

# DataStream API

## Windows & Time



Apache Flink® Training

**dataArtisans**

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# Windows and Aggregates

# Windows

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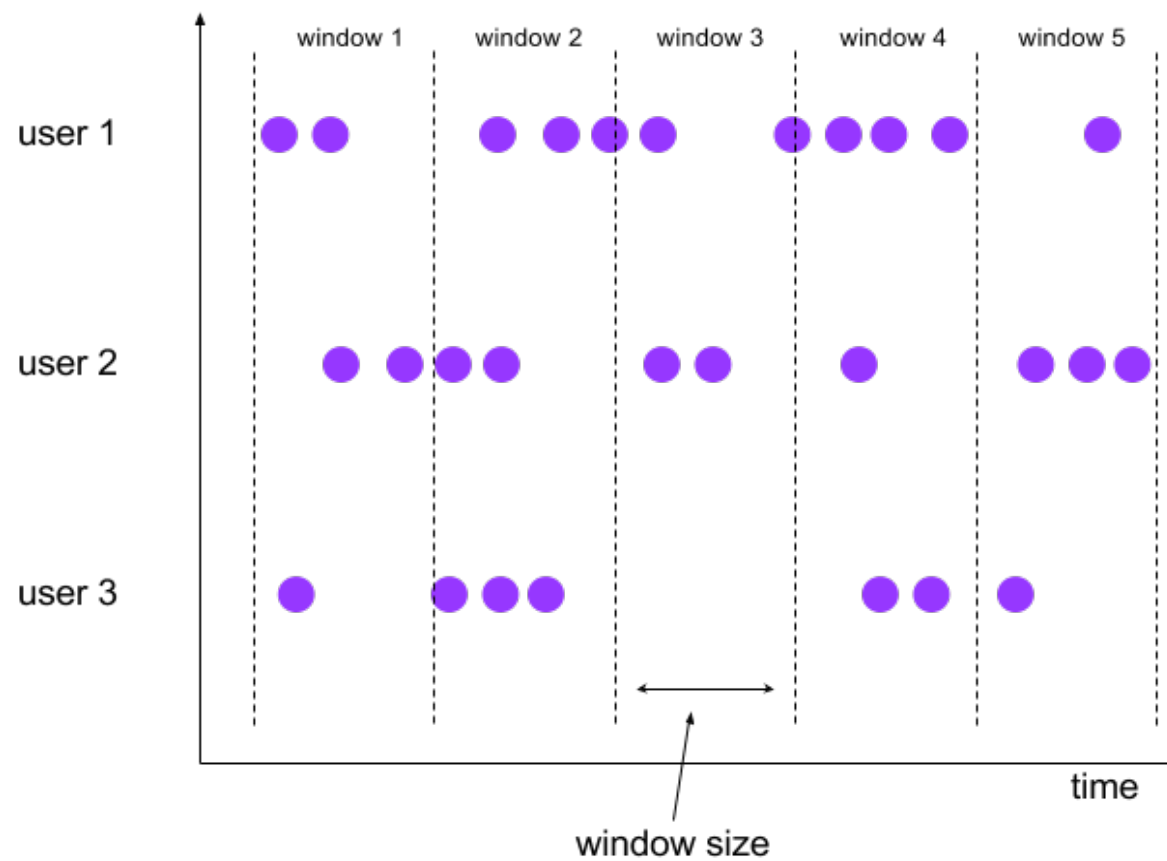


- Aggregations on DataStreams are different from aggregations on DataSets
  - You cannot count all records of an infinite stream
- DataStream aggregations make sense on windowed streams
  - A finite subset of stream elements

