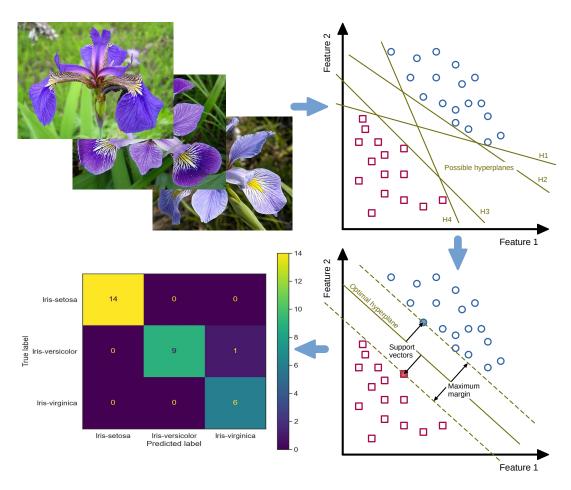
# Getting started with Machine Learning (ML) and Support Vector Classifiers (SVC) - A systematic step-by-step approach

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Anyone who wants to seriously deal with the emerging topic of our time "Artificial Intelligence (AI)" cannot avoid dealing with the basic mathematical models and algorithms from the field of "Machine Learning (ML)" as a subset of AI. However, someone who opens the door for the first time to this equally very exciting as well as arbitrarily complex and, at first glance, confusing world will very quickly be overwhelmed. Here, it is a good idea to consult introductory and systematic tutorials. Therefore, this Getting Started tutorial systematically demonstrates the typical ML work process step-by-step using the very powerful and performant "Support Vector Classifier (SVC)" and the widely known and exceptionally beginner-friendly "Iris Dataset". Furthermore, the selection of the "correct" SVC kernel and its parameters are described and their effects on the classification result are shown.



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#### 1 Introduction

# 1.1 English introduction

In the **digitized work environment**, there is an increasing demand for **Work equipment** to be able to adapt independently and in a task-related manner to changing work situations. Depending on the strength of the degree of flexibility, this **situational adaptivity** can often only be realized by applying mathematical models and algorithms from the field of **Machine Learning (ML)** as a subset of **Artificial Intelligence (AI)**.

Examples of such AI applications in work environments can range from comparatively simple voice assistance systems (similar, for example, to Siri or Alexa from the private sphere) to partially or even fully autonomous systems. However, the distinction between the individual degrees of autonomy is relatively vague and difficult to define, depending on the technical context and the degree of abstraction. Crucial for the classification are the degrees of self-determination, independence, and freedom of decision or action of a technical system towards human intervention.

For example, **driverless transport systems (AGVs)** can navigate **autonomously** through larger industrial facilities using self-learned or updated maps and avoid obstacles by independently finding and optimizing suitable routes. However, at a higher level of abstraction, new logistics tasks are given to them by human operators, which is why AGVs tend to be **highly automated systems** from a human perspective.

In addition to the many very interesting advantages in terms of economic efficiency, workload reduction, etc., such autonomous or highly automated systems are characterized by a very high level of technical complexity. This concerns both their **operating functions** (e.g. autonomous navigation through complex industrial environments with shared use of the roadways by other human-controlled vehicles) and their **safety functions** (e.g. evaluation of interlinked imaging and non-imaging safety sensors for monitoring the driving space to avoid collisions).

Very high requirements are placed on such autonomous systems and the AI algorithms used for this purpose with regard to **functional safety**. However, the requirements for safety evaluability in terms of **transparency** and **explainability** of decisions made by AI are very difficult or impossible to meet, depending on the AI algorithms applied. For example, current research projects are investigating the transparency and explainability of **deep neural networks**. Furthermore, today's AI algorithms, in terms of their **recognition rates** and thus their **reliabilities**, very often do not meet the functional safety requirements to achieve higher safety levels (e.g. Performance Level d (PLd) according to ISO 13849), even under the most convenient conditions.

An appropriate assessment or even **testing** with regard to the required functional safety according to uniform and ideally standardized criteria has numerous consequences for the future orientation and organization of technical **occupational safety and health (OSH)** in Germany and in Europe. In addition to the currently still very difficult safety-related assessability, an important point is that the previous clear separation between **placing on the market law** (see e.g. Machinery Directive) and **occupational safety and health law** (see European Framework Directive for Occupational Safety and Health and German Ordinance on Occupational Safety and Health) can no longer be continued in this way. The reason for this is that the **safety-relevant properties** of the autonomous systems will change due to new or **adapted behaviors** learned during operation.

For these reasons, especially the actors of technical occupational safety and health who will deal with the evaluation of such adaptive, autonomous systems or system components with AI algorithms in the future should familiarize themselves with the AI or ML algorithms in depth as early as possible. This is the only way to ensure that the rapid development of adaptive systems capable of learning can be accompanied by OSH and their testing authorities in a constructive, critical and technically appropriate manner. If this is omitted, it must be assumed on the basis of the experiences of recent years that the OSH system will be ruthlessly circumvented or undermined by the economic interests of globally operating software giants. This would have the consequence that serious or fatal occupational accidents are likely to occur due to inadequately designed AI-based work systems.

The safety-related evaluation of such learning-capable systems requires a deeper technical entry into the world of **Artificial Intelligence** or **Machine Learning**. For this purpose, it is necessary to deal with the basic operation of typical ML algorithms, corresponding software tools, libraries and programming systems.

However, someone who opens the door for the first time to this equally very exciting as well as arbitrarily complex and, at first glance, confusing world will very quickly be overwhelmed. In addition to reading general technical literature, it is advisable to consult introductory and systematic tutorials.

This Getting Started tutorial has exactly this goal, demonstrating systematically and step-by-step the typical ML workflow using the very powerful **Support Vector Classifier (SVC)** as an example.

This tutorial will be presented in the context of a workshop at the **Conference "Artificial Intelligence"**, hosted by the German Social Accident Insurance (DGUV), probably in November 2022 in Dresden. The workshop addresses interested ML novices in the technical occupational safety and health of the social accident insurance institutions.

Besides the **deep neural networks**, which are very present in the media, there is a very rich diversity of other very powerful ML algorithms - suitable for the particular use case. For a more generally comprehensible introduction, the SVC algorithm was deliberately chosen for the target audience of the workshop. Its operating principles are easy to convey to ML novices as well as in the time frame given for the workshop - quite in contrast to the entry into the world of deep neural networks.

The following main sections will demonstrate the typical ML workflow step-by-step. In **Step 0**, specific guidance is provided for selecting hardware and software suitable for machine learning. To allow an ML novice to first familiarize themselves with the ML algorithms, tools, libraries, and programming systems, the ready-made and very beginner-friendly **Iris dataset** is involved in **Step 1**. Only after a comprehensive acquaintance with the application of ML tools would it make sense to examine one's own environment for ML-suitable applications and to obtain suitable datasets from them. However, this is beyond the scope of this introductory tutorial.

One of the most important steps in the entire ML process is **Step 2**, in which the dataset included in Step 1 is examined using typical data analysis tools. In addition to exploring the **data structure** and **internal correlations** in the dataset, errors such as gaps, duplications, or obvious misentries must also

be found and corrected where possible. This is enormously important so that the classification can later provide plausible results.

After exploring the dataset, in **step 3** one has to decide on a specific ML algorithm based on certain selection criteria. Among other ML algorithms suitable for the Iris dataset (such as the decision-tree-based **random-forests classifier**), the reasoned choice here in the tutorial falls on the **support vector classifier**. A dedicated SVC model is now being implemented.

In **step 4** the dataset is prepared for the actual classification by SVC. Depending on the selected ML algorithm as well as the data structure, it may be necessary to prepare the data before training (e.g., by standardization, normalization, or binarization based on thresholds). After splitting the dataset into a training and test dataset, the SVC model is trained with the training dataset in **step 5**. Subsequently, classification predictions are made with the trained SVC model based on the test data. In **step 6**, the quality of the classification result is evaluated using known **metrics** such as the **confusion matrix**.

Since the classification in step 5 was initially performed with standard parameters (so-called **hyper-parameters**), their meaning is explained in **step 7** and then their effect on the classification result is demonstrated by manually varying the individual hyper-parameters.

In the final **Step 8**, two approaches to systematic hyper-parameter search are presented: **Grid Search** and **Randomized Search**. While the former exhaustively considers all parameter combinations for given values, the latter selects a number of candidates from a parameter space with a particular random distribution.

#### 1.2 German introduction

Von den Arbeitsmitteln in der digitalisierten Arbeitswelt wird immer stärker gefordert, dass sie sich selbstständig und aufgabenbezogen an sich ändernde Arbeitssituationen anpassen können. Diese situative Adaptivität kann je nach Stärke des Flexibilisierungsgrades oft nur durch die Anwendung mathematischer Modelle und Algorithmen aus dem Bereich des Maschinellen Lernens (ML) als Teilmenge der Künstlichen Intelligenz (KI) realisiert werden.

Beispiele für solche KI-Anwendungen in der Arbeitswelt reichen von vergleichsweise einfachen Sprachassistenzsystemen (ähnlich z. B. Siri oder Alexa aus dem privaten Umfeld) bis hin zu teil- oder gar vollautonomen Systemen. Allerdings ist die Unterscheidung der einzelnen Autonomiegrade relativ fließend und je nach fachlichem Kontext und Abstraktionsgrad nur schwer zu definieren. Maßgeblich für die Einordnung sind die Grade der Selbstbestimmtheit, die Unabhängigkeit sowie die Entscheidungs- bzw. Handlungsfreiheit eines technischen Systems gegenüber menschlichem Eingriff.

Beispielsweise können fahrerlose Transportsysteme (FTS) anhand selbst erlernter oder aktualisierter Karten autonom durch größere Industrieanlagen navigieren und Hindernissen ausweichen, indem sie selbstständig geeignete Routen finden und optimieren. Jedoch werden ihnen in einer höheren Abstraktionsebene neue Logistikaufträge durch menschliche Bediener vorgegeben, weswegen es sich bei FTS aus menschlicher Perspektive eher um hochautomatisierte Systeme handelt.

Neben den vielen sehr interessanten Vorteilen bzgl. Wirtschaftlichkeit, Arbeitserleichterung usw. kennzeichnet solche autonomen oder hochautomatisierten Systeme eine sehr hohe technische Komplexität. Diese betrifft sowohl ihre **Betriebsfunktionen** (z. B. autonome Navigation durch komplexe industrielle Umgebungen bei gemeinsamer Nutzung der Fahrwege durch andere menschlich gesteuerte Fahrzeuge) als auch ihre **Sicherheitsfunktionen** (z. B. Auswertung miteinander verknüpfter bildgebender und nicht-bildgebender Sicherheitssensorik zur Überwachung des Fahrraums zur Kollisionsvermeidung).

An solche autonomen Systeme und die hierfür eingesetzten KI-Algorithmen werden sehr hohe Anforderungen hinsichtlich der funktionalen Sicherheit gestellt. Jedoch sind die Anforderungen für eine sicherheitstechnische Bewertbarkeit bezüglich der Transparenz und Erklärbarkeit der durch KI getroffenen Entscheidungen je nach verwendeten KI-Algorithmen sehr schwer bis unmöglich erreichbar. Beispielsweise werden durch aktuell laufende Forschungsprojekte die Transparenz und Erklärbarkeit von tiefen neuronalen Netzen untersucht. Weiterhin erfüllen heutige KI-Algorithmen hinsichtlich ihrer Erkennungsraten und damit ihrer Zuverlässigkeiten selbst unter günstigsten Bedingungen sehr oft nicht die Anforderungen an die funktionale Sicherheit, um höhere Safety-Level (z. B. Performance Level d (PLd) nach ISO 13849) zu erreichen.

Eine hinsichtlich der geforderten funktionalen Sicherheit angemessene Bewertung oder gar **Prüfung** nach einheitlichen und idealerweise genormten Maßstäben hat viele Konsequenzen für die zukünftige Ausrichtung und Gestaltung des **technischen Arbeitsschutzes** in Deutschland und in Europa. Neben der derzeit noch sehr schwierigen sicherheitstechnischen Bewertbarkeit von KI-Algorithmen ist ein wichtiger Punkt, dass die bisherige klare Trennung zwischen **Inverkehrbringensrecht** (siehe z. B. Maschinenrichtlinie) und **betrieblichem Arbeitsschutzrecht** (siehe Arbeitsschutz-Rahmenrichtlinie und Betriebssicherheitsverordnung) so nicht mehr aufrechterhalten werden kann. Grund hierfür ist, dass sich auch die **sicherheitsrelevanten Eigenschaften** der autonomen Systeme durch während des Betriebs erlernte, neue oder **angepasste Verhaltensweisen** verändern werden.

Aus diesen Gründen sollten sich insbesondere die Akteure des technischen Arbeitsschutzes, die sich zukünftig mit der Prüfung solcher lernfähigen, autonomen Systeme oder Systemkomponenten mit KI-Algorithmen befassen werden, möglichst frühzeitig mit den KI- bzw. ML-Algorithmen vertieft auseinandersetzen. Nur dadurch lässt sich erreichen, dass die stürmische Entwicklung lernfähiger, adaptiver Systeme durch den Arbeitsschutz und dessen Prüfinstitute konstruktiv, kritisch und fachlich angemessen begleitet werden kann. Wird dies versäumt, muss aufgrund der Erfahrungen der vergangenen Jahre davon ausgegangen werden, dass das Arbeitsschutzsystem durch die wirtschaftlichen Interessen global agierender Softwaregiganten skrupellos umgangen oder ausgehebelt werden wird. Dies hätte die Folge, dass schwere oder tödliche Arbeitsunfälle wegen unzulänglich gestalteter KI-basierter Arbeitssysteme wahrscheinlich werden.

Allerdings erfordert die sicherheitstechnische Bewertung solcher lernfähigen Systeme einen tiefer gehenden fachlichen Einstieg in die Welt von Künstlicher Intelligenz bzw. Maschinellem Lernen. Hierzu muss sich mit den grundlegenden Funktionsweisen typischer ML-Algorithmen, entsprechenden Software-Werkzeugen, Bibliotheken und Programmiersystemen auseinander gesetzt werden.

Wer jedoch zum ersten Mal die Tür zu dieser ebenso spannenden wie beliebig komplexen und auf den ersten Blick verwirrenden Welt öffnet, wird sehr schnell überfordert sein. Hier empfiehlt es sich neben dem Lesen allgemeiner Fachliteratur, einführende und systematische Anleitungen zu Rate zu ziehen.

Genau dieses Ziel verfolgt das vorliegende Getting-Started-Tutorial, indem systematisch und Schritt-für-Schritt der typische ML-Arbeitsablauf am Beispiel des sehr leistungsfähigen **Support Vector Classifier** (SVC) demonstriert wird.

Dieses Tutorial wird im Rahmen eines Workshops auf der Fachtagung "Künstliche Intelligenz", ausgerichtet durch die Deutsche Gesetzliche Unfallversicherung (DGUV), voraussichtlich im November 2022 in Dresden vorgestellt. Der Workshop richtet sich an interessierte ML-Neulinge im technischen Arbeitsschutz der gesetzlichen Unfallversicherungsträger.

