# COMP9414 Tutorial

Week 10 (Final week)

#### News

- Assignment 2 marking will begin soon
  - Expect to see marks possibly next week
- Exam consultations on:
  - 2pm Tuesday (August 11<sup>th</sup>)
  - 2pm Thursday (August 13<sup>th</sup>)
  - 2pm Monday (August 17<sup>th</sup>)
- Exam is at 2 5pm Tuesday (August 18<sup>th</sup>)
  - 2 hours and 10 mins in length, must complete by 5pm
  - Make sure you do the practise exam to test your network



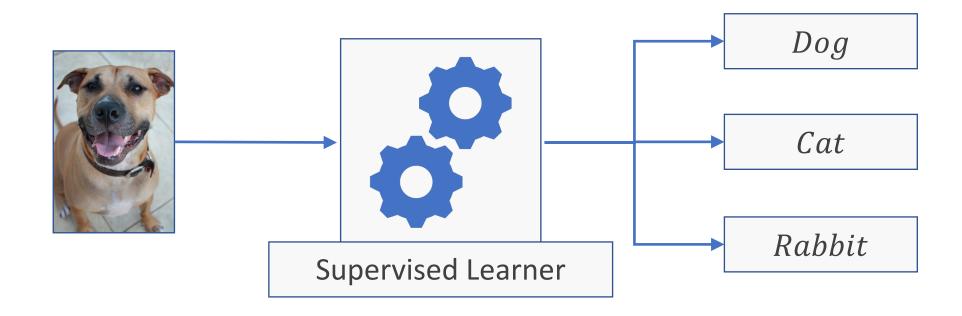
## Supervised Learning

Train an algorithm to learn a mapping function between a set of input and output

$$(x_0, y_0), (x_1, y_1) \dots (x_n, y_n)$$

$$x_i \to f(x_i) \to y_i'$$

$$y_i' \cong y$$



## Perceptrons

$$\hat{y} = \begin{bmatrix} 1.0 \\ x_1 \\ x_2 \\ \dots \\ x_k \end{bmatrix} \cdot [w_0 \quad w_1 \quad w_2 \quad \dots \quad w_k]$$

$$= w_0 + w_1 x_1 + w_2 x_2 + \dots + w_k x_k$$

x = input matrix

w = weight matrix

y = actual result

 $\hat{y}$  = predicted result

Generate a weight matrix that ensures:

