, illustrative examples of self-organizing systems. A CA consists of many units (cells), each of which can be in any of a number of discrete states, and each of which repeatedly determines its next state in a fully distributed manner, based on its current state and those of its neighbors. With no central controller involved, CAs can spontaneously organize their state configurations to demonstrate various forms of self-organization: dynamical critical states such as in sand-pile models ([Bak et al., 1988](#)) and in the Game of Life ([Bak et al., 1989](#)), spontaneous formation of spatial patterns ([Young, 1984](#); [Wolfram, 1984](#); [Ermentrout and Edelstein-Keshet, 1993](#)), self-replication ¹ ([Langton, 1984, 1986](#); [Reggia](#)

¹Note that earlier literature on self-reproducing cellular automata ([von Neumann, 1966](#); [Codd, 1968](#)) is not included here, because those models typically had a clear separation between a central universal

et al., 1993; Sipper, 1998), and evolution by variation and natural selection (Sayama, 1999, 2004; Salzberg and Sayama, 2004; Suzuki and Ikegami, 2006; Oros and Nehaniv, 2007, 2009). Similarly, partial differential equations (PDEs), a continuous counterpart of CAs, have an even longer history of demonstrating self-organizing dynamics (Turing, 1952; Glansdorff and Prigogine, 1971; Field and Noyes, 1974; Pearson, 1993).

Another representative class of soft ALife that shows self-organization comprises models of collective behavior of self-driven agents (Vicsek and Zafeiris, 2012). Reynolds’ Boids model (Reynolds, 1987) is probably the best known in this category. In this work, self-propelled agents (“boids”) move in a continuous space according to three kinetic rules: cohesion (to maintain positional proximity), alignment (to maintain directional similarity), and separation (to avoid overcrowding and collision). A variety of related models have since been proposed and studied, including simplified, statistical-physics-oriented ones (Vicsek et al., 1995; Levine et al., 2000; Aldana et al., 2007; Newman and Sayama, 2008) and more detailed, behavioral-ecology-oriented ones (Couzin et al., 2002; Kunz and Hemelrijk, 2003; Hildenbrandt et al., 2010). These models produce natural-looking flocking/schooling/swarming collective behaviors out of simple decentralized behavioral rules, and they also exhibit phase transitions between distinct macroscopic states.

Such collective behavior models have been brought to *artificial chemistry* studies (Dittrich et al., 2001; Banzhaf and Yamamoto, 2015) as well, such as *swarm chemistry*, its variants, and other similar models (Sayama, 2008; Kreyssig and Dittrich, 2011; Sayama, 2011, 2012; Erskine and Herrmann, 2015; Schmickl et al., 2016; Nishikawa et al., 2018), in which kinetically and chemically distinct species of idealized agents interact to form non-trivial spatiotemporal dynamic patterns. More recently, these collective behavior models have also been actively utilized in *morphogenetic engineering* (Doursat, 2011; Doursat et al., 2012), in which researchers attempt to achieve a successful merger of self-organization and programmable architectural design, by discovering or designing agent rules that result in specific desired high-level patterns.

Other examples of self-organization in soft ALife are found in simulation models of artificial societies. Their roots can be traced back to the famous segregation models developed by Sakoda and Schelling back in the early 1970s (Sakoda, 1971; Schelling, 1971; Hegselmann, 2017), in which simple, independent decision making by individual agents would eventually cause a spatially segregated state of society at a macroscopic level. Agent-based simulation of artificial societies has been one of the core topics discussed in the ALife community (Epstein and Axtell, 1996a; Lansing, 2002), and has elucidated self-organization of issues in social order such as geographical resource management (Lansing and Kremer, 1993; Bousquet and Page, 2004), cooperative strategies (Lindgren and Nordahl, 1993; Brede, 2011; Adami et al., 2016; Ichinose and Sayama, 2017), and common languages (Steels, 1995; Kirby, 2002; Smith et al., 2003; Lipowska and Lipowski, 2012). The literature on self-organization of adaptive social network structure (Gross and Sayama, 2009; Bryden et al., 2010; Geard and Bullock, 2010) may also be included in this category.

As adaptive networks at an individual organism level, brains and nervous systems also have been described as self-organizing systems for decades (Kelso, 1997; Hesse and Gross,

controller and a structure that is procedurally constructed by the controller; thus they may not constitute a good example of self-organization as discussed in this article.

