# Public Key Cryptography

### Lecture 1

A Brief Overview of Classical Cryptography

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### Coordinates

Access to resources:

Course *Public Key Cryptography* (password: pkc23) at https://moodle.cs.ubbcluj.ro, only with your scs.ubbcluj.ro address

Team *Public Key Cryptography (2023-2024)* (code: hneh9g1) in MS Teams, only with your stud.ubbcluj.ro address

- Course contents:
  - Classical cryptography
  - Complexity and number theory
  - Opening Primality testing
  - Factorization methods
  - Opening Public Register States
    Opening Public Register
  - 6 Applications
- Assessment:
  - 1p for free
  - 4.5p for labs/projects
  - 4.5p for assignments
  - 1p for bonus assignment



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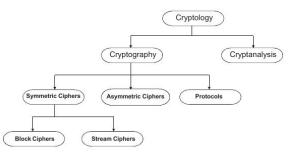
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### Cryptography

### Classification of cryptology



### Fundamental aim of cryptography

To enable two people (Alice and Bob), to communicate over an insecure channel in such a way that an opponent (eavesdropper), Oscar (Eve), cannot understand what is being said.

### Cryptosystem

### Definition

*Cryptosystem*: a 5-tuple  $(\mathcal{P}, \mathcal{C}, \mathcal{K}, \mathcal{E}, \mathcal{D})$  such that:

- ullet  ${\cal P}$  is a finite set of possible *plaintext* characters/blocks.
- C is a finite set of possible ciphertext characters/blocks.
- ullet  $\mathcal K$  is a finite set of possible keys.
- For each  $K \in \mathcal{K}$ , there is an encryption rule  $e_K \in \mathcal{E}$  and a decryption rule  $d_K \in \mathcal{D}$ . Each  $e_K : \mathcal{P} \to \mathcal{C}$  and  $d_K : \mathcal{C} \to \mathcal{P}$  are functions such that  $d_K(e_K(x)) = x$  for every  $x \in \mathcal{P}$ .

Each encryption function  $e_K$  must be injective.

If  $\mathcal{P} = \mathcal{C}$ , then each  $e_K$  is a permutation.

Each  $e_K$  and each  $d_K$  should be efficiently computable (given K).

# The Shift (Caesar) Cipher

- $\mathcal{P} = \mathcal{C} = \mathcal{K} = \mathbb{Z}_n = \{0, 1, \dots, n-1\}$  (an alphabet)
- $\forall K \in \mathbb{Z}_n, \ \forall x, y \in \mathbb{Z}_n,$  $e_K(x) = x + K \pmod{n}, \quad d_K(y) = y - K \pmod{n}.$

**Example.** n = 27, K = 10.

\_ABCDEFGHIJKLMNOPQRSTUVWXYZ 01234567891011121314151617181920212223242526

- Plaintext: first\_example
- Numerical: 6 9 18 19 20 0 5 24 1 13 16 12 5
- Encryption: 16 19 1 2 3 10 15 7 11 23 26 22 15
- Ciphertext: PSABCJOGKWZVO

# The Substitution Cipher

- $\mathcal{P} = \mathcal{C} = \mathbb{Z}_n$
- $\mathcal{K} = \{ \sigma : \mathbb{Z}_n \to \mathbb{Z}_n \mid \sigma \text{ is bijective} \}$  (permutations)
- $\forall \sigma \in \mathcal{K}$ ,  $\forall x, y \in \mathbb{Z}_n$ :  $e_{\sigma}(x) = \sigma(x)$ ,  $d_{\sigma}(y) = \sigma^{-1}(y)$ .

**Example.** n = 27, encryption function  $e_{\sigma}$  given by:

\_abcdefghijklmnopqrstuvwxyz PYNWLZTXRVUOSMQFJDHBK\_ICGAE

- Ciphertext: BZWFQLPZGYMJSZ
- Decryption function  $d_{\sigma}$  given by:

\_ABCDEFGHIJKLMNOPQRSTUVWXYZ uyswqzoxrvptdmbk\_nhlfjicgae

Plaintext: second\_example



### The Affine Cipher

- $\mathcal{P} = \mathcal{C} = \mathbb{Z}_n$
- $\mathcal{K} = \{(a,b) \in \mathbb{Z}_n \times \mathbb{Z}_n \mid \gcd(a,n) = 1\}$
- $\forall K = (a, b) \in \mathcal{K}, \ \forall x, y \in \mathbb{Z}_n$ :  $e_K(x) = ax + b \pmod{n}, \ d_K(y) = a^{-1}(y - b) \pmod{n}.$

Note that  $e_K$  is injective  $\iff \gcd(a, n) = 1$ .

