# **Deep Learning for NLP**



# **Lecture 3 – Learning in MLPs / Backprop**

Dr. Steffen Eger Wei Zhao Niraj Pandey







Natural Language Learning Group (NLLG) Technische Universität Darmstadt



#### This lecture:



- Gradient Descent Learning:
  - A general optimization technique
- Backpropagation
  - A general technique to determine the gradients in all neural networks
- Language Modeling

#### **Outline**



#### **Gradient Descent**

# **Excursion: Continuous Optimization**



Consider generally the problem

$$\min_{\mathbf{w} \in \mathbb{R}^n} F(\mathbf{w})$$

for a smooth function  $F: \mathbb{R}^n \to \mathbb{R}$ .

#### **Excursion: Continuous Optimization**



Consider generally the problem

$$\min_{\mathbf{w}\in\mathbb{R}^n} F(\mathbf{w})$$

for a smooth function  $F: \mathbb{R}^n \to \mathbb{R}$ .

One general technique for addressing this problem is gradient descent:

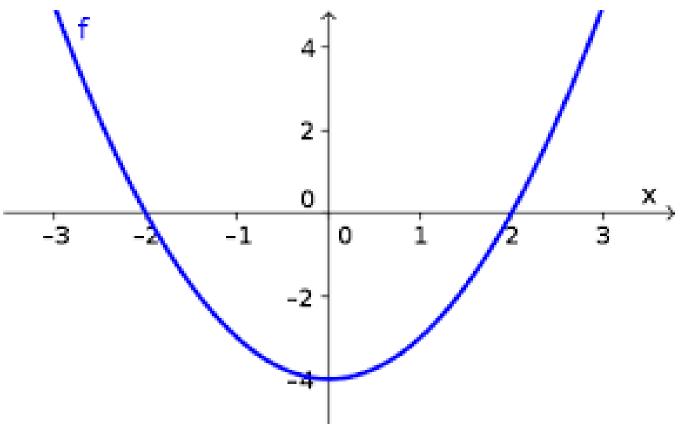
$$\mathbf{w'} \leftarrow \mathbf{w} - \alpha \nabla F(\mathbf{w})$$

where  $\, \alpha > 0 \,$  and  $\, \nabla F({f w}) \,$  is the *gradient* of F, evaluated at  $\, {f w} \,$  :

$$\nabla F(\mathbf{w}) = \begin{pmatrix} \frac{\partial F}{\partial y_1}(\mathbf{w}) \\ \vdots \\ \frac{\partial F}{\partial y_n}(\mathbf{w}) \end{pmatrix}$$

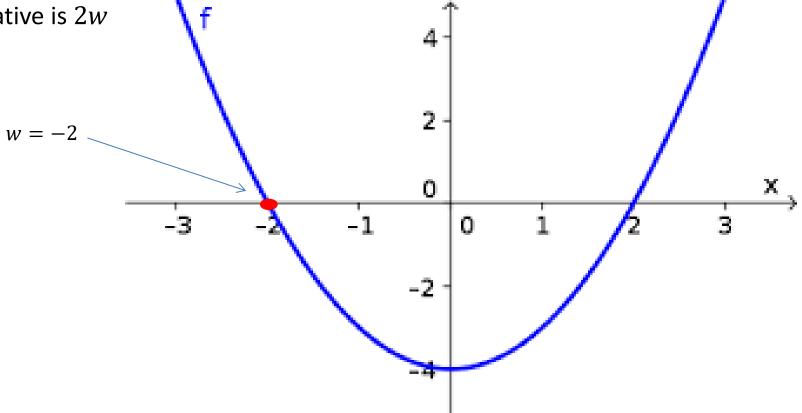


- Consider  $F(w) = w^2 4$
- Derivative is 2w



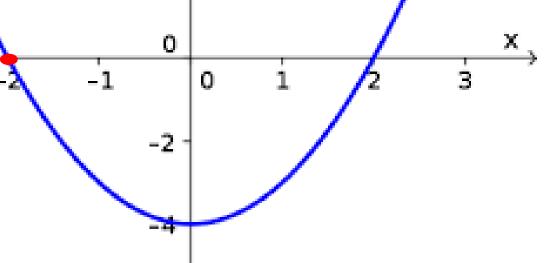


- Consider  $F(w) = w^2 4$
- Derivative is 2w



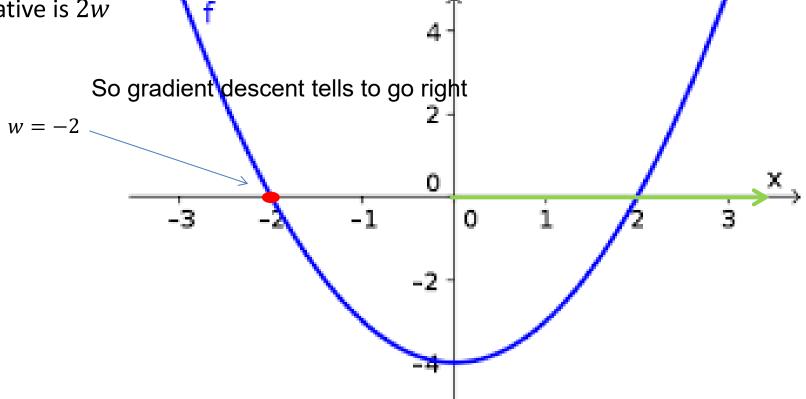


- Consider  $F(w) = w^2 4$
- Derivative is 2wGradient is  $2 \cdot w = -4$  w = -2