Neben den medial sehr präsenten **tiefen neuronalen Netzen** gibt es eine sehr reichhaltige Auswahl anderer sehr leistungsfähiger ML-Algorithmen - passend für den jeweiligen Anwendungsfall. Für einen allgemein verständlicheren Einstieg wurde für die Zielgruppe des Workshops der SVC-Algorithmus bewusst gewählt. Dessen Arbeitsweise ist sowohl für ML-Neulinge als auch in dem für den Workshop vorgegebenen Zeitrahmen leicht vermittelbar - ganz im Gegensatz zum Einstieg in die Welt der tiefen neuronalen Netze.

Die folgenden Hauptabschnitte demonstrieren den typischen ML-Arbeitsablauf Schritt-für-Schritt. Im Schritt 0 werden konkrete Hinweise für die Auswahl der für das maschinelle Lernen geeigneten Hardware und Software gegeben. Damit sich ein ML-Neuling zunächst mit den ML-Algorithmen, Werkzeugen, Bibliotheken und Programmiersystemen vertraut machen kann, wird im Schritt 1 der fertige und sehr einsteigerfreundliche Iris-Datensatz hinzugezogen. Erst nach einer umfassenden Einarbeitung in die Anwendung der ML-Werkzeuge wäre es sinnvoll, die eigene Umgebung auf ML-taugliche Anwendungen hin zu untersuchen und daraus geeignete Datensätze zu gewinnen. Dies geht jedoch über den Rahmen dieses einführenden Tutorials hinaus.

Mit der wichtigste Schritt im gesamten ML-Prozess ist Schritt 2, in dem der in Schritt 1 einbezogene Datensatz mit Hilfe typischer Datenanalyse-Werkzeuge untersucht wird. Neben der Erkundung der Datenstruktur sowie innerer Zusammenhänge im Datensatz müssen auch Fehler wie z. B. Lücken, Dopplungen oder offensichtliche Fehleingaben gefunden und nach Möglichkeit behoben werden. Dies ist enorm wichtig, damit die Klassifikation später plausible Ergebnisse liefern kann.

Nach der Erkundung des Datensatzes muss man sich im **Schritt 3** anhand bestimmter Auswahlkriterien für einen konkreten ML-Algorithmus entscheiden. Neben anderen für den Iris-Datensatz passenden ML-

Algorithmen (wie z. B. der entscheidungsbaum-basierte **Random-forests-Classifier**) fällt die begründete Auswahl hier im Tutorial auf den **Support-Vector-Classifier**. Ein entsprechendes SVC-Modell wird nun implementiert.

Im Schritt 4 wird der Datensatz für die eigentliche Klassifikation per SVC vorbereitet. Je nach gewähltem ML-Algorithmus sowie der Datenstruktur kann es erforderlich sein, dass die Daten vor dem Training aufbereitet werden müssen (z. B. durch Standardisierung, Normalisierung oder Binärisierung anhand von Schwellwerten). Nach der Aufteilung des Datensatzes in einen Trainings- und Testdatensatz, wird das SVC-Modell im Schritt 5 mit dem Trainingsdatensatz trainiert. Anschließend werden mit dem trainierten SVC-Modell anhand der Testdaten Klassifikationsvorhersagen getroffen. Im Schritt 6 wird die Güte des Klassifikationsergebnisses anhand bekannter Metriken wie z. B. der Konfusionsmatrix evaluiert.

Da die Klassifikation im Schritt 5 zunächst mit Standard-Parametern (den sogenannte **Hyper-Parametern**) durchgeführt wurde, wird ihre Bedeutung im **Schritt 7** erklärt und danach ihr Einfluss auf das Klassifikationsergebnis durch manuelle Variation der einzelnen Hyper-Parameter demonstriert.

Im abschließenden Schritt 8 werden zwei Ansätze zur systematischen Hyper-Parameter-Suche vorgestellt: Grid Search und Randomized Search. Während bei ersterer für gegebene Werte erschöpfend alle Parameterkombinationen betrachtet werden, wird beim zweiten Ansatz eine Anzahl von Kandidaten aus einem Parameterraum mit einer bestimmten zufälligen Verteilung ausgewählt.

# 1.3 Steps of the systematic ML process

The following steps of the systematic ML process are covered in the next main sections:

- STEP 0: Select hardware and software suitable for ML
- STEP 1: Acquire the ML dataset
- STEP 2: Explore the ML dataset
- STEP 3: Choose and create the ML model
- STEP 4: Prepare the dataset for training
- STEP 5: Carry out training, prediction and testing
- STEP 6: Evaluate model's performance
- STEP 7: Vary parameters of the ML model manually
- STEP 8: Tune the ML model systematically

# 2 STEP 0: Select hardware and software suitable for ML

In this step, specific guidance is provided for selecting hardware and software suitable for machine learning.

# 2.1 Hardware

When considering hardware requirements, two systems and their use cases must be taken into account: the **training system** and the **application system**.

#### 2.1.1 Training system

The training phase requires a lot of computational power and memory (RAM), depending on the amount of data to be processed and the ML algorithm (so-called estimator) chosen.

Depending on the estimator model, highly parallel processing on a **Graphics Processing Unit (GPU)** can provide significant **speed advantages** over processing on a **Central Processing Unit (CPU)** (e.g., when training deep neural networks in the area of **deep learning**). To take advantage of this speed benefit, the AI application must be suitable in terms of **parallelizability** of the estimator model used as well as **GPU support** through special driver layers (so-called Operating System Abstraction Layer (OSAL)).

Such GPUs are installed on powerful **3D graphics cards**. However, these must be explicitly qualified for the application for AI - not every game-suitable 3D graphics card from any manufacturer can be used. The manufacturer **Nvidia** offers GPUs suitable for AI in its high-performance graphics cards with **CUDA architecture**. CUDA stands for "Compute Unified Device Architecture" and is a **programming interface** (API) developed by Nvidia, with which program parts can be processed by the graphics processor (see Wikipedia: CUDA 2022). The GPU works significantly faster than the CPU, especially with highly parallelizable program sequences (high data parallelism). This speed advantage can be considerable despite currently available CPU technologies like **Multicore** and **Hyper-Threading** with Intel CPUs!

However, it should be mentioned that currently only the manufacturer Nvidia offers 3D graphics cards with CUDA implementation, since CUDA is a **proprietary** framework. In addition, there is also the much less well-known **open source** alternative **OpenCL**, which has now been implemented by a large number of graphics card manufacturers (see Wikipedia: OpenCL 2022). Since OpenCL is an **open industry standard**, Intel and AMD chips and their GPUs, ATI Radeon cards of the 5, 6, 7 and R9 series as well as various Nvidia GeForce cards are supported, for example.

Nvidia graphics cards with CUDA-supporting GPUs can be found listed by their **compute capability** (the higher the value, the better) here: CUDA GPUs - Compute Capability.

Regarding the **code execution performance** of both alternatives in direct comparison, there are different statements in the technical literature. While the 2010 paper A Performance Comparison of CUDA and OpenCL sees the CUDA implementation as the clear favorite, more recent publications point out the strong dependence of performance on **code quality**, **algorithm type** and the **GPU hardware** used, among other things (see e.g. here: CUDA vs OpenCL: Which to Use for GPU Programming).

It is therefore recommended that the decision for **CUDA** or **OpenCL** should depend on the extent to which most of the applications employed and the GPU hardware used are better supported by one of the two approaches in each case.

The state of the art should be also taken into account when selecting the rest of the training system's hardware. Otherwise, seemingly (price-wise) inexpensive components could very quickly nullify the speed advantage of the GPU. In addition to a mainboard suitable for one (or more) high-performance 3D graphics cards with a correspondingly powerful BUS system (e.g. PCI Express), the RAM should be as large as possible (min. 64 GB) and fast. A large RAM allows, for example, the virtualization of several parallel systems (see Virtual machine) and thus a significantly better utilization of the available computing capacity. The permanent memory should also be as large and fast as possible - high-performance solid-state drives (SSDs) should be clearly preferred over classic hard disks (HDDs).

#### 2.1.2 Application system

In the application phase of the trained estimator model, considerably less computing power and RAM are usually required. If the concrete application does not require continuous learning during operation, significantly less expensive systems (in terms of acquisition costs, power consumption, etc.) can also be used. Such application-specific embedded systems have only one CPU (usually in ARM architecture), comparatively limited RAM (e.g. 1 - 8 GB) and usually no GPU. A popular embedded computer that is very well supported in terms of ML software is the Raspberry Pi. In addition to its ARM CPU, the Raspberry Pi also has a GPU installed on the same processor in the so-called System on a Chip design (SoC). However, the SoC manufacturer Broadcom does not support the CUDA API.

There are references in the technical literature that the open source alternative **OpenCL** can be installed on the Raspberry Pi and that the AI framework **TensorFLow** (see section "Software") can be compiled with **SYCL** support (SYCL stands for "Single Source OpenCL"). However, a first rough review gives the impression that support for this approach is still very experimental at the moment. Therefore, parallelizing the AI application on the GPU of the Raspberry Pi does not seem to be an option (yet).

Here are some links for further reading:

- Deep learning with Raspberry Pi and alternatives in 2022
- Benchmarking Machine Learning on the New Raspberry Pi 4, Model B
- Portable Computer Vision: TensorFlow 2.0 on a Raspberry Pi

- Install OpenCL on Raspberry Pi 3 B+
- Does TensorFlow Support OpenCL ?
- TensorFlow for OpenCL using SYCL

#### 2.2 Software

#### 2.2.1 Operating system

**@TODO:** Rephrase and translate!!

These are general requirements to the operating system:

- Offenheit (Verfügbarkeit sehr guter Schnittstellen-Dokumentation und idealerweise quelloffener Software)
- Verfügbarkeit (Installations- und Konfigurationsrechte)
- Kommunikation (ungefilterte und bidirektionale Kommunikation im lokalen Netzwerk sowie ins Internet auf allen notwendigen Protokollen)
- leichte Erweiterbarkeit
  - SW-Installation + Updates über zentrale Paketmanagementsysteme wie z. B. apt, pip oder conda
  - Einbindung zusätzlicher SW-Bibliotheken oder externer HW-Sensoren

#### 2.2.2 Programming IDEs

RStudio (based on R language)

JupyterLab (Python language used)

#### 2.2.3 Packages for data analytics and libraries for ML (Python only)

Data analytics

NumPy

Pandas

**Data visualization** 

matplotlib

seaborn

Machine learning

Scikit-Learn

TensorFlow, Keras, CUDA Toolkit The package TensorFlow offers, among other things, the possibility to create and train artificial neural networks (ANN) based on Google AI. However, the installation and application is very much beyond the scope of this beginner tutorial. Further information can be found here: https://www.tensorflow.org.

# 2.3 Community Support

When selecting and deciding for or against the use of certain hardware and software components, in addition to purely technical or financial characteristics, significant attention should be paid to broad support from a well-networked community. This community should consist of a balanced share of manufacturers of hardware components (e.g. GPU suppliers, manufacturers of embedded systems or sensors), software developers ideally from the open source ecosystem, and an active user community (e.g. for reporting hardware and software bugs or providing help in forums).

The author's many years of development experience show that the technically best hardware or software component is worthless if you are (apparently) the only user. This impression arises either because the component is actually very exotic and has only a few users or because the development takes place "behind closed doors", i.e. in the company's internal **closed source** domain.

Without the support of an active community, you are (almost) on your own when it comes to questions or problems. Progress in the development and maintenance of an AI application is therefore very difficult! The clear recommendation is therefore: Go for the (technically, price-wise) **second-best alternative** with an even bigger **community**.

# 2.4 Import Python packages

The aim of this section is to import globally used Python packages for data analysis and ML, such as Pandas, NumPY, matplotlib and Scikit-Learn.

```
[16]: import time
from IPython.display import HTML

import pandas as pd
import numpy as np
import matplotlib.pyplot as plt
from sklearn import svm, metrics
import seaborn as sns
%matplotlib inline
```

# 3 STEP 1: Acquire the ML dataset

To allow an ML novice to first familiarize themselves with the ML algorithms, tools, libraries, and programming systems, the ready-made and very beginner-friendly **Iris dataset** is involved in this step. Only after a comprehensive acquaintance with the application of ML tools would it make sense to examine one's own environment for ML-suitable applications and to obtain suitable datasets from them. However, this is beyond the scope of this introductory tutorial.

Several details, for example, on the history of the creation of the Iris dataset can be found here: Iris flower datasets.

It can be downloaded on Kaggle: Iris Flower Dataset. Furthermore, the dataset is available via Python in the machine learning package Scikit-learn, so that users can access it without having to find a special source for it.

```
[2]: # import Iris dataset for exploration
irisdata_df = pd.read_csv('./datasets/IRIS_flower_dataset_kaggle.csv')
```

# 4 STEP 2: Explore the ML dataset

One of the most important steps in the entire ML process is this step, in which the dataset included in Step 1 is examined using typical data analysis tools. In addition to exploring the **data structure** and **internal correlations** in the dataset, errors such as **gaps**, **duplications**, or obvious **misentries** must

also be found and corrected where possible. This is enormously important so that the classification can later provide plausible results.

#### 4.1 Goals of exploration

The objectives of the exploration of the dataset are as follows:

- 1. Clarify the **origins history**:
  - Where did the data come from? => Contact persons and licensing permissions?
  - Who obtained the data and with which (measurement) methods? => Did systematic errors occur during the acquisition?
  - What were they originally intended for? => Can they be used for my application?
- 2. Overview of the internal **structure** and **organisation** of the data:
  - Which columns are there? => With which methods can they be read in (e.g. import of CSV files)?
  - What do they contain for (physical) measured variables? => Which technical or physical correlations exist?
  - Which data formats or types are there? => Do they have to be converted?
  - In which value ranges do the measurement data vary? => Are normalizations necessary?
- 3. Identify **anomalies** in the datasets:
  - Do the data have **gaps** or **duplicates**? => Does the dataset needs to be cleaned?
  - Are there obvious erroneous entries or measurement outliers? => Does (statistical) filtering have to be carried out?
- 4. Avoidance of tendencies due to bias:
  - Are all possible classes included in the dataset and equally distributed? => Does the dataset need to be enriched with additional data for balance?
- 5. Find a first rough idea of which correlations could be in the dataset

# 4.2 Clarify the origins history

The *Iris* flower datasets is a multivariate dataset introduced by the British statistician and biologist *Ronald Fisher* in his paper "The use of multiple measurements in taxonomic problems as an example of linear discriminant analysis" (1936). It is sometimes called *Anderson's Iris dataset* because Edgar Anderson collected the data to quantify the morphologic variation of Iris flowers of three related species (source: Iris flower dataset).

The dataset is published in Public Domain with a CC0-License.

This dataset became a typical test case for many statistical classification techniques in machine learning such as **support vector machines**.

- [..] measurements of the flowers of fifty plants each of the two species *Iris setosa* and *I. versicolor*, found **growing together in the same colony** and measured by Dr E. Anderson [..] (source: R. A. Fisher (1936). "The use of multiple measurements in taxonomic problems". Annals of Eugenics)
- [..] Iris virginica, differs from the two other samples in **not being taken from the same natural colony** [..] (source: ibidem)

# 4.3 Overview of the internal structure and organisation of the data

The dataset consists of 50 samples from each of three species of Iris (*Iris setosa*, *Iris virginica* and *Iris versicolor*), so there are 150 total samples. Four features were measured from each sample: the length and the width of the sepals and petals, in centimetres.