**Example.** n = 27, K = (7,5). Hence  $e_K(x) = 7x + 5$ . We have  $7^{-1} \pmod{27} = 4$  (see the Extended Euclidean Algorithm or determine x such that  $7x = 1 \pmod{27}$ ). Then  $d_K(y) = 4(y - 5) = 4y + 7$ .

- Plaintext: hey
- Numerical: 8 5 25
- Encryption: 7 13 18
   (7 · 8 + 5 (mod 27), 7 · 5 + 5 (mod 27), 7 · 25 + 5 (mod 27))
- Ciphertext: GMR

## The Belaso (Vigenère) Cipher

- $\mathcal{P} = \mathcal{C} = \mathcal{K} = (\mathbb{Z}_n)^m$
- $\forall K = (k_1, \dots, k_m) \in \mathcal{K}, \ \forall (x_1, \dots, x_m), (y_1, \dots, y_m) \in (\mathbb{Z}_n)^m$ :  $e_K(x_1, \dots, x_m) = (x_1 + k_1, \dots, x_m + k_m),$   $d_K(y_1, \dots, y_m) = (y_1 - k_1, \dots, y_m - k_m)$ (everything mod n).

**Example.** n = 27, m = 6, keyword CIPHER, hence K = (3, 9, 16, 8, 5, 18).

- Plaintext: computational
- Numerical: 3 15 13 16 21 20 /1 20 9 15 14 1 /12
- Encryption: 6 24 2 24 26 11 /4 2 25 23 19 19 /15
- Ciphertext: FXBXZKDBYWSSO

### The Hill Cipher

- $\mathcal{P} = \mathcal{C} = (\mathbb{Z}_n)^m$
- $K = \{K \in M_m(\mathbb{Z}_n) \mid K \text{ invertible and } gcd(det K, n) = 1\}$
- $\forall K \in \mathcal{K}, \forall x, y \in (\mathbb{Z}_n)^m$ :  $e_K(x) = xK, d_K(y) = yK^{-1}$  (everything mod n).

Note that  $e_K$  is injective  $\iff \gcd(\det K, n) = 1$ .

**Example.** n = 27, m = 2,  $K = \binom{11 \ 8}{3 \ 7}$ , hence  $K^{-1} = \binom{20 \ 8}{3 \ 16}$ . Note that  $K \cdot K^{-1} = K^{-1} \cdot K = I_2$  (everything mod n).

- Plaintext: four
- Numerical: 6 15 /21 18
- Encryption: 3 18 /15 24  $\left[ (6\ 15) \binom{11\ 8}{3\ 7} = (3\ 18), \quad (21\ 18) \binom{11\ 8}{3\ 7} = (15\ 24) \right]$
- Ciphertext: CROX

### The Permutation Cipher

- $\mathcal{P} = \mathcal{C} = (\mathbb{Z}_n)^m$
- $\mathcal{K} = \{\sigma : \{1, \dots, m\} \rightarrow \{1, \dots, m\} \mid \sigma \text{ is bijective}\}$  (permutations)
- $\forall \sigma \in \mathcal{K}, \ \forall (x_1, \dots, x_m), (y_1, \dots, y_m) \in (\mathbb{Z}_n)^m$ :  $e_{\sigma}(x_1, \dots, x_m) = (x_{\sigma(1)}, \dots, x_{\sigma(m)}),$  $d_{\sigma}(y_1, \dots, y_m) = (y_{\sigma^{-1}(1)}, \dots, y_{\sigma^{-1}(m)}).$

**Example.** n = 27, m = 5,  $\sigma = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 2 & 4 & 5 & 3 & 1 \end{pmatrix}$ . We have  $\sigma^{-1} = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 5 & 1 & 4 & 2 & 3 \end{pmatrix}$ .

