

# Affine Transformation 2

Computational Visual Design Laboratory  
(<https://github.com/cvlab>) “Roma Tre” Univ, Italy

Computational Graphics 2013



# Contents

## 3D Affine transformations

- Translation and scaling

- Rotation

- Shearing



# Introduction

A 3D extension of plane **translation and scaling** is easy

A major care is only needed for **3D rotation** and **3D shearing**

In order to unify the treatment of linear and affine transformations, and to use the **matrix product** as the only geometric operator, we use **normalized homogeneous coordinates** and tensors in **lin  $\mathbb{R}^4$**



# Contents

## 3D Affine transformations

Translation and scaling

Rotation

Shearing



# Translation

**Translation** tensor  $\mathbf{T}_{xyz}(l, m, n)$  with parameters  $l, m, n$  (the components of the translation vector), and its matrix:

$$\mathbf{T}_{xyz}(l, m, n) = \begin{pmatrix} 1 & 0 & 0 & l \\ 0 & 1 & 0 & m \\ 0 & 0 & 1 & n \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

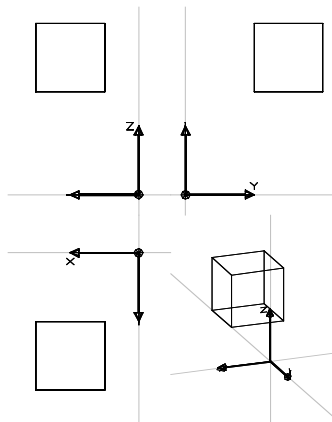
## Remark

*Here the homogeneous row and column are the last ones !!*



# Translation

Predefined operator in `Pyplasm`



```
T([1,2,3])([0.5,1,1.5])(CUBOID([1,1,1]))
```



# Scaling

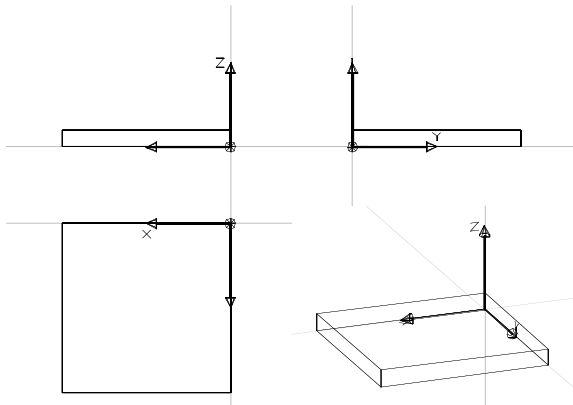
The **scaling** tensor  $\mathbf{S}_{xyz}(a, b, c)$  with parameters  $a, b, c$  is represented in coordinates by the matrix

$$\mathbf{S}_{xyz}(a, b, c) = \begin{pmatrix} a & 0 & 0 & 0 \\ 0 & b & 0 & 0 \\ 0 & 0 & c & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}.$$



# Scaling

Predefined operator in Pyplasm



```
S([1,2,3])([2,2,0.2])(CUBOID([1,1,1]))
```





# Contents

## 3D Affine transformations

Translation and scaling

**Rotation**

Shearing



# Elementary rotations

There are  $\binom{d}{2}$  different elementary rotations in  $\mathbb{E}^d$

Given a Cartesian frame in  $\mathbb{E}^3$ , we call **elementary rotations**  $\mathbf{R}_{yz}, \mathbf{R}_{xz}$  and  $\mathbf{R}_{xy}$ , three functions  $\mathbb{R} \rightarrow \text{lin } \mathbb{R}^3$ , which give, for every angle, the rotation tensor about a coordinate axis

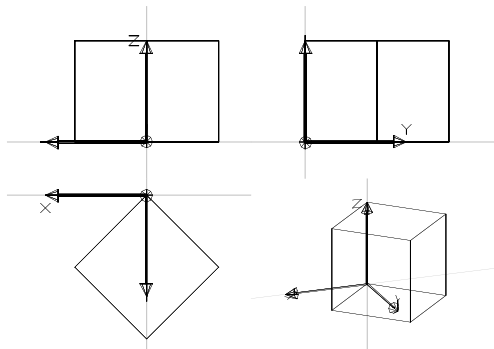
$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \alpha & -\sin \alpha \\ 0 & \sin \alpha & \cos \alpha \end{pmatrix}, \begin{pmatrix} \cos \beta & 0 & \sin \beta \\ 0 & 1 & 0 \\ -\sin \beta & 0 & \cos \beta \end{pmatrix}, \begin{pmatrix} \cos \gamma & -\sin \gamma & 0 \\ \sin \gamma & \cos \gamma & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