$$\hat{y} = y$$

Training Example	$x_1$	$x_2$	Class
а	0	1	-1
b	2	0	-1
С	1	1	1



Slope = 
$$m = 0 - 1 / 2 - 0 = -1/2$$

$$y = mx + b$$

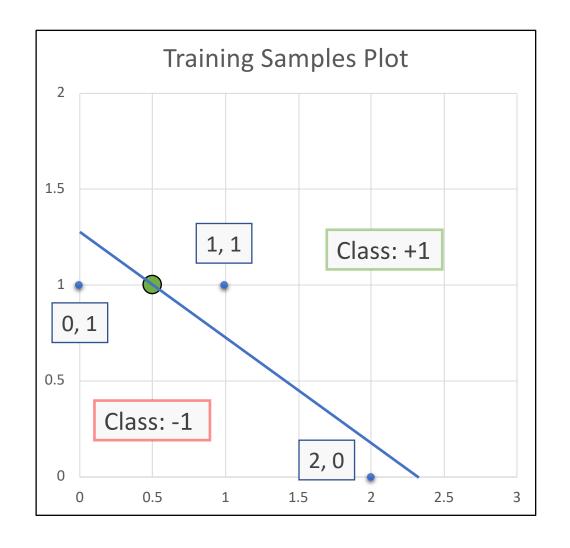
$$1 = -1/2 * 0.5 + b$$

$$b = 1.25 = 5/4$$

$$x_2 = -0.5x_1 + 1.25$$

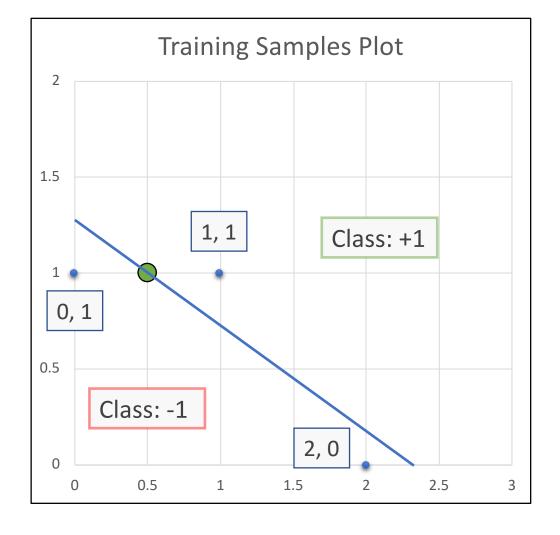
$$0 = 0.5x_1 + x_2 - 1.25$$

$$0 = 2x_1 + 4x_2 - 5$$



Training Example	$x_1$	$x_2$	Class
а	0	1	-1
b	2	0	-1
С	1	1	1

$0 = 2x_1 + 4x_2 - 5$		
-5		
$w_1$	2	
$w_2$	4	



1	Calculate s (prediction)
2	Execute transfer function
3	Compare prediction with actual class
4	Change weights if necessary

Example	$x_1$	$x_2$	Class
а	0	1	-1
b	2	0	-1
С	1	1	1

$w_0$	$w_1$	$w_2$
-0.5	0	1

1 | Calculate *s* (prediction)

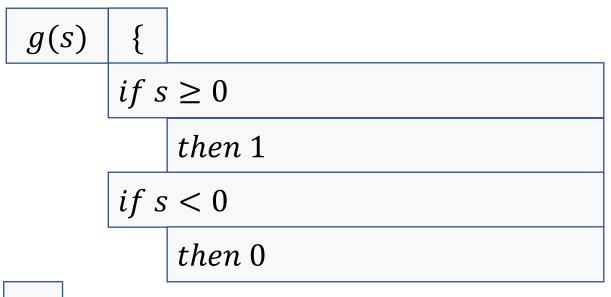
$s = w_0 + w_1 x_1 + w_2 x_2$		
$w_0$		-0.5
$w_1x_1$	0 * 0	0
$w_2x_2$	1 * 1	1

$w_0$	$w_1$	$w_2$
-0.5	0	1

Example	$x_1$	$x_2$	Class
а	0	1	-1

$$w_0 + w_1 x_1 + w_2 x_2$$
 0.5

2 Execute transfer function g(s)



$w_0$	$w_1$	$w_2$
-0.5	0	1

Example	$x_1$	$x_2$	Class
a	0	1	-1

g(0.5) 1

}

3 Compare prediction with actual class

$$if \ g(s) > g(actual\_class)$$

$$Subtraction -$$

$w_0$	$w_1$	$W_2$
-0.5	0	1

$if g(s) < g(actual\_class)$		
	Addition	+

if 1 > 0

Example	$x_1$	$x_2$	Class
а	0	1	-1

4 Change weights if necessary

#### Addition

$$w_0 = w_0 + learning\_rate$$

$$w_k = w_k + (learning\_rate * x_k)$$

#### Subtraction

$$w_0 = w_0 - learning\_rate$$

$$w_k = w_k - (learning\_rate * x_k)$$

$w_0$	$w_1$	$w_2$
-0.5	0	1

Example	$x_1$	$x_2$	Class
а	0	1	-1

## Question 1b - Learning Rate

Control the degree that the weights can be changed

Larger learning rate results in big changes

Converges to the optimal solution faster, but risks moving past or losing it

$s = w_0 + w_0 x_1 = 1 + 5$	5 * 10 = 51	$\hat{y} = 51, y = 55$	$\hat{y} \not\equiv y$	Addition
$w_0 = 1$				
$w_1 = 5$	$w_0 = w_0$	+ 4	5	Completely missed the predicted
$x_1 = 10$	$w_1 = w_1$	+ (4 * 10)	45	value.
$Learning\_rate = 4$	s = 5	+45*10=5+4	50 = 455	