2014), as neurons interact to produce behavioral and cognitive patterns. Self-organization of such neural systems has been particularly useful in computer science, where artificial neural networks have been trained with self-organizing algorithms (*e.g.* Hopfield, 1982; Kohonen, 2000). Since a large part of soft and hard ALife research deals with agents, animats or robots (virtual or physical) being controlled by artificial neural networks, it can be said that self-organization is present not only at the behavioral level, but also at the controller level in many cases.

Similar approaches have also been used in search and optimization techniques (Downing, 2015). For example, Watson and colleagues have proposed to use Hebbian learning to self-organize components of a complex system to resolve conflicts (Watson et al., 2010, 2011). This mechanism probably has also been exploited beyond neural systems, as computational anthropology studies suggest (Froese et al., 2014a).

3.2 Hard ALife

Robots can be considered to be life-like artifacts in their ability to sense their physical environment and take action in response. Physical agents, even very simple ones, can evoke in the observer a particularly strong sense of being animate. From W. Grey Walter’s tortoises (Walter, 1950, 1951), to simple machines based on the principles of Braitenberg’s vehicles (Braitenberg, 1986), to other reactive robots (Brooks, 1989), to recent biomimetic and bio-inspired designs (Saranli et al., 2001; Wood et al., 2013; Kim and Wensing, 2017), building artificial life as physically embodied hardware allows it to exploit the rich dynamics underlying the interaction between itself and its environment, so that even simple mechanisms and behavioral rules can confer sophisticated life-like attributes to limited machines (Simon, 1969). Still higher complexity can be attained either by increasing the sophistication of a single robot, or by increasing the number of robots in a system that, through the resulting interaction and self-organization, can then evince more sophisticated abilities collectively, from adaptive responses to group decision making.

Physical hardware has the strong advantage that the physical characteristics of the system (dynamics, sensor performance, actuator noise profiles, etc.) are by definition realistic, whereas simulations are necessarily simplified and typically fail to capture phenomena that only become evident through material experimentation (Brooks and Matarić, 1993; Jakobi, 1997; Rubenstein et al., 2014). Conversely, while simulation can readily handle very large numbers of agents, hardware considerations (cost, space, scalability of operation, etc.) have traditionally limited hard ALife studies to using a small number of robots. In some scenarios, self-organizing phenomena of interest do not necessarily require a large number of robots; when the mechanism for coordination is based on *stigmergy* (persistent information left in a shared environment), the important element is a large number of interactions between robot and environment, and even a single robot could suffice (Beckers et al., 2000; Werfel et al., 2014). More recently, hardware advances have made it possible to conduct physical experiments with robots in numbers exceeding a thousand (Rubenstein et al., 2014).

Physical experiments have been used to explore self-organizing phenomena in a variety of areas. Aggregation of objects has been studied from a physics perspective (Giomi et al., 2013); in ways inspired by behavior observed in living systems, such as cockroaches or bees (Halloy et al., 2007; Garnier et al., 2008; Kernbach et al., 2009); and using controllers de-

signed through automatic methods like artificial evolution (Dorigo et al., 2004; Francesca et al., 2014). Another topic is collective navigation, in which groups of robots coordinate their overall direction of motion and collectively avoid obstacles (Baldassarre et al., 2007; Trianni and Dorigo, 2006; Turgut et al., 2008). Also, the coordination of flying robots has been explored using self-organization (Virágh et al., 2016; Vásárhelyi et al., 2018). In other collective decision-making processes, positive feedback from recruitment processes and negative feedback from cross-inhibition contribute to shape the outcome (Reina et al., 2018; Valentini et al., 2015; Scheidler et al., 2016; Garnier et al., 2009, 2013; Kernbach et al., 2009; Francesca et al., 2014; Valentini et al., 2017). Self-assembly (Whitesides and Grzybowski, 2002) is another form of self-organization, with several examples in hard ALife of self-assembling or self-reconfiguring robots (Murata et al., 1994; Griffith et al., 2005; Zykov et al., 2005; Dorigo et al., 2006; Yim et al., 2007; Ampatzis et al., 2009; Rubenstein et al., 2014).