Here is a principle illustration of a flower with sepal and petal:

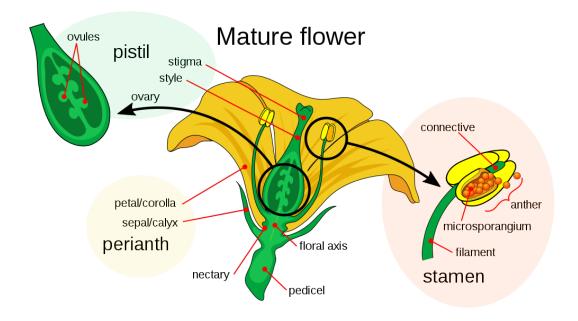


Figure 1: Principle illustration of a flower with sepal and petal (source: Mature\_flower\_diagram.svg, license: public domain)

Here are pictures of the three different Iris species (*Iris setosa*, *Iris virginica* and *Iris versicolor*). Given the dimensions of the flower, it will be possible to predict the class of the flower.



Figure 2: Left: *Iris setosa* (source: Irissetosa1.jpg, license: public domain); middle: *Iris versicolor* (source: Iris\_versicolor\_3.jpg, license: CC-SA 3.0); right: *Iris virginica* (source: Iris\_virginica.jpg, license: CC-SA 2.0)

# 4.3.1 Inspect structure of dataframe

Print first or last 5 rows of dataframe:

[3]:[ir	risdata_df.head	1(10)			
3]:	sepal_length	sepal_width	petal_length	petal_width	species
0	5.1	3.5	1.4	0.2	Iris-setosa
1	4.9	3.0	1.4	0.2	Iris-setosa
2	4.7	3.2	1.3	0.2	Iris-setosa
3	4.6	3.1	1.5	0.2	Iris-setosa
4	5.0	3.6	1.4	0.2	Iris-setosa
5	5.4	3.9	1.7	0.4	Iris-setosa
6	4.6	3.4	1.4	0.3	Iris-setosa
7	5.0	3.4	1.5	0.2	Iris-setosa
8	4.4	2.9	1.4	0.2	Iris-setosa
9	4.9	3.1	1.5	0.1	Iris-setosa

# [4]: irisdata\_df.tail()

[4]:	sepal_length	sepal_width	petal_length	petal_width	species
145	6.7	3.0	5.2	2.3	Iris-virginica
146	6.3	2.5	5.0	1.9	Iris-virginica
147	6.5	3.0	5.2	2.0	Iris-virginica
148	6.2	3.4	5.4	2.3	Iris-virginica
149	5.9	3.0	5.1	1.8	Iris-virginica

While printing a dataframe - only an abbreviated view of the dataframe is shown :(
Default setting in the pandas library makes it to display only 5 lines from head and from tail.

#### [5]: irisdata\_df

[5]:	sepal_length	sepal_width	petal_length	petal_width	species
0	5.1	3.5	1.4	0.2	Iris-setosa
1	4.9	3.0	1.4	0.2	Iris-setosa
2	4.7	3.2	1.3	0.2	Iris-setosa
3	4.6	3.1	1.5	0.2	Iris-setosa
4	5.0	3.6	1.4	0.2	Iris-setosa
	•••	•••	•••	•••	•••
145	6.7	3.0	5.2	2.3	Iris-virginica
146	6.3	2.5	5.0	1.9	Iris-virginica
147	6.5	3.0	5.2	2.0	Iris-virginica
148	6.2	3.4	5.4	2.3	Iris-virginica
149	5.9	3.0	5.1	1.8	Iris-virginica

[150 rows x 5 columns]

To print all rows of a dataframe, the option display.max\_rows has to set to None in pandas:

```
[6]: pd.set_option('display.max_rows', None) irisdata_df
```

[6]:	sepal_length	sepal_width	petal_length	petal_width	species
0	5.1	3.5	1.4	0.2	Iris-setosa
1	4.9	3.0	1.4	0.2	Iris-setosa
2	4.7	3.2	1.3	0.2	Iris-setosa
3	4.6	3.1	1.5	0.2	Iris-setosa
4	5.0	3.6	1.4	0.2	Iris-setosa
5	5.4	3.9	1.7	0.4	Iris-setosa
6	4.6	3.4	1.4	0.3	Iris-setosa
7	5.0	3.4	1.5	0.2	Iris-setosa
8	4.4	2.9	1.4	0.2	Iris-setosa
9	4.9	3.1	1.5	0.1	Iris-setosa
10	5.4	3.7	1.5	0.2	Iris-setosa
11	4.8	3.4	1.6	0.2	Iris-setosa
12	4.8	3.0	1.4	0.1	Iris-setosa
13	4.3	3.0	1.1	0.1	Iris-setosa
14	5.8	4.0	1.2	0.2	Iris-setosa
15	5.7	4.4	1.5	0.4	Iris-setosa
16	5.4	3.9	1.3	0.4	Iris-setosa
17	5.1	3.5	1.4	0.3	Iris-setosa
18	5.7	3.8	1.7	0.3	Iris-setosa
19	5.1	3.8	1.5	0.3	Iris-setosa
20	5.4	3.4	1.7	0.2	Iris-setosa
21	5.1	3.7	1.5	0.4	Iris-setosa
22	4.6	3.6	1.0	0.2	Iris-setosa

23	5.1	3.3	1.7	0.5	Iris-setosa
24	4.8	3.4	1.9	0.2	Iris-setosa
25	5.0	3.0	1.6	0.2	Iris-setosa
26	5.0	3.4	1.6	0.4	Iris-setosa
27	5.2	3.5	1.5	0.2	Iris-setosa
28	5.2	3.4	1.4	0.2	Iris-setosa
29	4.7	3.2	1.6	0.2	Iris-setosa
30	4.8	3.1	1.6	0.2	Iris-setosa
31	5.4	3.4	1.5	0.4	Iris-setosa
32	5.2	4.1	1.5	0.4	Iris-setosa
33	5.5	4.2	1.4	0.2	Iris-setosa
34	4.9	3.1	1.5	0.1	Iris-setosa
35	5.0	3.2	1.2	0.2	Iris-setosa
36	5.5	3.5	1.3	0.2	Iris-setosa
37	4.9	3.1	1.5	0.1	Iris-setosa
38	4.4	3.0	1.3	0.2	Iris-setosa
39	5.1	3.4	1.5	0.2	Iris-setosa
40	5.0	3.5	1.3	0.3	Iris-setosa
41	4.5	2.3	1.3	0.3	Iris-setosa
42	4.4	3.2	1.3	0.2	Iris-setosa
43	5.0	3.5	1.6	0.6	Iris-setosa
44	5.1	3.8	1.9	0.4	Iris-setosa
45	4.8	3.0	1.4	0.3	Iris-setosa
46	5.1	3.8	1.6	0.2	Iris-setosa
47	4.6	3.2	1.4	0.2	Iris-setosa
48	5.3	3.7	1.5	0.2	Iris-setosa
49	5.0	3.3	1.4	0.2	Iris-setosa
50	7.0	3.2	4.7	1.4	Iris-versicolor
51	6.4	3.2	4.5	1.5	Iris-versicolor
52	6.9	3.1	4.9	1.5	Iris-versicolor
53	5.5	2.3	4.0	1.3	Iris-versicolor
54	6.5	2.8	4.6	1.5	Iris-versicolor
55	5.7	2.8	4.5	1.3	Iris-versicolor
56	6.3	3.3	4.7	1.6	Iris-versicolor
57	4.9	2.4	3.3	1.0	Iris-versicolor
58	6.6	2.9	4.6	1.3	Iris-versicolor
59	5.2	2.7	3.9	1.4	Iris-versicolor
60	5.0	2.0	3.5	1.0	Iris-versicolor
61	5.9	3.0	4.2	1.5	Iris-versicolor
62	6.0	2.2	4.0	1.0	Iris-versicolor
63	6.1	2.9	4.7	1.4	Iris-versicolor
64	5.6	2.9	3.6	1.3	Iris-versicolor
65	6.7	3.1	4.4	1.4	Iris-versicolor
66	5.6	3.0	4.5	1.5	Iris-versicolor
67	5.8	2.7	4.1	1.0	Iris-versicolor
68	6.2	2.2	4.5	1.5	Iris-versicolor
69	5.6	2.5	3.9	1.1	Iris-versicolor
70	5.9	3.2	4.8	1.8	Iris-versicolor
71	6.1	2.8	4.0	1.3	Iris-versicolor
72	6.3	2.5	4.9	1.5	Iris-versicolor
73	6.1	2.8	4.7	1.2	Iris-versicolor
74	6.4	2.9	4.3	1.3	Iris-versicolor
75	6.6	3.0	4.4	1.4	Iris-versicolor
76	6.8	2.8	4.8	1.4	Iris-versicolor
77	6.7	3.0	5.0	1.7	Iris-versicolor
78					Iris-versicolor
	6.0	2.9	4.5	1.5	IIIS-versicoior
79	6.0 5.7	2.9 2.6	4.5 3.5	1.5	Iris-versicolor Iris-versicolor

00		0 4	0.0		T
80	5.5	2.4	3.8	1.1	Iris-versicolor
81	5.5	2.4	3.7	1.0	Iris-versicolor
82	5.8	2.7	3.9	1.2	Iris-versicolor
83	6.0	2.7	5.1	1.6	Iris-versicolor
84	5.4	3.0	4.5	1.5	Iris-versicolor
85	6.0	3.4	4.5	1.6	Iris-versicolor
86	6.7	3.1	4.7	1.5	Iris-versicolor
87	6.3	2.3	4.4	1.3	Iris-versicolor
88	5.6	3.0	4.1	1.3	Iris-versicolor
89		2.5	4.0		Iris versicolor
	5.5			1.3	
90	5.5	2.6	4.4	1.2	Iris-versicolor
91	6.1	3.0	4.6	1.4	Iris-versicolor
92	5.8	2.6	4.0	1.2	Iris-versicolor
93	5.0	2.3	3.3	1.0	Iris-versicolor
94	5.6	2.7	4.2	1.3	Iris-versicolor
95	5.7	3.0	4.2	1.2	Iris-versicolor
96	5.7	2.9	4.2	1.3	Iris-versicolor
97	6.2	2.9	4.3	1.3	Iris-versicolor
98	5.1	2.5	3.0	1.1	Iris-versicolor
99	5.7	2.8	4.1	1.3	Iris-versicolor
100	6.3	3.3	6.0	2.5	Iris-virginica
101	5.8	2.7	5.1	1.9	Iris-virginica
102	7.1	3.0	5.9	2.1	Iris-virginica
103	6.3	2.9	5.6	1.8	Iris-virginica
104	6.5	3.0	5.8	2.2	Iris-virginica
105	7.6	3.0	6.6	2.1	Iris-virginica
106	4.9	2.5	4.5	1.7	Iris-virginica
107	7.3	2.9	6.3	1.8	Iris-virginica
108	6.7	2.5	5.8	1.8	Iris-virginica
109	7.2	3.6	6.1	2.5	Iris-virginica
	6.5		5.1		_
110		3.2		2.0	Iris-virginica
111	6.4	2.7	5.3	1.9	Iris-virginica
112	6.8	3.0	5.5	2.1	Iris-virginica
113	5.7	2.5	5.0	2.0	Iris-virginica
114	5.8	2.8	5.1	2.4	Iris-virginica
115	6.4	3.2	5.3	2.3	Iris-virginica
116	6.5	3.0	5.5	1.8	Iris-virginica
117	7.7	3.8	6.7	2.2	Iris-virginica
118	7.7	2.6	6.9	2.3	Iris-virginica
119	6.0	2.2	5.0	1.5	Iris-virginica
	6.9	3.2	5.7	2.3	Iris virginica Iris-virginica
120					•
121	5.6	2.8	4.9	2.0	Iris-virginica
122	7.7	2.8	6.7	2.0	Iris-virginica
123	6.3	2.7	4.9	1.8	Iris-virginica
124	6.7	3.3	5.7	2.1	Iris-virginica
125	7.2	3.2	6.0	1.8	Iris-virginica
126	6.2	2.8	4.8	1.8	Iris-virginica
127	6.1	3.0	4.9	1.8	Iris-virginica
128	6.4	2.8	5.6	2.1	Iris-virginica
129	7.2	3.0	5.8	1.6	Iris-virginica
130	7.4	2.8	6.1	1.9	Iris virginica Iris-virginica
					•
131	7.9	3.8	6.4	2.0	Iris-virginica
132	6.4	2.8	5.6	2.2	Iris-virginica
133	6.3	2.8	5.1	1.5	Iris-virginica
134	6.1	2.6	5.6	1.4	Iris-virginica
135	7.7	3.0	6.1	2.3	Iris-virginica
136	6.3	3.4	5.6	2.4	Iris-virginica
					J

137	6.4	3.1	5.5	1.8	Iris-virginica
138	6.0	3.0	4.8	1.8	Iris-virginica
139	6.9	3.1	5.4	2.1	Iris-virginica
140	6.7	3.1	5.6	2.4	Iris-virginica
141	6.9	3.1	5.1	2.3	Iris-virginica
142	5.8	2.7	5.1	1.9	Iris-virginica
143	6.8	3.2	5.9	2.3	Iris-virginica
144	6.7	3.3	5.7	2.5	Iris-virginica
145	6.7	3.0	5.2	2.3	Iris-virginica
146	6.3	2.5	5.0	1.9	Iris-virginica
147	6.5	3.0	5.2	2.0	Iris-virginica
148	6.2	3.4	5.4	2.3	Iris-virginica
149	5.9	3.0	5.1	1.8	Iris-virginica

#### 4.3.2 Get data types

```
[7]: irisdata_df.info()
```

```
<class 'pandas.core.frame.DataFrame'>
RangeIndex: 150 entries, 0 to 149
Data columns (total 5 columns):
```

#	Column	Non-Null Count	Dtype
0	sepal_length	150 non-null	float64
1	sepal_width	150 non-null	float64
2	petal_length	150 non-null	float64
3	petal_width	150 non-null	float64
4	species	150 non-null	object
• .	07 . 04 (4)	1	

dtypes: float64(4), object(1)

memory usage: 6.0+ KB

```
[8]: irisdata_df.describe()
```

[8]:		sepal_length	sepal_width	petal_length	petal_width
	count	150.000000	150.000000	150.000000	150.000000
	mean	5.843333	3.054000	3.758667	1.198667
	std	0.828066	0.433594	1.764420	0.763161
	min	4.300000	2.000000	1.000000	0.100000
	25%	5.100000	2.800000	1.600000	0.300000
	50%	5.800000	3.000000	4.350000	1.300000
	75%	6.400000	3.300000	5.100000	1.800000
	max	7.900000	4.400000	6.900000	2.500000

# 4.3.3 Get data ranges with Boxplots

**Boxplots** can be used to explore the data ranges in the dataset. These also provide information about **outliers**.

```
[9]: sns.set_context("notebook", font_scale=1.3, rc={"lines.linewidth": 2.0})
sns.set_style("whitegrid")
#sns.set_style("white")

fig, axs = plt.subplots(2, 2, figsize=(12, 10))

fn = ['sepal_length', 'sepal_width', 'petal_length', 'petal_width']
cn = ['Iris-setosa', 'Iris-versicolor', 'Iris-virginica']
```

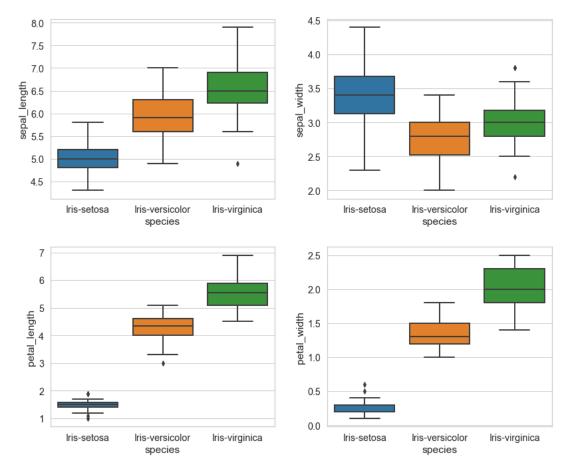


Figure 3: Boxplots used to explore the data ranges in the Iris dataset

# 4.4 Identify anomalies in the datasets

#### 4.4.1 Find and repair gaps in dataset

This section was inspired by Working with Missing Data in Pandas.