## Question 1b - Learning Rate

Smaller learning rate results in shorter, more precise steps

Converges to the optimal solution much slower, but can get closer since the steps are miniscule

$$s = w_0 + w_0 x_1 = 1 + 5 * 10 = 51$$
  $\hat{y} = 51, y = 55$   $\hat{y} \not\equiv y$  Addition  $w_0 = 1$ 

$$w_1 = 5$$

$$x_1 = 10$$

 $Learning\_rate = 0.005$ 

$$w_0 = w_0 + 0.005$$
 1.005 Step is too small, will take too long to converge.  $w_1 = w_1 + (0.005 * 10)$  5.05  $= 1.005 + 5.05 * 10 = 1.005 + 50.5 = 51.505$ 

4 Change weights if necessary

Example	$x_1$	$x_2$	Class
а	0	1	-1

#### Subtraction

$w_0 = w_0 - learning\_rate$	$w_0 = -0.5 - 1$	-1.5
$w_k = w_k - (learning\_rate * x_k)$	$w_1 = 0 - (1 * 0)$	0
	$w_2 = 1 - (1 * 1)$	0

$w_0$	$w_1$	$w_2$
-0.5	0	1



$w_0$	$w_1$	$w_2$
-1.5	0	0

Training Example	$x_1$	$x_2$	Class	g(Class)
а	0	1	-1	0
b	2	0	-1	0
С	1	1	1	1

$w_0$	$w_1$	$w_2$
-0.5	0	1

Iteration 1	а	Prediction	+ 0.5	g(0.5) = 1	Subtraction
$w_0 = -0.5 - 1.$	-1.5				
$w_1 = 0.0 - (1.0 \times 0.0)$					0.0
$w_2 = 1.0 - (1.0 \times 1.0)$					0.0

Training Example	$x_1$	$x_2$	Class	g(Class)
а	0	1	-1	0
b	2	0	-1	0
С	1	1	1	1

$w_0$	$w_1$	$w_2$
-1.5	0	0

Iteration 2	b Prediction	- 0.5	g(-0.5) = 0	Subtraction
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Do nothing since the predicted result matches the positivity of the actual result

Training Example	$x_1$	$x_2$	Class	g(Class)
а	0	1	-1	0
b	2	0	-1	0
С	1	1	1	1

$w_0$	$w_1$	$w_2$
-1.5	0	0

Iteration 3	С	Prediction	- 1.5	g(-1.5) = 0	Subtraction
$w_0 = -1.5 + 1.$	0				-0.5
$w_1 = 0.0 + (1.0)$	$) \times 1.0$	)			1.0
$w_2 = 0.0 + (1.0)$	$) \times 1.0$	)			1.0

Training Example	$x_1$	$x_2$	Class	g(Class)
а	0	1	-1	0
b	2	0	-1	0
С	1	1	1	1

$w_0$	$w_1$	$w_2$
-3.5	0	1

Iteration 21	С	Prediction	- 1.5	g(-1.5) = 0	Subtraction
$w_0 = -3.5 + (1$	$1.0 \times 1$	.0)			-2.5
$w_1 = 0.0 + (1.0)$	$) \times 1.0$	)			1.0
$w_2 = 1.0 + (1.0)$	$) \times 1.0$	)			2.0

#### Question 2a

Perceptron to compute the OR function of m inputs Set the bias weight to -1/2, all other weights to 1

m inputs where at least one must be true for the overall function to be true

a V b V c V d V e ... V z

Perceptron to compute the AND function of m inputs

Set the bias weight to 1/2 - n, all other weights to 1

m inputs where all must be true for the overall function to be true

 $a \wedge b \wedge c \wedge d \wedge e \dots \wedge z$ 

#### Question 2c

2-Layer Neural Network to compute any (given) logical expression, assuming it is written in Conjunctive Normal Form.