- Plaintext: computational (compu /tatio /nal\_\_)
- Encryption: OPUMC /AIOTT /A\_LN
- Ciphertext: OPUMCAIOTTA\_\_LN

### Classification

#### Definition

Block cipher: each plaintext element (block) is encrypted using the same key. Stream cipher: not a block cipher.

All previous examples are block ciphers.

### Definition

Monoalphabetic cipher: each character is mapped to a unique character. Polyalphabetic cipher: not a monoalphabetic cipher.

Monoalphabetic: shift cipher, substitution cipher, affine cipher.

•  $\{shift\} \subseteq \{affine\} \subseteq \{substitution\}$ 

Polyalphabetic: Belaso cipher, Hill cipher, permutation cipher.

•  $\{permutation\} \subseteq \{Hill\}$ 

### Cryptanalysis

### Necessary characteristic of a cryptosystem

An opponent (Oscar), upon seeing a ciphertext string, should be unable to determine the key K or the plaintext string.

### Definition

*Cryptanalysis*: the process of attempting to compute the key K, given a string of ciphertext.

### General assumption

The opponent (Oscar) knows the cryptosystem being used.

- An elementary type of attack is the exhaustive key search attack, when Oscar tries all the possible keys until a meaningful plaintext is obtained.
- Another type of attack is the *ciphertext-only attack*, when Oscar possesses a string of ciphertext.

# Cryptanalysis (cont.)

- Number of keys for previous ciphers for an alphabet with n = 27:
  - Shift cipher: 27
  - Substitution cipher: 27!
  - Affine cipher:  $\varphi(27) \cdot 27 = 18 \cdot 27 = 486$  ( $\varphi$  is the Euler function)
  - Vigenère cipher: 27<sup>m</sup>
  - Permutation cipher: m!
- Let us consider a couple of examples of ciphertext-only attacks.

Assume that the plaintext string is ordinary English text, without punctuation or blanks. This makes the decryption more difficult.

Use statistical properties of the English language.

### **Example.** Consider the following ciphertext:

GAYRI NGQKI CYHHY HCBLC IBOIZ VBYZI ELPQY BBYHC KVTIZ QYQBI ZLHBT IKGHU GHELP TGOYH CHLBT YHCBL ELLHR ILZBN YRIQT ITGEJ IIJIE YHBLB TIKLL UTIZQ YQBIZ NGQZI GEYHC KSBYB TGEHL JYRBS ZIQLZ RLHOI ZQGBY LHQYH YBGHE NTGBY QBTIS QILPG KLLUB TLSCT BGAYR INYBT LSBJY RBSZI QLZRL HOIZQ GBYLH

The most common letters are B, I, L, Y, H, occurring 26, 25, 24, 23, and 20 times respectively.

Frequencies of letters in English text cf.

 $\verb|http://www.cryptograms.org/letter-frequencies.php|.$ 

1	e	12.58%	14	$\mathbf{m}$	2.56%
2	$\mathbf{t}$	9.09%	15	$\mathbf{f}$	2.35%
3	a	8.00%	16	W	2.22%
4	O	7.59%	17	g	1.98%
5	i	6.92%	18	У	1.90%
6	n	6.90%	19	p	1.80%
7	$\mathbf{S}$	6.34%	20	b	1.54%
8	h	6.24%	21	$\mathbf{v}$	0.98%
9	$\mathbf{r}$	5.96%	22	k	0.74%
10	$\mathrm{d}$	4.32%	23	$\mathbf{X}$	0.18%
11	l	4.06%	24	j	0.15%
12	u	2.84%	25	q	0.12%
13	C	2.58%	26	$\mathbf{z}$	0.08%

It is reasonable to assume that the plaintext letters T and E correspond to some of these most common letters B, I, L, Y, H.

