

Structural Induction

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Making a property inductive by strengthening

Structural
Induction

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- ▶ $\text{fib } 0 = 1$
- ▶ $\text{fib } 1 = 1$
- ▶ $\text{fib } (S (S n)) = \text{fib } n + \text{fib } (S n)$
- ▶ $\text{gfib } a b 0 = a$
- ▶ $\text{gfib } a b 1 = b$
- ▶ $\text{gfib } a b (S (S n)) = \text{gfib } a b n + \text{gfib } a b (S n)$

Strengthening a
property

Well-founded
induction

Structural
induction

Induction on a
inductive predicate

Prove $\forall n, \text{gfib } 1 1 n = \text{fib } n$ by obvious (?) induction

- ▶ $\text{gfib } 1 1 0 = 1 = \text{fib } 0$
- ▶ Assume $\text{gfib } 1 1 n = \text{fib } n$, $\text{gfib } 1 1 (S n) = ?$
2 cases
 - ▶ $n = 0$: $\text{gfib } 1 1 (S 0) = 1 = \text{fib } (S 0)$
 - ▶ $n = S p$: $\text{gfib } 1 1 (S (S p)) = \underbrace{\text{gfib } 1 1 p}_{\text{NOK}} + \underbrace{\text{gfib } 1 1 (S p)}_{\text{OK}}$

Need to prove simultaneously $P n$ and $P (S n)$

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- ▶ $\text{fib } 0 = 1$
- ▶ $\text{fib } 1 = 1$
- ▶ $\text{fib } (S (S n)) = \text{fib } n + \text{fib } (S n)$
- ▶ $\text{gfib } a b 0 = a$
- ▶ $\text{gfib } a b 1 = b$
- ▶ $\text{gfib } a b (S (S n)) = \text{gfib } a b n + \text{gfib } a b (S n)$

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Prove $\forall n, \text{gfib } 1 1 n = \text{fib } n \wedge \text{gfib } 1 1 (S n) = \text{fib } (S n)$

- ▶ $\text{gfib } 1 1 0 = 1 = \text{fib } 0, \text{gfib } 1 1 (S 0) = 1 = \text{fib } (S 0)$
- ▶ Assume $\text{gfib } 1 1 n = \text{fib } n$ and $\text{gfib } 1 1 (S n) = \text{fib } (S n)$, then
 - ▶ $\text{gfib } 1 1 (S n) = \text{fib } (S n)$ by 2nd induction hyp
 - ▶ $\text{gfib } 1 1 (S (S n)) = \text{gfib } 1 1 n + \text{gfib } 1 1 (S n) = \text{fib } n + \text{fib } (S n) = \text{fib } (S (S n))$
using the 2 induction hyps

Abstracting this reasoning scheme

$$\frac{P\ 0 \quad P\ 1 \quad \forall n, P\ n \Rightarrow P(S\ n) \Rightarrow P(S(S\ n))}{\forall n, P\ n}$$

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Can be proved from \mathbb{N} along the same lines

Pose $Q\ n \stackrel{\text{def}}{=} P\ n \wedge P(S\ n)$

- ▶ $Q\ 0$: using $P\ 0$ and $P\ 1$
- ▶ Assume $Q\ n$, prove $Q(S\ n)$
 - ▶ $Q\ n$ provides $P\ n$ and $P(S\ n)$
 - ▶ We want $Q\ n$, that is $P(S\ n)$ and $P(S(S\ n))$
 - ▶ $P(S\ n)$: by first induction hypothesis
 - ▶ $P(S(S\ n))$: using $\forall n, P\ n \Rightarrow P(S\ n) \Rightarrow P(S(S\ n))$ and the 2 induction hypotheses

Drawing dependencies

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For

- ▶ plus
- ▶ add
- ▶ fib
- ▶ gfib

Still stronger

$$\frac{P\ 0 \quad P\ 1 \quad \forall n, P\ n \wedge P\ (S\ n) \Rightarrow P\ (S\ (S\ n))}{\forall n, P\ n}$$

$$\frac{P\ 0 \quad \forall n, (\forall m, m \leq n \Rightarrow P\ m) \Rightarrow P\ (S\ n)}{\forall n, P\ n}$$

By (basic) induction on $Q\ n \stackrel{\text{def}}{=} \forall m, m \leq n \Rightarrow P\ m$

Rephrasing

$$\frac{\forall n, (\forall m, m < n \Rightarrow P\ m) \Rightarrow P\ n}{\forall n, P\ n}$$

Well-founded induction on $(\mathbb{N}, <)$

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Well-founded induction

Material:

- ▶ S : a set, called the domain of the induction
- ▶ R : a relation on S
- ▶ R is **well-founded**

$$\frac{\forall x, (\forall y, R y x \Rightarrow P y) \Rightarrow P x}{\forall x, P x}$$

Two equivalent views on *well-founded*

- ▶ any decreasing chain eventually stops
- ▶ all elements of S are **accessible**

An element is **accessible** def all its predecessors are accessible

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Theorem of chocolate tablets

Statement

Let us take a tablet containing n tiles
and cut it into pieces along grooves

How many shots are needed for reducing the tablet into tiles?