Conjunctive normal form is a series of disjunctive predicates connected by conjunctions

 $(A \lor B) \land (\neg B \lor C \lor \neg D) \land (D \lor \neg E)$ 

#### Question 2c

 $(A \lor B) \land (\neg B \lor C \lor \neg D) \land (D \lor \neg E)$ 

Weights should be +1 for normal predicates

Weights should be -1 for negations

Basically a combination of the previous two questions

#### Question 2c

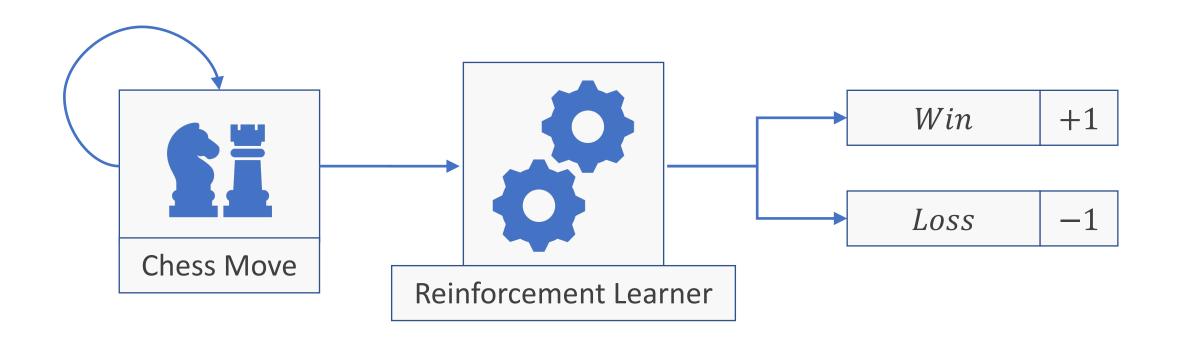
$$1x_1 + 1x_2 + (0 - 0.5)$$

$$-1x_1 + 1x_2 - 1x_3 + (2 - 0.5)$$

$$1x_1 - 1x_2 + (1 - 0.5)$$

## Reinforcement Learning

Train an algorithm by providing it rewards when it makes the correct decision

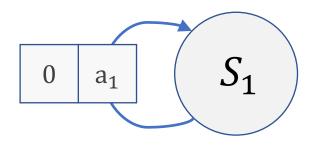


$$S = \{S_1, S_2\}$$

$$A = \{a1, a2\}$$

$$\delta(S_1, a_1) = S_1$$

$$r(S_1, a_1) = 0$$



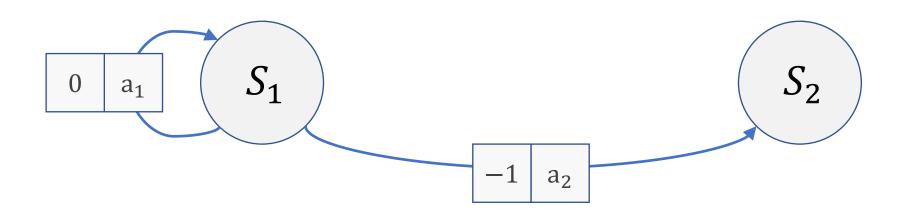


$$S = \{S_1, S_2\} \qquad A =$$

$$A = \{a1, a2\}$$

$$\delta(S_1, a_2) = S_2$$

$$r(S_1, a_2) = -1$$

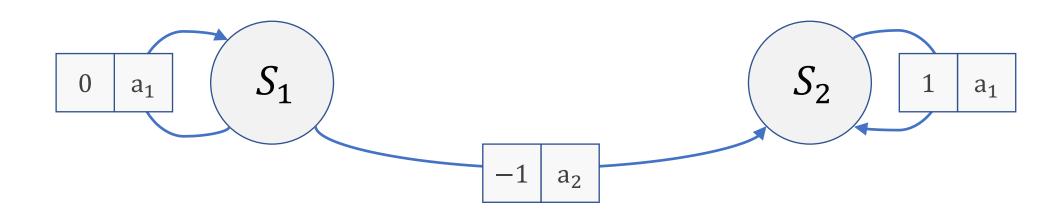


$$S = \{S_1, S_2\} \qquad A =$$

 $A = \{a1, a2\}$ 

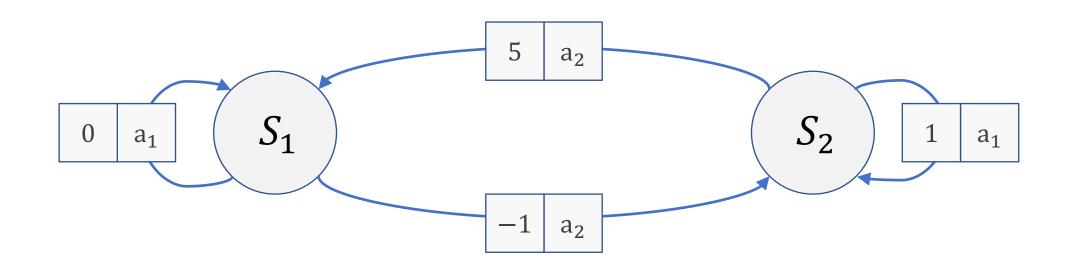
$$\delta(S_2, a_1) = S_2$$

$$r(S_2, a_1) = 1$$



$$S = \{S_1, S_2\}$$
  $A = \{a1, a2\}$ 

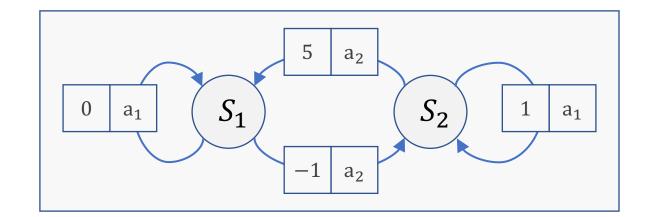