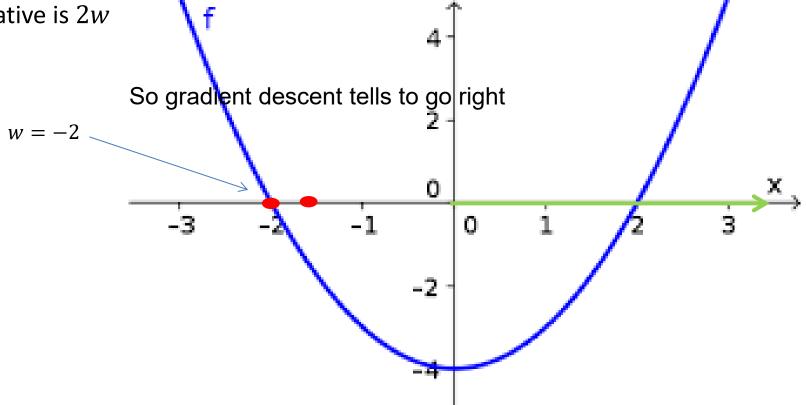


- Consider  $F(w) = w^2 4$
- Derivative is 2w



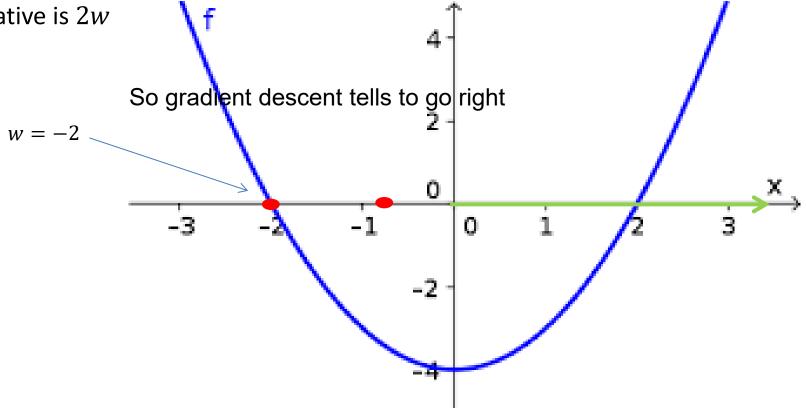


- Consider  $F(w) = w^2 4$
- Derivative is 2w



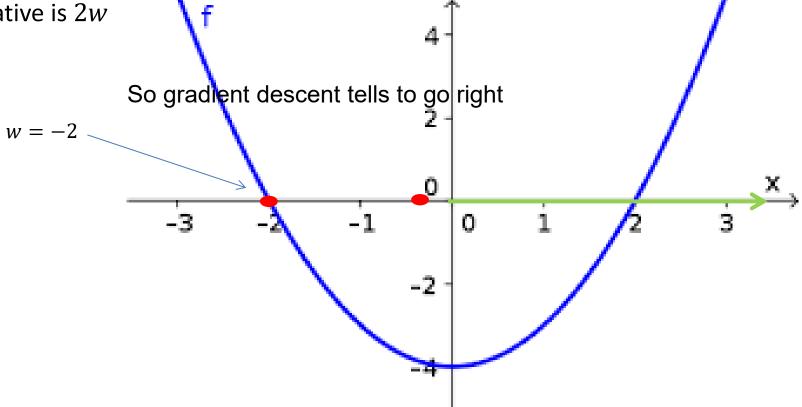


- Consider  $F(w) = w^2 4$
- Derivative is 2w



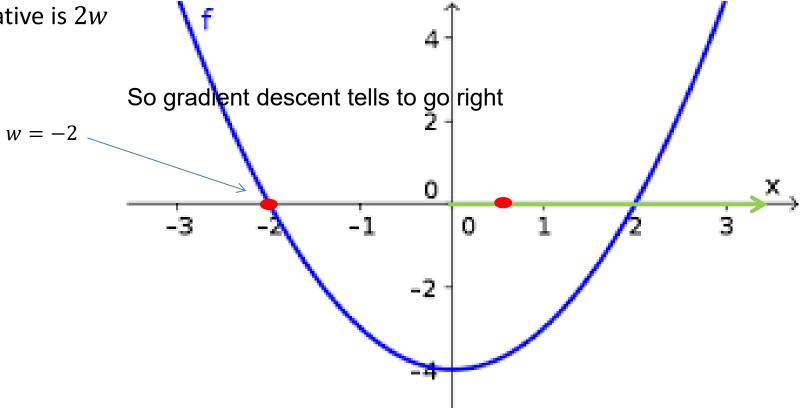


- Consider  $F(w) = w^2 4$
- Derivative is 2w



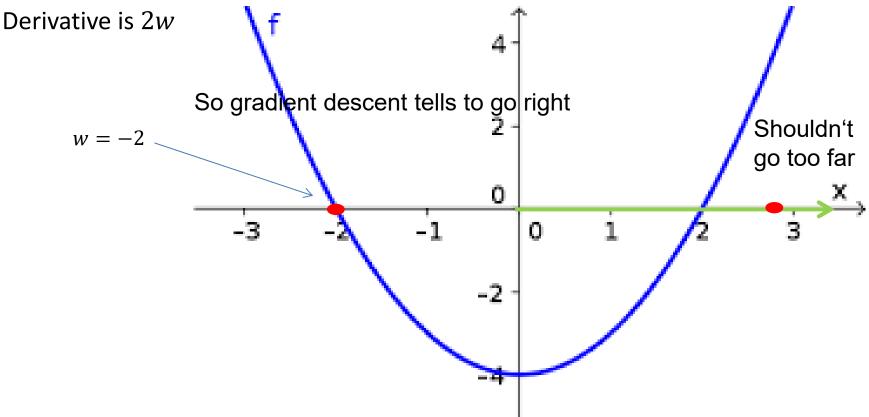


- Consider  $F(w) = w^2 4$
- Derivative is 2w



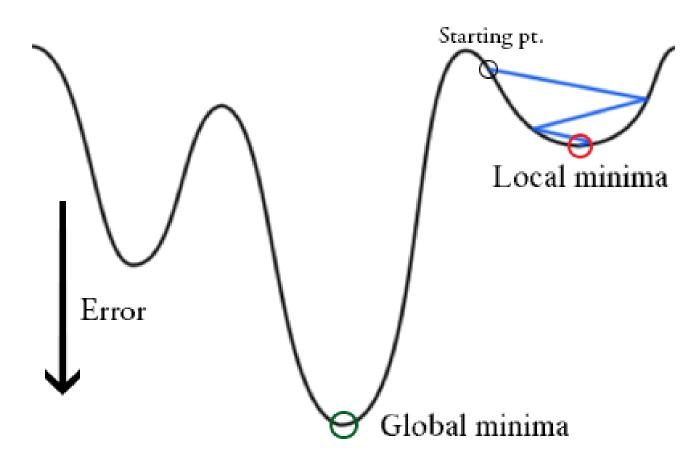


- Consider  $F(w) = w^2 4$



# Gradient descent does not always lead to good solutions





From https://static.thinkingandcomputing.com/2014/03/bprop.png

#### Other optimization techniques



- Note that other optimization techniques exist
  - Newton methods (2<sup>nd</sup> order, Hessian)
  - Conjugate gradient
  - **....**
- They may converge faster or be guaranteed to find global optima
  - May also require stronger assumptions
  - Second order methods need to determine the matrix of 2<sup>nd</sup> order derivatives
  - Often difficult to compute / rarely used for training neural networks

## **Batch Learning**



- Given data:  $(x_1, t_1), ..., (x_n, t_n)$
- Error- / Loss-Function:  $\ell(y, t)$
- Objective:

$$\min_{\mathbf{w}} F(\mathbf{w}) = \min_{\mathbf{w}} \sum_{i} \ell(f(\mathbf{x}_i; \mathbf{w}), \mathbf{t}_i)$$

 $w = \theta$  stands for any set of parameters

where f is (e.g.) a neural network

- Batch learning:
  - Compute gradient based on all datapoints

$$\mathbf{w} \leftarrow \mathbf{w} - \alpha \nabla \mathbf{F}(\mathbf{w})$$

$$\mathbf{w} \leftarrow \mathbf{w} - \alpha \sum_{i} \nabla \ell(f(\mathbf{x}_i; \mathbf{w}), \mathbf{t}_i)$$

## **Online Learning**



- Given data:  $(x_1, t_1), ..., (x_n, t_n)$
- Error- / Loss-Function:  $\ell(y, t)$
- Objective:

$$\min_{\mathbf{w}} F(\mathbf{w}) = \min_{\mathbf{w}} \sum_{i} \ell(f(\mathbf{x}_i; \mathbf{w}), \mathbf{t}_i)$$

where f is (e.g.) a neural network

- Online learning:
  - Approximate VF by computing it at only one data point

$$\mathbf{w} \leftarrow \mathbf{w} - \alpha \nabla \ell(f(\mathbf{x}_i; \mathbf{w}), \mathbf{t}_i)$$
 for all  $i = 1, ..., n$ 

#### **Mini-Batch Learning**



- Can be seen as intermediate solution between batch and online learning
- Select *k* < *n* datapoints (randomly), compute the loss function, update weights
- $k = 1 \rightarrow$  online learning
- Advantage:
  - Computing loss function gradient on all datapoints can be computationally expensive
  - Mini-batch learning converges faster to a good solution than batch learning
- Unclear how to choose k
  - Smaller k's lead often to better solutions (generalize better)
  - Larger k's are computationally advantageous on networks trained on multiple machines
- Mini-batch learning is also known as (a.k.a) stochastic gradient descent

#### **Outline**



# **Beyond gradient descent**

#### **Modifications**



■ Adapt learning rate  $\alpha$  over time:  $\alpha \rightarrow \alpha_t$ 

Include a regularization term:

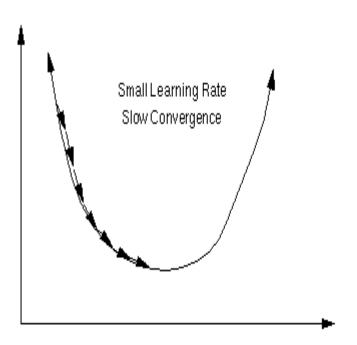
$$= \min_{\{\boldsymbol{w} \in R^n\}} F(\boldsymbol{w}) + \gamma \big| |\boldsymbol{w}| \big|^2$$