Answer

$$n - 1$$

It does not depend on successive choices of grooves!

Proof

By well-founded induction on $(\mathbb{N}, <)$

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Construction of well-founded relations

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E.g. the lexicographic ordering of two well-founded relations is well-founded.

Structural induction

A very natural generalisation of induction

On lists

$$\frac{P \text{ nil} \quad \forall n \forall l, P l \Rightarrow P(n :: l)}{\forall l, P l}$$

Examples: stuttering list, associativity of append, reverse

On binary trees

$$\frac{P \text{ leaf} \quad \forall n \forall t_l t_r, P t_l \Rightarrow P t_r \Rightarrow P (\text{Node } t_l n t_r)}{\forall t, P t}$$

Examples: number of keys and of leaves, algorithms on binary search trees

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Induction on a inductive predicate

```
Inductive even (n: nat) :=  
  | E0 : even 0  
  | E2: forall n:nat, even n -> even (S (S n)).
```

$$\frac{P\ 0 \quad \forall n, \text{even } n \Rightarrow P\ n \Rightarrow P\ (S\ (S\ n))}{\forall n, \text{even } n \Rightarrow P\ n}$$

Rem: we use Coq syntax, up to something to be introduced in later lectures – indicating the type of *even* *n*.

Lists of consecutive even numbers

Inductive list :=

| E : list

| C : nat -> list -> list.

$$\frac{P\ E \quad \forall n \forall l, P\ l \Rightarrow P\ (C\ n\ l)}{\forall l, P\ l}$$

Inductive evl (n: nat) :=

| E0 : evl 0

| E2: forall n:nat, evl n -> evl (S (S n)).

$$\frac{P\ E0 \quad \forall n \forall l, P\ l \Rightarrow P\ (E2\ n\ l)}{\forall l, P\ l}$$

$$\frac{P\ 0\ E0 \quad \forall n \forall l, P\ n\ l \Rightarrow P\ (S\ (S\ n))\ (E2\ n\ l)}{\forall n\ l, P\ n\ l}$$

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Lists of consecutive even numbers (cont'd)

Inductive evl (*n*: nat) :=

| E0 : evl 0

| E2: forall *n*:nat, evl *n* -> evl (S (S *n*)).

$$\frac{P\ 0\ E0 \quad \forall n \forall l, P\ n\ l \Rightarrow P\ (S\ (S\ n))\ (E2\ n\ l)}{\forall n l, P\ n\ l}$$

Take for *P* a predicate which does not depend on its second argument: $P\ n\ l \stackrel{\text{def}}{=} Q\ n$

$$\frac{Q\ 0 \quad \forall n \forall (l : \text{evl } n), Q\ n \Rightarrow Q\ (S\ (S\ n))}{\forall n (l : \text{evl } n), Q\ n}$$

$$\frac{Q\ 0 \quad \forall n, \text{evl } n \Rightarrow Q\ n \Rightarrow Q\ (S\ (S\ n))}{\forall n, \text{evl } n \Rightarrow Q\ n}$$

Now, evl reads just *even*

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Application: accessibility on (S, R)

Already available:

- ▶ a type S representing the domain of induction
- ▶ a binary relation R on S

Inductive accessible : $S \rightarrow \text{Prop} :=$

AccIntro :

(forall y, $R\ y\ x \rightarrow \text{accessible}\ y$) \rightarrow
 accessible x

$$\frac{\forall x, (\forall y, R\ y\ x \Rightarrow P\ y) \Rightarrow P\ x}{\forall x, \text{accessible}\ x \Rightarrow P\ x}$$

Definition. The relation R is **well-founded** when all elements of S are accessible. If R is well-founded, **well-founded induction** can be derived:

$$\frac{\forall x, (\forall y, R\ y\ x \Rightarrow P\ y) \Rightarrow P\ x}{\forall x, P\ x}$$

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Trees everywhere!

- ▶ Very intuitive objects
- ▶ Suitable for **structural induction**, a natural and simple generalisation of basic induction on natural numbers
- ▶ All forms of induction are special cases of structural induction
(infinitely branching trees may enter into the picture)