# Tumbling Windows



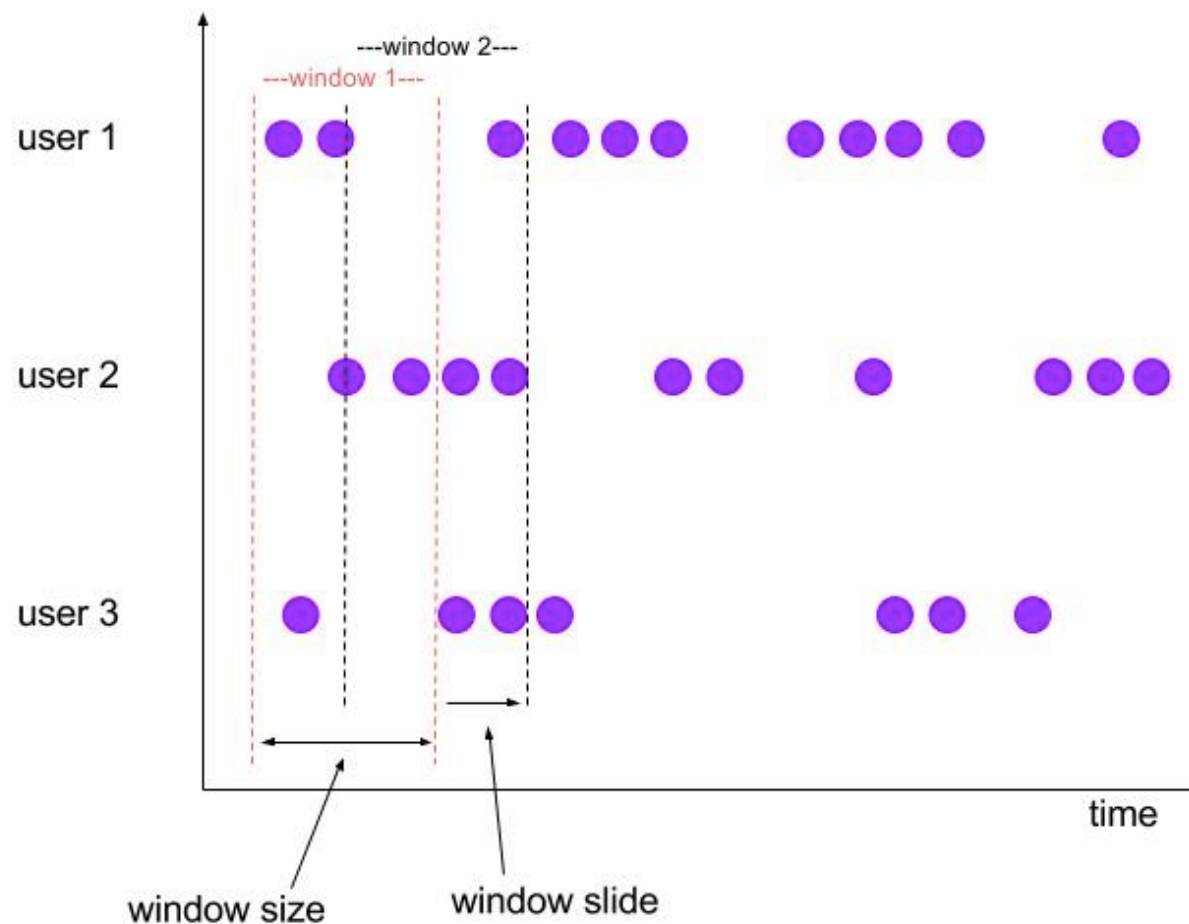
Aligned, fixed length, non-overlapping windows.