$$\delta(S_2, a_2) = S_1$$
  $r(S_2, a_2) = 5$ 



## Question 3iib - Calculating the Optimal Policy

#### Bellman equation

$$V^*(s) = r(s, a) + \gamma V^* \delta(s, a)$$



 $\pi: S \to A$ 

Optimal Policy

Maximises the cumulative reward

- 1 Calculate bellman equation for each state and action pair
- 2 Select the pair that achieves the highest combined result

This becomes the optimal policy

$$\gamma = 0.9 \quad V^*(s) = r(s, a) + \gamma V^* \delta(s, a)$$

$$\delta^*(S_1) = S_1$$

$$V^*(S_1) = 0 + 0.9V^*(S_1)$$

$$0.1V^*(S_1) = 0$$
  $10 * 0 = 0$ 

$$10 * 0 = 0$$

$$\delta^*(S_2) = S_2$$

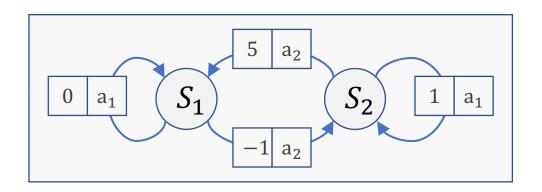
$$V^*(S_2) = 1 + 0.9V^*(S_2)$$

$$0.1V^*(S_2) = 1$$

$$10 * 1 = 10$$

$$V^*(S_1) = 0$$

$$V^*(S_2) = 10$$



$$\gamma = 0.9 \quad V^*(s) = r(s, a) + \gamma V^* \delta(s, a)$$

$$\delta^*(S_2) = S_2$$

$$V^*(S_2) = 1 + 0.9V^*(S_2)$$

$$0.1V^*(S_2) = 1$$
  $10 * 1 = 10$ 

$$10 * 1 = 10$$

$$\delta^*(S_1) = S_2$$

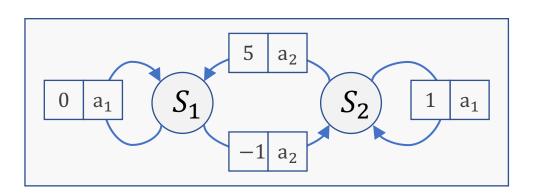
$$V^*(S_1) = -1 + 0.9V^*(S_2)$$

$$V^*(S_1) = -1 + 0.9V^*(S_2) | V^*(S_1) = -1 + (0.9 * 10) | = 8$$

$$\frac{1}{1} = \frac{1}{1} + \frac{1}{1} + \frac{1}{1} = \frac{1}{1} + \frac{1}{1} = \frac{1}{1} + \frac{1}{1} = \frac{1}$$