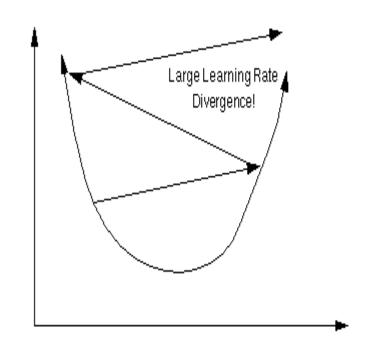
- Include momentum
  - smooth with past gradients

# **Modifications: Learning rate**



• Adapt learning rate  $\alpha$  over time:  $\alpha \rightarrow \alpha_t$ 





From https://www.willamette.edu/~gorr/classes/cs449/precond.html

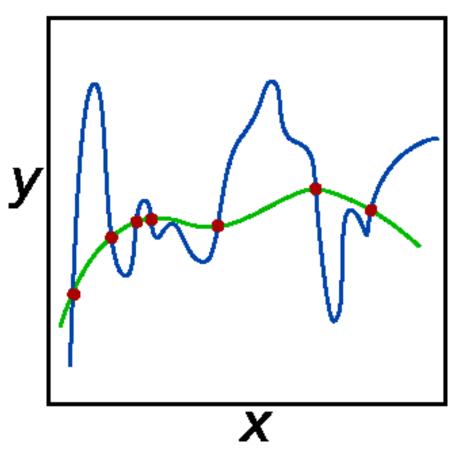
## **Modifications: Regularization**



- Minimize
  - $\bullet \min_{\{w \in R^n\}} F(w) + \gamma R(w)$
  - for  $\gamma \geq 0$
- Choose, e.g.,
  - $R(\mathbf{w}) = ||\mathbf{w}||^2 = \sum_i w_i^2$  (L2 regularization)
  - $R(\mathbf{w}) = |\mathbf{w}| = \sum_{i} |w_{i}|$  (L1 regularization)
- Motivation: Occam's razor (choose simpler solutions over more complicated ones)

# **Modifications: Regularization**





From https://en.wikipedia.org/wiki/Regularization\_(mathematics)

#### **Advanced Optimizers**



- Stochastic gradient descent (SGD) has troubles:
  - Ravines: Surface is more steep on one direction
  - Saddle points and plateaus
- More advanced optimizers have been proposed:
  - RMSProp, AdaGrad, AdaDelta, Adam, Nadam
- These methods train usually faster than SGD
- Found solution is often not as good as by SGD
  - Possible solution: First train with Adam, fine-tune with SGD
- Great overview: http://ruder.io/optimizing-gradientdescent/index.htm

#### Recommendations



- Try out Adam, Adagrad, Nesterov momentum, standard momentum
  - Often standardly built-in in libraries such as Tensorflow, Keras, etc.
- Use regularization

