

CS2040S

Data Structures and Algorithms

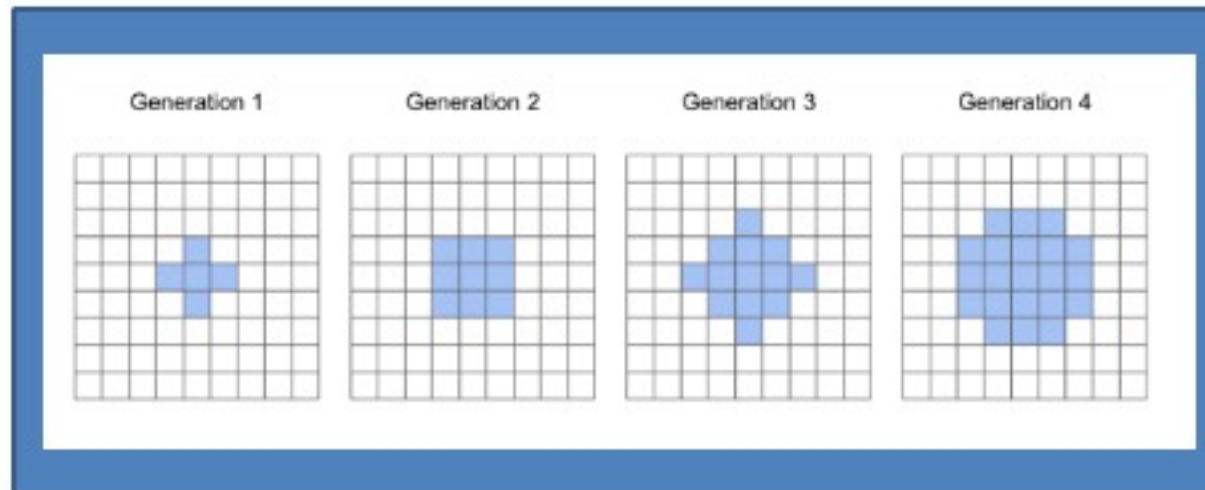
Puzzle of the Week: Squares

(Courtesy: Riddler)

Start with five shaded squares, infinite grid.

At every iteration, color a square if *at least* three neighboring squares were colored in the previous iteration.

As N gets large, how many squares will be shaded in generation N (as a function of N)?



Plan of the Day

Trees

- Terminology
- Traversals
- Operations

Balanced Trees

- Height-balanced binary search trees
- AVL trees
- Rotations

Contest

Treasure Island

You have found a treasure chest!
It has a lot of locks on it!

You need ALL the correct keys to
open the chest.

Find the right set of keys to win!

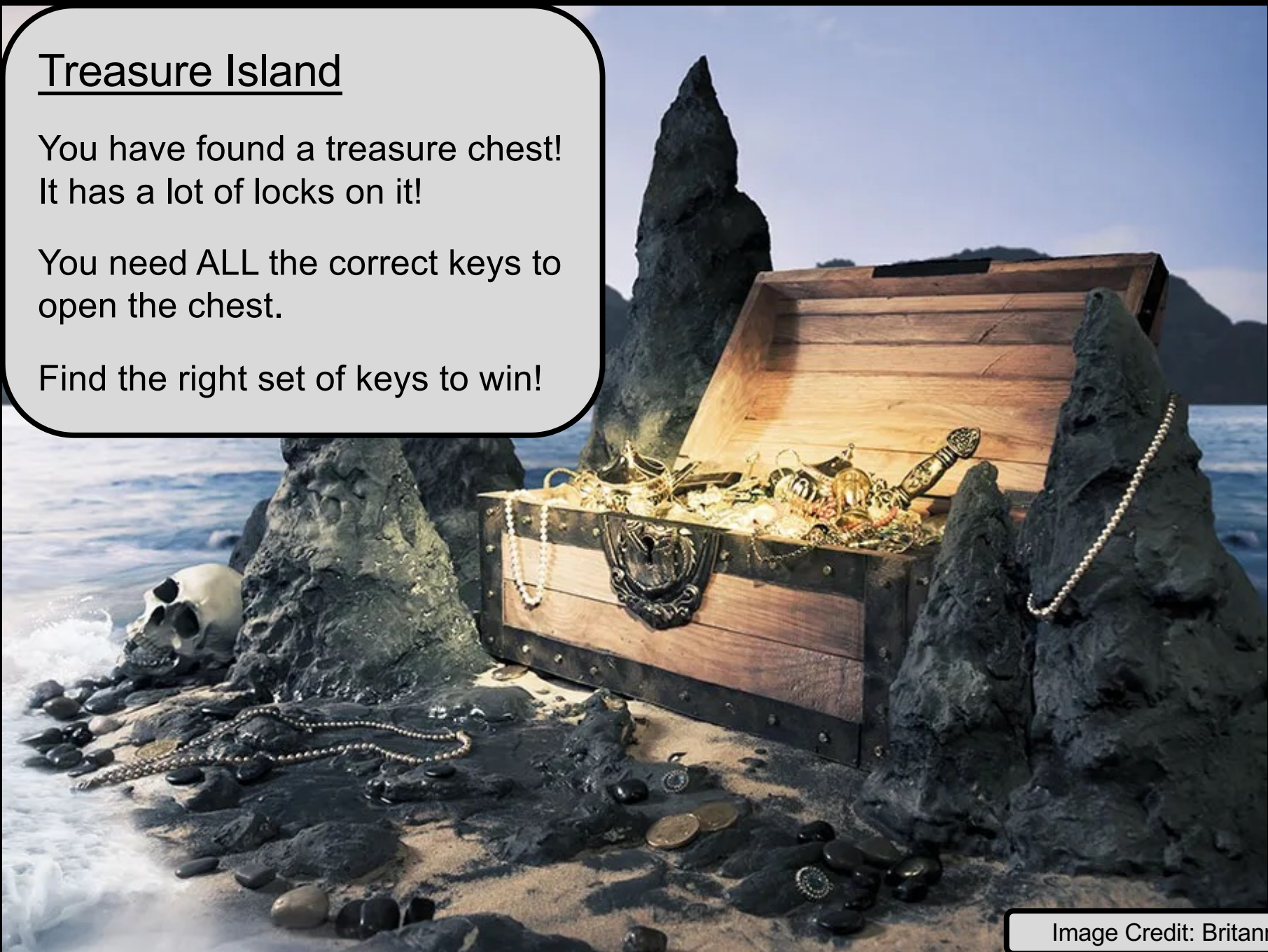


Image Credit: Britannica

CS2040S Treasure Island Hall of Fame (Fastest)

Linus Lee Hong Feng	20,016
Tai Tze Kin	20,030
Khor Jun Wei	20,252
Chen Ruihan	20,497
Jonathan Tay Ming-Yang	20,614
Samuel Tan	20,635
Yang Junyan	20,949
Yang Junyan	21,381
Tan Chee Heng	23,524
Lau Jun Jie	24,468

CS2040S Treasure Island Hall of Fame (Cheapest)

Lau Jun Jie	12,198,444
Nguyen Viet Anh	12,891,467
Yang Xiang	12,916,956
Farrel Dwireswara Salim	13,082,769
Khor Jun Wei	13,270,765
Chen Ruihan	13,311,602
Linus Lee Hong Feng	15,741,655
Jonathan Tay Ming-Yang	16,112,088
Li Zhaoqi	16,446,833
Yang Junyan	17,205,025

Announcements

Midterm : Thursday March 10, 6:30pm

Location: ~14 different venues (MPSH).

Note: In person, face-to-face

Safe distancing: 48 students / room, spaced

Apologies for the delay, and for the evening exam.
All alternatives were worse (e.g., same day as
CS2100S or CS2030S midterm/practical exam,
Saturday night at 8pm after GEA1000 test, etc.)

Plan of the Day

Selection and Order Statistics

- QuickSelect

Trees

- Terminology
- Traversals
- Operations

Balanced Trees

- Height-balanced binary search trees
- AVL trees
- Rotations

QuickSort

QuickSort(A[1..n], n)

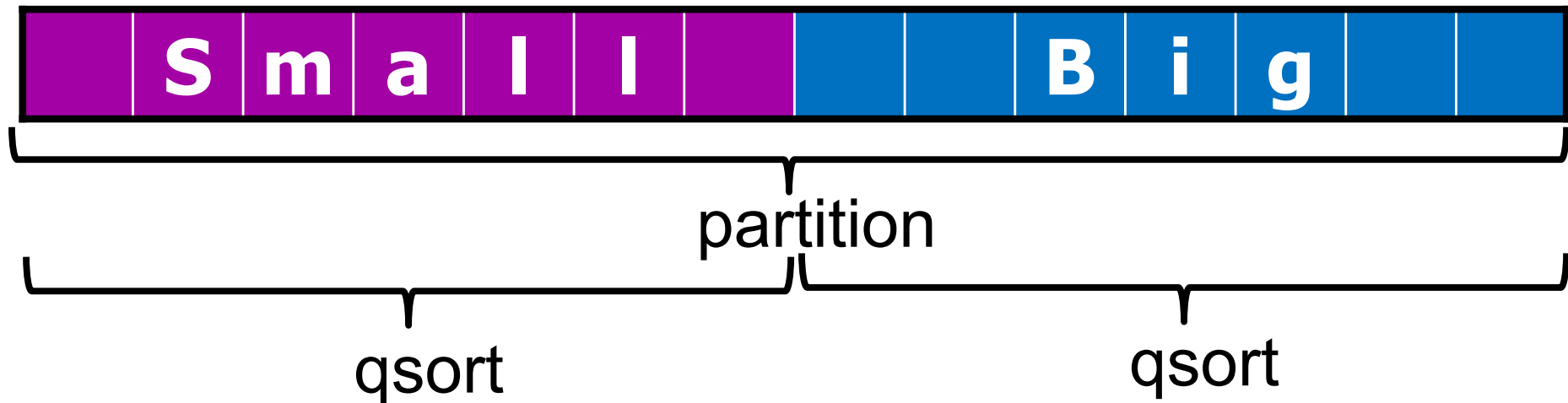
if (n==1) **then** return;

else

p = partition(A[1..n], n)

x = QuickSort(A[1..p-1], p-1)

y = QuickSort(A[p+1..n], n-p)



QuickSort

How to partition efficiently?

- Duplicates

If you ignore duplicates, partitioning can be very slow!

- In-place partitioning

One pass, use invariants to ensure correctness.

- Stability

In-place partitioning isn't stable.

- Choosing a pivot (randomization)

Deterministic pivots are generally bad.

Randomization is an easy way to find a good pivot!

QuickSort

How to analyze?

- Divide-and-conquer recurrence

It is sufficient to do a 90:10 split to get $O(n \log n)$ performance!

- What is the probability that a random pivot yields a 90:10 split? Quite good!
- Simplification: Paranoid QuickSort
- How many repetitions to find a good pivot, in expectation? $O(1)$
- Solve using recurrence: Linearity of expectation FTW.

QuickSort

QuickSort(A[1..n], n)

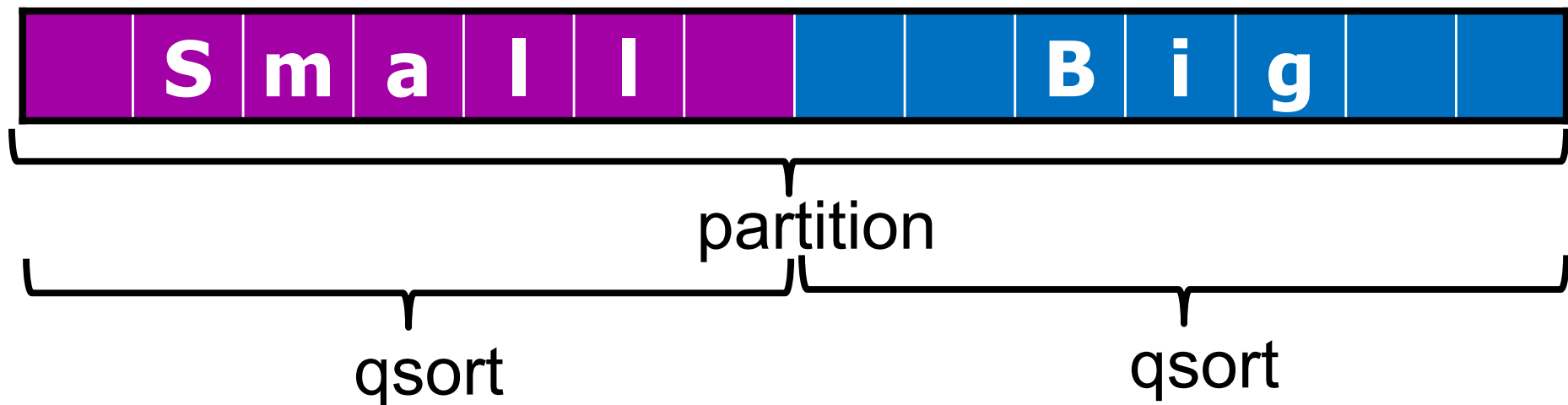
if (n==1) **then** return;

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p = partition(A[1..n], n)

x = QuickSort(A[1..p-1], p-1)

y = QuickSort(A[p+1..n], n-p)



Order Statistics

Find k^{th} smallest element in an *unsorted* array:

x_{10}	x_2	x_4	x_1	x_5	x_3	x_7	x_8	x_9	x_6
----------	-------	-------	-------	-------	-------	-------	-------	-------	-------

E.g.: Find the median ($k = n/2$)

Find the 7th element ($k = 7$)

Order Statistics

Find k^{th} smallest element in an *unsorted* array:

x_{10}	x_2	x_4	x_1	x_5	x_3	x_7	x_8	x_9	x_6
----------	-------	-------	-------	-------	-------	-------	-------	-------	-------

Option 1:

- Sort the array.
- Return element number k .

Order Statistics

Find k^{th} smallest element in an *unsorted* array:

x_1	x_2	x_3	x_4	x_5	x_6	x_7	x_8	x_9	x_{10}
-------	-------	-------	-------	-------	-------	-------	-------	-------	----------

Option 1:

- Sort the array.
- Return element number k .

Running time?

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is open

Order Statistics

Find k^{th} smallest element in an *unsorted* array:

x_1	x_2	x_3	x_4	x_5	x_6	x_7	x_8	x_9	x_{10}
-------	-------	-------	-------	-------	-------	-------	-------	-------	----------

Option 1:

- Sort the array.
- Return element number k .

Running time: $O(n \log n)$

Order Statistics

Find k^{th} smallest element in an *unsorted* array:

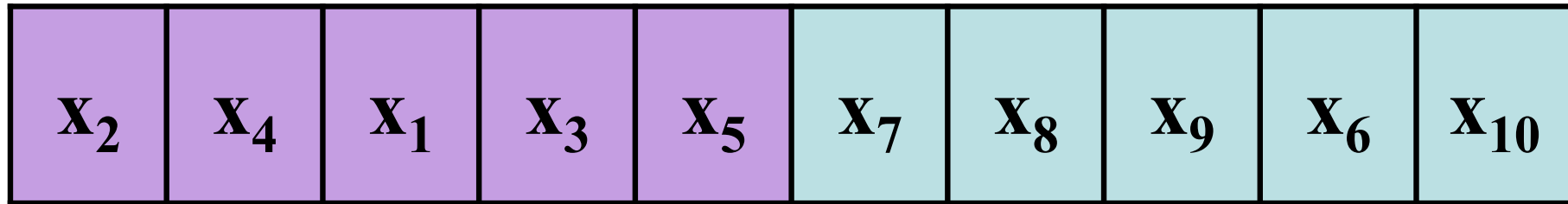
x_{10}	x_2	x_4	x_1	x_5	x_3	x_7	x_8	x_9	x_6
----------	-------	-------	-------	-------	-------	-------	-------	-------	-------

Option 2:

- Only do the minimum amount of sorting necessary

Order Statistics

Key Idea: partition the array



Now continue searching in the correct half.

E.g.: Partition around x_5 and recursively search for x_3 in left half.

Order Statistics

Example: search for 5th element

9	22	13	17	5	3	100	6	19	8
---	----	----	----	---	---	-----	---	----	---

Order Statistics

Example: search for 5th element

9	22	13	17	5	3	100	6	19	8
---	----	----	----	---	---	-----	---	----	---

Partition around random pivot: 17

9	8	13	5	3	6	17	100	19	22
---	---	----	---	---	---	----	-----	----	----

1 2 3 4 5 6 7 8 9 10

Order Statistics

Example: search for 5th element

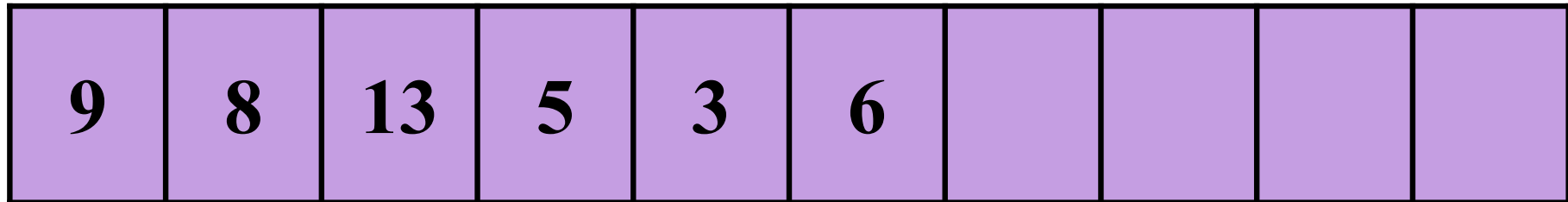
9	8	13	5	3	6	17	100	19	22
1	2	3	4	5	6	7	8	9	10

Search for 5th element in left half.

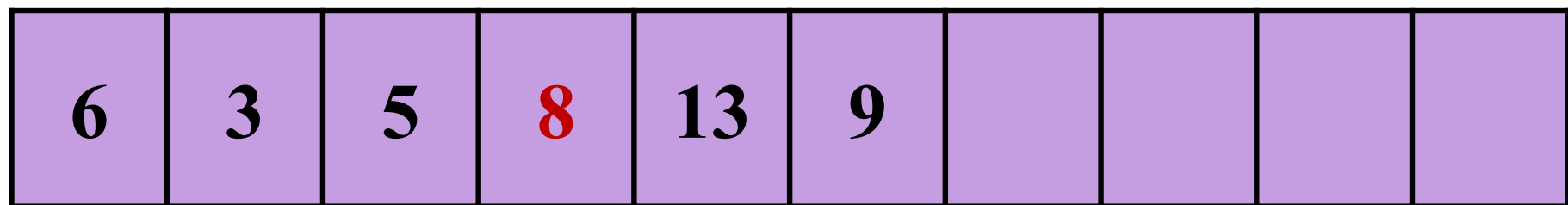
9	8	13	5	3	6				
1	2	3	4	5	6				

Order Statistics

Example: search for 5th element



Partition around random pivot: 8



1 2 3 4 5 6

Order Statistics

Example: search for 5th element

9	8	13	5	3	6				
---	---	----	---	---	---	--	--	--	--

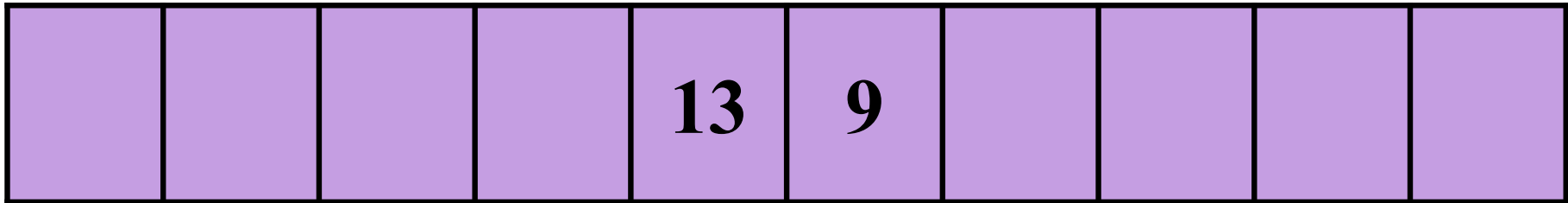
Search for: $5 - 4 = 1$ in right half

6	3	5	8	13	9				
---	---	---	---	----	---	--	--	--	--

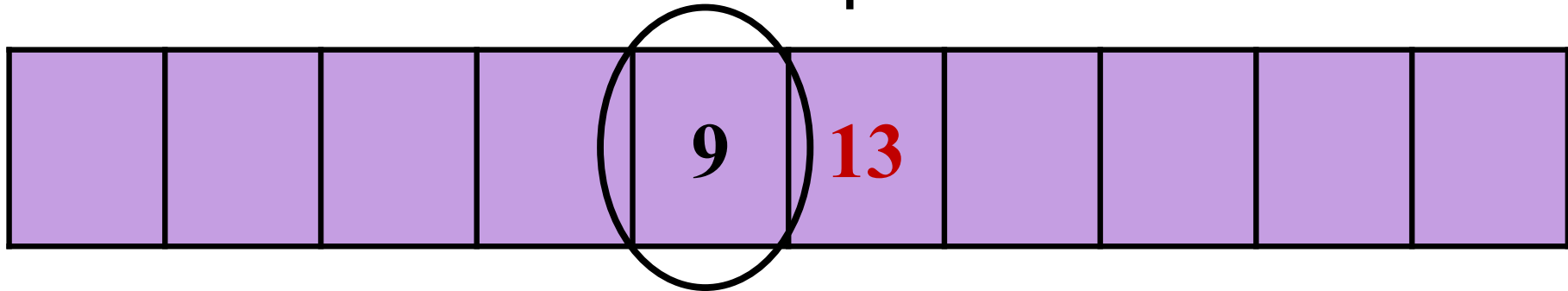
1 2 3 4 5 6

Order Statistics

Search for: $5 - 4 = 1$ in right half



Partition around random pivot: 13



Done!

1 2

Finding the k^{th} smallest element

Select(A[1..n], n, k)

if (n == 1) **then return** A[1];

else Choose random pivot index pIndex.

p = **partition**(A[1..n], n, pIndex)

if (k == p) **then return** A[p];

else if (k < p) **then**

return **Select**(A[1..p-1], k)

else if (k > p) **then**

return **Select**(A[p+1], k - p)

Order Statistics

Recurring right
and left are not
exactly the same.

Example: search for 5th element

9	22	13	17	5	3	100	6	19	8
---	----	----	----	---	---	-----	---	----	---

Partition around random pivot: 17

9	8	13	5	3	6	17	100	19	22
---	---	----	---	---	---	----	-----	----	----

1 2 3 4 5 6 7 8 9 10

Search for 5th element on the left.

Order Statistics

Recurring right
and left are not
exactly the same.

Example: search for 8th element

9	22	13	17	5	3	100	6	19	8
---	----	----	----	---	---	-----	---	----	---

Partition around random pivot: 8

5	6	3	8	17	13	100	22	19	9
---	---	---	---	----	----	-----	----	----	---

1 2 3 4 5 6 7 8 9 10

Search for 4th element on the right.

Order Statistics

Recurring right
and left are not
exactly the same.

Example: search for 4th element

9	22	13	17	5	3	100	6	19	8
---	----	----	----	---	---	-----	---	----	---

Partition around random pivot: 8

5	6	3	8	17	13	100	22	19	9
---	---	---	---	----	----	-----	----	----	---

1 2 3 4 5 6 7 8 9 10

Return 8.

Finding the k^{th} smallest element

Select(A[1..n], n, k)

if (n == 1) **then return** A[1];

else Choose random pivot index pIndex.

p = **partition**(A[1..n], n, pIndex)

if (k == p) **then return** A[p];

else if (k < p) **then**

return **Select**(A[1..p-1], k)

else if (k > p) **then**

return **Select**(A[p+1], k - p)

Finding the k^{th} smallest element

Key point:

- Only recurse *once*!
- Why not recurse twice?
 - Does not help---the correct element is on one side.
 - You do not need to sort both sides!
 - Makes it run a lot faster.
 - If you recurse on both sides, you are sorting!

Analysis

Paranoid-Select:

Repeatedly partition until at least $n/10$ in each half of the partition.

repeat

$p = \text{partition}(A[1..n], n, p\text{Index})$

until $(p > n/10)$ and $(p < 9n/10)$

Analysis

Paranoid-Select:

Repeatedly partition until at least $n/10$ in each half of the partition.

Recurrence:

$$\mathbf{E}[T(n)] \leq \mathbf{E}[T(9n/10)] + \mathbf{E}[\# \text{ partitions}](n)$$

cost of partitioning



Analysis

Paranoid-Select:

Repeatedly partition until at least $n/10$ in each half of the partition.

Recurrence:

$$\begin{aligned}\mathbf{E}[T(n)] &\leq \mathbf{E}[T(9n/10)] + \mathbf{E}[\# \text{ partitions}](n) \\ &\leq \mathbf{E}[T(9n/10)] + 2n\end{aligned}$$

Recurrence

What is the solution to the following recurrence?

$$T(n) \leq T(9n/10) + 2n$$



Recurrence

What is the solution to the following recurrence?

$$\begin{aligned} T(n) &\leq T(9n/10) + 2n \\ &= O(n) \end{aligned}$$

Analysis

Paranoid-Select:

Repeatedly partition until at least $n/10$ in each half of the partition.

Recurrence:

$$\begin{aligned}\mathbf{E}[T(n)] &\leq \mathbf{E}[\# \text{ partitions}](n) + \mathbf{E}[T(9n/10)] \\ &\leq 2n + \mathbf{E}[T(9n/10)] \\ &\leq 2n + 2n (9/10) + (9/10) \mathbf{E}[T(9n/10)] \\ &\leq 2n + 2n (9/10) + 2n (9/10)^2 + \dots\end{aligned}$$

Analysis

Paranoid-Select:

Repeatedly partition until at least $n/10$ in each half of the partition.

Recurrence:

$$\begin{aligned}\mathbf{E}[T(n)] &\leq \mathbf{E}[T(9n/10)] + \mathbf{E}[\# \text{ partitions}](n) \\ &\leq \mathbf{E}[T(9n/10)] + 2n \\ &\leq O(n)\end{aligned}$$

$$\textit{Recurrence: } T(n) = T(n/c) + O(n)$$

Summary

QuickSort: $O(n \log n)$

- Partitioning an array
- Deterministic QuickSort
- Paranoid Quicksort

Order Statistics: $O(n)$

- Finding the k^{th} smallest element in an array.
- Key idea: partition
- Paranoid Select

Plan of the Day

Trees

- Terminology
- Traversals
- Operations

Balanced Trees

- Height-balanced binary search trees
- AVL trees
- Rotations

Dictionary Interface

A collection of (key, value) pairs:

interface **IDictionary**

void	insert(Key k, Value v)	<i>insert (k,v) into table</i>
Value	search(Key k)	<i>get value paired with k</i>
Key	successor(Key k)	<i>find next key > k</i>
Key	predecessor(Key k)	<i>find next key < k</i>
void	delete(Key k)	<i>remove key k (and value)</i>
boolean	contains(Key k)	<i>is there a value for k?</i>
int	size()	<i>number of (k,v) pairs</i>

Dictionary

Implementation

Option 1: Sorted array

- insert : ?
- search : ?

Option 2: Unsorted array

- insert : ?
- search : ?

Option 3: Linked list

- insert : ?
- search : ?



Dictionary

Implementation

Option 1: Sorted array

- insert : add to middle of array $\rightarrow O(n)$
- search : binary search $\rightarrow O(\log n)$

Option 2: Unsorted array

- insert : add to end of array $\rightarrow O(1)$
- search : unsorted $\rightarrow O(n)$

Option 3: Linked list

- insert : add to head of list $\rightarrow O(1)$
- search : list traversal $\rightarrow O(n)$

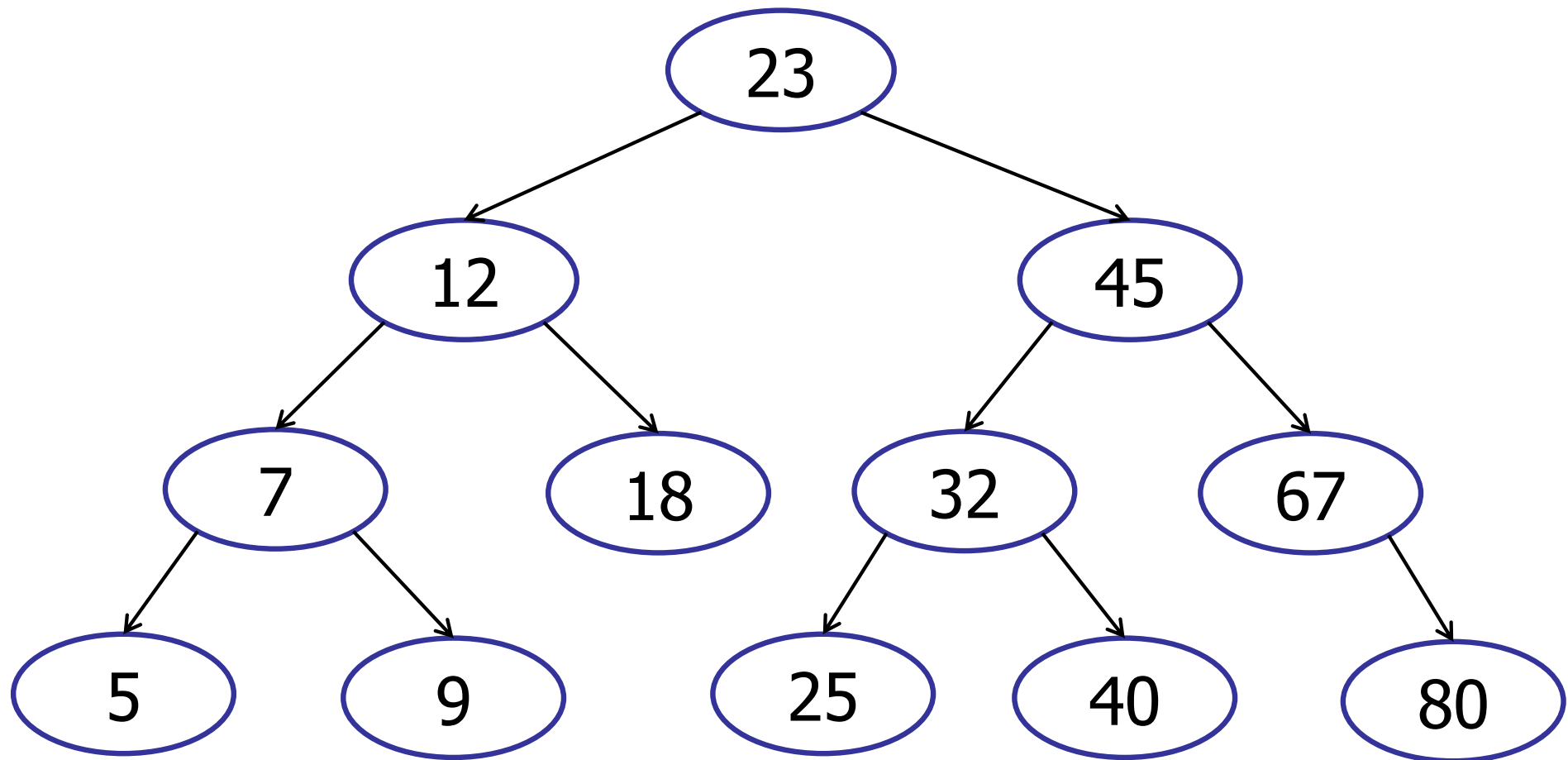
Dictionary Implementation

Possible Choices:

- Implement using an array
- Implement using a Java library (see: `java.util.Vector` or `java.util.ArrayList`).
- Implement using a queue.
- Implement using a linked list
- ...

Dictionary

Implementation idea: Tree

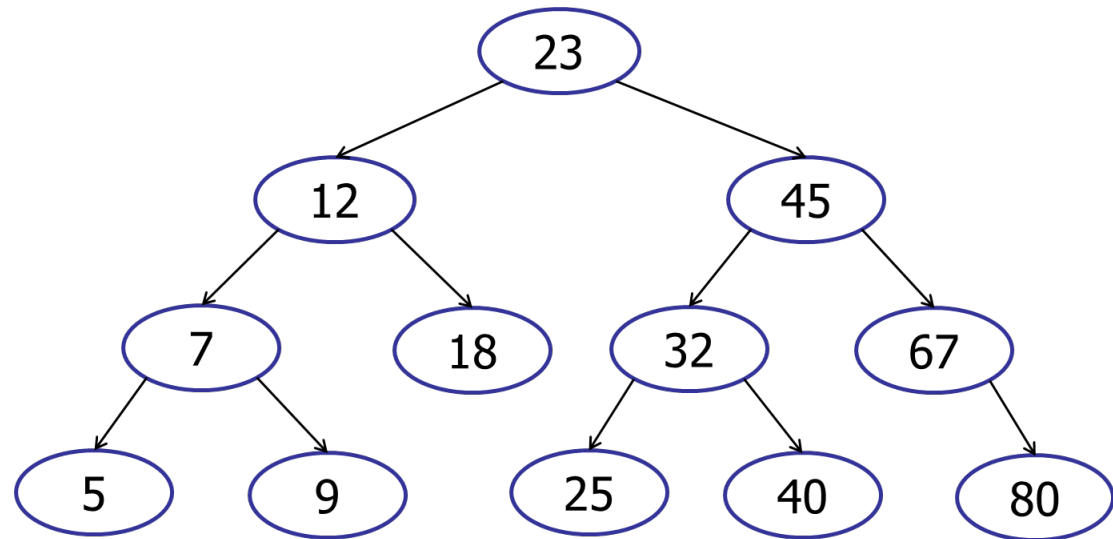


Dictionary

Implementation idea: Tree

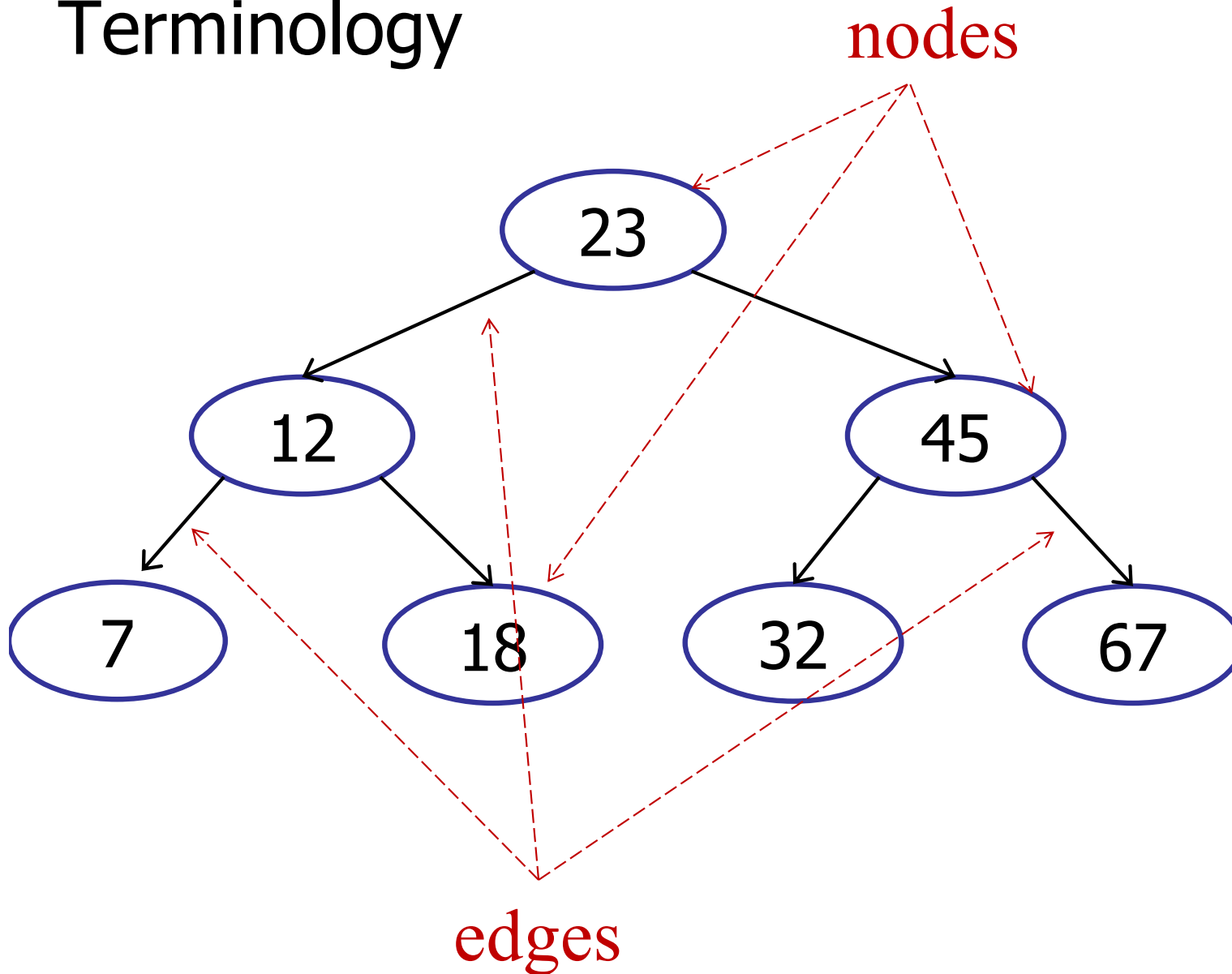
Critical Components:

- Nodes
- Edges directed from one node to another.
- Root (?)
- No cycles



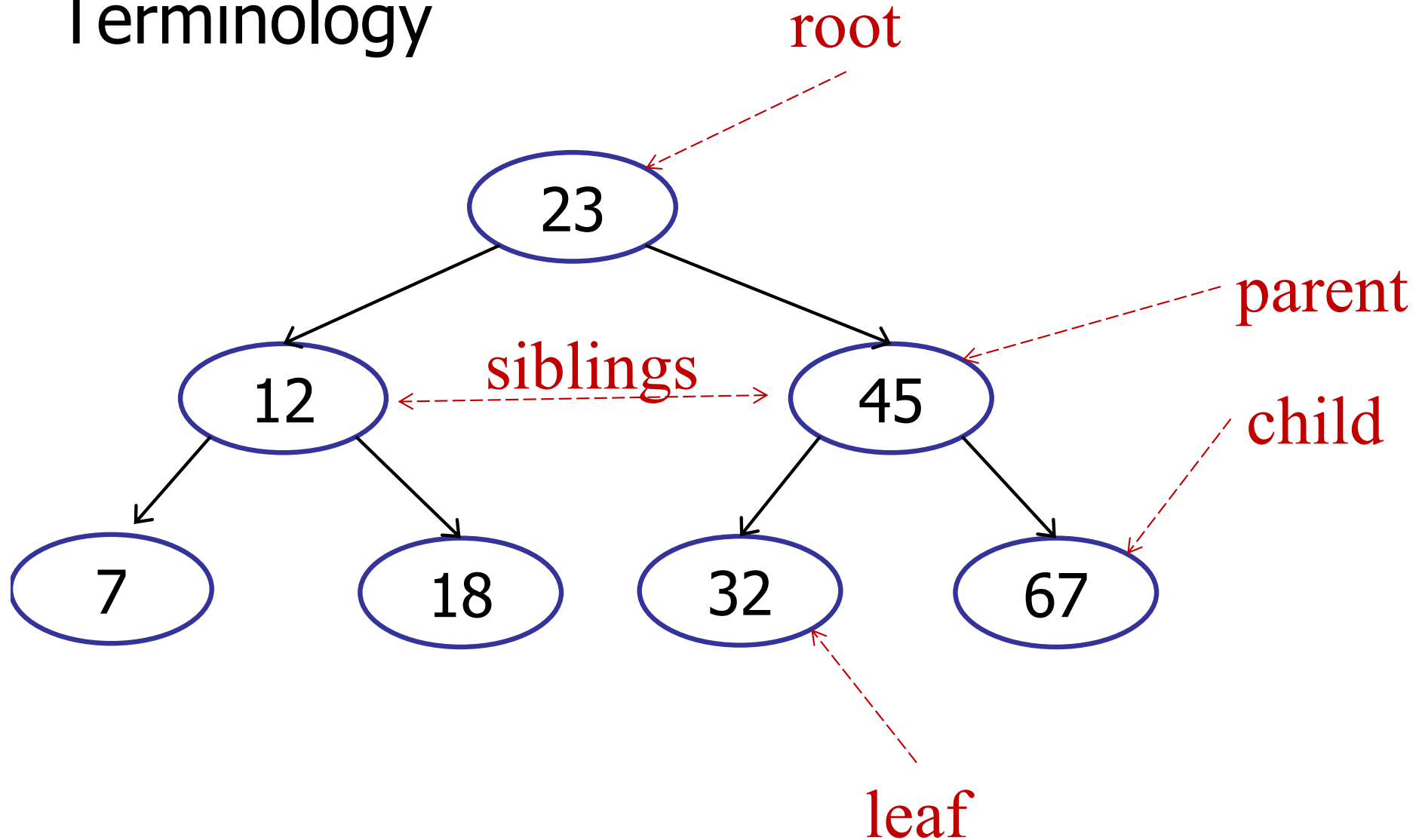
Binary Tree

Terminology

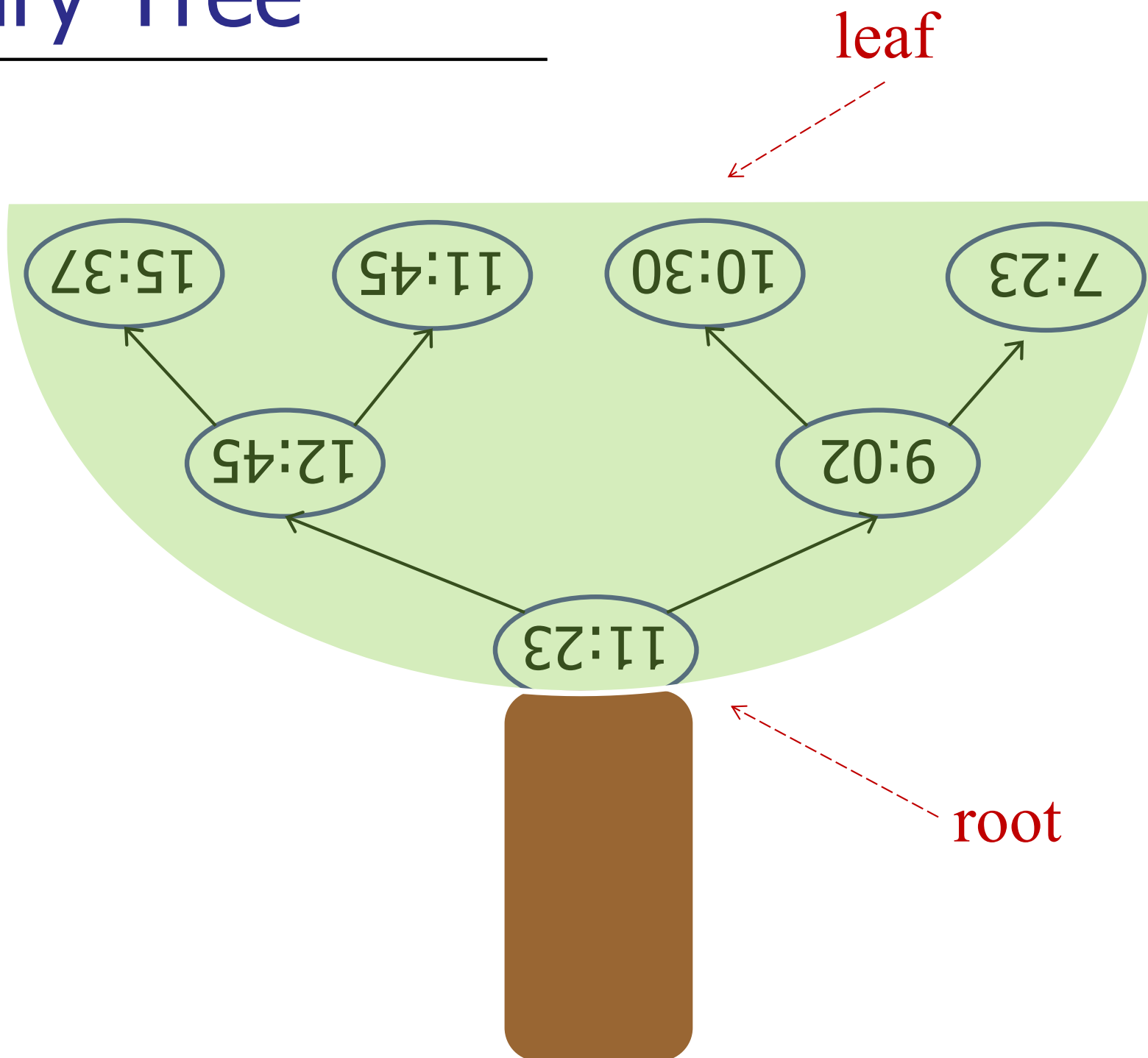


Binary Tree

Terminology

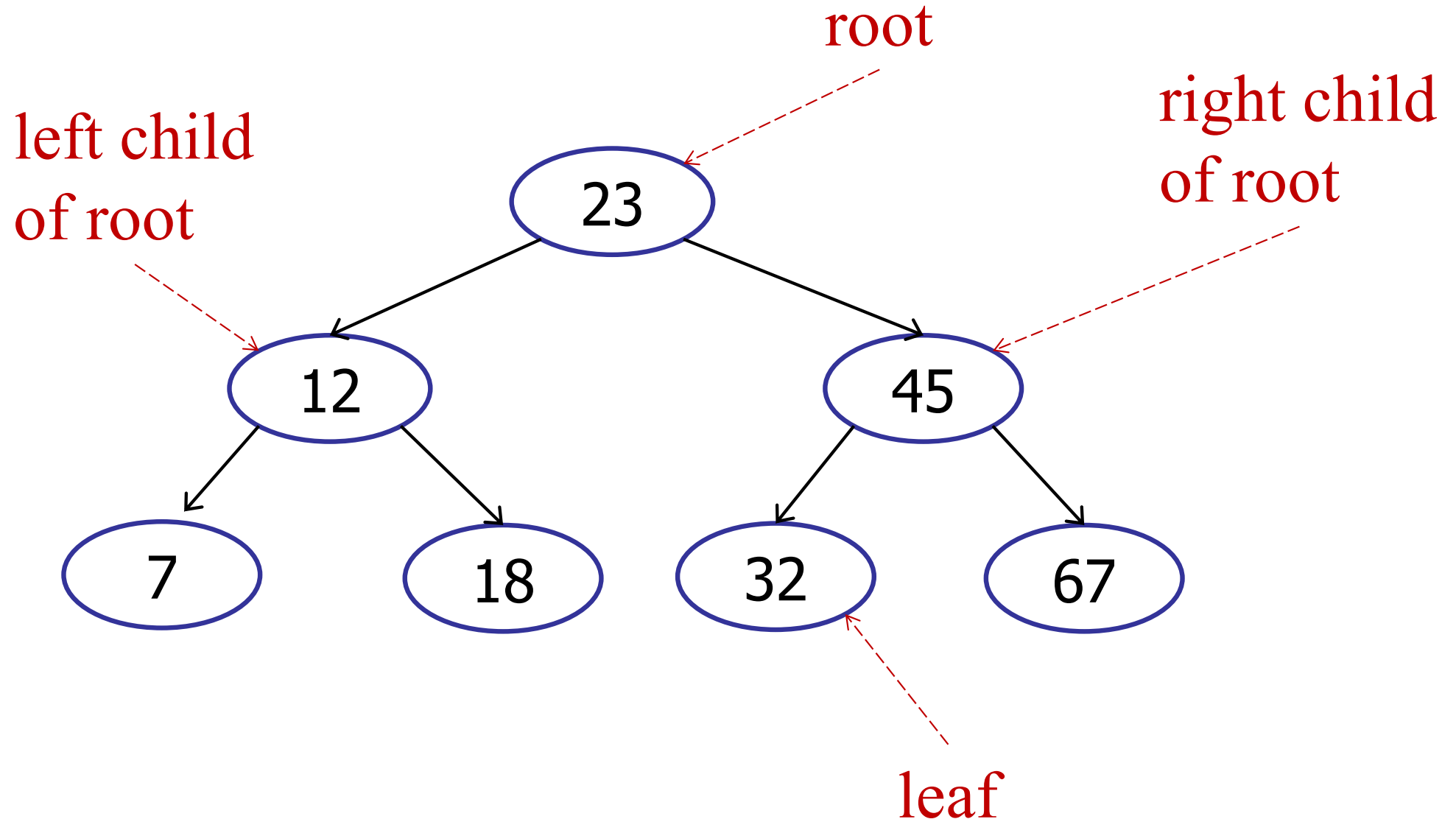


Binary Tree



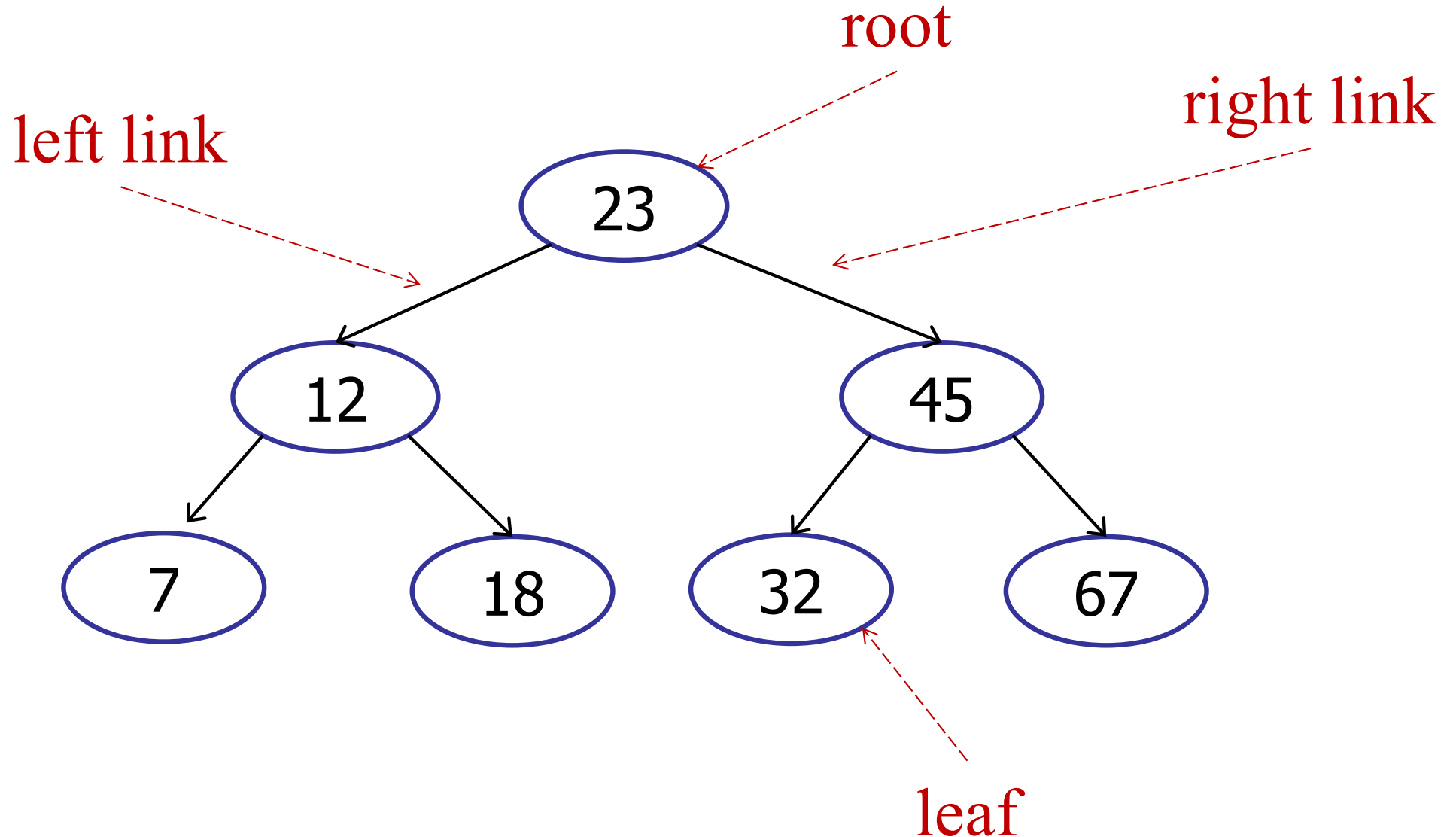
Binary Tree

Terminology



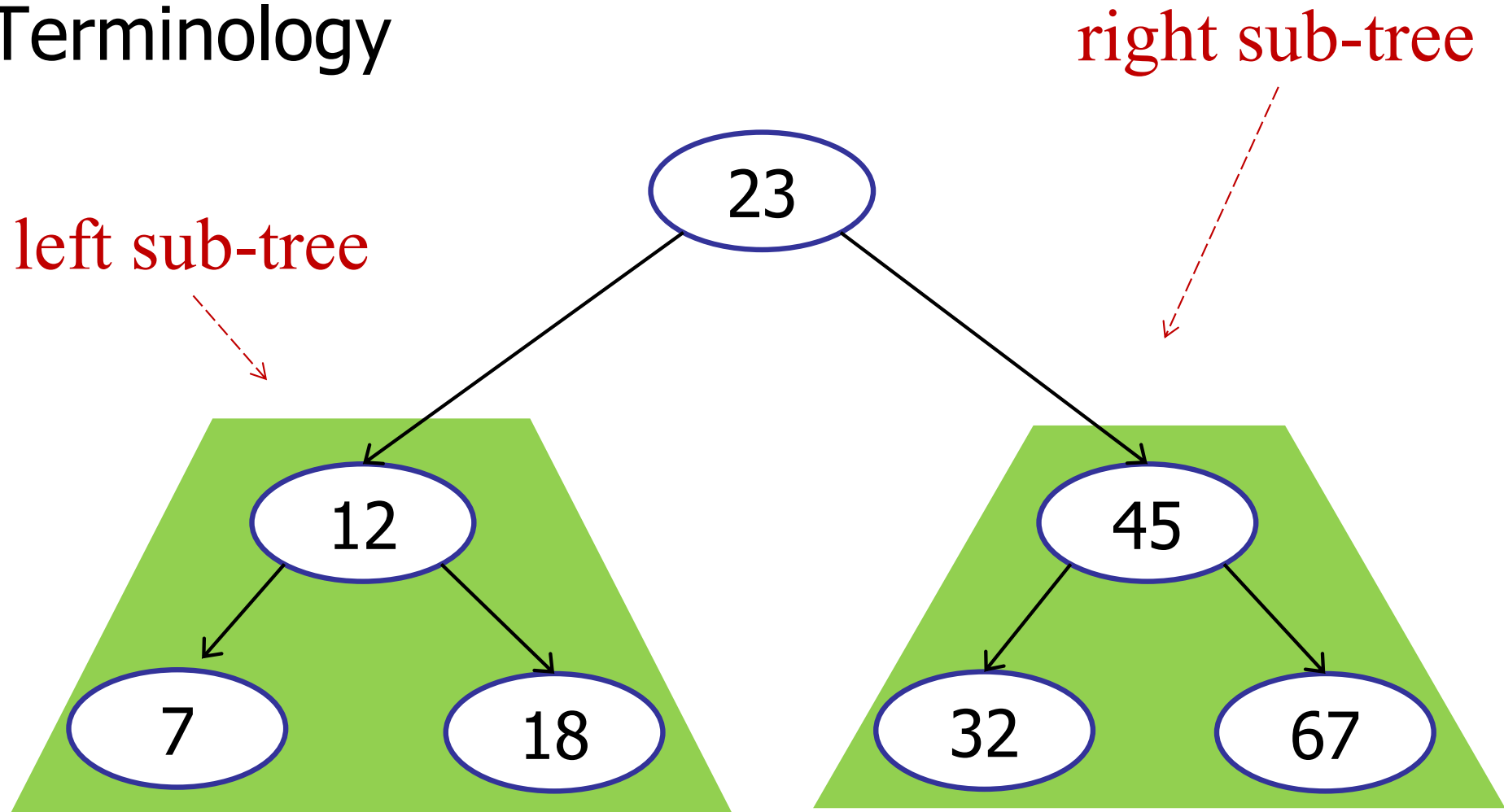
Binary Tree

Terminology



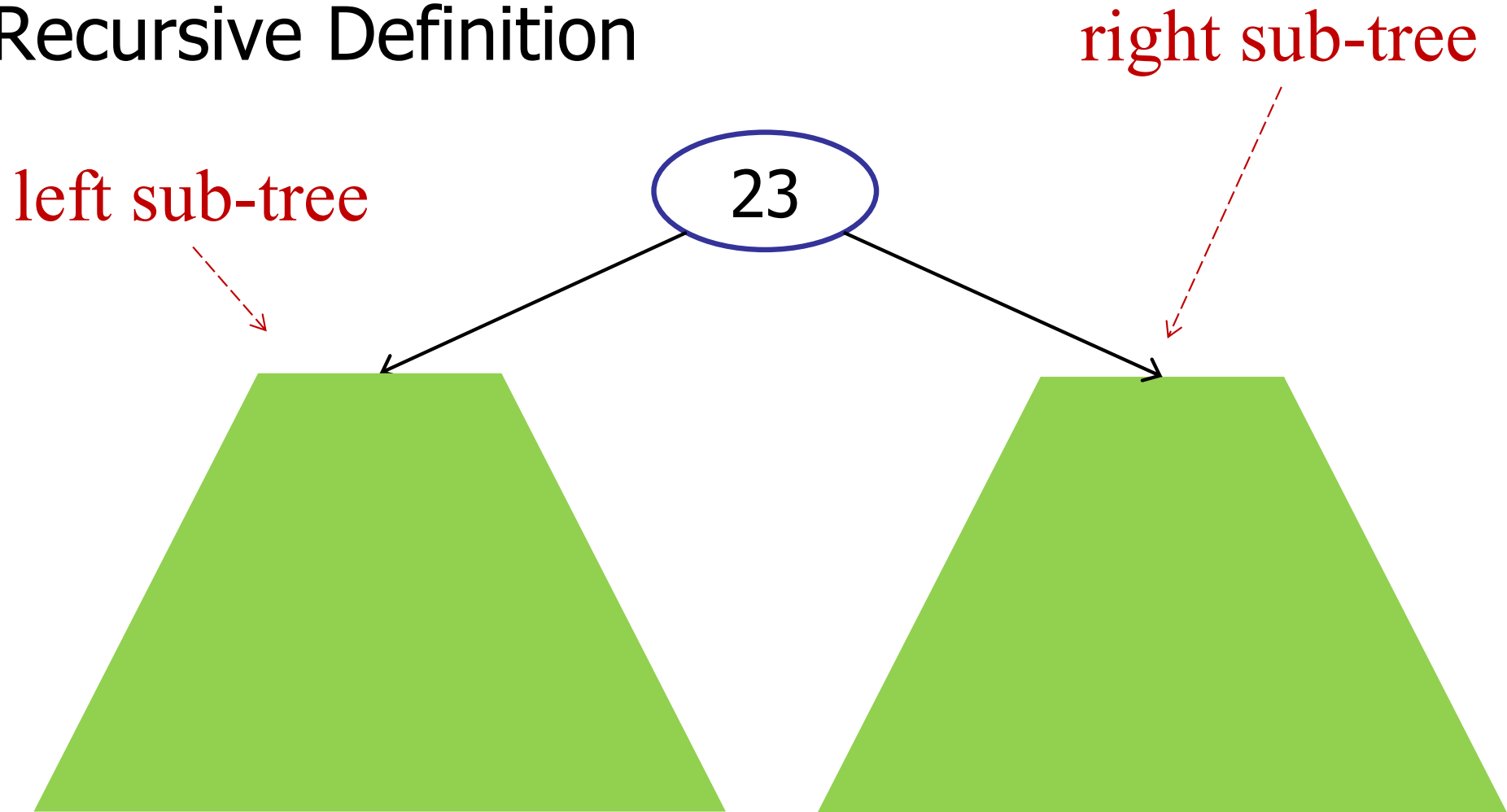
Binary Tree

Terminology



Binary Tree

Recursive Definition



A binary tree is either:

(a) empty

(b) a node pointing to two binary trees

Binary Tree

Java??

```
public class BinaryTree {  
  
    private BinaryTree leftTree;  
    private BinaryTree rightTree;  
  
    private KeyType key;  
    private ValueType value;  
  
    // Remainder of binary tree implementation  
  
}
```

Binary Tree

Java??