$$V^*(S_1) = 10$$

$$V^*(S_2) = 8$$



$$\gamma = 0.9 \quad V^*(s) = r(s, a) + \gamma V^* \delta(s, a)$$

$$\delta^*(S_1) = S_2$$

$$V^*(S_1) = -1 + 0.9V^*(S_2)$$

$$= -1 + 0.9(5 + 0.9V^*(S_1))$$

$$= -1 + 4.5 + 0.9^2 V^*(S_1)$$

$$= 3.5 + 0.9^2 V^*(S_1)$$

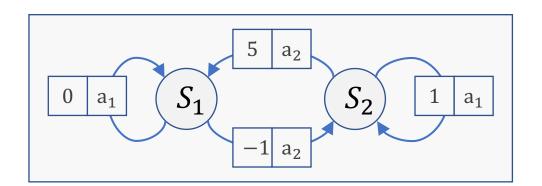
$$3.5 + 0.81V^*(S_1)$$

$$0.19V^*(S_1) = 3.5$$

$$V^*(S_1) = 3.5/0.19 = 18.42$$

$$\delta^*(S_2) = S_1 \quad V^*(S_2) = 5 + 0.9V^*(S_1)$$

$$\cong r(S_1, a_2) + \gamma(\gamma V^* \delta(S_1, a_2))$$



$$\gamma = 0.9 \quad V^*(s) = r(s, a) + \gamma V^* \delta(s, a)$$

$$\delta^*(S_2) = S_1$$

$$V^*(S_2) = 5 + 0.9V^*(S_1)$$

$$= 5 + 0.9 * 18.42$$

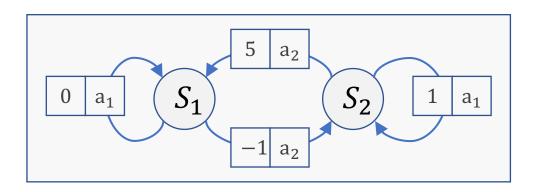
$$= 5 + 16.58$$

$$V^*(S_2) = 21.58$$

$$V^*(S_1) = 18.42$$

$$V^*(S_2) = 21.58$$

$$V^*(S_1) = 18.42$$



$$r(s,a) + \gamma V^* \delta(s,a)$$

$Q(S_1, a_1)$	$0 + \gamma V^*(S_1)$	16.58
$Q(S_1, a_2)$	$V^*(S_1)$	18.42

$Q(S_2, a_1)$	$1 + \gamma V^*(S_1)$	20.42
$Q(S_2, a_2)$	$V^*(S_1)$	21.58

Don't need Gamma symbol since we already used it in the  $a_2$  calculations for question 3iib.

Q	$a_1$	$a_2$
$S_1$	16.58	18.42
$S_2$	20.42	21.58

Use Q-Learning to learn this optimal policy to progress between states in such a way to achieve the greatest value.

Values initially set to 0 or some fixed amount

Q	$a_1$	$a_2$
$S_1$	0	0
$S_2$	0	0

Quality learning learns a policy that tell an agent what action it should take given the current state

Aims to maximise the received reward over all successive steps

#### Loop until converge

Select an action  $a_t$ 

Update the  $Q(S_t, a_t)$  value corresponding to it

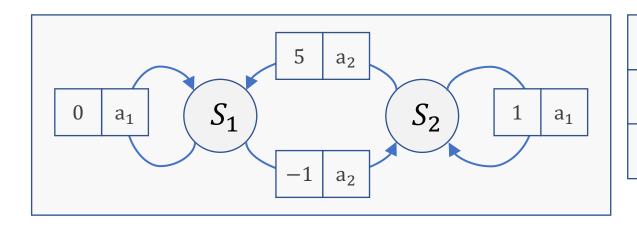
$$Q(S_t, a_t) = r(S_t, a_t) + \gamma * (max_{0...k} (Q(S_{t+1}, a_k)))$$