3.3 Wet ALife

Wet ALife, or physico-chemical synthesis of life-like behaviors, extensively utilizes self-organization as its core principle. A classic example is the spatial pattern formation in experimentally realized reaction-diffusion systems, such as the Belousov-Zhabotinsky reaction (Vanag and Epstein, 2001; Adamatzky et al., 2008) and Gray-Scott-like self-replicating spots (Lee et al., 1994; Froese et al., 2014b), where dynamic patterns self-organize entirely from spatially localized chemical reactions. Similar approaches can also be taken by using microscopic biological organisms (e.g., slime molds) as the media of self-organization (Garfinkel, 1987; Höfer et al., 1995; Marée and Hogeweg, 2001; Adamatzky et al., 2008; Adamatzky, 2015).

In research on the origins of life, molecular self-assembly plays the essential role in producing protocell structures and their metabolic dynamics (Rasmussen et al., 2003; Hanczyc et al., 2003; Rasmussen et al., 2004, 2008). Chemical autopoiesis such as dynamic formation and maintenance of micelles and vesicles (Luisi and Varela, 1989; Bachmann et al., 1990, 1992; Walde et al., 1994) may also be included in this context.

More recently, dynamic behaviors of macroscopically visible chemical droplets, a.k.a. *liquid robots* (Čejková et al., 2017), have become a focus of active research in ALife. In this line of research, interactions among chemical reactions, physical micro-fluid dynamics and possibly other not-yet-fully-understood microscopic mechanisms cause self-organization of spontaneous movements (Hanczyc et al., 2007; Čejkova et al., 2014) and complex morphology (Čejková et al., 2018) of those droplets. Moreover, droplet-based systems have also been used to demonstrate artificial evolution in experimental chemical systems (Parrilla-Gutierrez et al., 2017).

Wet ALife has developed more recently than the soft and hard perspectives, but it has a great potential to better understand living processes and also to exploit and regulate them with engineering principles and purposes.

4 Perspectives

As already mentioned above, we can understand a self-organizing system as one in which organization increases in time, without external agency imposing this change. However, it can be shown that, depending on how the variables of a system are chosen, the same system can be said to be either organizing or disorganizing (Gershenson and Heylighen, 2003). Moreover, in several examples of self-organization, it is not straightforward to identify the *self* of the system, as oftentimes all elements composing the system can be ascribed equal agency. Finally, in cybernetics and systems theory, the dependency of the boundaries of a system on the observer has thoroughly been discussed (Gershenson et al., 2014): one wants to have an objective description of phenomena, but descriptions are necessarily made by observers, making them partially subjective.

It becomes clear, then, that discussing self-organization requires the identification of what is *self* and what is *other*, and what are the elements that are increasing in their *organization*. Similar issues have been tackled by Maturana and Varela (1980) in the definition of living systems as autopoietic systems. According to this tradition, a living system is inherently self-organizing because the *self* is continuously produced or renewed by processes brought forth by the system’s internal components. In other words, an autopoietic system can be recognized as a unity with boundaries that encompass a number of simpler/elementary components that are at the basis of the organization of the system, as they are responsible for the definition of the system boundaries and for the (re)production of the very same components (Varela et al., 1974). This is a peculiar characteristic of living systems. If life is deeply rooted in self-organization, so should be ALife, and the several acceptations of ALife discussed above demonstrate the richness of the links it holds with self-organization. Nevertheless, autopoiesis did not originally consider evolution (history), an essential aspect of biology.

Whether evolution itself is an example of self-organization warrants discussion, too. Evolution is often depicted as synonymous with adaptation, a convergent process toward optimal types that are driven by external mechanisms (selection criteria or fitness landscapes). This has often been discussed as opposed or complementary to self-organization, most notably by Kauffman (Kauffman, 1993) and Gould (Gould, 1990). Meanwhile, there is also an effort of re-describing biological evolution as a kind of self-organization (Weber and Depew, 1996), as all the mechanisms of evolution, such as variation, reproduction and selection, are ultimately grounded upon local, uncontrolled physical/chemical processes. Also, if one uses a very large spatial/temporal-scale perspective to observe evolution, it can be regarded as a self-organizing process of the population of evolving organisms as they may spontaneously generate more diverse species, more complex inter-specific interactions, and even higher-order evolving entities, over very long times (Levin, 2005).