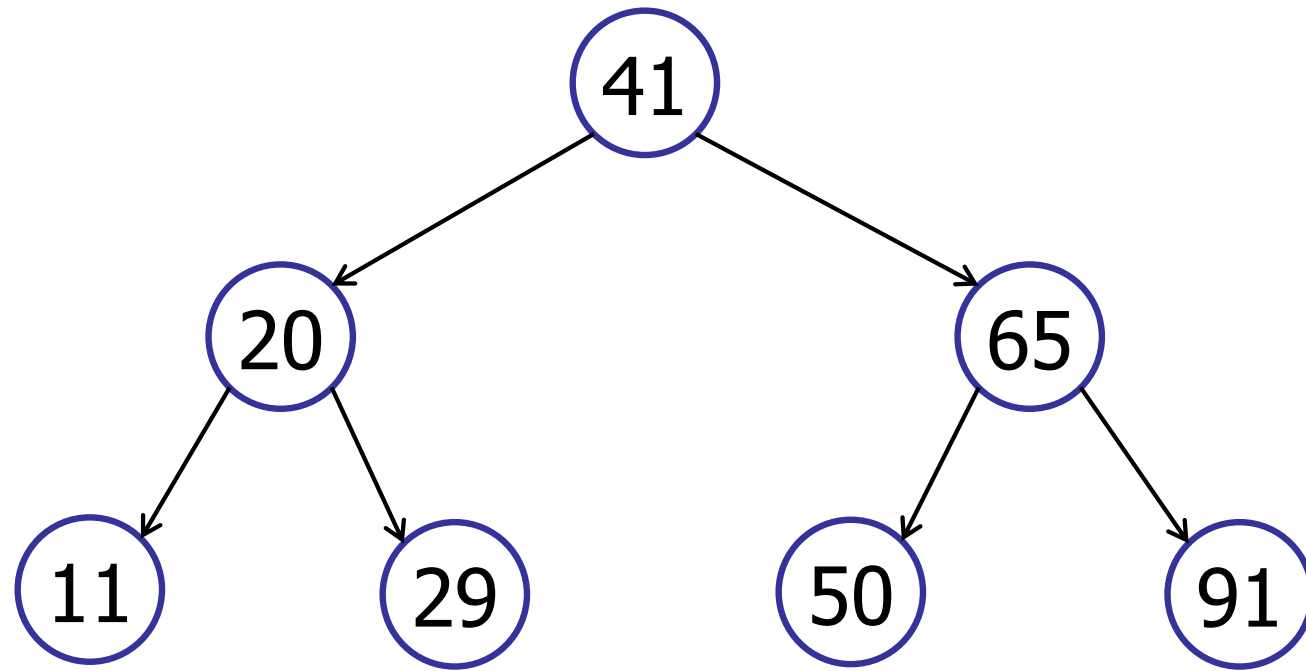
```
public class TreeNode {  
  
    private TreeNode leftTree;  
    private TreeNode rightTree;  
  
    private KeyType key;  
    private ValueType value;  
  
    // Remainder of binary tree implementation  
}
```

Binary Tree

Java??

```
public class BinaryTree {  
  
    private TreeNode leftTree;  
    private TreeNode rightTree;  
  
    private int key;  
    private int value;  
  
    // Remainder of binary tree implementation  
  
}
```

Binary **Search** Trees (BST)

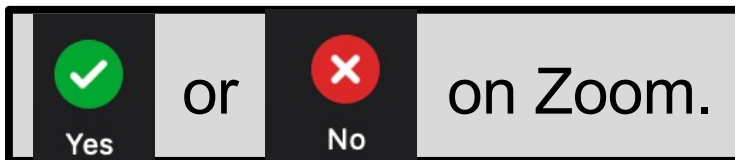
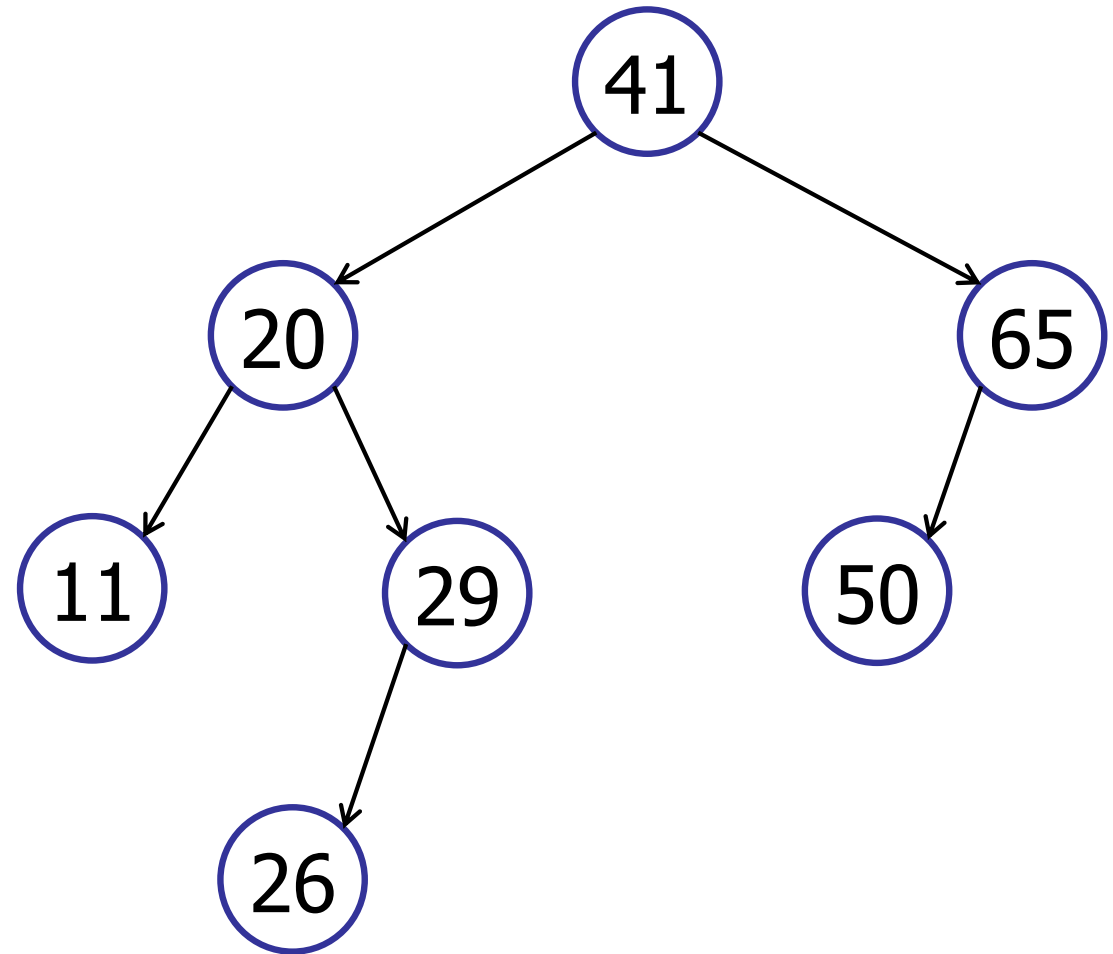


BST Property:

all in left sub-tree < key < all in right sub-right

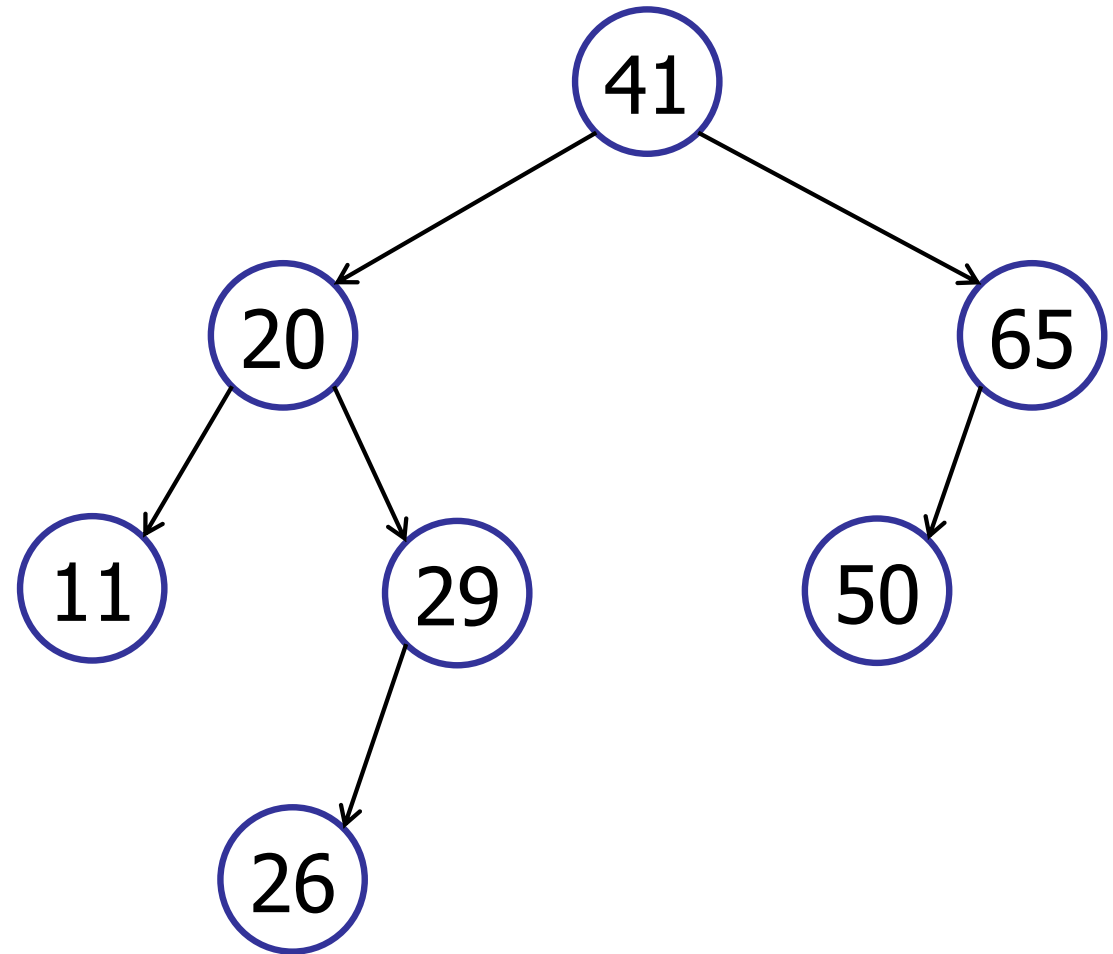
Is this a binary search tree?

1. Yes
2. No
3. I don't know.



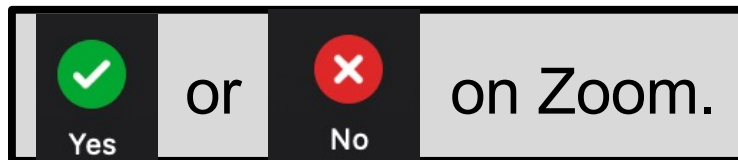
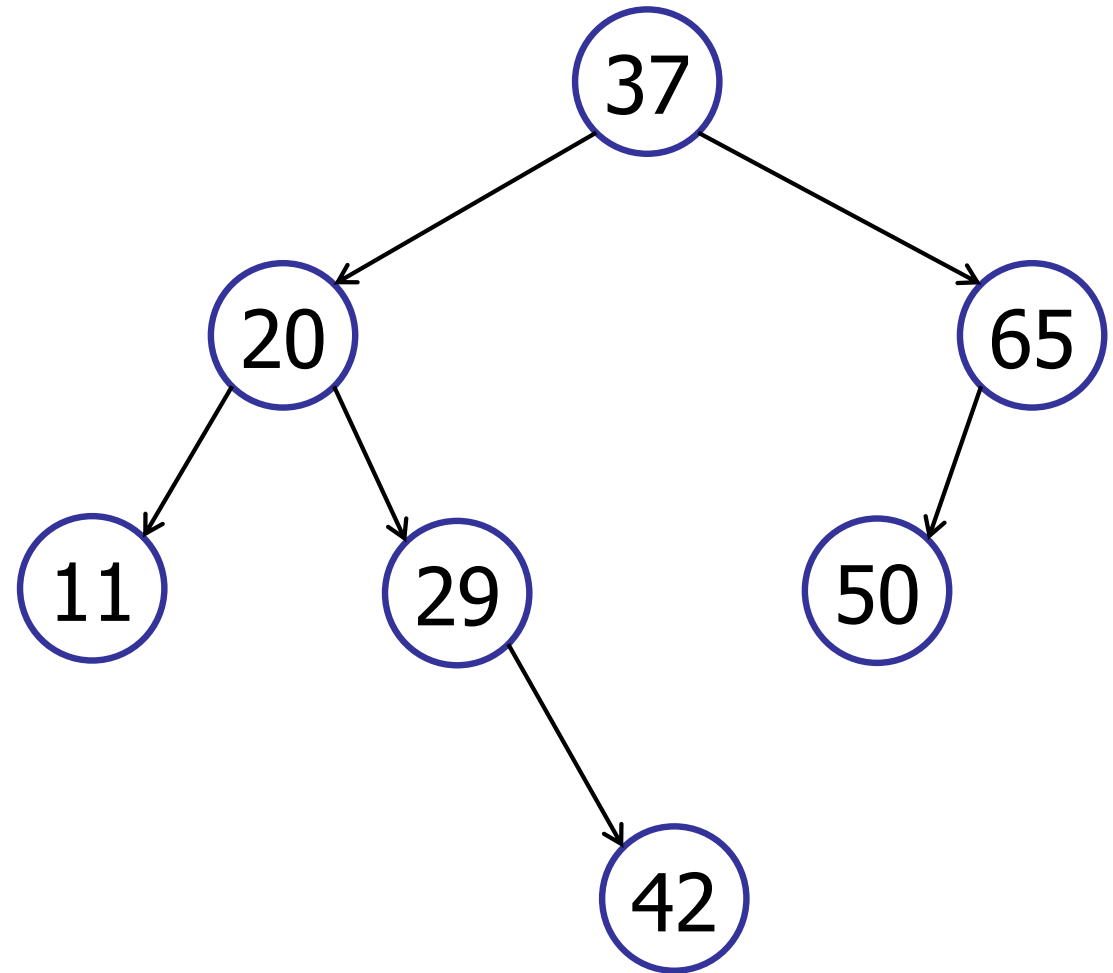
Is this a binary search tree?

- ✓ 1. Yes
- 2. No
- 3. I don't know.



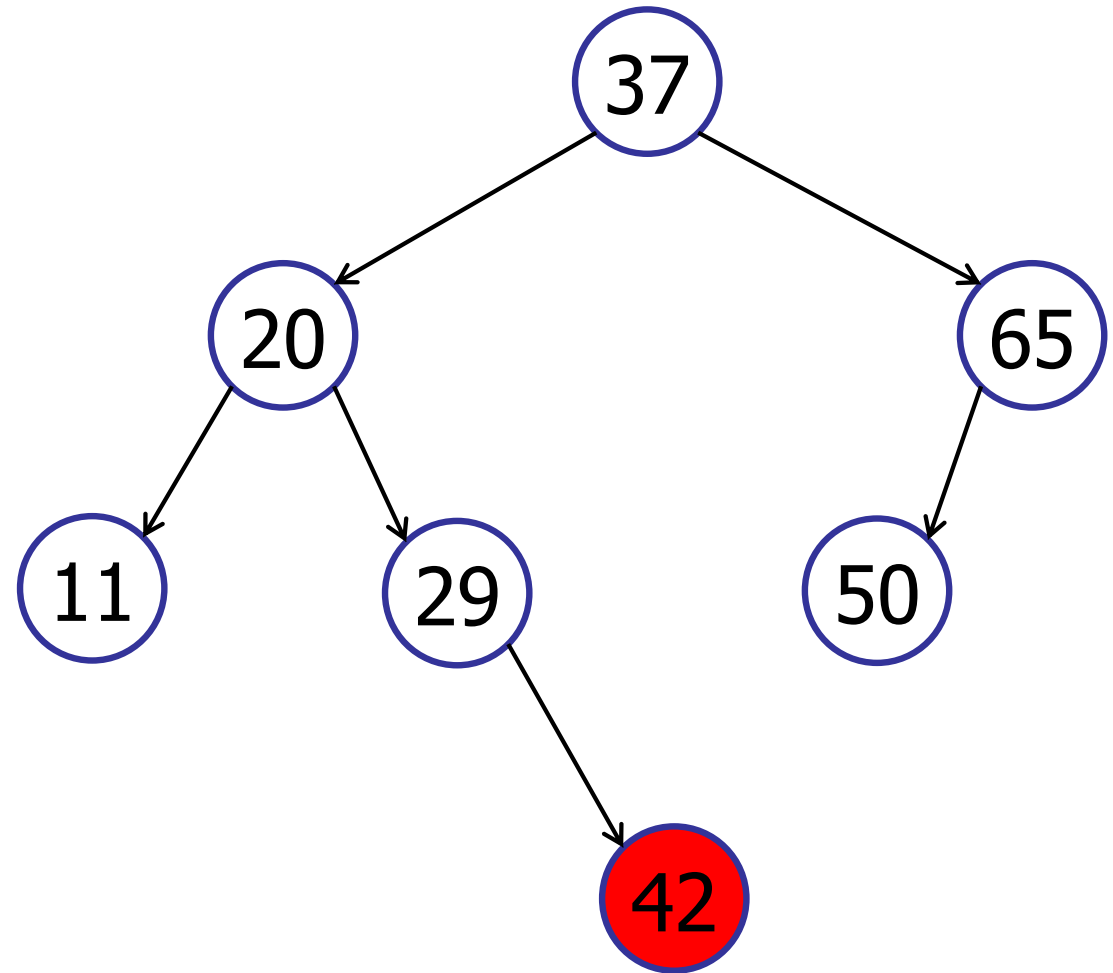
Is this a binary search tree?

1. Yes
2. No
3. I don't know.



Is this a binary search tree?

1. Yes
- ✓ 2. No
3. I don't know.



Binary Search Trees

1. Terminology and Definitions

2. Basic operations:

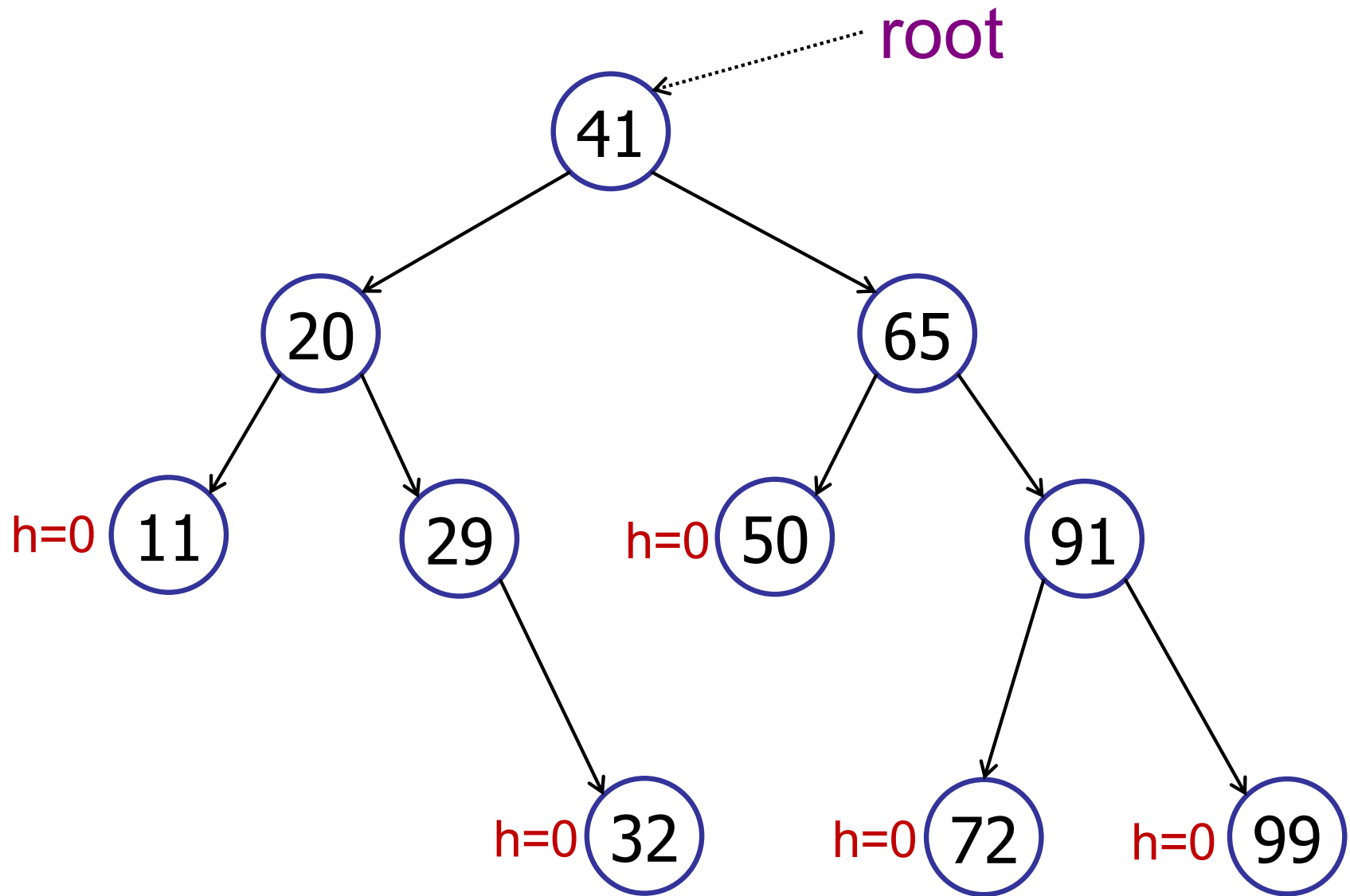
- height
- search, insert
- searchMin, searchMax

3. Traversals

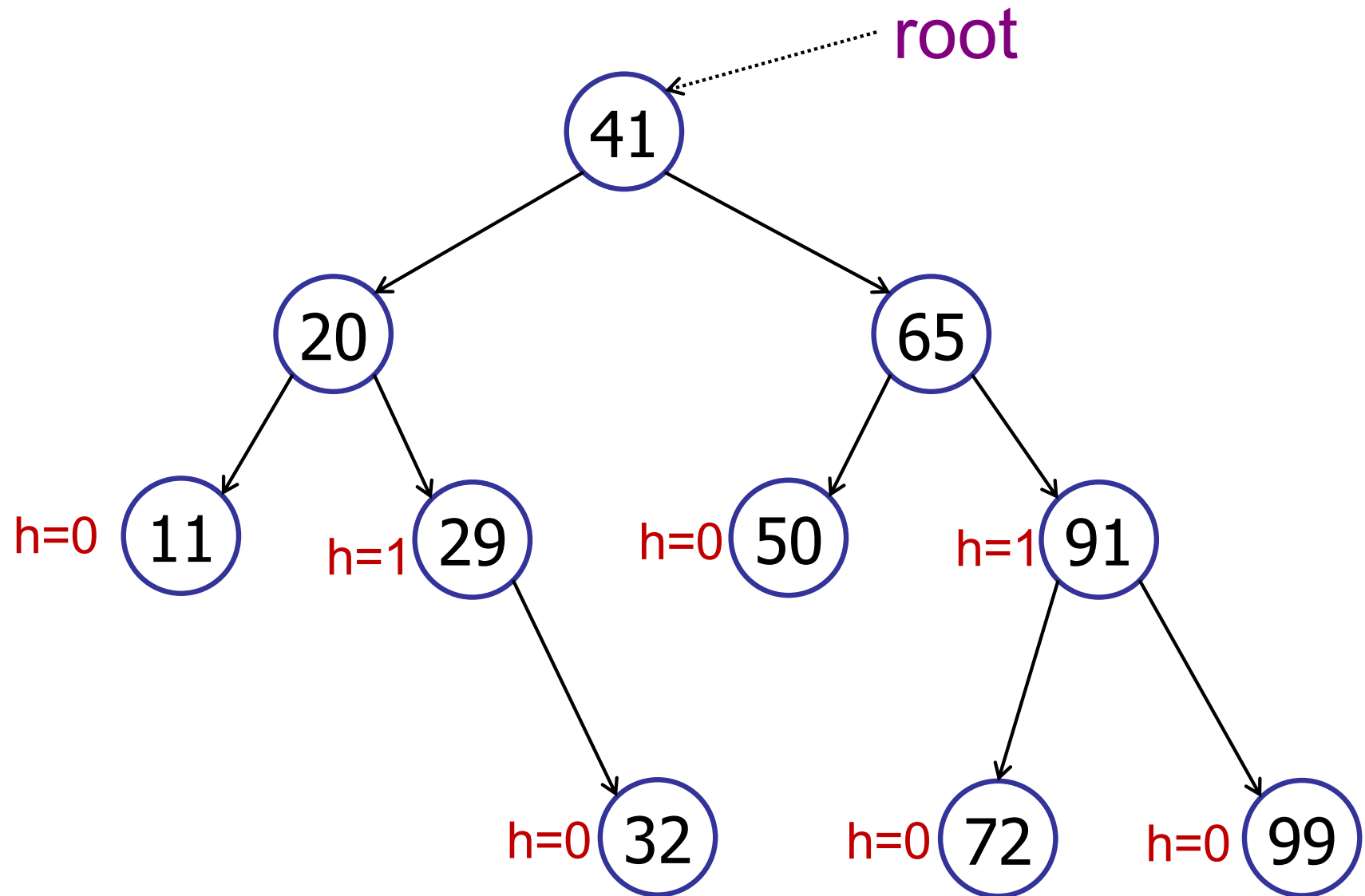
- in-order, pre-order, post-order

4. Other operations

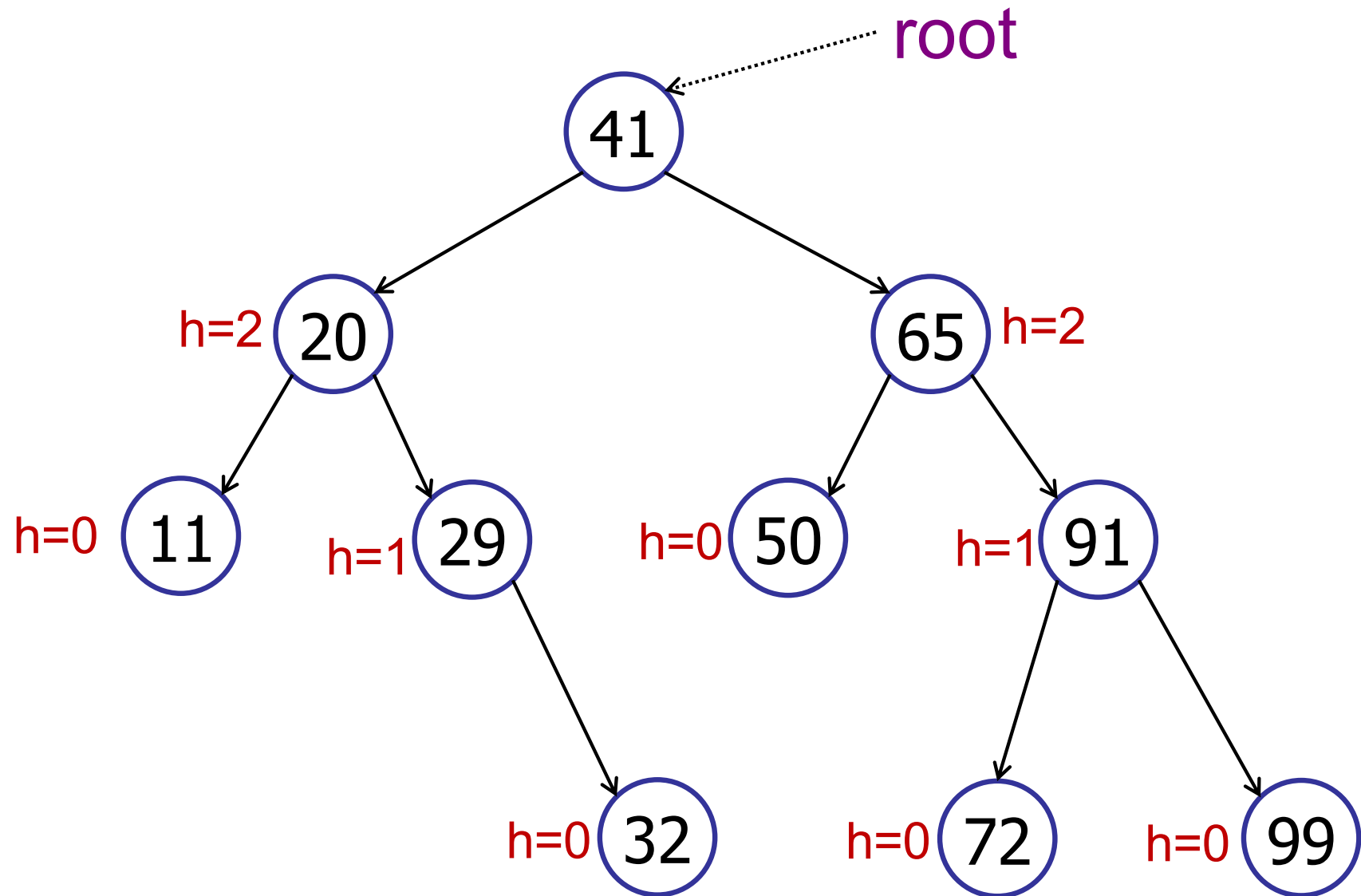
Height of a Binary Tree



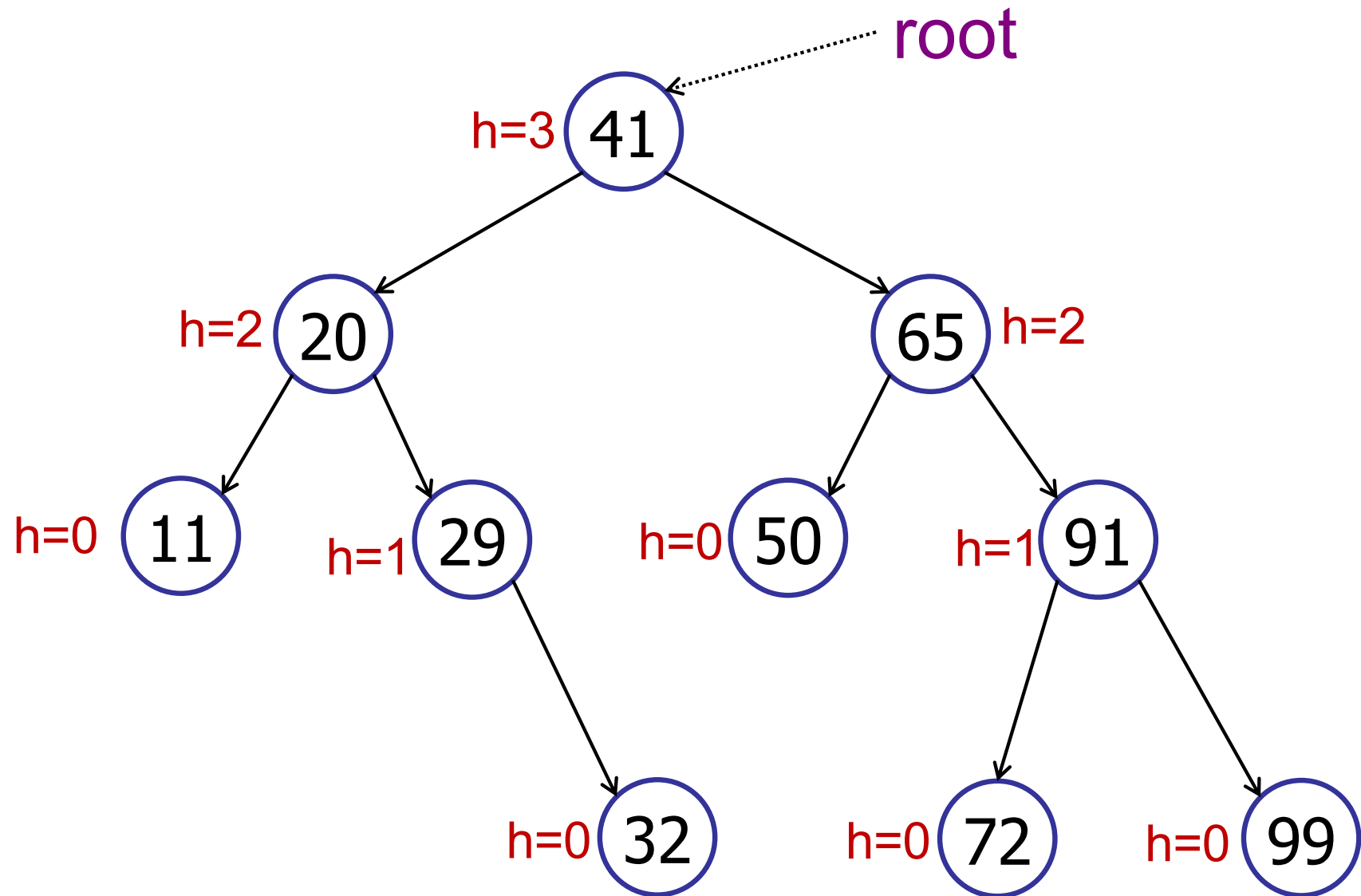
Height of a Binary Tree



Height of a Binary Tree



Height of a Binary Tree



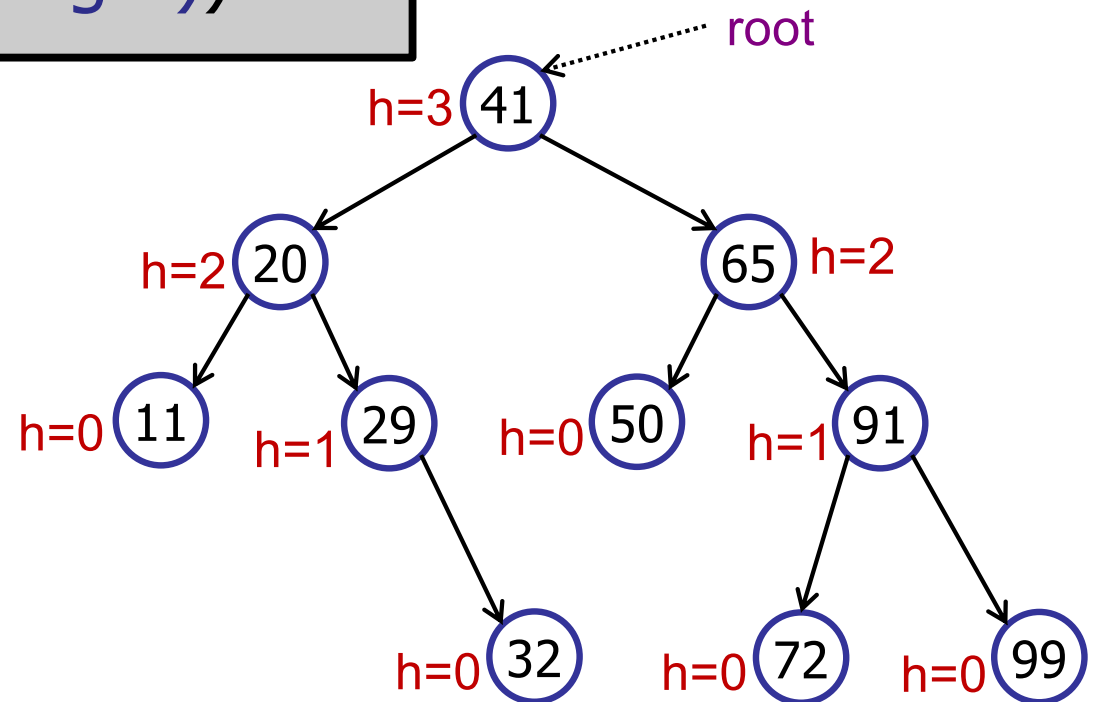
Height of a Binary Tree

Height:

Number of edges on longest path from root to leaf.

$h(v) = 0$ (if v is a leaf)

$h(v) = \max(h(v.\text{left}), h(v.\text{right})) + 1$



(For simplicity: $h(\text{null}) = -1$)

Binary Tree

Calculating the heights

check for null

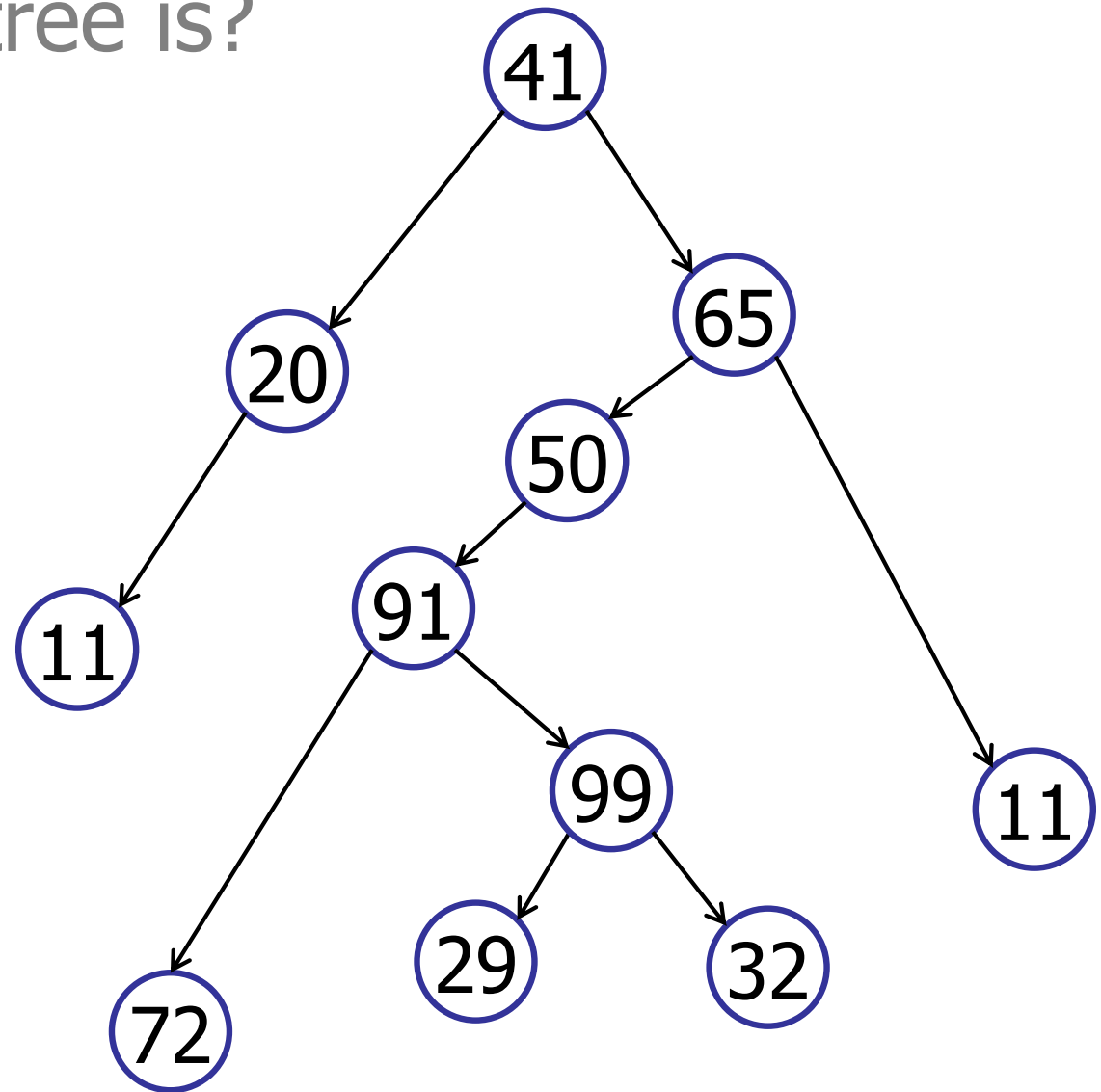
```
public int height() {  
    int leftHeight = -1;  
    int rightHeight = -1;  
    if (leftTree != null)  
        leftHeight = leftTree.height();  
    if (rightTree != null)  
        rightHeight = rightTree.height();  
    return max(leftHeight, rightHeight) + 1;  
}
```

max of subtrees

add 1

The height of this tree is?

1. 2
2. 4
3. 5
4. 6
5. 7
6. 42

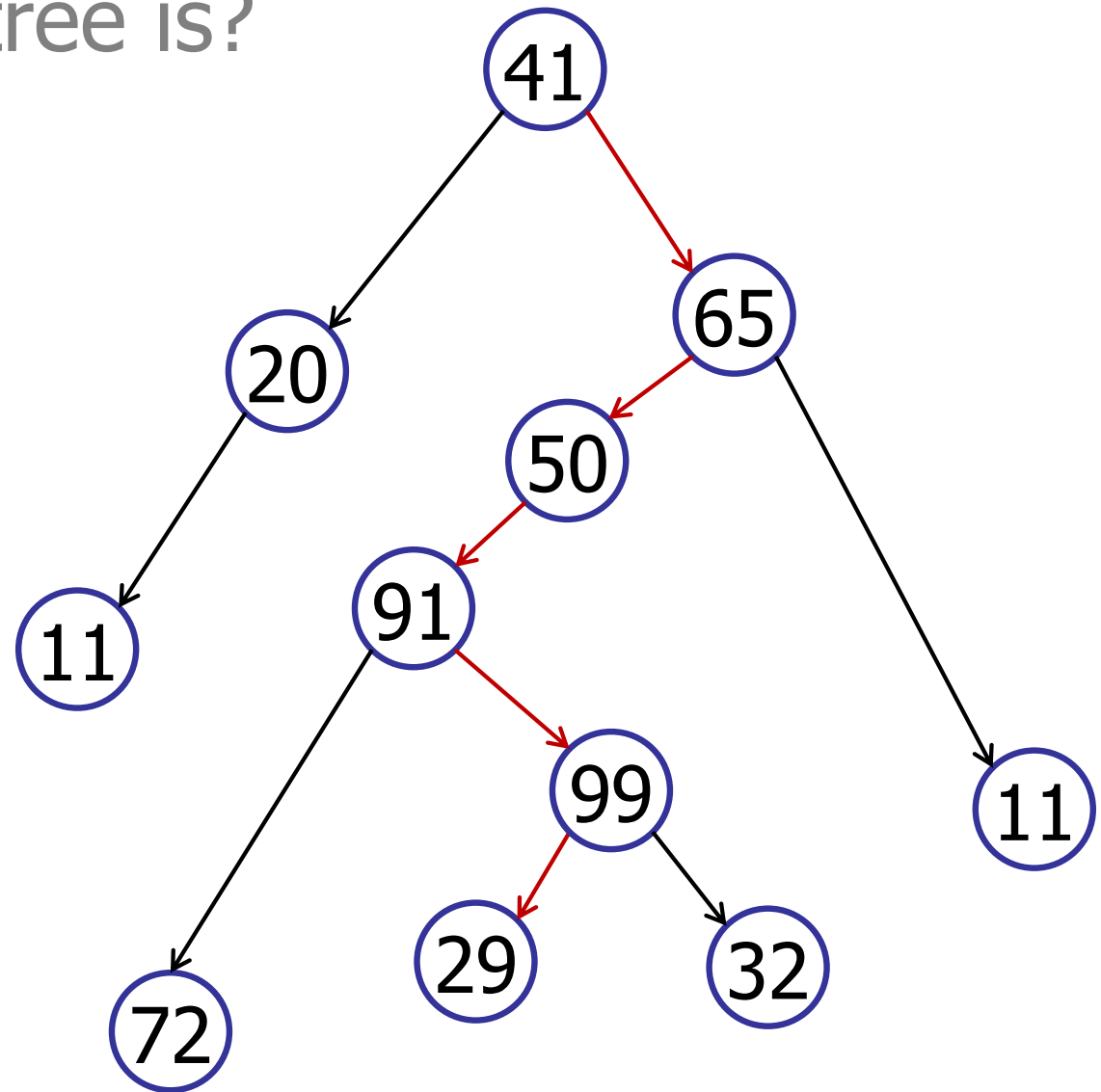


ARCHIPELAGO

is open

The height of this tree is?

- 1. 2
- 2. 4
- ✓ 3. 5
- 4. 6
- 5. 7
- 6. 42



Binary Search Trees

1. Terminology and Definitions

2. Basic operations:

- height
- searchMin, searchMax
- search, insert

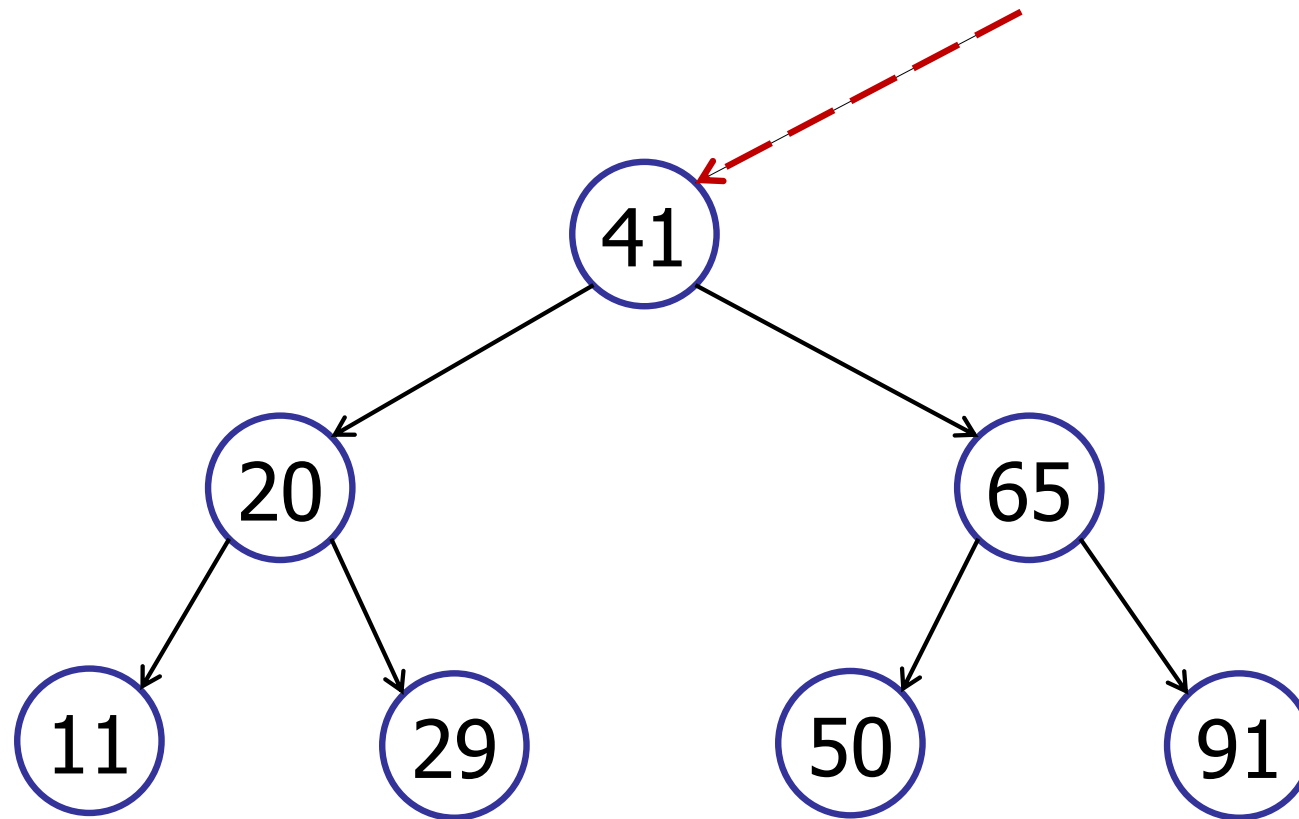
3. Traversals

- in-order, pre-order, post-order

4. Other operations

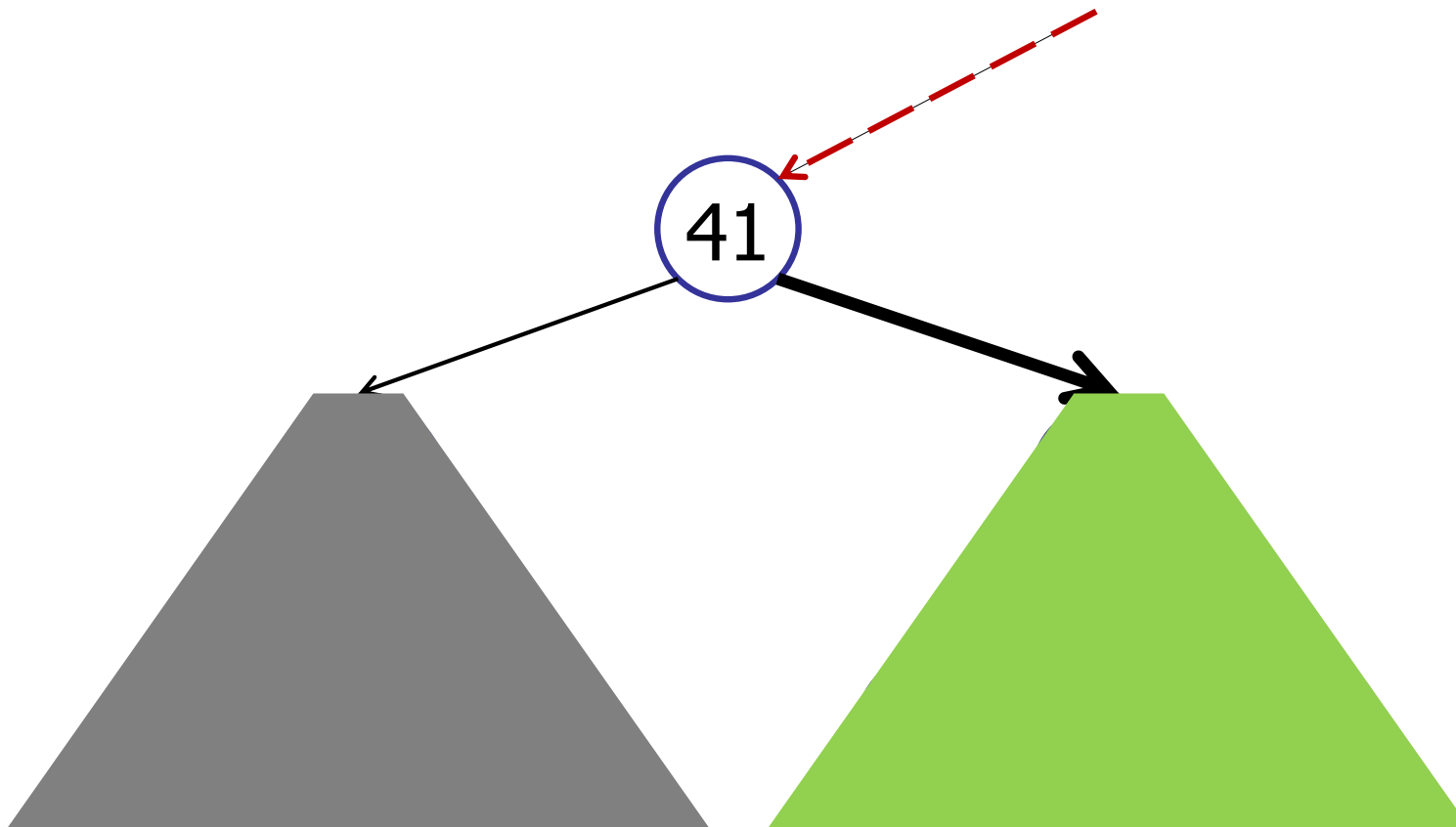
Binary Search Trees

Search for the maximum key:



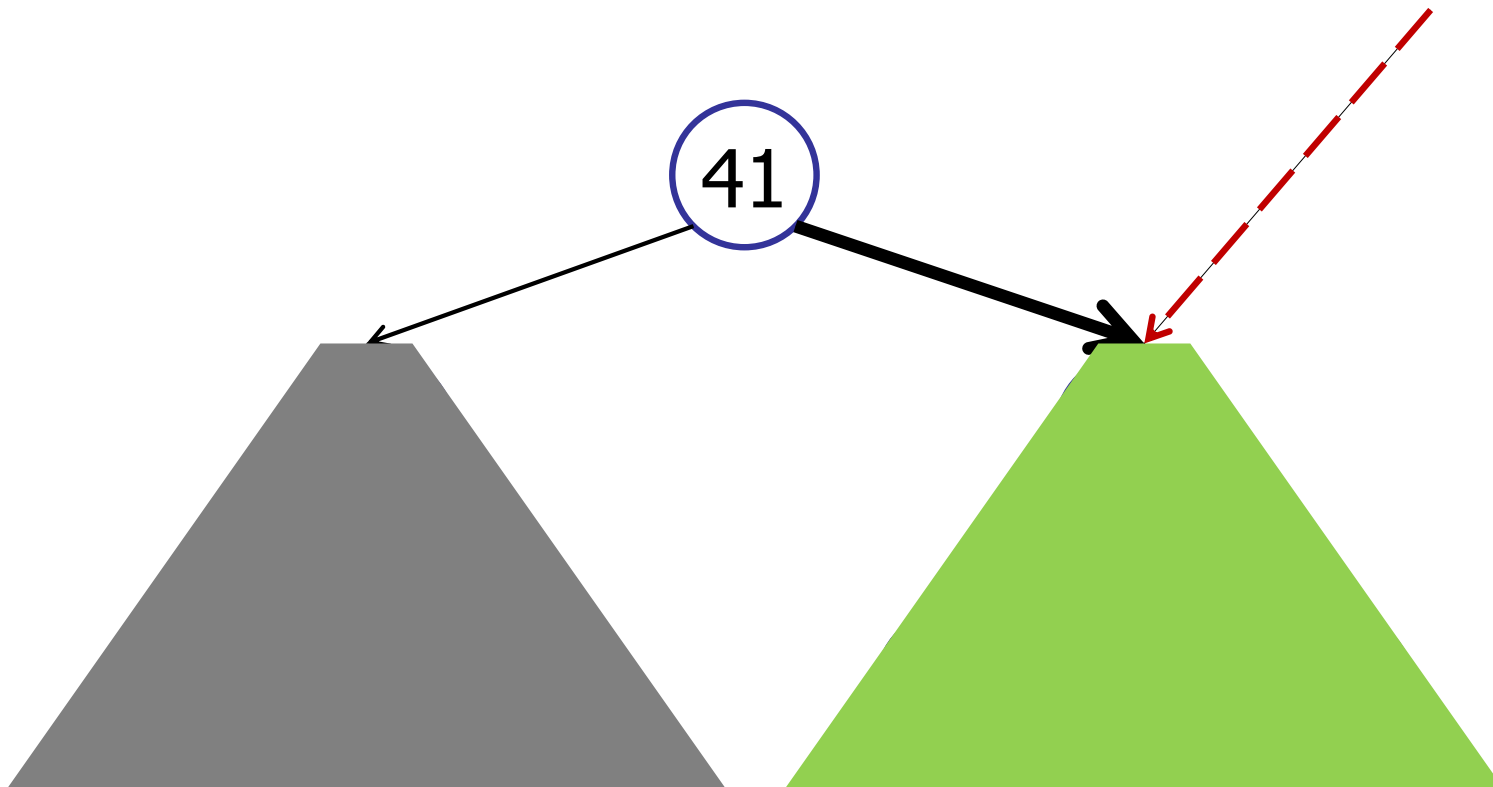
Binary Search Trees

Search for the maximum key:



Binary Search Trees

Search for maximum key



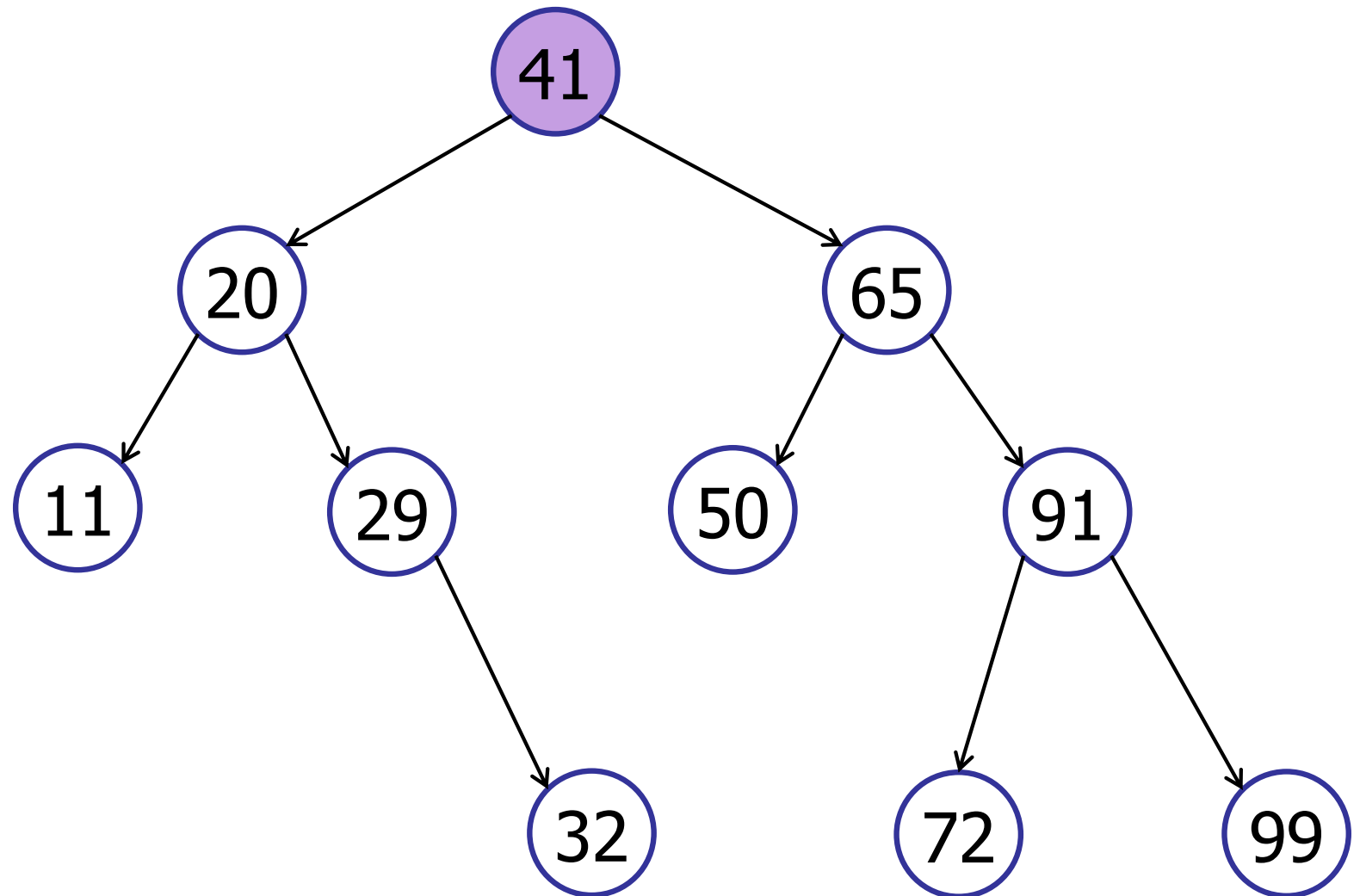
Binary Tree

Searching for the maximum key

```
public TreeNode searchMax() {  
    if (rightTree != null) {  
        return rightTree.searchMax();  
    }  
    else return this; // Key is here!  
}
```