# Sliding Windows



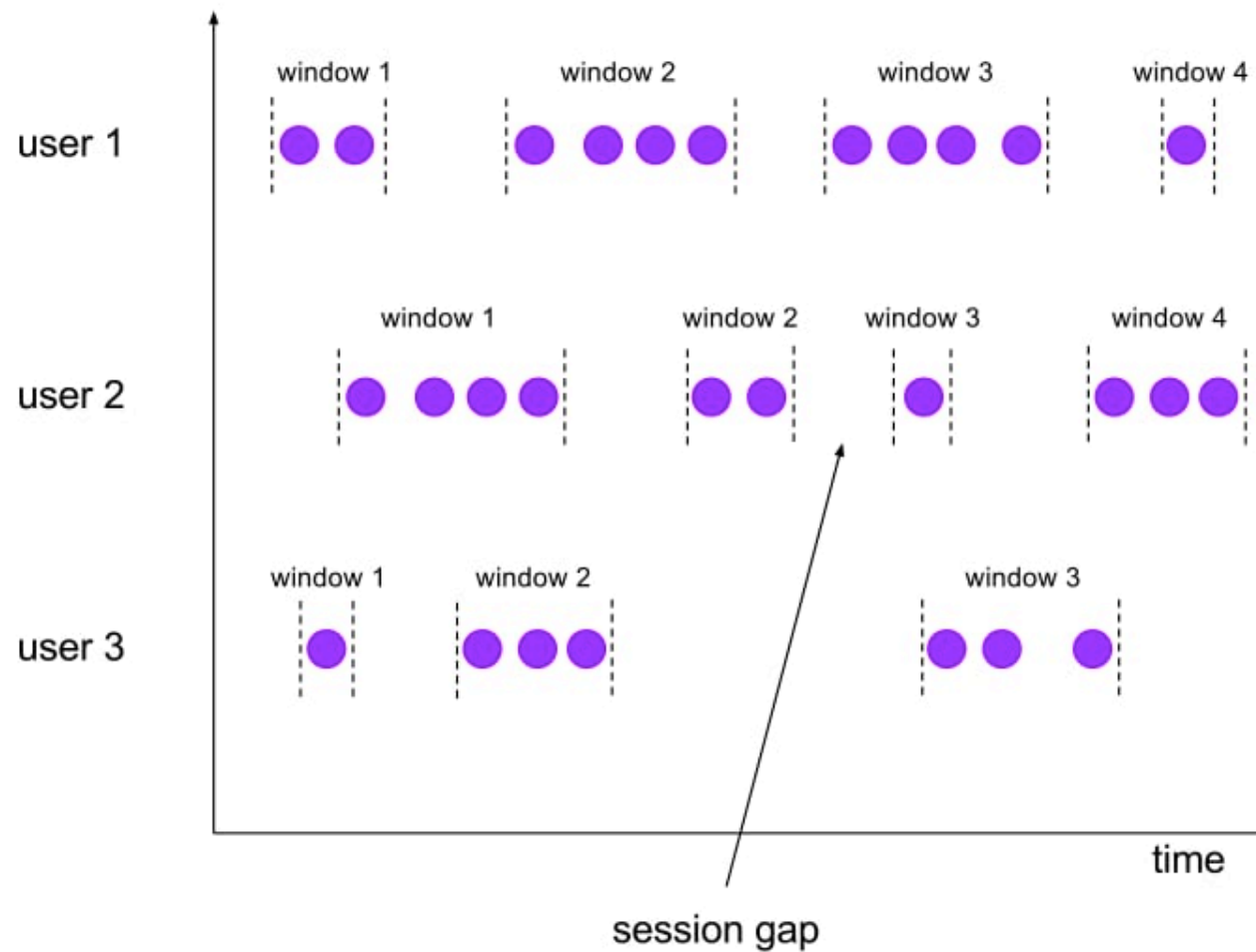
Aligned, fixed length, overlapping windows.



# Session Windows



Non-aligned, variable length windows.



# Specifying Windowing

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stream

<code>.keyBy(...)</code>	<code>/ keyed vs non-keyed windows</code>
<code>.window(...)</code>	<code>/ "Assigner"</code>
<code>.trigger(...)</code>	<code>/ each Assigner has a default Trigger</code>
<code>.evictor(...)</code>	<code>/ default: no Evictor</code>
<code>.allowedLateness()</code>	<code>/ default: zero</code>
<code>.reduce/apply()</code>	<code>/ the window function</code>

# Predefined Keyed Windows

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- Tumbling time window  
`.timeWindow(Time.minutes(1))`
- Sliding time window  
`.timeWindow(Time.minutes(1), Time.seconds(10))`
- Tumbling count window  
`.countWindow(100)`
- Sliding count window  
`.countWindow(100, 10)`
- Session window  
`.window(SessionWindows.withGap(Time.minutes(30)))`



# Non-keyed Windows

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- Windows on non-keyed streams are not processed in parallel!
  - `stream.windowAll(...)`...
  - `stream.timeWindowAll(Time.seconds(10))...`
  - `stream.countWindowAll(20, 10)...`

# Aggregations on Windowed Streams

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```
DataStream<SensorReading> input = ...
```

```
input  
    .keyBy("key")  
    .timeWindow(Time.minutes(1))  
    .apply(new MyWastefulFunction());
```

# Aggregation with a WindowFunction



```
public static class MyWastefulFunction implements WindowFunction<
    SensorReading,                               // input type
    Tuple3<String, Long, Integer>,               // output type
    Tuple,                                       // key type
    TimeWindow> {                               // window type