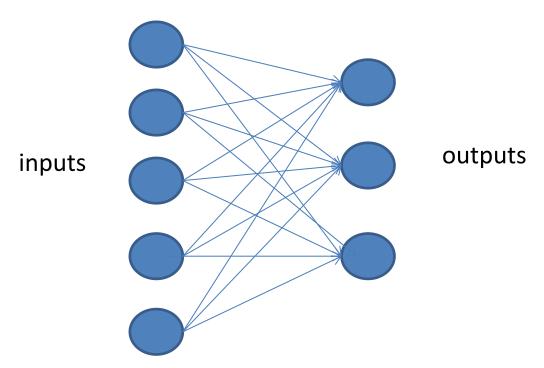
#### **Outline**



**Deep Networks – Terminology (Recap)** 

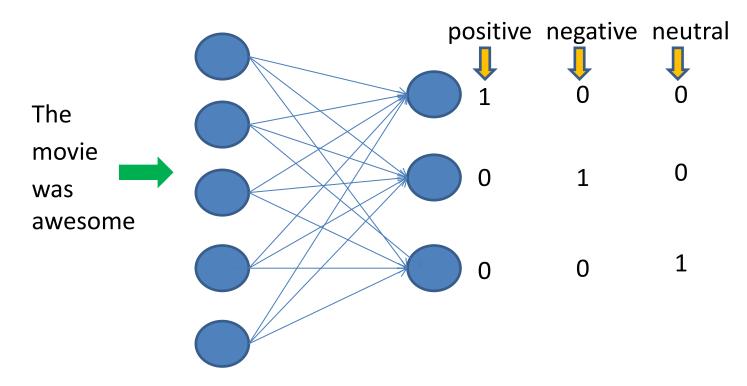


Several output neurons instead of a single output neuron

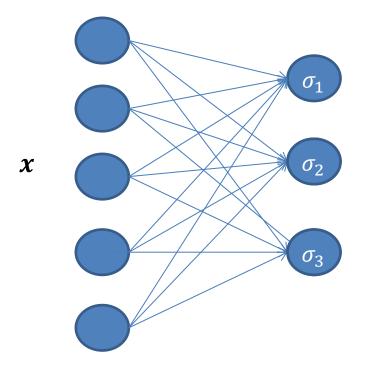


No additional technical difficulty, can use the previous learning techniques

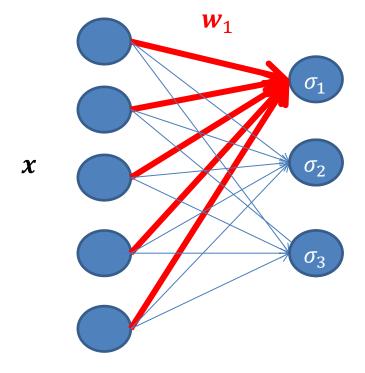




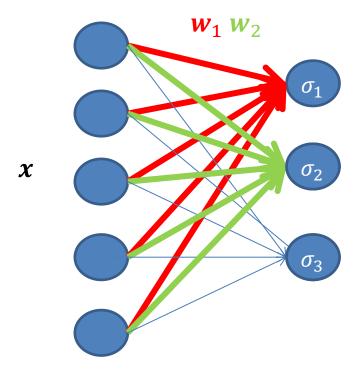




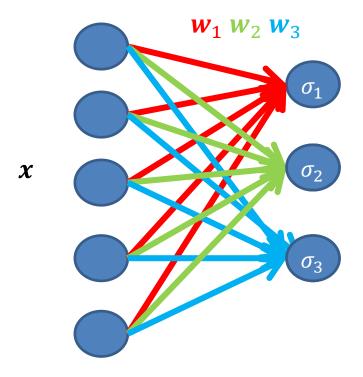




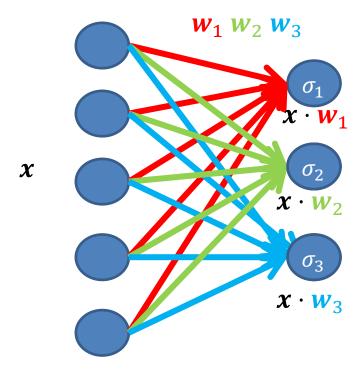




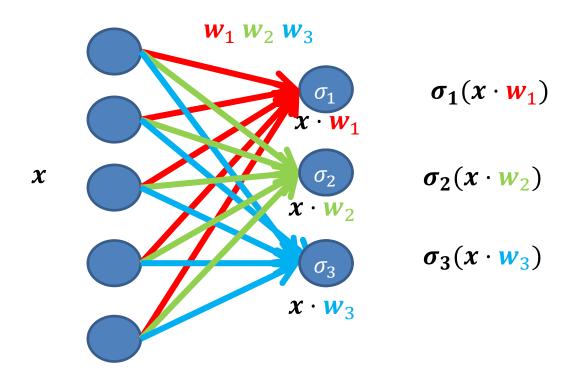




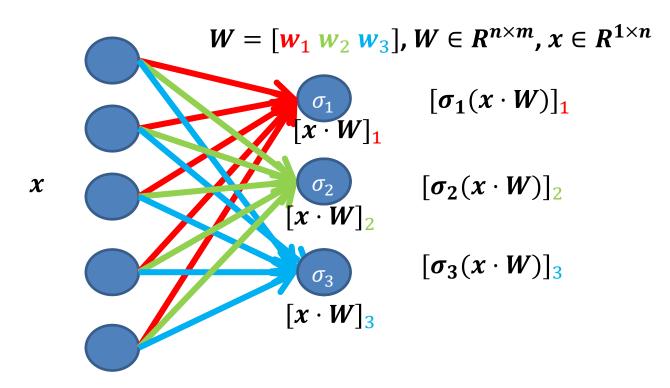






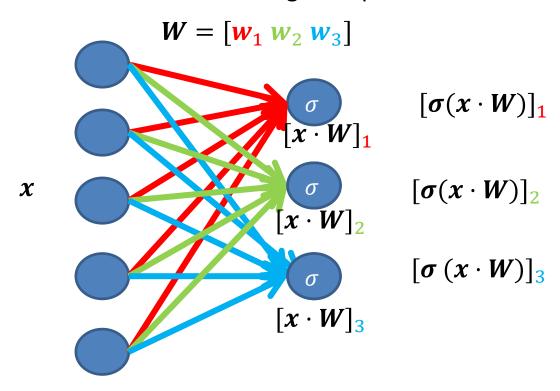








Several output neurons instead of a single output neuron



# A formal description: Multiple Output units



- Network with n input units, m output units, and no hidden layers
- Given
  - Weight vectors  $W = [w_1 \cdots w_m]$ , each  $w_k \in \mathbb{R}^n$ , so  $W \in \mathbb{R}^{n \times m}$
  - lacktriangle Non-linearities  $oldsymbol{\sigma}_1$ , ...,  $oldsymbol{\sigma}_m$ :  $oldsymbol{R} o oldsymbol{R}$
- Input to network
  - Input vector  $\mathbf{x} \in \mathbb{R}^{1 \times n}$ , for  $n \geq 1$ . (recall that one input is reserved for bias)
- Output units
  - Inputs (pre-activation):  $x \cdot w_1, \dots, x \cdot w_m$
  - Outputs:  $\sigma_1(x \cdot w_1), ..., \sigma_m(x \cdot w_m)$

# A formal description: Multiple Output units

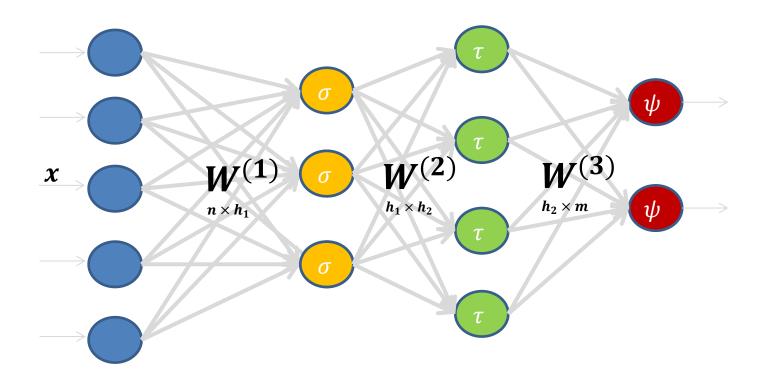


- Network with n input units, m output units, and no hidden layers
- Given
  - Weight vectors  $W = [w_1 \cdots w_m]$ , each  $w_k \in \mathbb{R}^{n \times 1}$ , so  $W \in \mathbb{R}^{n \times m}$
  - Non-linearity  $\sigma: R \to R$
- Input to network
  - Input vector  $\mathbf{x} \in \mathbb{R}^{1 \times n}$ , for  $n \geq 1$ . (recall that one input is reserved for bias)
- Output units
  - Inputs (pre-activation):  $x \cdot W \in R^{1 \times m}$
  - Outputs:  $\sigma(x \cdot W) = [\sigma(x \cdot w_1), ..., \sigma(x \cdot w_m)]$

(Here, we apply the non-linearity element-wise)



Multiple hidden layers/units



This is called Multi-Layer-Perceptron (MLP). More difficult in terms of optimization



- Formally, a feed-forward neural network with H hidden units is a function
  - $f: \mathbf{R}^n \to \mathbf{R}^m$ ,
  - with parameter (matrices)  $\mathbf{W}^{(1)}$ ,  $\mathbf{W}^{(2)}$ , ...,  $\mathbf{W}^{(H)}$
  - and non-linearities

$$\sigma_1$$
,  $\sigma_2$ , ...,  $\sigma_H$ 

where

• 
$$\mathbf{z}^{(1)} = \mathbf{x} \cdot \mathbf{W}^{(1)}$$

• 
$$y^{(1)} = \sigma_1(z^{(1)})$$

• 
$$\mathbf{z}^{(2)} = \mathbf{y}^{(1)} \cdot \mathbf{W}^{(2)}$$

- ....
- $\mathbf{z}^{(H)} = \mathbf{y}^{(H-1)} \cdot \mathbf{W}^{(H)}$
- $\mathbf{y} = \mathbf{y}^{(H)} = \sigma_H(\mathbf{z}^{(H)})$

Feed-forward NN a.k.a.
MLP



- Formally, a feed-forward neural network with H hidden units is a function
  - $f: \mathbf{R}^n \to \mathbf{R}^m$ ,
  - with parameter (matrices)  $\mathbf{W}^{(1)}$ ,  $\mathbf{W}^{(2)}$ , ...,  $\mathbf{W}^{(H)}$  and biases  $\mathbf{b}^{(1)}$ ,  $\mathbf{b}^{(2)}$ , ...,  $\mathbf{b}^{(H)}$
  - and non-linearities  $\sigma_1$ ,  $\sigma_2$ , ...,  $\sigma_H$
  - where

• 
$$z^{(1)} = x \cdot W^{(1)} + b^{(1)}$$

• 
$$y^{(1)} = \sigma_1(z^{(1)})$$

• 
$$\mathbf{z}^{(2)} = \mathbf{y}^{(1)} \cdot \mathbf{W}^{(2)} + \mathbf{b}^{(2)}$$

- .....
- $z^{(H)} = y^{(H-1)} \cdot W^{(H)} + b^{(H)}$
- $\mathbf{y} = \sigma_H(\mathbf{z}^{(H)})$

### Why do we need hidden layers?



- Hidden layers can learn useful intermediate representations of the data
  - Helps learning
  - A good organization of hidden layers can make learning much faster
- Perceptron cannot even learn the XOR function
  - In contrast, MLP with one hidden layer is a universal approximator,
    - See Cybenko 1989, Approximations by superpositions of sigmoidal functions; Hornik (1991),
       Approximation Capabilities of Multilayer Feedforward Networks
    - i.e. can represent/approximate any continuous function
    - More hidden layers can still be useful for learning an actual task