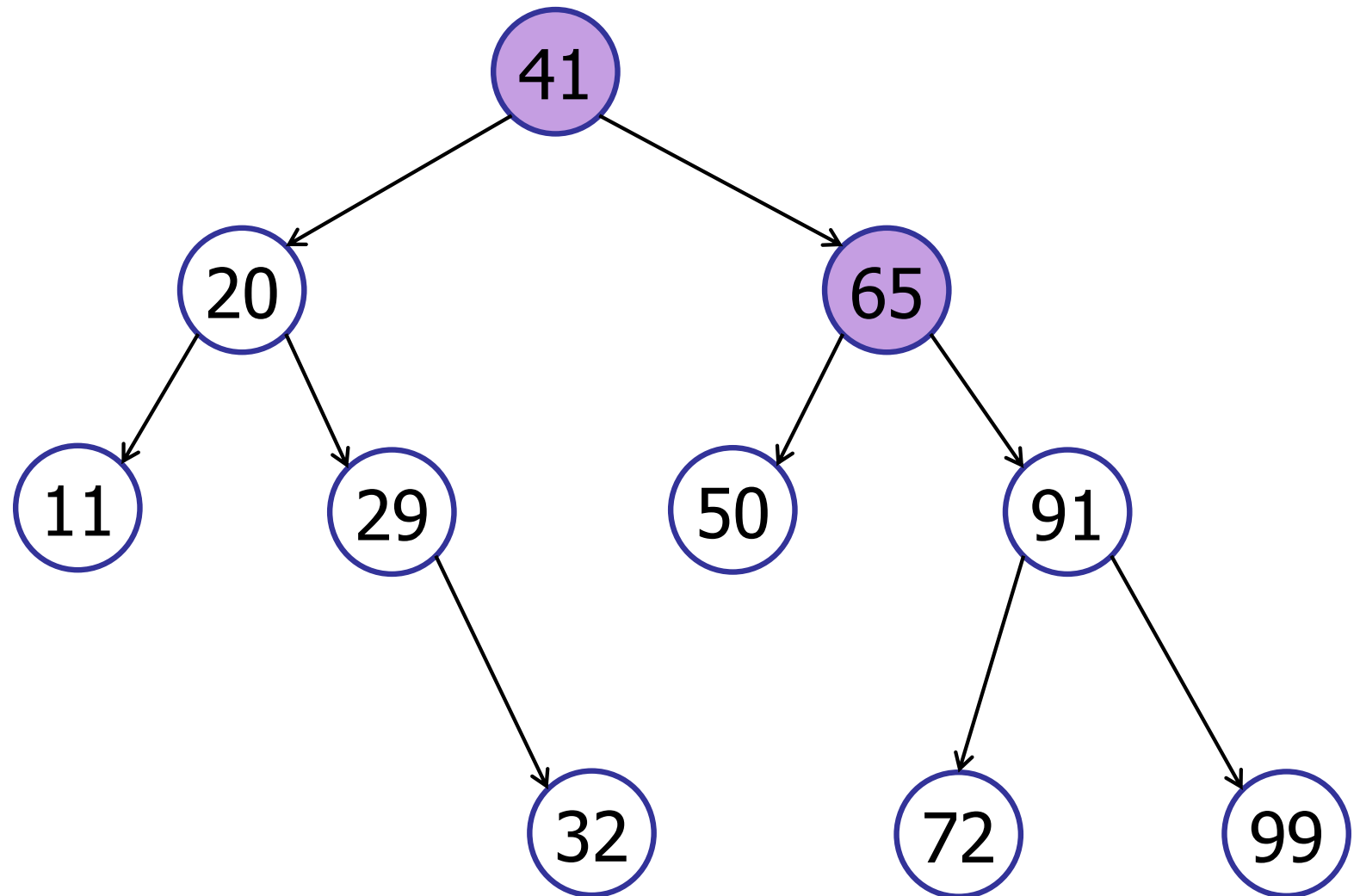
Binary Search Trees

searchMax()



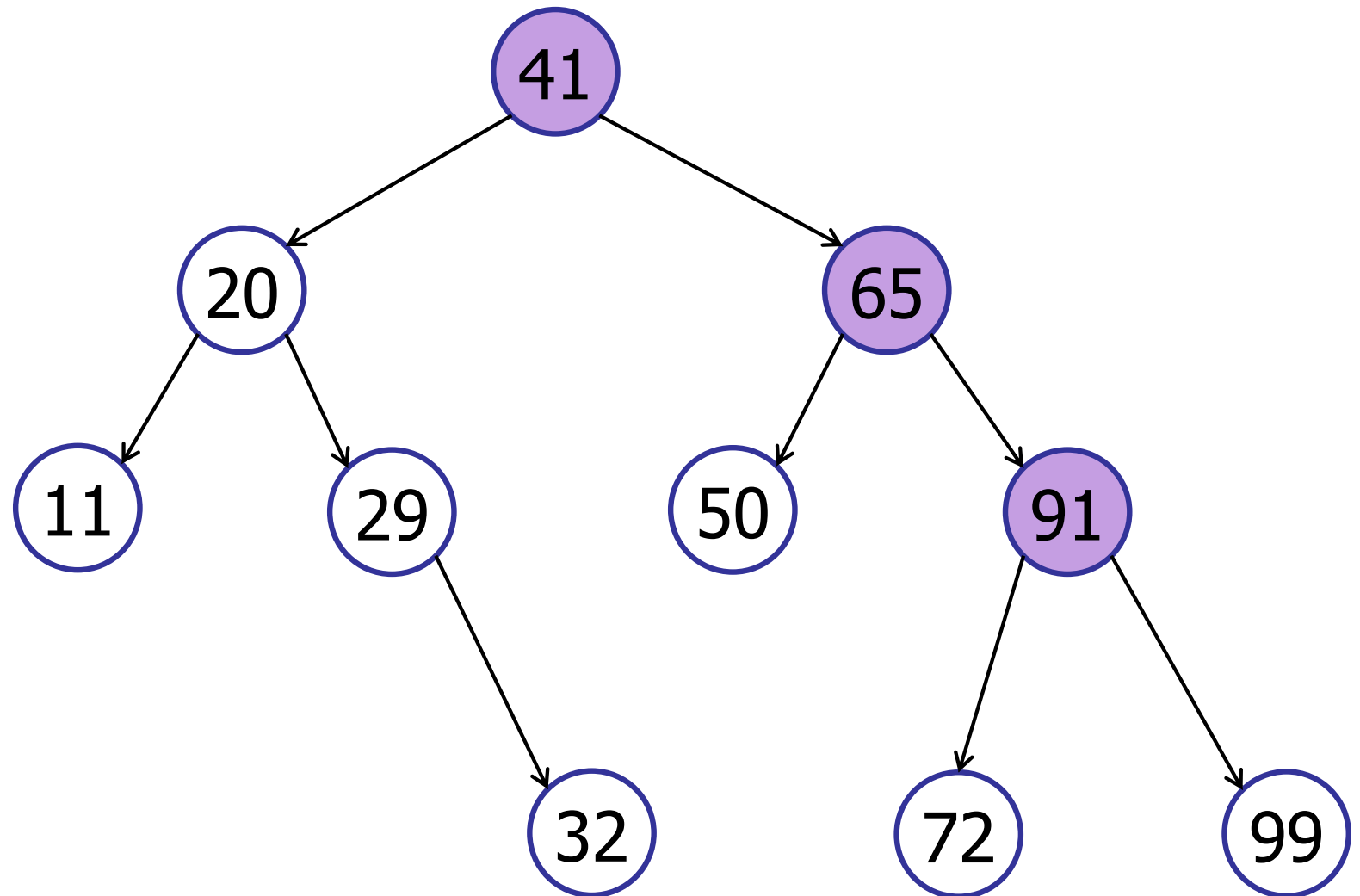
Binary Search Trees

searchMax()



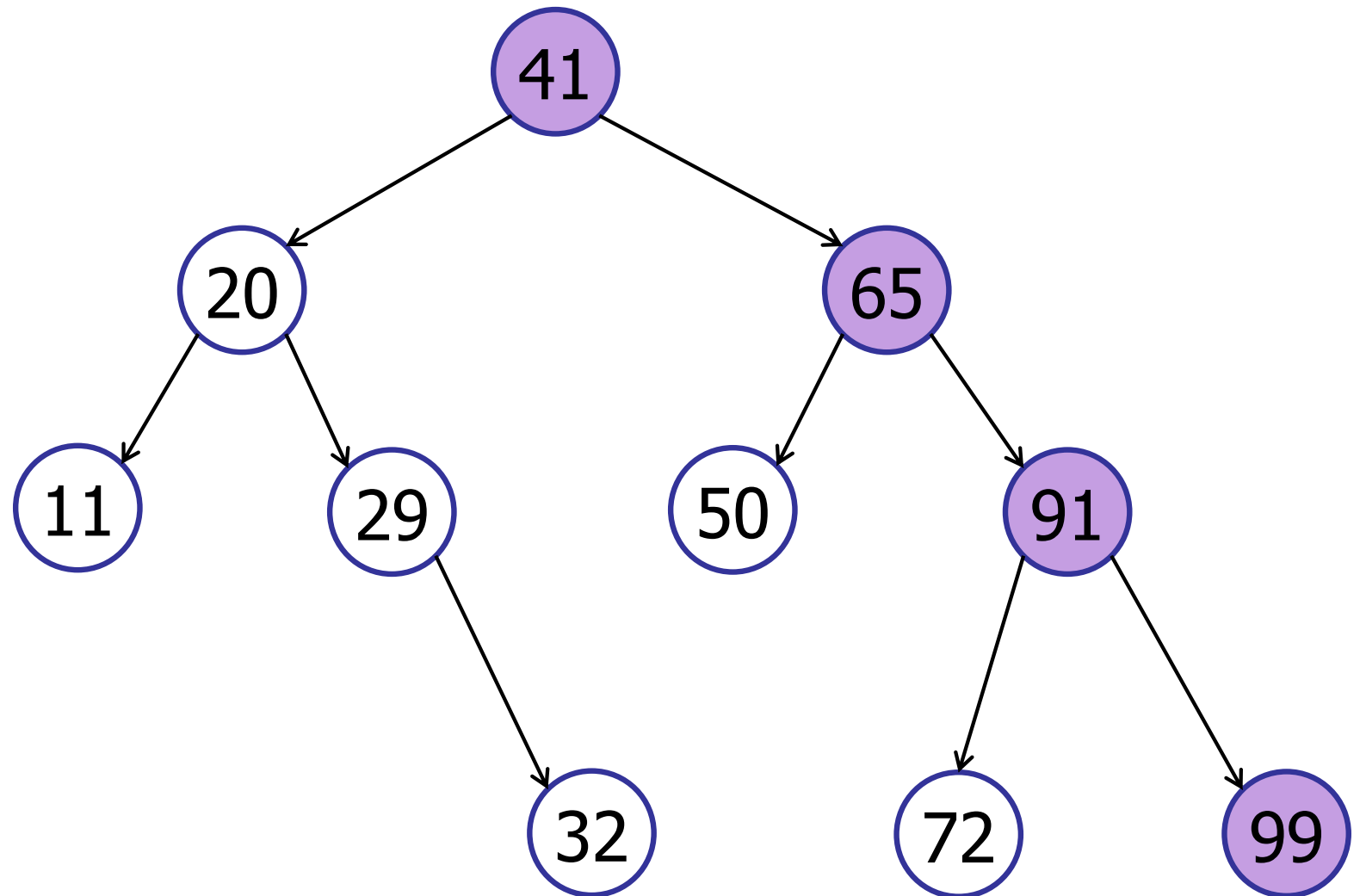
Binary Search Trees

searchMax()



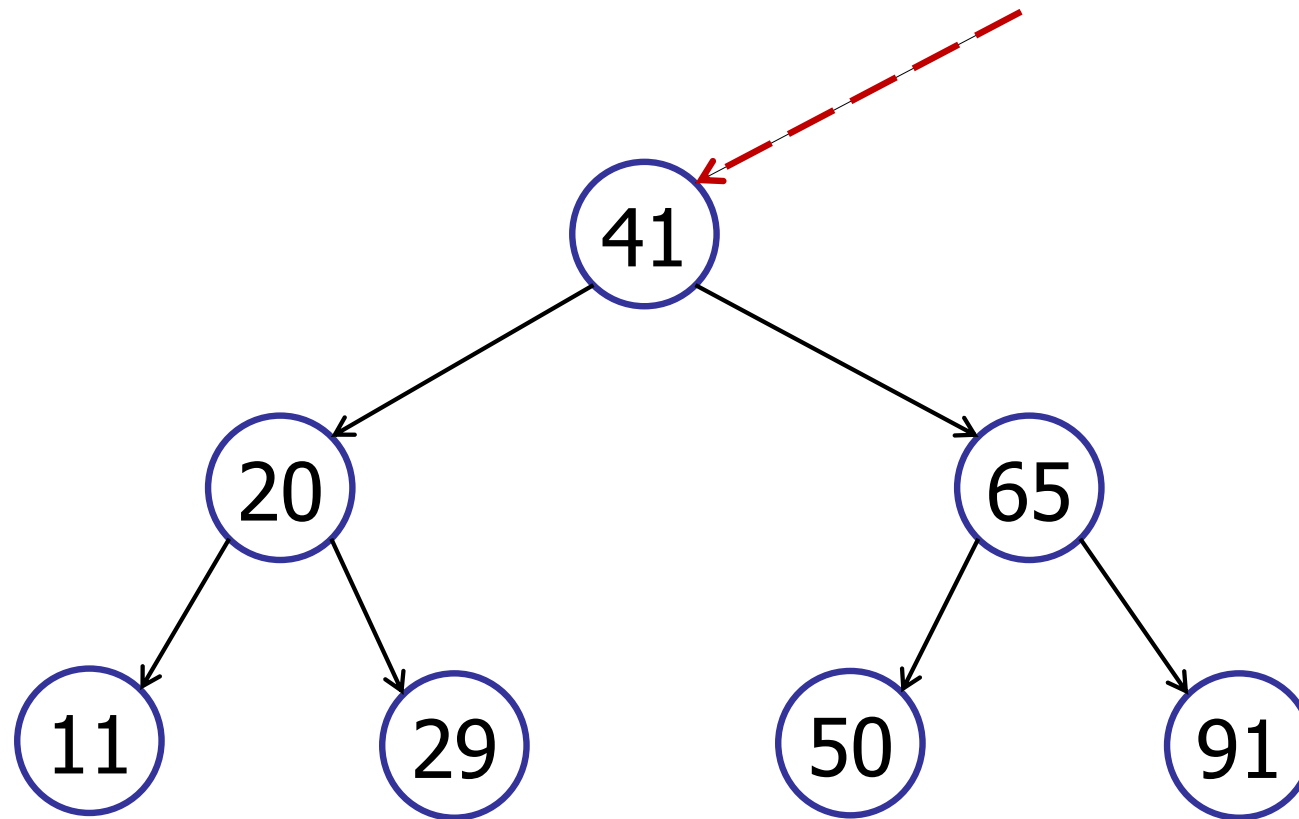
Binary Search Trees

searchMax()



Binary Search Trees

Search for the minimum key:



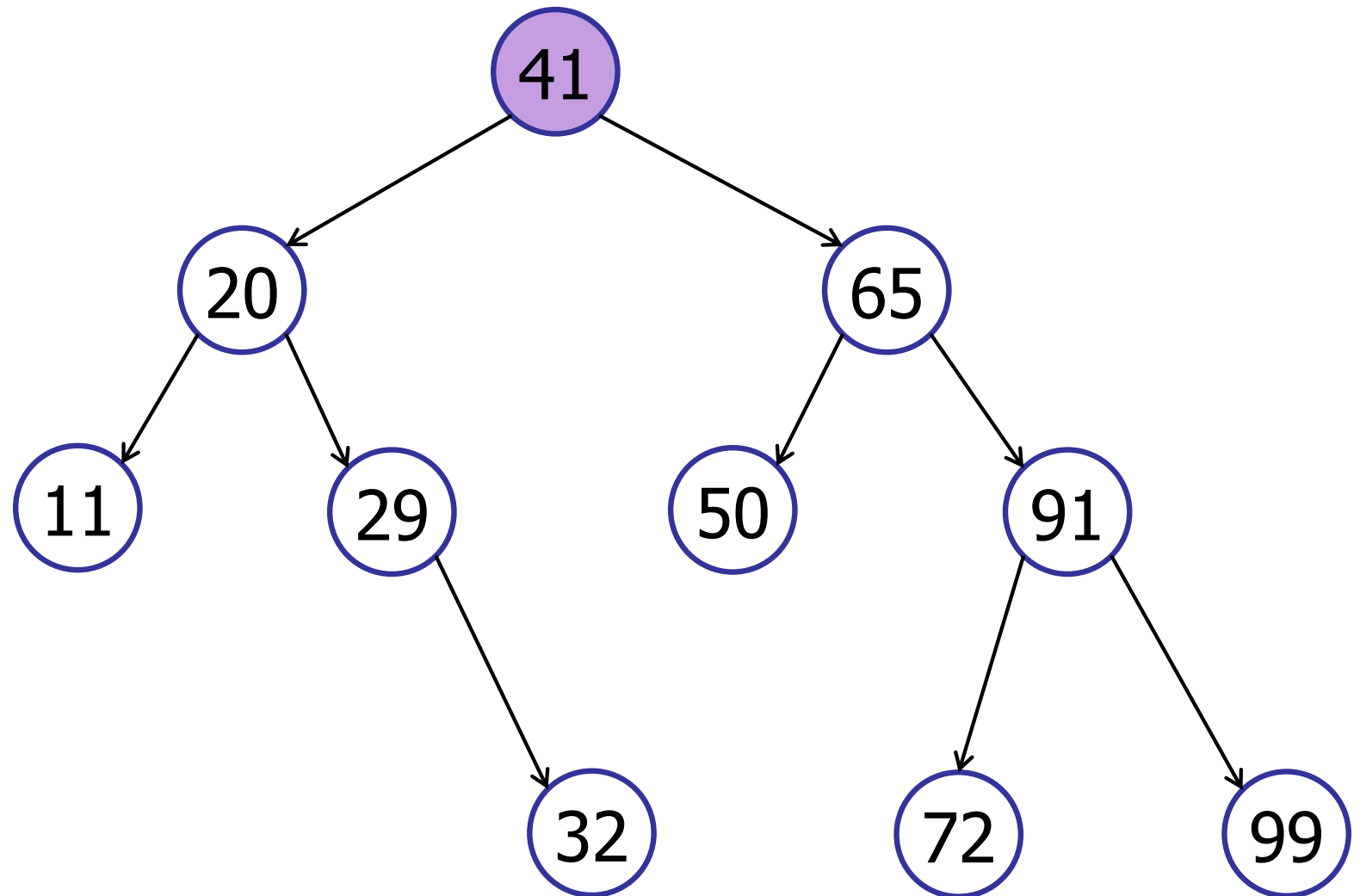
Binary Tree

Searching for the minimum key

```
public TreeNode searchMin() {  
    if (leftTree != null) {  
        return leftTree.searchMin();  
    }  
    else return this; // Key is here!  
}
```

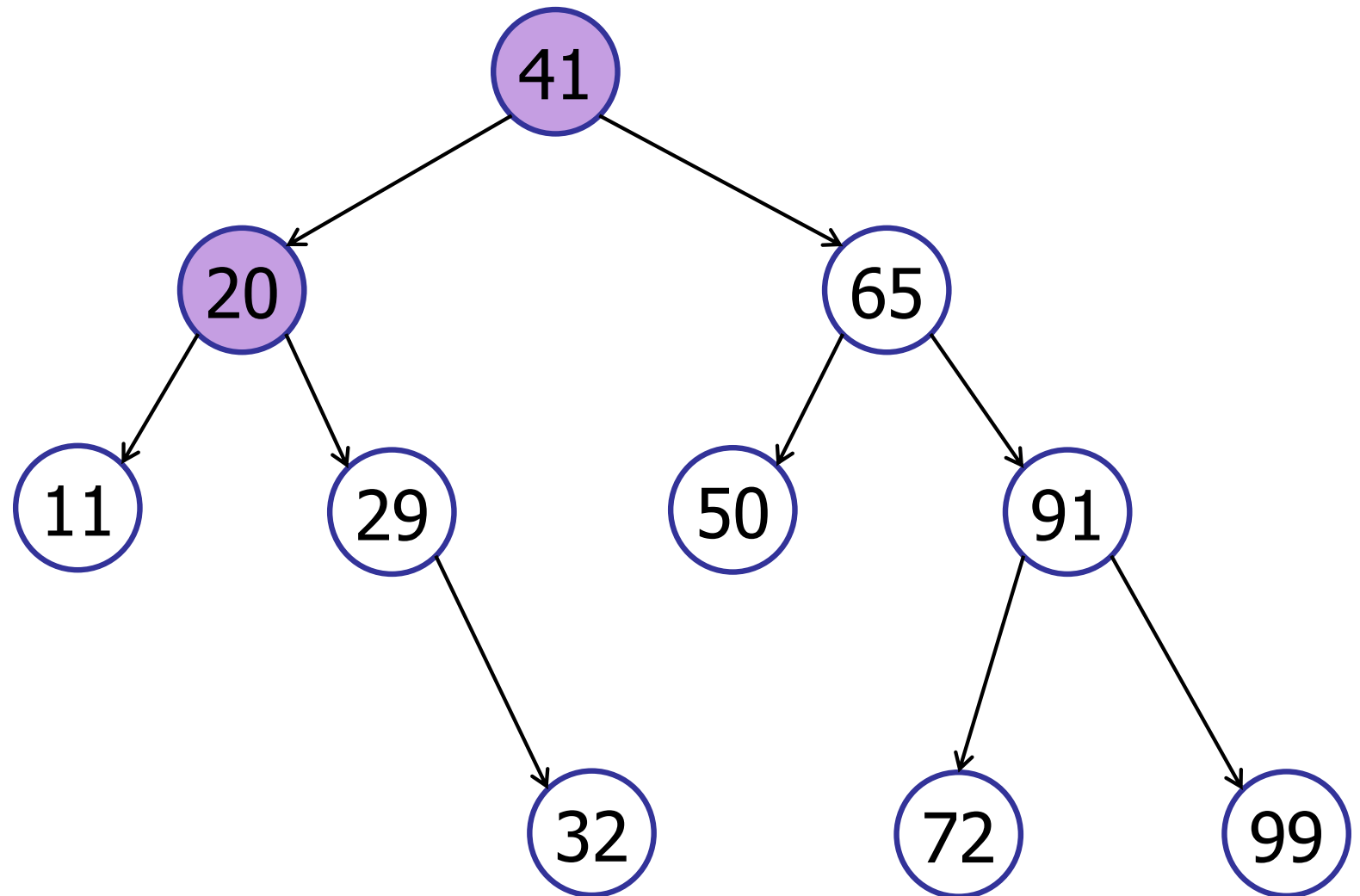
Binary Search Trees

searchMin()



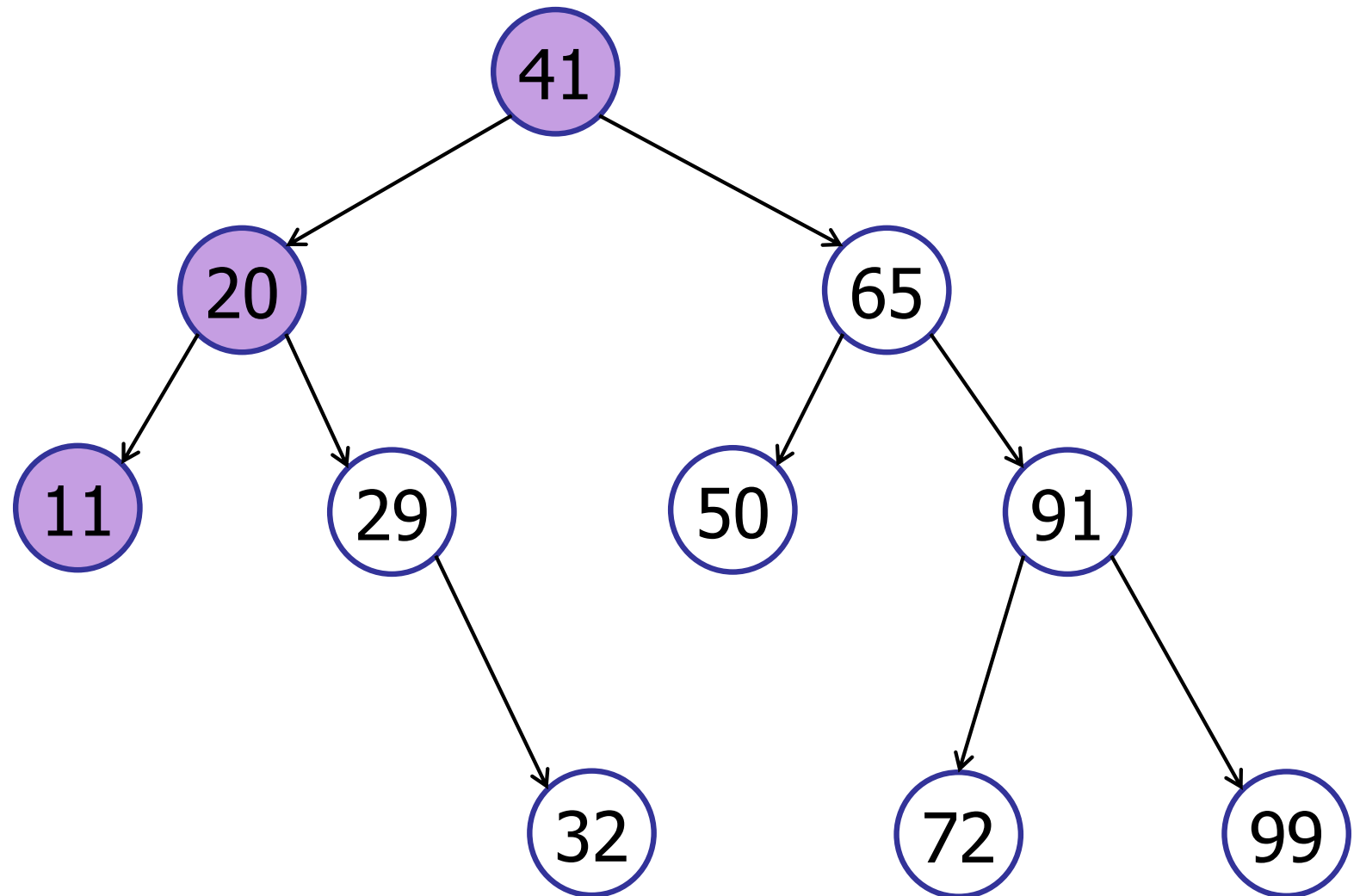
Binary Search Trees

searchMin()



Binary Search Trees

searchMin()



Binary Search Trees

1. Terminology and Definitions

2. Basic operations:

- height
- searchMin, searchMax
- search, insert

3. Traversals

- in-order, pre-order, post-order

4. Other operations

Puzzle of the Week: Squares

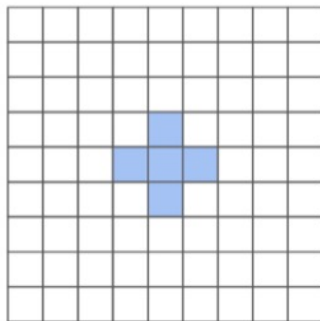
(Courtesy: Riddler)

Start with five shaded squares, infinite grid.

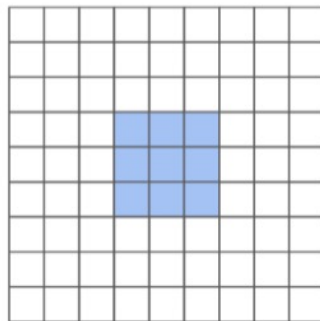
At every iteration, color a square if *at least* three neighboring were colored in the previous iteration.

As N gets large, how many squares will be shaded in generation N (as a function of N)?

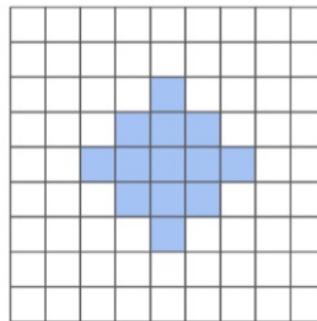
Generation 1



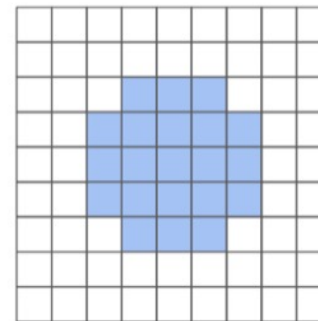
Generation 2



Generation 3



Generation 4



Binary Search Trees

1. Terminology and Definitions

2. Basic operations:

- height
- searchMin, searchMax
- search, insert

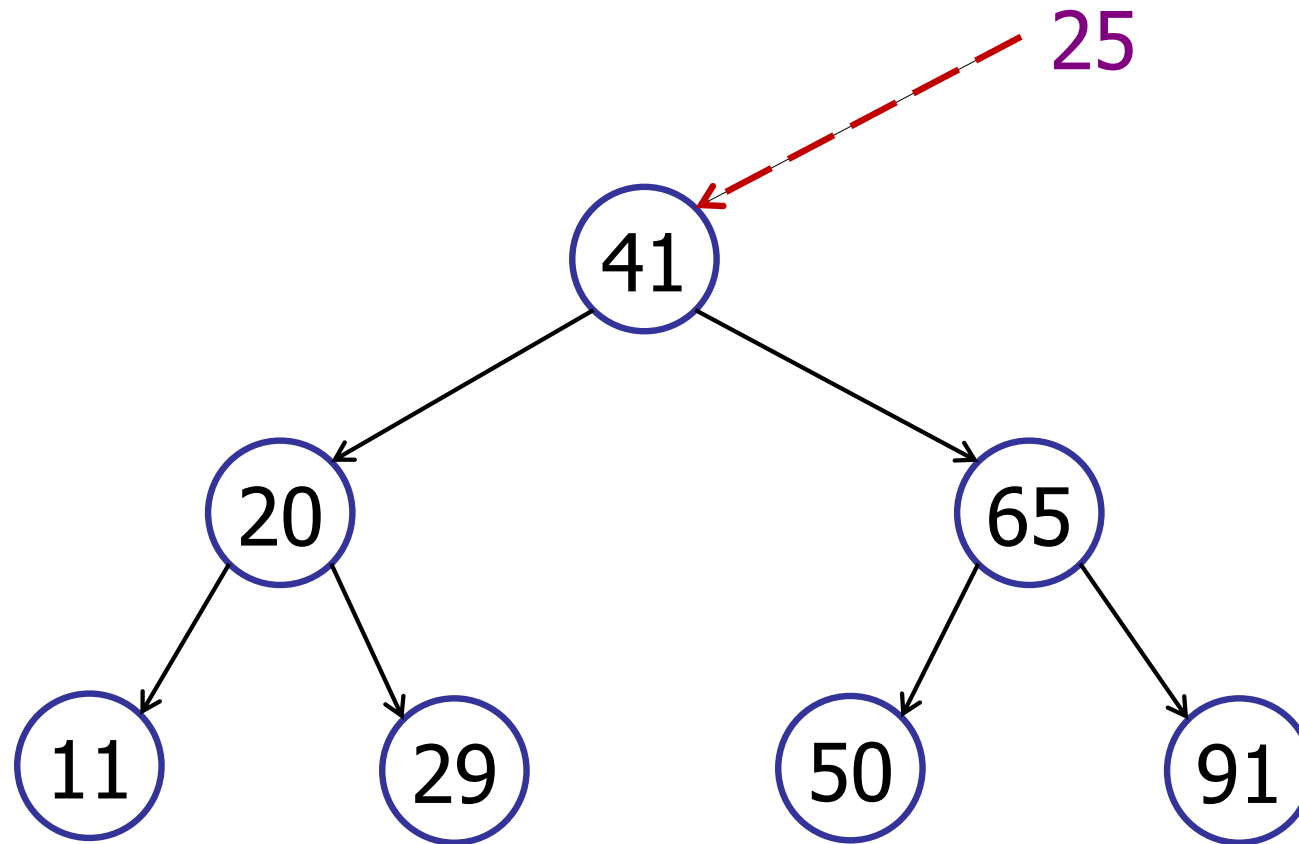
3. Traversals

- in-order, pre-order, post-order

4. Other operations

Binary Search Trees

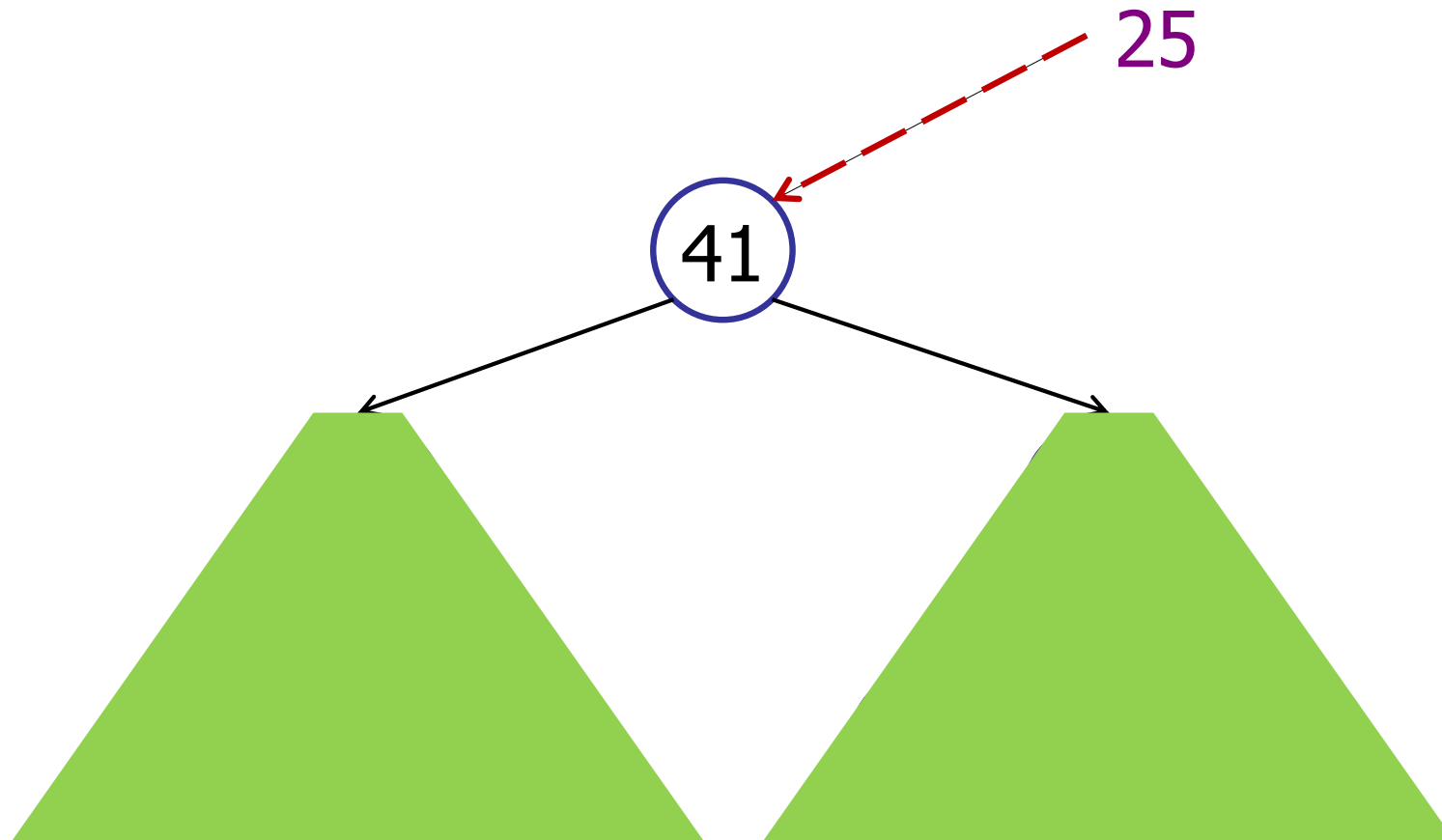
Search for a key:



Binary Search Trees

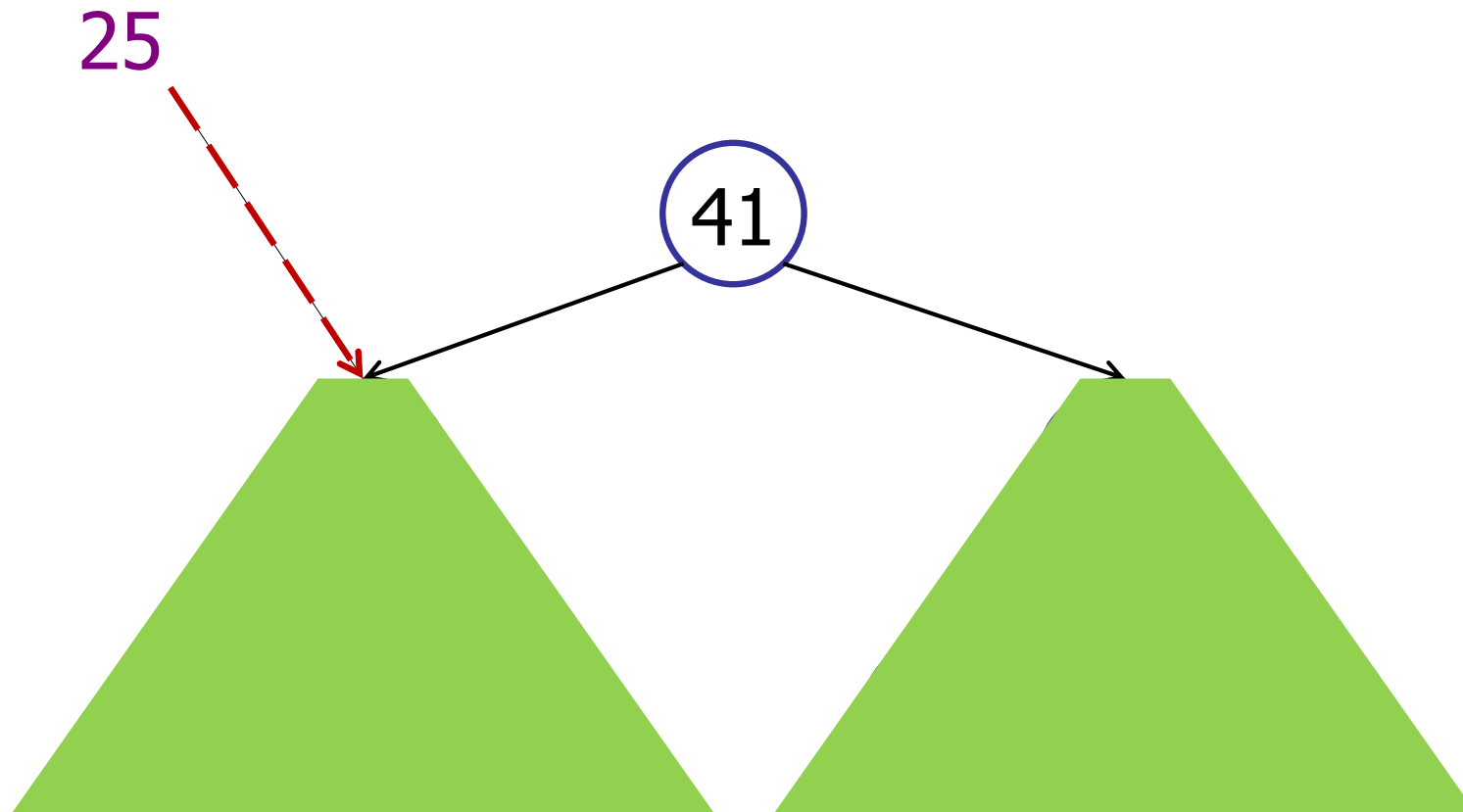
Search for a key:

$25 < 41$



Binary Search Trees

Search for a key:



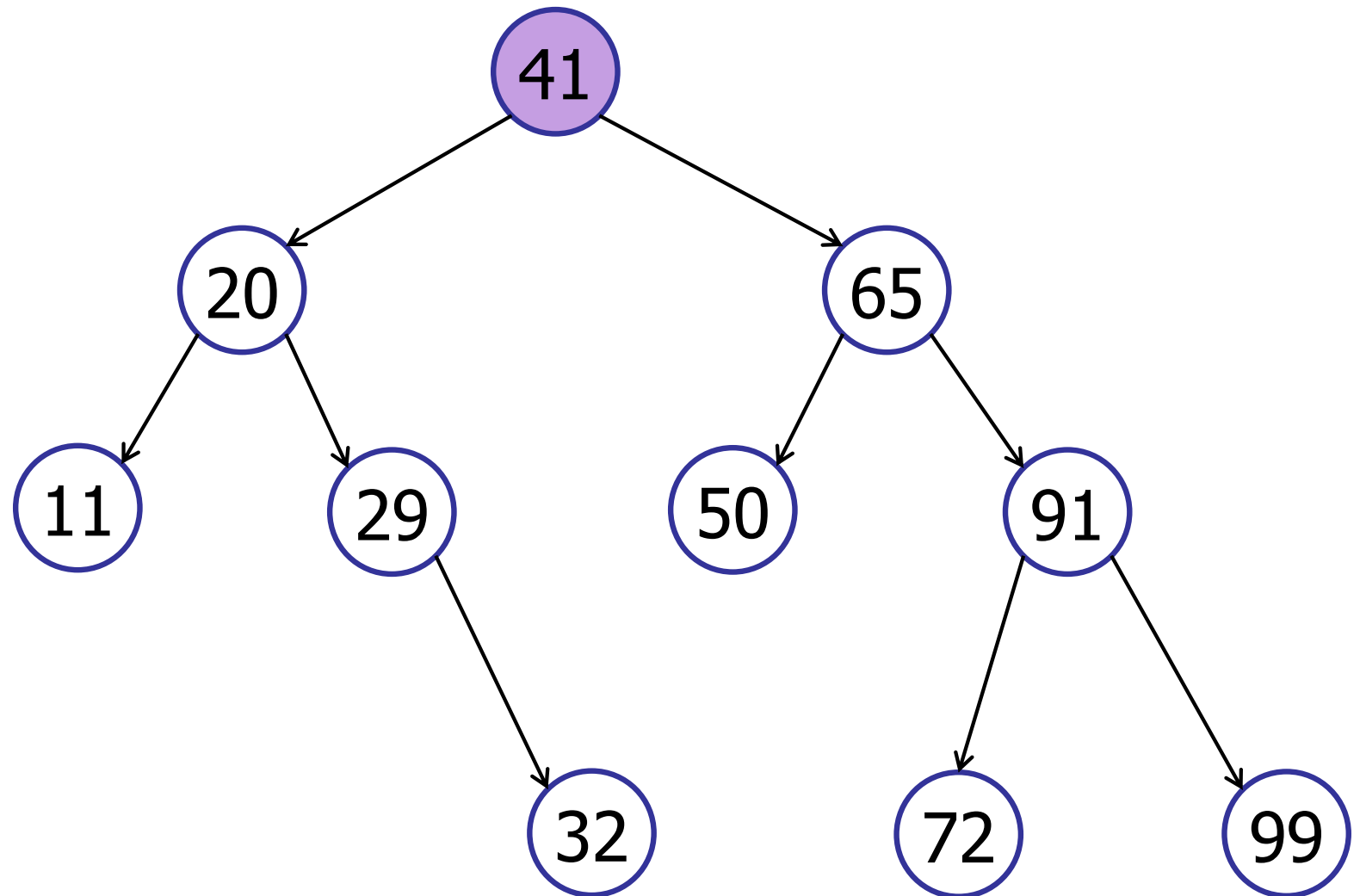
Binary Tree

Inserting a new key

```
public TreeNode search(int queryKey) {  
    if (queryKey < key) {  
        if (leftTree != null)  
            return leftTree.search(key);  
        else return null;  
    }  
    else if (queryKey > key) {  
        if (rightTree != null)  
            return rightTree.search(key);  
        else return null;  
    }  
    else return this; // Key is here!  
}
```

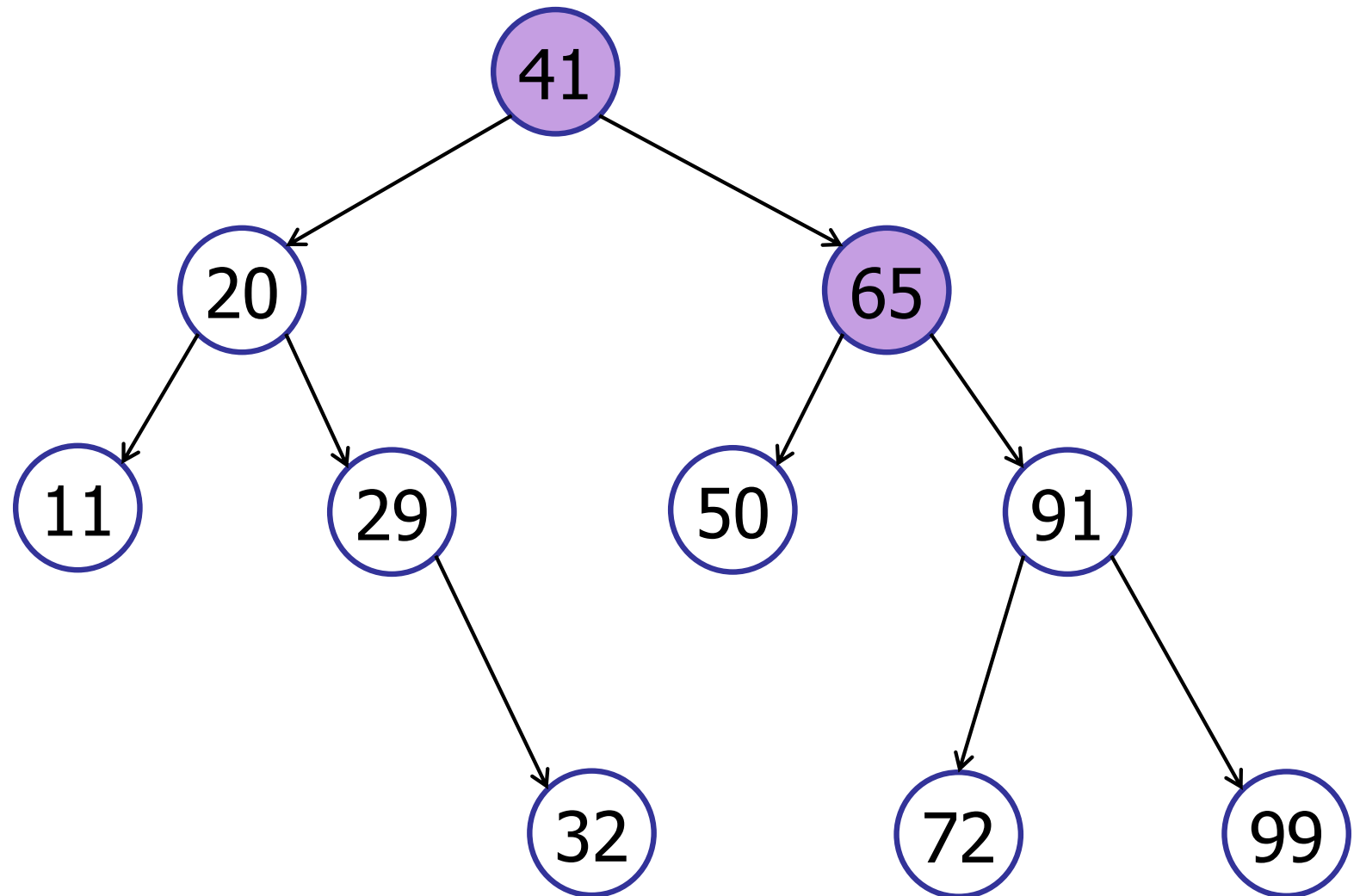
Binary Search Trees

search(72)



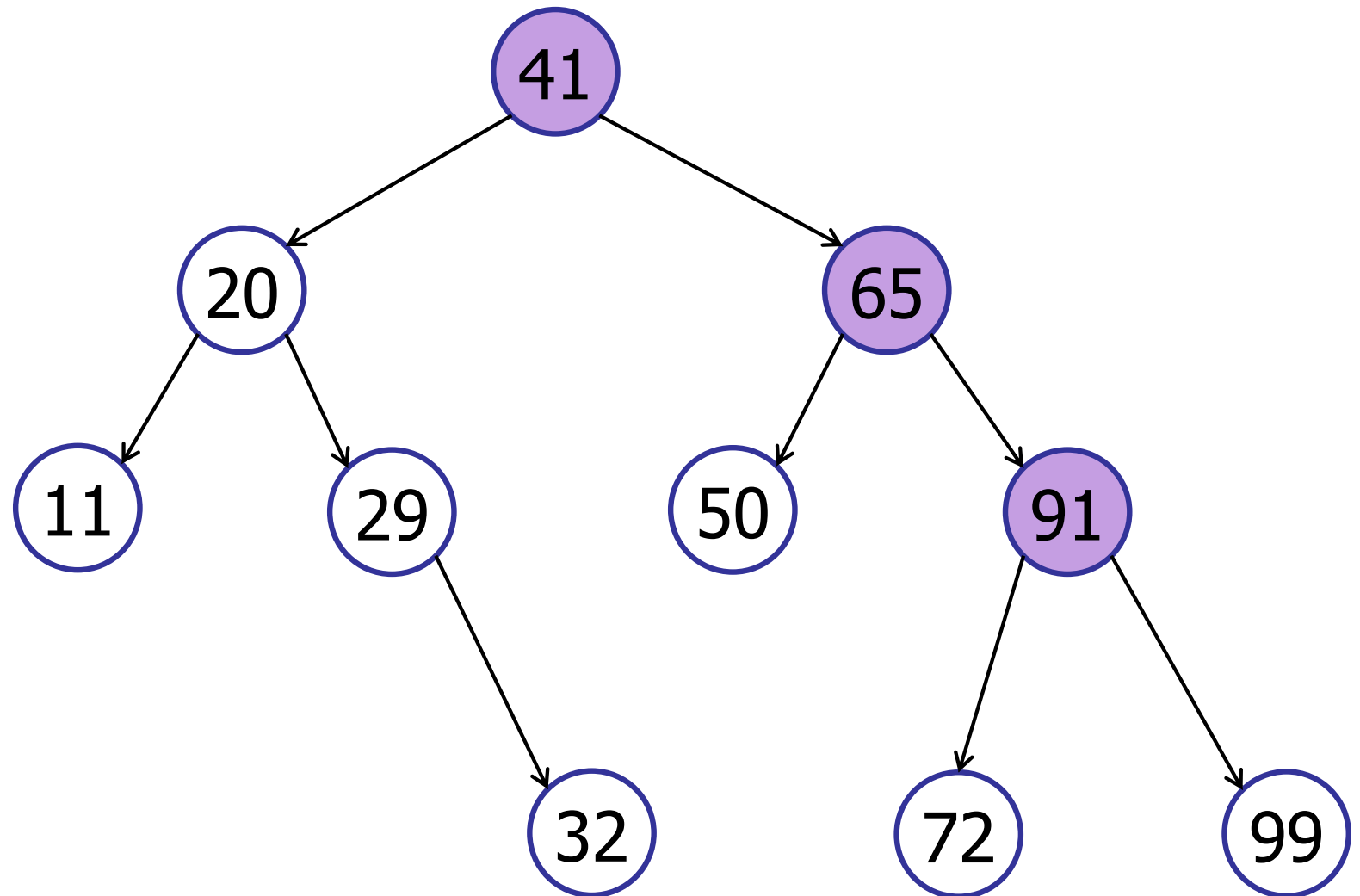
Binary Search Trees

search(72)



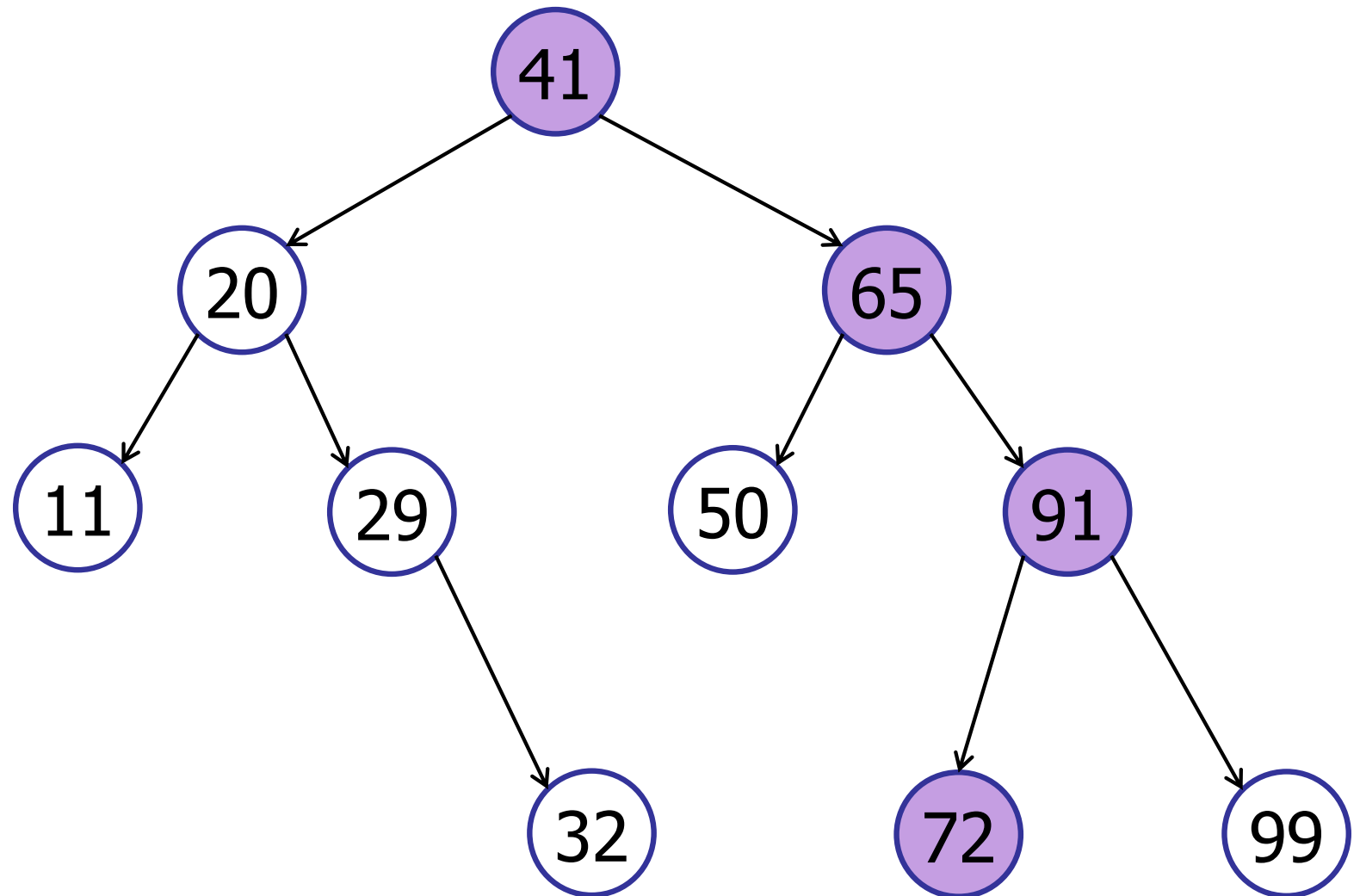
Binary Search Trees

search(72)



Binary Search Trees

search(72)



Binary Search Trees

1. Terminology and Definitions

2. Basic operations:

- height
- searchMin, searchMax
- search, insert

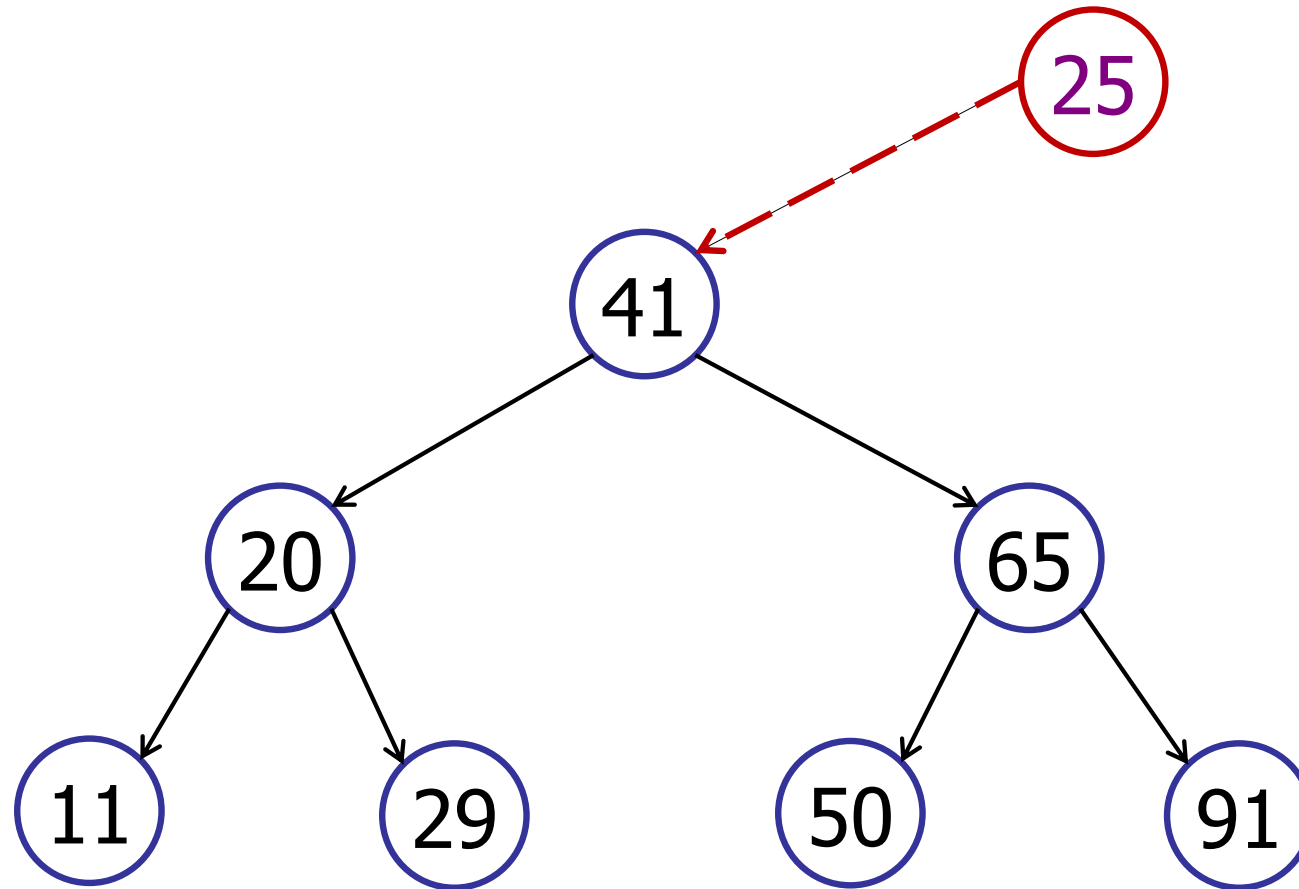
3. Traversals

- in-order, pre-order, post-order

4. Other operations

Binary Search Trees

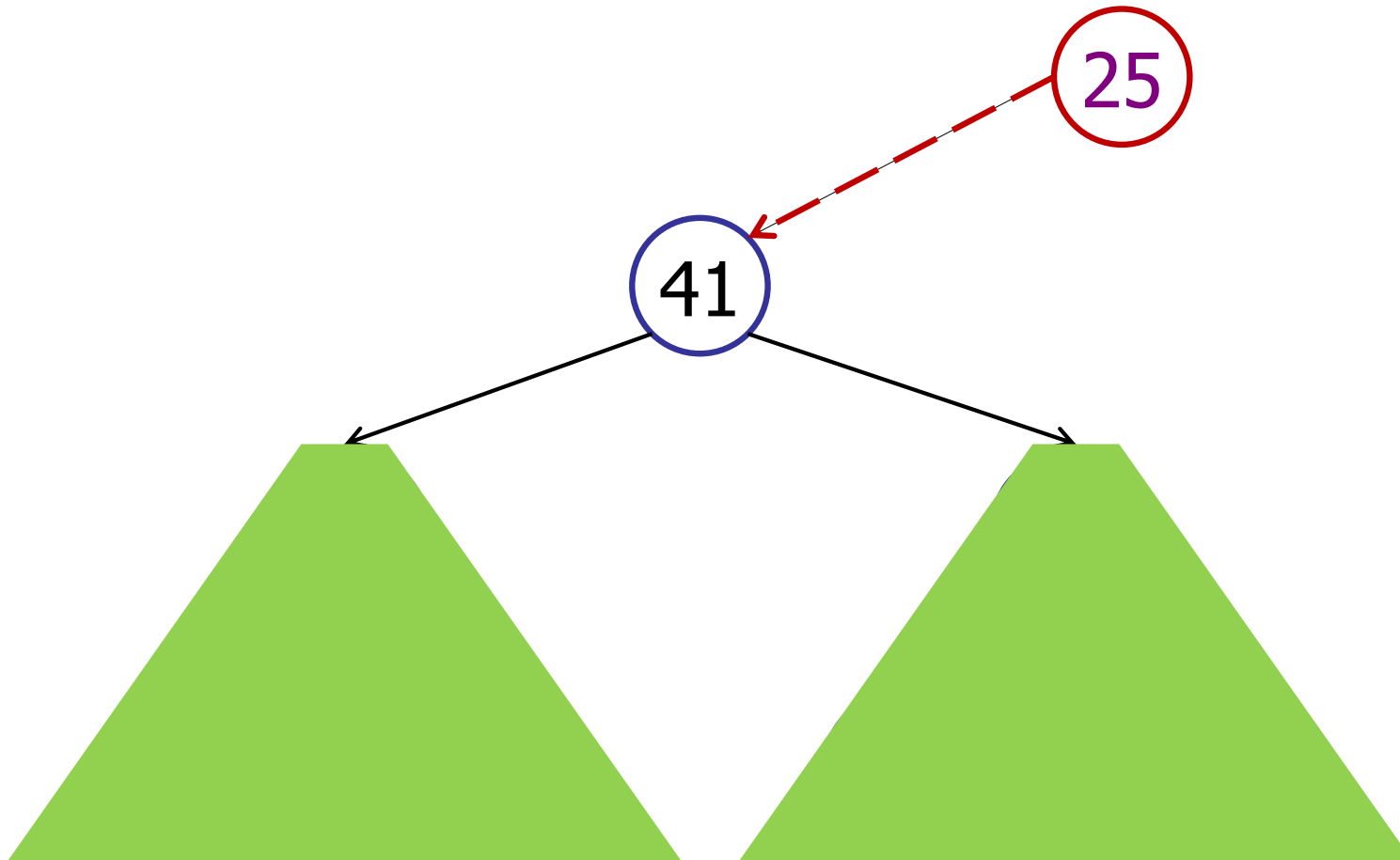
Inserting a new key:



Binary Search Trees

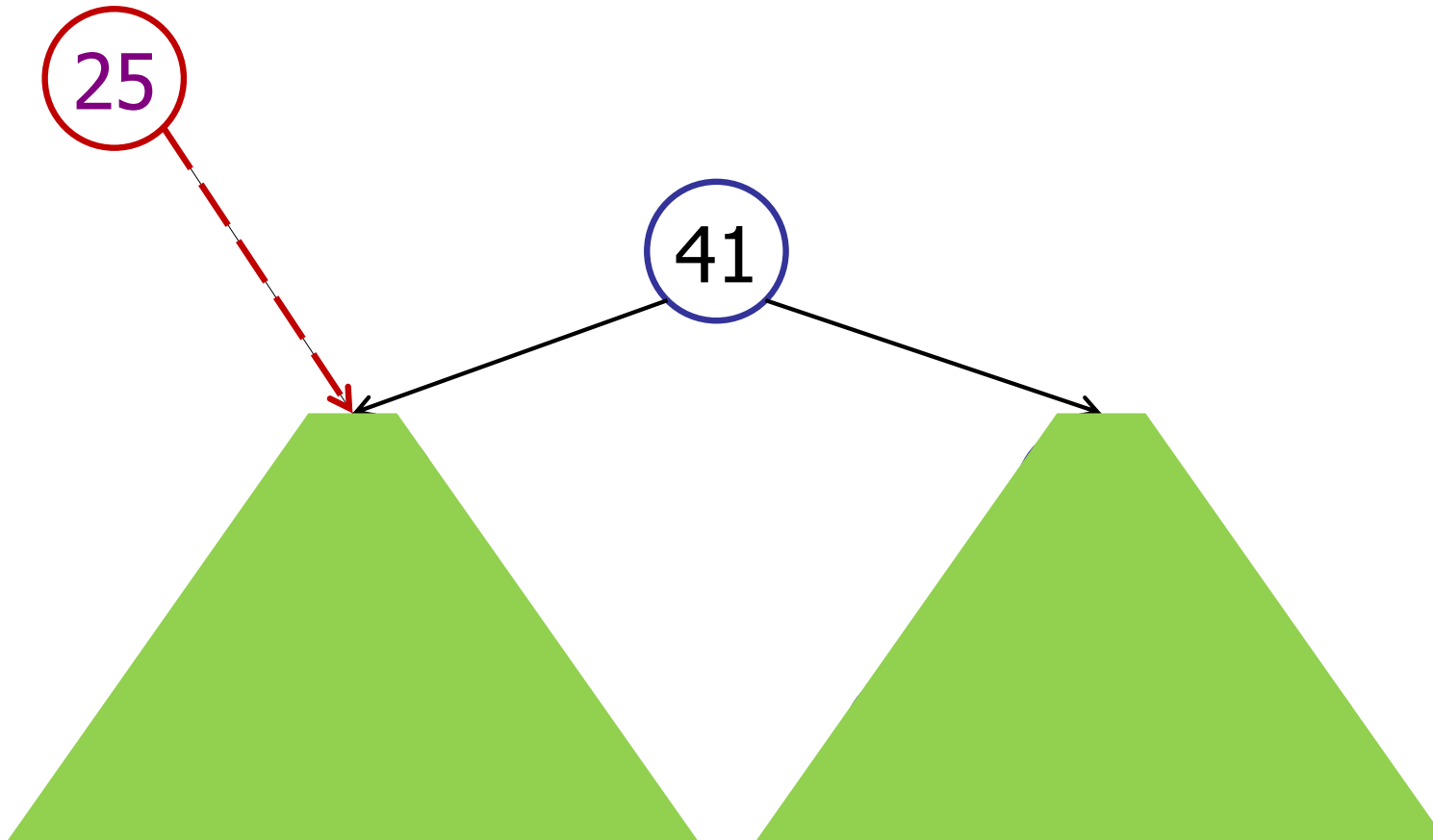
$25 < 41$

Inserting a new key:



Binary Search Trees

Inserting a new key:



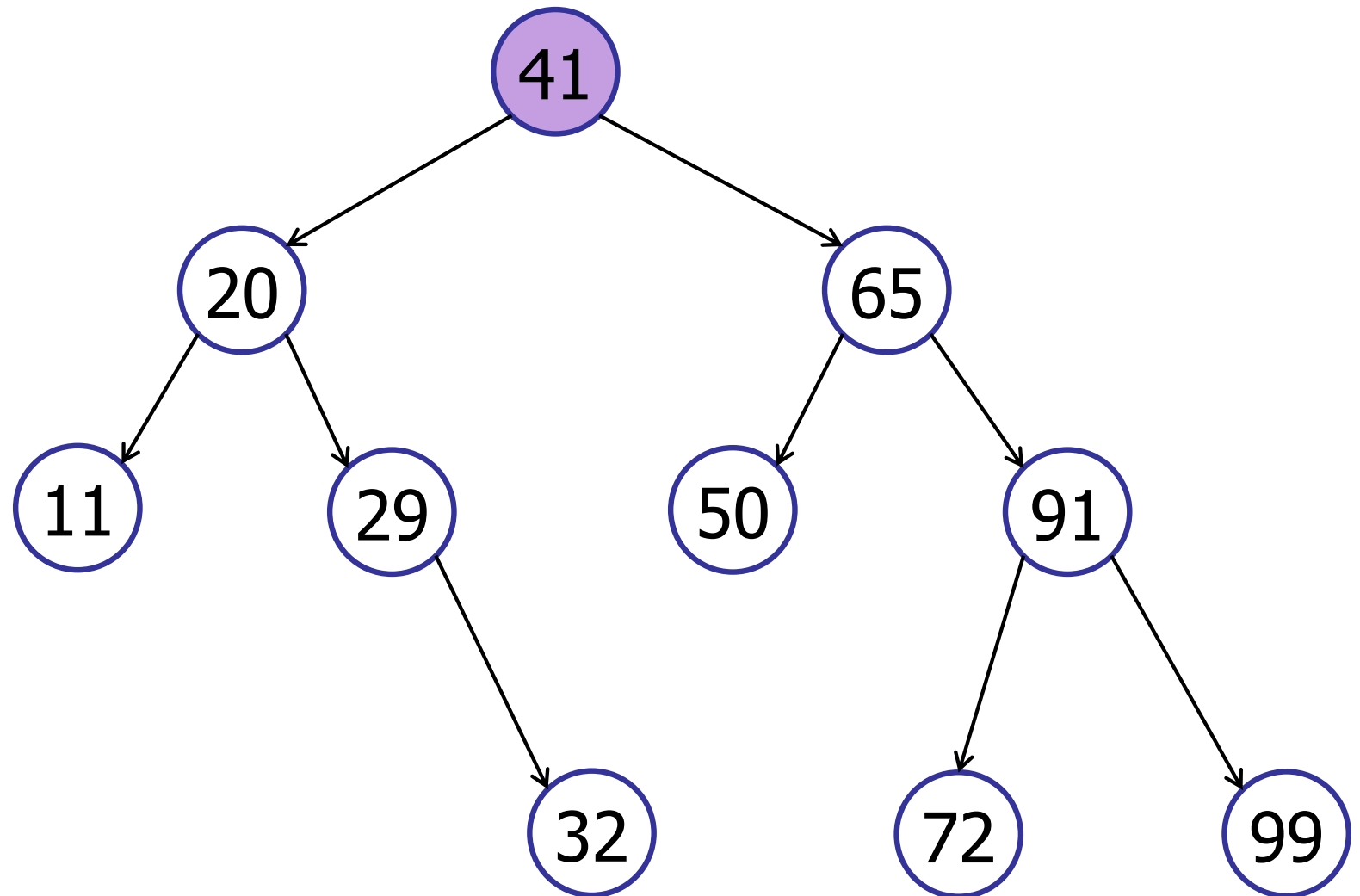
Binary Tree

Inserting a new key

```
public void insert(int insKey, int intValue){
    if (insKey < key) {
        if (leftTree != null)
            leftTree.insert(insKey);
        else leftTree = new TreeNode(insKey, intValue);
    }
    else if (insKey > key) {
        if (rightTree != null)
            rightTree.insert(insKey);
        else rightTree = new TreeNode(insKey, intValue);
    }
    else return; // Key is already in the tree!
}
```

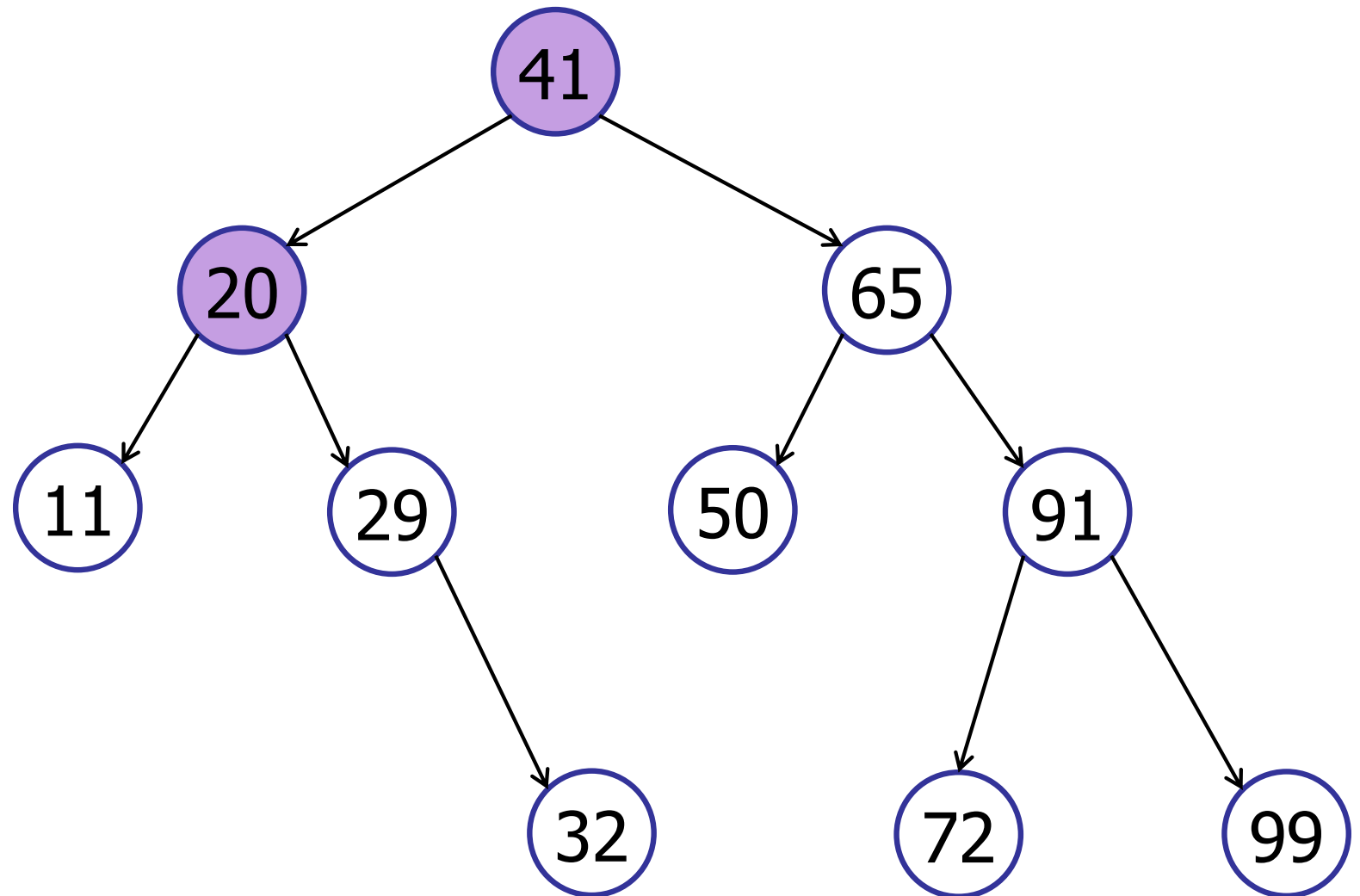
Binary Search Trees

insert(27)



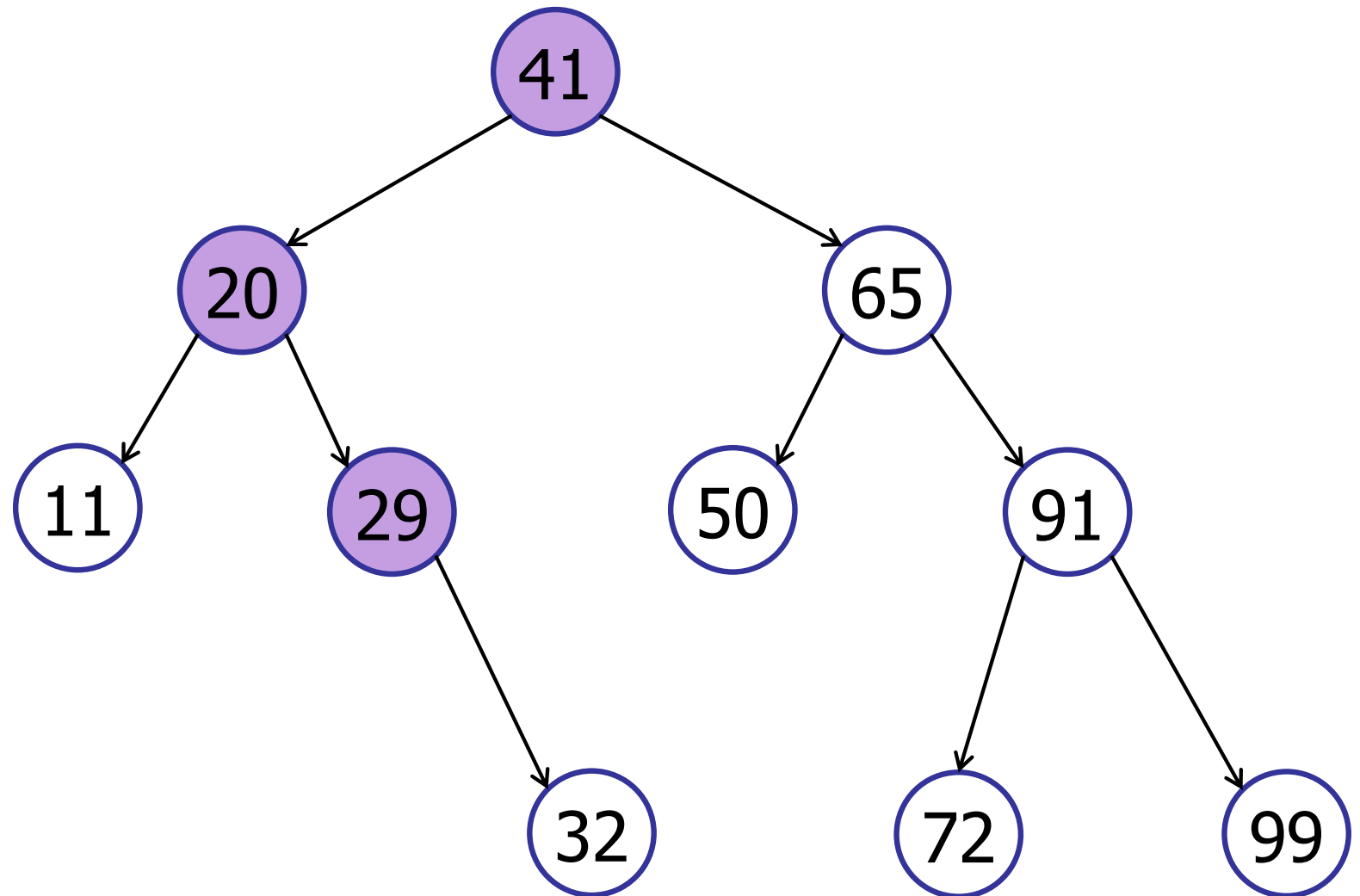
Binary Search Trees

insert(27)



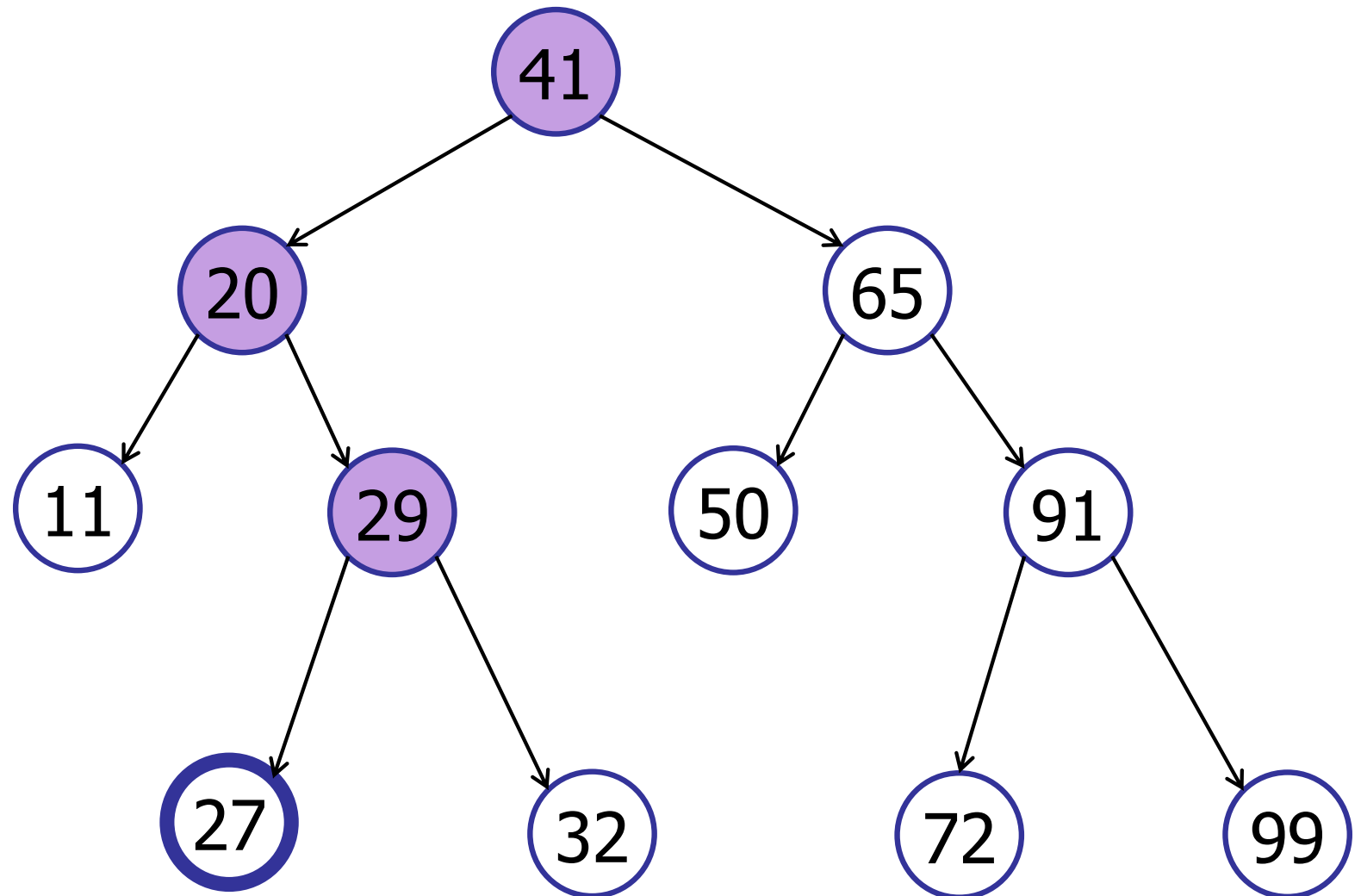
Binary Search Trees

insert(27)



Binary Search Trees

insert(27)



Binary Search Tree

What is the worst-case running time of **search** in a BST?

1. $O(1)$
2. $O(\log n)$
3. $O(n)$
4. $O(n^2)$
5. $O(n^3)$
6. $O(2^n)$

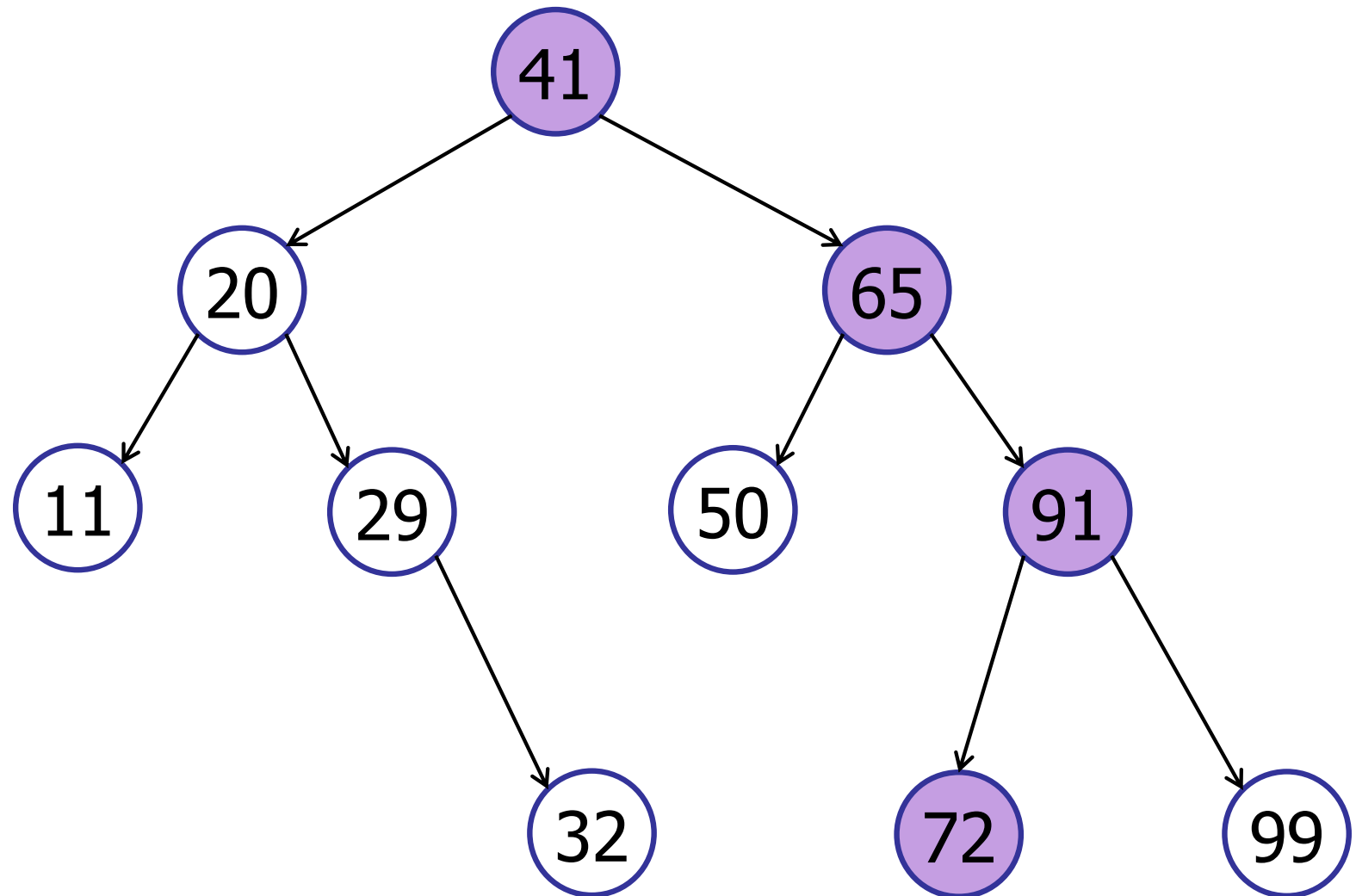
Binary Search Tree

What is the worst-case running time of **search** in a BST?

1. $O(1)$
2. $O(\log n)$
- ✓ 3. $O(n)$
4. $O(n^2)$
5. $O(n^3)$
6. $O(2^n)$

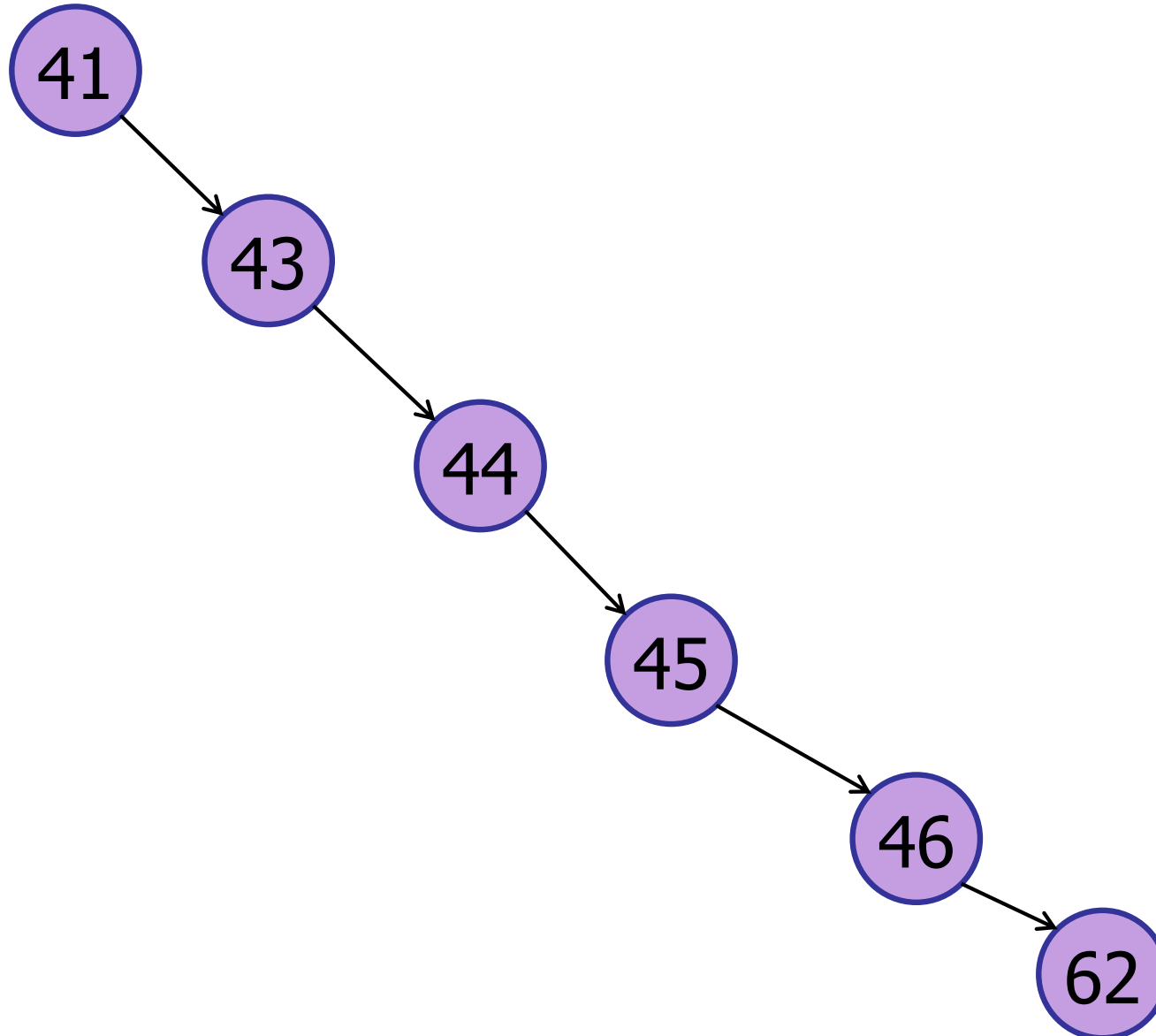
Binary Search Trees

search(72) : $O(h)$



Binary Search Trees

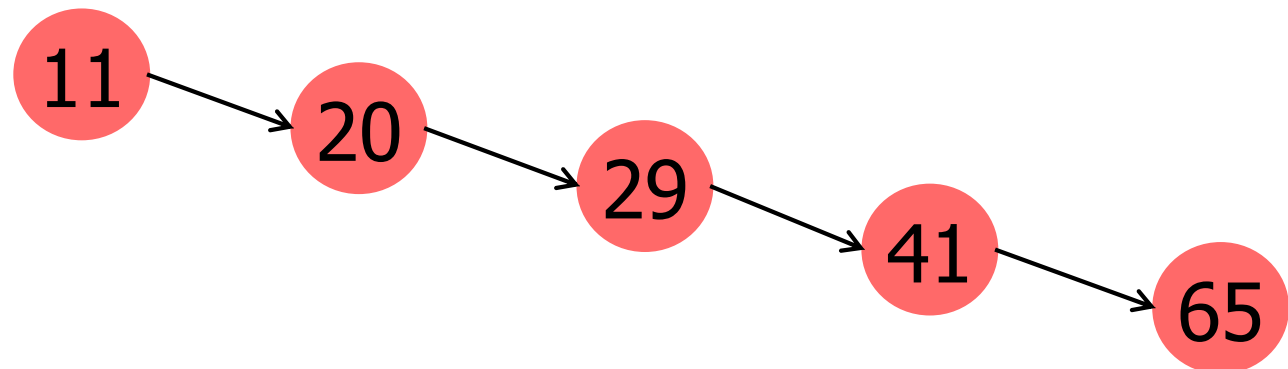
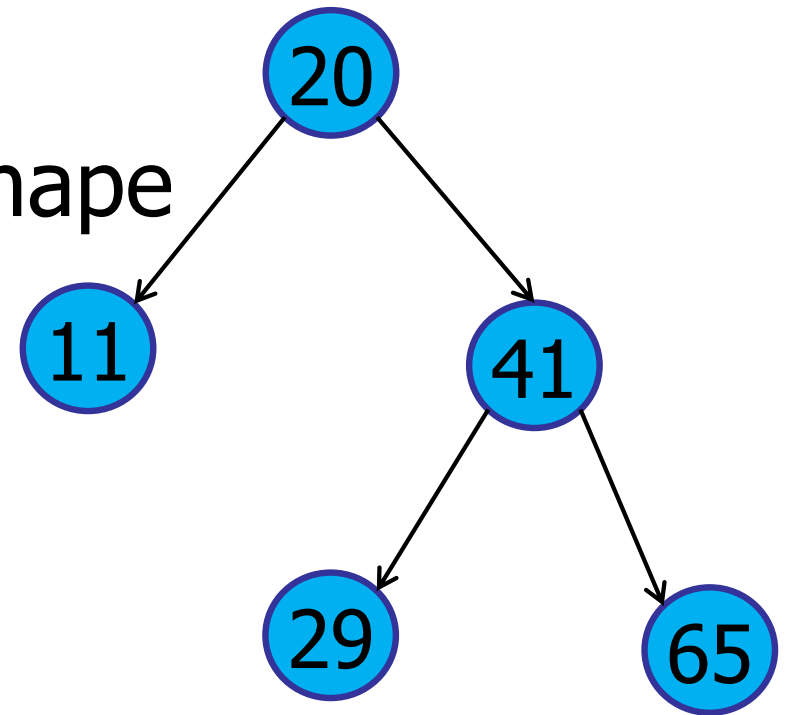
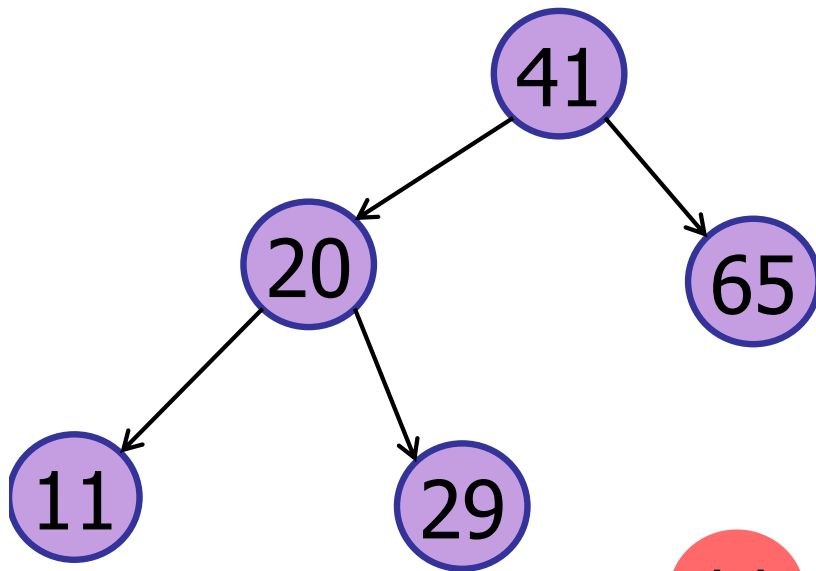
search(72) : $O(\text{height})$



Tree Shape

Trees come in many shapes

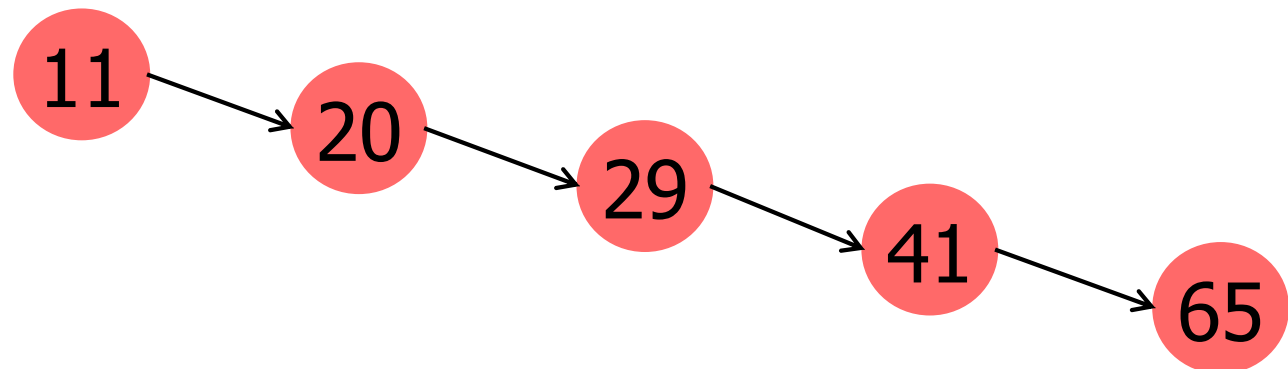
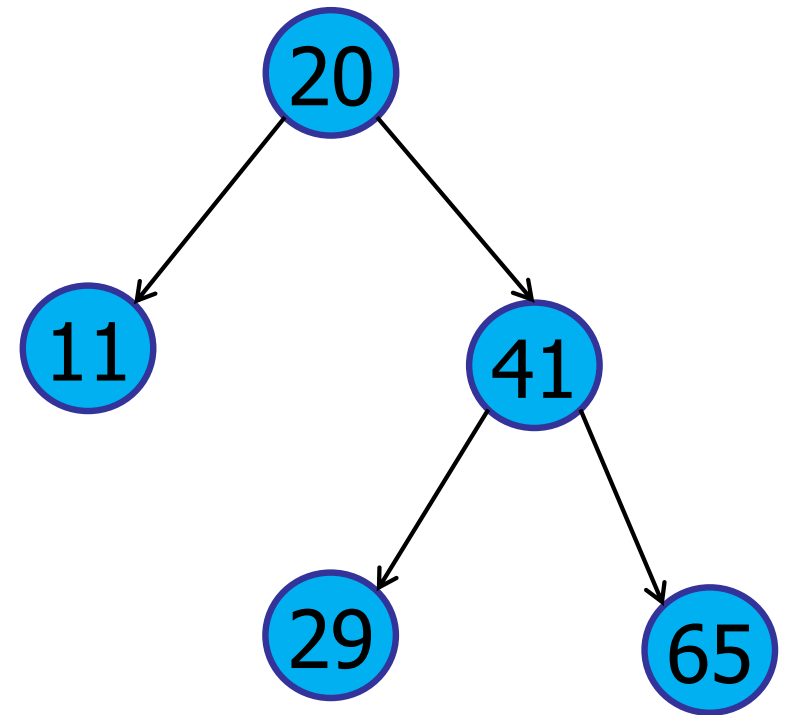
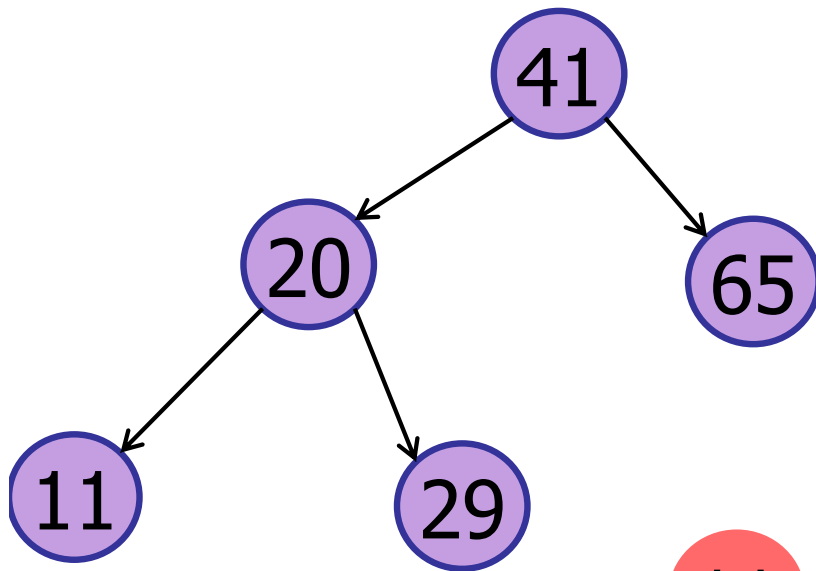
- same keys \neq same shape
- performance depends on shape



Tree Shape

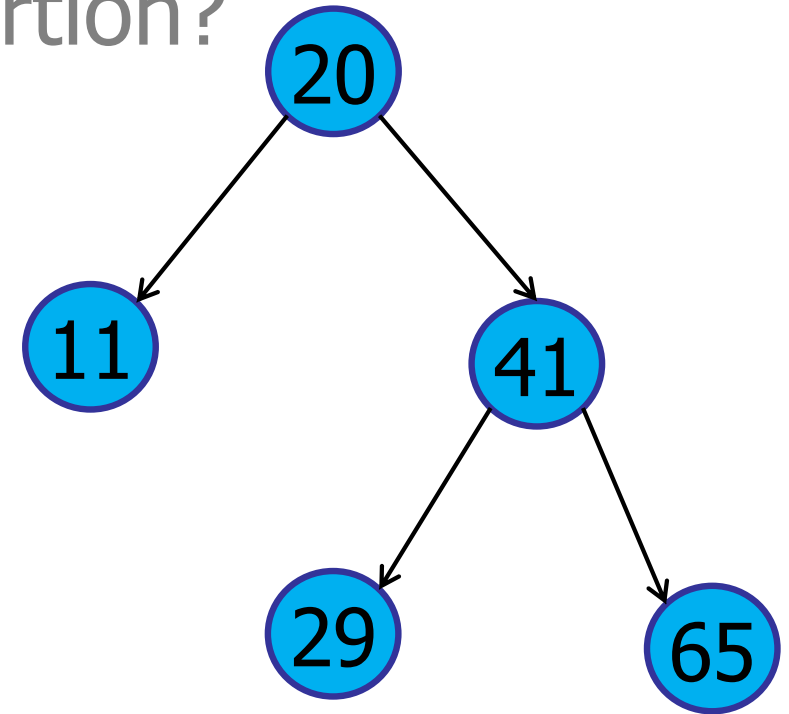
What determines shape?

- Order of insertion



What was the order of insertion?

1. 11, 20, 29, 41, 65
2. 20, 11, 41, 29, 65
3. 11, 20, 41, 29, 65
4. 65, 41, 29, 20, 11
5. Impossible to tell.

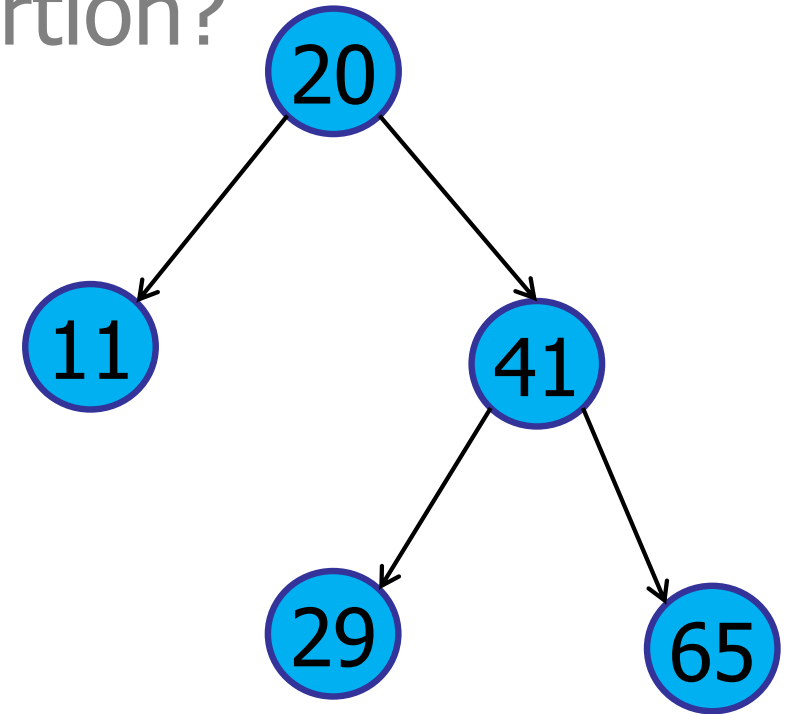


ARCHIPELAGO

is open

What was the order of insertion?

1. 11, 20, 29, 41, 65
- ✓ 2. 20, 11, 41, 29, 65
3. 11, 20, 41, 29, 65
4. 65, 41, 29, 20, 11
5. Impossible to tell.



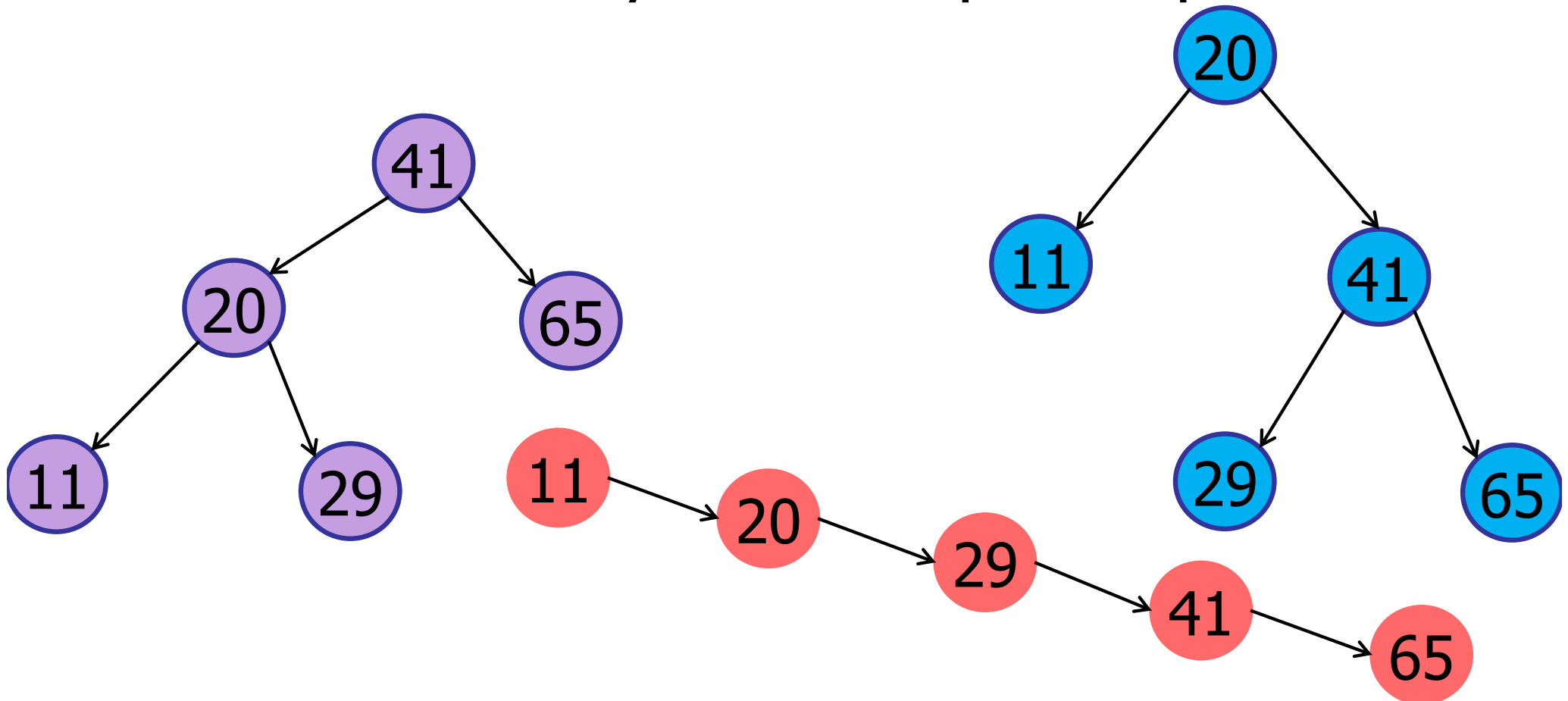
Tree Shape

ARCHIPELAGO

is open

What determines shape?

- Order of insertion
- Does each order yield a unique shape?



Tree Shape

What determines shape?

- Order of insertion
- Does each order yield a unique shape? NO
 - # ways to order insertions: $n!$
 - # shapes of a binary tree? $\sim 4^n$



Catalan Numbers

Tree Shape

Catalan Numbers

$C_n = \#$ of trees with $(n+1)$ nodes

$C_n = \#$ expressions with n pairs of matched parentheses

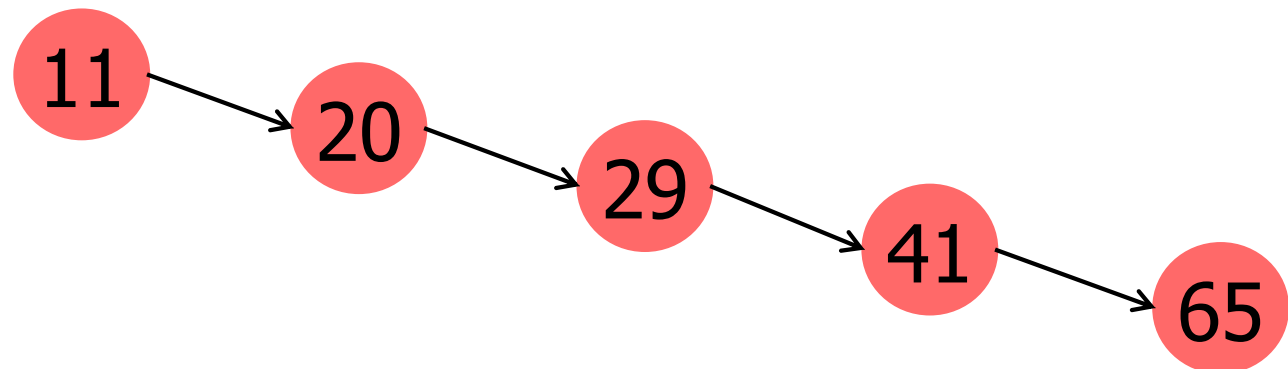
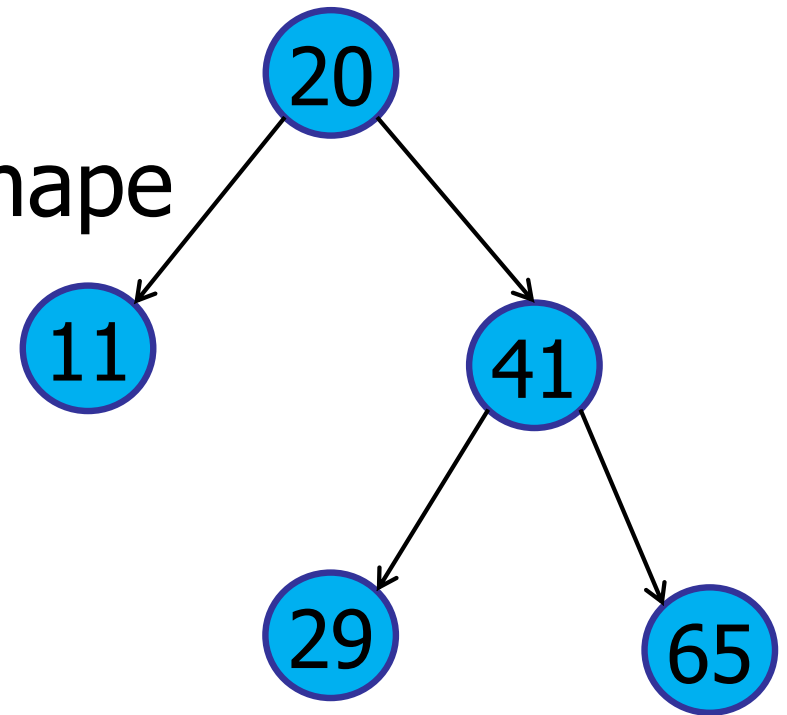
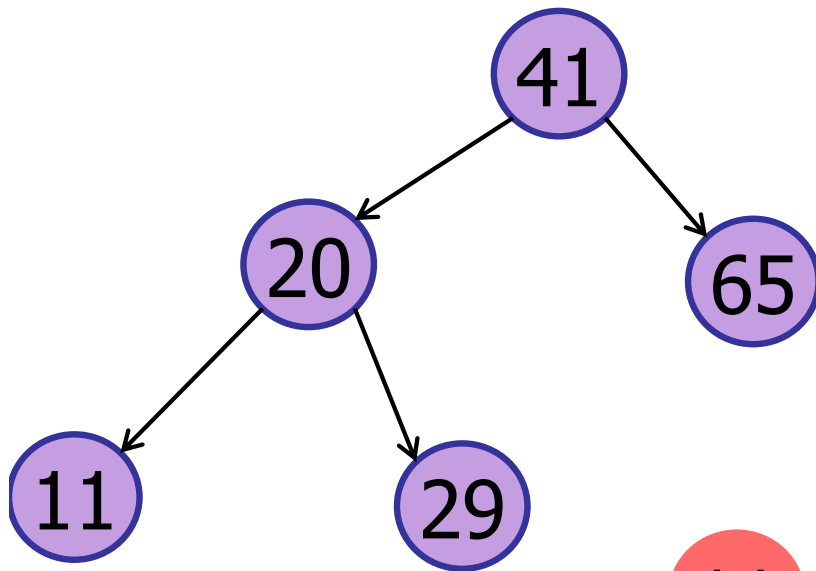
$((()))$ $()(())$ $((()())$ $((())())$ $()()()$

Puzzle: why are these the same?