Check for missing values using isnull() In order to check for missing values in Pandas DataFrame, we use the function isnull(). This function returns a dataframe of Boolean values which are True for NaN values.

```
[10]: pd.set_option('display.max_rows', 40)
pd.set_option('display.min_rows', 30)
```

```
[11]: irisdata_df.isnull()
[11]:
           sepal_length
                         sepal_width petal_length petal_width species
      0
                   False
                                 False
                                                False
                                                                       False
                                                              False
      1
                   False
                                 False
                                                False
                                                              False
                                                                       False
      2
                   False
                                 False
                                                False
                                                                       False
                                                              False
      3
                   False
                                                False
                                                                       False
                                 False
                                                              False
      4
                   False
                                                False
                                                              False
                                                                       False
                                 False
      5
                   False
                                 False
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                                                              False
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      6
                   False
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      7
                   False
                                 False
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                                                                       False
      8
                   False
                                 False
                                                False
                                                              False
                                                                       False
      9
                   False
                                 False
                                                False
                                                              False
                                                                       False
      10
                   False
                                 False
                                                False
                                                              False
                                                                       False
                                                                       False
      11
                   False
                                 False
                                               False
                                                              False
      12
                   False
                                               False
                                                              False
                                                                       False
                                 False
      13
                   False
                                 False
                                                False
                                                              False
                                                                       False
      14
                   False
                                 False
                                                False
                                                              False
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      135
                   False
                                 False
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      136
                   False
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      140
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      141
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                                 False
      142
                   False
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                                 False
      143
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      144
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      145
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                                                False
                                                                       False
      146
                   False
                                 False
                                                              False
      147
                   False
                                 False
                                                False
                                                              False
                                                                       False
      148
                   False
                                 False
                                                False
                                                              False
                                                                       False
      149
                   False
                                 False
                                               False
                                                              False
                                                                       False
      [150 rows x 5 columns]
     Show only the gaps:
[12]: irisdata df gaps = irisdata df[irisdata df.isnull().any(axis=1)]
      irisdata_df_gaps
[12]: Empty DataFrame
      Columns: [sepal_length, sepal_width, petal_length, petal_width, species]
      Index: []
     Fine - this dataset seems to be complete:)
     So let's look for something else for exercise: employes.csv
[13]: # import data to dataframe from csv file
      employees_df = pd.read_csv("./datasets/employees_edit.csv")
      # highlight cells with nan values
      #employees_df_hl = employees_df.style.highlight_null('yellow')
      #employees_df_hl
      employees_df
```

\

								_
[13]:		First Name	Gender	Start Date	_		Salary	Bonus %
	0	Douglas	Male	8/6/1993		2:42 PM	97308	6945.00
	1	Thomas	Male	3/31/1996		3:53 AM	61933	4.17
	2	Maria	Female	4/23/1993		:17 AM	130590	11858.00
	3	Jerry	Male	3/4/2005		:00 PM	138705	9.34
	4	Larry	Male	1/24/1998		::47 PM	101004	1389.00
	5	Dennis	Male	4/18/1987		:35 AM	115163	10125.00
	6	Ruby	Female	8/17/1987	4	::20 PM	65476	10012.00
	7	NaN	Female	7/20/2015	10	:43 AM	45906	11598.00
	8	Angela	Female	11/22/2005	6	3:29 AM	95570	18523.00
	9	Frances	Female	8/8/2002	6	3:51 AM	139852	7524.00
	10	Louise	Female	8/12/1980	9	0:01 AM	63241	15132.00
	11	Julie	Female	10/26/1997	3	3:19 PM	102508	12637.00
	12	Brandon	Male	12/1/1980	1	:08 AM	112807	17492.00
	13	Gary	Male	1/27/2008	11	:40 PM	109831	5831.00
	14	Kimberly	Female	1/14/1999	7	:13 AM	41426	14543.00
				•••	•••			
	989	Stephen	NaN	7/10/1983	8	3:10 PM	85668	1909.00
	990	-	Female	11/26/1982	7	:04 AM	82871	17999.00
	991		Female	12/8/2014		:08 AM	136709	10331.00
	992	Alice	Female	10/5/2004		:34 AM	47638	11209.00
	993	Justin	NaN	2/10/1991		:58 PM	38344	3794.00
	994	Robin	Female	7/24/1987		:35 PM	100765	10982.00
	995	Rose	Female	8/25/2002		5:12 AM	134505	11051.00
	996	Anthony	Male	10/16/2011		3:35 AM	112769	11625.00
	997	Tina	Female	5/15/1997		3:53 PM	56450	19.04
	998	George	Male	6/21/2013		5:47 PM	98874	4479.00
	999	Henry	NaN	11/23/2014		3:09 AM	132483	16655.00
	1000	Phillip	Male	1/31/1984		3:30 AM	42392	19675.00
	1000	Russell		5/20/2013			96914	
			Male			2:39 PM		1421.00
	1002	•	Male	4/20/2013		:45 PM	60500	11985.00
	1003	Albert	Male	5/15/2012	0	3:24 PM	129949	10169.00
		Senior Mana	camant		Team			
	0	benioi nana	True	1	Marketing			
	1		True		NaN			
	2		False		Finance			
	3		True		Finance			
	4		True	Cliont	Services			
	5		False	Client				
					Legal			
	6 7		True NaN		Product Finance			
				E				
	8		True		gineering			
	9		True	Business Dev	=			
	10		True		NaN			
	11		True		Legal			
	12		True	Human I	Resources			
	13		False		Sales			
	14		True		Finance			
			 E-l		 T = 7			
	989		False	_	Legal			
	990		False	I	Marketing			
	991		True	<b></b> -	Finance			
	992		False	Human l	Resources			
	993		False		Legal			
	994		True		Services			
	995		True	I	Marketing			

```
996
                 True
                                    Finance
997
                 True
                                Engineering
998
                 True
                                  Marketing
                False
                               Distribution
999
1000
                False
                                    Finance
                                    Product
1001
                False
1002
                 False Business Development
1003
                 True
                                      Sales
```

[1004 rows x 8 columns]

Show only the gaps from this gappy dataset again:

```
[14]: employees_df_gaps = employees_df[employees_df.isnull().any(axis=1)]

# highlight cells with nan values
#employees_df_gaps = employees_df_gaps.style.highlight_null('yellow')

employees_df_gaps
```

[14]:		First Name	Gender	Start Date	Last Login Tim	e Salary	Bonus %	\
	1	Thomas	Male	3/31/1996	6:53 A	M 61933	4.17	
	7	NaN	Female	7/20/2015	10:43 A	M 45906	11598.00	
	10	Louise	Female	8/12/1980	9:01 A	M 63241	15132.00	
	20	Lois	NaN	4/22/1995	7:18 P	M 64714	4934.00	
	22	Joshua	NaN	3/8/2012	1:58 A	M 90816	18816.00	
	23	NaN	Male	6/14/2012	4:19 P	M 125792	5042.00	
	25	NaN	Male	10/8/2012	1:12 A	M 37076	18576.00	
	27	Scott	NaN	7/11/1991	6:58 P	M 122367	5218.00	
	31	Joyce	NaN	2/20/2005	2:40 P	M 88657	12752.00	
	32	NaN	Male	8/21/1998	2:27 P	M 122340	6417.00	
	39	NaN	Male	1/29/2016	2:33 A	M 122173	7797.00	
	41	Christine	NaN	6/28/2015	1:08 A	M 66582	11308.00	
	49	Chris	NaN	1/24/1980	12:13 P	M 113590	3055.00	
	51	NaN	NaN	12/17/2011	8:29 A	M 41126	14009.00	
	53	Alan	NaN	3/3/2014	1:28 P	M 40341	17578.00	
		•••		•••	***	•••		
	916	Joe	Male	12/8/1998	10:28 A	M 126120	1.02	
	927	Irene	NaN	2/28/1991	10:23 P	M 135369	4.38	
	929	NaN	Female	8/23/2000	4:19 P	M 95866	19388.00	
	941	Aaron	NaN	1/22/1986	7:39 P	M 63126	18424.00	
	942	Mark	NaN	9/9/2006	12:27 P	M 44836	2657.00	
	943	Ralph	NaN	7/28/1995	6:53 P	M 70635	2147.00	
	949	Gerald	NaN	4/15/1989	12:44 P	M 93712	17426.00	
	950	NaN	Female	9/15/1985	1:50 A	M 133472	16941.00	
	951	NaN	Male	7/30/2012	3:07 P	M 107351	5329.00	
	955	NaN	Female	9/14/2010	5:19 A	M 143638	9662.00	
	965	Antonio	NaN	6/18/1989	9:37 P	M 103050	3.05	
	976	Victor	NaN	7/28/2006	2:49 P	M 76381	11159.00	
	989	Stephen	NaN	7/10/1983	8:10 P	M 85668	1909.00	
	993	Justin	NaN	2/10/1991	4:58 P	M 38344	3794.00	
	999	Henry	NaN	11/23/2014	6:09 A	M 132483	16655.00	

Team	Senior Management	
NaN	True	1
Finance	NaN	7
NaN	True	10
Legal	True	20

22	True	Client Services
23	NaN	NaN
25	NaN	Client Services
27	False	Legal
31	False	Product
32	NaN	NaN
39	NaN	Client Services
41	True	Business Development
49	False	Sales
51	NaN	Sales
53	True	Finance
	***	
916	False	NaN
927	False	Business Development
929	NaN	Sales
941	False	Client Services
942	False	Client Services
943	False	Client Services
949	True	Distribution
950	NaN	Distribution
951	NaN	Marketing
955	NaN	NaN
965	False	Legal
976	True	Sales
989	False	Legal
993	False	Legal
999	False	Distribution

[237 rows x 8 columns]

Fill in missing string values with fillna() Now all null values (NaN) in the column "Gender" of the data type String are filled with "No gender".

Warning: We are doing that directly in this dataframe with inplace = True - we don't make a deep copy!

```
[15]: # filling a null values using fillna()
employees_df["Gender"].fillna("No Gender", inplace = True)

# highlight cells by condition
#employees_df_hl = employees_df.style.apply(lambda x: ["background: yellow" if v ==_u
- 'No Gender' else "" for v in x], axis = 1)
#employees_df_hl
employees_df
```

```
[15]:
          First Name
                         Gender Start Date Last Login Time Salary
                                                                      Bonus %
     0
             Douglas
                           Male
                                  8/6/1993
                                                   12:42 PM
                                                             97308
                                                                      6945.00
              Thomas
                           Male
                                  3/31/1996
                                                    6:53 AM
                                                              61933
                                                                         4.17
     1
     2
               Maria
                         Female
                                 4/23/1993
                                                   11:17 AM 130590
                                                                     11858.00
     3
                           Male
                                  3/4/2005
                                                    1:00 PM
                                                             138705
               Jerry
                                                                         9.34
                                                    4:47 PM 101004
     4
                           Male
                                 1/24/1998
                                                                      1389.00
               Larry
     5
                           Male
              Dennis
                                 4/18/1987
                                                    1:35 AM 115163 10125.00
     6
                Ruby
                         Female
                                  8/17/1987
                                                    4:20 PM
                                                              65476 10012.00
     7
                         Female
                                  7/20/2015
                                                              45906 11598.00
                 {\tt NaN}
                                                   10:43 AM
                                                              95570 18523.00
     8
                                 11/22/2005
              Angela
                         Female
                                                    6:29 AM
                         Female
                                   8/8/2002
                                                    6:51 AM 139852
                                                                      7524.00
             Frances
```

10	Louise	Female	8/12/1980	9:01 A	M 63241	15132.00
11	Julie	Female	10/26/1997	3:19 P	M 102508	12637.00
12	Brandon	Male	12/1/1980	1:08 A	M 112807	17492.00
13	Gary	Male	1/27/2008	11:40 P	M 109831	5831.00
14	Kimberly	Female	1/14/1999	7:13 A	M 41426	14543.00
•••		•••	•••		•••	
989	Stephen	No Gender	7/10/1983	8:10 P	PM 85668	1909.00
990	Donna	Female	11/26/1982	7:04 A	M 82871	17999.00
991	Gloria	Female	12/8/2014	5:08 A	M 136709	10331.00
992	Alice	Female	10/5/2004	9:34 A	M 47638	11209.00
993	Justin	No Gender	2/10/1991	4:58 P	PM 38344	3794.00
994	Robin	Female	7/24/1987	1:35 P	M 100765	10982.00
995	Rose	Female	8/25/2002	5:12 A	M 134505	11051.00
996	Anthony	Male	10/16/2011	8:35 A	M 112769	11625.00
997	Tina	Female	5/15/1997	3:53 P	PM 56450	19.04
998	George	Male	6/21/2013	5:47 P	PM 98874	4479.00
999	Henry	No Gender	11/23/2014	6:09 A	M 132483	16655.00
1000	Phillip	Male	1/31/1984	6:30 A	M 42392	19675.00
1001	Russell	Male	5/20/2013	12:39 F	PM 96914	1421.00
1002	Larry	Male	4/20/2013	4:45 P	PM 60500	11985.00
1003	Albert	Male	5/15/2012	6:24 P	M 129949	10169.00

	Senior Management	Team
0	True	Marketing
1	True	NaN
2	False	Finance
3	True	Finance
4	True	Client Services
5	False	Legal
6	True	Product
7	NaN	Finance
8	True	Engineering
9	True	Business Development
10	True	NaN
11	True	Legal
12	True	Human Resources
13	False	Sales
14	True	Finance
	•••	<b></b>
989	False	Legal
990	False	Marketing
991	True	Finance
992	False	Human Resources
993	False	Legal
994	True	Client Services
995	True	Marketing
006	Т	
996	True	Finance
996	True	Finance Engineering
997	True	Engineering
997 998	True True	Engineering Marketing
997 998 999	True True False	Engineering Marketing Distribution
997 998 999 1000	True True False False	Engineering Marketing Distribution Finance

[1004 rows x 8 columns]

**Fill in missing** *numerical* **values with mean values** Missing integer or float values can be filled with the mean values of the corresponding column.

