

## Asymptotics

Performance or complexity: - Time  $3, 3, 7, 16$  IN  $\rightarrow$  i.e. sorting  $\rightarrow$  3, 5, 7, 16 (sorted) OUT  
 - Space  $n$ -numbers

Algorithm  
Computer  
Turing Machine

Alg 1  $n^4 + 3n$  (in terms of  $n$ )  $\rightarrow O(n^4)$

Alg 2  $700n^3 + 10^6n^2 + 10^6n$   $\rightarrow O(n^3)$

$\Rightarrow$  Alg 2 is actually much faster

## Big-O

We say that  $f(n) = O(g(n))$ , iff  $O(g(n)) = \{f(n) : \exists \text{ exist a positive constant } C \text{ and } n_0, \text{ such that } 0 \leq f(n) \leq C \cdot g(n) \forall n \geq n_0\}$



after  $n_0, C \cdot g(n) \geq f(n) \Rightarrow$  Asymptotically this is an upper bound

example:

$13n + 7 \in O(n)$

$13n + 7 \leq 14n \Rightarrow n_0 = 7$

$\frac{1}{2}n^2 - 3n = O(n^2)$

We need to prove:  $\frac{1}{2}n^2 - 3n \leq C \cdot n^2$

$\Rightarrow \frac{1}{2} - \frac{3}{n} \leq C$ , true for  $C = \frac{1}{2}$  (or larger)  $\forall n \geq 1 = n_0$

$n! = 1 \cdot 2 \cdot 3 \cdots n$

$n! \leq \underbrace{n \cdot n \cdots n}_{n \text{ times}} = n^n$

$\Rightarrow n! = O(n^n)$

What about  $\log n!$

$n! = O(n^n) \Leftrightarrow \log n! = O(n \log n)$

## Big-Omega

We say  $f(n) = \Omega(h(n))$  iff  $\Omega(h(n)) = \{f(n) : \exists \text{ positive constants } C_1 \text{ \& } n_1, \text{ s.t. } 0 \leq C_1 \cdot h(n) \leq f(n) \forall n \geq n_1\}$

$\Rightarrow$  A "lower" bound for  $f(n)$

example:

$f(n) = 1 + 2 + 3 + \cdots + n = \Omega(?)$

$f(n) = 1 + 2 + \cdots + n \geq \frac{n}{2} + (\frac{n}{2} + 1) + (\frac{n}{2} + 2) + \cdots + n \geq \underbrace{(\frac{n}{2} + 1) + (\frac{n}{2} + 2) + \cdots + (\frac{n}{2})}_{\frac{n}{2} \text{ times}} \geq \frac{n}{2} \cdot \frac{n}{2} = \frac{n^2}{4}$

$\Rightarrow$  for  $C_1 = \frac{1}{4}, n_1 = 1, f(n) = \Omega(n^2)$

$f(n) = \frac{1}{2}n^2 - 3n = O(n^2) = \Omega(?)$

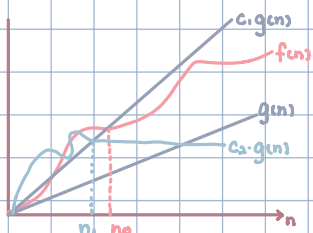
Assume it's  $\Omega(n^2)$ , then  $C_1 n^2 \leq \frac{1}{2}n^2 - 3n \Leftrightarrow C_1 \leq \frac{1}{2} - \frac{3}{n}$

Allow  $n \geq 7$  then:  $C_1 \leq \frac{1}{2} - \frac{3}{7} \Leftrightarrow C_1 \leq \frac{1}{14} = \frac{1}{14} + \frac{1}{14}$

$\Rightarrow$  for  $C_1 \leq \frac{1}{14}, f(n) = \Omega(n^2)$   
 $\left. \begin{array}{l} f(n) = O(n^2) \\ f(n) = \Omega(n^2) \end{array} \right\} = \Theta(n^2)$

## Big-theta

We say that  $f(n) = \Theta(g(n))$ ,  $\Theta(g(n)) = \{f(n) : \exists \text{ positive constants } C_1, C_2 \text{ and } n_0 \text{ s.t. } 0 \leq C_1 g(n) \leq f(n) \leq C_2 g(n) \forall n \geq n_0\} \Rightarrow$  An asymptotic "tight bound"



Desirable but not always possible

$\left. \begin{array}{l} f(n) = O(g(n)) \\ f(n) = \Omega(g(n)) \end{array} \right\} \Rightarrow f(n) = \Theta(n)$

example:

Arithmetic series:  $1 + 2 + \cdots + n = \Omega(n^2)$

$= \frac{n(n+1)}{2} = \frac{1}{2}n^2 + \frac{1}{2}n = O(n^2) \Rightarrow 1 + 2 + \cdots + n = \Theta(n^2)$

Prove that:  $\sum_{i=1}^n i^k = \Theta(n^{k+1})$

Need show:

$O(n^{k+1})$

$\sum_{i=1}^n i^k \leq \sum_{i=1}^n n^k = n \cdot n^k = n^{k+1}$  QED

$\Omega(n^{k+1})$   $f(n) = \sum_{i=1}^n i^k$   
 $\Rightarrow 2f(n) = \sum_{i=1}^n i^k + \sum_{i=1}^n (n-i+1)^k$   
 $\downarrow$   
 $1^k + 2^k + \cdots + n^k$   $n^k + (n-1)^k + \cdots + 1^k$   
 $= \sum_{i=1}^n i^k + (n-i+1)^k$  every pair adding is always bigger than  $(\frac{n}{2})^k$

$$\sum_{k=0}^n \left(\frac{n}{2}\right)^k = \frac{n^{k+1}}{2^{k+1}} = \Omega(n^{k+1})$$

## Asymptotics properties

- Transitivity:  $f(n) = \Theta(g(n))$  and  $g(n) = \Theta(h(n)) \Rightarrow f(n) = \Theta(h(n))$
- Symmetry:  $f(n) = \Theta(g(n))$  iff  $g(n) = \Theta(f(n))$
- Transpose:  $f(n) = O(g(n))$  iff  $g(n) = \Omega(f(n))$
- Cookbook:  $n^a \in O(n^b)$  iff  $a \leq b$

$$\log_a n \in O(\log_b n) \quad \forall a, b$$

$$c^n \in O(d^n) \quad \text{iff } c \leq d$$

if  $f(n) \in O(f'(n))$  &  $g(n) \in O(g'(n))$ , then  $f(n) \cdot g(n) = O(f'(n) \cdot g'(n))$   
not derivative, just another function  
 $f(n) + g(n) = O(\max\{f'(n), g'(n)\})$

- Best case min time of an algorithm  $\rightarrow O$
- Worst case max .. .. .  $\rightarrow O$
- average case }  
 expected case } Randomized Algorithm  
 amortized case }

Difference:   
 { Randomized Algorithm: At certain point, it "flips a coin" and depends on the output (0 or 1), it does different steps. i.e. Monte Carlo, Las Vegas, Quick Sort  
 { Probabilistic Analysis: Based on probability, we make assumptions depends on the input