    @Override
    public void apply(
        Tuple key,
        TimeWindow window,
        Iterable<SensorReading> events,
        Collector<Tuple3<String, Long, Integer>> out) {

        int max = 0;
        for (SensorReading e : events) {
            if (e.f1 > max) max = e.f1;
        }
        out.collect(new Tuple3<>((Tuple1<String>key).f0, window.getEnd(), max));
    }
}
```

# Window State during Aggregation

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state

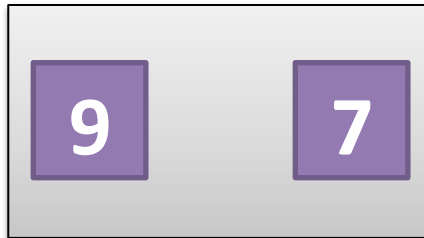


# Window State during Aggregation

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state

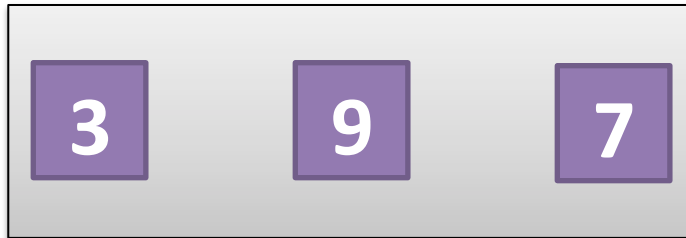


# Window State during Aggregation

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state



# Window State during Aggregation

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state



# Window State during Aggregation

---



state



window trigger



# Incremental Window Aggregation



```
DataStream<SensorReading> input = ...
```

```
Input
```

```
    .keyBy(<key selector>)
    .timeWindow(<window assigner>)
    .reduce(new MyReduceFunction(), new MyWindowFunction());
```

```
private static class MyReduceFunction implements ReduceFunction<SensorReading> {
    public SensorReading reduce(SensorReading r1, SensorReading r2) {
        return r1.value() > r2.value() ? r2 : r1;
    }
}
```