If we assume that E was encrypted to B and T was encrypted to I, we can make the following substitutions:

```
GAYRI NGQKI CYHHY HCBLC IBOIZ VBYZI ELPQY BBYHC KVTIZ QYQBI ZLHBT
    t
                        te t
                               e t
                                           ee
                                                          et
IKGHU GHELP TGOYH CHLBT YHCBL ELLHR ILZBN YRIQT ITGEJ IIJIE YHBLB
t
                                                       tt t
                                    t e
TIKLL UTIZQ YQBIZ NGQZI GEYHC KSBYB TGEHL JYRBS ZIQLZ RLHOI ZQGBY
              et
                      t
                                е е
LHQYH YBGHE NTGBY QBTIS QILPG KLLUB TLSCT BGAYR INYBT LSBJY RBSZI
                   et t
                                  e
                                           е
QLZRL HOIZQ GBYLH
        t.
```

There is something strange about this substitution: nowhere does the pattern  $T_-E$  appear, which would mean that the word "the" never appears in the passage.

```
GAYRI NGQKI CYHHY HCBLC IBOIZ VBYZI ELPQY BBYHC KVTIZ QYQBI ZLHBT
    t.
                        te t
                                e t
                                           ee
                                                           et.
IKGHU GHELP TGOYH CHLBT YHCBL ELLHR ILZBN YRIQT ITGEJ IIJIE YHBLB
                                     t e
TIKLL UTIZQ YQBIZ NGQZI GEYHC KSBYB TGEHL JYRBS ZIQLZ RLHOI ZQGBY
              et
                      t
                                 е е
LHQYH YBGHE NTGBY QBTIS QILPG KLLUB TLSCT BGAYR INYBT LSBJY RBSZI
       e
                   e t
                         t
                                   e
                                           e
QLZRL HOIZQ GBYLH
```

t e

### Switching T and E gives

```
GAYRI NGQKI CYHHY HCBLC IBOIZ VBYZI ELPQY BBYHC KVTIZ QYQBI ZLHBT
e e t et et e tt e tt e tt
IKGHU GHELP TGOYH CHLBT YHCBL ELLHR ILZBN YRIQT ITGEJ IIJIE YHBLB
e t t e e e ee e tt
TIKLL UTIZQ YQBIZ NGQZI GEYHC KSBYB TGEHL JYRBS ZIQLZ RLHOI ZQGBY
e e te e tt t t e e t t e e t
LHQYH YBGHE NTGBY QBTIS QILPG KLLUB TLSCT BGAYR INYBT LSBJY RBSZI
t t t e e t t e t t e
QLZRL HOIZQ GBYLH
```

There are now four instances of the pattern  $T_-E$ : in the fifth block on the first line (ciphertext BOI), straddling the last block of the first line and the first block of the second line (ciphertext BTI), straddling the last block of the second line and the first block of the third line (ciphertext BTI), and in the fourth block of the fourth line (ciphertext BTI).

Based on these occurrences, it seems reasonable to assume that T in the ciphertext corresponds to H in the plaintext and that the first instance BOI was just a coincidence (otherwise our long phrase would only have one "the").

Filling in this substitution, we get the following:

```
GAYRI NGQKI CYHHY HCBLC IBOIZ VBYZI ELPQY BBYHC KVTIZ QYQBI ZLHBT
                         et e
                                t e
                                            t.t.
                                                    he
                                                                  t.h
IKGHU GHELP TGOYH CHLBT YHCBL ELLHR ILZBN YRIQT ITGEJ IIJIE YHBLB
                      th
                           t
                                     e t
                                              e h eh
TIKLL UTIZQ YQBIZ NGQZI GEYHC KSBYB TGEHL JYRBS ZIQLZ RLHOI ZQGBY
he
       he
              t.e
                       е
                                 t. t. h
LHQYH YBGHE NTGBY QBTIS QILPG KLLUB TLSCT BGAYR INYBT LSBJY RBSZI
             h t.
                   the
                                   t h
                                         h t
                                                     th
                          e
QLZRL HOIZQ GBYLH
```

e t

Continuing now with frequency analysis, the most common ciphertext letters for which we have not assigned a substitution are L, Y, and H. Referring to the frequency table, the most common English letters after e and t are a, o, and i. Notice, however, that the pattern LL occurs three times in the ciphertext: of the letters a, o, and i, only o appears commonly as a double letter in English, so it is natural to guess that L was substituted for O:

```
GAYRI NGQKI CYHHY HCBLC IBOIZ VBYZI ELPQY BBYHC KVTIZ QYQBI ZLHBT
                    t.o
                         et e
                                t e
                                           tt
                                                    he
                                                               o th
IKGHU GHELP TGOYH CHLBT YHCBL ELLHR ILZBN YRIQT ITGEJ IIJIE YHBLB
            h
                    oth
                            to
                                00
                                     eo t
                                                                tot
e
TIKLL UTIZQ YQBIZ NGQZI GEYHC KSBYB TGEHL JYRBS ZIQLZ RLHOI ZQGBY
he oo
       he
              te
LHQYH YBGHE NTGBY QBTIS QILPG KLLUB TLSCT BGAYR INYBT LSBJY RBSZI
                                oo t ho h t
                                                     th o t
             h t.
                   t.he
                          eo
QLZRL HOIZQ GBYLH
             t o
```