#### @TODO:

Incorporate section "4.1.3 Fehlende Werte ergänzen" of the book mitp\_Praxishandbuch\_Machine\_Learning\_Python\_Scikit-learn\_TensorFlow\_2018\_Anm\_bk.pdf (see Raschka and Mirjalili 2018).

**Drop missing values using dropna()** In order to drop null values from a dataframe, we use dropna() function. This function drops rows or columns of datasets with NaN values in different ways.

Default is to drop rows with at least 1 null value (NaN). Giving the parameter how = 'all' the function drops rows with all data missing or contain null values (NaN).

```
[11]: # making a new dataframe with dropped NaN values
employees_df_dropped = employees_df.dropna(axis = 0, how = 'any')
employees_df_dropped
```

[11]:		First Name	Gender	Start Date	Last Login Time	Salary	Bonus %	\
	0	Douglas	Male	8/6/1993	12:42 PM	97308	6945.00	
	2	Maria	Female	4/23/1993	11:17 AM	130590	11858.00	
	3	Jerry	Male	3/4/2005	1:00 PM	138705	9.34	
	4	Larry	Male	1/24/1998	4:47 PM	101004	1389.00	
	5	Dennis	Male	4/18/1987	1:35 AM	115163	10125.00	
		•••	•••	•••		•••		
	999	Henry	No Gender	11/23/2014	6:09 AM	132483	16655.00	
	1000	Phillip	Male	1/31/1984	6:30 AM	42392	19675.00	
	1001	Russell	Male	5/20/2013	12:39 PM	96914	1421.00	
	1002	Larry	Male	4/20/2013	4:45 PM	60500	11985.00	
	1003	Albert	Male	5/15/2012	6:24 PM	129949	10169.00	

	Senior	Management	Team
0		True	Marketing
2		False	Finance
3		True	Finance
4		True	Client Services
5		False	Legal
•••		•••	
999		False	Distribution
1000		False	Finance
1001		False	Product
1002		False	Business Development
1003		True	Sales

[903 rows x 8 columns]

Finally we compare the sizes of dataframes so that we learn how many rows had at least 1 Null value.

```
Old data frame length: 1004
New data frame length: 903
```

Number of rows with at least 1 NaN value: 101

#### 4.4.2 Find and remove duplicates in dataset

This section was inspired by: - How to Find Duplicates in Pandas DataFrame (With Examples) - How to Drop Duplicate Rows in a Pandas DataFrame

Check for duplicate values using duplicated() In order to check for duplicate values in Pandas DataFrame, we use a function duplicated(). This function can be used in two ways: - find duplicate rows across all columns with duplicateRows = df[df.duplicated()] - find duplicate rows across specific columns duplicateRows = df[df.duplicated(subset=['col1', 'col2'])]

Find duplicate rows across all columns:

```
[12]: # import (again) data to dataframe from csv file
      employees_df = pd.read_csv("./datasets/employees_edit.csv")
      # find duplicate rows across all columns
      duplicateRows = employees_df[employees_df.duplicated()]
      duplicateRows
[13]:
          First Name
                      Gender
                              Start Date Last Login Time
                                                          Salary
                                                                   Bonus %
                     Female
                             11/30/1999
                                                 7:46 AM
                                                          102488
                                                                  17653.0
      112
               Karen
      127
                               5/25/2000
                                                                   12506.0
               Linda
                     Female
                                                 5:45 PM
                                                          119009
             Brandon
      296
                         NaN
                               11/3/1997
                                                 8:17 PM
                                                          121333
                                                                   15295.0
      580
            Nicholas
                        Male
                                3/1/2013
                                                 9:26 PM 101036
                                                                    2826.0
          Senior Management
                                             Team
                                          Product
      112
      127
                       True Business Development
      296
                      False
                             Business Development
                                  Human Resources
      580
                       True
[14]: # argument keep='last' displays the first duplicate rows instead of the last
      duplicateRows = employees_df[employees_df.duplicated(keep='last')]
      duplicateRows
[14]:
          First Name
                      Gender
                              Start Date Last Login Time
                                                          Salary
                                                                   Bonus %
                                                                   17653.0
      55
               Karen
                      Female
                             11/30/1999
                                                 7:46 AM
                                                          102488
      92
                               5/25/2000
                                                 5:45 PM 119009 12506.0
               Linda
                     Female
      153
             Brandon
                         NaN
                               11/3/1997
                                                 8:17 PM 121333
                                                                  15295.0
      442
            Nicholas
                        Male
                                3/1/2013
                                                 9:26 PM 101036
                                                                    2826.0
          Senior Management
                                             Team
      55
                       True
                                          Product
      92
                       True Business Development
      153
                      False Business Development
      442
                       True
                                  Human Resources
     Find duplicate rows across specific columns:
[15]: # identify duplicate rows across 'First Name' and 'Last Login Time' columns
      duplicateRows = employees_df[employees_df.duplicated(
                          subset=['First Name', 'Last Login Time'])]
      duplicateRows
[15]:
                      Gender Start Date Last Login Time
          First Name
                                                          Salary
                                                                   Bonus %
               Karen Female 11/30/1999
                                                 7:46 AM
                                                          102488 17653.0
      112
      127
               Linda Female
                               5/25/2000
                                                 5:45 PM 119009 12506.0
      296
             Brandon
                         {\tt NaN}
                               11/3/1997
                                                 8:17 PM 121333 15295.0
```

```
577
                                                   1:01 PM 118736
                 NaN Female
                                1/13/2009
                                                                      7421.0
      580
            Nicholas
                         Male
                                 3/1/2013
                                                   9:26 PM
                                                            101036
                                                                      2826.0
      632
                                                  12:49 PM
                 NaN
                          NaN
                                 9/2/1988
                                                            147309
                                                                      1702.0
      881
                 NaN
                         Male
                                 9/5/1980
                                                   7:36 AM
                                                            114896
                                                                     13823.0
      929
                 NaN
                                8/23/2000
                                                   4:19 PM
                                                              95866
                                                                     19388.0
                      Female
                                                  11:57 PM
      934
               Nancy
                      Female
                                9/10/2001
                                                              85213
                                                                      2386.0
      973
               Linda
                      Female
                                 2/4/2010
                                                   8:49 PM
                                                              44486
                                                                    17308.0
          Senior Management
                                               Team
      112
                        True
                                            Product
      127
                        True
                              Business Development
      296
                       False
                              Business Development
      577
                                   Client Services
                         NaN
      580
                        True
                                   Human Resources
      632
                         NaN
                                      Distribution
      881
                         NaN
                                   Client Services
                         NaN
      929
                                              Sales
      934
                        True
                                         Marketing
      973
                        True
                                       Engineering
      # argument keep='last' displays the first duplicate rows instead of the last
      duplicateRows = employees_df[employees_df.duplicated(
                           subset=['First Name', 'Last Login Time'], keep='last')]
      duplicateRows
[16]:
          First Name
                       Gender
                               Start Date Last Login Time
                                                            Salary
                                                                      Bonus %
      23
                 NaN
                         Male
                                6/14/2012
                                                   4:19 PM
                                                             125792
                                                                      5042.00
      37
                      Female
                              10/19/1981
                                                   8:49 PM
                                                             57427
                                                                      9557.00
               Linda
                              11/30/1999
      55
                      Female
                                                   7:46 AM
                                                            102488
                                                                     17653.00
               Karen
      66
               Nancy
                      Female
                              12/15/2012
                                                  11:57 PM
                                                            125250
                                                                      2672.00
                                                            119009
      92
               Linda
                      Female
                                5/25/2000
                                                   5:45 PM
                                                                     12506.00
      153
             Brandon
                                11/3/1997
                                                   8:17 PM
                                                            121333
                                                                     15295.00
                          NaN
      222
                 {\tt NaN}
                      Female
                                6/17/1991
                                                  12:49 PM
                                                             71945
                                                                         5.56
      269
                 NaN
                        Male
                                 2/4/2005
                                                   1:01 PM
                                                              40451
                                                                     16044.00
      442
            Nicholas
                         Male
                                                   9:26 PM
                                                            101036
                                 3/1/2013
                                                                      2826.00
      778
                 NaN Female
                                6/18/2000
                                                   7:36 AM 106428
                                                                    10867.00
          Senior Management
                                               Team
      23
                                                NaN
                         NaN
      37
                                   Client Services
                        True
      55
                        True
                                            Product
      66
                        True
                              Business Development
      92
                        True
                              Business Development
      153
                              Business Development
                       False
                         NaN
      222
                                         Marketing
      269
                         NaN
                                      Distribution
      442
                        True
                                   Human Resources
      778
                         NaN
                                                NaN
```

**Drop duplicate values using drop\_duplicates()** In order to drop duplicate values from a dataframe, we use drop\_duplicates() function.

This function can be used in two ways: - remove duplicate rows across all columns with df.drop\_duplicates() - find duplicate rows across specific columns df.drop\_duplicates(subset=['col1', 'col2'])

Warning: We are doing that directly in this dataframe with inplace = True - we don't make a deep copy!

Remove duplicate rows across all columns:

```
[17]: # remove duplicate rows across all columns
      employees_df.drop_duplicates(inplace=True)
      employees_df
[17]:
           First Name Gender
                                Start Date Last Login Time
                                                              Salary
                                                                        Bonus %
                                                                        6945.00
      0
              Douglas
                          Male
                                  8/6/1993
                                                   12:42 PM
                                                               97308
                          Male
                                 3/31/1996
                                                    6:53 AM
                                                               61933
                                                                           4.17
      1
               Thomas
      2
                Maria Female
                                 4/23/1993
                                                   11:17 AM 130590
                                                                      11858.00
      3
                                                     1:00 PM
                                                              138705
                Jerry
                          Male
                                  3/4/2005
                                                                           9.34
      4
                Larry
                          Male
                                  1/24/1998
                                                     4:47 PM
                                                              101004
                                                                        1389.00
                                11/23/2014
                                                     6:09 AM
      999
                                                              132483
                                                                       16655.00
                Henry
                           {\tt NaN}
      1000
                          Male
                                 1/31/1984
                                                    6:30 AM
                                                               42392
                                                                       19675.00
              Phillip
      1001
              Russell
                          Male
                                 5/20/2013
                                                   12:39 PM
                                                               96914
                                                                        1421.00
      1002
                Larry
                          Male
                                 4/20/2013
                                                     4:45 PM
                                                               60500
                                                                       11985.00
      1003
                                 5/15/2012
                                                     6:24 PM
                                                              129949
                                                                      10169.00
               Albert
                          Male
           Senior Management
                                                Team
      0
                         True
                                           Marketing
      1
                         True
                                                 NaN
      2
                        False
                                             Finance
      3
                         True
                                             Finance
      4
                         True
                                     Client Services
      999
                        False
                                        Distribution
      1000
                        False
                                             Finance
      1001
                        False
                                             Product
      1002
                        False
                              Business Development
      1003
                         True
                                               Sales
      [1000 rows x 8 columns]
     Remove duplicate rows across specific columns:
[18]: # remove duplicate rows across 'First Name' and 'Last Login Time' columns
      employees_df.drop_duplicates(
          subset=['First Name', 'Last Login Time'], keep='last', inplace=True)
      employees_df
[18]:
           First Name
                        Gender
                                Start Date Last Login Time
                                                                        Bonus %
                                                              Salary
                                                                        6945.00
      0
              Douglas
                          Male
                                  8/6/1993
                                                   12:42 PM
                                                               97308
      1
               Thomas
                          Male
                                 3/31/1996
                                                    6:53 AM
                                                               61933
                                                                           4.17
      2
                                                   11:17 AM
                                                              130590
                Maria
                        Female
                                 4/23/1993
                                                                       11858.00
      3
                          Male
                                  3/4/2005
                                                     1:00 PM
                                                              138705
                                                                           9.34
                Jerry
      4
                          Male
                                 1/24/1998
                                                     4:47 PM
                                                              101004
                                                                        1389.00
                Larry
      999
                Henry
                           {\tt NaN}
                                11/23/2014
                                                     6:09 AM
                                                              132483
                                                                      16655.00
                                 1/31/1984
                                                    6:30 AM
                                                               42392
                                                                      19675.00
      1000
              Phillip
                          Male
      1001
                                 5/20/2013
                                                   12:39 PM
                                                               96914
                                                                        1421.00
              Russell
                          Male
                                                               60500
      1002
                          Male
                                 4/20/2013
                                                     4:45 PM
                                                                      11985.00
                Larry
      1003
               Albert
                          Male
                                 5/15/2012
                                                     6:24 PM 129949
                                                                      10169.00
           Senior Management
                                                Team
      0
                         True
                                           Marketing
      1
                         True
                                                 NaN
      2
                        False
                                             Finance
```

Finance	True	3
Client Services	True	4
•••	•••	
Distribution	False	999
Finance	False	1000
Product	False	1001
Business Development	False	1002
Sales	True	1003

[994 rows x 8 columns]

#### 4.4.3 Compare the edited dataset with the original dataset side-by-side

#### @TODO:

Incorporate following sources: - Compare two DataFrames and output their differences side-by-side - pandas compare two data frames and highlight the differences - How to Compare Two Pandas DataFrames and Get Differences

#### 4.4.4 Save edited dataset to new CSV file

#### $\Omega$

Add explanation and python code here.

#### 4.5 Avoidance of tendencies due to bias

The description of the Iris dataset says, that it consists of **50 samples** from **each of three species** of Iris (Iris setosa, Iris virginica and Iris versicolor), so there are **150 total samples**.

But how to prove it?

#### 4.5.1 Count occurrences of unique values

To prove whether all possible classes included in the dataset and equally distributed, you can use the function df.value\_counts.

Following parameters can be used for fine tuning: - dropna=False causes that NaN values are included - normalize=True: relative frequencies of the unique values are returned - ascending=False: sort resulting classes descending

```
[19]: # import (again) data to dataframe from csv file employees_df = pd.read_csv("./datasets/employees_edit.csv")
```

```
[20]: # count unique values without missing values in a column,
# ordered descending and normalized
irisdata_df['species'].value_counts(ascending=False, dropna=False, normalize=True)
```

```
[20]: Iris-setosa 0.333333
Iris-versicolor 0.333333
Iris-virginica 0.333333
Name: species, dtype: float64
```

```
[21]: # count unique values and missing values in a column,
# ordered descending and not absolute values
employees_df['Team'].value_counts(ascending=False, dropna=False, normalize=False)
```

[21]: Client Services 106
Business Development 103

:	102
	98
	96
	94
	92
	92
	90
	88
	43
int64	
	int64

#### 4.5.2 Display Histogram

This section was inspired by: Pandas Histogram – DataFrame.hist().

**Histograms** represent **frequency distributions** graphically. This requires the separation of the data into classes (so-called **bins**).

These classes are represented in the histogram as rectangles of equal or variable width. The height of each rectangle then represents the (relative or absolute) **frequency density**.

```
[22]: employees_df.hist(column=['Salary'])
plt.show()
```

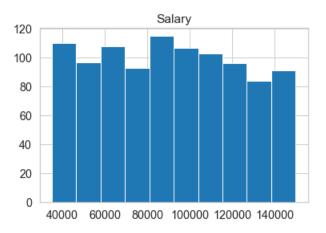


Figure 4: Histogram for frequency distribution of the salary

```
[23]: employees_df.hist(column='Salary', by='Gender')
plt.show()
```

# 4.6 First idea of correlations in dataset

To get a rough idea of the **dependencies** and **correlations** in the dataset, it can be helpful to visualize the whole dataset in a **correlation heatmap**. They show in a glance which variables are correlated, to what degree and in which direction.

