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**On a certain numerical invariant of link types.**

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This paper is concerned with the signature  $\sigma(l)$  of the quadratic form of a link  $l$  with  $\mu$  components. Trotter showed [Ann. of Math. (2) **76** (1962), 464–498; [MR0143201 \(26 #761\)](#)] that for  $\mu = 1$ ,  $\sigma$  is an invariant, and this is shown here (Theorem 3.1) to be true also for  $\mu > 1$ .

By the “reduced Alexander polynomial”  $\Delta(t)$  of  $l$  is meant a generator of the principal ideal  $\mathcal{E}_1(t, \dots, t)$ ; thus for a knot it is the usual Alexander polynomial, and for  $\mu > 1$  it is  $(t - 1)\Delta(t, \dots, t)$ . It is normalized in this paper by the following conditions:  $\Delta(t)$  has no terms of negative degree,  $\Delta(0) \neq 0$  (unless  $\Delta(t) = 0$ , of course), and  $\Delta(-1) \geq 0$ . If  $l$  is a non-splittable alternating link, then  $\Delta(0)\Delta(-1) > 0$  (Lemma 5.1). If  $l$  is a non-splittable special alternating link, then the degree of  $\Delta(t)$  is  $|\sigma(l)|$  (Lemma 5.2). If  $l$  is a non-splittable amphicheiral link, then  $\sigma(l) = 0$  (Lemma 5.8). Consequently, of the non-splittable special alternating links only the trivial ones are amphicheiral (Theorem 5.5). If  $k$  is any knot,  $\sigma(k) \equiv 0$  or  $2 \pmod{4}$  according as  $\Delta(-1) \equiv 1$  or  $3 \pmod{4}$  [cf. the reviewer, Topology of 3-manifolds and related topics (Proc. The Univ. of Georgia Inst., 1961), pp. 168–176, problem 12; Prentice-Hall, Englewood Cliffs, N.J., 1962; [MR0140100 \(25 #3523\)](#)].

The reviewer has suggested three generalizations of the concept of slice link (Properties (1) = (2), (3) and (4) [ibid., p. 173, following problem 25]), and the author names them as follows: (1) = (2) slice link in the strong sense, (3) slice link, (4) slice link in the weak sense. If  $l$  is a slice link in the weak sense, then  $|\sigma(l)| \leq \mu - 1$  (Theorem 8.5); if  $l$  is a slice link, then  $\sigma(l) = 0$  (Theorem 8.8); if  $l$  is a slice link in the strong sense, then not only is  $\sigma(l) = 0$  but  $\mathcal{E}_{\mu-1}(t, \dots, t) = 0$  and  $\mathcal{E}_\mu(t, \dots, t)$  is a principal ideal generated by an element of the form  $f(t)f(t^{-1})$ , where  $f(t)$  is an integral polynomial for which  $f(1) = 1$  (Theorem 8.4) [ibid., problem 26]. Consequently, if  $k$  is a slice knot, then  $\sigma(k) = 0$  (Theorem 8.3). In particular, the granny knot is not a slice knot (Theorem 8.9) [this solves problem 23, ibid.]. Whether  $l$  is a slice link or not,  $\mathcal{E}_\mu(t, \dots, t) \neq 0$  (Lemma 6.1). Also  $\sigma(l_1 \# l_2) = \sigma(l_1) + \sigma(l_2)$  (Corollary 7.4).

Now let  $l$  be oriented and denote its genus by  $h(l)$  and the minimum of the genera of the locally flat surfaces in half 4-space that are bounded by  $l$  by  $h^*(l)$  [ibid., p. 172, following problem 23]. We have (as a consequence of a somewhat stronger inequality)  $|\sigma(l)| \leq 2h^*(l) + \mu - 1$  (Theorem 9.1). If  $k$  is a special alternating knot, then  $h^*(k) = h(k)$  (Theorem 9.3) [ibid., problems 12 and 24].

Finally, consider the unknotting number  $u(k)$  of a knot [H. Wendt, Math. Z. **42** (1937), 680–696]. We have  $|\sigma(k)| \leq 2u(k)$  (Theorem 10.1) and  $h^*(k) \leq u(k)$  (Theorem 10.2). Consequently if  $k$  is the torus knot of type  $(2n + 1, 2)$ , its unknotting number is  $|n|$  (Corollary 10.3).

Reviewed by *R. H. Fox*