### **Outline**



# **Backpropagation**

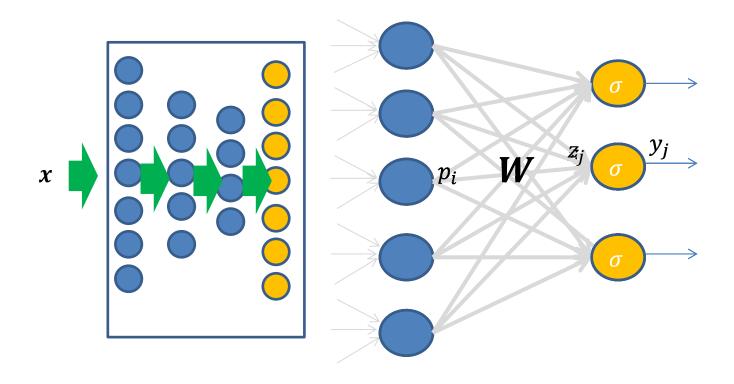


- When we want to use gradient descent for neural network learning, we need gradients over our loss
- For perceptrons, we could easily derive  $\nabla F$  ourselves (by hand)
- For general MLP, we cannot derive  $\nabla F$  so easily:
  - How to derive  $\nabla F$  in these situations is the scope of the backprop algorithm
  - We write E (for error) instead of F throughout

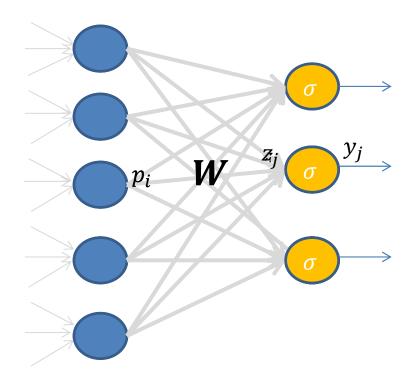


- Our following mathematical derivations are based on
  - N. Buduma, Fundamentals of Deep Learning: Designing Next Generation
     Maching Intelligence Algorithms, Chapter 2
- If you enjoy another viewpoint have a look at
  - A. Karpathy, cs231n, 2016, Lecture 4, Backpropagation

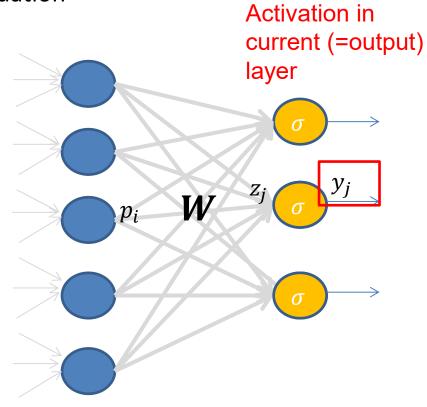






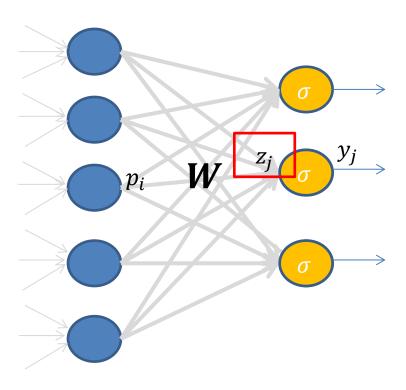






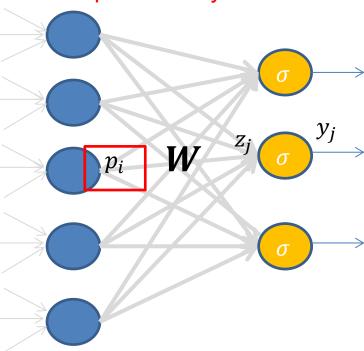


#### **Pre-Activation**











- Backpropagation has two phases:
  - 1. Forward Propagation
  - 2. Backward Propagation
- In 1. Forward propagation, all the (pre-)activations in all layers are computed
  - Starting from an input point (x, t)
- We assume this has been done and focus on 2. Backward Propagation in the following



- Consider the above described model situation with some loss function
  - $E(\boldsymbol{\theta}) = \sum_{(x,t)} \ell(y,t)$
  - The output  $y \in R^m$  is determined by some (deep) MLP
- We focus on minimizing
  - $E(\boldsymbol{\theta}) = \ell(\boldsymbol{y}, \boldsymbol{t})$
  - for notational convenience
- We focus on (multi-dim) square loss, but you can substitute other loss functions and derive analogous results

$$\ell(y,t) = ||y-t||^2$$



- Consider the above described model situation with some loss function
  - $E(\boldsymbol{\theta}) = \sum_{(x,t)} \ell(y,t)$
  - The output  $y \in R^m$  is determined by some (deep) MLP
- We focus on minimizing
  - $E(\boldsymbol{\theta}) = \ell(\boldsymbol{y}, \boldsymbol{t})$
  - for notational convenience
- We focus on (multi-dim) square loss, but you can substitute other loss functions and derive analogous results

• 
$$\ell(\boldsymbol{y}, \boldsymbol{t}) = ||\boldsymbol{y} - \boldsymbol{t}||^2 = \sum_j (t_j - y_j)^2 = \sum_j e_j(y_j)$$

• Here, 
$$e_j(y_j) = (t_j - y_j)^2$$

# High-level view of backprop



- Backprop is a form of dynamic programming
  - Recursively / inductively find the solution for an (optimization) problem
- We want to recursively determine the derivative of the loss wrt to the weights
- But we initially don't know how to do this, so we start out by looking at
  - $\delta \coloneqq \partial E/\partial q_i$
  - "how much does my loss/error change when activation in some neuron (in some layer) changes"
  - Then we relate  $\delta$  to  $\frac{\partial E}{\partial w_{ij}}$



- We start by asking ourselves what

$$E = \sum_{j} e_{j}(y_{j})$$



- We start by asking ourselves what
- We find

$$E = \sum_{j} e_{j}(y_{j})$$





$$E = \sum_{j} e_{j}(y_{j})$$



- We start by asking ourselves what

Chain rule

We find

$$E = \sum_{j} e_{j}(y_{j})$$



- We start by asking ourselves what
- We find

(note that 
$$\frac{\partial e_j}{\partial y_j} = \frac{\partial E}{\partial y_j}$$
)

$$E = \sum_{j} e_{j}(y_{j})$$

$$E = e_1(y_1) + e_2(y_2) + \cdots + e_j(y_j) + \cdots$$



- We start by asking ourselves what
- We find

- We start by asking ourselves what
- We find

Now

• 
$$y_j = \sigma(z_j)$$



- We start by asking ourselves what
- We find

- Now
  - $y_j = \sigma(z_j)$
  - Therefore  $\frac{\partial y_j}{\partial p_i} = \sigma'(z_j) \frac{\partial z_j}{\partial p_i}$



- We start by asking ourselves what
- We find

- Now
  - $y_j = \sigma(z_j)$
  - Therefore  $\frac{\partial y_j}{\partial p_i} = \sigma'(z_j) \frac{\partial z_j}{\partial p_i}$
  - But:
    - $z_j = \boldsymbol{p} \cdot \boldsymbol{w}_j$
    - $\bullet \quad \frac{\partial z_j}{\partial p_i} = \left[ \boldsymbol{w}_j \right]_i$

(note that  $\left[ oldsymbol{w}_j 
ight]_i = w_{ij}$  )



- We start by asking ourselves what
- We find

$$\bullet \quad \frac{\partial E}{\partial p_i} = \sum_j \frac{\partial E}{\partial y_j} \, \frac{\partial y_j}{\partial p_i}$$

Now

• 
$$y_j = \sigma(z_j)$$

- $y_j = \sigma(z_j)$  Therefore  $\frac{\partial y_j}{\partial p_i} = \sigma'(z_j) \frac{\partial z_j}{\partial p_i}$
- But:

$$z_j = \boldsymbol{p} \cdot \boldsymbol{w}_j$$

$$\frac{\partial z_j}{\partial p_i} = w_{ij}$$

$$\bullet \quad \frac{\partial E}{\partial p_i} = \sum_j \frac{\partial E}{\partial y_j} \sigma'(z_j) w_{ij}$$

# TECHNISCHE UNIVERSITÄT DARMSTADT



We expressed error derivative in previous layer in terms of the current layer

That's a great result



$$\bullet \quad \frac{\partial E}{\partial p_i} = \sum_j \frac{\partial E}{\partial y_j} \sigma'(z_j) w_{ij}$$