We can also try frequency analysis on blocks of letters. E.g., the three letter block YHC occurs five times in the ciphertext, more than any other triple. The most common English "trigrams" are *the*, *and*, and *ing*. Since our guesses so far rule out the *the*, it is natural to make the substitutions  $Y \rightarrow A$ ,  $H \rightarrow N$ , and  $C \rightarrow D$ :

GAYRI NGQKI CYHHY HCBLC IBOIZ VBYZI ELPQY BBYHC KVTIZ QYQBI ZLHBT e danna ndtod et e ta e o a ttand he onth IKGHU GHELP TGOYH CHLBT YHCBL ELLHR ILZBN YRIQT ITGEJ IIJIE YHBLB h an dnoth andto oon eo tae heh antot TIKLL UTIZQ YQBIZ NGQZI GEYHC KSBYB TGEHL JYRBS ZIQLZ RLHOI ZQGBY he oo he a te e and tat h no LHQYH YBGHE NTGBY QBTIS QILPG KLLUB TLSCT BGAYR INYBT LSBJY RBSZI on an at n h ta the eo oo t ho h t e thot QLZRL HOIZQ GBYLH tyon o n e

Unfortunately, there are indications that this last set of substitutions may be incorrect. For example, in the first line we now have have the blocks EDANNANDTODET and NOTHANDTO in the plaintext, on the first and second lines, respectively. Both of these blocks would seem more reasonable if A and D were replaced with I and G, respectively, suggesting that perhaps the ciphertext triple YHC corresponded to the trigram ING and not AND. Making these changes gives us:

GAYRI NGQKI CYHHY HCBLC IBOIZ VBYZI ELPQY BBYHC KVTIZ QYQBI ZLHBT inni ngtog et e ti e o i tting he onth IKGHU GHELP TGOYH CHLBT YHCBL ELLHR ILZBN YRIQT ITGEJ IIJIE YHBLB h in gnoth ingto oon eo t i e h eh intot TIKLL UTIZQ YQBIZ NGQZI GEYHC KSBYB TGEHL JYRBS ZIQLZ RLHOI ZQGBY ing tit h no he oo i te LHQYH YBGHE NTGBY QBTIS QILPG KLLUB TLSCT BGAYR INYBT LSBJY RBSZI on in it n h ti the eo oo t ho h t e thot QLZRL HOIZQ GBYLH tyon o n e

Note the troublesome blocks are now EGINNINGTOGET and NOTHINGTO. At this point, we are basically playing hangman, trying to guess more substitutions by what is needed to make the revealed phrases words. For example, EGINNINGTOGET seems like it could be BEGINNINGTOGET, suggesting the substitution  $K{\rightarrow}B$ , while NOTHINGTO O could be NOTHINGTODO, suggesting the substitution  $E{\rightarrow}D$ . Making these substitutions yields:

GAYRI NGQKI CYHHY HCBLC IBOIZ VBYZI ELPQY BBYHC KVTIZ QYQBI ZLHBT be ginni ngtog et e ti e do i tting b he i te IKGHU GHELP TGOYH CHLBT YHCBL ELLHR ILZBN YRIQT ITGEJ IIJIE YHBLB ndo h in gnoth ingto doon eo t i e h eh d ee ed intot TIKLL UTIZQ YQBIZ NGQZI GEYHC KSBYB TGEHL JYRBS ZIQLZ RLHOI ZQGBY e ding b tit h no heboo i te tieo LHQYH YBGHE NTGBY QBTIS QILPG KLLUB TLSCT BGAYR INYBT LSBJY RBSZI on in it nd h ti the eo boot ho ht e thot QLZRL HOIZQ GBYLH tyon