Looking at the perspectives of ALife, it can be useful to think of self-organization as a common language that unifies the soft, hard and wet domains. The term is broadly used across many areas, pointing to the existence of common features that can tie together otherwise disparate studies. By recognizing and exploiting these commonalities, a better understanding of self-organization should help the advancement of ALife. The ALife community can progress owing to shared concepts and definitions, and despite the mentioned difficulties, self-organization stands as a common ground on which to build shared consensus.

Most importantly, we believe that the identification and classifications of the *mechanisms* that underpin self-organization can be extremely useful to synthesize novel forms of ALife and gain a better understanding of life itself.

These mechanisms should be identified at the level of the system components and characterized for the effects they have on the system organization. Mechanisms pertain to the modalities of interaction among system components (*e.g.*, collisions, perceptions, direct communication, stigmergy), to behavioral patterns pertaining to individual components (*e.g.*, exploration vs. exploitation), and to information enhancement or suppression (*e.g.*, recruitment or inhibitory processes). The effects of the mechanisms should be visible in the creation of feedback loops—positive or negative—at the system level, which determine the complex dynamics underlying self-organization. We believe that, by identifying and characterizing the mechanisms that support self-organization, the synthesis of artifacts with life-like properties would be much simplified. In this perspective, mechanisms underlying self-organization could potentially be thought of as *design patterns* to generate ALife systems (Babaoglu et al., 2006; Fernandez-Marquez et al., 2013; Reina et al., 2015). By exploiting and composing them, different forms of ALife could be designed with a principled approach, owing to the understanding of the relationship between mechanisms and system organization.

The possibility of exploiting self-organization for design purposes is especially relevant toward the development of *living technologies*, that is, technologies presenting features of living systems (Bedau et al., 2009), such as robustness, adaptability, and self-organization, which can include self-reconfiguration, self-healing, self-management, self-assembly, etc., often named together as “self-*” in the context of autonomic computing (Poslad, 2009).

Self-organization has been used directly in living technologies within a variety of domains (Bedau et al., 2013), from protocells (Rasmussen et al., 2008) to cities (Gershenson, 2013), and also several methodologies that use self-organization have been proposed in engineering (Frei and Di Marzo Serugendo, 2011). A major leap forward can be expected when principled design methodologies are laid down, and a better understanding of self-organization for ALife can be at the forefront of the development of such methods.

It is also worth considering when self-organization is *not* useful in the context of ALife. Tracing a clear line across the domain is of course impossible, but our reasoning above provides some suggestions. Indeed, self-organization does not account for every life-like process, for instance when there is no clear increase in organization. For instance, hard ALife has strongly developed the concept of embodied cognition and morphological computation (Pfeifer et al., 2007; Pfeifer and Gómez, 2009), where the dynamics of mind-body-environment interaction are fundamental aspects. These dynamics, albeit very complex, are not easily described within the framework of self-organization. Self-organization is useful when we are interested in observing phenomena at more than one scale, as it allows us to describe how elements interact to produce systemic properties. Still, if we are only interested in observing phenomena at a single scale, then perhaps self-organization would not offer any descriptive advantage. Examples include embodied cognition (when we are focusing on a single cognitive agent and its interaction with its environment) and most of the traditional types of evolutionary algorithms (when there are no interactions between individuals of a population).

Depending on the desired function of a system and the properties of its environment, several balances have to be considered, *e.g.*, between order and chaos, between robustness

and adaptability, between production and destruction, between exploration and exploitation. Self-organization can be useful to let systems find by themselves the appropriate balances for their current context, as the optimal balance can change (Gershenson and Helbing, 2015).

There are several open questions which make for promising lines of research in the near future within ALife:

1. How can self-organization be programmed?
2. Can the macroscopic outcomes of self-organization be predicted?
3. What is the role of self-organization in the open problems of ALife (Bedau et al., 2000), e.g., open-ended evolution (Taylor et al., 2016)?
4. How can understanding of self-organization in ALife benefit other disciplines? These include biology, medicine, engineering, philosophy, sociology, economics, and more.
5. What are the theoretical and practical limits of self-organization?

These and more questions highlight the strong role that self-organization has within ALife. Searching for their answers will be challenging, but the insights provided will permeate beyond ALife.

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