- That's a great result
- We already know what the error derivative wrt. the last layer is



$$\bullet \quad \frac{\partial E}{\partial p_i} = \sum_j \frac{\partial E}{\partial y_j} \sigma'(z_j) w_{ij}$$



- That's a great result
- We already know what the error derivative wrt. the last layer is

But from this we know the values at the layer (lastLayer-1) by our formula above



$$\bullet \quad \frac{\partial E}{\partial p_i} = \sum_j \frac{\partial E}{\partial y_j} \sigma'(z_j) w_{ij}$$



- That's a great result
- We already know what the error derivative wrt. the last layer is

- But from this we know the values at the layer (lastLayer-1) by our formula above
- But from this we know the values at the layer (lastLayer-2) by our formula above



$$\bullet \quad \frac{\partial E}{\partial p_i} = \sum_j \frac{\partial E}{\partial y_j} \sigma'(z_j) w_{ij}$$



- That's a great result
- We already know what the error derivative wrt. the last layer is

- But from this we know the values at the layer (lastLayer-1) by our formula above
- But from this we know the values at the layer (lastLayer-2) by our formula above
- **-** ......



$$\bullet \quad \frac{\partial E}{\partial p_i} = \sum_j \frac{\partial E}{\partial y_j} \sigma'(z_j) w_{ij}$$



- That's a great result
- We already know what the error derivative wrt. the last layer is

- But from this we know the values at the layer (lastLayer-1) by our formula above
- But from this we know the values at the layer (lastLayer-2) by our formula above
- •
- We backpropagate the error derivatives from the last layer to the very first!



$$\bullet \quad \frac{\partial E}{\partial p_i} = \sum_j \frac{\partial E}{\partial y_j} \sigma'(z_j) w_{ij}$$



We expressed error derivative in previous layer in terms of the current layer

- But we're looking for
  - $\frac{\partial E}{\partial u_{ik}}$  for all of the weight matrices  $\boldsymbol{U} = \boldsymbol{W^{(1)}}, \boldsymbol{W^{(2)}}, \boldsymbol{W^{(3)}}, ...$



$$\bullet \quad \frac{\partial E}{\partial p_i} = \sum_j \frac{\partial E}{\partial y_j} \sigma'(z_j) w_{ij}$$



We expressed error derivative in previous layer in terms of the current layer

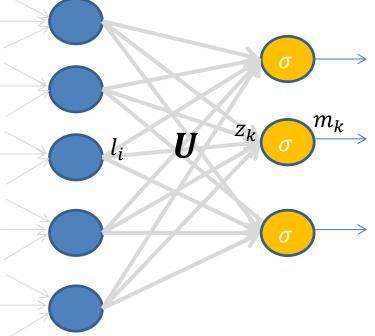
- But we're looking for
  - $\frac{\partial E}{\partial u_{ik}}$  for all of the weight matrices  $U = W^{(1)}, W^{(2)}, W^{(3)}, ...$
  - Fortunately, we have the relation

- Here,  $m_k$  is the output/activation at the layer corresponding to matrix U
- *l* is the input for that layer
- $z_k$  is the pre-activation of  $m_k$ , i.e.,  $m_k = \sigma(z_k)$



$$\bullet \quad \frac{\partial E}{\partial u_{ik}} = \frac{\partial E}{\partial z_k} \frac{\partial z_k}{\partial u_{ik}} = \frac{\partial E}{\partial m_k} \frac{\partial m_k}{\partial z_k} l_i = \frac{\partial E}{\partial m_k} \sigma'(z_k) l_i$$

- lacktriangledown Here,  $m_k$  is the output/activation at the layer corresponding to matrix  $oldsymbol{U}$
- l is the input for that layer
- $z_k$  is the pre-activation of  $m_k$ , i.e.,  $m_k = \sigma(z_k)$

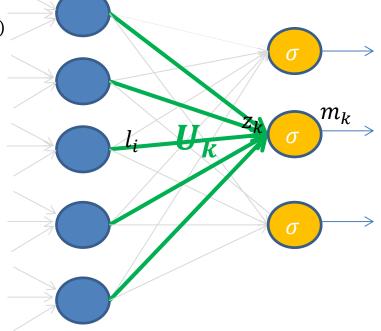






$$\boldsymbol{U} = [\boldsymbol{U}_1 \cdots \boldsymbol{U}_k \cdots]$$

- $\bullet \quad \frac{\partial E}{\partial u_{ik}} = \frac{\partial E}{\partial z_k} \frac{\partial z_k}{\partial u_{ik}} = \frac{\partial E}{\partial m_k} \frac{\partial m_k}{\partial z_k} l_i = \frac{\partial E}{\partial m_k} \sigma'(z_k) l_i$ 
  - lacktriangle Here,  $m_k$  is the output/activation at the layer corresponding to matrix  $oldsymbol{U}$
  - *l* is the input for that layer
  - $z_k$  is the pre-activation of  $m_k$ , i.e.,  $m_k = \sigma(z_k)$

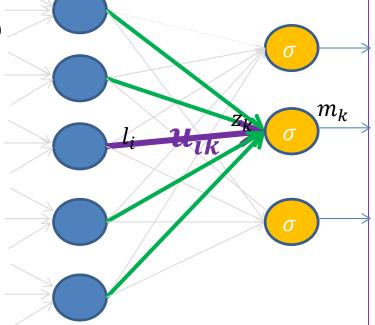






$$\boldsymbol{U} = [\boldsymbol{U}_1 \cdots \boldsymbol{U}_k \cdots]$$

- - Here,  $m_k$  is the output/activation at the layer corresponding to matrix  $m{U}$
  - *l* is the input for that layer
  - $z_k$  is the pre-activation of  $m_k$ , i.e.,  $m_k = \sigma(z_k)$





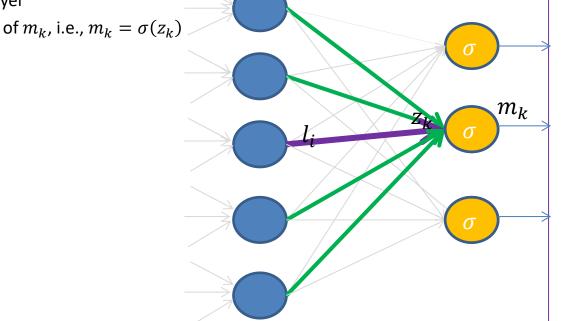


 $\boldsymbol{U} = [\boldsymbol{U}_1 \cdots \boldsymbol{U}_k \cdots]$ 

#### Chain rule



- Here,  $m_k$  is the output/activation at the layer corresponding to matrix  $m{U}$
- *l* is the input for that layer
- $z_k$  is the pre-activation of  $m_k$ , i.e.,  $m_k = \sigma(z_k)$







$$\boldsymbol{U} = [\boldsymbol{U}_1 \cdots \boldsymbol{U}_k \cdots]$$

$$\bullet \quad \frac{\partial E}{\partial u_{ik}} = \frac{\partial E}{\partial z_k} \frac{\partial z_k}{\partial u_{ik}} = \frac{\partial E}{\partial m_k} \frac{\partial m_k}{\partial z_k} l_i = \frac{\partial E}{\partial m_k} \sigma'(z_k) l_i$$