Where k is every possible actions available from the new state

Switch to the new state  $S_{t+1}$ 

Q	$a_1$	$a_2$
$S_1$	0	0
$S_2$	0	0

Actions are selected using some degree of probability to encourage exploration		
Exploration	Select an action with a worse reward to determine if it leads to a potentially better one	
Exploitation	Select an action with an already known reward to maximise the current cumulative reward	

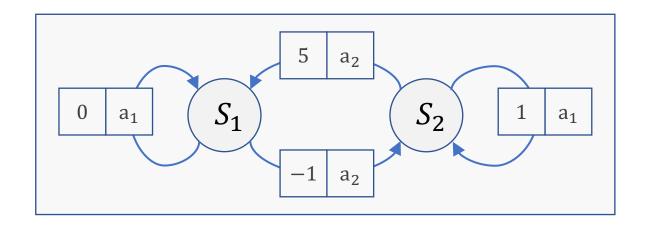


 $S_1$ 

Exploration would select  $a_2$  as the action

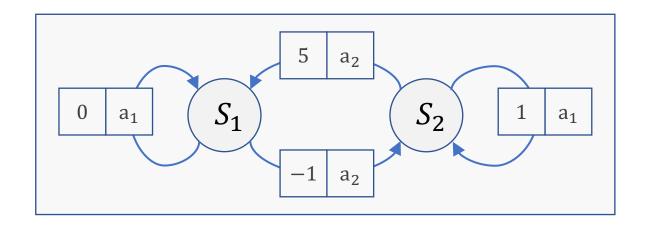
Exploitation would select  $a_1$  as the action

State	Action	Updated Q Value	
$S_1$	$a_1$	$r(S_1, a_1) + \gamma * max(Q(S_1, a_1), Q(S_1, a_2))$	
		0 + 0.9 * 0	= 0



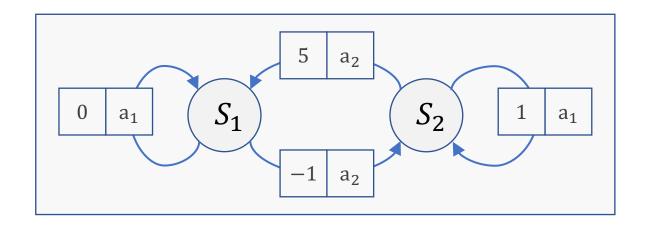
Q	$a_1$	$a_2$
$S_1$	0	0
$S_2$	0	0

State	Action	Updated Q Value	
$S_1$	$a_2$	$r(S_1, a_2) + \gamma * max(Q(S_2, a_1), Q(S_2, a_2))$	
		-1 + 0.9 * 0 = $-1$	1



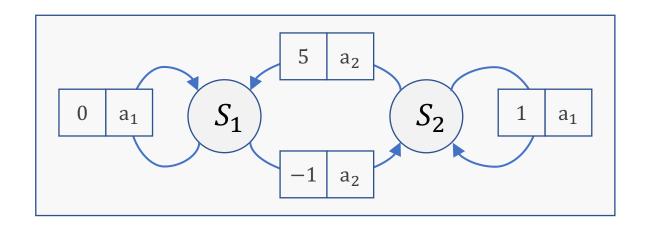
Q	$a_1$	$a_2$
$S_1$	0	-1
$S_2$	0	0

State	Action	Updated Q Value	
$S_2$	$a_1$	$r(S_2, a_1) + \gamma * max(Q(S_2, a_1), Q(S_2, a_2))$	
		1 + 0.9 * 0	= 1