```
private static class MyWindowFunction implements WindowFunction<
    SensorReading, Tuple2<Long, SensorReading>, String, TimeWindow> {
    public void apply(String key,
        TimeWindow window,
        Iterable<SensorReading> minReadings,
        Collector<Tuple2<Long, SensorReading>> out) {
        SensorReading min = minReadings.iterator().next();
        out.collect(new Tuple2<Long, SensorReading>(window.getStart(), min));
    }
}
```

# Incremental Aggregation

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# Incremental Aggregation

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# Incremental Aggregation

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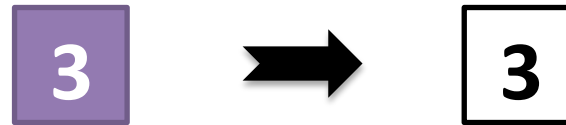
# Incremental Aggregation

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# Incremental Aggregation

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window trigger

# Operations on Windowed Streams

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- `reduce(reduceFunction)`
  - Apply a functional reduce function to the window
- ~~`fold(initialVal, foldFunction)`~~
  - ~~Apply a functional fold function with a specified initial value to the window~~
- Aggregation functions
  - `sum()`, `min()`, `max()`, and others

# Custom window logic

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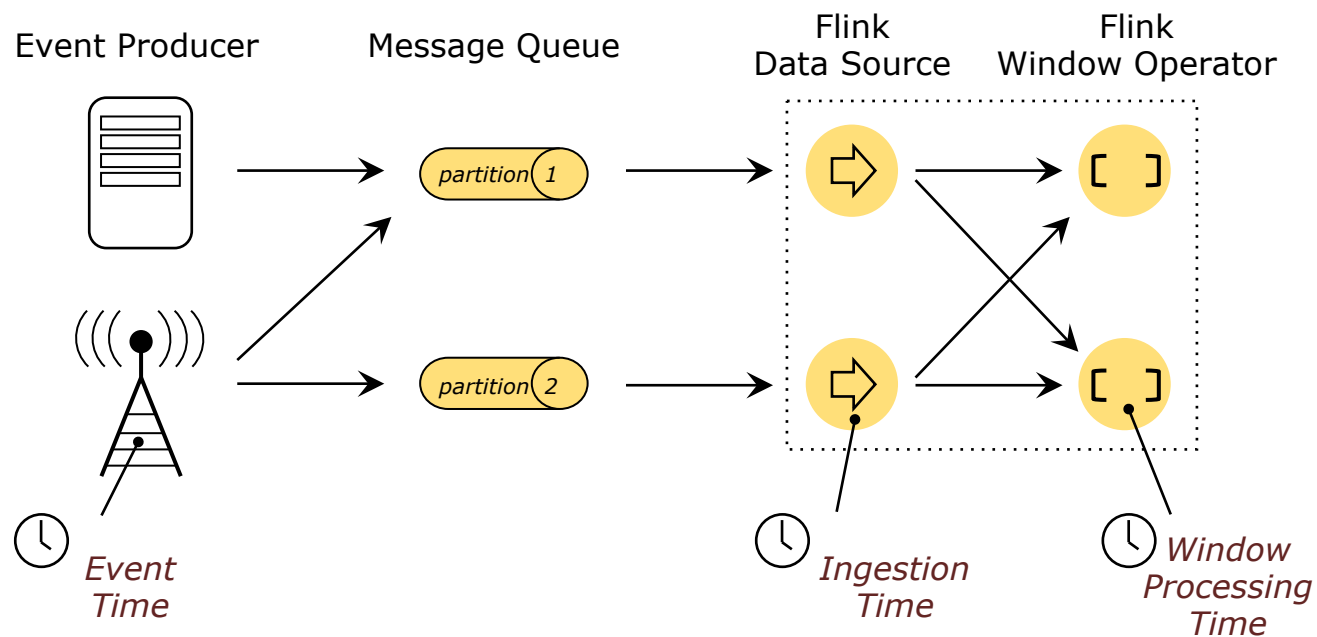


- The DataStream API allows you to define very custom window logic
- GlobalWindows
  - a flexible, low-level window assignment scheme that can be used to implement custom windowing behaviors
  - only useful if you explicitly specify triggering, otherwise nothing will happen
- Trigger
  - defines when to evaluate a window
  - whether to purge the window or not
- *Careful!* This part of the API requires a good understanding of the windowing mechanism!



# Handling Time Explicitly

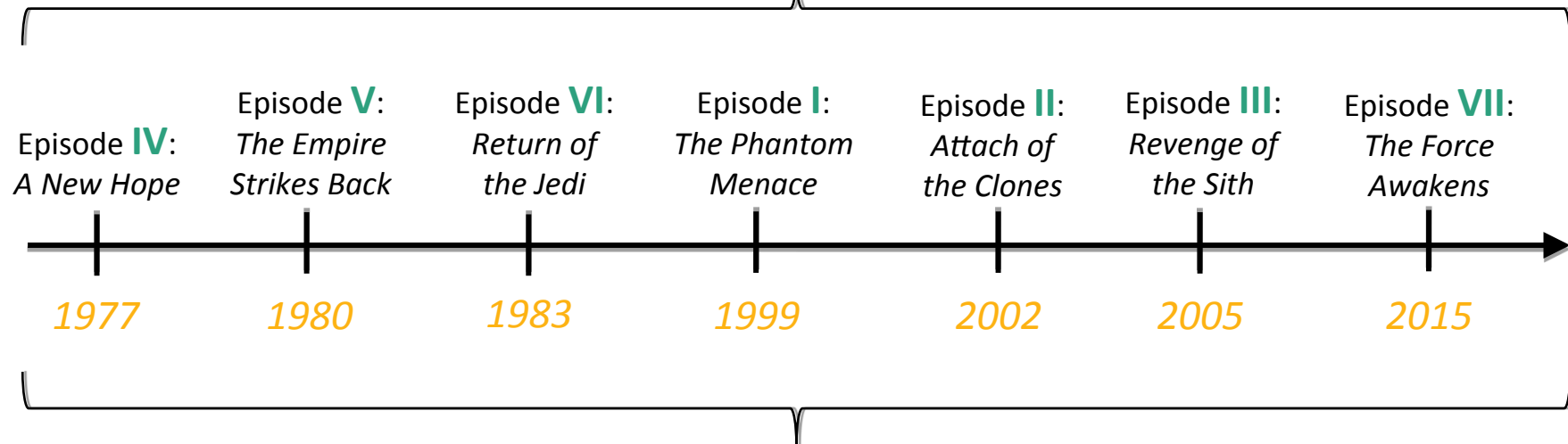
# Different Notions of Time



# Event Time vs Processing Time



This is called **event time**



This is called **processing time**

# Setting the StreamTimeCharacteristic

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```
final StreamExecutionEnvironment env =  
    StreamExecutionEnvironment.getExecutionEnvironment();  
  
env.setStreamTimeCharacteristic(TimeCharacteristic.EventTime);  
  
// alternatively:  
// env.setStreamTimeCharacteristic(TimeCharacteristic.IngestionTime);  
// env.setStreamTimeCharacteristic(TimeCharacteristic.ProcessingTime);
```