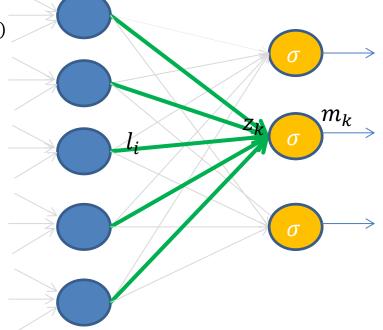
- lacktriangle Here,  $m_k$  is the output/activation at the layer corresponding to matrix  $oldsymbol{U}$
- *l* is the input for that layer
- $z_k$  is the pre-activation of  $m_k$ , i.e.,  $m_k = \sigma(z_k)$



$$z_k = \mathbf{l} \cdot \mathbf{U}_k$$

$$= \sum_{i} l_i (U_k)_i$$

$$= \sum_{i} l_i u_{ik}$$





$$\bullet \quad \frac{\partial E}{\partial p_i} = \sum_j \frac{\partial E}{\partial y_j} \sigma'(z_j) w_{ij}$$



We expressed error derivative in previous layer in terms of the current layer

- But we're looking for
  - $lacksquare rac{\partial E}{\partial u_{ik}}$  for all of the weight matrices  $m{U} = m{W^{(1)}}, m{W^{(2)}}, m{W^{(3)}}, ...$
  - Fortunately, we have the relation

This we get from backprop

$$\bullet \quad \frac{\partial E}{\partial u_{ik}} = \frac{\partial E}{\partial z_k} \frac{\partial z_k}{\partial u_{ik}} = \frac{\partial E}{\partial m_k} \frac{\partial m_k}{\partial z_k} l_i = \frac{\partial E}{\partial m_k} \sigma'(z_k) l_i$$

- lacktriangle Here,  $m_k$  is the output/activation at the layer corresponding to matrix  $oldsymbol{U}$
- *l* is the input for that layer
- $z_k$  is the pre-activation of  $m_k$ , i.e.,  $m_k = \sigma(z_k)$

#### **Summary**



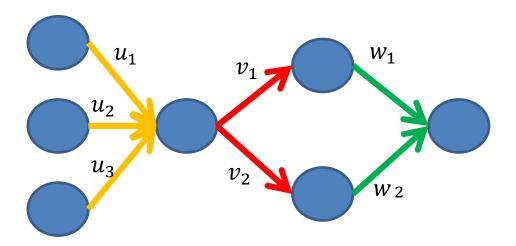
- Backpropagation is a recursive algorithm for determining error derivatives
- Starts at the outer layer
- Propagates error derivative signal 'backwards'
  - By expressing derivatives in one layer in terms of the next layer derivatives using the chain rule

- Note that it may be a general technique for calculating derivatives of composite functions
  - Modifications of the presented algorithm apply to other mathematical functions, too (not only neural nets!)

# **Example**



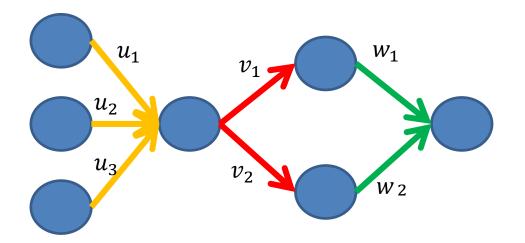
- We look at the following net
- Assume all activation functions are tanh, loss is square loss



# **Example**



- We look at the following net
- Assume all activation functions are tanh, loss is square loss
- Let's initialize our net to u = (0.2, 0.5, -1), v = (0.9, -0.5), w = (0.2, -5)



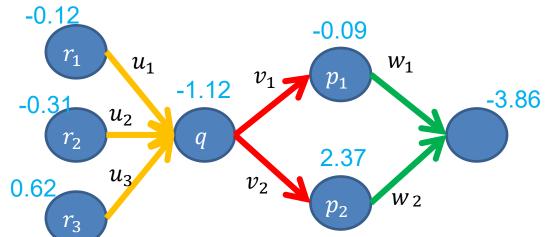
- Assume that x = (1,0,1) and t = 1
- Square loss:  $(t y)^2$

 We first perform a forward pass and compute everything – from activations to loss. Then we switch to the backward pass

# **Example**



- We look at the following net
- Assume all activation functions are tanh, loss is square loss
- Let's initialize our net to u = (0.2, 0.5, -1), v = (0.9, -0.5), w = (0.2, -5)



- Assume that x = (1,0,1) and t = 1
- Square loss:  $(t y)^2$

$$\frac{\partial E}{\partial u_{ik}} = \frac{\partial E}{\partial m_k} \sigma'(z_k) l_i$$

$$\frac{\partial E}{\partial u_3} = -1.12 \cdot (0.55) \cdot 1 = -0.61$$

# **Example – gradient check**



- Finally, to see if we did everything correctly, we (can) perform a numeric gradient check
- E.g. to check  $\partial E/\partial u_3$

Recall:  $f'(x) \approx \frac{1}{h}(f(x+h) - f(x))$ 

• We compute our loss E at (for x = (1,0,1) and t = 1)

$$u' = (0.2, 0.5, -1 + h), v = (0.9, -0.5), w = (0.2, -5)$$

- and at u, v, w
- Then, we compute

$$\frac{1}{h} \cdot \left( E(\mathbf{x}, t; \mathbf{u}', \mathbf{v}, \mathbf{w}) - E(\mathbf{x}, t; \mathbf{u}, \mathbf{v}, \mathbf{w}) \right)$$

## **Outline**



(Neural) Language Models

#### Language Model



# Language model:

- Assigns a sequence of tokens (words, characters, ...) a probability
  - Which denotes likelihood of observing this sequence in text

# Intuitively

Probability(the cat sat on the mat) > Probability(mat sat cat on the the)

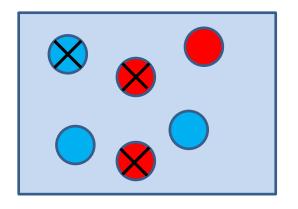
#### Use cases:

- Scoring sequences: e.g. in MT
- Generating Text

# One slide primer on probability



- Joint probability:  $P(A \cap B)$  also denoted as: P(A,B),  $P(A \mid B)$ 
  - how likely is it to observe events A and B jointly?
- Conditional probability: P(A|B)
  - how likely is it to observe A given B?
- Marginal probability: P(A)
  - how likely is it to observe event A?





- What is P(female)? 1/2
- What is P(female,crime)? 2/6
- What is P(female|crime)? 2/3
- What is P(crime|female)?2/3
- What is P(crime|male)?1/3

X =commited crime

## N-gram language models



In former times, a common approach was to use n-gram language models



- Approximate the true probability P of a stream of tokens
  - $P(w_1 \ w_2 \ w_3 \ w_4 \ \dots) = P(w_1) \cdot P(w_2|w_1) \cdot P(w_3|w_1w_2) \cdot P(w_4|w_1w_2w_3) \cdot P(w_5|w_1w_2w_3w_4) \cdots$
  - by an n-gram model, where:
    - $P(w_t|w_1 \cdots w_{t-1}) \approx P(w_t|w_{t-n+1} \cdots w_{t-1})$

