

# Process

张海宁

贵州大学

*hnzhang1@gzu.edu.cn*

May 8, 2018

## 1 Process

- What is a Process
- Process Structure
- Starting New Process

## 2 Appendix

# Process

# What is a Process

- A process is **a program that is running**.
- A process is running consists of program code, data, variables (occupying system memory), open files (file descriptors), and an environment.

*Typically, a Linux system will share code and system libraries among processes so that there's only one copy of the code in memory at any one time.*

# Process Structure

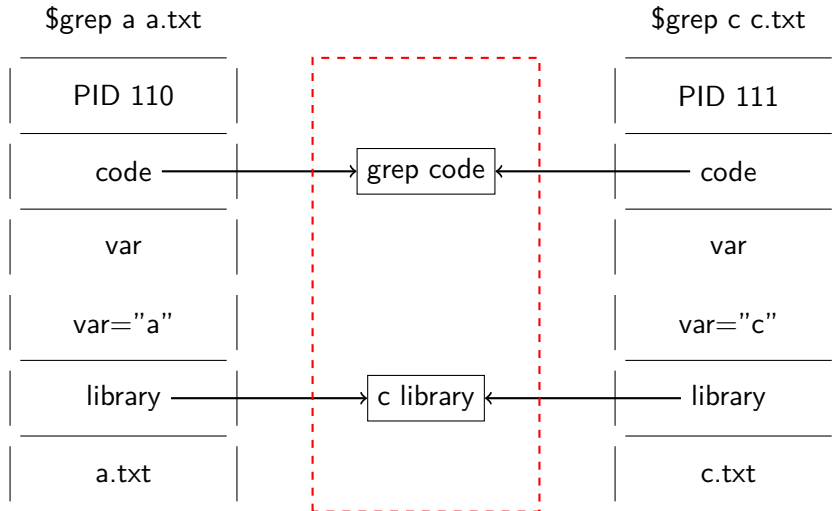


Figure: process structure

Figure 1 显示了操作系统如何安排两个同时运行的 `grep` 程序（一种可能的状态）。从中我们可以看出：

- ① Each process is allocated a unique number, called a **process identifier or PID** (This is usually a positive integer between 2 and 32,768, The number 0/1 is typically reserved for the special init process, which manages other processes).
- ② The **code of `grep`** was loaded into memory as read-only and was **shared** by the two processes. The **system library** worked the same way as the `grep` code.
- ③ A process has its **own stack space**, used for local variables in functions and for controlling function calls and returns. It also has its **own environment space**, containing environment variables.

# The Process Table

The Linux **process table** is like a data structure describing all of the processes that are currently loaded with, for example, their PID, status, and command string, the sort of information output by **ps**.



The ps utility displays a header line, followed by lines containing information about all of your processes.

### list processes

```
$ ps -f -u zhanghaining
```

UID	PID	PPID	C	STIME	TTY	TIME	CMD
zhangha+	25020	25015	0	13:18	?	00:00:00	sshd: zhn@pts/3
zhangha+	25021	25020	0	13:18	pts/3	00:00:00	-bash
zhangha+	25201	25021	0	13:45	pts/3	00:00:00	ps -f -u zhn

- The PPID field of ps output indicates the parent process ID, the PID of either the process that caused this process to start or, if that process is no longer running, init (PID 1).
- TTY column shows which terminal the process was started from
- TIME gives the CPU time used so far
- the CMD column shows the command used to start the process

we will show how to view the status of a process.

### status of process

```
$ ps ax
```

PID	TTY	STAT	TIME	COMMAND
25020	?	S	0:00	sshd: zhanghaining@pts/3
25021	pts/3	Ss	0:00	-bash
25109	?	S	0:00	[kworker/5:2]
25114	?	S	0:00	[kworker/2:0]
25155	?	S	0:00	[kworker/5:0]
25179	pts/3	R+	0:00	ps ax

## status

STAT Code	Description
S	Sleeping. Usually waiting for an event to occur, such as a signal or input to become available.
R	Running. Strictly speaking, “runnable,” that is, on the run queue either executing or about to run.
Z	Defunct or “zombie” process.
+	Process is in the foreground process group.
<	High priority task.

Table: some status

# Starting New Process

# system |

We can cause a program to run from inside another program and thereby create a new process by using the **system** library function.

## system

```
#include <stdlib.h>
int system (const char *string);
```

## man 3 system

system – pass a command to the shell

system returns 127 if a shell can't be started to run the command and -1 if another error occurs. Otherwise, system returns the exit code of the command.

# system II

use **system** to write a program to run ps.

## system

```
$ cat system1.c
#include<stdlib.h>
#include<stdio.h>

int main(){
    printf("running ps with my program.\n");
    int i = system("ps x");
    //int i = system("ps x &");
    printf("Done. And the return code is:%d.\n",i);
    exit(0);
}
```

In general, using system is a far from ideal way to start other processes, because it invokes the desired program using a shell.