Tree Shape

Trees come in many shapes

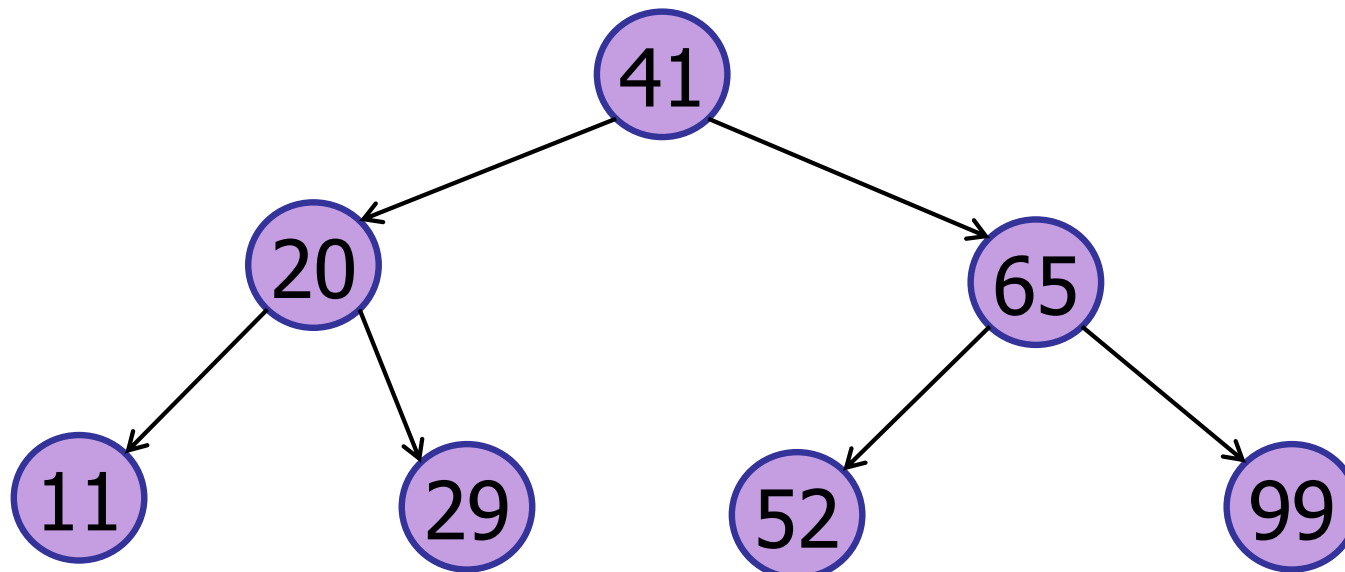
- same keys \neq same shape
- performance depends on shape



Tree Shape

Trees come in many shapes

- same keys \neq same shape
- performance depends on shape
- insert keys in a *random* order \Rightarrow balanced



Binary Search Trees

1. Terminology and Definitions

2. Basic operations:

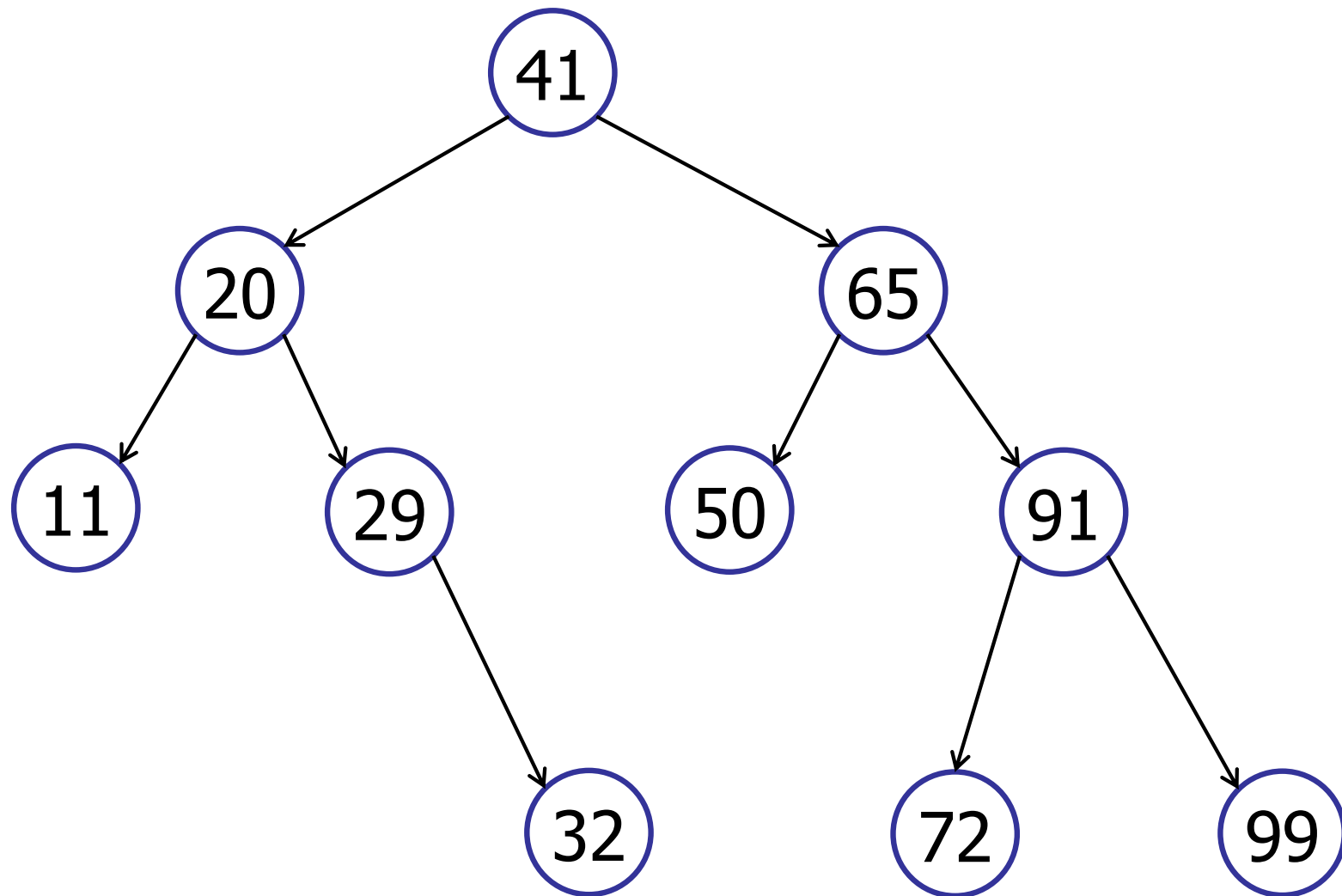
- height
- searchMin, searchMax
- search, insert

