# 1 Mean, standard deviation, entropy maps of the patterns

### 1.1 Definitions

• 
$$IQ_M = \frac{1}{W \cdot H} \sum_{i=1}^{W} \sum_{j=1}^{H} I_{ij} [4, 6]$$

- $\diamond$  W: Pattern width
- $\diamond$  H: Pattern height
- $\diamond I_{ij}$ : Intensity (0-255) of the pixel at row i and column j

• 
$$IQ_{\sigma} = \sqrt{\frac{\sum\limits_{i=1}^{W}\sum\limits_{j=1}^{H}I_{ij}-\bar{I}}{W\cdot H-1}}$$
 [4, 6]

- $\diamond$  W: Pattern width
- $\diamond$  H: Pattern height
- $\diamond$   $I_{ij}$ : Intensity (0-255) of the pixel at row i and column j
- $\diamond$   $\bar{I}$ : Average intensity (i.e.  $IQ_M$ )

• 
$$IQ_E = -\sum_{i=0}^{255} P_i \ln P_i \ [4, 6]$$

 $\diamond P_i$ : Probability of gray level i

## 1.2 Advantages

- Less noise than IQ [4]
- Show micro-twins and scratches [4]
- Show small topography changes [4]
- Show strain levels [4]
- Don't rely on the detection of Kikuchi bands [4]
- Entropy maps have similar results than IQ [4]
- More related to surface topography [6]
- $\bullet~IQ_{M}$  very similar to FSD images (but with inverted contrast [6]
- $IQ_M$  is mostly a measured of the overall backscatter yield (good for phase differentiation) [6]

#### 1.3 Disadvantages

- Deteriorate with time due to contamination [4]
- Affected by gain and contrast settings of the EBSD detector unit as well as the SEM [6]
- Normalization is done using the hypothesis that the sum of all pixels in one row is constant [4]

### 1.4 Representation

• Black is assigned to all values less than  $3\sigma$  and white to all values greater than  $3\sigma$  [6]

# 2 Image Quality

#### 2.1 Definitions

• 
$$IQ_{HT} = \frac{1}{N} \sum_{i=1}^{N} H(\rho_i, \theta_i)$$
 [6]

- $\diamond$  N: number of peaks detected by the Hough transform (user defined value)
- $\Leftrightarrow H(\rho_i, \theta_i)$ : Height of the *i*th peak
- $\diamond$   $IQ_{HT}$  will be dependent on the user's selection. Because the peaks are found in decreasing order of intensity, if fewer peaks are allowed,  $IQ_{HT}$  will be large.
- Normalization [6]

$$\diamond\ IQ_{
m normalized} = rac{IQ_{
m initial}}{IQ_{
m standard}}$$

\*  $IQ_{\text{standard}}$ : Average IQ value of a standard sample (no deformation)

$$\diamond \ IQ_{\rm normalized} = \frac{IQ_{\rm initial} - IQ_{\rm min}}{IQ_{\rm max} - IQ_{\rm min}}$$

- \*  $IQ_{\min}$ : Minimum IQ value of a set
- \*  $IQ_{\text{max}}$ : Maximum IQ value of a set
- A quality measure based on the Hough Transform should thus in some way measure the "amount" of butterfly peaks in the normalized Hough Transform [2]

#### 2.2 Factors

- Elastic strain [6]
  - $\diamond$  "Bend" strain  $\rightarrow$  More diffuse bands
  - $\diamond$  "Stretch" strain  $\rightarrow$  Wider bands
- Plastic strain [6]
  - $\diamond$  Superposition of individual patterns  $\rightarrow$  More diffuse patterns
- Composition [6]
  - ♦ Heavier atoms have higher atomic scattering factors (brighter patterns)
- Surface topology [6]

#### 2.3 Advantages

- Similar to confidence index [4]
- Metric describing the quality of a diffraction pattern [6]
- Doesn't show charge buildup (horizontal artifacts) like the mean, standard dev. and entropy does [6]
- Show boundaries (grain and phase) [6]
- Best map to differentiate between phases, grain boundaries and strain [6]
- Best contrast between strain and unstrained regions [6]
- IQ differences arising from orientation differences are generally mush smaller than those due to phase, grain boundaries or strain [6]
- Normalization minimized the effect of image processing on the IQ values [7]

## 2.4 Disadvantages

- Rely on the detection of Kikuchi bands (influence by false peaks) [4]
- Affected by various operator-defined parameters used in the calculation of the Hough transform [6]
- No distinction between high and low angles grain boundaries [6]
- IQ values are not corrected for the grain boundary contribution [7]
  - $\diamond\,$  Pixels around grain boundary should be removed from the IQ value

# 3 Image Quality in INCA Crystal

### 3.1 Definitions

- From [3]
- For each pattern, the 7 strongest Hough peaks are identified
- $IQ = 256 \frac{I_{\text{Max}} I_{\text{Min}}}{20000}$  (for 8 bit)
- $IQ = \frac{I_{\text{Max}} I_{\text{Min}}}{2000} 20$  (for 16 bit)
  - $\diamond~I_{\rm Max} :$  Strongest peak relative to the average gray level (128) in Hough space
  - $\diamond$   $I_{\rm Min}$ : Smallest peak relative to the average gray level (128) in Hough space
- - $\diamond$  For a standard deviation ranging from 4 to 13.5

# 4 Multi-peaks Model

### 4.1 Definitions

- From [7]
- $N = \sum_{i=1}^{k} n_i$
- $IQ \approx \sum_{i=1}^{k} ND(n_i, \mu_i, \sigma_i)$ 
  - $\diamond$  N: Number of the total scan points in a file
  - $\diamond$  k: Number of normal distributions in the simulation
  - $\diamond$  ND: Normal distribution
- Conditions
  - 1. Min(k)