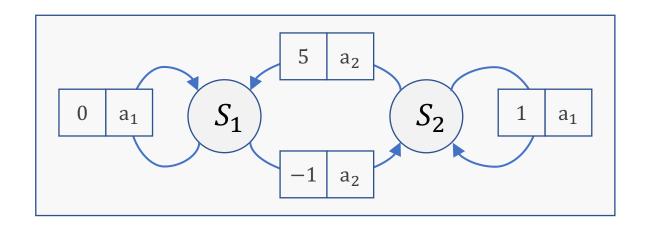
Q	$a_1$	$a_2$
$S_1$	0	-1
$S_2$	1	0

State	Action	Updated Q Value	
$S_2$	$a_2$	$r(S_2, a_2) + \gamma * max(Q(S_1, a_1), Q(S_1, a_2))$	
		5 + 0.9 * 0	= 5



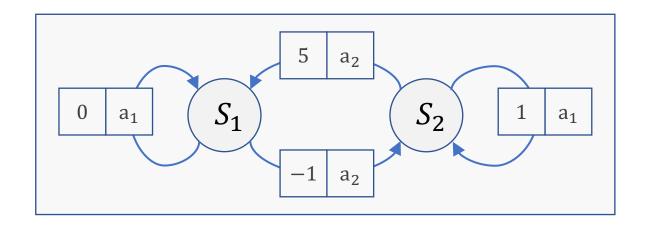
Q	$a_1$	$a_2$
$S_1$	0	-1
$S_2$	1	5

State	Action	Updated Q Value	
$S_1$	$a_1$	$r(S_1, a_1) + \gamma * max(Q(S_1, a_1), Q(S_1, a_2))$	
		0 + 0.9 * 0	= 0



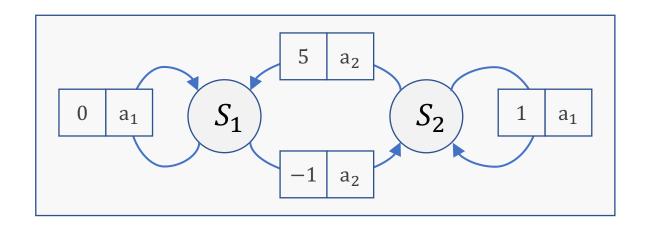
Q	$a_1$	$a_2$
$S_1$	0	-1
$S_2$	1	5

State	Action	Updated Q Value	
$S_1$	$a_2$	$r(S_1, a_2) + \gamma * max(Q(S_2, a_1), Q(S_2, a_2))$	
		-1 + 0.9 * 5	= 3.5



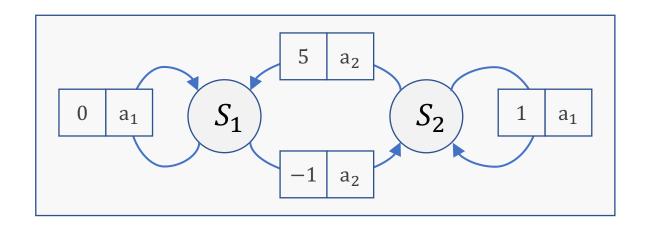
Q	$a_1$	$a_2$
$S_1$	0	3.5
$S_2$	1	5

State	Action	Updated Q Value	
$S_2$	$a_1$	$r(S_1, a_2) + \gamma * max(Q(S_2, a_1), Q(S_2, a_2))$	
		1 + 0.9 * 5	= 5.5



Q	$a_1$	$a_2$
$S_1$	0	3.5
$S_2$	5.5	5

State	Action	Updated Q Value	
$S_2$	$a_2$	$r(S_2, a_2) + \gamma * max(Q(S_1, a_1), Q(S_1, a_2))$	
		5 + 0.9 * 3.5	= 8.15



Q	$a_1$	$a_2$
$S_1$	0	3.5
$S_2$	5.5	5

#### Notes

- Q-Learning walkthrough (ignore the code)
  - http://mnemstudio.org/path-finding-q-learning-tutorial.htm

Good luck with the exam everyone! Was nice to virtually teach you ~