Later, 2 particularly well correlated variables are selected from the dataset and plotted in a **scatterplot**.

#### 4.6.1 Visualise data with correlation heatmap

This section was inspired by How to Create a Seaborn Correlation Heatmap in Python?.

Correlation matrices are an essential tool of exploratory data analysis. Correlation heatmaps contain the same information in a visually appealing way. What more: they show

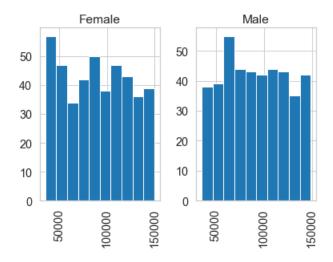


Figure 5: Histogram for the frequency distribution of the salary in comparison between men and women

in a glance which variables are correlated, to what degree, in which direction, and alerts us to potential multicollinearity problems (source: ibidem).

Simple correlation matrix Because string values can never be correlated, the class names (species) have to be converted first:

```
[25]: irisdata_df_enc.corr()
```

```
[25]:
                    sepal_length
                                  sepal_width petal_length petal_width
                                                                             species
      sepal_length
                        1.000000
                                     -0.109369
                                                    0.871754
                                                                 0.817954 0.782561
                        -0.109369
                                      1.000000
                                                   -0.420516
                                                                 -0.356544 -0.419446
      sepal_width
                                     -0.420516
                                                                 0.962757
      petal_length
                        0.871754
                                                    1.000000
                                                                           0.949043
      petal_width
                        0.817954
                                     -0.356544
                                                    0.962757
                                                                  1.000000
                                                                            0.956464
      species
                        0.782561
                                     -0.419446
                                                    0.949043
                                                                  0.956464
                                                                            1.000000
```

**Correlation heatmap** Choose the color sets from color map.

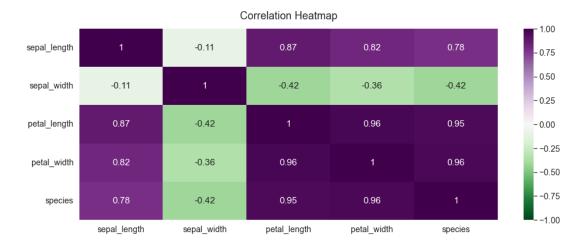


Figure 6: Correlation heatmap to explore coherences between single variables in the iris dataset

**Triangle correlation heatmap** When looking at the correlation heatmaps above, you would not lose any information by **cutting** away half of it **along the diagonal** line marked by 1-s.

The **numpy** function **np.triu()** can be used to isolate the upper triangle of a matrix while turning all the values in the lower triangle into 0.

Use this mask to cut the heatmap along the diagonal:

As a result from the **heatmaps** we can see, that the shape of the **petals** are the **most correlationed** columns (0.96) with the **type of flowers** (species classes).

Somewhat lower correlates sepal length with petal length (0.87).

#### 4.6.2 Visualise data with scatter plot

In the following, Seaborn is applied which is a library for making statistical graphics in Python. It is built on top of matplotlib and closely integrated with pandas data structures.

To investigate whether there are dependencies (e.g. correlations) in <code>irisdata\_df</code> between individual variables in the dataset, it is advisable to plot them in a **scatter plot**.

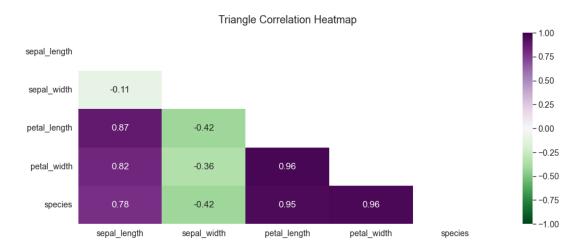


Figure 7: Correlation heatmap, which was cut at its main diagonal without losing any information

#### 4.6.3 Visualise data with pairs plot

For systematic investigation of dependencies, all variables (each against each) are plotted in separate scatter plots.

With this so called **pairs plot** it is possible to see both **relationships** between two variables and **distribution** of single variables.

This function will create a grid of Axes such that **each numeric variable** in <code>irisdata\_df</code> will by shared in the y-axis across a single row and in the x-axis across a single column.

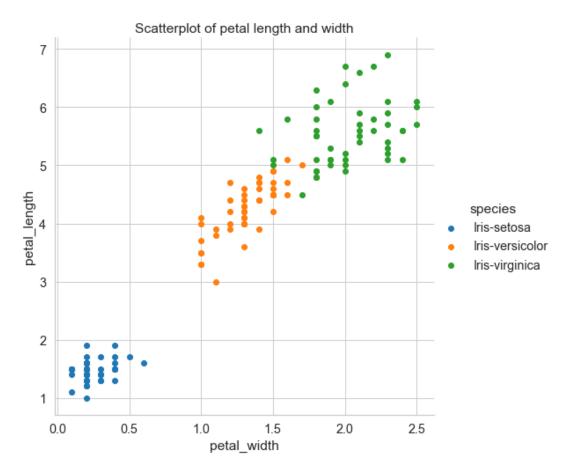


Figure 8: Plotting two individual variables of the iris dataset in the scatterplot to explore the relationships between these two

# 5 STEP 3: Choose and create the ML model

After exploring the dataset, in this step one has to decide on a specific ML algorithm based on certain selection criteria.

However, since the AI or ML world is so huge and impossible for a ML novice to overlook, a brief description of the **relationship between AI and ML** is given in the following sections. Furthermore, a **taxonomy** of the different **learning types** is presented by also providing some example algorithms.

# 5.1 Short overview of the AI world and its ML algorithms

#### 5.1.1 Relationship between AI, ML and others

**@TODO:** Include in this section the presentation IFA\_Steimers\_KI\_Grundlagen\_Neuronaler\_Netze\_2021-03-22.pdf by Prof. Steimers (IFA): - slides 6-7 "Definition of KI" - slides 10-12 "ML: Categories based on the data, task and algorithms"

In the **science world**, the term **artificial intelligence (AI)** refers to machines and systems that are capable of performing tasks that are characteristic of human intelligence.

In the **business world**, on the other hand, AI typically refers to mechanisms that perceive environmental factors and take autonomous actions. This is seen as an opportunity to achieve **predefined goals** with maximum success - without human intervention. Ultimately, this view is a mapping of **input information** to controlled **output actions** of a system. This expectation of AI-driven systems is thus hardly higher than what can be expected from today's modern automation systems.

Machine Learning (ML), on the other hand, addresses the mathematical models and algorithms that enable a computer system to recognize (new) correlations in huge amounts of sample data from various

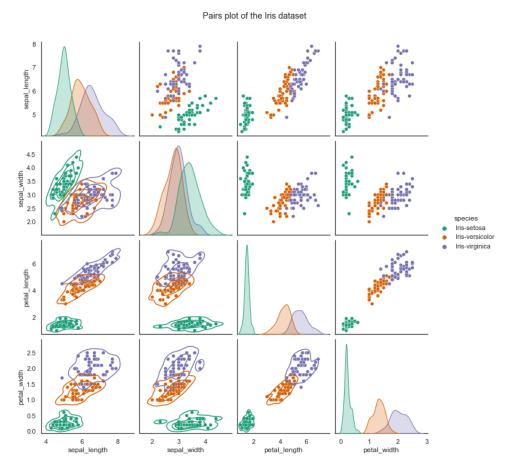


Figure 9: Plot all individual variables of the Iris dataset in pairs plot to see both the relationships between two variables and the distribution of the individual variables

sources by inferring them independently. For scientists, machine learning is a subset of AI.

The umbrella term AI covers a very large research area. It includes a number of techniques that enable computers to learn independently and solve complex problems:

- Computer-Vision (CV)
- Supervised and Unsupervised Learning
- Reinforcement Learning and Genetic Algorithms
- Computational Linguistics
- Robotics
- etc

The following Venn diagram shows the relationship between Artificial Intelligence (AI), Machine Learning (ML) and other integrated technologies. The quantities that do not belong to the main category represent techniques that can function as stand-alone techniques and do not necessarily fall into the artificial intelligence group in all cases (for further details see Emerging technologies based on artificial intelligence to assess quality and consumer preference of beverages).

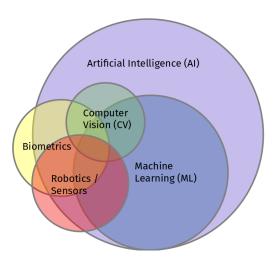


Figure 10: Venn diagram showing the relationship between Artificial Intelligence (AI), Machine Learning (ML) and other integrated technologies (source: Kasper, adapted from Emerging technologies based on artificial intelligence to assess quality and consumer preference of beverages, license: CC-BY-SA 4.0)

#### 5.1.2 Taxonomy of machine learning

The field of machine learning can be divided into the following types of learning:

- Supervised learning
- Unsupervised learning
- Semi-supervised learning
- Reinforcement learning

Here are some further sources:

- Taxonomy of machine learning algorithms
- Comprehensive Survey of Machine Learning Approaches in Cognitive Radio-Based Vehicular Ad Hoc Networks
- A Taxonomy of Machine Learning Techniques
- ML Algorithms: One SD
- Machine Learning Map

**Supervised learning** The goal of **supervised learning (SL)** is to learn a **function** that maps a **input to an output**, based on example input-output pairs. This involves inferring a relationship describable by a mathematical function from **labeled training data** consisting of a set of training examples (see Supervised Learning).

A few well-known algorithms from the field of **supervised learning** are mentioned here:

- Naive Bayes
- Linear Regression
- Logistic Regression
- Artificial Neural Networks (ANN)
- Support Vector Classifier (SVC)
- Decision Trees
- Random Forests

**Unsupervised learning** The algorithms of this category look for internal structures in the data of a dataset, such as **grouping** or **clustering of data points**. These algorithms can thus learn relationships from test data that have not been labeled, classified, or categorized. Rather than responding to feedback (as in supervised learning), unsupervised learning algorithms detect **commonalities in the data** and respond based on the presence or absence of such commonalities in each new dataset (see Unsupervised learning).

Here are some algorithms from the field of unsupervised learning:

- K-means Clustering
- Spectral Clustering
- Hierarchical Clustering
- Principal Component Analysis (PCA)

**Semi-supervised learning** This type of learning falls between **unsupervised** learning (without any labeled training data) and **supervised** learning (with completely labeled training data). Some of the training examples are missing training labels, yet many machine-learning researchers have found that unlabeled data, when used in conjunction with a small amount of labeled data, can produce a considerable improvement in learning accuracy (source: Semi-supervised learning).

**Reinforcement learning** This is an area of machine learning concerned with how **intelligent agents** ought to **take actions in an environment** in order to maximize the notion of cumulative **reward**. Due to its generality, the field is studied in many other disciplines, such as **game theory** and **control theory**.

Reinforcement learning differs from supervised learning in **not needing labeled input/output pairs** be presented, and in not needing sub-optimal actions to be explicitly corrected. Instead the focus is on **finding a balance** between **exploration** (of uncharted territory) and **exploitation** (of current knowledge) (source: Reinforcement learning).

Here are some algorithms from the field of **reinforcement learning**:

- Iterative Policy
- Q-Learning
- SARSA
- Learning Classifiers
- Stochastic Gradient
- Genetic Algorithm

#### 5.2 Decision graph for selecting an suitable algorithm

Now that the iris dataset has been analyzed in terms of its data structure and internal correlations, the most difficult task on the way to solving a problem using machine learning arises: finding the "right" ML algorithm (also called **estimator**).

The diverse estimators available are more or less well qualified for the respective problems with their partly very different data types. The good news is that the ML software package **Scikit-Learn** provides the following **flowchart** as a rough **guide** in choosing the right estimator for the particular task (see: Choosing the right estimator).

However, it must also be emphasized that a considerable **level of experience** through systematic trial and error is crucial to be successful in finding an "optimal" estimator.

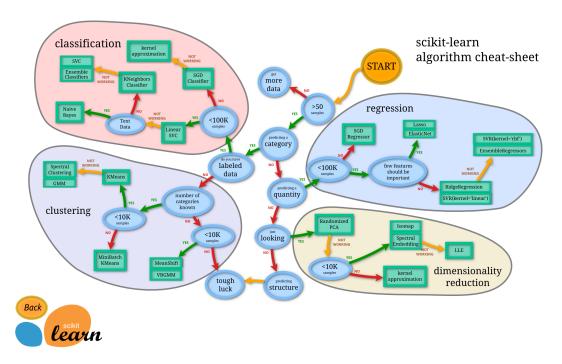


Figure 11: Decision graph for choosing an appropriate ML algorithm (source: Choosing the right estimator, license: unknown)

# 5.3 Reasons for choosing Support Vector Classifier (SVC)

Among other ML algorithms suitable for the Iris dataset (such as the decision-tree-based random-forests classifier), the reasoned choice here in this tutorial falls on the support vector classifier (SVC).

The following reasons led to the decision for the Support Vector Classifier (SVC):

- the aim is to predict the species using unlabeled test data, so the task is to classify
- the iris dataset is **fully labeled** (by designating the iris species)
- the dataset contains significantly less than 100k samples

But the most important reason is that it is **easy to understand** how it works - so it is exactly suitable for a beginner tutorial;)

# 5.4 Operating principal of SVC

Support Vector Classifiers (SVC) try to find the best hyperplane to separate the different classes by maximizing the distance between sample points and the hyperplane (source: In Depth: Parameter tuning for SVC).

The figure ?? shows the operating principal of the SVC algorithm: the hyperplanes H1 till H4 (left graphic) do separate the classes. A good separation is achieved by the hyperplane that has the largest distance to the nearest training-data point of any class (so-called functional margin), since in general the larger the margin, the lower the generalization error of the classifier (source: Support-vector machine).

The right graphic shows the optimal hyperplane characterized by maximizing the margin between the classes. The perpendicular distance of the closest data points to the hyperplane determines their position and orientation. These perpendicular distances are the **support vectors** of the hyperplane - this is how the algorithm got its name.

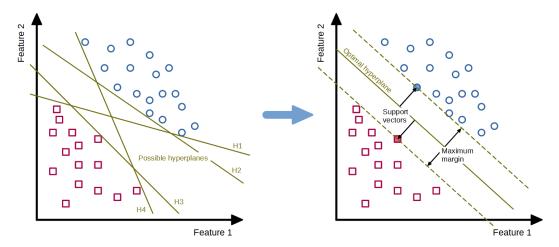


Figure 12: Support Vector Classifiers (SVC) separate the data points in classes by finding the best hyperplane by maximizing the margin to its support vectors (source: Kasper, license: CC-BY-SA 4.0)

#### 5.5 Create the SVC model

In this step we create the SVC model choosing a linear kernel with default parameters.

```
[31]: from sklearn.svm import SVC classifier = SVC(kernel = 'linear', random_state = 0)
```

# 6 STEP 4: Prepare the dataset for training

In this step the dataset is prepared for the actual classification by SVC. Depending on the selected ML algorithm as well as the data structure, it may be necessary to prepare the data before training (e.g., by standardization, normalization, or binarization based on thresholds). Furthermore, errors in the dataset (e.g. data gaps, duplicates or obvious misentries) should be corrected now at the latest.