## N-gram language models



For example, 1-gram model (unigram)

$$P(w_1w_2w_3w_4\cdots) \approx P(w_1)P(w_2)P(w_3)P(w_4)\cdots$$

2-gram model (bigram)

$$P(w_1w_2w_3w_4\cdots) \approx P(w_1)P(w_2|w_1)P(w_3|w_2)P(w_4|w_3)\cdots$$

## N-gram language models



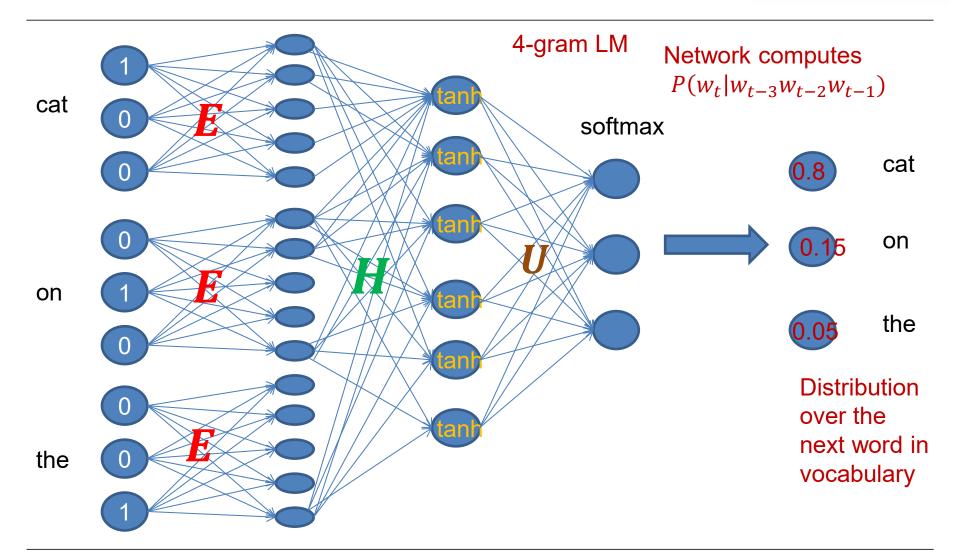
 In traditional approaches, n-gram language probabilities are estimated from counts in (training) data:

$$P(dog|the) = \frac{cnt(the,dog)}{cnt(the)}$$

- $P(w_t|w_{t-n+1}\cdots w_{t-1}) \approx \frac{\operatorname{count}(w_{t-n+1}\cdots w_{t-1}w_t)}{\operatorname{count}(w_{t-n+1}\cdots w_{t-1})}$
- Additionally, some smoothing is performed to account for words not seen in the data
  - I.e. we should not assign zero probability to "the dog"
  - Just because we never saw this sequence in our training data (especially when we saw a dog and the cat etc.)
- Implementing an n-gram language model with an MLP is (also) easy ...

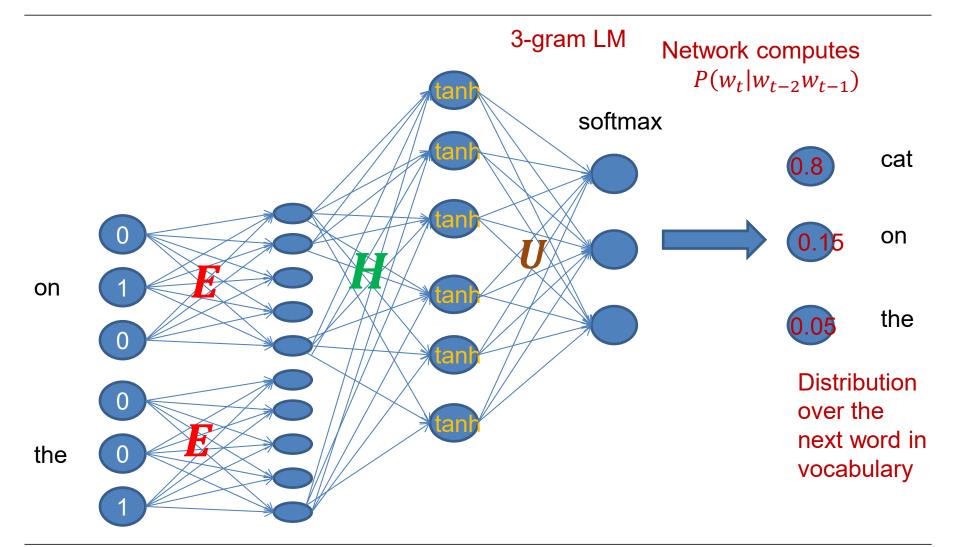
# Bengio et al. (2003), A neural prob. language model





# Bengio et al. (2003), A neural prob. language model





# Language Models can (also) be used to Generate Language



- For example with a bigram language model:
  - Sample  $\widetilde{w}_1$  from  $p(w_1)$
  - Then sample  $\widetilde{w}_2$  from  $p(w_2|\widetilde{w}_1)$
  - Then sample  $\widetilde{w}_3$  from  $p(w_3|\widetilde{w}_2)$
  - And so on

 The problem with n-gram language models is that they are inherently limited in the past window that they can take into consideration

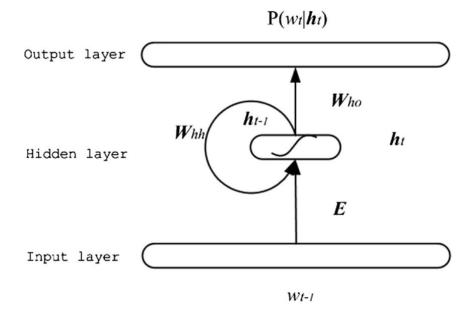
## **RNN** language models



 To remedy, one uses a slightly different network structure, so called Recurrent Neural Networks

Where indefinite amount of past knowledge is stored in the hidden

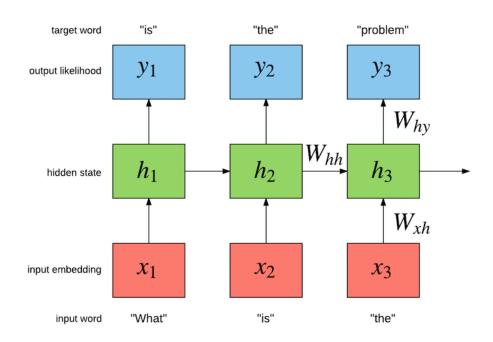
layer



#### **RNN** language models



The RNN is trained to predict the next word

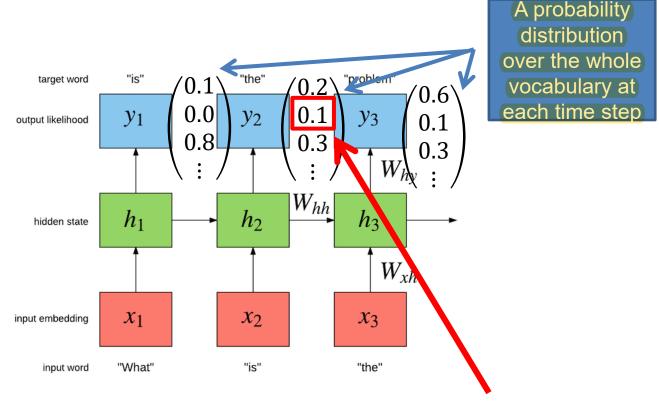


- The RNN computes  $p(w_t|w_1 \cdots w_{t-1}) \rightarrow$  no n-gram approximation
- At generation time, sample from the softmax, and feed in to next time step

#### **RNN** language models



Example



- To get p(the|What is), choose corresponding probability from softmax (e.g. 0.1)
- It is common to pad the input with a SOS and predict an EOS in the last step

#### Language Models in NLP



- LMs have seen a revival in NLP
  - E.g., because language modeling can be a cheap auxiliary task (see work of Marek Rei)
  - And also due to ELMo, a word embedding model that computes contextualized word embeddings using a language modeling objective

# **Summary**



- We saw gradient descent (GD, SGD)
  - A general technique for optimization
  - Saw also extensions of vanilla SGD
- Then we saw backprop(agation)
  - A general technique for deriving gradients in MLPs
  - Once the gradient is determined, can again learn with GD or extensions
- Then we saw N-gram and RNN language models

#### References



- Senior, Andrew, Georg Heigold, and Ke Yang. "An empirical study of learning rates in deep neural networks for speech recognition." Acoustics, Speech and Signal Processing (ICASSP), 2013 IEEE International Conference on. IEEE, 2013.
- Bengio, Yoshua, et al. "A neural probabilistic language model." Journal of machine learning research 3.Feb (2003): 1137-1155.
- Sutskever, Ilya, James Martens, and Geoffrey E. Hinton. "Generating text with recurrent neural networks."
   Proceedings of the 28th International Conference on Machine Learning (ICML-11). 2011.
- Rei, Marek, Semi-supervised Multitask Learning for Sequence Labeling, ACL 2017