Spanning the end of the second line and beginning of the third, the plaintext block INTOTHEBOO suggests the substitution  $U \rightarrow K$ . In the third line, we have the plaintext INGB\_TIT. The ING almost certainly represents the end of a word. It seems clear that the blank must be a vowel, and U seems the most likely candidate. The substitutions  $U \rightarrow K$  and  $S \rightarrow U$  give

GAYRI NGQKI CYHHY HCBLC IBOIZ VBYZI ELPQY BBYHC KVTIZ QYQBI ZLHBT i e be ginni ngtog et e ti e do i tting b he i te onth IKGHU GHELP TGOYH CHLBT YHCBL ELLHR ILZBN YRIQT ITGEJ IIJIE YHBLB eb nk ndo h in gnoth ingto doon eo t i e h eh d ee ed intot TIKLL UTIZQ YQBIZ NGQZI GEYHC KSBYB TGEHL JYRBS ZIQLZ RLHOI ZQGBY heboo khe i te e ding butit h no tu e o o e t t LHQYH YBGHE NTGBY QBTIS QILPG KLLUB TLSCT BGAYR INYBT LSBJY RBSZI on in it nd h ti theu eo bookt ho h t e th o t t e QLZRL HOIZQ GBYLH

o o n e tyon

We could choose to concentrate on many different parts of the message. Moving on, another possibility presents itself on the first line, as TI\_EDO\_\_ITTING becomes TIREDOFSITTING under the substitutions Z $\rightarrow$ R, P $\rightarrow$ F, and Q $\rightarrow$ S. On the second line, ON\_EO\_T\_I\_E becomes ONCEORTWICE under the substitutions R $\rightarrow$ C, Z $\rightarrow$ R, and N $\rightarrow$ W. These five substitutions bring us to:

GAYRI NGQKI CYHHY HCBLC IBOIZ VBYZI ELPQY BBYHC KVTIZ QYQBI ZLHBT ice sbe ginni ngtog et er tire dofsi tting b her siste ronth IKGHU GHELP TGOYH CHLBT YHCBL ELLHR ILZBN YRIQT ITGEJ IIJIE YHBLB eb nk ndof h in gnoth ingto doonc eortw icesh eh d ee ed intot TIKLL UTIZQ YQBIZ NGQZI GEYHC KSBYB TGEHL JYRBS ZIQLZ RLHOI ZQGBY heboo khers ister sre ding butit h no rtu resor co e rs t LHQYH YBGHE NTGBY QBTIS QILPG KLLUB TLSCT BGAYR INYBT LSBJY RBSZI onsin it nd h ti stheu seof bookt ho h t c e th o t ct re QLZRL HOIZQ GBYLH sorco n ers tyon

Now one easily gets the whole plaintext:

ALICE WASBE GINNI NGTOG ETVER YTIRE DOFSI TTING BYHER SISTE RONTH EBANK ANDOF HAVIN GNOTH INGTO DOONC EORTW ICESH EHADP EEPED INTOT HEBOO KHERS ISTER WASRE ADING BUTIT HADNO PICTU RESOR CONVE RSATI ONSIN ITAND WHATI STHEU SEOFA BOOKT HOUGH TALIC EWITH OUTPI CTURE SORCO NVERS ATION

ALICE WAS BEGINNING TO GET VERY TIRED OF SITTING BY HER SISTER ON THE BANK AND OF HAVING NOTHING TO DO ONCE OR TWICE SHE HAD PEEPED INTO THE BOOK HER SISTER WAS READING BUT IT HAD NO PICTURES OR CONVERSATIONS IN IT AND WHAT IS THE USE OF A BOOK THOUGHT ALICE WITHOUT PICTURES OR CONVERSATION (Lewis Carroll)

### Some Modern Block Ciphers

 Enigma and Hagelin machines (starting with 1920s) implement polyalphabetic substitution ciphers with long periods. They generate sequences of long keys, not so random as they might seem, and so they are vulnerable.





- Lucifer cipher, Feistel ciphers: 1970's
- DES (Data Encryption Standard): 1977
- IDEA (International Data Encryption Algorithm): 1991
- RC5: 1994
- AES (Advanced Encryption Standard): 2002



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