Through the intensive exploration of the data in (STEP 2: Explore the ML dataset), we know that special **preparation** of the data is **not necessary**. The values are complete and without gaps and there are no duplicates. The values are in similar ranges, which **does not require normalization** of the data.

Furthermore, we know that the **classes** are very **evenly distributed** and thus bias tendencies should be avoided.

For further details about **Standarization** and **Normalization** read here: What are standarization and normalization? Test with iris data set in Scikit-learn.

```
[32]: # import Iris dataset for exploration (again)
irisdata_df = pd.read_csv('./datasets/IRIS_flower_dataset_kaggle.csv')
```

#### 6.1 Standarization

Standardize the feature values by computing the **mean**, subtracting the mean from the data points, and then dividing by the **standard deviation**.

#### @TODO:

Incorporate section "Skalieren von Merkmalen" of the book OReilly\_Praxiseinstieg\_Machine\_Learning\_Scikit-Learn (see Géron 2018).

```
[39]: from sklearn.preprocessing import StandardScaler

#scaler = StandardScaler()
#X_train = scaler.fit_transform(X_train)
#X_test = scaler.transform(X_test)
irisdata_df

#X_train
```

[39]:	sepal_length	sepal_width	petal_length	petal_width	species
0	5.1	3.5	1.4	0.2	Iris-setosa
1	4.9	3.0	1.4	0.2	Iris-setosa
2	4.7	3.2	1.3	0.2	Iris-setosa
3	4.6	3.1	1.5	0.2	Iris-setosa
4	5.0	3.6	1.4	0.2	Iris-setosa
		•••	•••		•••
145	6.7	3.0	5.2	2.3	Iris-virginica
146	6.3	2.5	5.0	1.9	Iris-virginica
147	6.5	3.0	5.2	2.0	Iris-virginica
148	6.2	3.4	5.4	2.3	Iris-virginica
149	5.9	3.0	5.1	1.8	Iris-virginica

[150 rows x 5 columns]

#### 6.2 Normalization

# 7 STEP 5: Carry out training, prediction and testing

## 7.1 Split the dataset

In the next very important step, the dataset is split into **2** subsets: a training dataset and a test dataset. As the names suggest, the training dataset is used to train the ML algorithm. The test dataset is then used to check the quality of the trained ML algorithm (here the **recognition rate**). For this purpose, the **class labels** are **removed** from the training dataset - after all, these are to be predicted.

Typically, the **test dataset** should contain about **20**% of the entire dataset.

In particular, to **avoid bias** in the sorted iris dataset due to splitting, the **order** of the data rows must be **randomized**. This is done with the parameter **shuffle=True**.

Check that the split datasets are still balanced and that no bias has been created by the splitting.

For this test, the previously separated labels  $y_{train}$  must be added back to the training dataset  $X_{train}$ .

```
[37]: # make a deep copy of 'X_train'
X_train_bias_test_df = X_train.copy(deep=True)

# add list of labels to test dataframe
X_train_bias_test_df['species'] = y_train
```

```
# count unique values without missing values in a column,
# ordered descending and normalized

X_train_bias_test_df['species'].value_counts(ascending=False, dropna=False,
→normalize=True)
```

For training, do not use only the variables that correlate best with each other, but all of them.

Otherwise, the result of the prediction would be significantly worse. Maybe this is already an indication of **overfitting** of the ML model.

#### 7.2 Train the SVC

In this step the SVC is trained with the training data. Training means to fit the SVC to the training data.

```
[39]: # fit the model for the data classifier.fit(X_train, y_train)
```

[39]: SVC(kernel='linear', random\_state=0)

#### 7.3 Make predictions

In this step the aim is to **predict the species** using unlabeled test data.

```
[40]: y_pred = classifier.predict(X_test)
#X_test
#y_pred
```

# 8 STEP 6: Evaluate model's performance

Subsequently to the training of the SVC model and the classification predictions made based on the test data, this step evaluates the **quality of the classification result** using known **metrics** such as the **accuracy score** as well as the **confusion matrix**.

#### 8.1 Accuracy Score

In a multilabel classification (such as the Iris dataset), this **Accuracy classification score** computes the subset accuracy. For further details see sklearn.metrics.accuracy\_score.

```
[41]: from sklearn.metrics import accuracy_score
    acc_score = accuracy_score(y_test, y_pred)
    print("Accuracy score: {:.2f} %".format(acc_score.mean()*100))
```

Accuracy score: 80.00 %

### 8.2 Classification Report

The classification report shows a representation of the main classification metrics on a per-class basis. This gives a deeper intuition of the classifier behavior over global accuracy which can mask functional weaknesses in one class of a multiclass problem (see Classification Report).

```
[42]: from sklearn.metrics import classification_report
print(classification_report(y_test, y_pred))
```

	precision	recall	f1-score	support
Iris-setosa	1.00	1.00	1.00	5
Iris-versicolor	0.86	0.75	0.80	16
Iris-virginica	0.64	0.78	0.70	9
accuracy			0.80	30
macro avg	0.83	0.84	0.83	30
weighted avg	0.81	0.80	0.80	30

#### 8.3 Cross-validation score

The function <code>cross\_val\_score()</code> from the Scikit-learn package trains and tests a model over multiple folds of your dataset. This cross validation method gives a better understanding of model performance over the whole dataset instead of just a single train/test split (see Using <code>cross\_val\_score</code> in sklearn, simply explained).

#### @TODO:

 $\label{localized localized localiz$ 

Cross-validation score: 82.50 % Standard Deviation: 14.65 %

#### 8.4 Confusion matrix

The **confusion matrix** measures the quality of predictions from a classification model by looking at how many **predictions** are **True** and how many are **False** (see What the Confusion Matrix Measures?.

#### 8.4.1 Textual confusion matrix

For checking the accuracy of the model, the confusion matrix can be used for the cross validation.

By using the function sklearn.metrics.confusion\_matrix() a confusion matrix of the true iris class labels versus the predicted class labels is plotted.

#### 8.4.2 Colored confusion matrix

The function sklearn.metrics.ConfusionMatrixDisplay() plots a colored confusion matrix.

```
[44]: sns.set_style("white")

# print colored confusion matrix
cm_colored = metrics.ConfusionMatrixDisplay.from_predictions(y_test, y_pred)

cm_colored.figure_.suptitle("Colored Confusion Matrix")
cm_colored.figure_.set_figwidth(8)
cm_colored.figure_.set_figheight(7)

cm_colored.confusion_matrix

# save figure as PNG
plt.tight_layout()
plt.savefig('images/confusion_matrix.png', dpi=150, pad_inches=5)
plt.show()
```



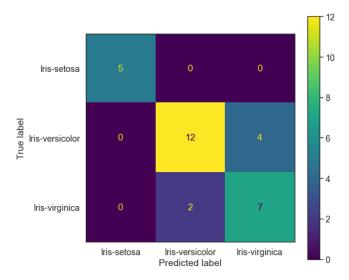


Figure 13: Checking the accuracy of the model by using the confusion matrix for cross-validation

# 9 STEP 7: Vary parameters of the ML model manually

This section was inspired by In Depth: Parameter tuning for SVC

In this section, the 4 SVC parameters kernel, gamma, C and degree will be introduced one by one. Furthermore, their influence on the classification result by varying these single parameters will be shown.

**Disclaimer:** In order to show the effects of varying the individual parameters in 2D graphs, only the best correlating variables petal\_length and petal\_width are used to train the SVC.

#### 9.1 Prepare dataset

#### 9.1.1 Prepare datasets for parameter variation and plotting

These datasets will be used for parameter variation and plotting only. In particular, for later **2D plotting** of the effects of parameter variation, only **2 variables** of the iris dataset can be used.

However, as seen in the previous section, this selection is very much at the expense of detection accuracy. Therefore, it is not useful to make predictions with this subset of data - it is not necessary to divide it into a training and a test dataset.

```
[]: # copy only 2 feature columns
# and convert pandas dataframe to numpy array
X_plot = irisdata_df_enc[['petal_length', 'petal_width']].to_numpy(copy=True)
#X_plot = irisdata_df_enc[['sepal_length', 'sepal_width']].to_numpy(copy=True)
#X_plot
```

```
[]: # convert pandas dataframe to numpy array
# and get a flat 1D copy of 2D numpy array
y_plot = irisdata_df_enc[['species']].to_numpy(copy=True).flatten()
#y_plot
```

#### 9.1.2 Prepare dataset for prediction and evaluation

To evaluate the recognition accuracy by parameter variation, the complete iris dataset with all variables must be used. To make predictions with test data, the dataset is again divided into a training and a test dataset.

#### 9.2 Plotting functions

This function helps to visualize the modifications by varying the individual SVC parameters:

```
[]: def plotSVC(title, svc, X, y, xlabel, ylabel):
         # create a mesh to plot in
         x_{\min}, x_{\max} = X[:, 0].min() - 1, X[:, 0].max() + 1
         y_{min}, y_{max} = X[:, 1].min() - 1, X[:, 1].max() + 1
         # prevent division by zero
         if x_min == 0.0:
             x_min = 0.1
         h = (x_max / x_min)/1000
         xx, yy = np.meshgrid(np.arange(x_min, x_max, h), np.arange(y_min, y_max, h))
         plt.subplot(1, 1, 1)
         Z = svc.predict(np.c_[xx.ravel(), yy.ravel()])
         Z = Z.reshape(xx.shape)
         plt.contourf(xx, yy, Z, cmap=plt.cm.Paired, alpha=0.6)
         plt.scatter(X[:, 0], X[:, 1], c=y, cmap=plt.cm.Paired)
         plt.xlabel(xlabel)
         plt.ylabel(ylabel)
         plt.xlim(xx.min(), xx.max())
         plt.title(title)
         plt.show()
```

This function cares for cross validation:

This function plots the variation of the SVC parameters against the prediction accuracy to show the effect of variation and its limits regarding the phenomenon **overfitting**:

#### 9.3 Vary kernel of SVC

The kernel parameter selects the type of hyperplane that is used to separate the data. Using linear (linear classifier) kernel will use a linear hyperplane (a line in the case of 2D data). The rbf (radial basis function kernel) and poly (polynomial kernel) kernel use non linear hyperplanes. The default is kernel=rbf.

```
[]: kernels = ['linear', 'rbf', 'poly', 'sigmoid']

xlabel = 'Petal length'
ylabel = 'Petal width'

for kernel in kernels:
    svc_plot = svm.SVC(kernel=kernel).fit(X_plot, y_plot)
    accuracy = crossValSVC(X_train, y_train, kernel=kernel)
    title_str = 'kernel: \''+str(kernel)+'\', '+'Acc. prediction: {:.2f}%'.

format(accuracy)
    plotSVC(title_str, svc_plot, X_plot, y_plot, xlabel, ylabel)
```

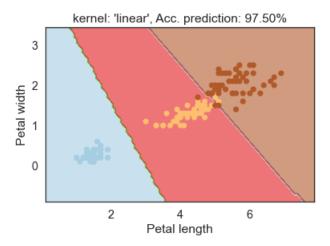


Figure 14: This group of images shows the effect on the classification by the choice of the different SVC kernels ('linear', 'rbf', 'poly' and 'sigmoid')

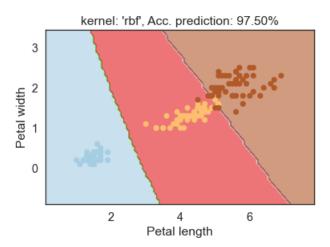


Figure 15: This group of images shows the effect on the classification by the choice of the different SVC kernels ('linear', 'rbf', 'poly' and 'sigmoid')

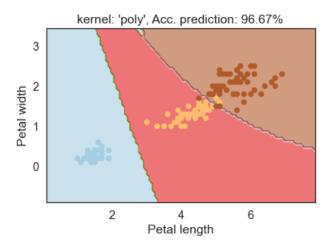


Figure 16: This group of images shows the effect on the classification by the choice of the different SVC kernels ('linear', 'rbf', 'poly' and 'sigmoid')

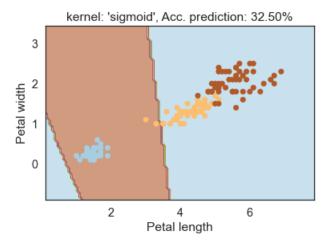


Figure 17: This group of images shows the effect on the classification by the choice of the different SVC kernels ('linear', 'rbf', 'poly' and 'sigmoid')

#### 9.4 Vary gamma parameter

The gamma parameter is used for **non linear hyperplanes**. The higher the gamma float value it tries to exactly fit the training dataset. The **default** is gamma='scale'.

Show the variation of the SVC parameter gamma against the **prediction accuracy**.

As we can see, increasing gamma leads to **overfitting** as the classifier tries to perfectly fit the training data.

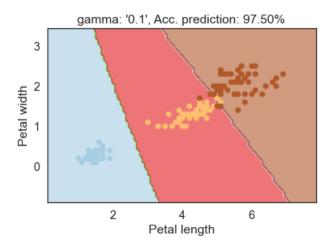


Figure 18: This group of images shows the effect on the classification by the variation of the parameter 'gamma' of the 'rbf' kernel

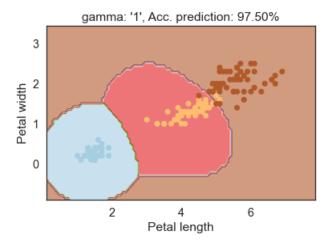


Figure 19: This group of images shows the effect on the classification by the variation of the parameter 'gamma' of the 'rbf' kernel

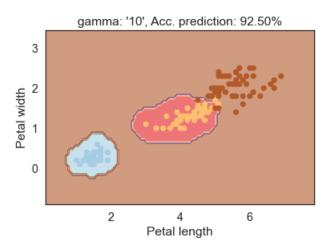


Figure 20: This group of images shows the effect on the classification by the variation of the parameter 'gamma' of the 'rbf' kernel

[]: gammas = [0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7, 0.8, 0.9, 1, 10, 100, 200]

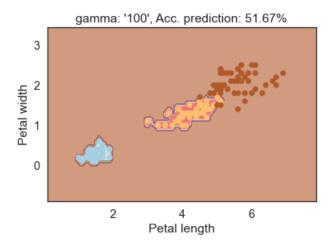


Figure 21: This group of images shows the effect on the classification by the variation of the parameter 'gamma' of the 'rbf' kernel

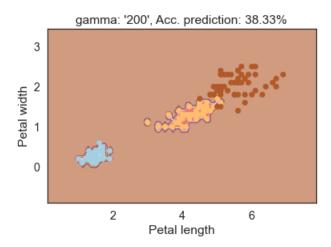


Figure 22: This group of images shows the effect on the classification by the variation of the parameter 'gamma' of the 'rbf' kernel

```
accuracy_list = list()
for gamma in gammas:
    accuracy = crossValSVC(X_train, y_train, kernel='rbf', gamma=gamma)
    accuracy_list.append(accuracy)

plotParamsAcc(gammas, accuracy_list, 'gamma', log_scale=True)
```

#### 9.5 Vary C parameter

The C parameter is the **penalty** of the error term. It controls the trade off between smooth decision boundary and classifying the training points correctly. The **default** is C=1.0.

```
[]: cs = [0.1, 1, 5, 10, 100, 1000, 10000]

xlabel = 'Petal length'
ylabel = 'Petal width'

for c in cs:
```

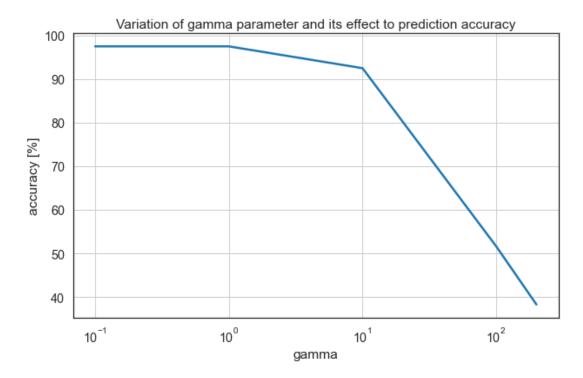


Figure 23: The plot shows the variation of the SVC parameter 'gamma' against the prediction accuracy

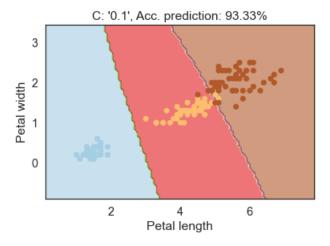


Figure 24: This group of images shows the effect on the classification by the variation of the parameter 'C' of the 'rbf' kernel

Show the variation of the SVC parameter C against the **prediction accuracy**.