# Choosing Event Time has Consequences

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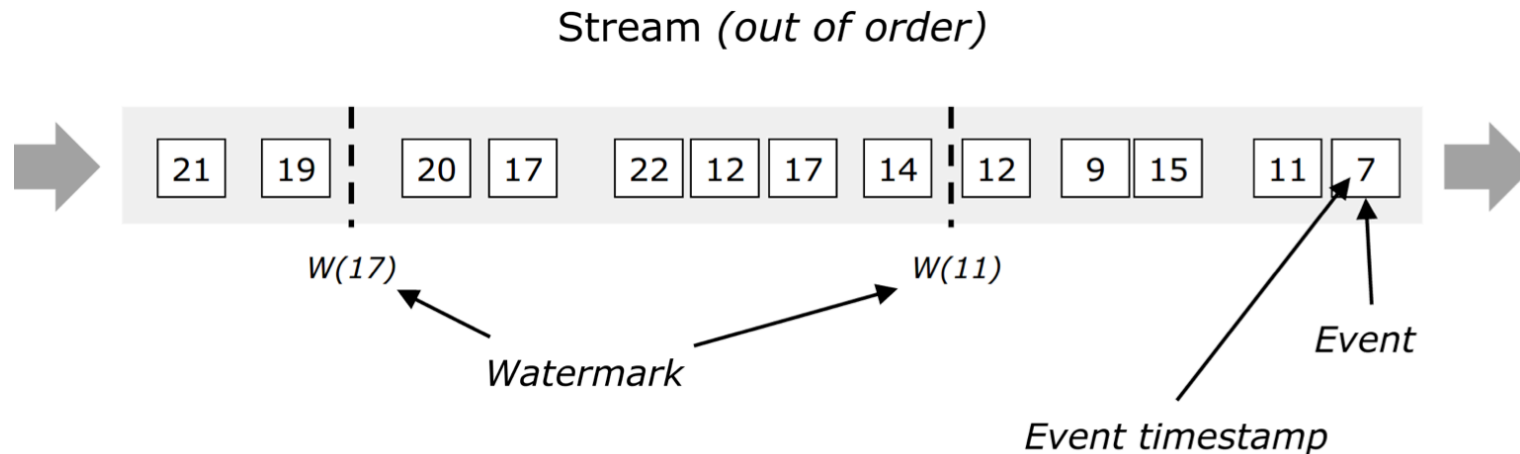


- When working with event time, Flink needs to know
  - how to extract the timestamp from a stream element
  - when enough event time has elapsed that a time window should be triggered

# Watermarks



- Watermarks mark the progress of event time
- They flow with the data stream and carry a timestamp; they are crucial for handling out-of-order events
- A  $Watermark(t)$  is a declaration that all events with a  $timestamp < t$  have occurred



# Timestamp Assigners / Watermark Generators

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```
DataStream<MyEvent> stream = ...
```

```
DataStream<MyEvent> withTimestampsAndWatermarks = stream  
    .assignTimestampsAndWatermarks(new MyTSExtractor());
```

```
withTimestampsAndWatermarks  
    .keyBy(...)  
    .timeWindow(...)  
    .addSink(...);
```



- There are different types of timestamp extractors
- BoundedOutOfOrdernessTimestampExtractor
  - Periodically emits watermarks that lag a fixed amount of time behind the max timestamp seen so far
  - To use, subclass and implement  
`public abstract long extractTimestamp(T element)`
  - Constructor  
`public BoundedOutOfOrdernessTimestampExtractor(  
    Time maxOutOfOrderness)`



# References

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- *The Dataflow Model: A Practical Approach to Balancing Correctness, Latency, and Cost in Massive-Scale, Unbounded, Out-of-Order Data Processing*  
<https://research.google.com/pubs/pub43864.html>
- Documentation
  - [https://ci.apache.org/projects/flink/flink-docs-release-1.2/dev/event\\_time.html](https://ci.apache.org/projects/flink/flink-docs-release-1.2/dev/event_time.html)
  - [https://ci.apache.org/projects/flink/flink-docs-release-1.2/dev/event\\_timestamps\\_watermarks.html](https://ci.apache.org/projects/flink/flink-docs-release-1.2/dev/event_timestamps_watermarks.html)
  - <https://ci.apache.org/projects/flink/flink-docs-release-1.2/dev/windows.html>
- Blog posts
  - <http://flink.apache.org/news/2015/12/04/Introducing-windows.html>
  - <http://data-artisans.com/how-apache-flink-enables-new-streaming-applications-part-1/>
  - <https://www.mapr.com/blog/essential-guide-streaming-first-processing-apache-flink>
  - <http://data-artisans.com/session-windowing-in-flink/>