Matrices in Cartesian coordinates



# Elementary rotations

Predefined operator in `Pyplasm`



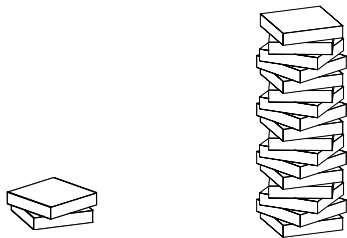
```
R([1,2])(PI/4)(CUBOID([1,1,1]))
```



# Elementary rotations

## example

Here we define a parallelepiped `element`, translated in  $x, y$  by a tensor  $T([1, 2])([-5, -5])$  to move its center upon the  $z$  axis



```
element = COMP([T([1,2])([-5,-5]), CUBOID])([10,10,2])
element = T([1,2])([-5,-5])(CUBOID([10,10,2]))

column = STRUCT(NN(17)([element, T(3)(2), R([1,2])(PI/8)]))
column = STRUCT(CAT(N(17)([element, T(3)(2), R([1,2])(PI/8)])))

VIEW(column)
```

equivalent expressions



# General rotation

A rotation of  $\mathbb{E}^3$  is a linear orthogonal transformation with a set of fixed points (**eigenspace** in linear algebra) of dimension 1, known as **rotation axis**

In this transformation, every point (not on the axis) is mapped in the other extreme of a circumference arc of constant angle, centered on the axis, and contained in a plane orthogonal to it.

We will compute the rotation matrix of the tensor  $\mathbf{R}_{xyz}(\mathbf{n}, \alpha)$ , with

$$\mathbf{R}_{xyz} : \mathbb{R}^3 \times \mathbb{R} \rightarrow \text{lin } \mathbb{R}^4 : (\mathbf{n}, \alpha) \mapsto \mathbf{R}_{xyz}(\mathbf{n}, \alpha),$$

where the vector  $\mathbf{n}$  is parallel to the rotation axis, and  $\alpha$  is the angle of rotation



# General rotation

by composition of elementary rotations

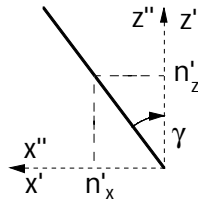
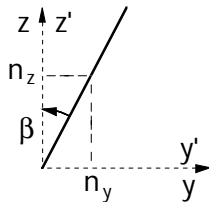
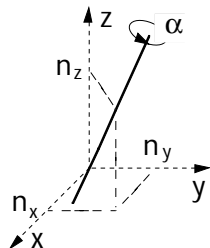
A 3D non elementary rotation  $\mathbf{R}_{xyz}(\mathbf{n}, \alpha)$ , with axis  $n$  and  $\alpha$  angle, can be reduced to the composition of elementary rotations:

$$\begin{aligned}\mathbf{R}_{xyz}(\mathbf{n}, \alpha) &= (\mathbf{R}_y(\gamma) \circ \mathbf{R}_x(\beta))^{-1} \circ \mathbf{R}_z(\alpha) \circ (\mathbf{R}_y(\gamma) \circ \mathbf{R}_x(\beta)) \\ &= \mathbf{R}_x(\beta)^{-1} \circ \mathbf{R}_y(\gamma)^{-1} \circ \mathbf{R}_z(\alpha) \circ \mathbf{R}_y(\gamma) \circ \mathbf{R}_x(\beta) \\ &= \mathbf{R}_x(-\beta) \circ \mathbf{R}_y(-\gamma) \circ \mathbf{R}_z(\alpha) \circ \mathbf{R}_y(\gamma) \circ \mathbf{R}_x(\beta).\end{aligned}$$



# General rotation

by composition of elementary rotations



(a)  $\mathbf{n}$  axis; (b) rotation about  $x$ ; (c) rotation about  $y$

$$\beta = \arctan\left(\frac{n_y}{n_z}\right) \quad \gamma = -\arctan\left(\frac{n'_x}{n'_z}\right)$$

where  $\mathbf{n}' = \mathbf{R}_x(\beta) \mathbf{n}$ .



# General rotation

by transformation of coordinates

The tensor  $\mathbf{R}_{xyz}(\mathbf{n}, \alpha)$  of a general rotation may be computed by composition of three tensors:

$$\mathbf{R}_{xyz}(\mathbf{n}, \alpha) = \mathbf{Q}_{\mathbf{n}}^{-1} \circ \mathbf{R}_z(\alpha) \circ \mathbf{Q}_{\mathbf{n}}.$$

such that:

1. a coordinate transformation  $\mathbf{Q}_{\mathbf{n}}$  that maps the unit vector  $\frac{\mathbf{n}}{|\mathbf{n}|}$  and two orthogonal versors to the elements of a new basis;
2. a rotation  $\mathbf{R}_z(\alpha)$  about the  $z$  axis of this new basis;
3. the inverse coordinate transformation  $\mathbf{Q}_{\mathbf{n}}^{-1}$ .