2. 
$$\left\| IQ - \sum_{i=1}^{k} ND(n_i, \mu_i, \sigma_i) \right\| \le \epsilon$$

 $\diamond~\epsilon :$  Minimum acceptable error

## 4.2 Advantages

• Study of multi-component microstructures [7]

## 5 Fourier Transform

### 5.1 Definitions

- Contrast [5]
  - ♦ Root mean square intensity of averaged band profiles
  - One dimension profiles were taken normal to the band at 1 pixel intervals along the length and superimposed, resulting in a projection of the average profile of the band
  - ♦ Bands nearly parallel to the selected band do contribute peaks in the profile, which are broadened by the misalignment with the projection direction
  - ♦ These features can be removed from the projected intensity profile by using a suitable window or weighting function
  - $\diamond$  Hanning function was used:  $H(x) = \frac{1}{2}\cos(2\pi x/X)$ 
    - \* x: Sample number
    - \* X: Total number of samples in the profile
  - The central peak is emphasizes, while the outer regions regions of the profile are continuously attenuated
- Sharpness/Diffuseness [5]
  - ♦ In a good quality pattern, the edges involve rapid changes in intensity (high frequency are present)
  - ♦ In a degraded pattern, the gray level changes at the edges occur more graduaylly (high frequency attenuated)
  - ♦ The attenuation of high frequency components of Fourier transform of the enhanced images and of the averaged band profiles
  - $\diamond$  Two methods
    - 1. Spectral first peak area (SFPA)
      - \* Calculate the area under the first peak in the power spectrum obtained from the projected average intensity profile
      - \* Apply the Hanning function to the profile prior to transformation in order to emphasize the central Kikuchi band and to reduce leakage encountered in the use of discrete Fourier analysis.
      - \* Take the fraction between the area under the first peak and the total area of the spectrum (independent of pattern quality)
    - 2. Power spectra first moment (PSFM)
      - \* Use to generate a single value quantifying the quality of Kikuchi band profiles
      - \* As the method is highly sensitive to the position at which any one single profile is taken, the use of 2D Fourier analysis reduces this dependence on positions
      - \* Integration of the 2D spectrum around circular paths at each radii allows average coefficients at each frequency to be determined
      - \* Hanning function is used
      - \* Take the fraction between the first moment by the area under the spectrum (independent of pattern contrast)

• Quality index by Krieger Lassen [2]

$$\diamond \ I = \frac{\sum\limits_{u=-n/2}^{n/2-1} \sum\limits_{v=-n/2}^{n/2-1} S(u,v)(u^2+v^2)}{\sum\limits_{u=-n/2}^{n/2-1} \sum\limits_{v=-n/2}^{n/2-1} S(u,v)}$$

- \* S(u,v): Inertia of the Fourier spectrum S(u,v) = ||F(u,v)|| around the center (u,v) = (0,0)
- \* The inertia decreases as the spectrum becomes successively more concentrated at low frequencies and should thus be large for low quality images than for images of higher quality.

$$\diamond \ I_{\text{max}} = \frac{1}{n^2} \sum_{u=-n/2}^{n/2-1} \sum_{v=-n/2}^{n/2-1} (u^2 + v^2)$$
 
$$\diamond \ Q = 1 - \frac{I}{I_{\text{max}}}$$

#### 5.2 Factors

- Strain [5]
  - $\diamond\,$  Steady decrease in the high frequency Fourier components as strain increases
  - ♦ Diffuseness of EBSP patterns is observed to increase with plastic strain
- Quality [2]
  - ⋄ There are linear features in Fourier spectra from the EBSD bands. They are most notable in high quality pattern.
  - High quality patterns have a large content of low frequency components and a lower content of high frequency components than the low quality patterns
  - ♦ The frequency content of the low quality EBSP is more uniformly distributed among the different frequency components and is approaching that of white noise.
  - ♦ Essentially a measure for the noise level of the images

#### 5.3 Advantages

- Cold work reduces both contrast and sharpness [5]
- Tilt effect contrast, but not sharpness [5]

#### 5.4 Disadvantages

- Measurements are dependent on the contamination [5]
- Sampling of several grains is essential to build a calibration curve [5]

# 6 Band Contrast

### 6.1 Definitions

• Jump in contrast between the edge of the band and the adjacent background [1]

## 6.2 Disadvantages

• Not sufficient to reliably capture the deformation gradients [1]

# 7 Misorientation Mapping

#### 7.1 Definitions

- Method [1]
  - 1. Establish grains in microstructure
  - 2. Determine the reference pixel for every individual grain
    - ♦ Calculate the mis-orientation for all nine-pixels clusters within a given grain, disregarding boundary pixels
    - ♦ Choose the cluster with least mis-orientation as reference (minimum distortion)
  - 3. Calculate and map the mis-orientation
    - ⋄ For a given grain, calculate the mis-orientation between each pixel and the reference pixel
    - ♦ Map this mis-orientation for each pixel using a color table

### 7.2 Advantages

- Small mis-orientations represent small amount of intra-grain mis-orientation / lattice rotation and therefore large deformations [1]
- Scratches are visible [1]
- Show extent of deformation zone [1]
- Sensitive to deformation on a grain-by-grain basis [1]

### 7.3 Disadvantages

- Lack of connection to more quantitative measures of deformation such as strain, strain gradient or dislocation density [1]
- The choice of the reference mis-orientation can substantially affect the resulting map [1]
- Not accurate enough to measure elastic strain [1]

## References

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