3. Traversals

- in-order, pre-order, post-order

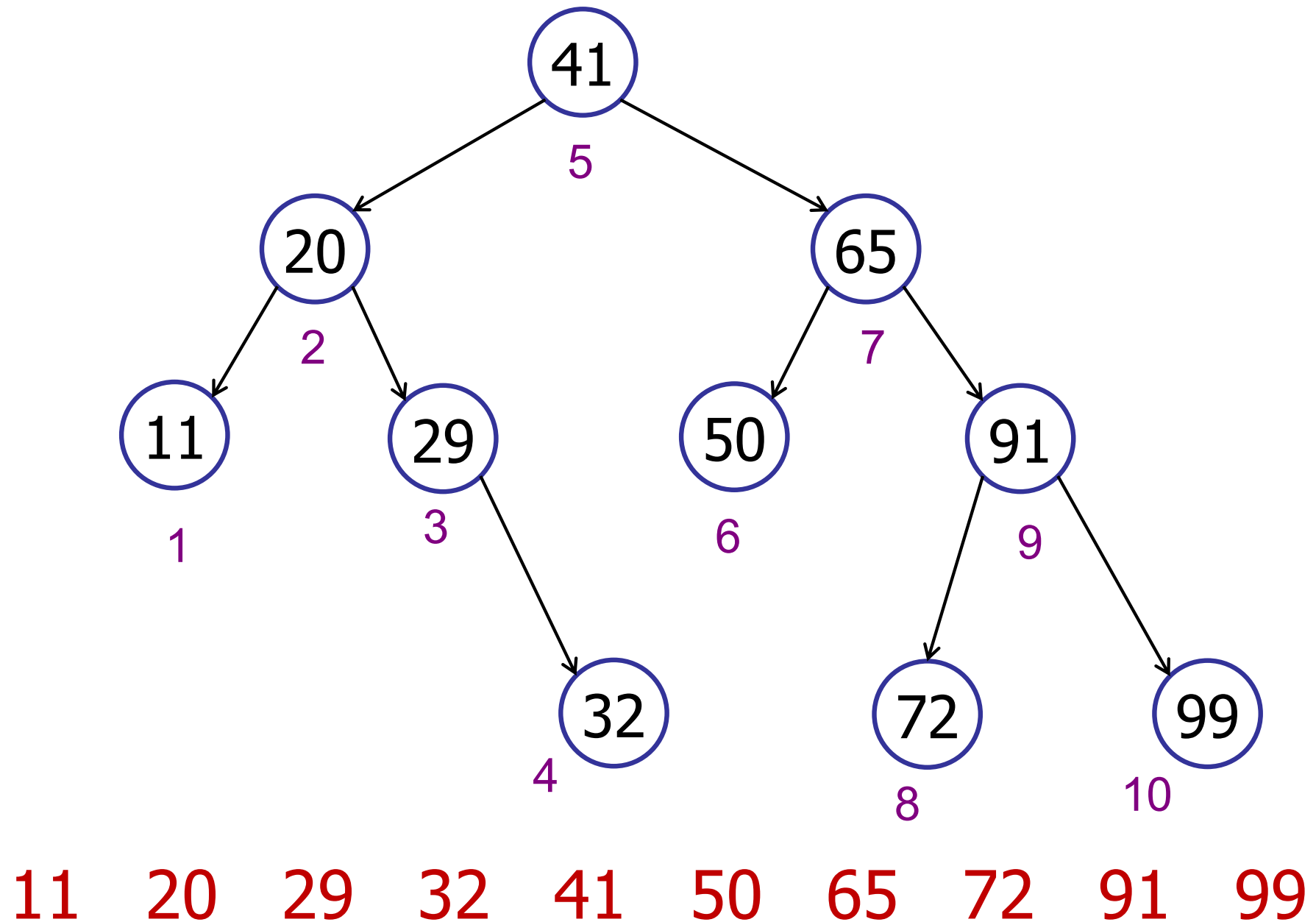
4. Other operations

Tree Traversal



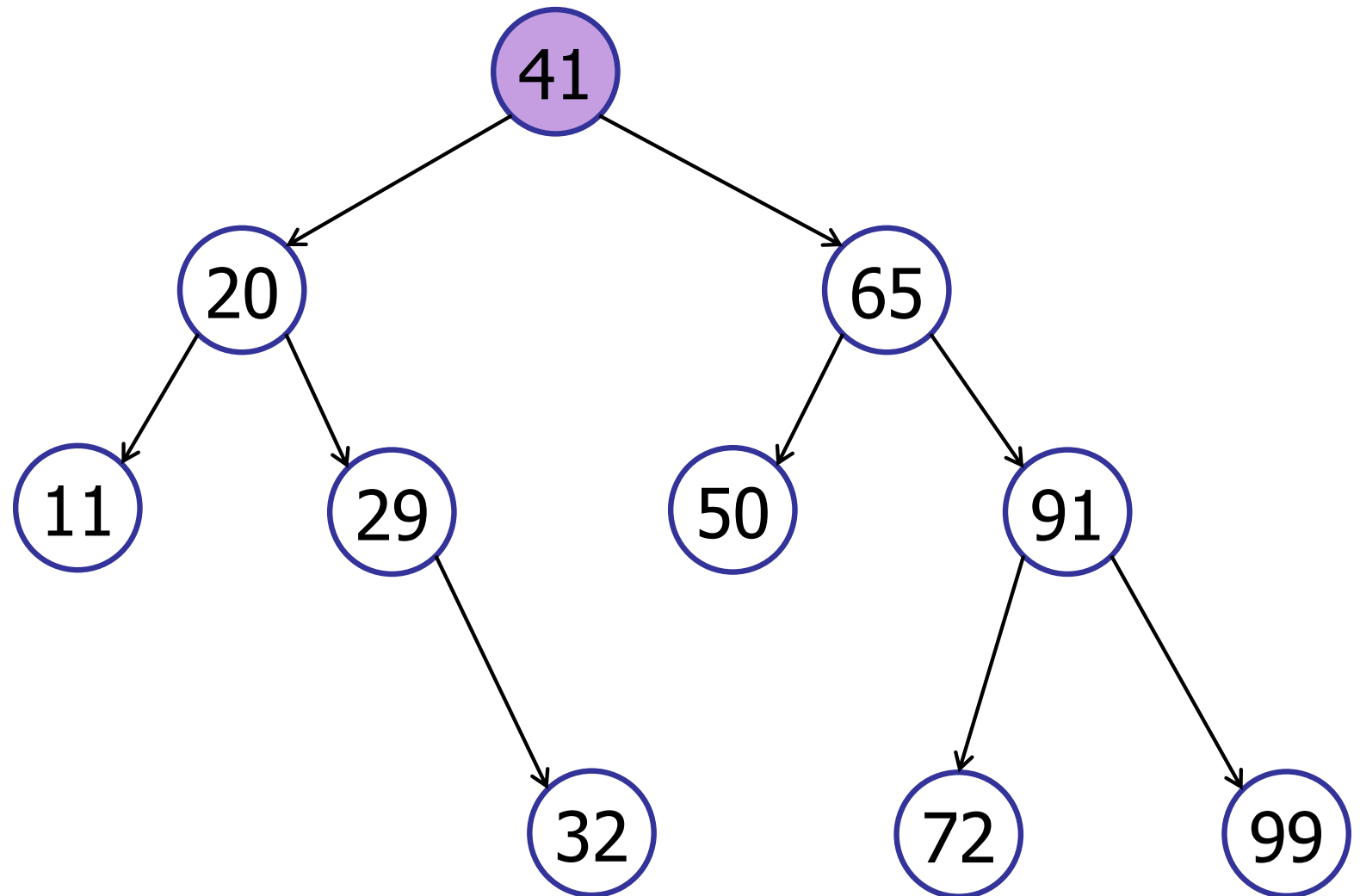
11 20 29 32 41 50 65 72 91 99

Tree Traversal



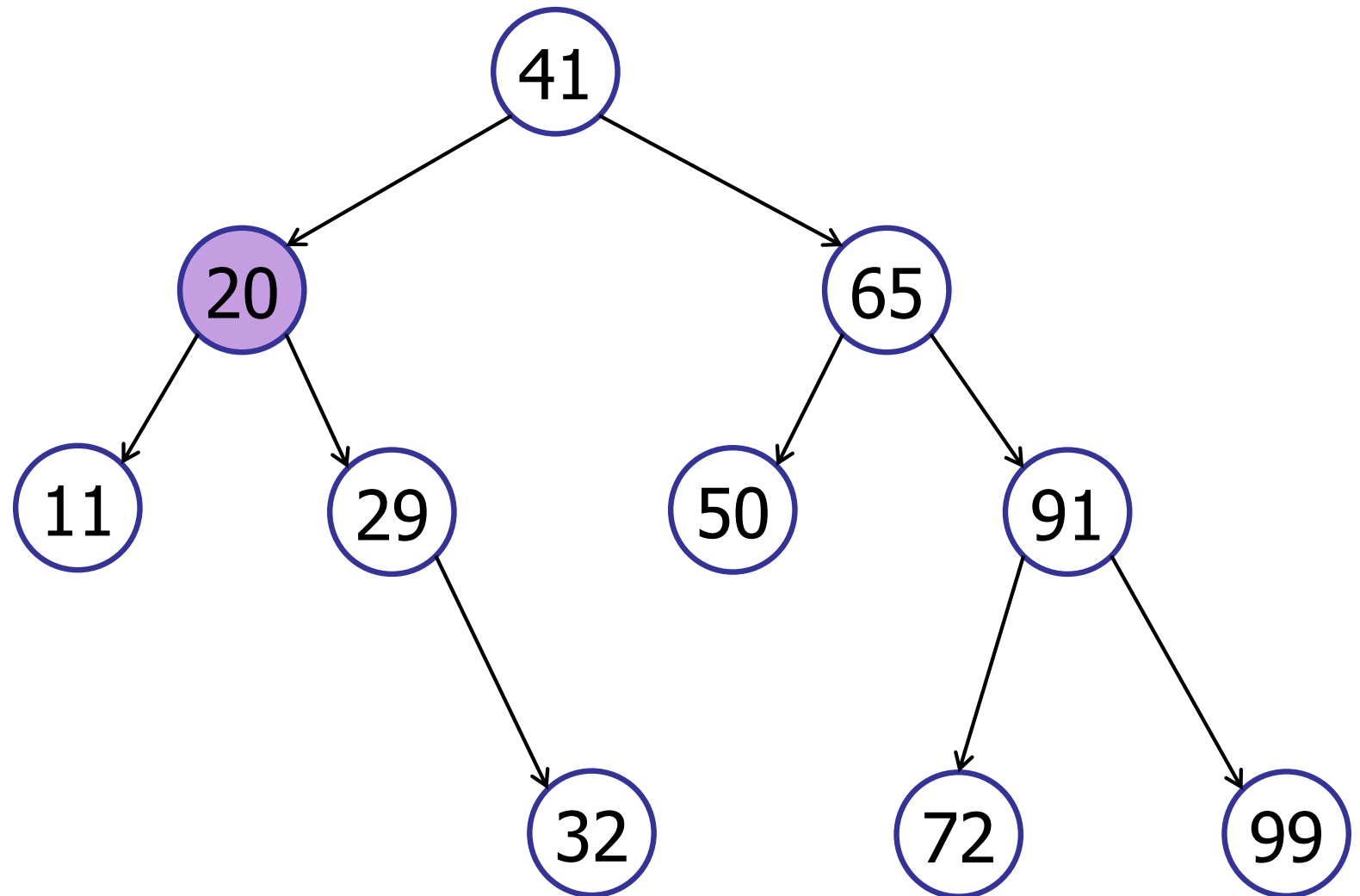
Tree Traversal

in-order-traversal



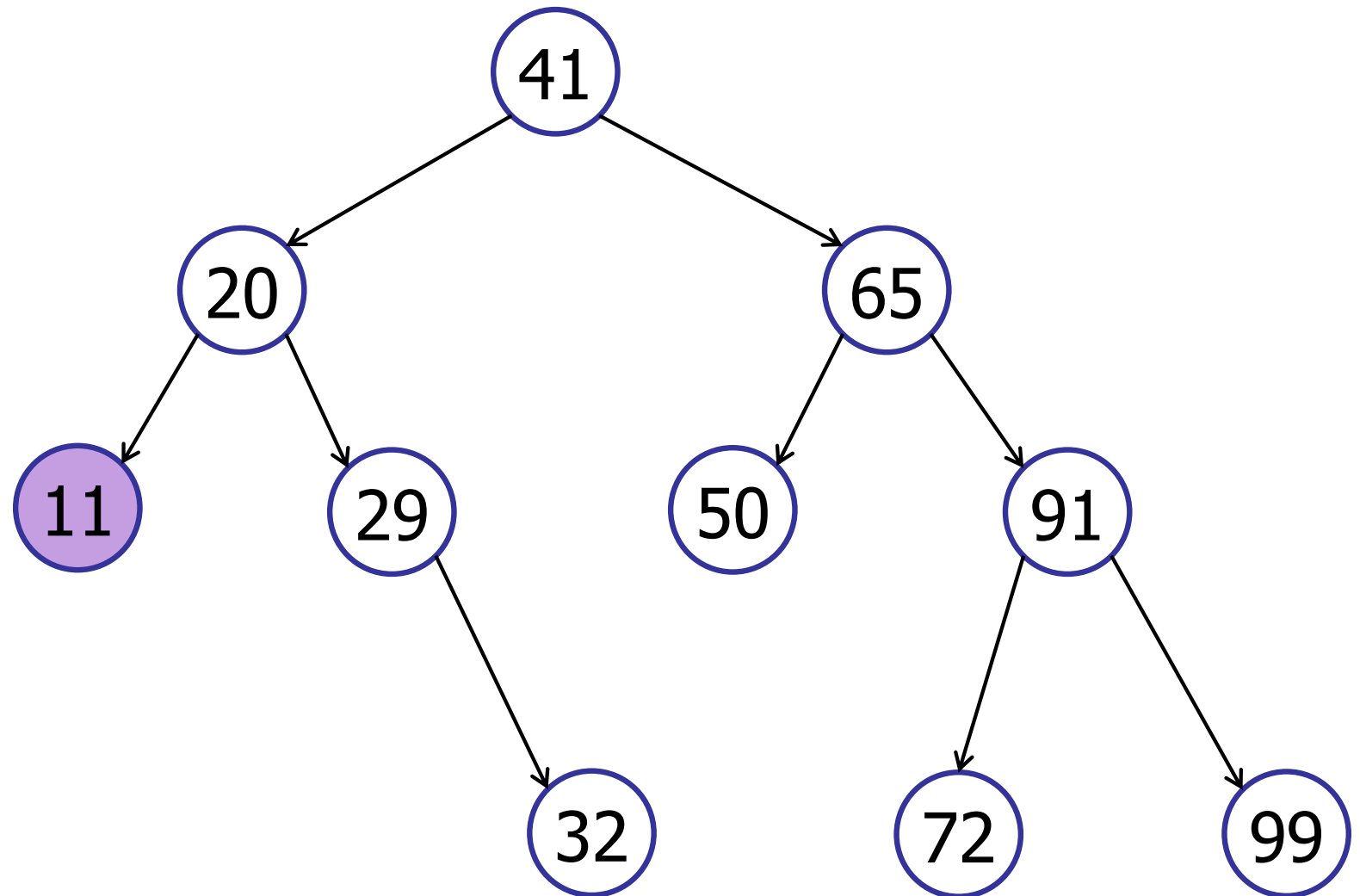
Tree Traversal

in-order-traversal



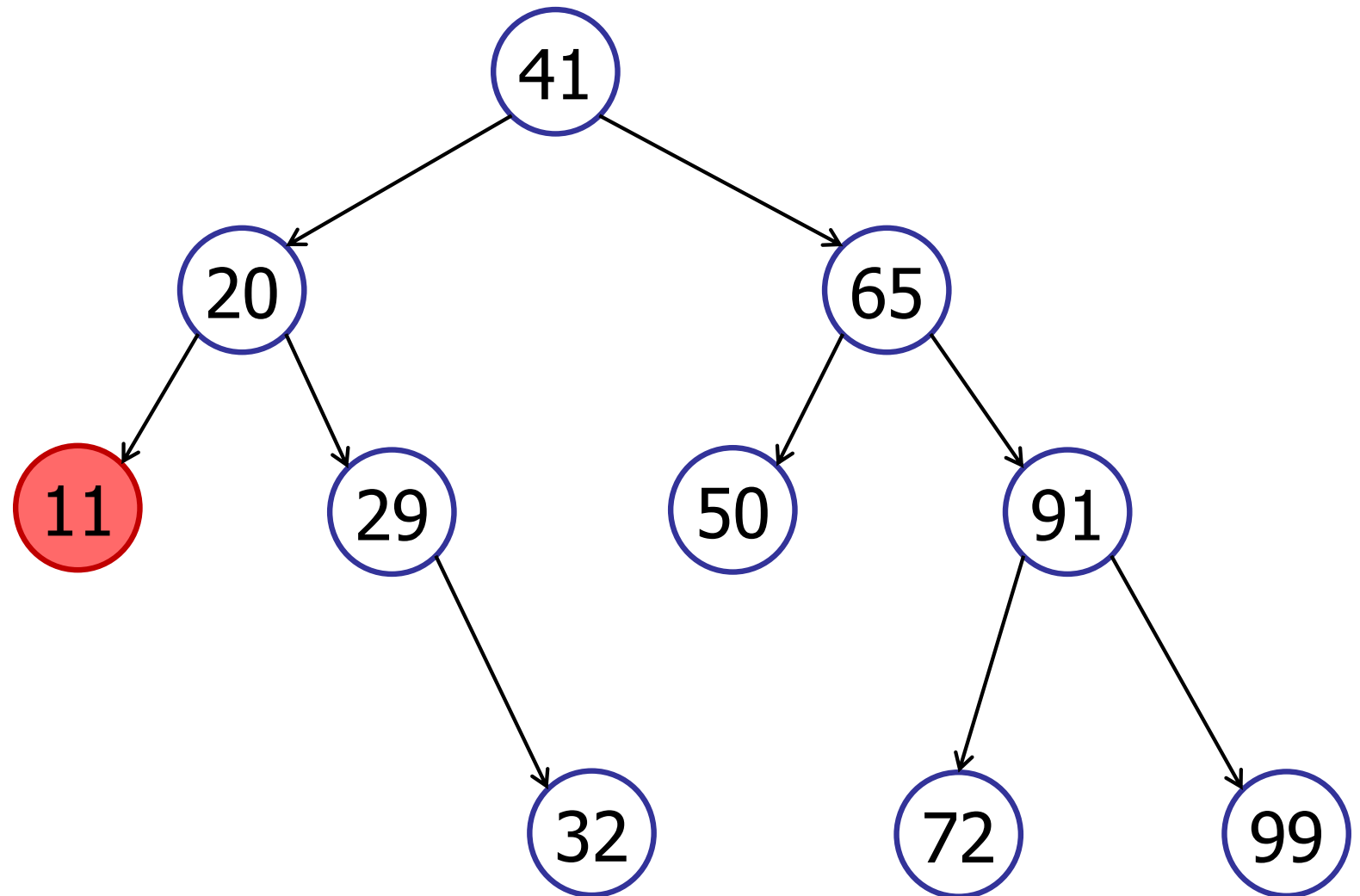
Tree Traversal

in-order-traversal



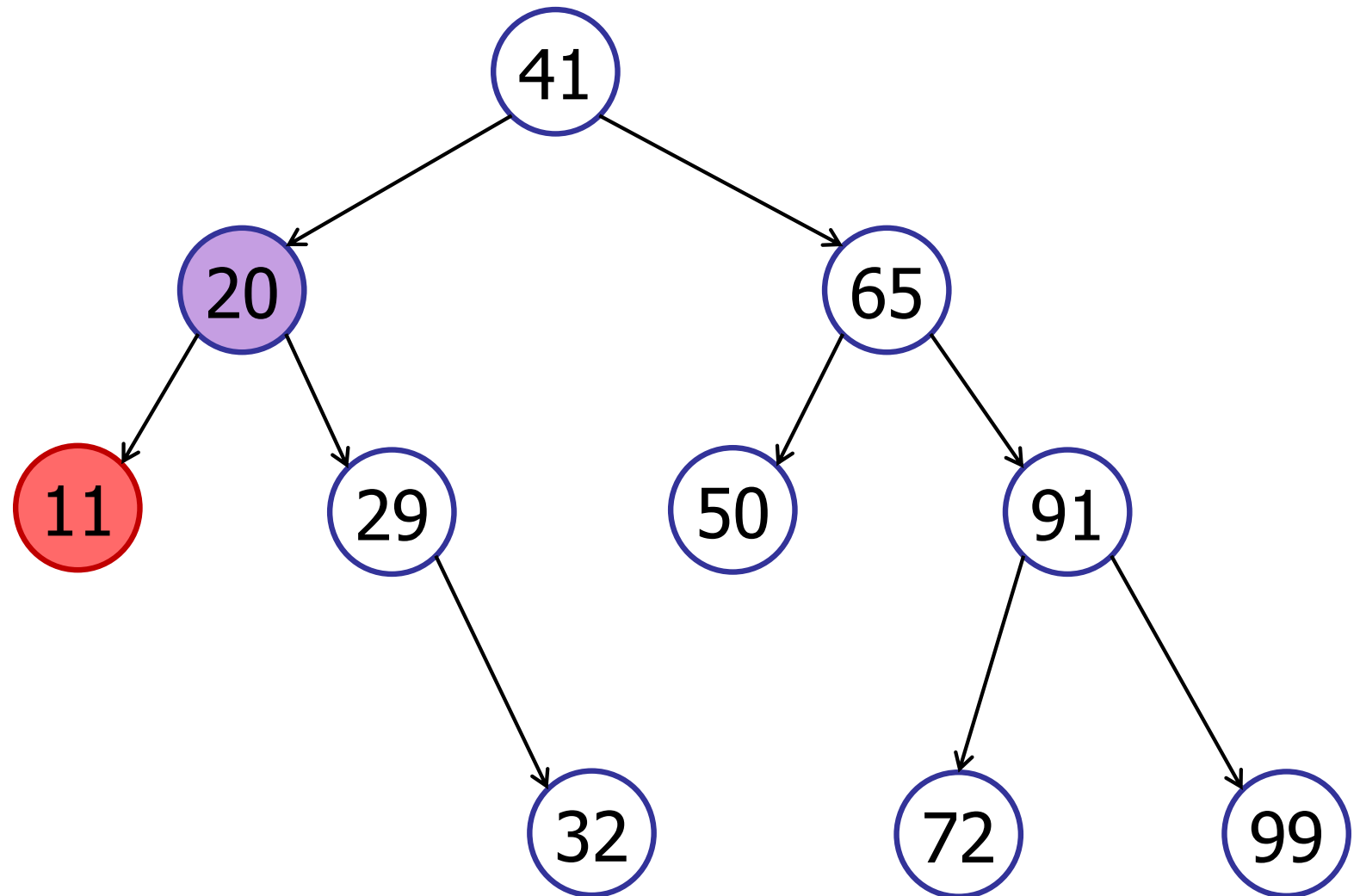
Tree Traversal

in-order-traversal



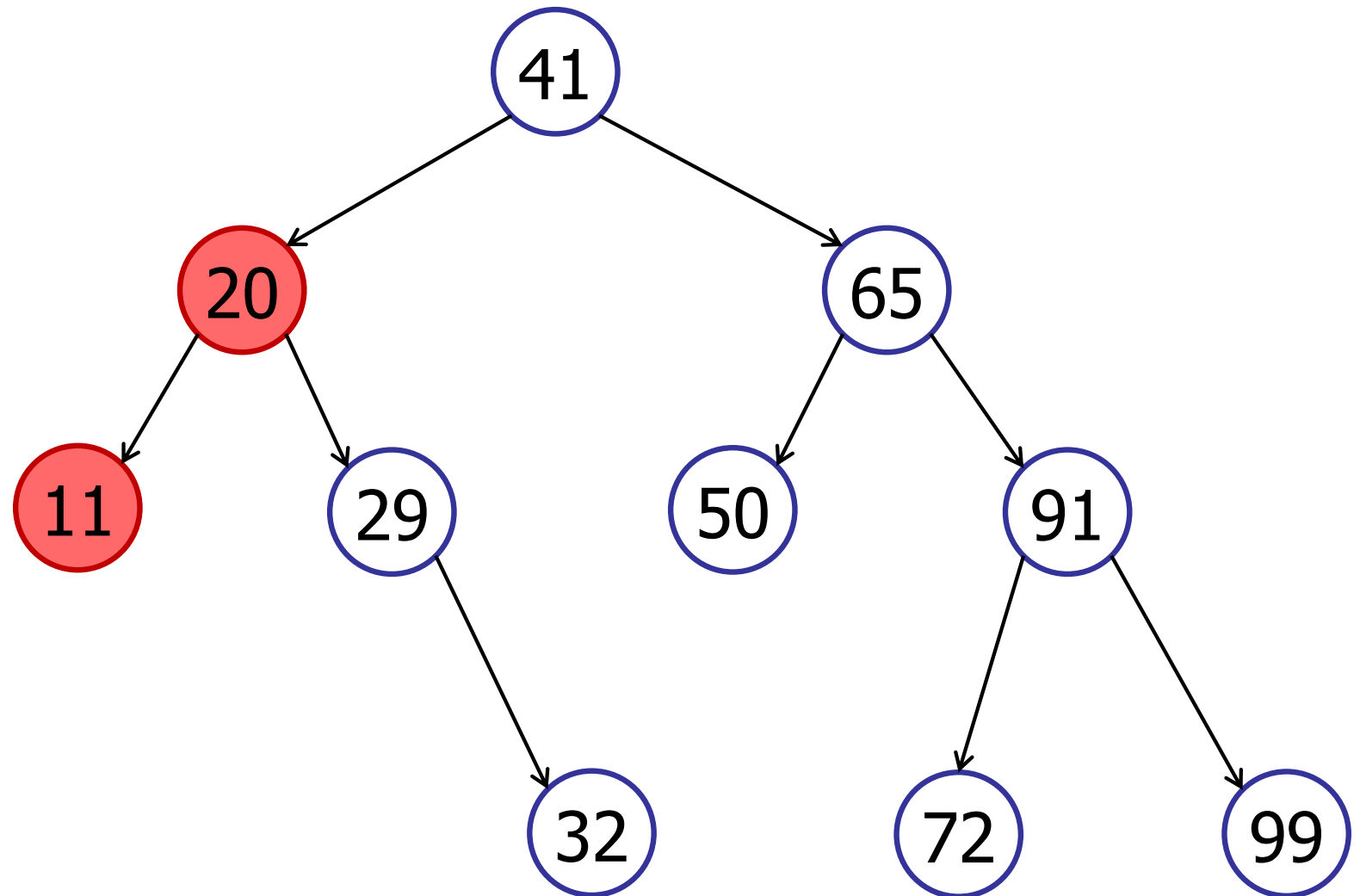
Tree Traversal

in-order-traversal



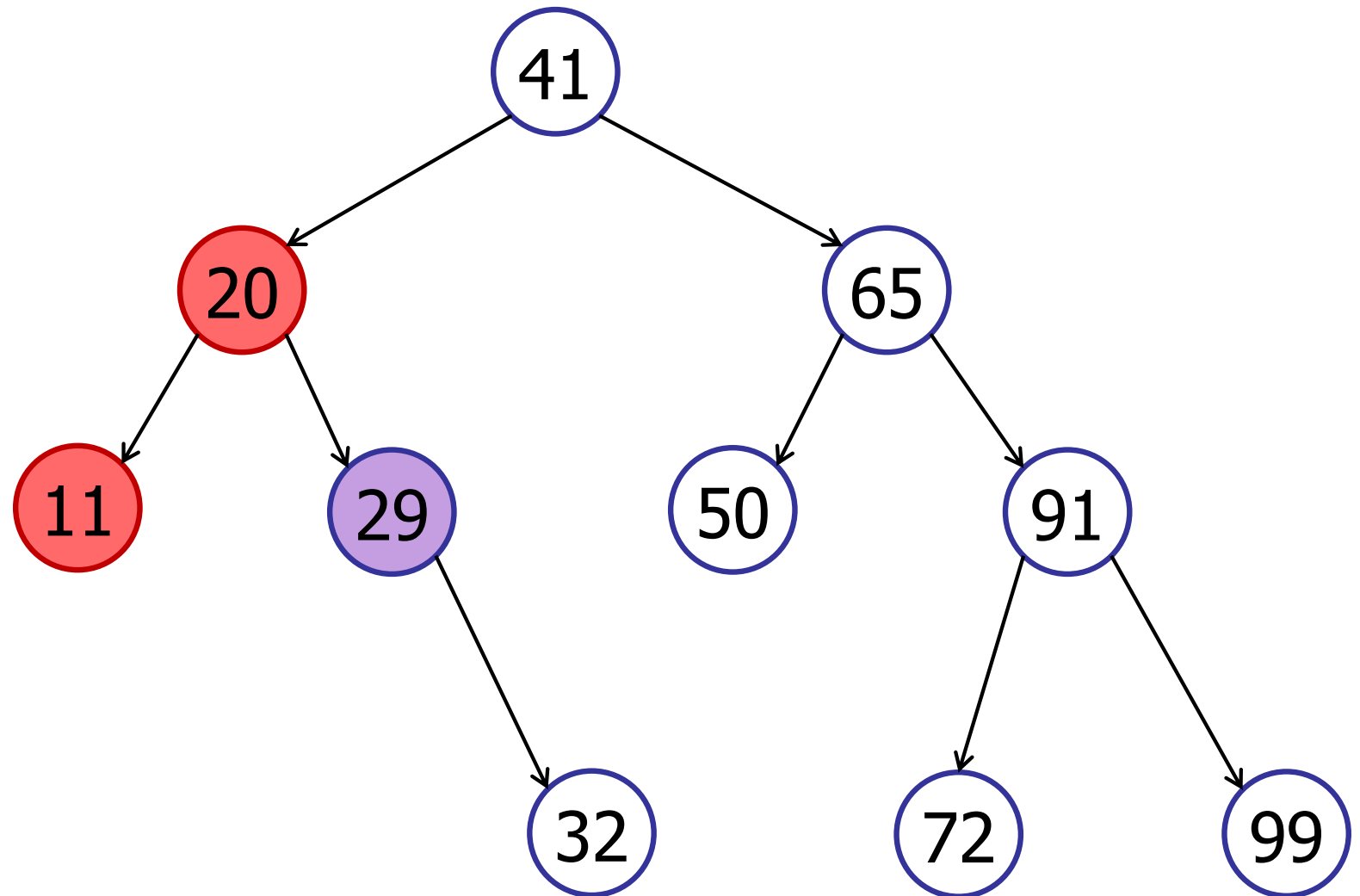
Tree Traversal

in-order-traversal



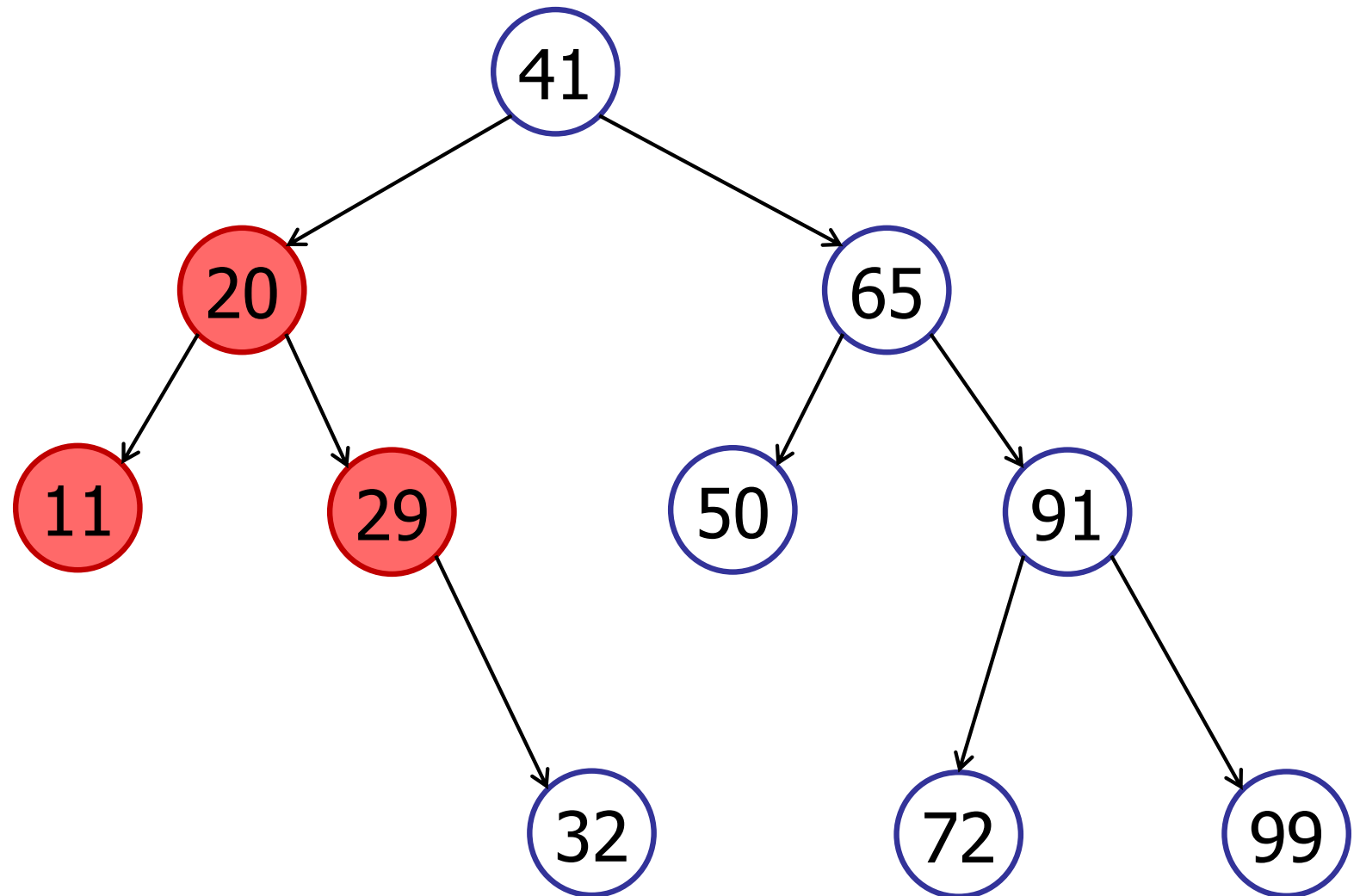
Tree Traversal

in-order-traversal



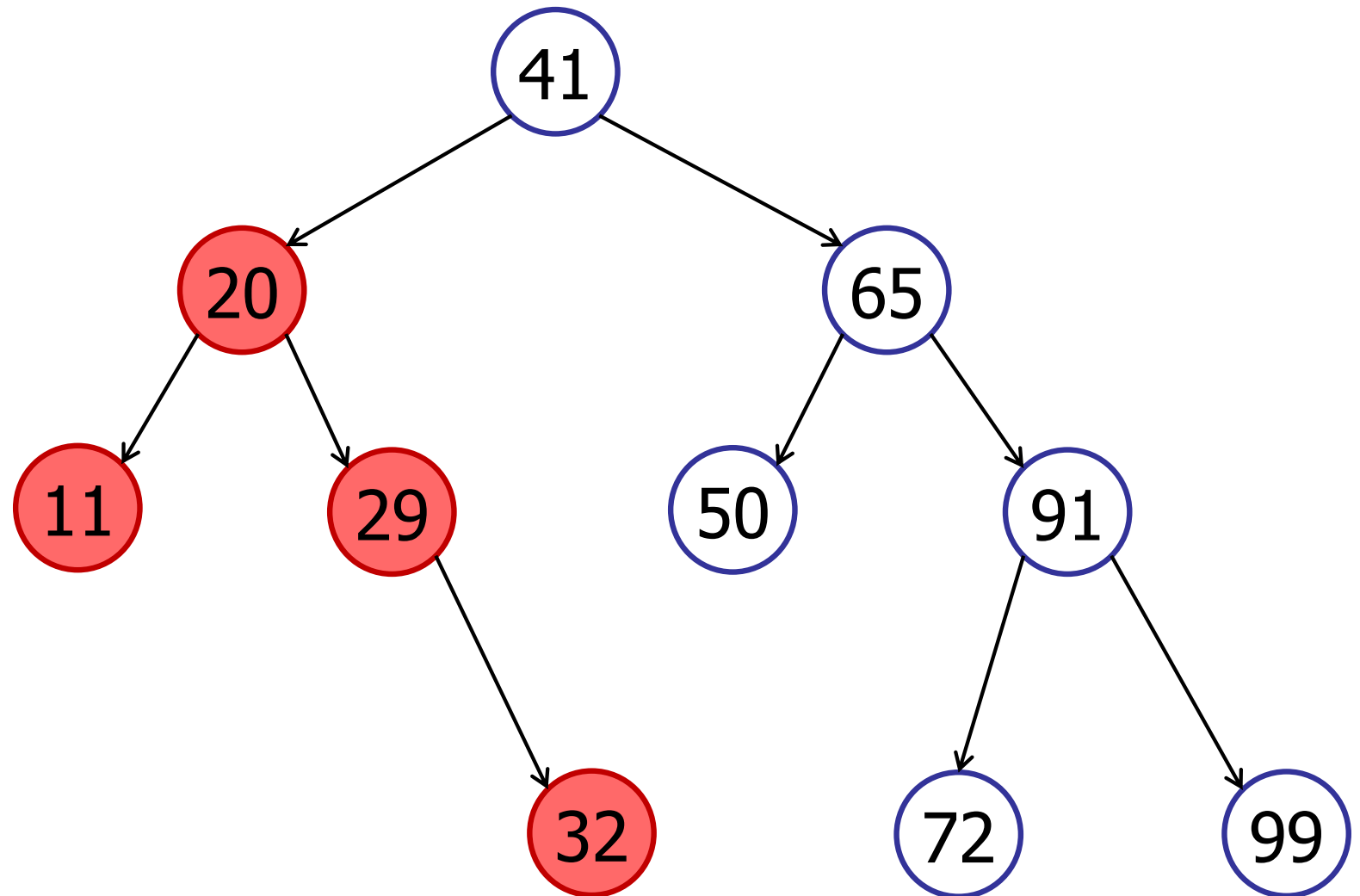
Tree Traversal

in-order-traversal



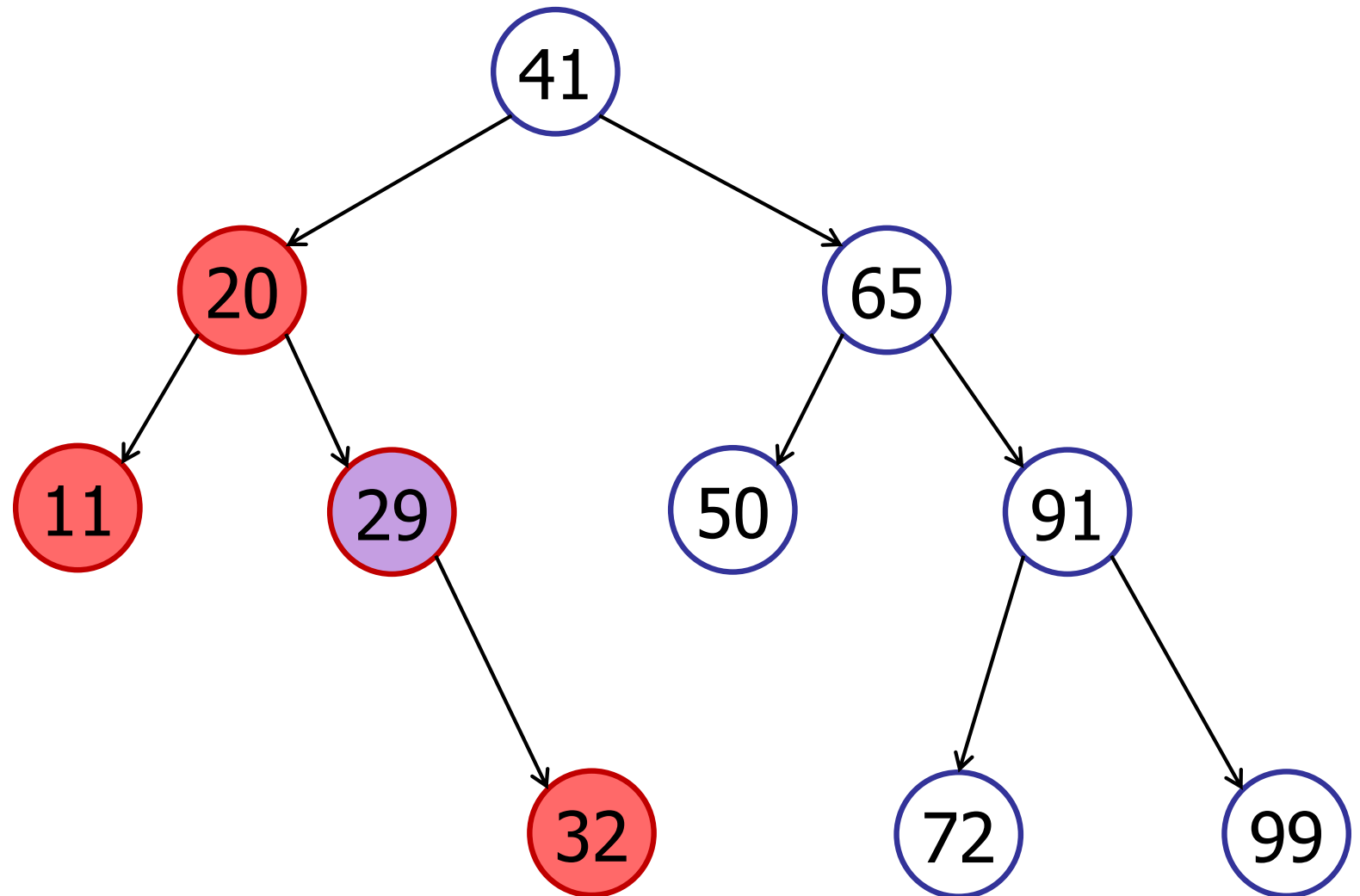
Tree Traversal

in-order-traversal



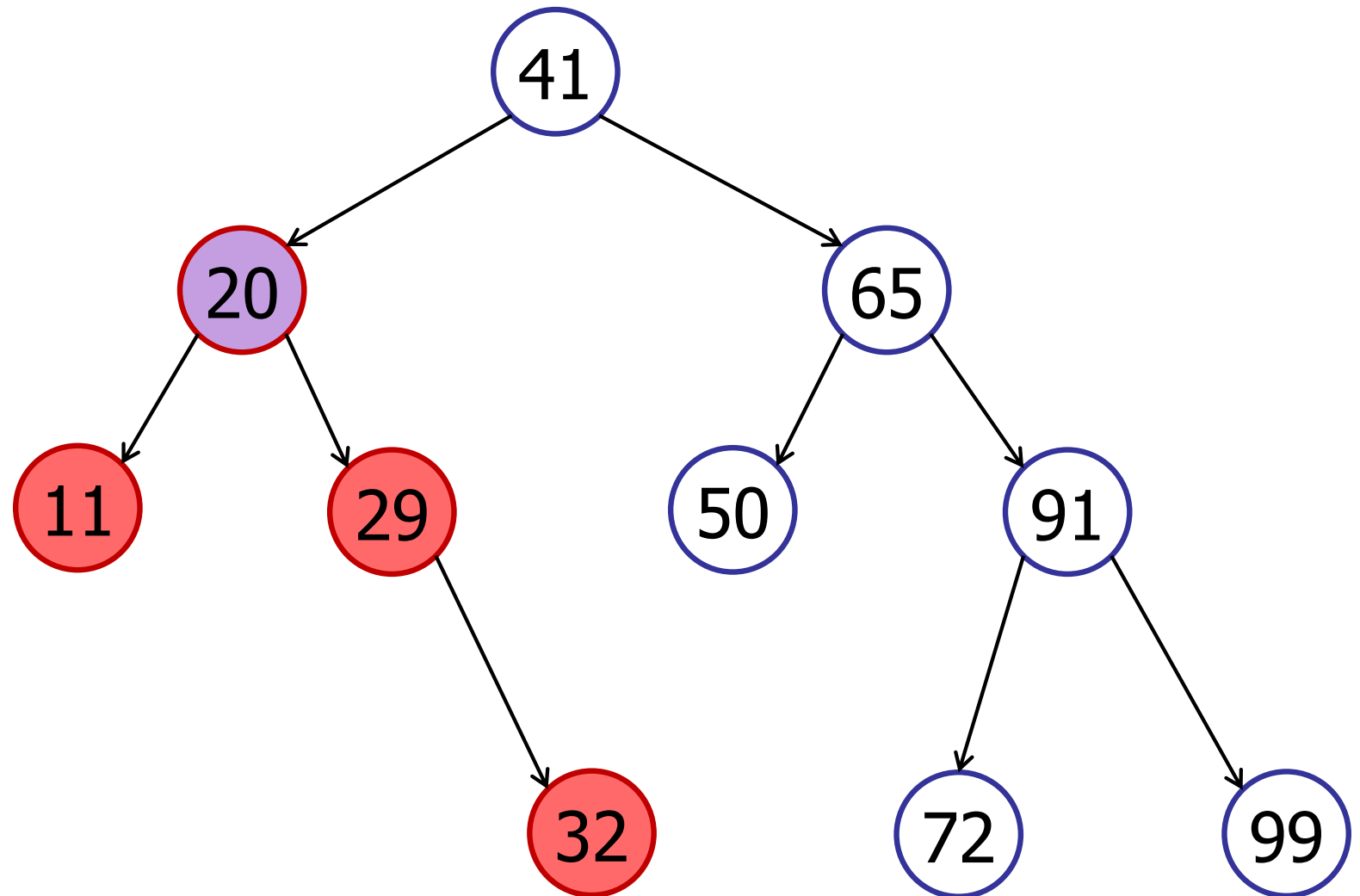
Tree Traversal

in-order-traversal



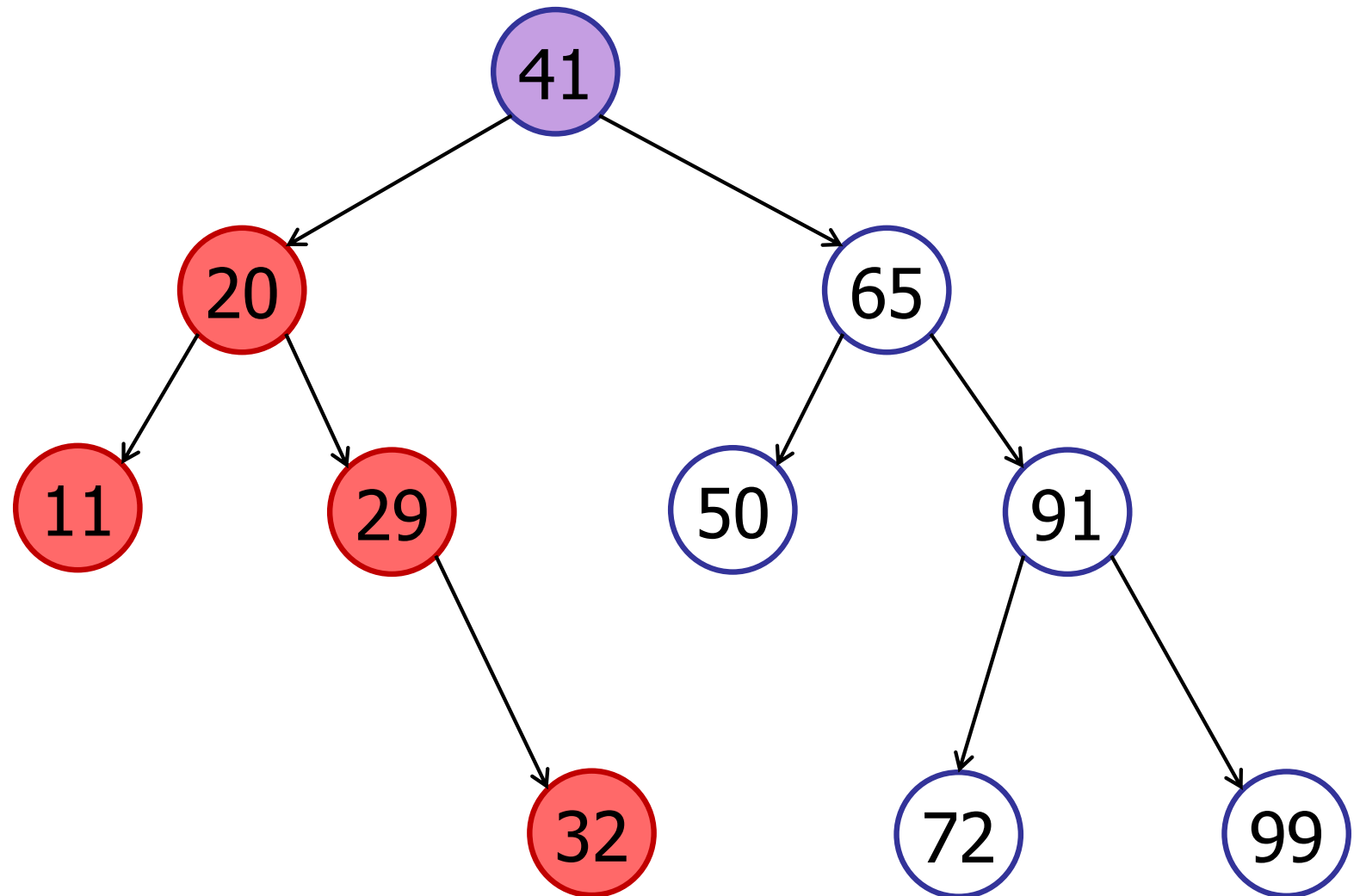
Tree Traversal

in-order-traversal



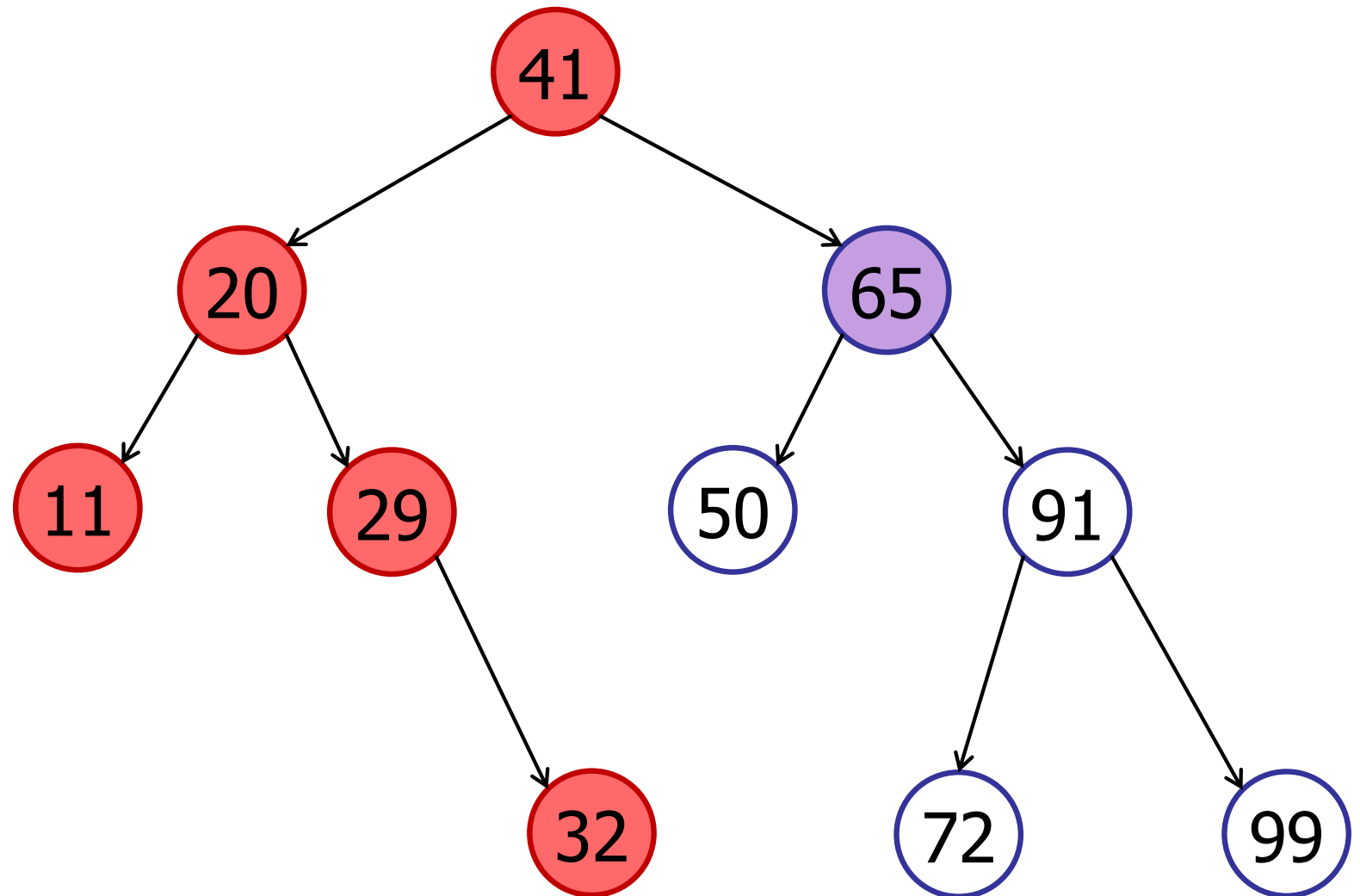
Tree Traversal

in-order-traversal



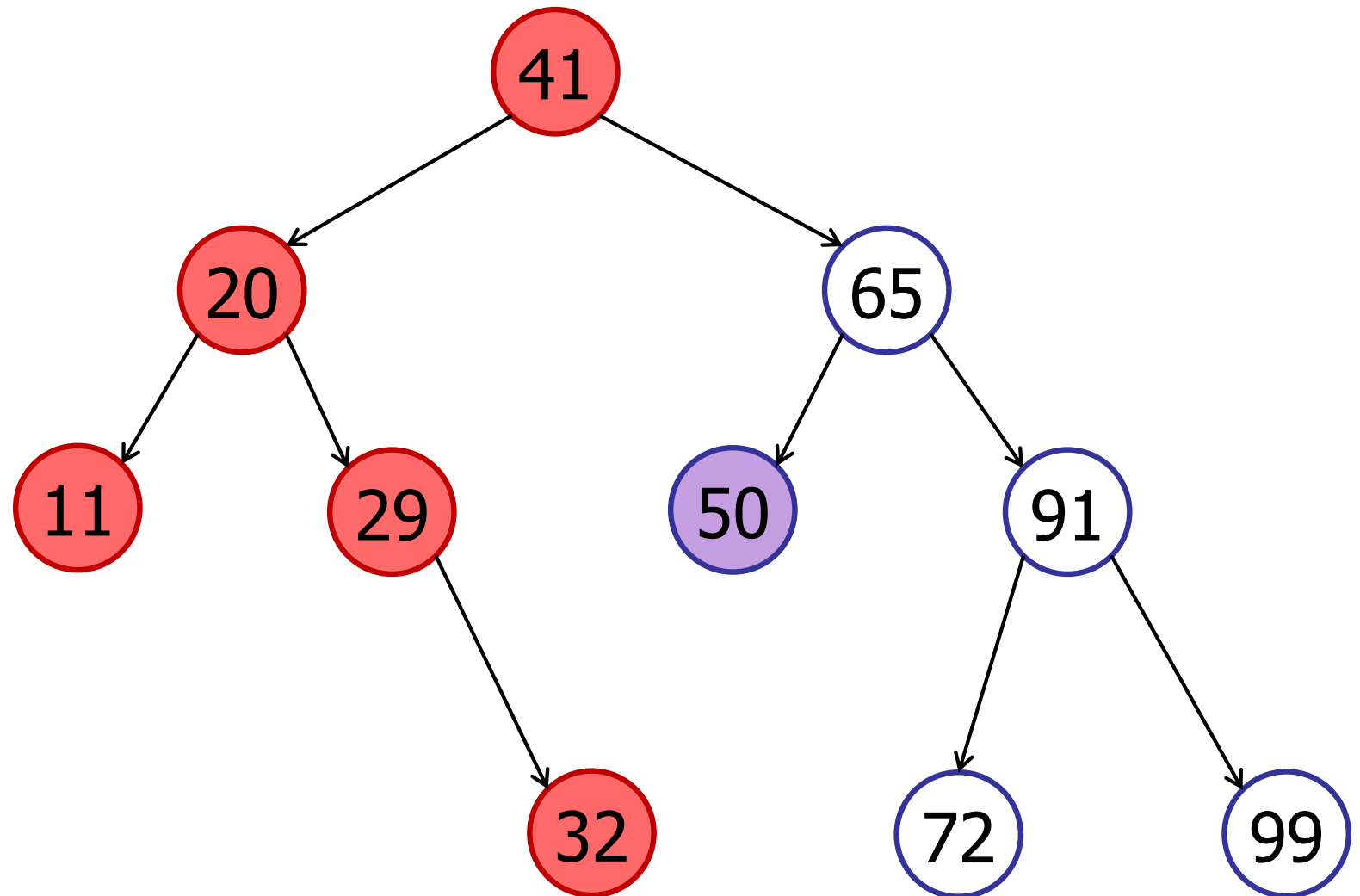
Tree Traversal

in-order-traversal



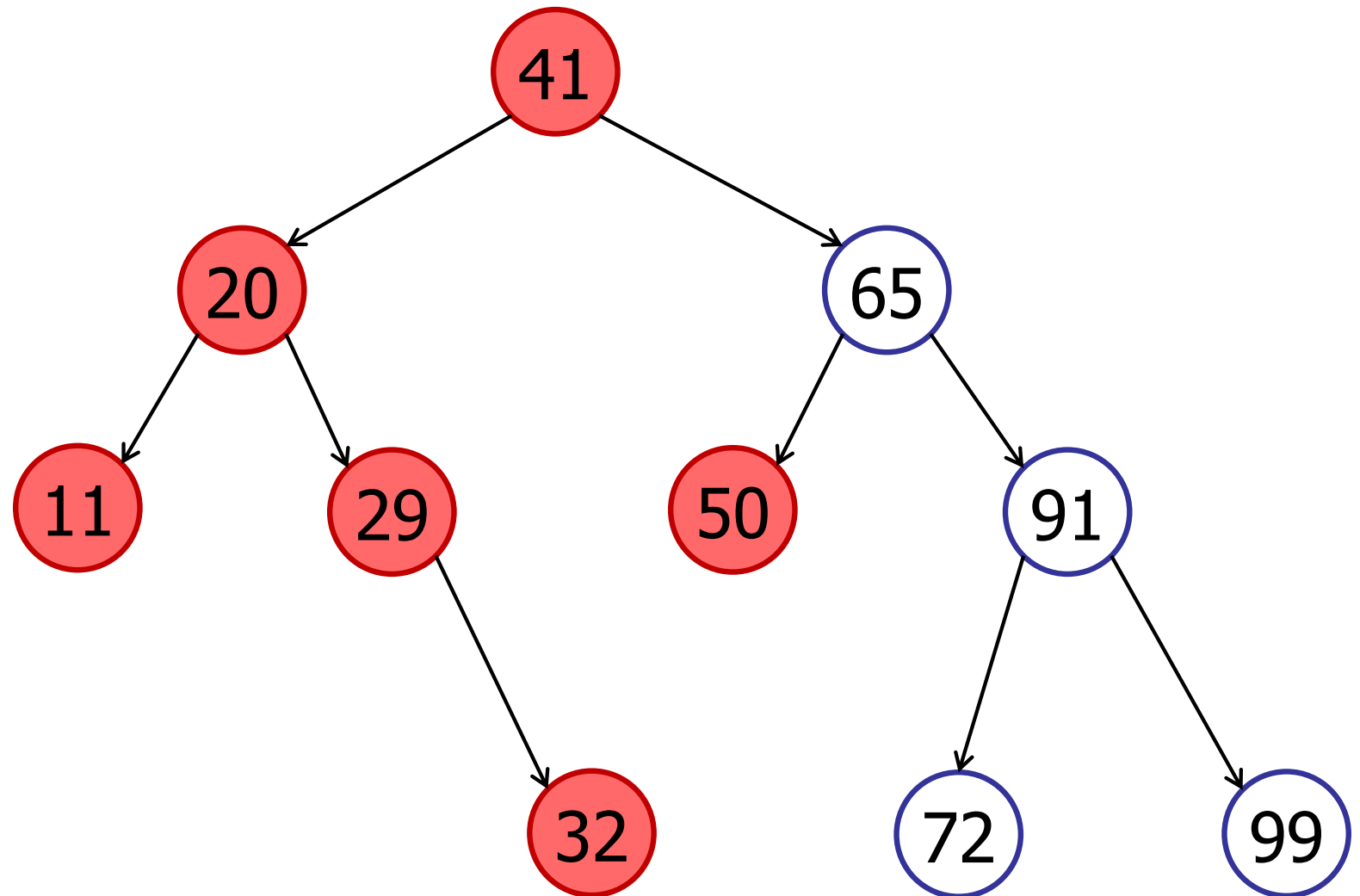
Tree Traversal

in-order-traversal



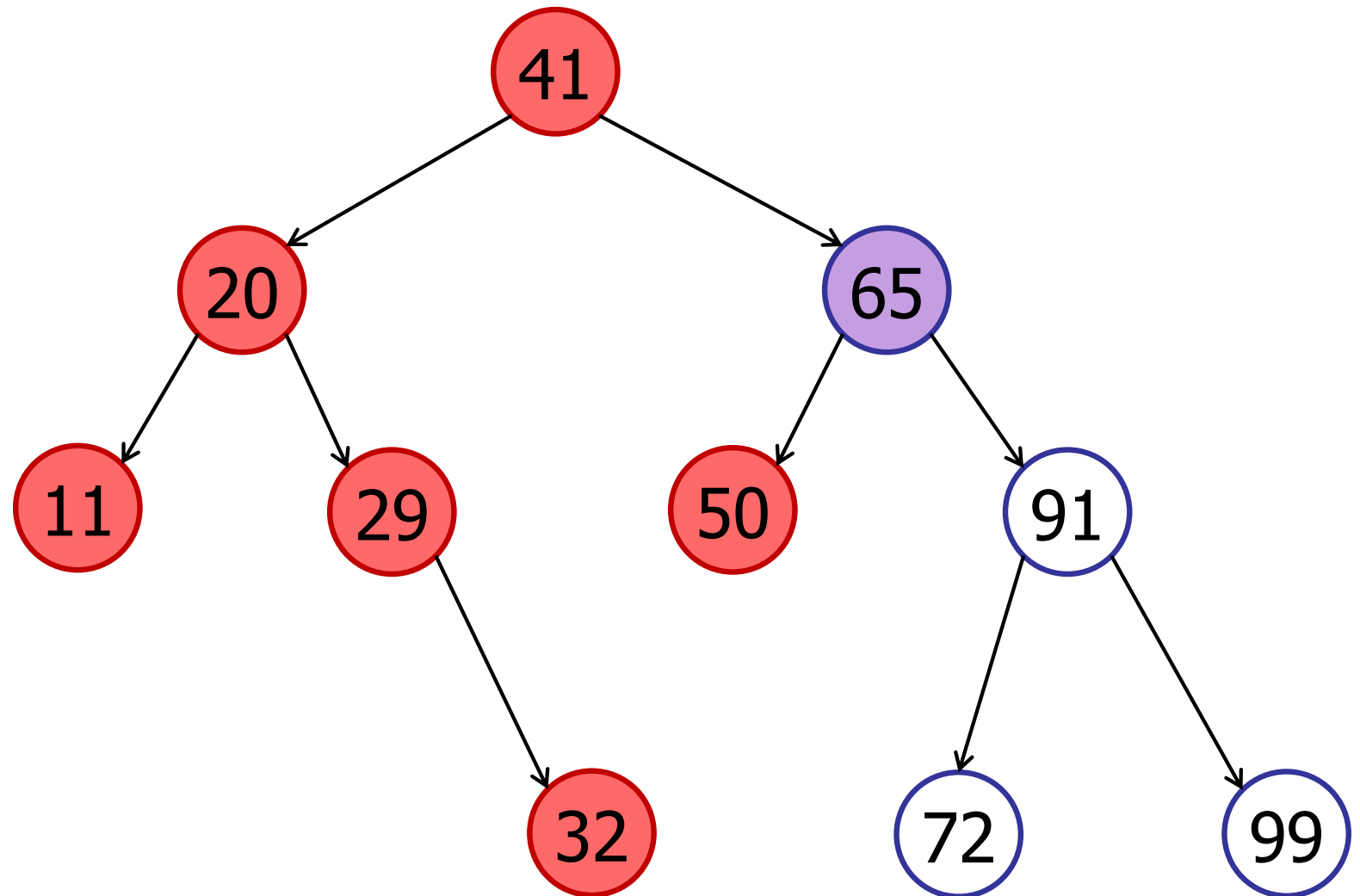
Tree Traversal

in-order-traversal



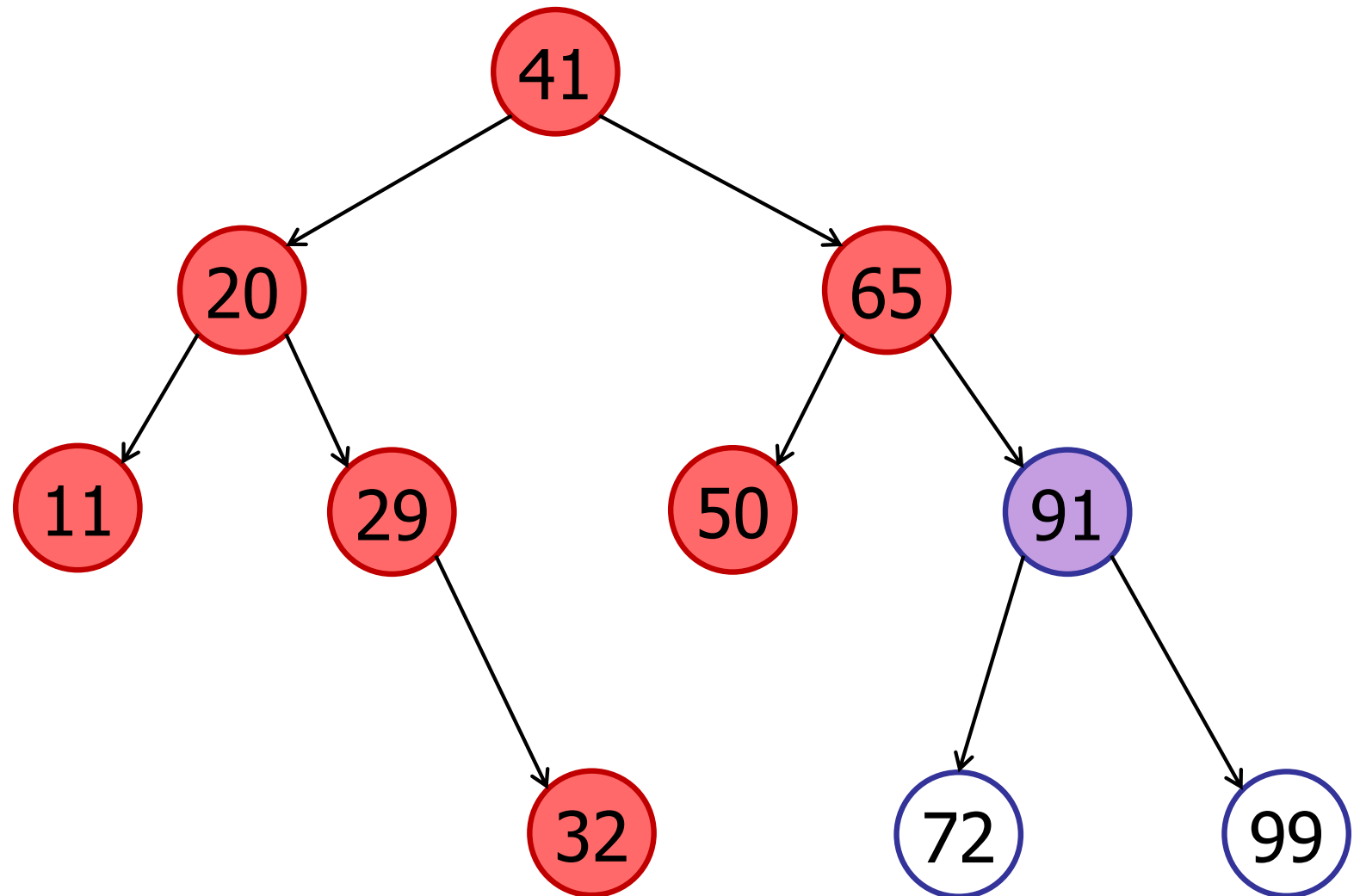
Tree Traversal

in-order-traversal



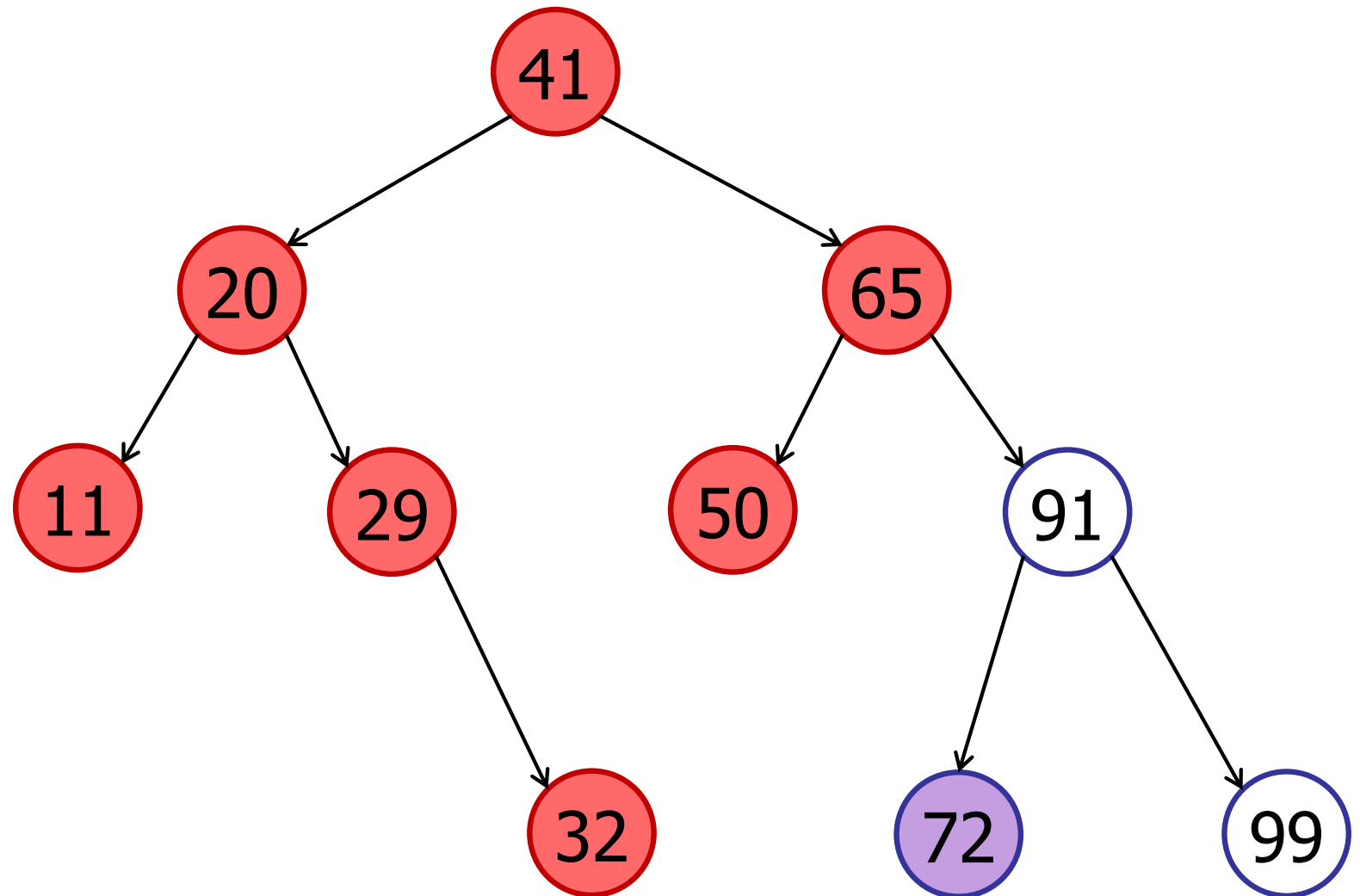
Tree Traversal

in-order-traversal



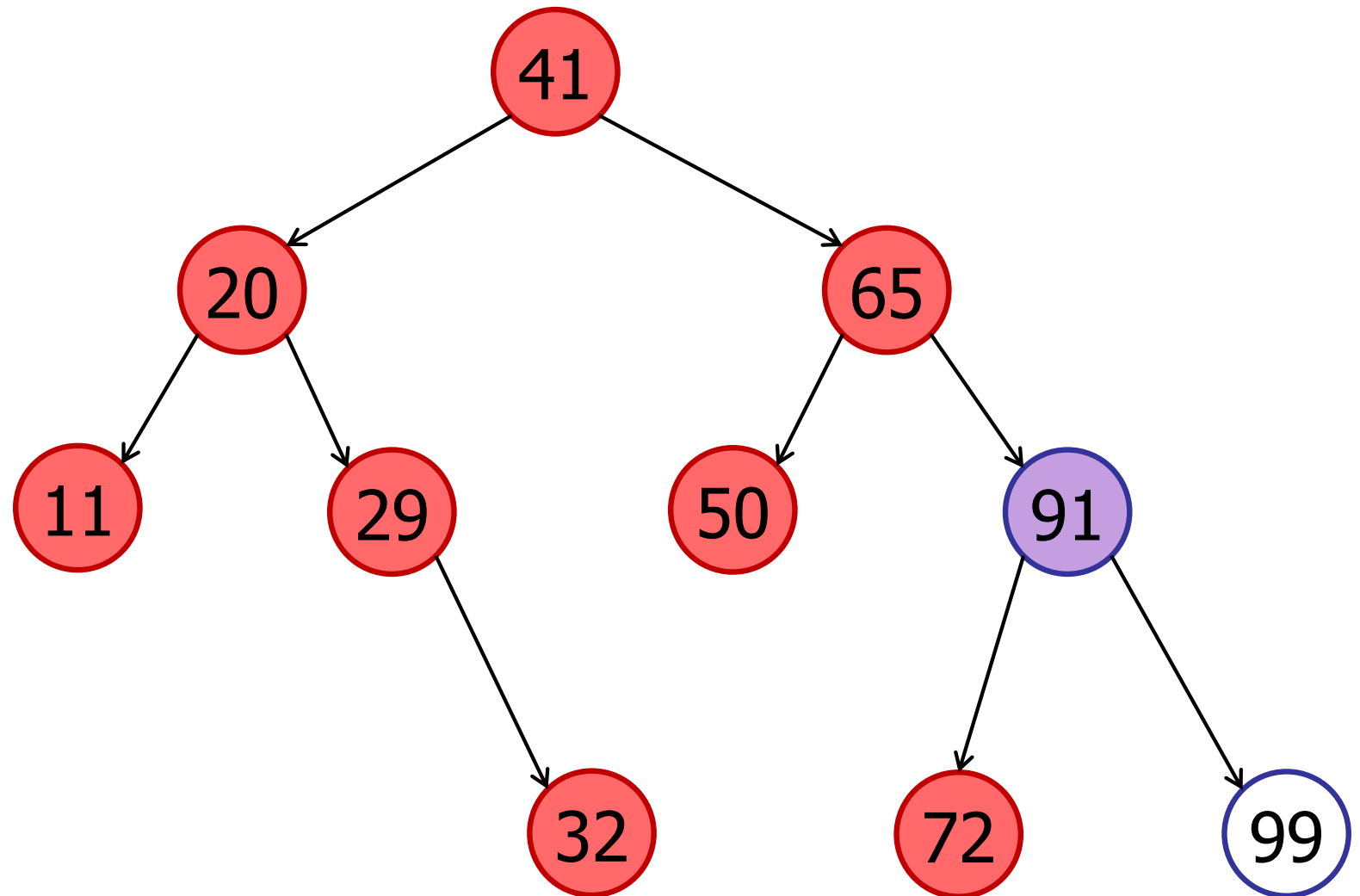
Tree Traversal

in-order-traversal



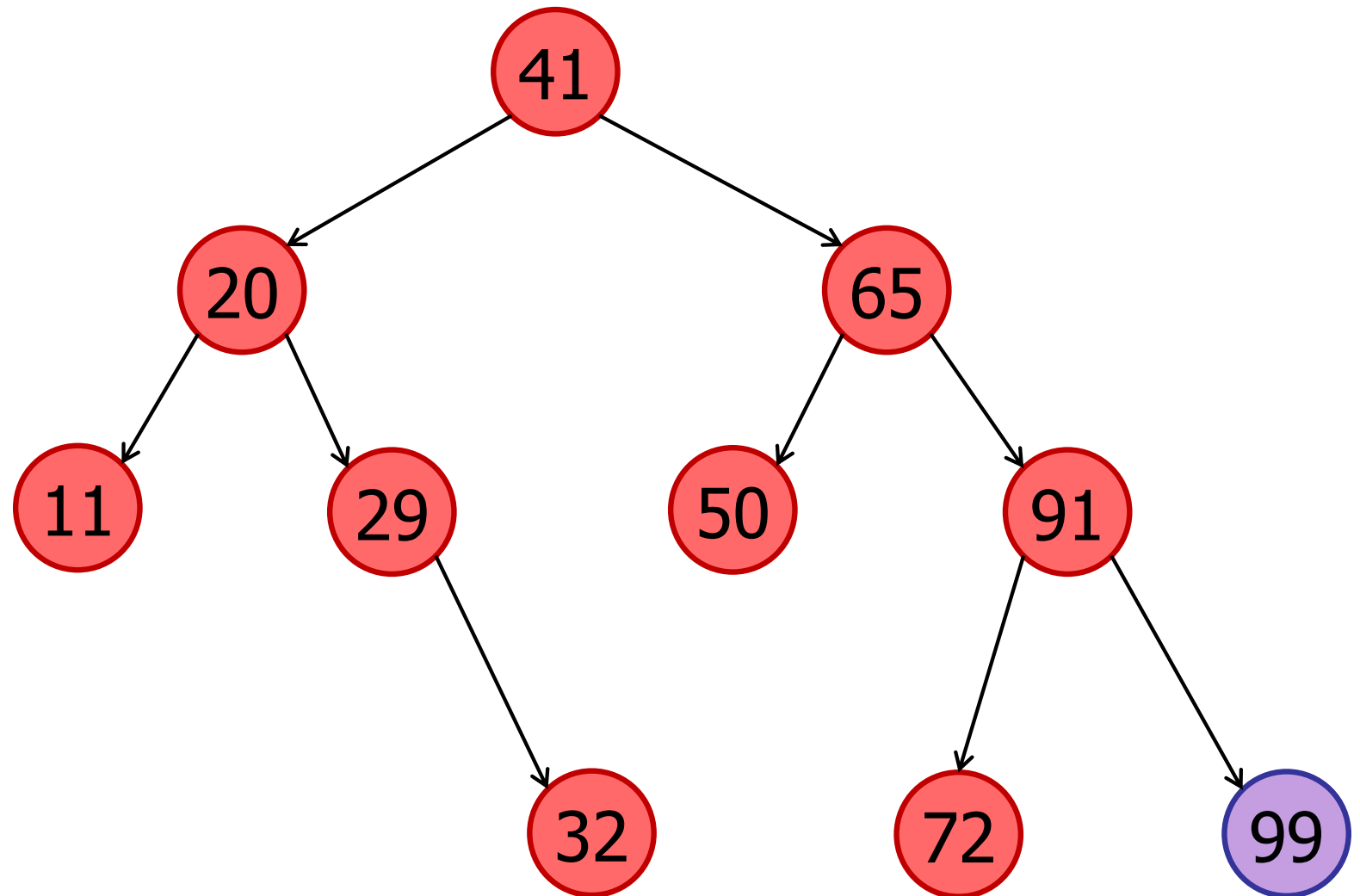
Tree Traversal

in-order-traversal



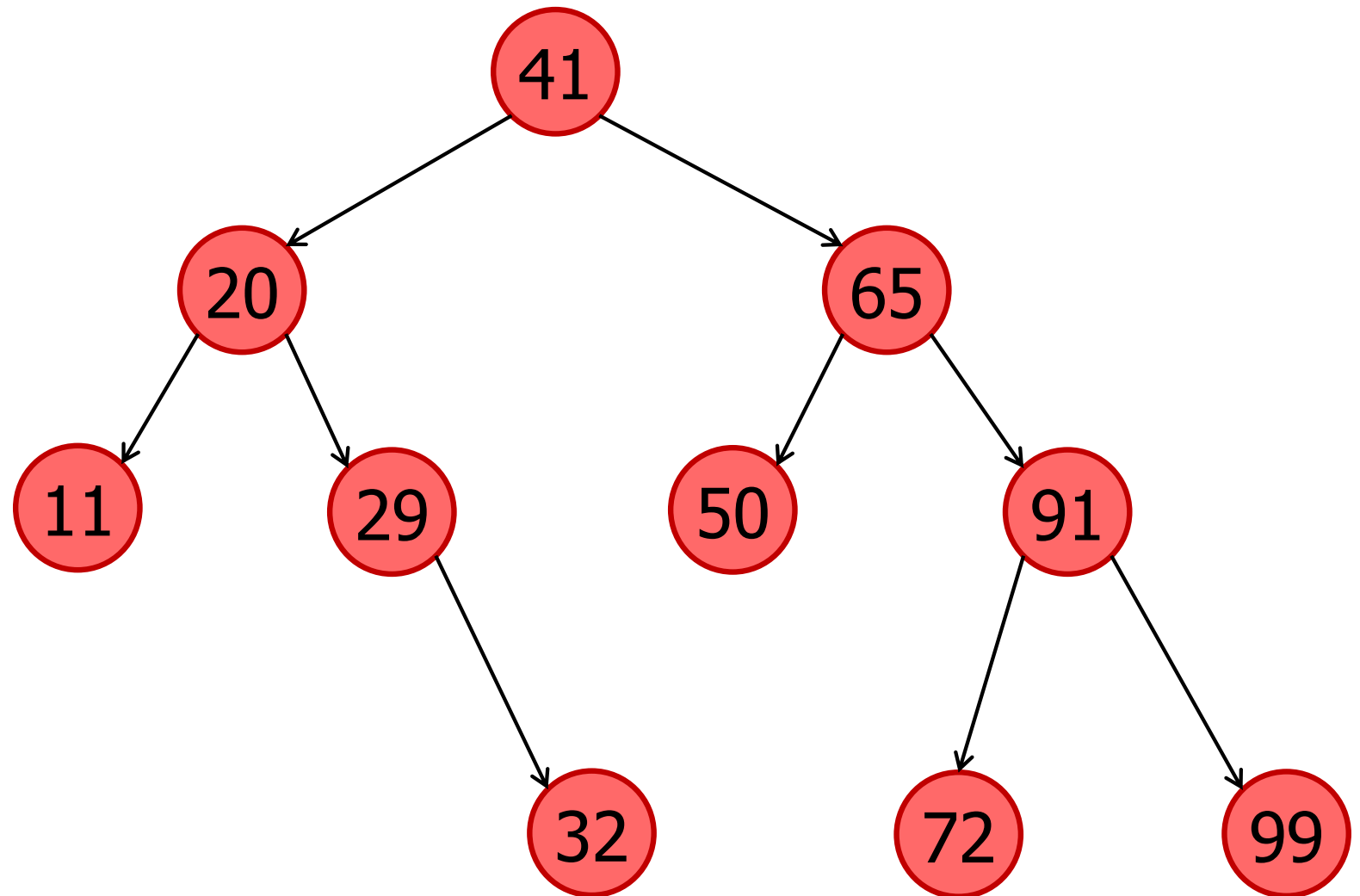
Tree Traversal

in-order-traversal



Tree Traversal

in-order-traversal



Tree Traversal

in-order-traversal(v)

```
public void in-order-traversal() {  
    // Traverse left sub-tree  
    if (leftTree != null)  
        leftTree.in-order-traversal();  
  
    visit(this);  
  
    // Traverse right sub-tree  
    if (rightTree != null)  
        rightTree.in-order-traversal();  
}
```

How long does an in-order-traversal take?

1. $O(1)$
2. $O(\log n)$
3. $O(n)$
4. $O(n \log n)$
5. $O(n^2)$
6. $O(2^n)$

How long does an in-order-traversal take?

1. $O(1)$
2. $O(\log n)$
3. $O(n)$
4. $O(n \log n)$
5. $O(n^2)$
6. $O(2^n)$

Note: searching for all the items is going to be slower!

Tree Traversal

in-order-traversal(v)

```
public void in-order-traversal() {  
    // Traverse left sub-tree  
    if (leftTree != null)  
        leftTree.in-order-traversal();  
  
    visit(this);  
  
    // Traverse right sub-tree  
    if (rightTree != null)  
        rightTree.in-order-traversal();  
}
```

Running time: $O(n)$

- visits each node at most once

Tree Traversal

in-order-traversal(v)

- left-subtree
- SELF
- right-subtree

pre-order-traversal(v)

- SELF
- left-subtree
- right-subtree

post-order-traversal(v)

- left-subtree
- right-subtree
- SELF

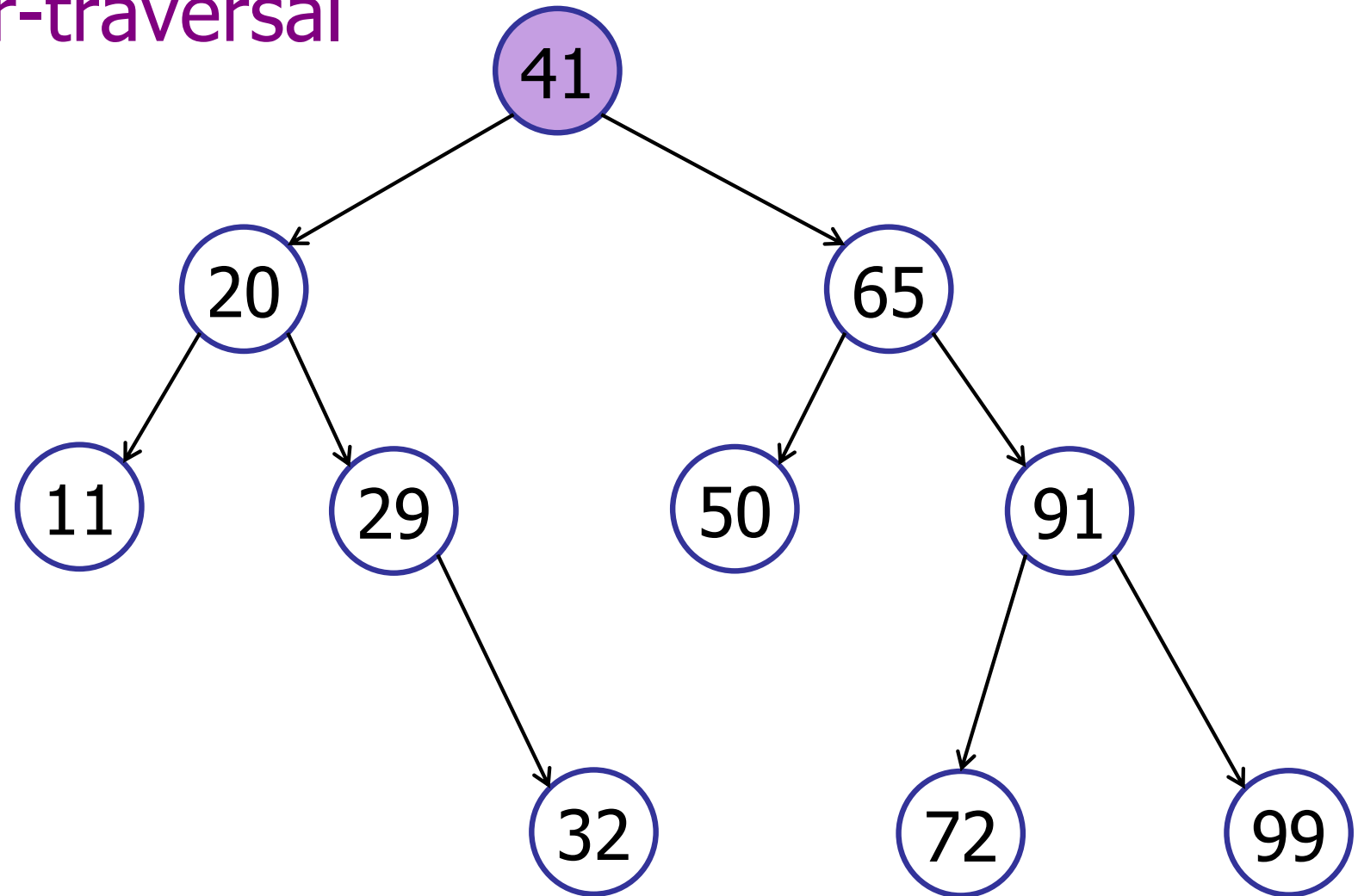
Tree Traversals

pre-order-traversal(v)

```
public void pre-order-traversal() {  
    visit(this);  
  
    // Traverse left sub-tree  
    if (leftTree != null)  
        leftTree.in-order-traversal();  
  
    // Traverse right sub-tree  
    if (rightTree != null)  
        rightTree.in-order-traversal();  
}
```

Tree Traversals

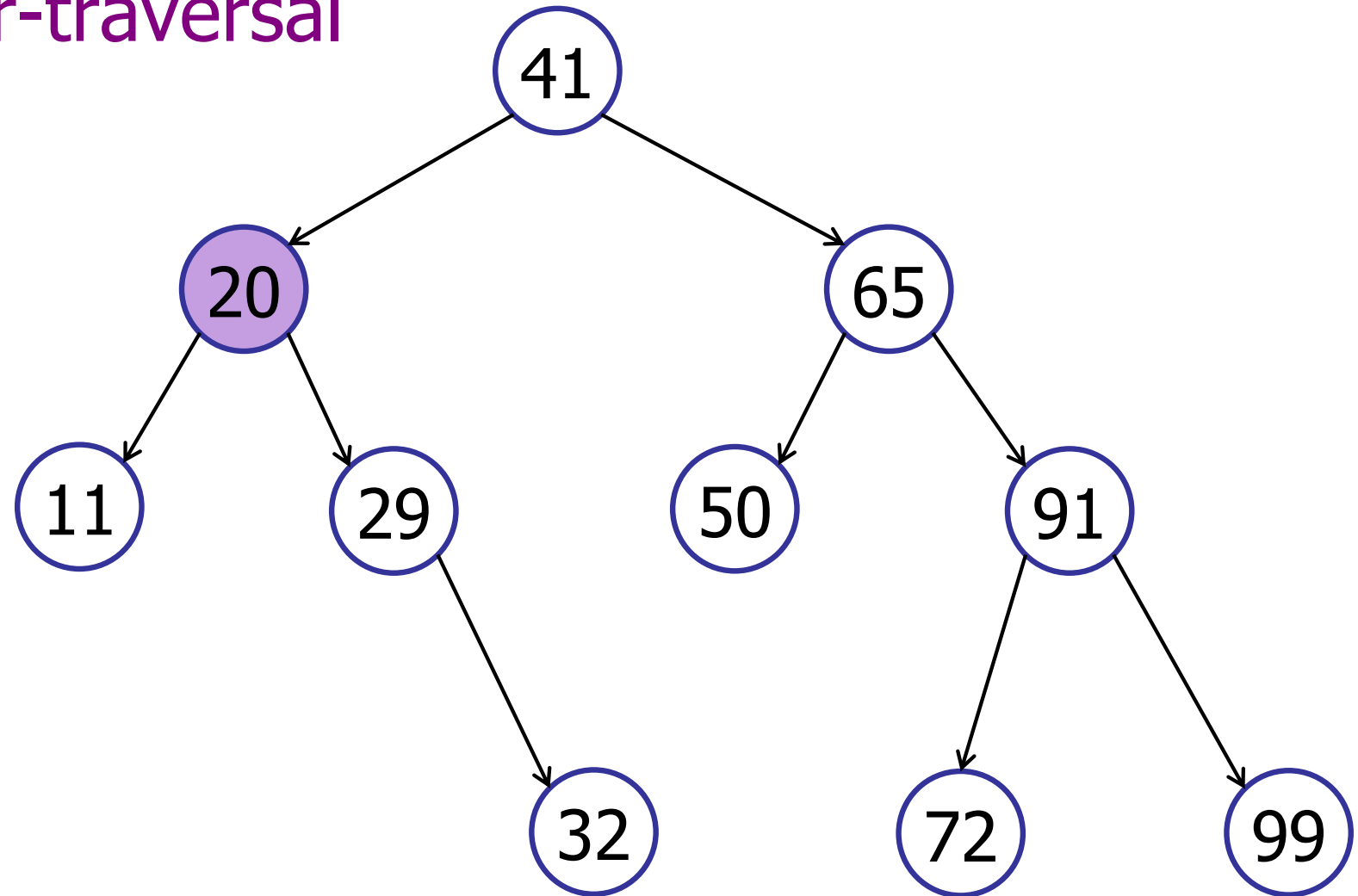
pre-order-traversal



41

Tree Traversals

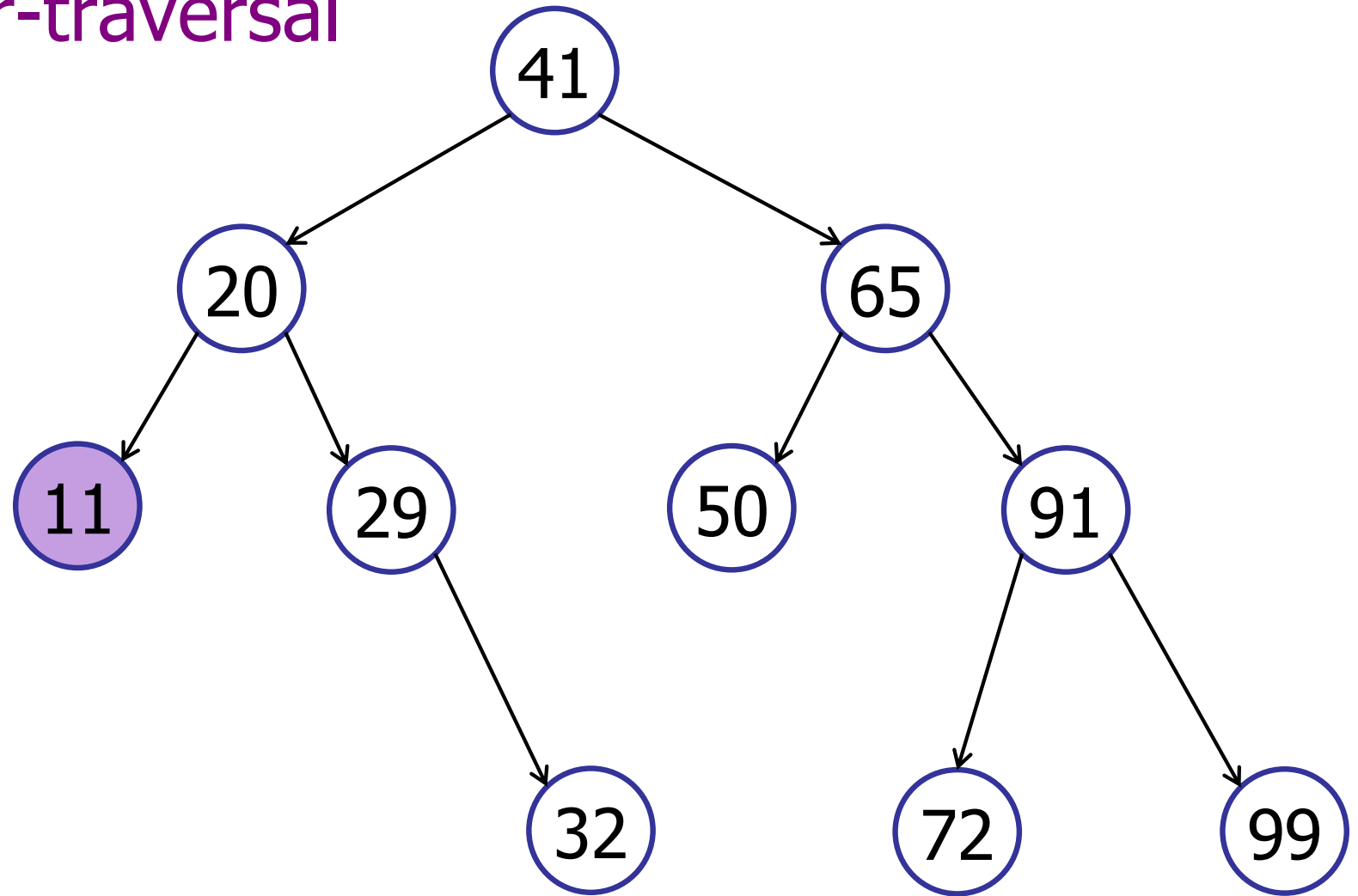
pre-order-traversal



41 20

Tree Traversals

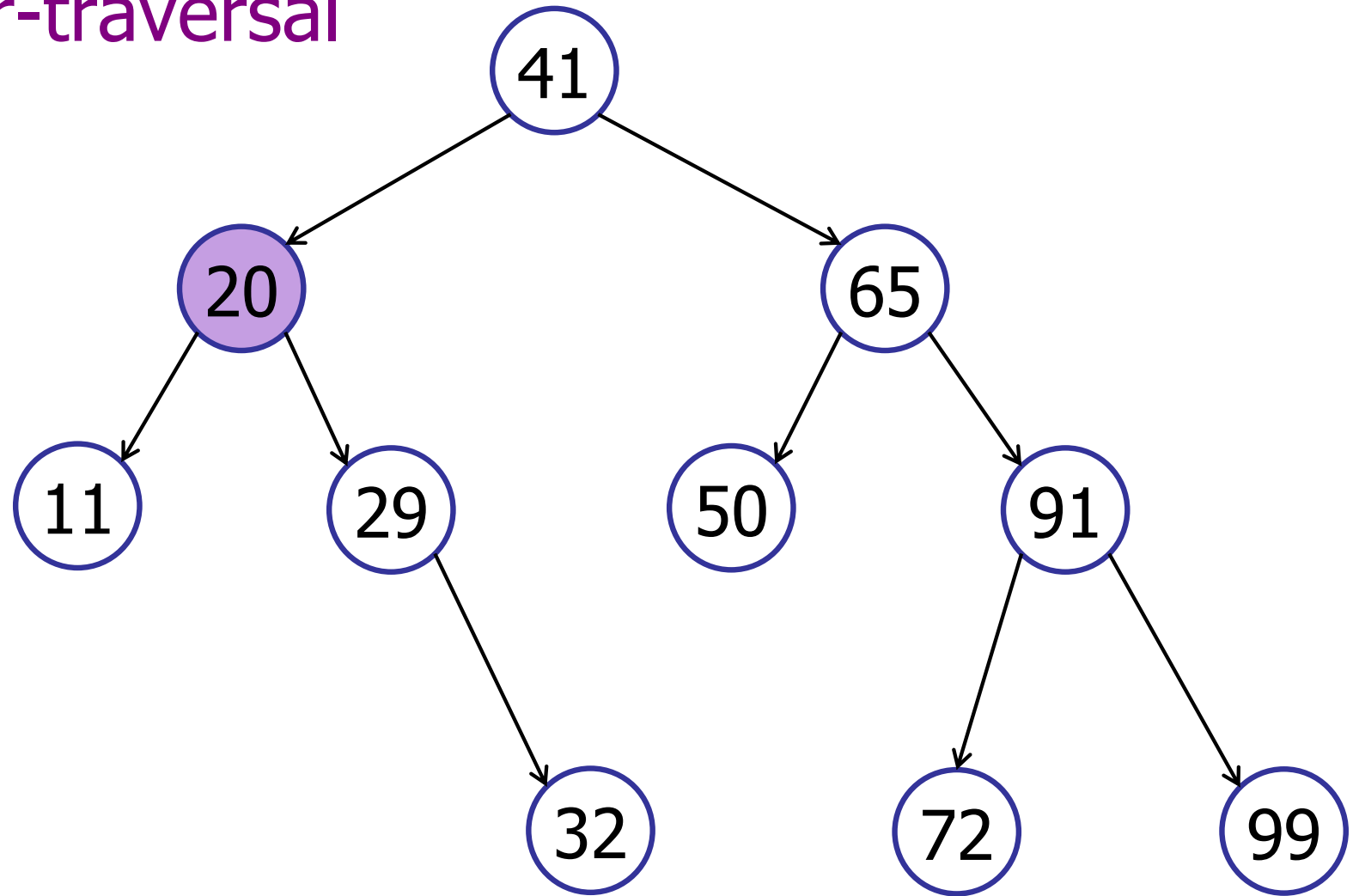
pre-order-traversal



41 20 11

Tree Traversals

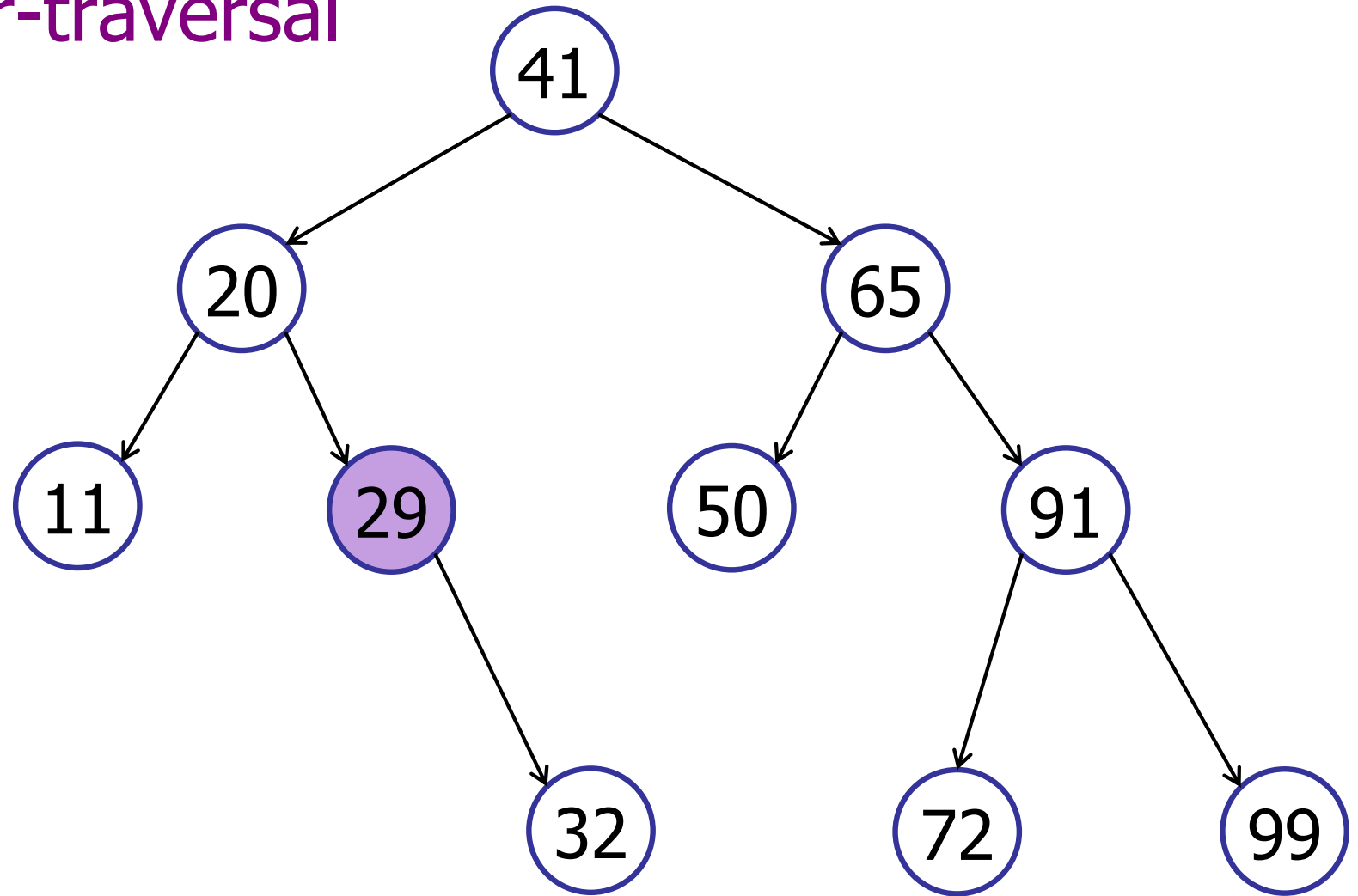
pre-order-traversal



41 20 11

Tree Traversals

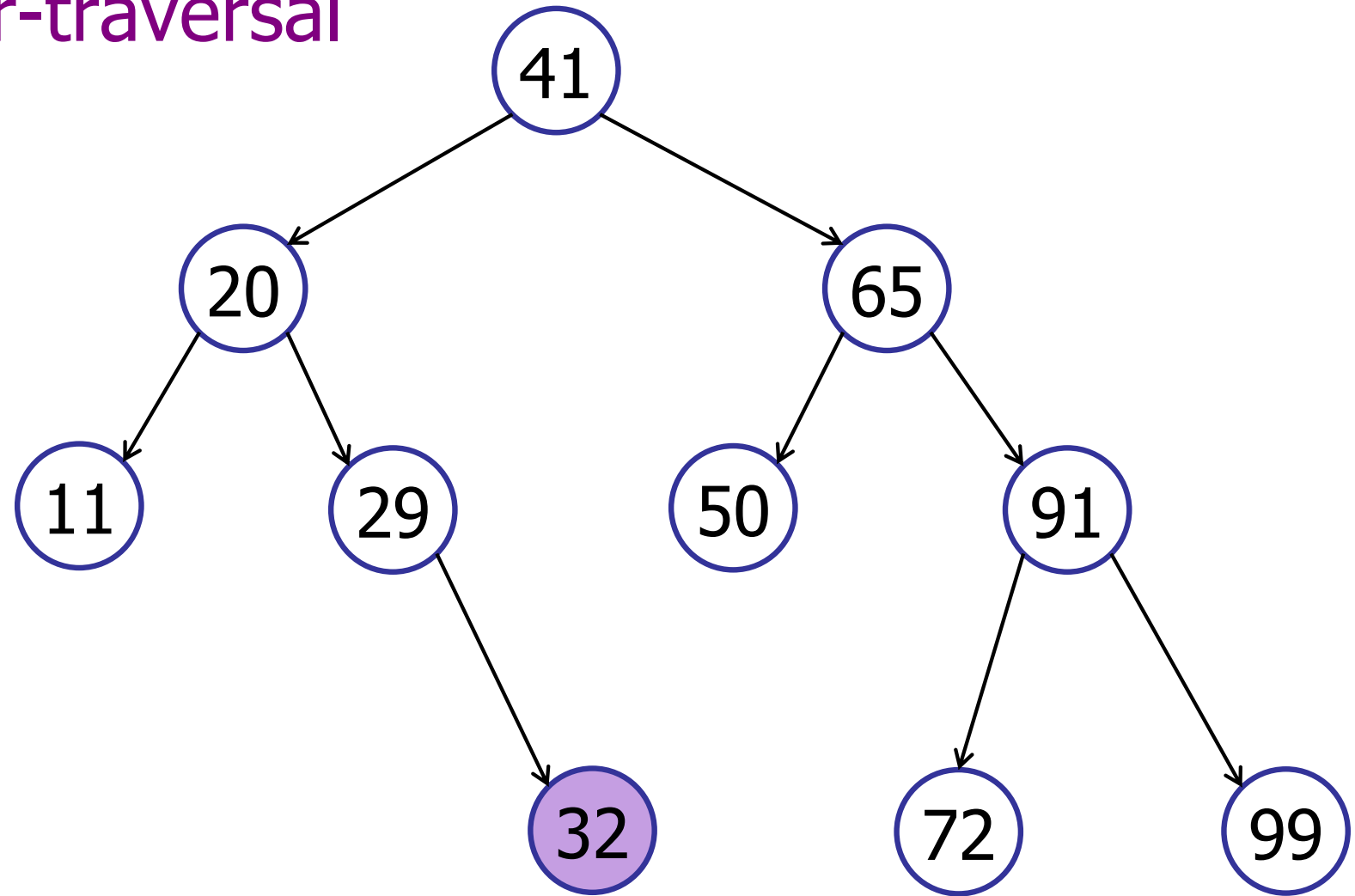
pre-order-traversal



41 20 11 29

Tree Traversals

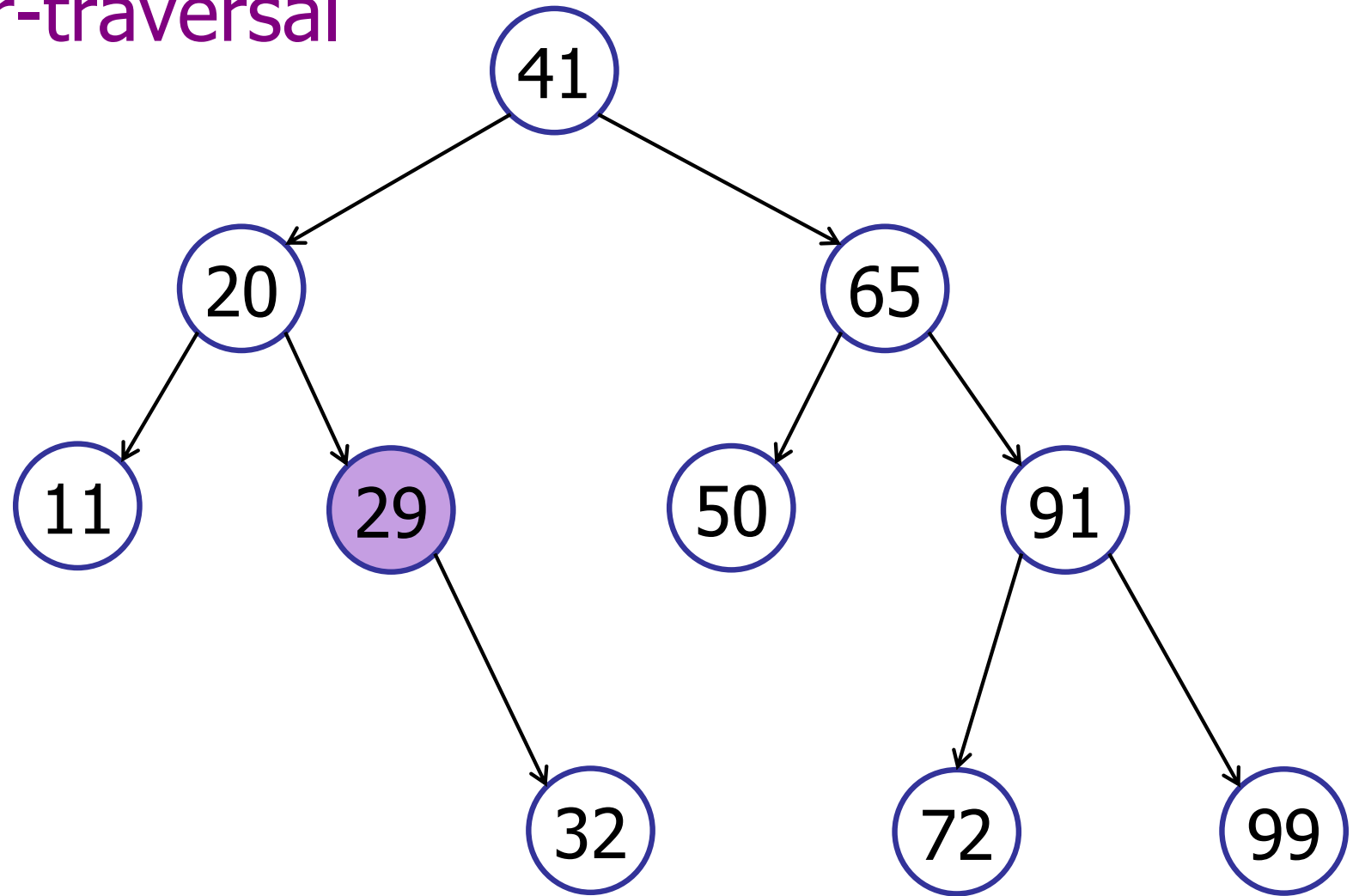
pre-order-traversal



41 20 11 29 32

Tree Traversals

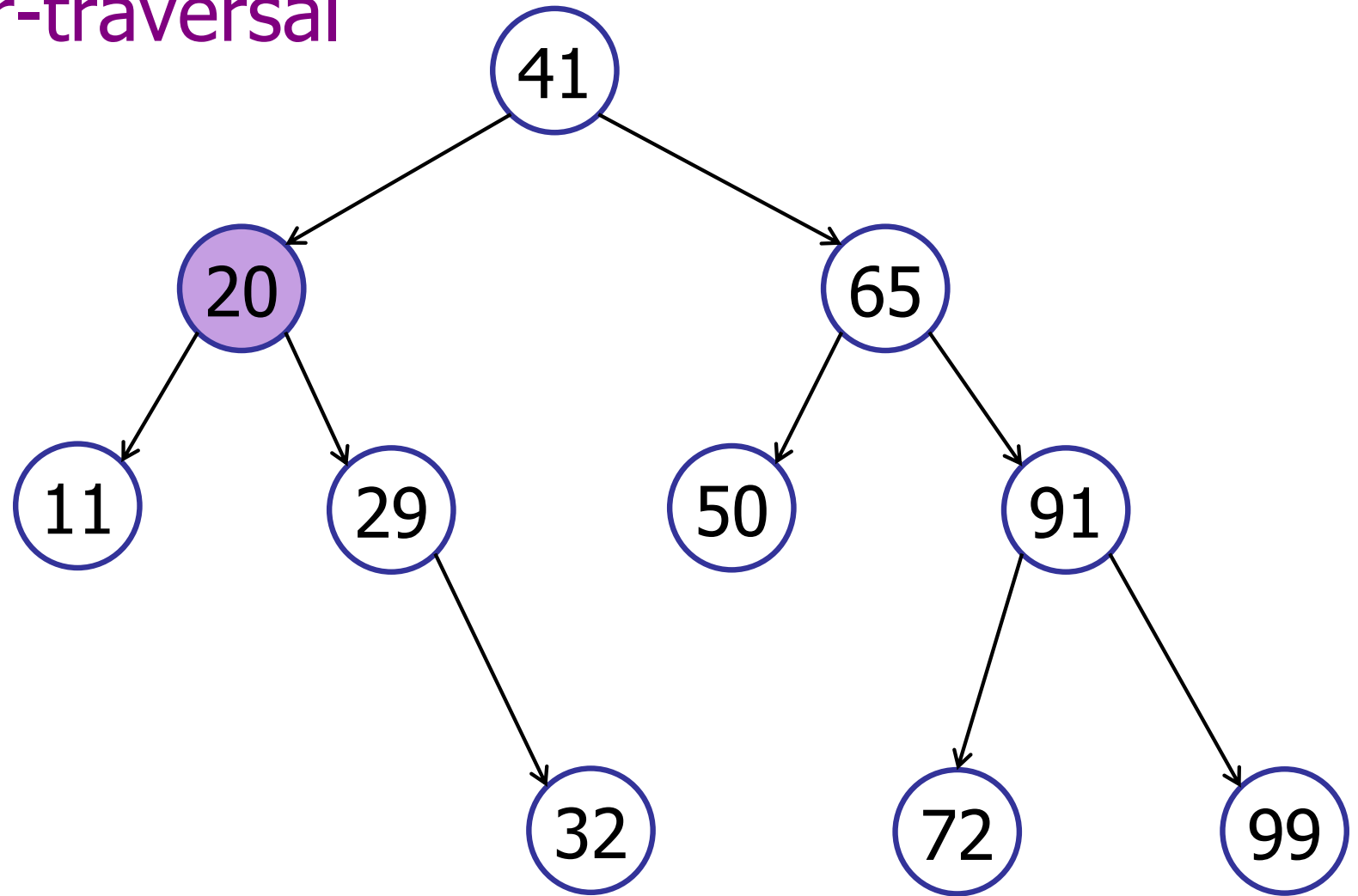
pre-order-traversal



41 20 11 29 32

Tree Traversals

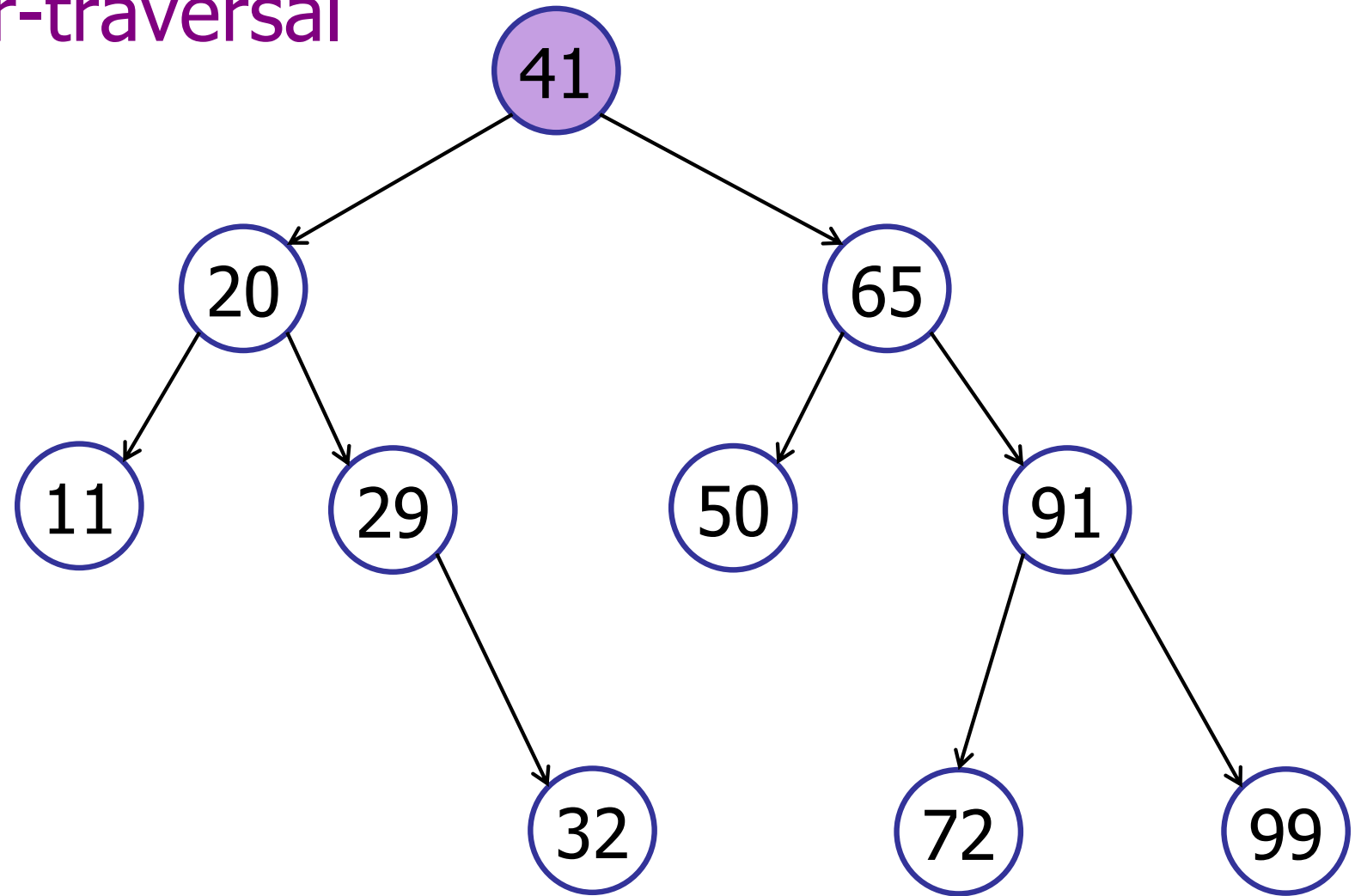
pre-order-traversal



41 20 11 29 32

Tree Traversals

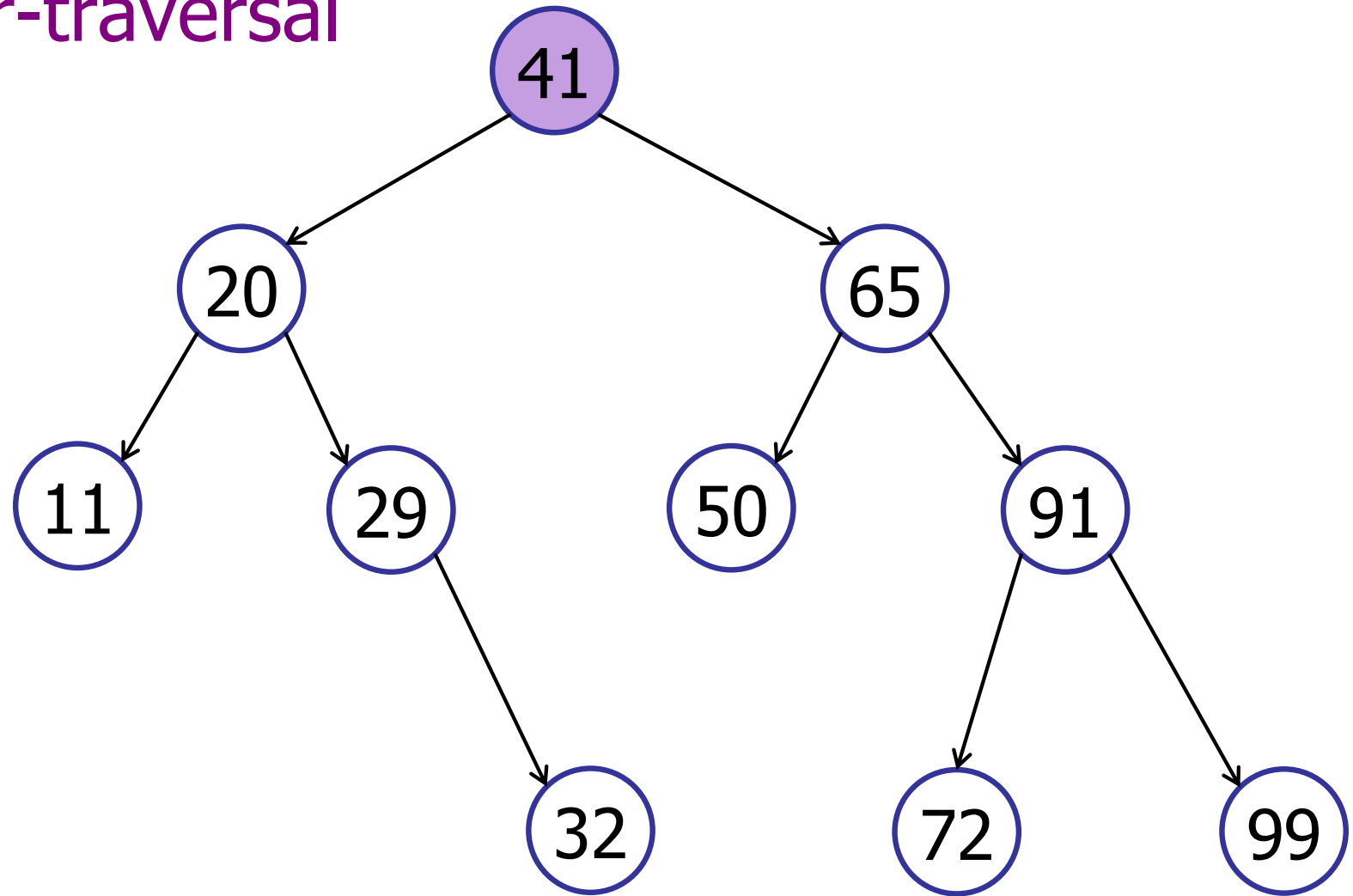
pre-order-traversal



41 20 11 29 32

Tree Traversals

pre-order-traversal



41 20 11 29 32 65 50 91 72 99

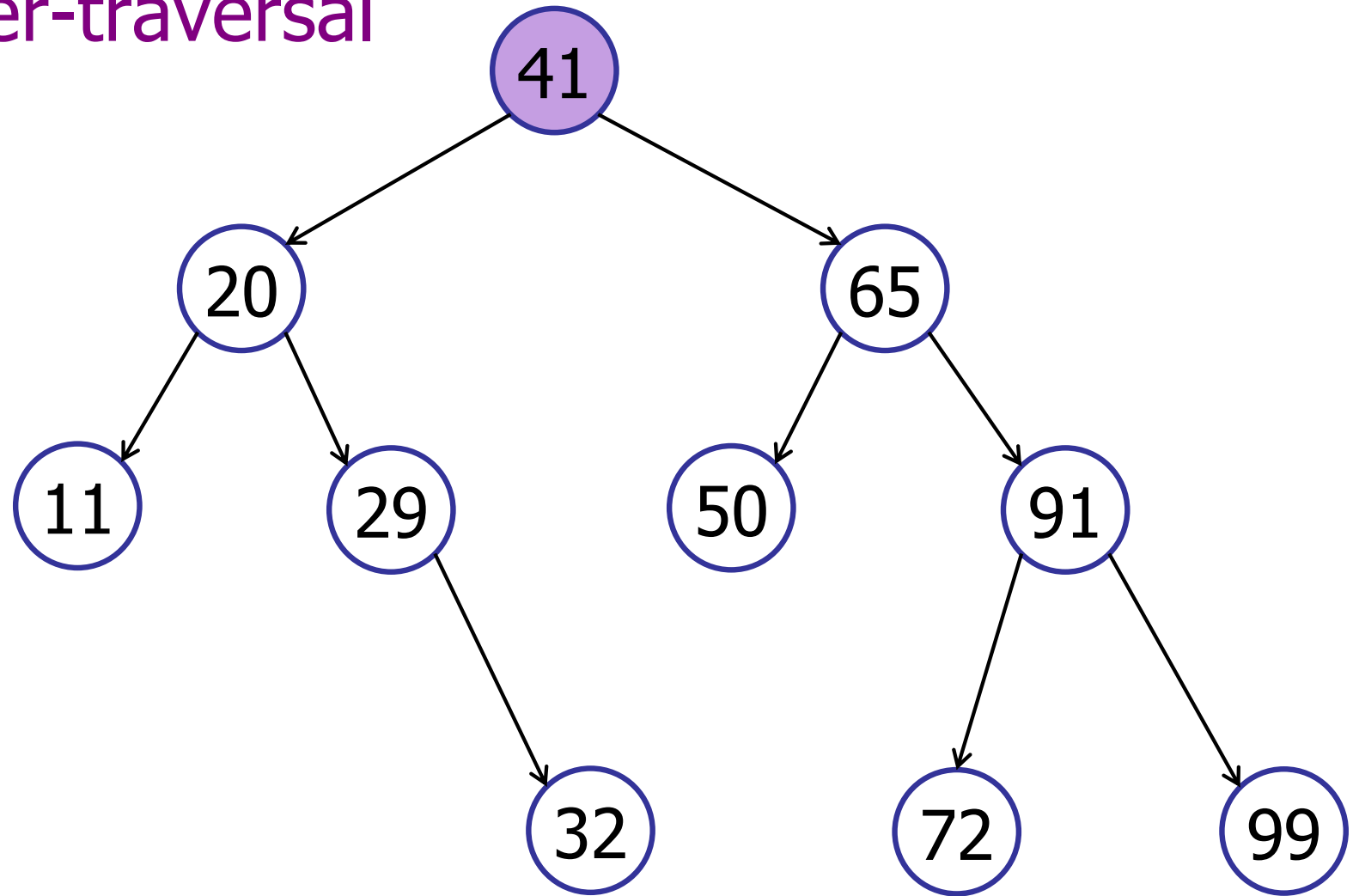
Tree Traversals

post-order-traversal(v)

```
public void post-order-traversal() {  
    // Traverse left sub-tree  
    if (leftTree != null)  
        leftTree.in-order-traversal();  
  
    // Traverse right sub-tree  
    if (rightTree != null)  
        rightTree.in-order-traversal();  
  
    visit(this);  
}
```