# General rotation

by transformation of coordinates

We choose a **triple**  $\mathbf{q}_x, \mathbf{q}_y, \mathbf{q}_z$  of **orthonormal vectors**, with an element oriented as the rotation axis

such vectors are mapped to the basis  $\{\mathbf{e}_i\}$  by the **unknown matrix**  $\mathbf{Q}_n$ :

$$\begin{pmatrix} \mathbf{e}_1 & \mathbf{e}_2 & \mathbf{e}_3 \end{pmatrix} = \mathbf{Q}_n \begin{pmatrix} \mathbf{q}_x & \mathbf{q}_y & \mathbf{q}_z \end{pmatrix}.$$

so that

$$\mathbf{Q}_n = \begin{pmatrix} \mathbf{q}_x & \mathbf{q}_y & \mathbf{q}_z \end{pmatrix}^{-1} = \begin{pmatrix} \mathbf{q}_x & \mathbf{q}_y & \mathbf{q}_z \end{pmatrix}^T = \begin{pmatrix} \mathbf{q}_x^T \\ \mathbf{q}_y^T \\ \mathbf{q}_z^T \end{pmatrix}$$



# General rotation

by transformation of coordinates

Let us start by setting

$$\mathbf{q}_z = \frac{\mathbf{n}}{\|\mathbf{n}\|},$$

We suppose that  $\mathbf{n} \neq \mathbf{e}_3$  is verified — if false, it would imply  $\mathbf{R}(\mathbf{n}, \alpha) = \mathbf{R}_z(\alpha)$ .

Therefore:

$$\mathbf{q}_x = \frac{\mathbf{e}_3 \times \mathbf{n}}{\|\mathbf{e}_3 \times \mathbf{n}\|}, \quad \text{and} \quad \mathbf{q}_y = \mathbf{q}_z \times \mathbf{q}_x.$$



# General rotation

## Implementation by transformation of coordinates

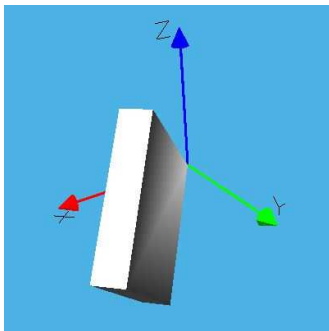
```
def ROTN (args):
    alpha, n = args
    n = UNITVECT(n)
    qx = UNITVECT((VECTPROD([0,0,1], n)))
    qz = UNITVECT(n)
    qy = VECTPROD([qz, qx])
    Q = MATHOM([qx, qy, qz])
    if n[0]==0 and n[1]==0:
        return R([1, 2])(alpha)
    else:
        return COMP([MAT(TRANS(Q)), R([1, 2])(alpha), MAT(Q)])

VIEW( ROTN([PI/4, [0,0,1]]) (CUBE(1)) )
VIEW( ROTN([PI/4, [1,1,1]]) (CUBE(1)) )
```



# General rotation

## example



```
obj = ROTN([ pi/2, [1,1,0] ]) (CUBOID([1,1,0.2]))  
VIEW(obj)
```



# Contents

## 3D Affine transformations

Translation and scaling

Rotation

Shearing



# Elementary shearing

A 3D **elementary shearing** is a tensor that doesn't change one coordinate of  $\mathbb{E}^3$  points, and maps the others as linear functions of the non-transformed coordinate

We may distinguish three elementary shearing tensors  $\mathbf{H}_{yz}(a, b)$ ,  $\mathbf{H}_{xz}(a, b)$  and  $\mathbf{H}_{xy}(a, b)$ , whose matrices differ from the identity only by the elements of a single column

$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ a & 1 & 0 & 0 \\ b & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}, \quad \begin{pmatrix} 1 & a & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & b & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}, \quad \begin{pmatrix} 1 & 0 & a & 0 \\ 0 & 1 & b & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$



# Elementary shearing

- ▶ Let consider the 3D space as a bundle of planes parallel to a coordinate plane, that remains fixed
- ▶ The other planes are translated on themselves, by a linear function of their distance from the fixed plane

$$\mathbf{p}^* = \mathbf{H}_x(a, b) \mathbf{p} = (x, y + ax, z + bx, 1)^T$$

$$\mathbf{p}^* = \mathbf{H}_y(a, b) \mathbf{p} = (x + ay, y, z + by, 1)^T$$

$$\mathbf{p}^* = \mathbf{H}_z(a, b) \mathbf{p} = (x + az, y + bz, z, 1)^T$$

with respect to the tensor  $\mathbf{H}_z = \mathbf{H}_{xy}(a, b)$ :

1. the  $z = 0$  plane is invariant;
2. the  $z = 1$  plane translates by the translation vector  $\mathbf{t} = (a, b, 0)^T$ ;
3. each plane  $z = c$  translates by a vector  $\mathbf{t}' = c(a, b, 0)^T$ .