- ① inefficient  
a shell must be started before the program is started.
- ② between shells  
quite dependent on the installation for the shell and environment that are used.



An exec function **replaces the current process** with a new process specified by the path or file argument.

The exec functions are more efficient than system because **the original program will no longer be running** after the new one is started.

execl, execl, execlp, execv, execvp – execute a file

- ① execl, execl, execlp  
参数可变，长度不固定
- ② execv, execvp  
参数不可变，长度固定

# execl, execl,execlp

```
#include <unistd.h>

int
execl(const char *path, const char *arg0, ... ,
      (char *)0);

int
execlp(const char *path, const char *arg0, ... ,
      (char *)0, char *const envp[] );

int
execlp(const char *file, const char *arg0, ... ,
      (char *)0);
```

```
#include <unistd.h>

int
execv(const char *path, char *const argv[]);

int
execvp(const char *file, char *const argv[]);
```

# 参数说明

对于 `exec` 函数来说，第一个参数是要执行程序的路径名；其次的参数是要执行程序的文件名；然后是其他参数，有一点要注意的是，参数列表要以一个空指针结尾。

## 例子

```
$ cat execlp.c
#include<unistd.h>
#include<stdio.h>
#include<stdlib.h>
int main(){
printf("Running ps with execlp:\n");
//execlp("ps","ps","ax",0);
execlp("/Users/hainingzhang/linux/system1","system1",0);
printf("Done.\n");
exit(0);
}
```

- `exec` 启动的新程序会替换掉旧的程序，即原程序会消失，原程序 `exec` 之后的语句也不会再执行。
- `exec` 启动的新程序会继承原有程序的许多特征，包括文件描述符等。

# 复制一个进程镜像

如果想使用一个进程同时运行多个程序，使用 `exec` 是不可以的，因为它会替换掉原有进程。

为了达到这个目的，可以使用多线程，或者 `fork` 系统调用 + `exec` 函数。`fork` 会创建一个跟原始进程几乎一样的进程，但是这个新进程拥有自己独立的 `pid` 和地址空间，可以认为是两个不同的进程，然后在新的进程里执行其他操作。

## fork

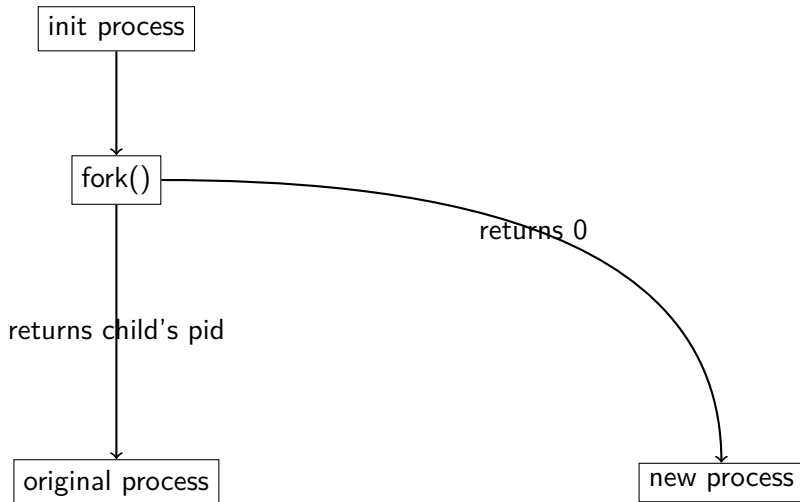
`fork` – create a new process

```
#include <unistd.h>
```