Tree Traversals

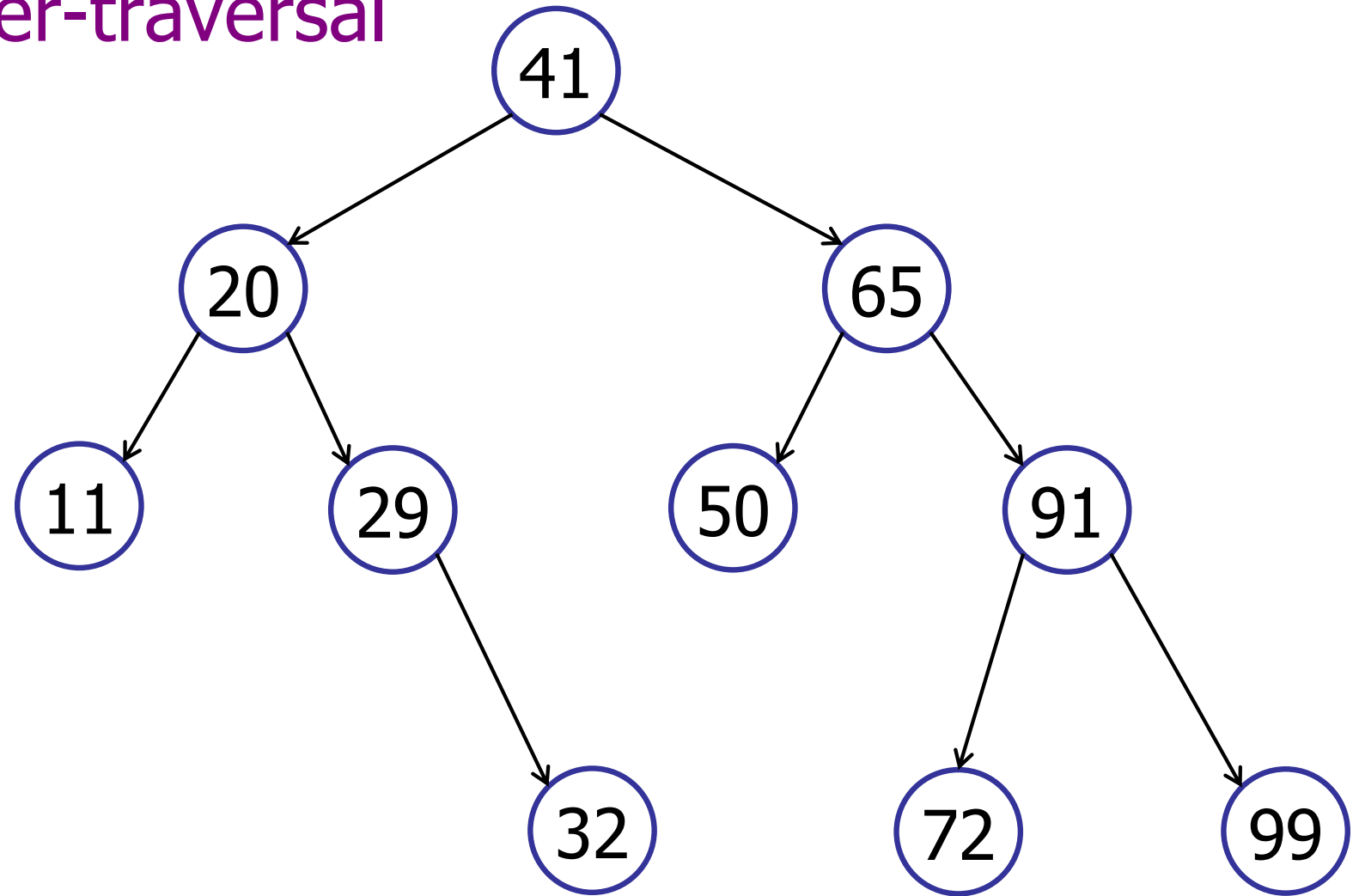
post-order-traversal



11 32 29 20 50 72 99 91 65 41

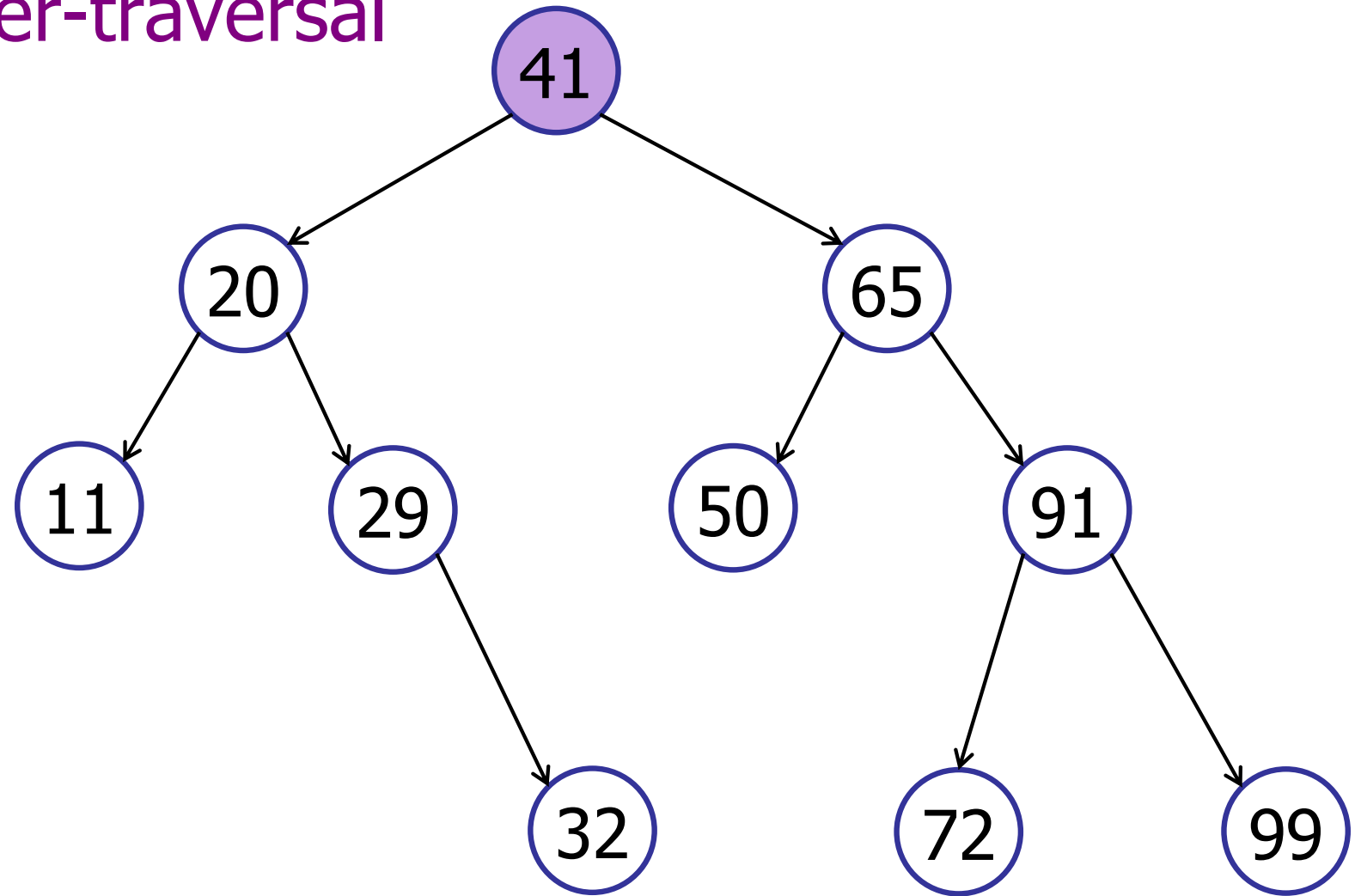
Tree Traversals

level-order-traversal



Tree Traversals

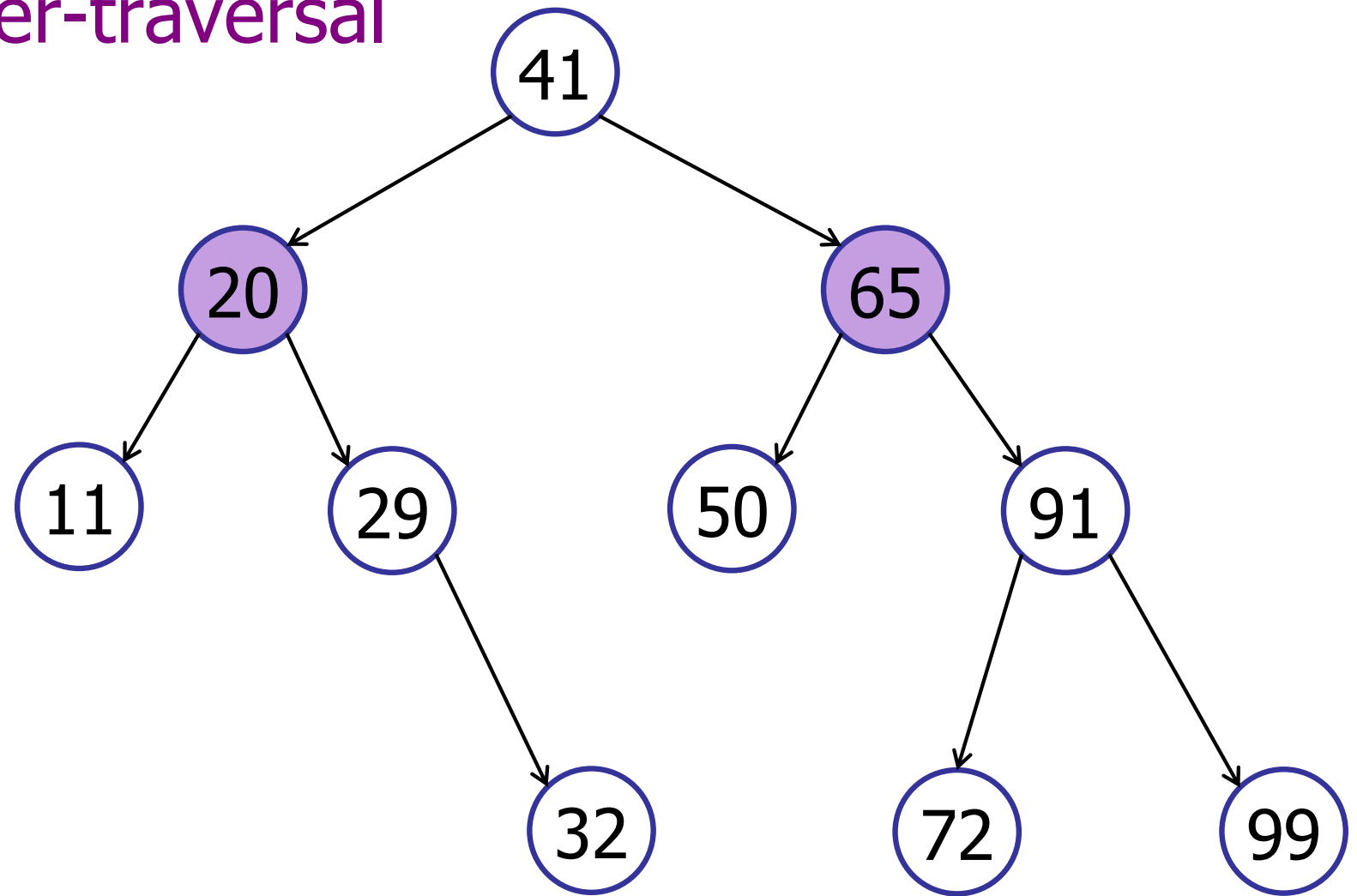
level-order-traversal



41

Tree Traversals

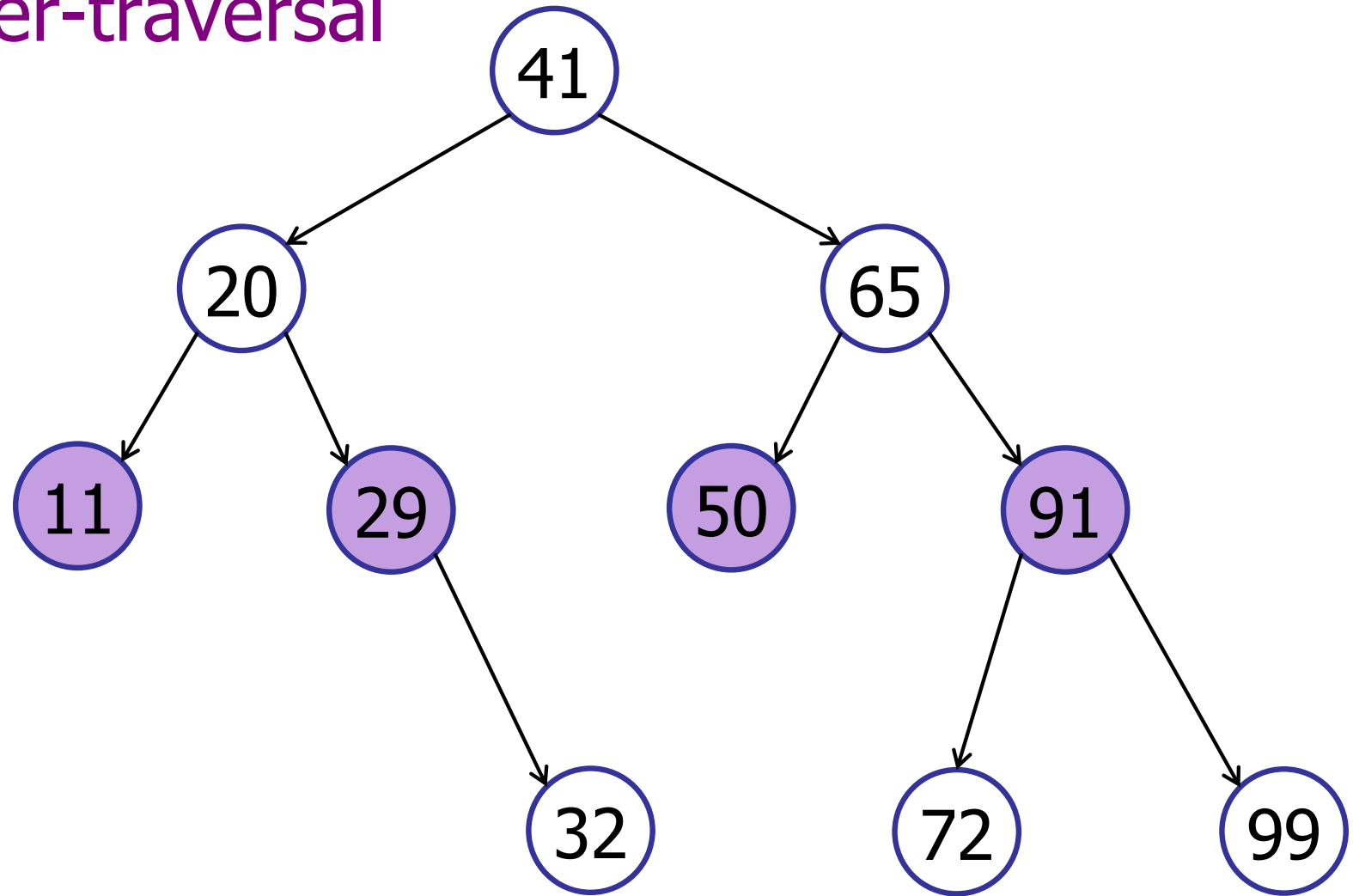
level-order-traversal



41 20 65

Tree Traversals

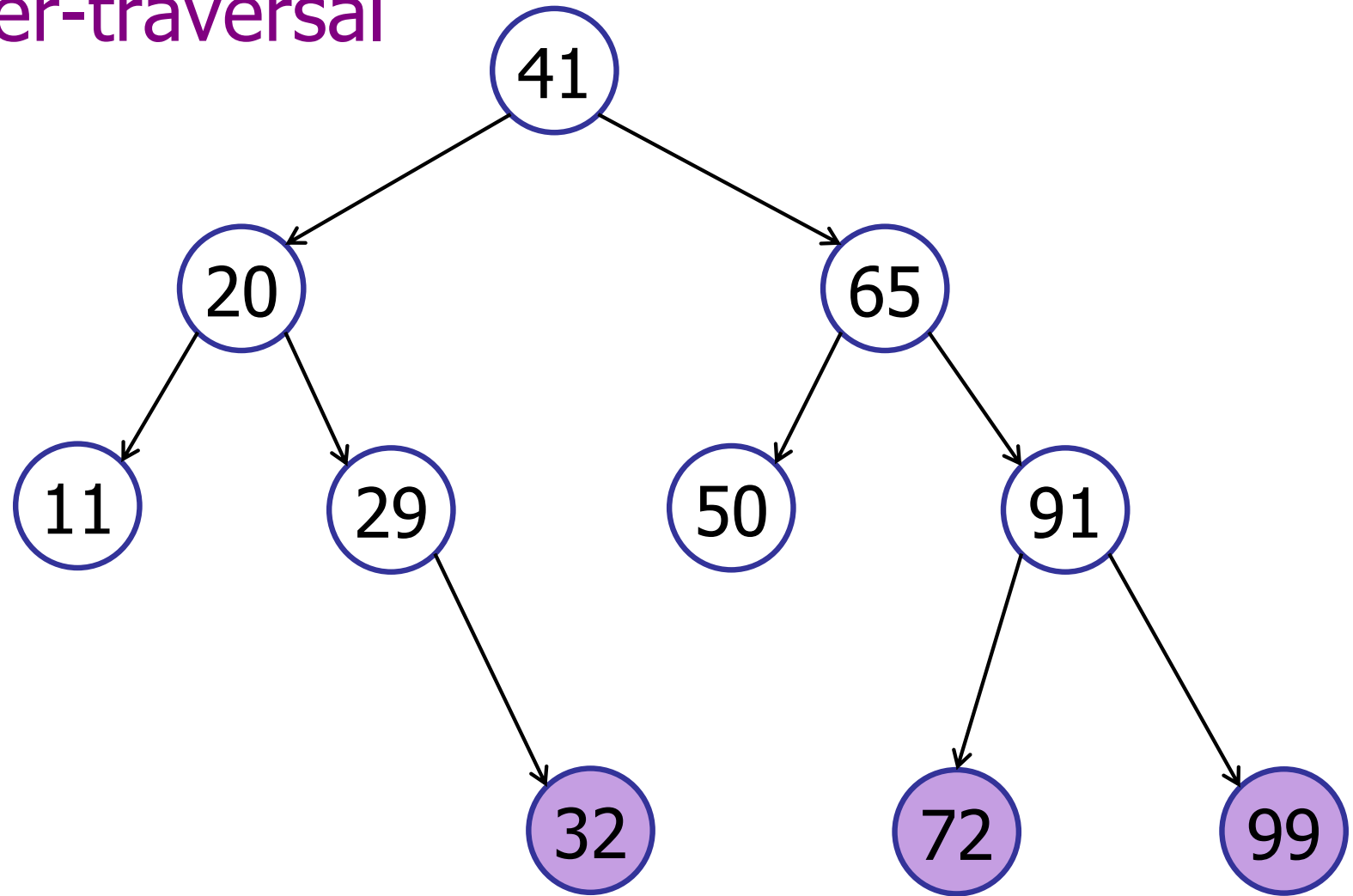
level-order-traversal



41 20 65 11 29 50 91

Tree Traversals

level-order-traversal



41 20 65 11 29 50 91 32 72 99

Tree Traversals

Several varieties:

- pre-order
- in-order
- post-order
- level-order

Binary Search Trees

1. Terminology and Definitions

2. Basic operations:

- height
- searchMin, searchMax
- search, insert

3. Traversals

- in-order, pre-order, post-order

4. Other operations

Airport Scheduling

Dictionary

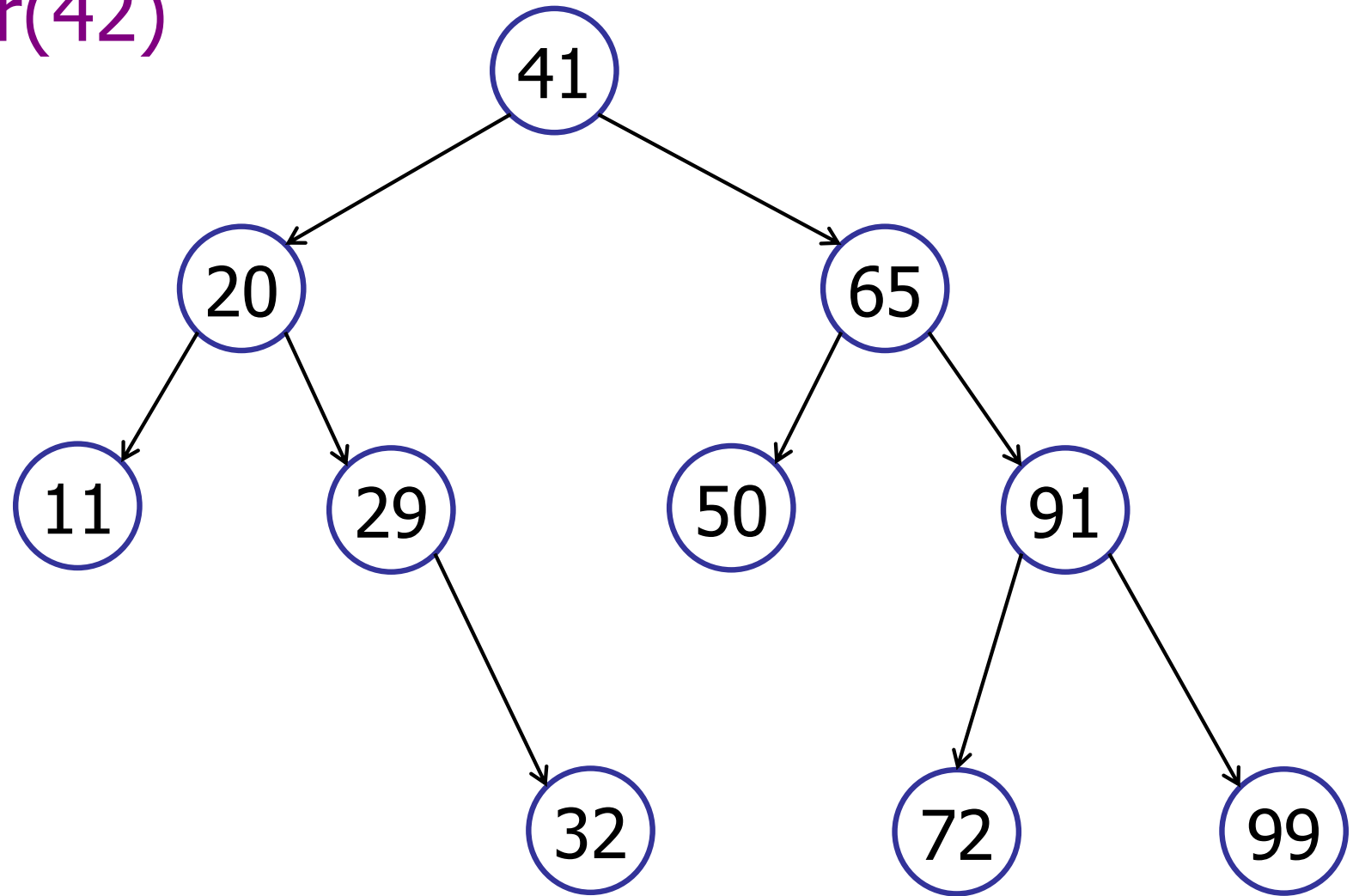
6:35	7:00	7:19	8:21	12:21	14:23	14:42			
------	------	------	------	-------	-------	-------	--	--	--

- successor(8:24) = 12:21

How do we implement this?

Successor: Key not in the Tree

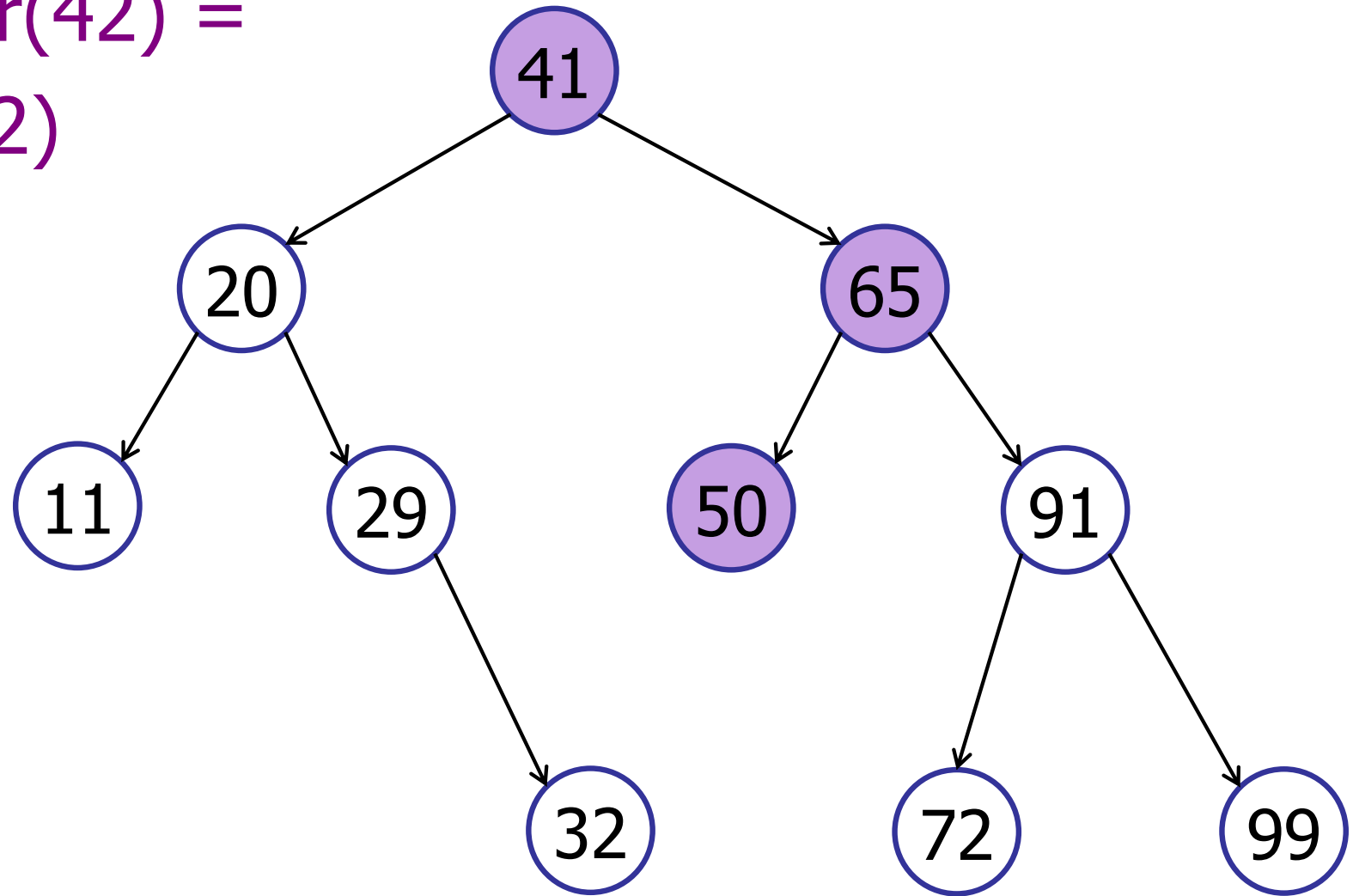
successor(42)



Key 42 is not in the tree

Successor: Key not in the Tree

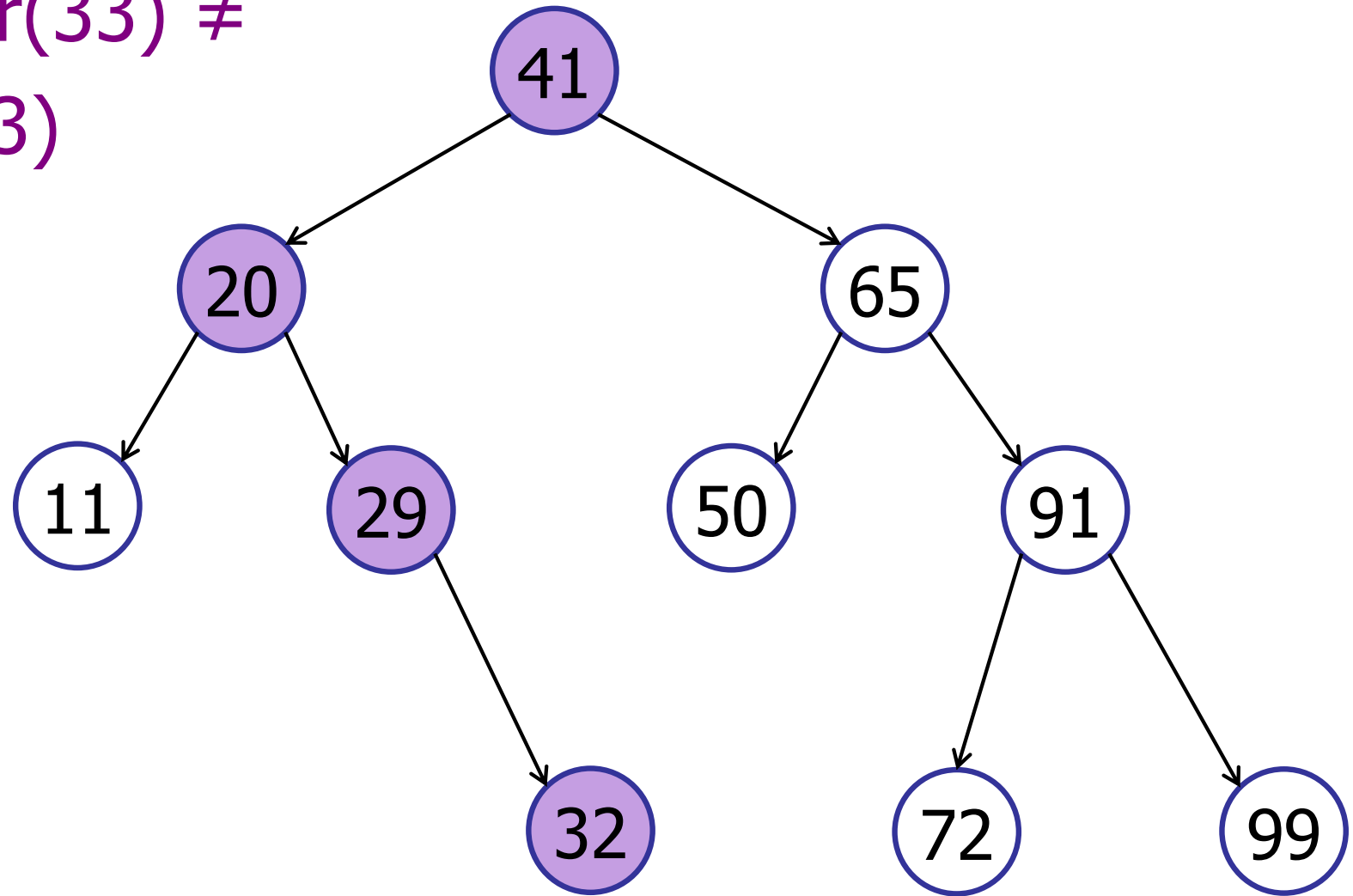
successor(42) =
search(42)



Key 42 is not in the tree

Successor: Key not in the Tree

successor(33) \neq
search(33)

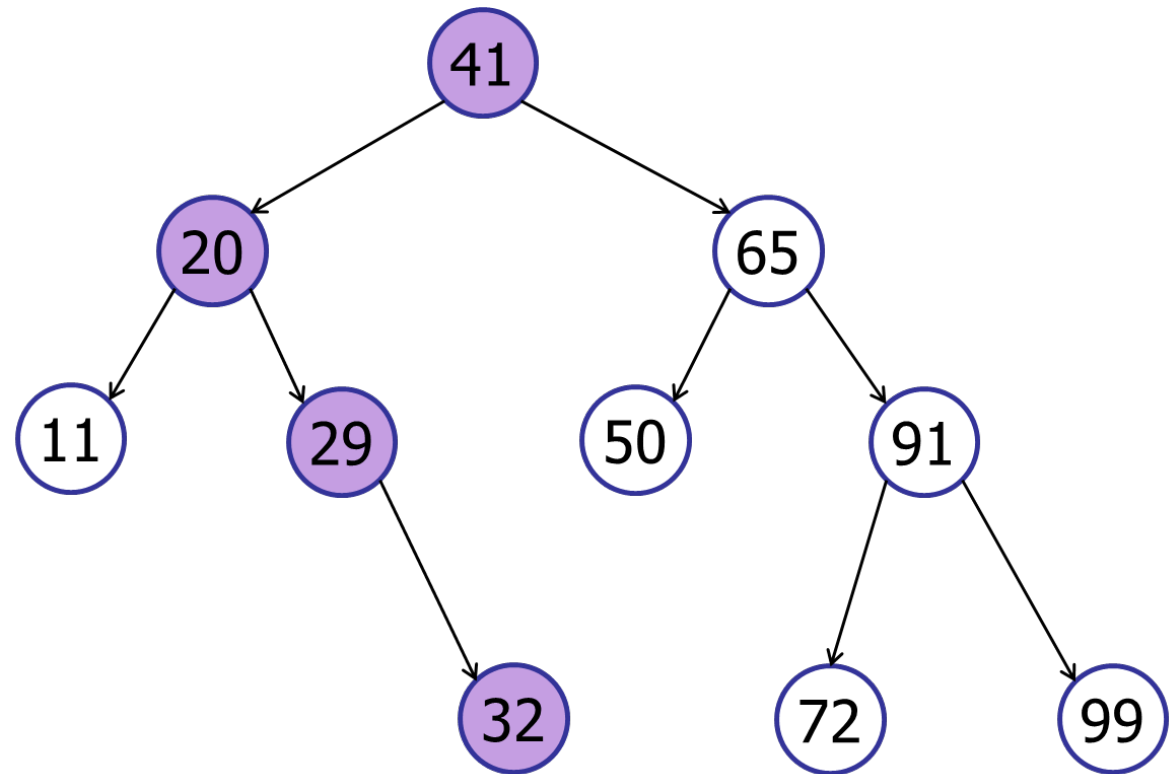


Key 33 is not in the tree

Successor: Key not in the Tree

If you search for a key not in the tree:

→ either find predecessor
or successor.



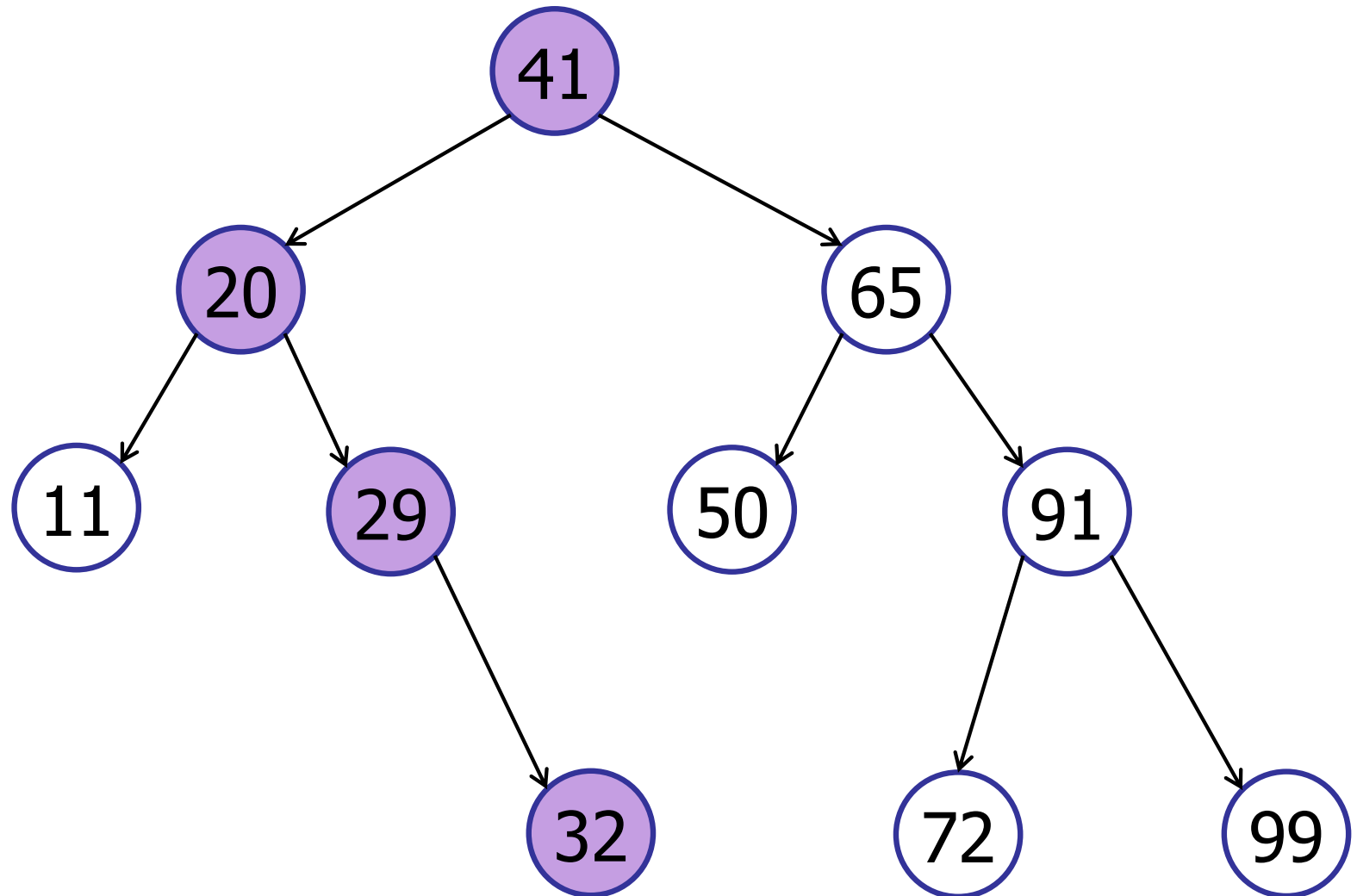
Successor Queries

Basic strategy: $\text{successor}(\text{key})$

1. Search for key in the tree.
2. If $(\text{result} > \text{key})$, then return result.
3. If $(\text{result} \leq \text{key})$, then search for successor of result.

Successor: Key not in the Tree

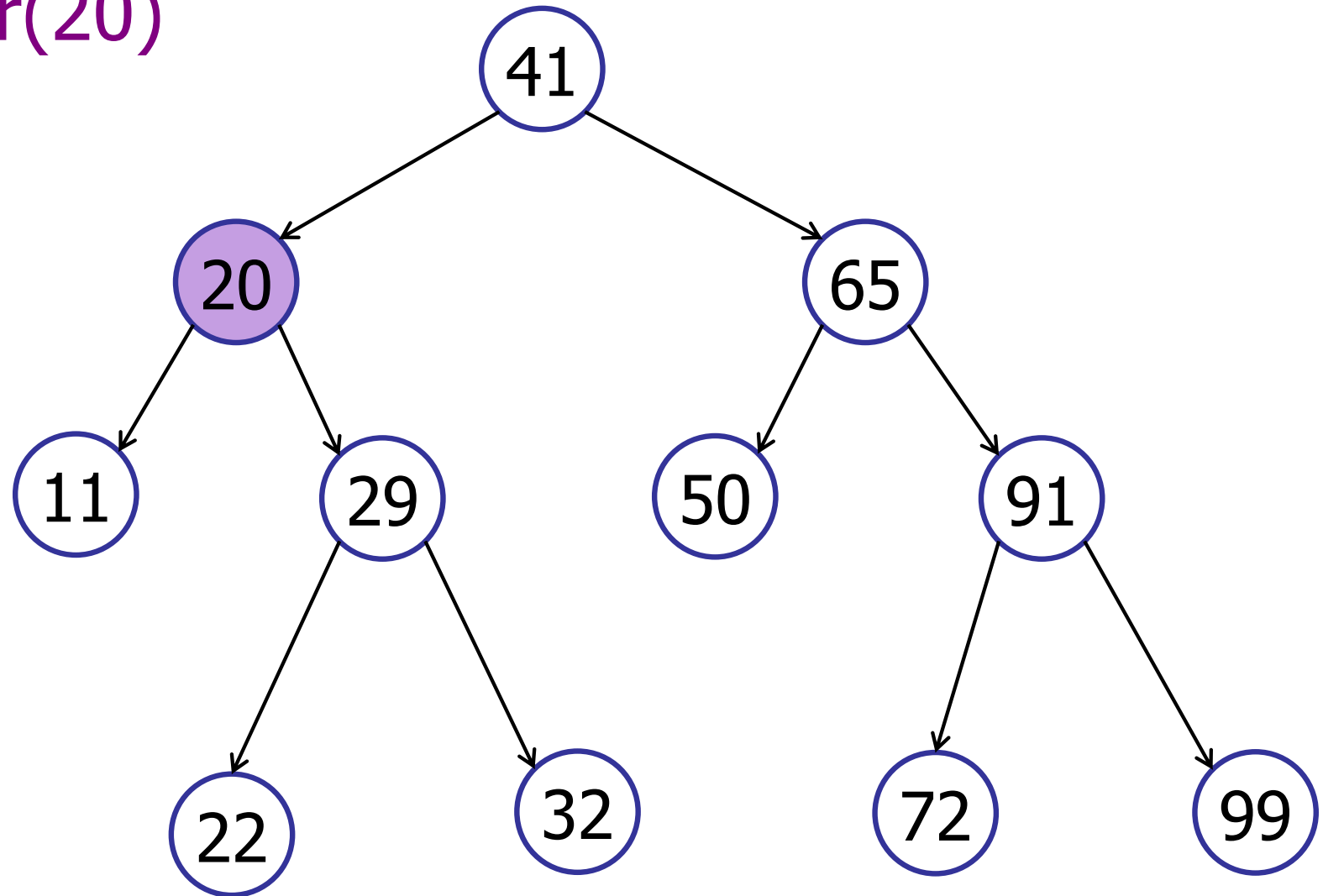
$\text{successor}(33) = \text{successor}(32)$



Key 33 is not in the tree

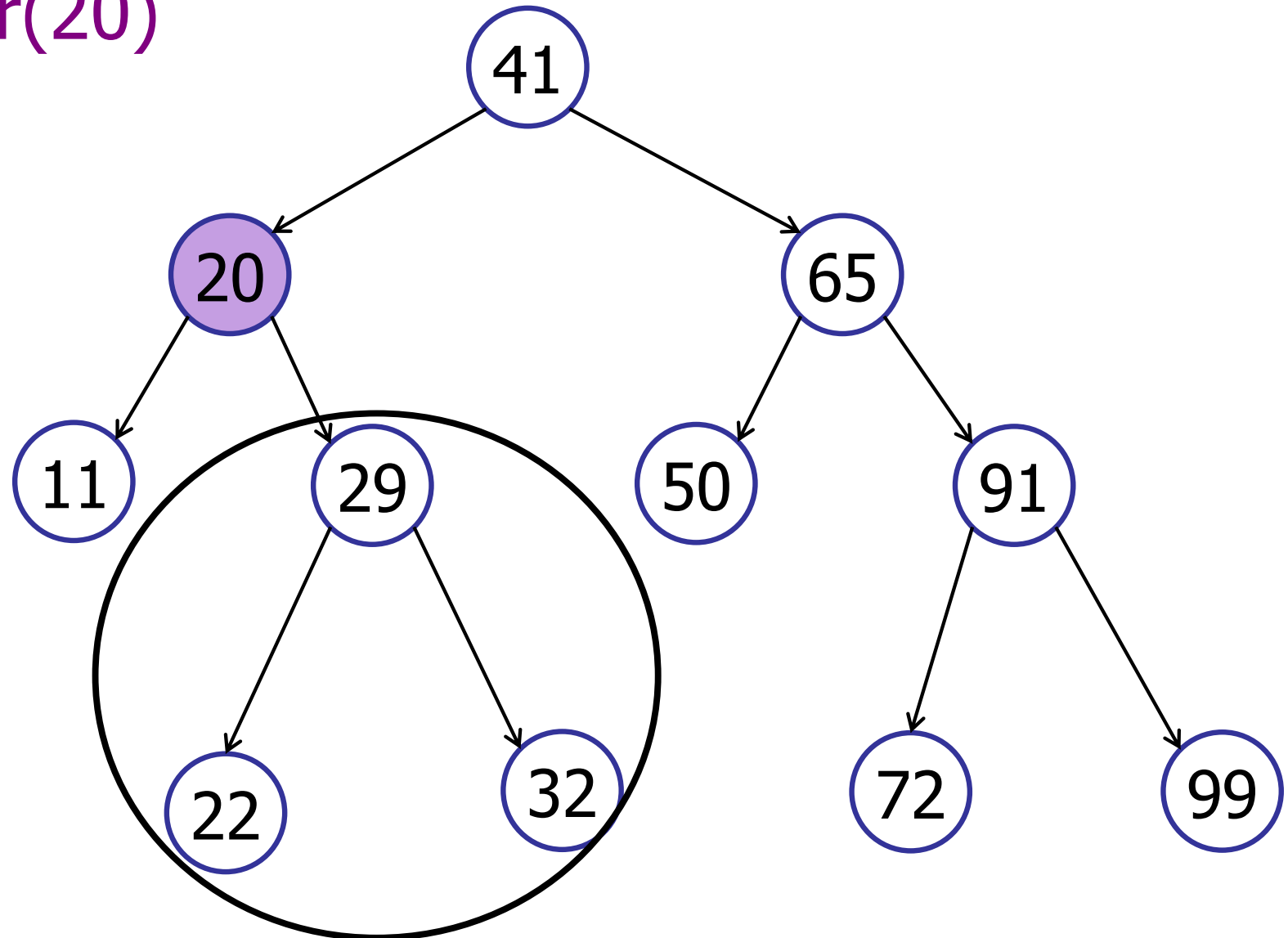
Successor: Key in the Tree

successor(20)



Successor Queries

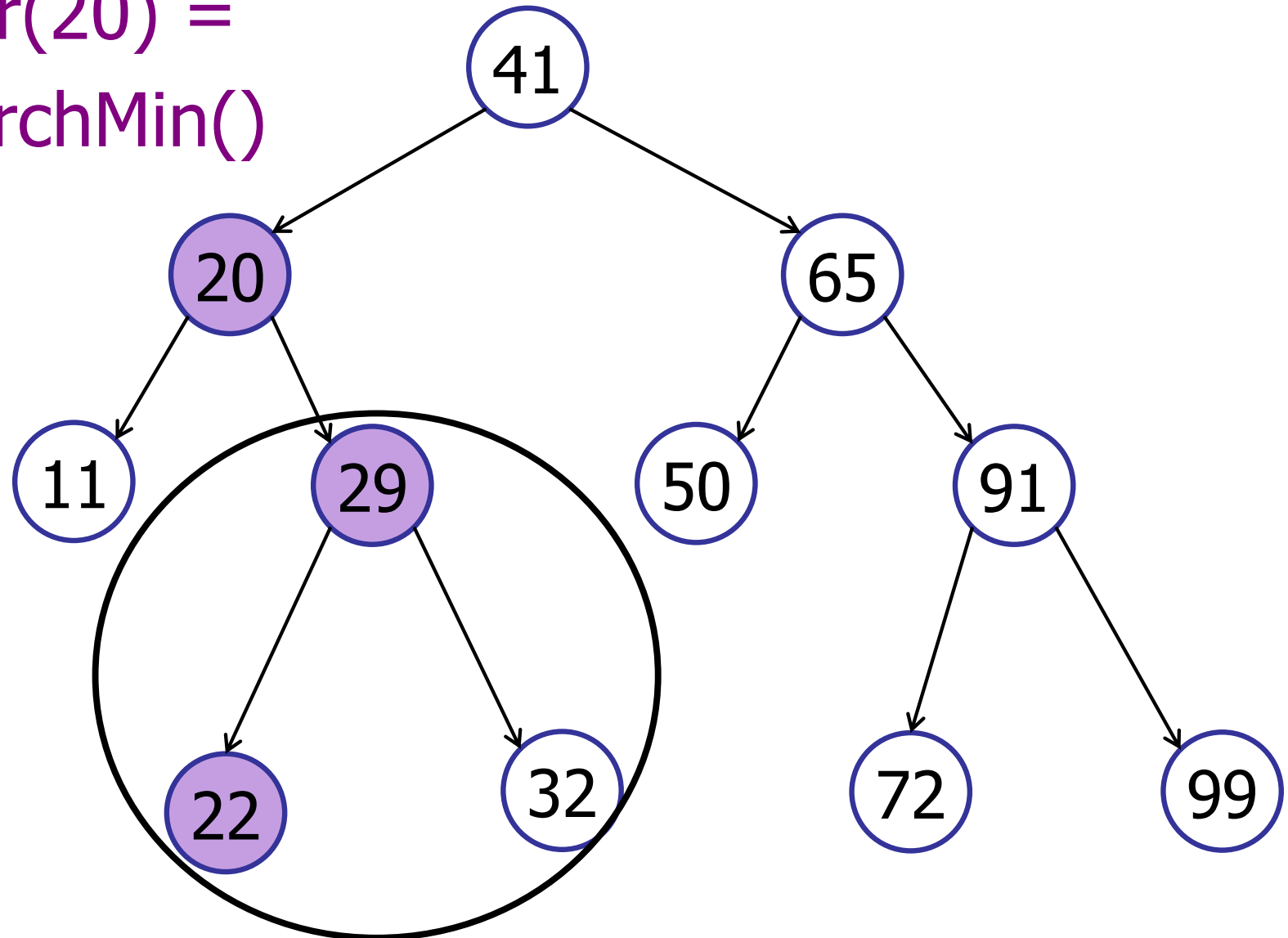
successor(20)



Case 1: node has a right child.

Successor Queries

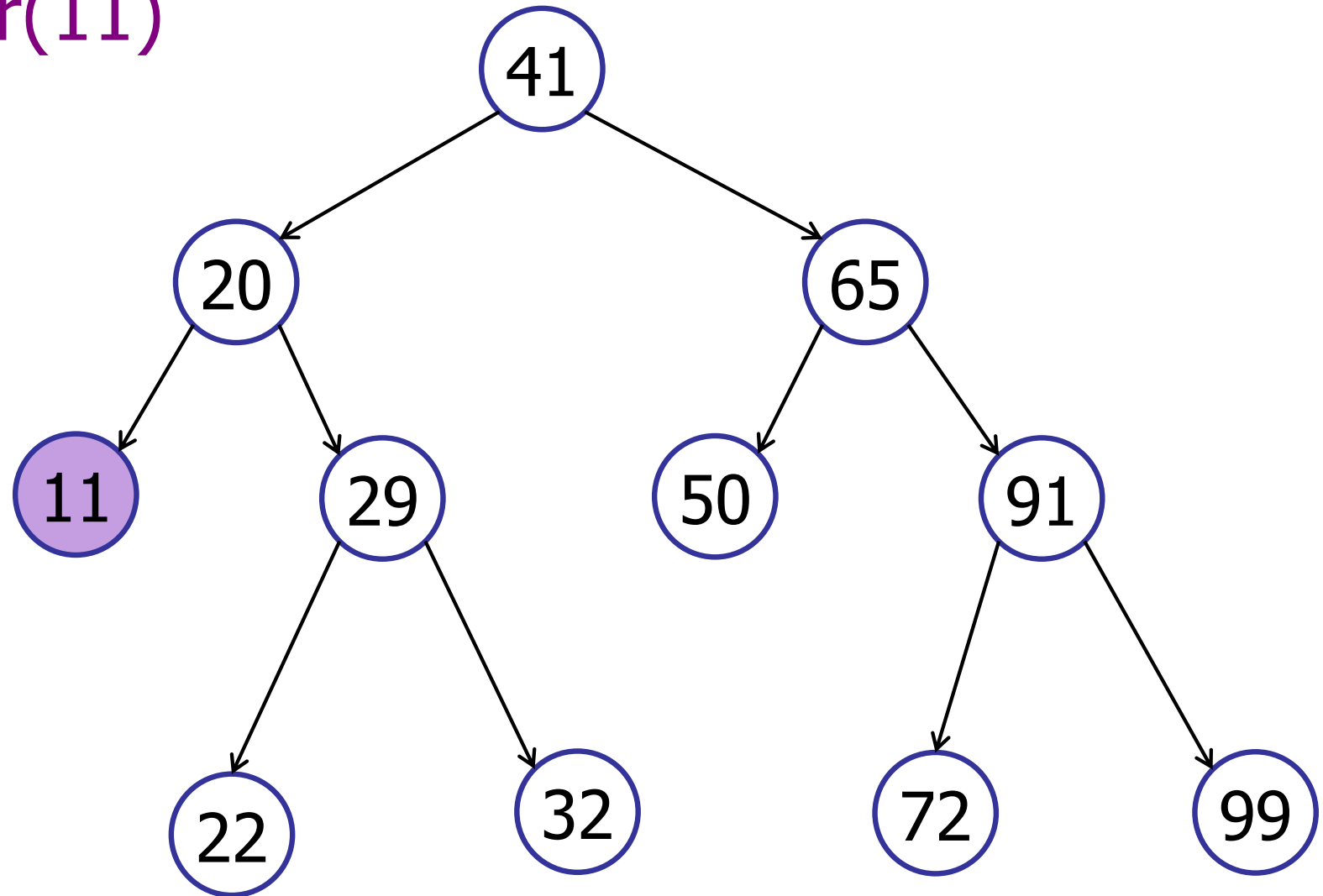
successor(20) =
right.searchMin()



Case 1: node has a right child.

Successor Queries

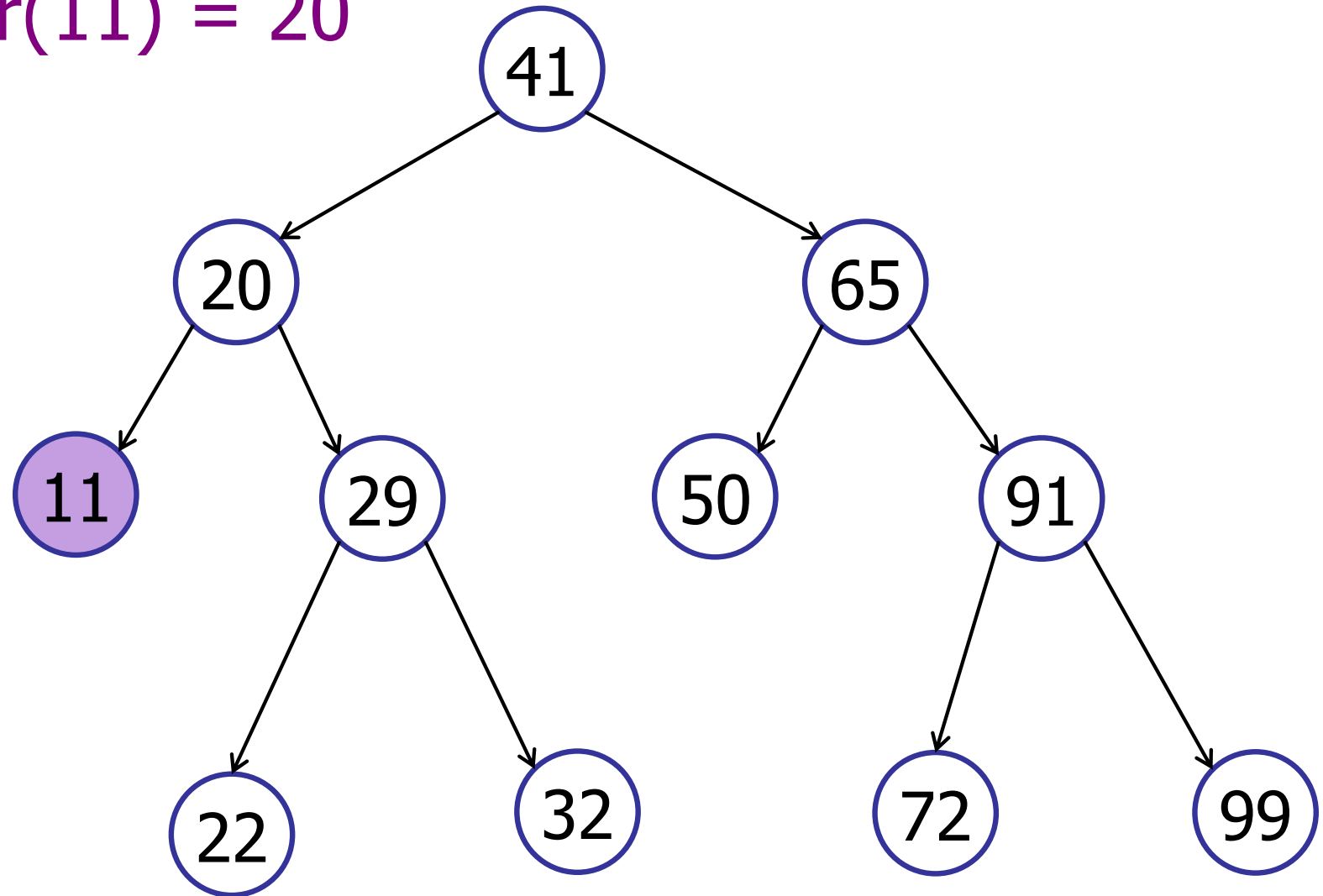
successor(11)



Case 2: node has no right child.