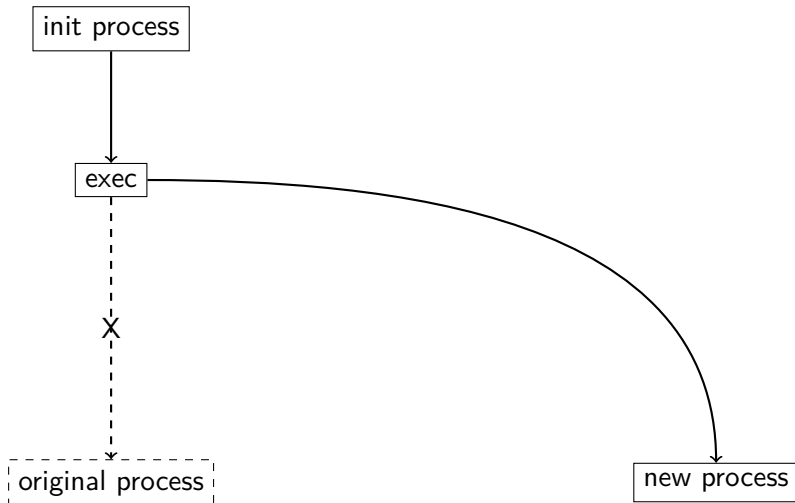
```
pid_t fork(void);
```

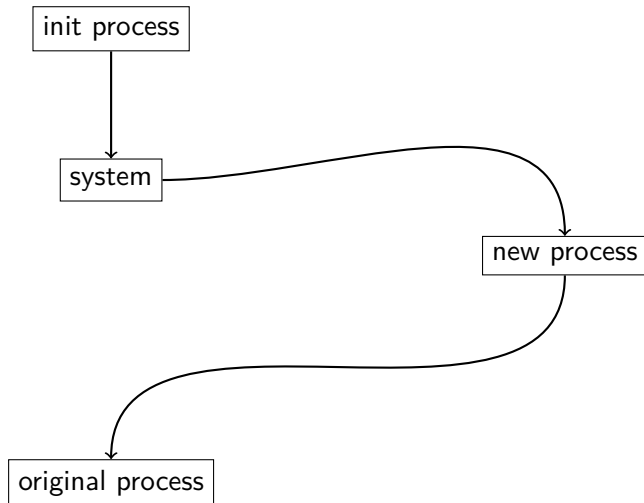
- 1 `fork()` returns a value of 0 to the child process
- 2 returns the process ID of the child process to the parent process
- 3 Otherwise, a value of -1 is returned to the parent process.

# fork 图示









# fork 的典型使用方式

fork1.c

```
int main(){
    pid_t pid=fork();
    switch(pid){
        case -1:
            printf("error!");
            break;
        case 0:
            printf("I am the child process.\n");
            execlp("/Users/hainingzhang/linux/system1","system1",0);
            break;
        default:
            printf("I am the parent process.\n");
            printf("I want to do something more...\n");
            break
    } exit(0); }
```

# Waiting for a process

fork 了一个新进程之后中，这个新进程就自主的去运行了。但是有些时候父进程想知道子进程的状态，比如，子进程是否结束了？这种情况下可以使用wait来实现。

wait

```
#include <sys/wait.h>
pid_t wait(int *stat_loc);
```

The wait system call causes a parent process to pause until one of its child processes is stopped. The call returns the PID of the child process.

## fork1.c

```
if(pid!=0){  
    int stat;  
    pid_t child;  
    child=wait(&stat);  
    printf("the child(pid=%d) has finished.\n",child);  
    if(WIFEXITED(stat)!=0){  
        printf("child exit with code:%d\n",WEXITSTATUS(stat));  
    }else{  
        printf("child terminated abnormally.\n");  
    }  
}
```

# Zombie Process

Using fork to create processes can be very useful, but you must keep track of child processes. When a child process terminates, an association with its parent survives until the parent in turn either terminates normally or calls wait. The child process entry in the process table is therefore not freed up immediately. Although no longer active, the child process is still in the system because its exit code needs to be stored in case the parent subsequently calls wait. It becomes what is known as defunct, or a zombie process.

If the parent then terminates abnormally, the child process automatically gets the process with PID 1 (init) as parent.

# zombie example I

## zombie.c

```
void myOut(char * msg, int i);
int main(){
    char * message;  pid_t child=fork();
    switch(child){
        case -1:
            message="Wrong.";
            myOut(message,1); break;
        case 0:
            message="Child.";
            myOut(message,2); break;
        default:
            message="Parent.";
            myOut(message,5); break;
    }
}
```

# zombie example II

## output

```
$ ./zombie 2>&1 >/dev/null&
```

```
[1] 35240
```

```
$ ps -al
```

UID	PID	PPID	S	TIME	CMD
0	18965	576	Ss	0:00.02	login -pf hainingzhang
501	18966	18965	S	0:01.62	-bash
501	35240	18966	S	0:00.01	./zombie
501	35241	35240	Z	0:00.00	(zombie)
0	35243	18966	R+	0:00.00	ps -al
501	33922	33921	S	0:00.03	-bash
501	35123	33922	S+	0:00.03	ssh zhanghaining@210.40.16.9



编写一个程序，要求如下：

- ❶ 在父进程中 fork 出一个子进程
- ❷ 在父进程中，每 1 秒输出一个大写字母：A~Z
- ❸ 在子进程中，每 1 秒输出一个小写字母：a~z

# The End

# Appendix

# 本课程相关资源下载

## ① ppt

<https://github.com/gmsft/ppt/tree/master/linux>

## ② 实验指导书

<https://github.com/gmsft/ppt/tree/master/book/linux>

# about man page

The manual is generally split into eight numbered sections, organized as follows (on Research Unix, BSD, macOS and Linux):

section	description
1	General commands
2	System calls
3	Library function(C standard library)
4	Special files(devices) and drivers
5	File formats and conventions
6	Games and screensavers
7	Miscellanea
8	System administration commands and daemons

Table: man page

在终端中运行 `man read` 与 `man 2 read`，观察其输出的区别。