Successor Queries

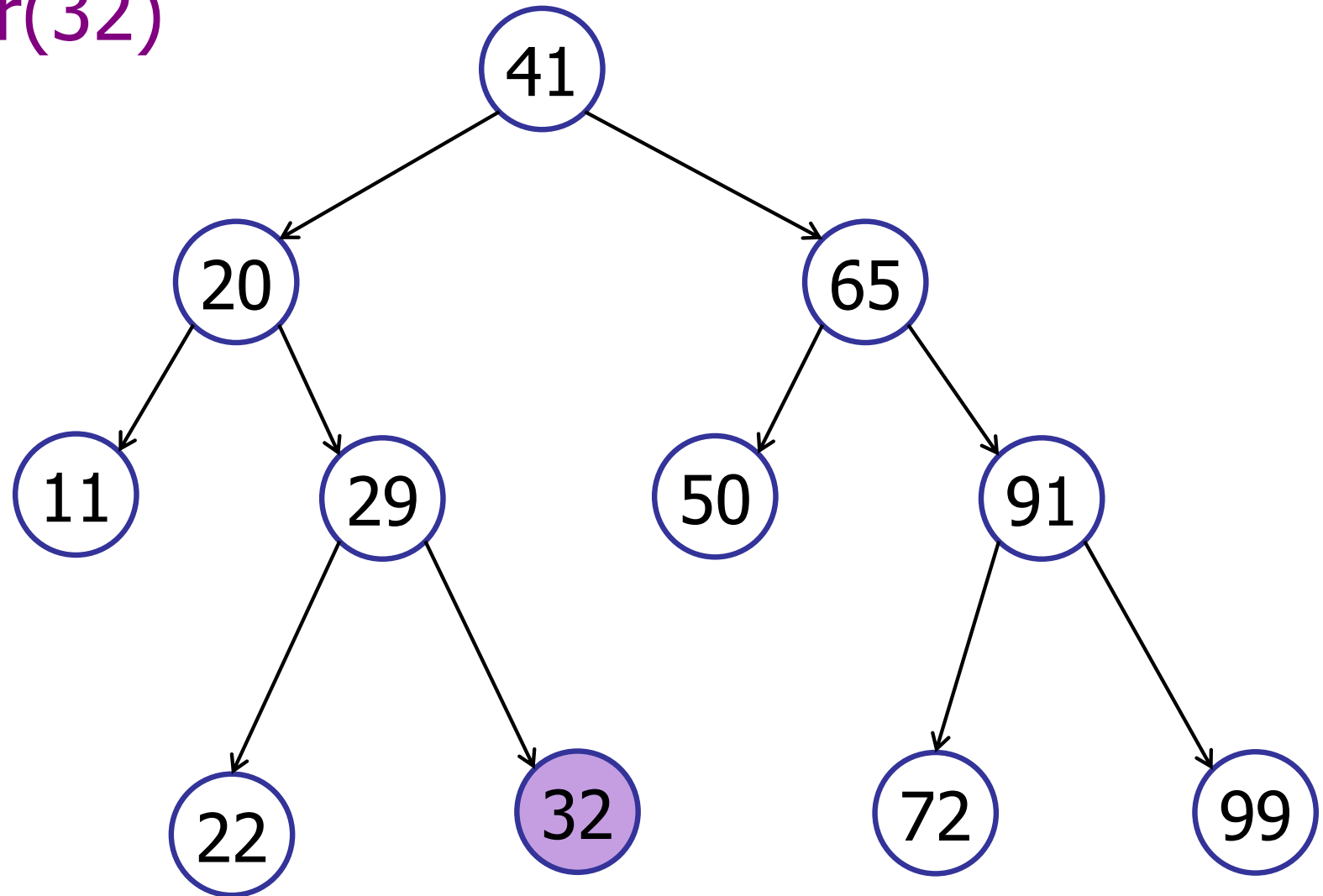
successor(11) = 20



Case 2: node has no right child.

Successor Queries

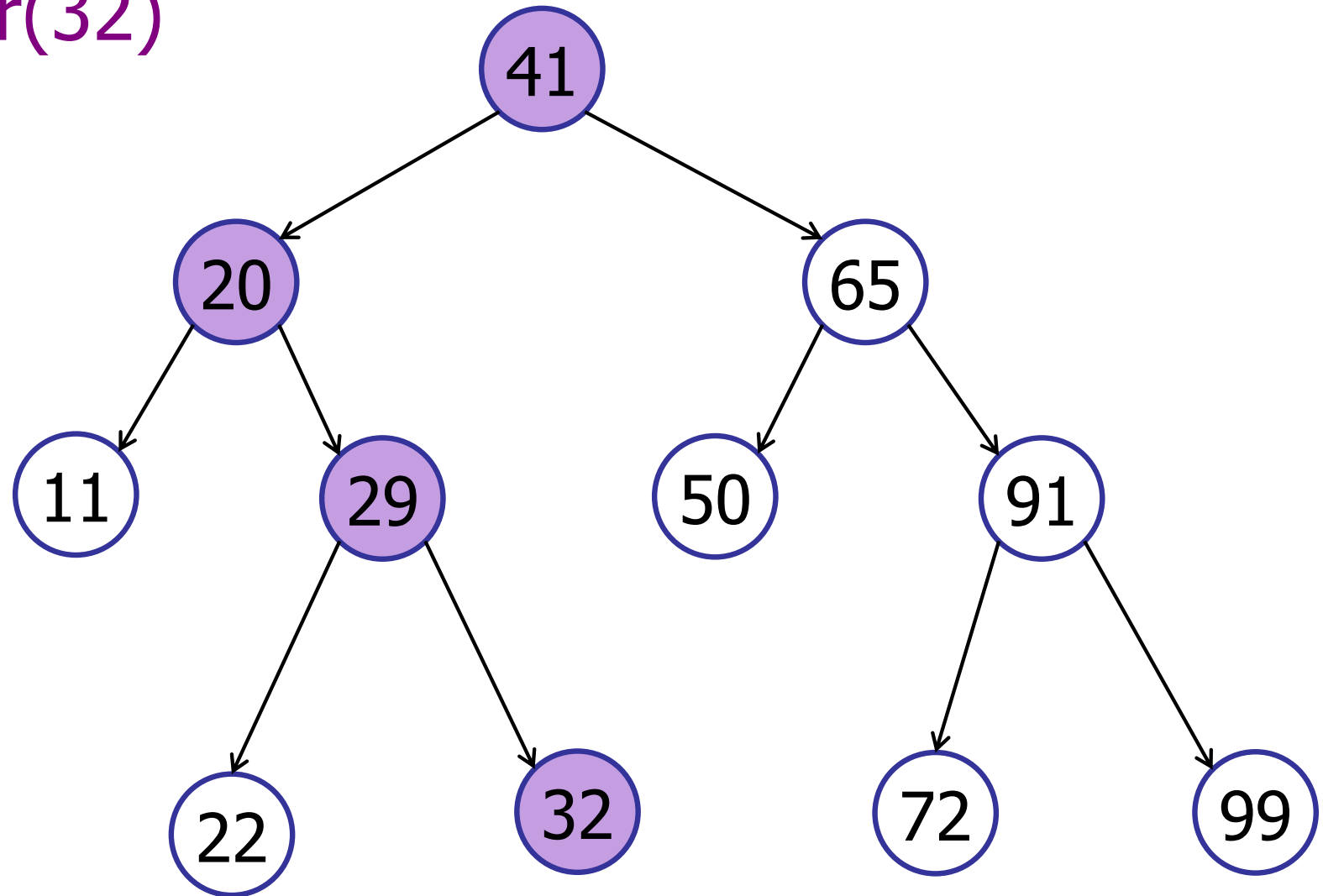
successor(32)



Case 2: node has no right child.

Successor Queries

successor(32)



Case 2: node has no right child.

Successor Queries

Find the next TreeNode:

```
public TreeNode successor() {  
    if (rightTree != null)  
        return rightTree.searchMin();  
  
    TreeNode parent = parentTree;  
    TreeNode child = this;  
    while ((parent != null) && (child == parent.rightTree))  
        child = parent;  
    parent = child.parentTree;  
}  
return parent;  
}
```

Binary Search Trees

1. Terminology and Definitions

2. Basic operations:

- height
- searchMin, searchMax
- search, insert

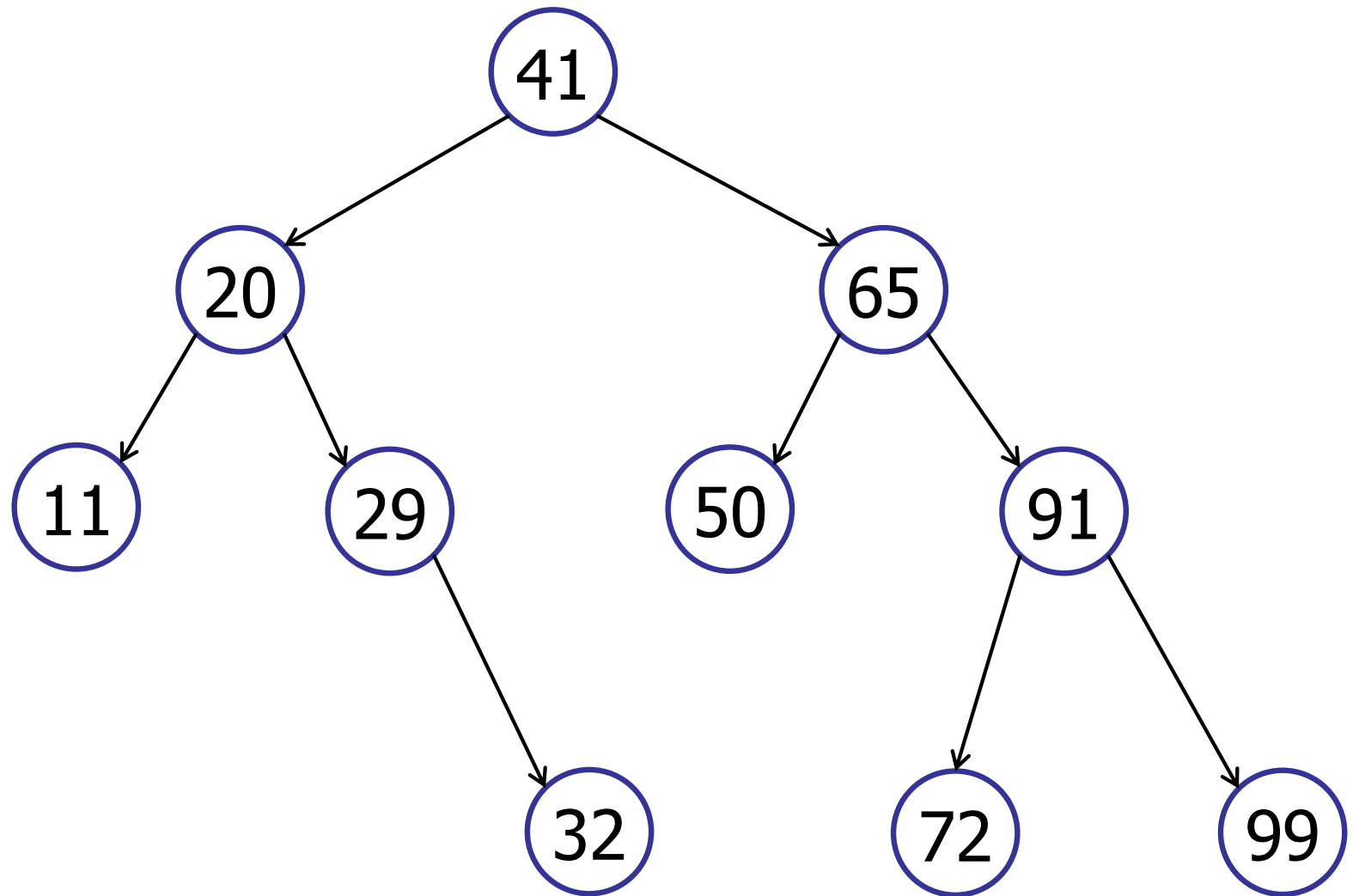
3. Traversals

- in-order, pre-order, post-order

4. Other operations

Binary Search Tree

delete(v)

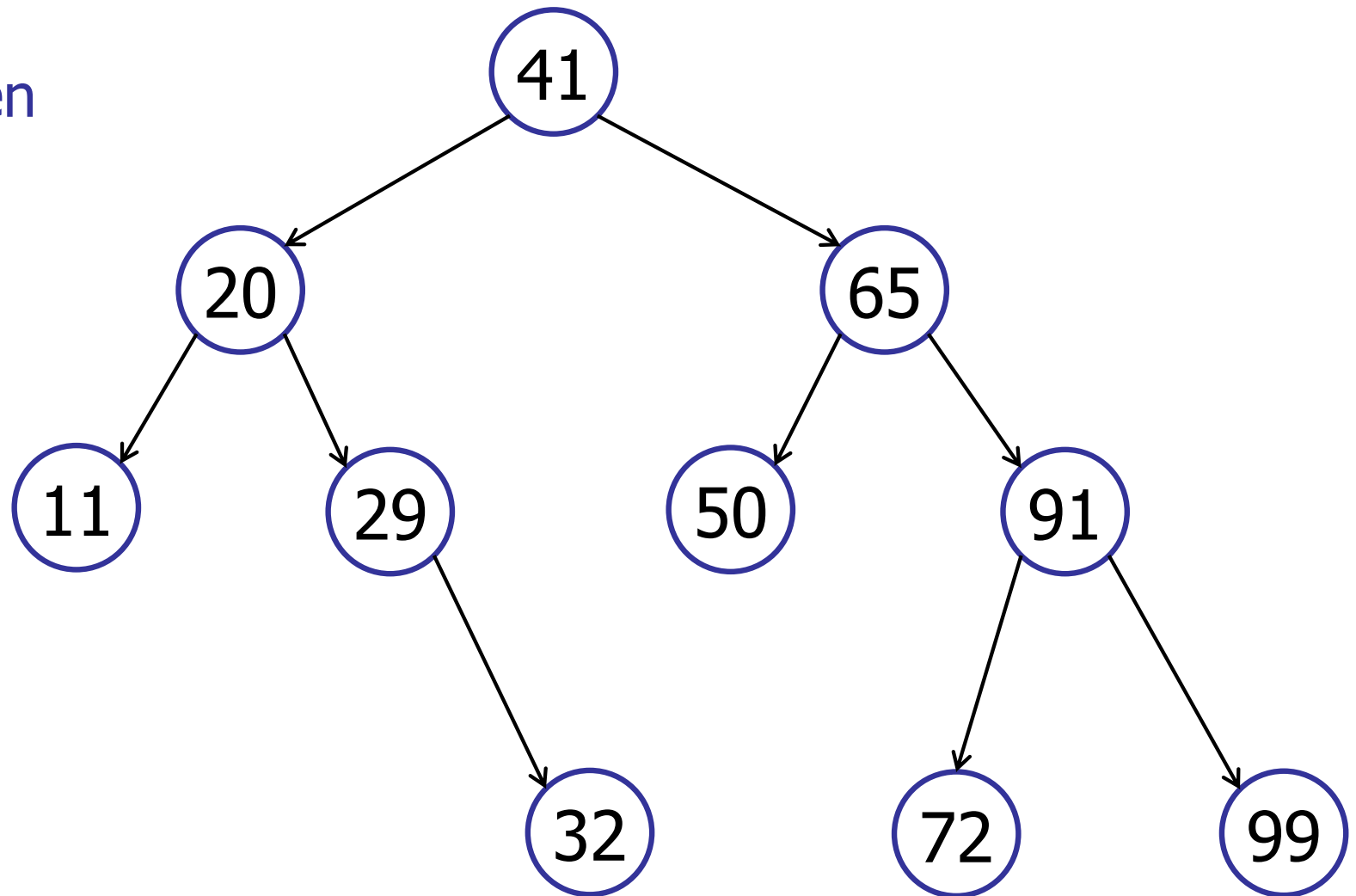


Binary Search Tree

delete(v)

Three cases:

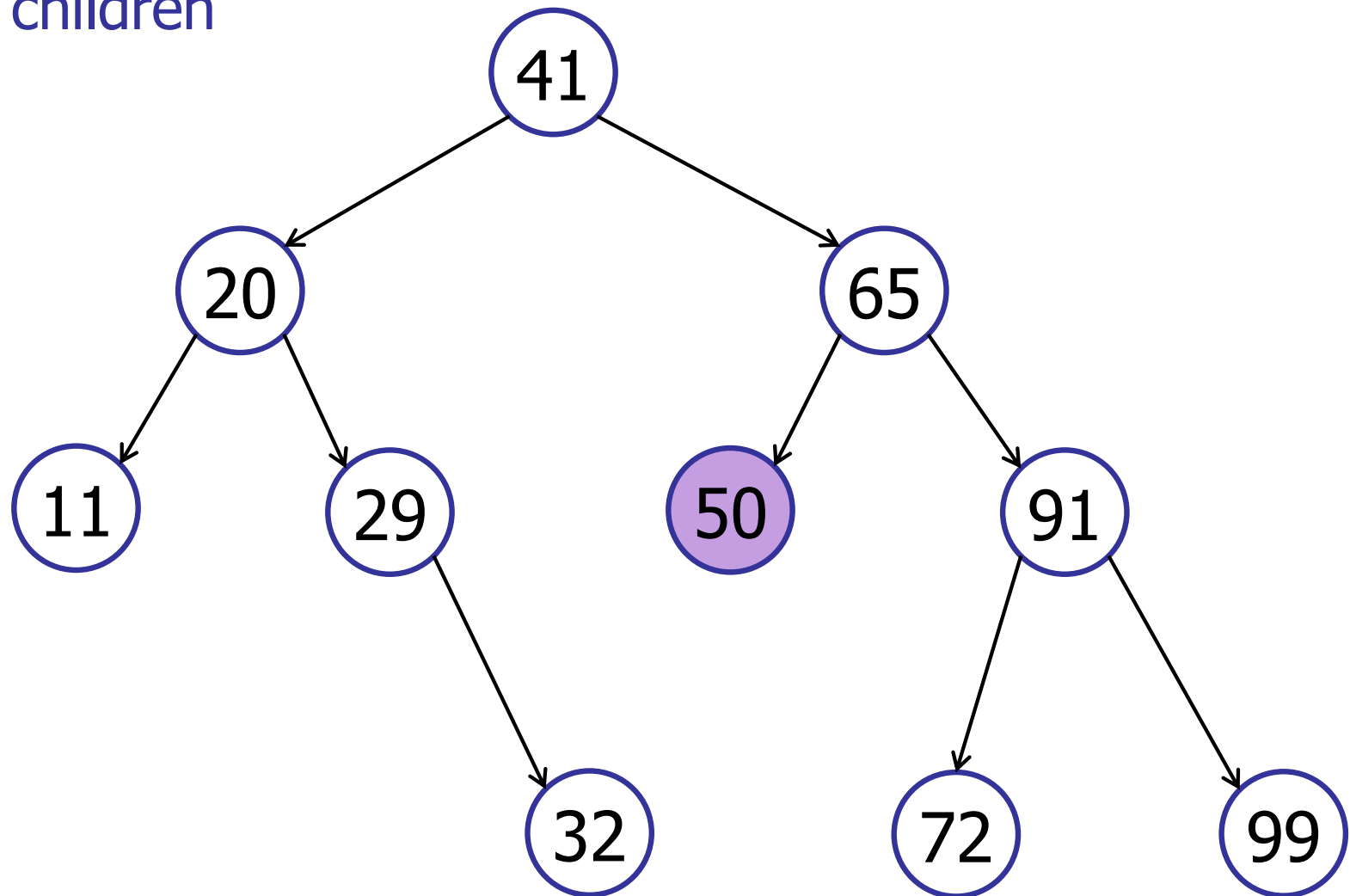
1. No children
2. 1 child
3. 2 children



Binary Search Tree

delete(50)

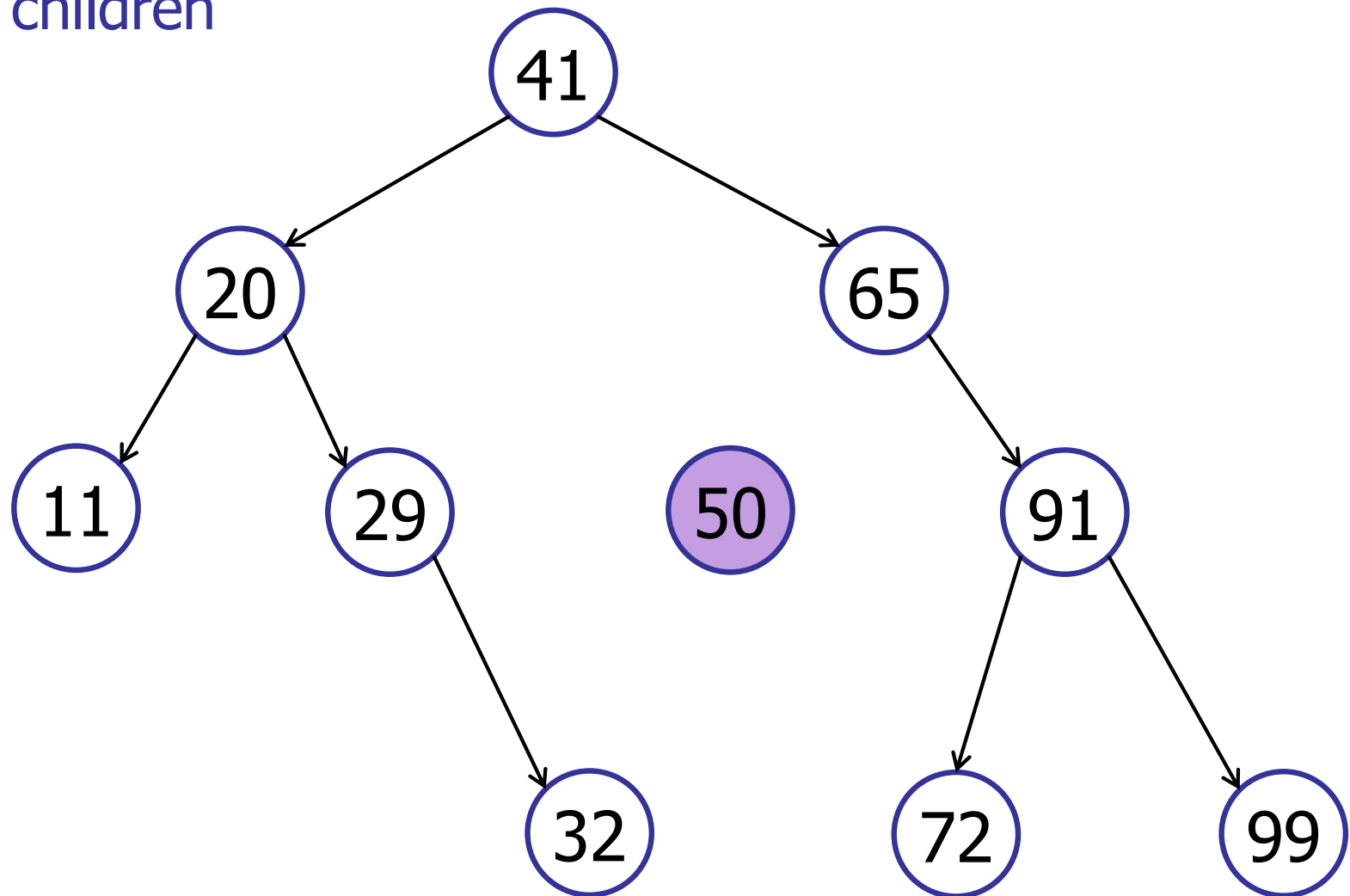
Case 1: No children



Binary Search Tree

delete(50)

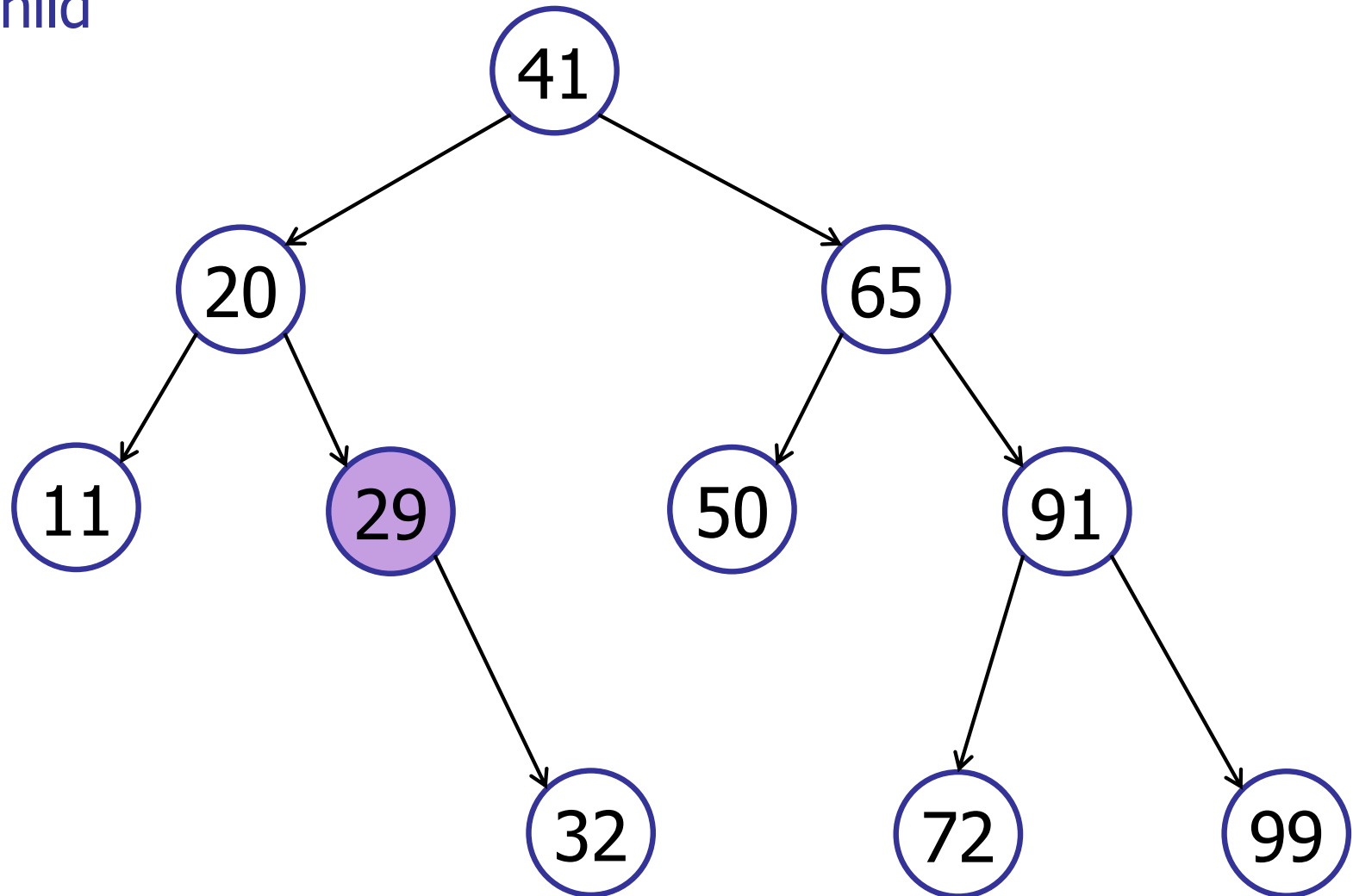
Case 1: No children



Binary Search Tree

delete(29)

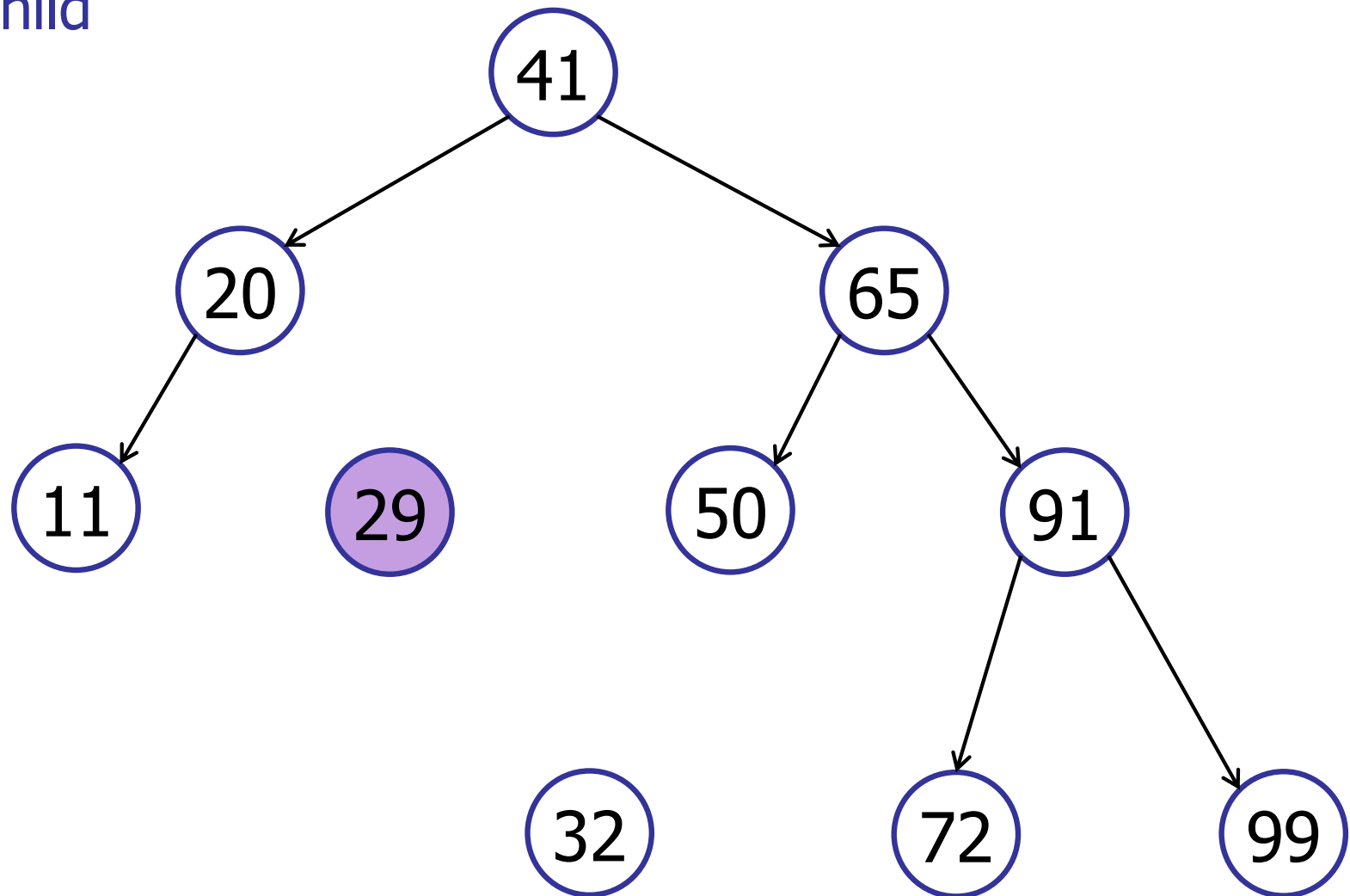
Case 2: 1 child



Binary Search Tree

delete(29)

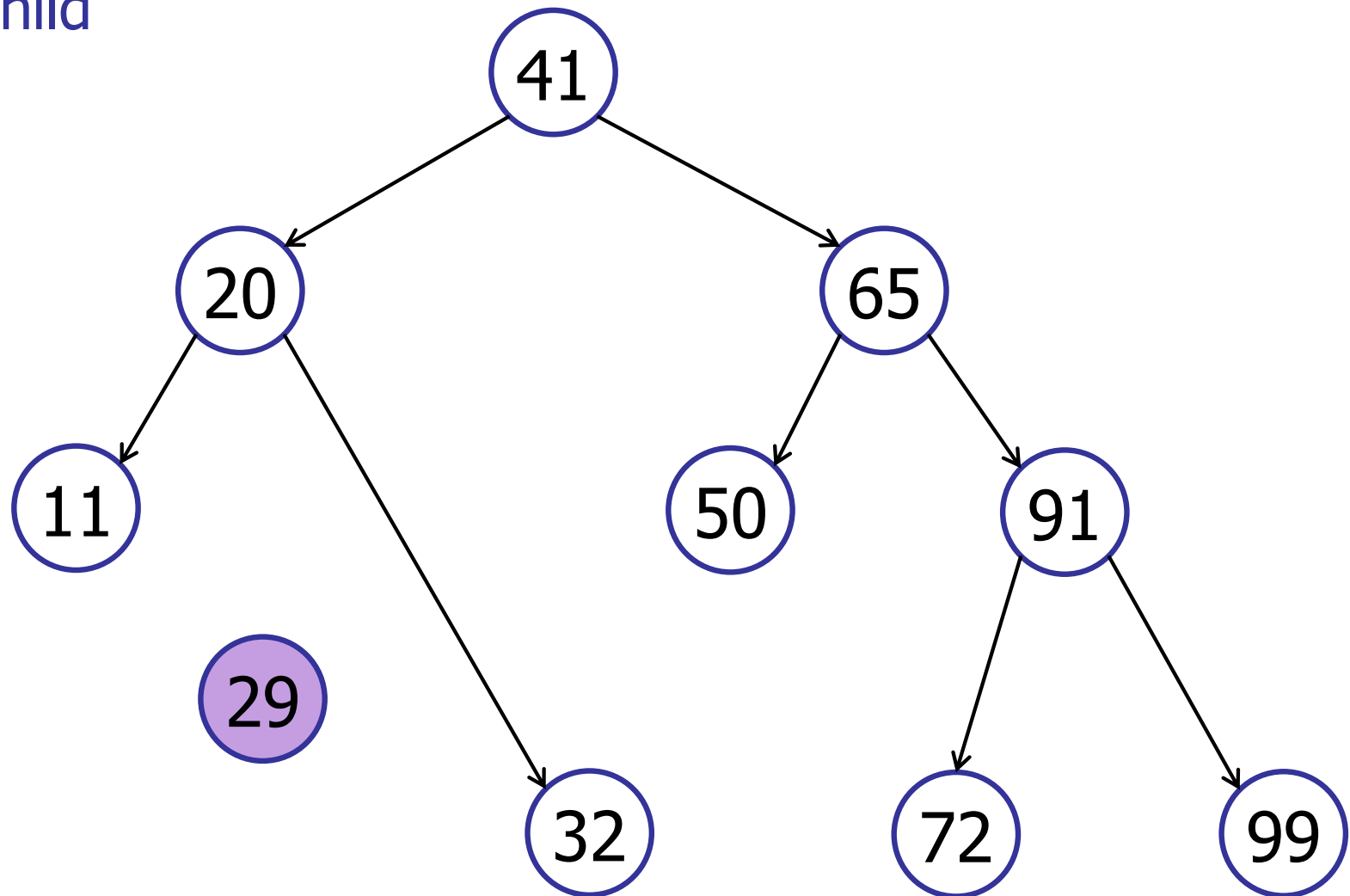
Case 2: 1 child



Binary Search Tree

delete(29)

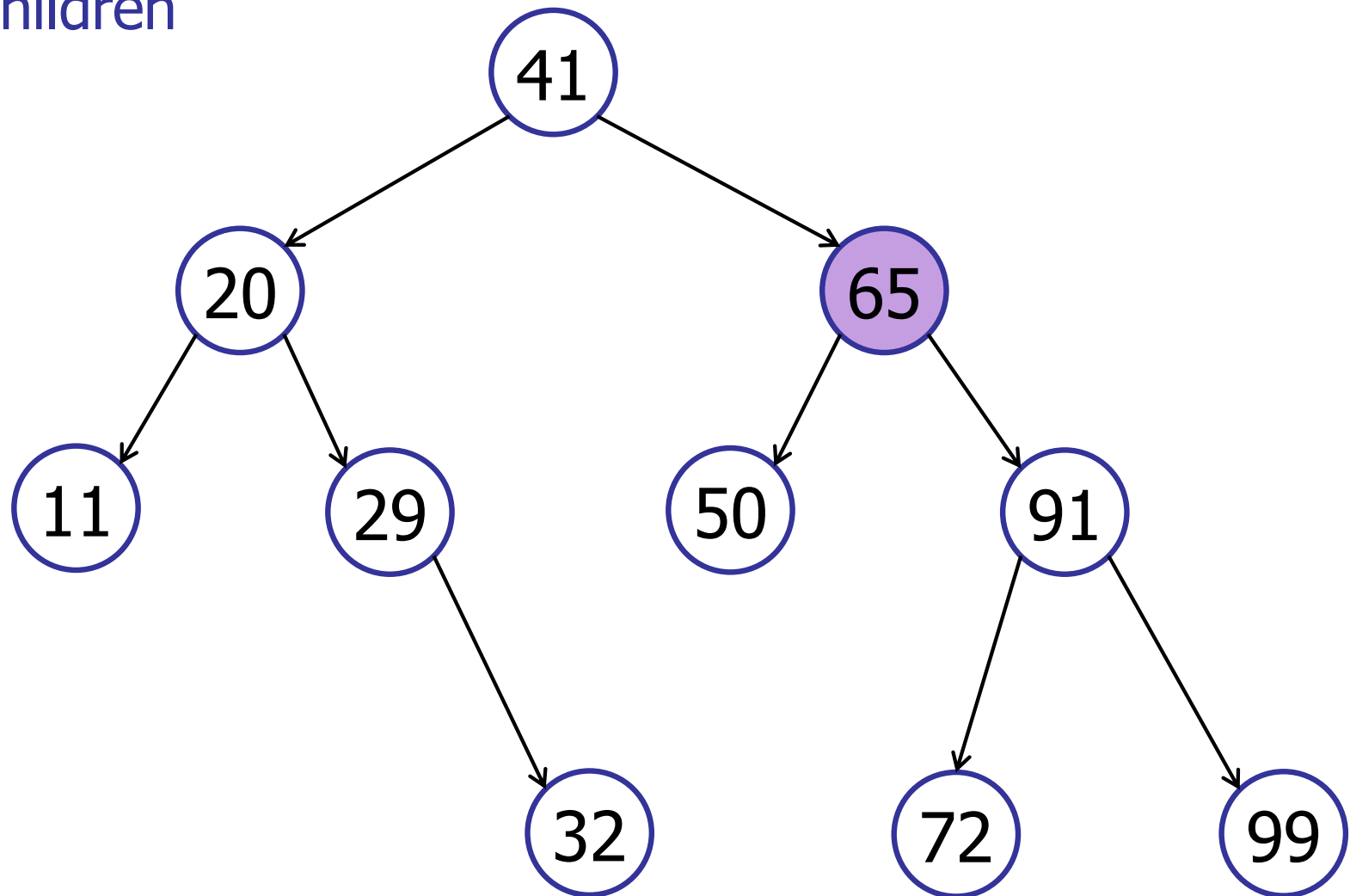
Case 2: 1 child



Binary Search Tree

delete(65)

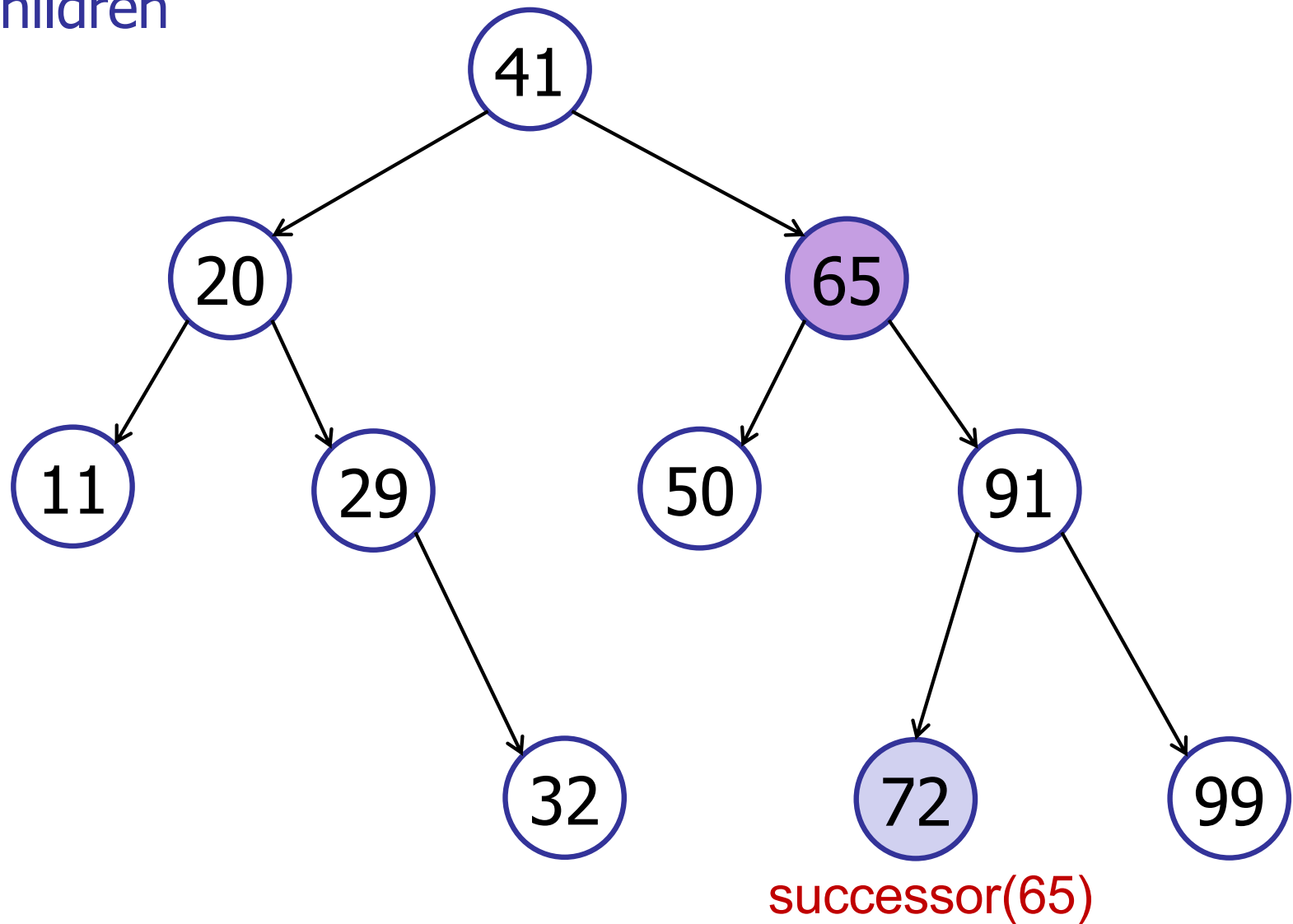
Case 3: 2 children



Binary Search Tree

delete(65)

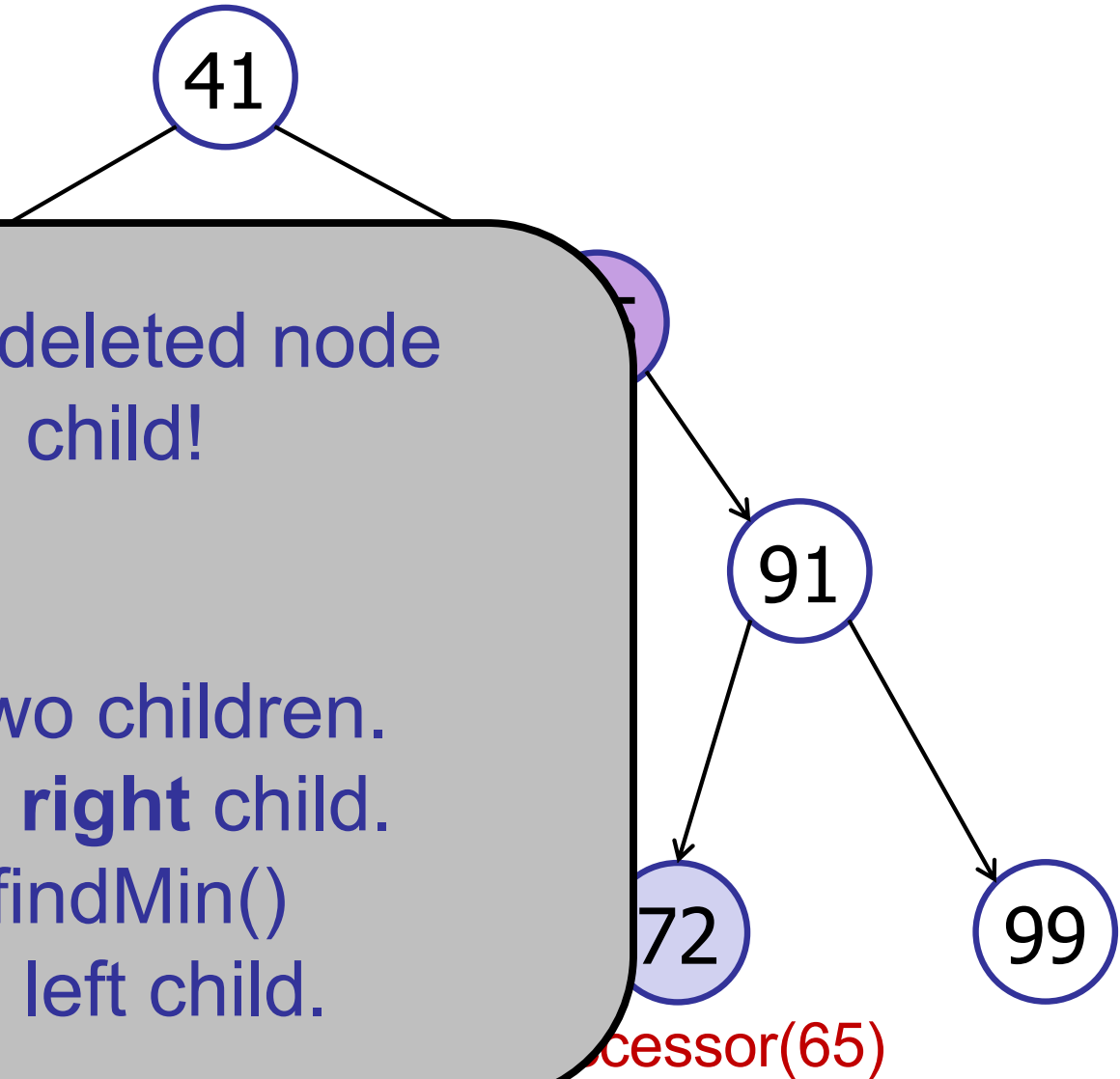
Case 3: 2 children



Binary Search Tree

delete(65)

Case 3: 2 children



Claim: successor of deleted node has at most 1 child!

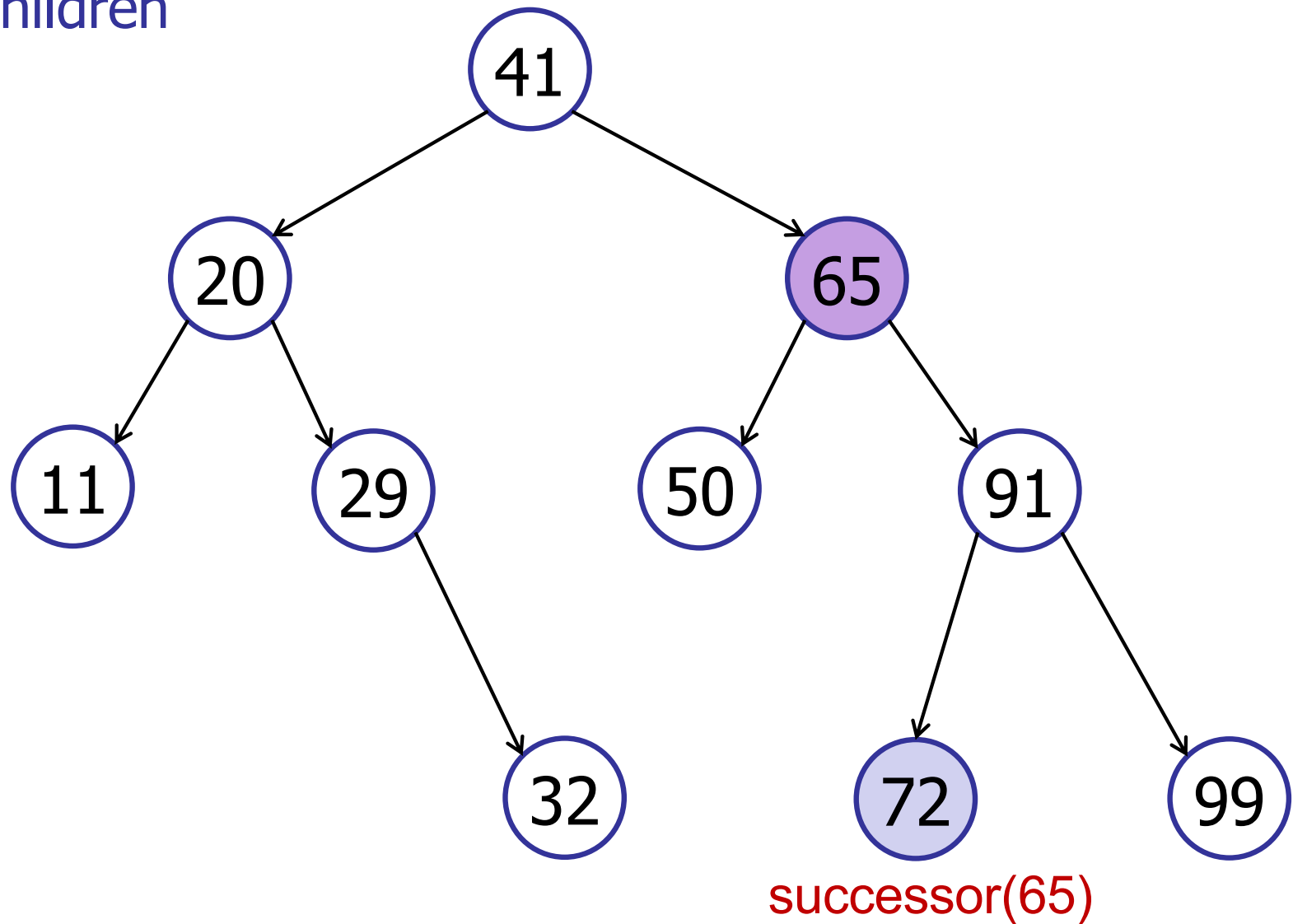
Proof:

- Deleted node has two children.
- Deleted node has a **right** child.
- $\text{successor}() = \text{right.findMin}()$
- min element has no left child.

Binary Search Tree

delete(65)

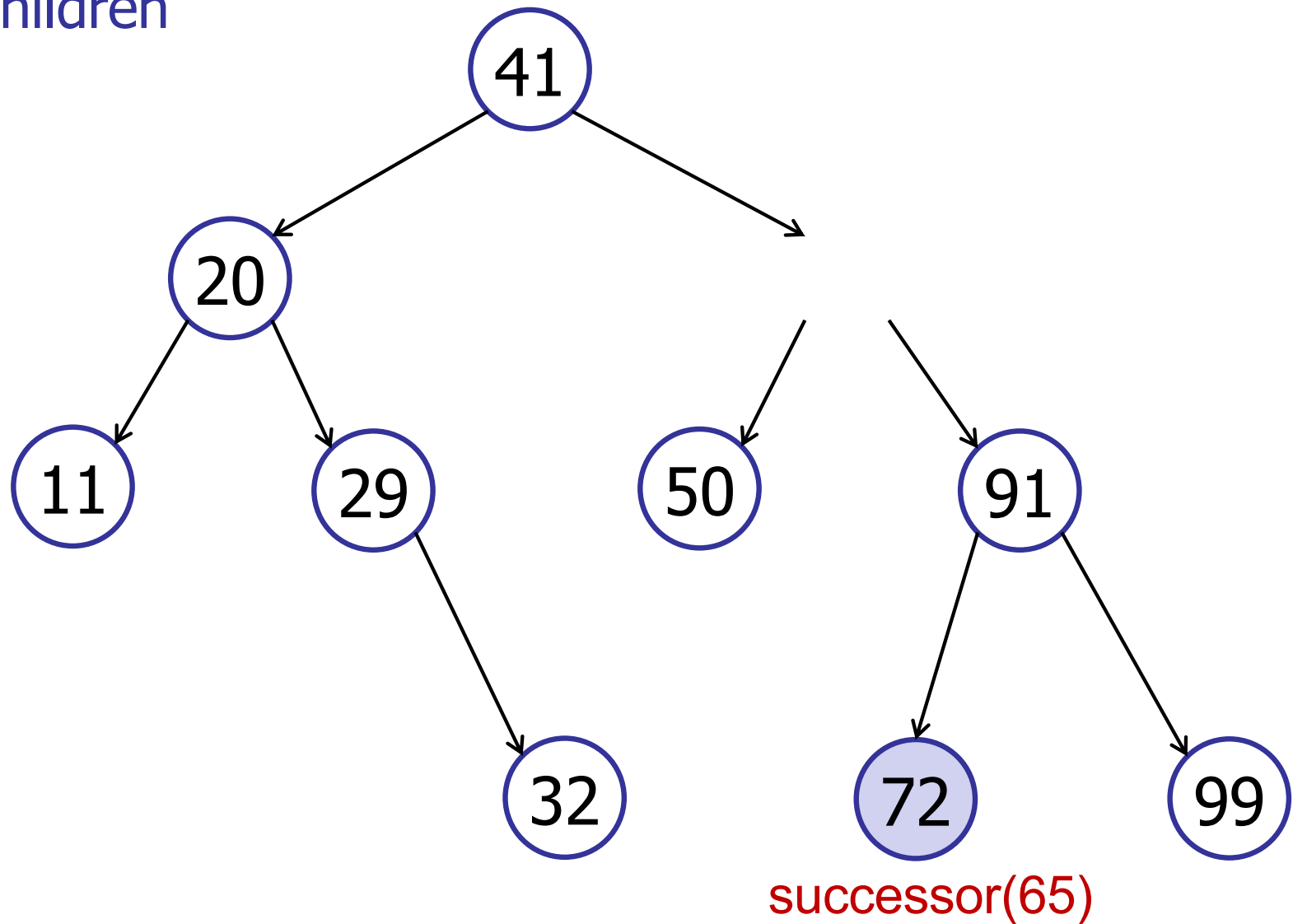
Case 3: 2 children



Binary Search Tree

delete(65)

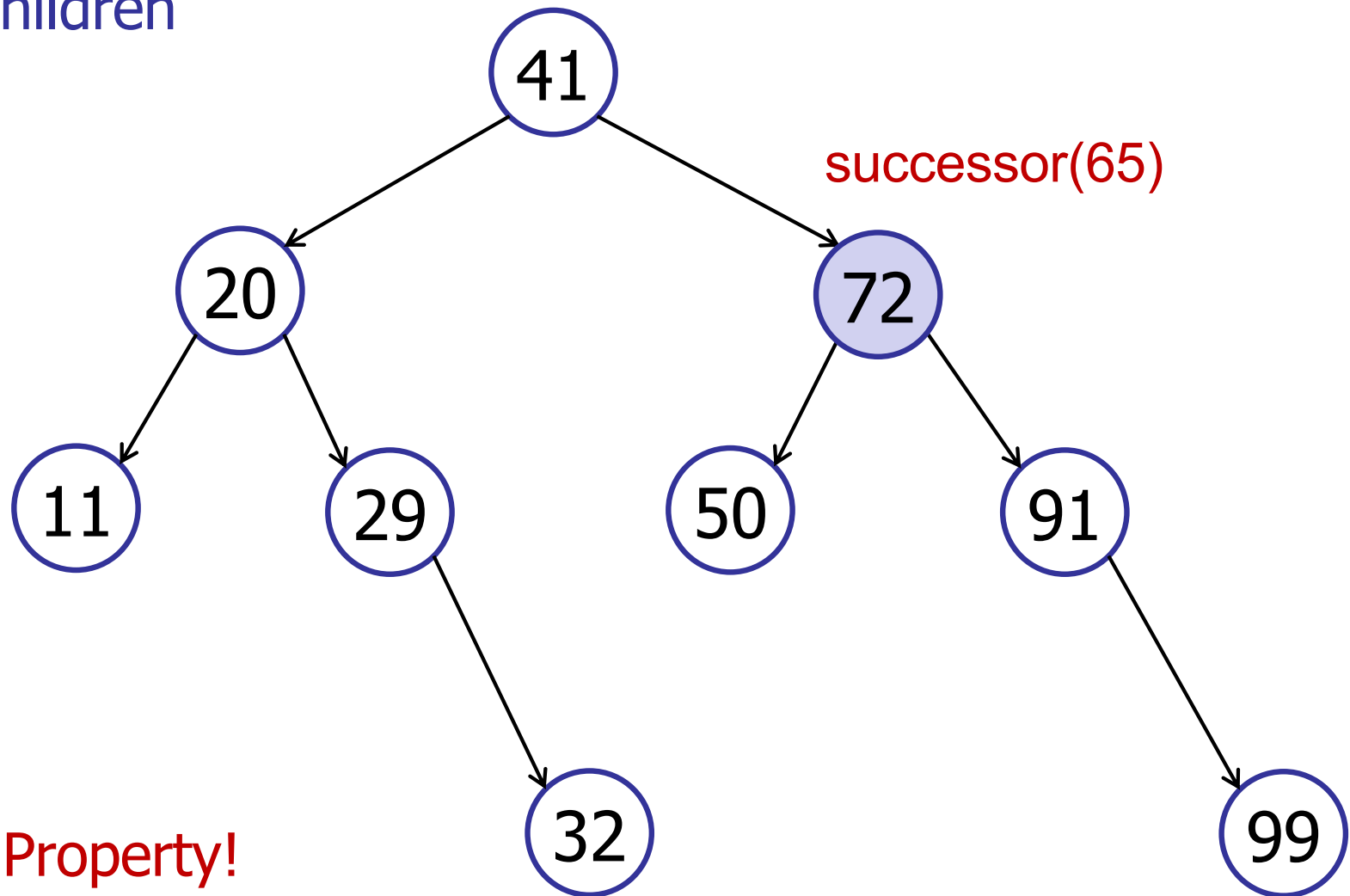
Case 3: 2 children



Binary Search Tree

delete(65)

Case 3: 2 children



Check BST Property!

Binary Search Tree

delete(v)

Running time: $O(\text{height})$

Three cases:

1. No children:

- remove v

2. 1 child:

- remove v
- connect child(v) to parent(v)

3. 2 children

- $x = \text{successor}(v)$
- delete(x)
- remove v
- connect x to left(v), right(v), parent(v)

Binary Search Tree

Modifying Operations

- insert: $O(h)$
- delete: $O(h)$

Query Operations:

- search: $O(h)$
- predecessor, successor: $O(h)$
- findMax, findMin: $O(h)$
- in-order-traversal: $O(n)$

Plan of the Day

Trees

- Terminology
- Traversals
- Operations

Balanced Trees

- Height-balanced binary search trees
- AVL trees
- Rotations