But be careful: to high C values may lead to overfitting the training data.

```
[]: cs = [0.1, 1, 5, 6, 7, 8, 10, 100, 1000, 10000]

accuracy_list = list()
for c in cs:
```

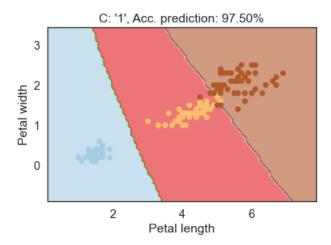


Figure 25: This group of images shows the effect on the classification by the variation of the parameter 'C' of the 'rbf' kernel

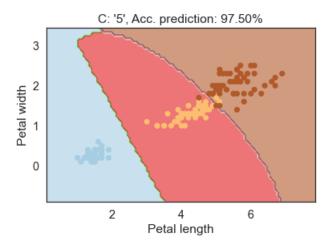


Figure 26: This group of images shows the effect on the classification by the variation of the parameter 'C' of the 'rbf' kernel

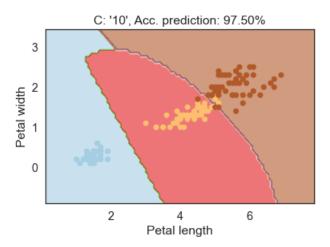


Figure 27: This group of images shows the effect on the classification by the variation of the parameter 'C' of the 'rbf' kernel

```
accuracy = crossValSVC(X_train, y_train, kernel='rbf', C=c)
```

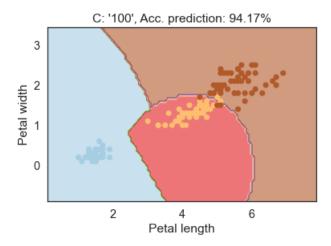


Figure 28: This group of images shows the effect on the classification by the variation of the parameter 'C' of the 'rbf' kernel

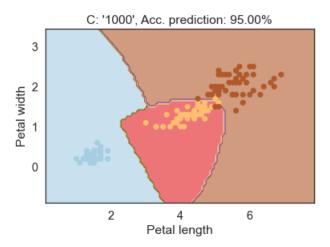


Figure 29: This group of images shows the effect on the classification by the variation of the parameter 'C' of the 'rbf' kernel

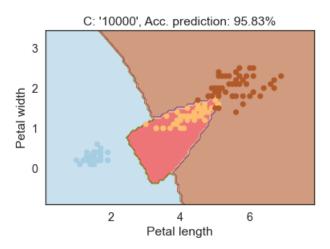


Figure 30: This group of images shows the effect on the classification by the variation of the parameter 'C' of the 'rbf' kernel

accuracy\_list.append(accuracy)



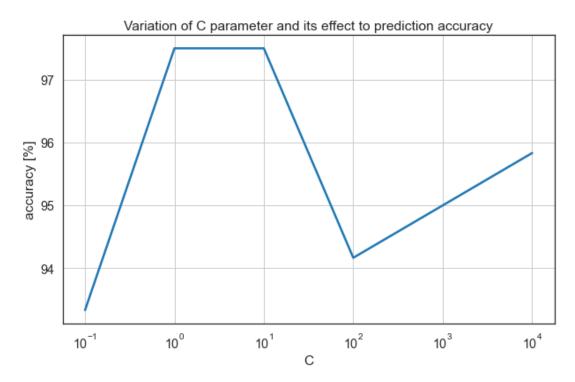


Figure 31: The plot shows the variation of the SVC parameter 'C' against the prediction accuracy

#### 9.6 Vary degree parameter

The degree parameter is used when the kernel is set to poly and is ignored by all other kernels. It's basically the degree of the polynomial used to find the hyperplane to split the data. The default is degree=3.

Using degree = 1 is the same as using a linear kernel. Also, increasing this parameters leads to higher training times.

Show the variation of the SVC parameter degree against the prediction accuracy.

As we can see, increasing the degree of the polynomial hyperplane leads to overfitting the training data.

```
[]: degrees = [1, 2, 3, 4, 5, 6, 7, 8, 9, 10]

accuracy_list = list()
```

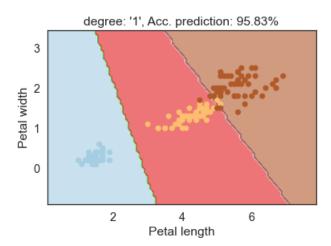


Figure 32: This group of images shows the effect on the classification by the variation of the parameter 'degree' of the 'poly' kernel

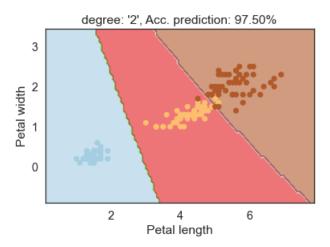


Figure 33: This group of images shows the effect on the classification by the variation of the parameter 'degree' of the 'poly' kernel

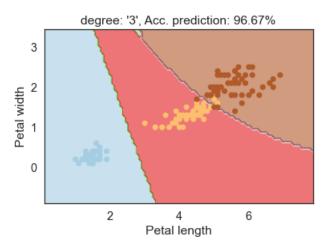


Figure 34: This group of images shows the effect on the classification by the variation of the parameter 'degree' of the 'poly' kernel

for degree in degrees:

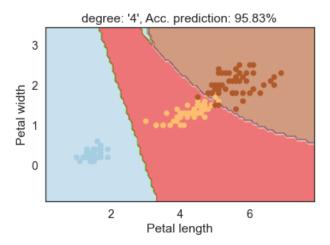


Figure 35: This group of images shows the effect on the classification by the variation of the parameter 'degree' of the 'poly' kernel

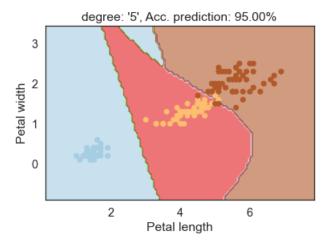


Figure 36: This group of images shows the effect on the classification by the variation of the parameter 'degree' of the 'poly' kernel

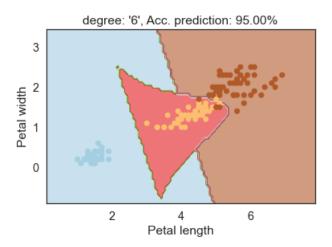


Figure 37: This group of images shows the effect on the classification by the variation of the parameter 'degree' of the 'poly' kernel

accuracy = crossValSVC(X\_train, y\_train, kernel='poly', degree=degree)

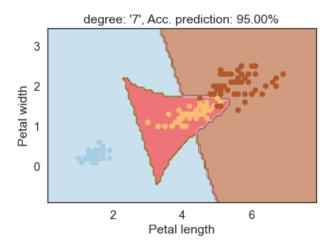


Figure 38: This group of images shows the effect on the classification by the variation of the parameter 'degree' of the 'poly' kernel

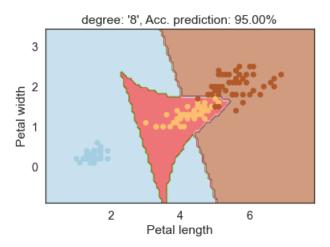


Figure 39: This group of images shows the effect on the classification by the variation of the parameter 'degree' of the 'poly' kernel

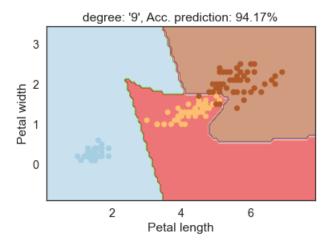


Figure 40: This group of images shows the effect on the classification by the variation of the parameter 'degree' of the 'poly' kernel

accuracy\_list.append(accuracy)

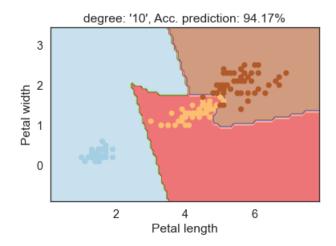
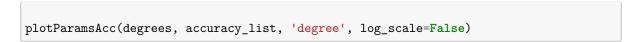


Figure 41: This group of images shows the effect on the classification by the variation of the parameter 'degree' of the 'poly' kernel



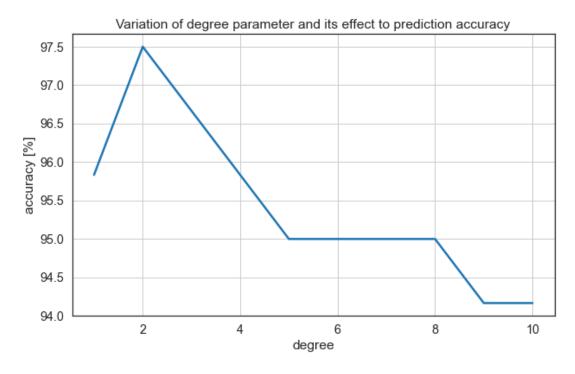


Figure 42: The plot shows the variation of the SVC parameter 'degree' against the prediction accuracy

# 10 STEP 8: Tune the ML model systematically

In the final step, two approaches to systematic hyper-parameter search are presented: **Grid Search** and **Randomized Search**. While the former exhaustively considers all parameter combinations for given values, the latter selects a number of candidates from a parameter space with a particular random distribution.

#### Sources:

• 3.2. Tuning the hyper-parameters of an estimator

- sklearn.model selection.GridSearchCV
- sklearn.model selection.RandomizedSearchCV
- Introduction to hyperparameter tuning with scikit-learn and Python
  - Abalone Dataset
- Hyperparameter tuning using Grid Search and Random Search: A Conceptual Guide

Import the necessary packages:

```
[47]: # general packages
      from sklearn.preprocessing import StandardScaler
      from sklearn.model_selection import train_test_split
      from sklearn.model_selection import cross_val_score
      from sklearn.metrics import accuracy_score
      from sklearn.metrics import classification_report
      #from sklearn.sum import SVC
      from sklearn import svm, metrics
      import pandas as pd
      import seaborn as sns
      import matplotlib.pyplot as plt
      %matplotlib inline
      # additional packages for grid search
      from sklearn.model_selection import RepeatedKFold
      from sklearn.model_selection import GridSearchCV
      # additional packages for randomized search
      from sklearn.model_selection import RandomizedSearchCV
      from sklearn.model_selection import RepeatedKFold
      # import class MeasExecTimeOfProgram from python file MeasExecTimeOfProgramclass.py
      {\tt from} \ \ {\tt MeasExecTimeOfProgram\_class} \ \ {\tt import} \ \ {\tt MeasExecTimeOfProgram}
```

Set path and columns of the Iris dataset for import:

```
[2]: # specify the path of the dataset
CSV_PATH = "./datasets/IRIS_flower_dataset_kaggle.csv"
```

Load dataset and split it into subsets for training and testing in the ratio 80% to 20%:

```
[23]: # load the dataset, separate the features and labels, and perform a
# training and testing split using 80% of the data for training and
# 20% for evaluation
irisdata_df = pd.read_csv(CSV_PATH)

X = irisdata_df.drop('species', axis=1)
y = irisdata_df['species']

X_train, X_test, y_train, y_test = train_test_split(X, y, test_size = 0.20, u)
shuffle=True)
```

Check that the split datasets are still balanced and that no bias has been created by the splitting.

For this test, the previously separated labels  $y\_train$  must be added back to the training dataset  $X\_train$ .

```
[24]: # make a deep copy of 'X_train'
X_train_bias_test_df = X_train.copy(deep=True)

# add list of labels to test dataframe
```

```
X_train_bias_test_df['species'] = y_train

# count unique values without missing values in a column,
# ordered descending and normalized

X_train_bias_test_df['species'].value_counts(ascending=False, dropna=False, unique)
Anormalize=True
```

[24]: Iris-versicolor 0.358333 Iris-virginica 0.333333 Iris-setosa 0.308333 Name: species, dtype: float64

Standardize the feature values by computing the **mean**, subtracting the mean from the data points, and then dividing by the **standard deviation**:

```
[]: scaler = StandardScaler()
    X_train = scaler.fit_transform(X_train)
    X_test = scaler.transform(X_test)

#X_train
```

#### 10.1 Finding a baseline

The aim of this sub-step is to establish a baseline on the Iris dataset by training a **Support Vector Classifier (SVC)** with no hyperparameter tuning.

Train the model with **no tuning of hyperparameters** to find the baseline for later improvements:

```
[54]: classifier = svm.SVC(kernel = 'linear', random_state = 0)

# initiate measuring execution time
execTime = MeasExecTimeOfProgram()
execTime.start()

classifier.fit(X_train, y_train)

# print time delta
print('Execution time: {:.4f} ms'.format(execTime.stop()))
```

Execution time: 1.6954 ms

Evaluate our model using accuracy score:

```
[55]: # predict labels
y_pred = classifier.predict(X_test)
```

Cross-validation score: 97.50 % Standard Deviation: 3.82 %

# [57]: # print classification report print(classification\_report(y\_test, y\_pred))

```
precision
                               recall f1-score
                                                   support
    Iris-setosa
                       1.00
                                 1.00
                                            1.00
                                                         13
                       1.00
Iris-versicolor
                                 0.86
                                            0.92
                                                         7
                                            0.95
 Iris-virginica
                       0.91
                                 1.00
                                                        10
                                            0.97
                                                        30
       accuracy
      macro avg
                       0.97
                                 0.95
                                            0.96
                                                        30
   weighted avg
                       0.97
                                 0.97
                                            0.97
                                                        30
```

```
[58]: sns.set_style("white")

# print colored confusion matrix
cm_colored = metrics.ConfusionMatrixDisplay.from_predictions(y_test, y_pred)

cm_colored.figure_.suptitle("Colored Confusion Matrix")
cm_colored.figure_.set_figwidth(8)
cm_colored.figure_.set_figheight(7)

cm_colored.confusion_matrix

plt.tight_layout()
plt.show()
```

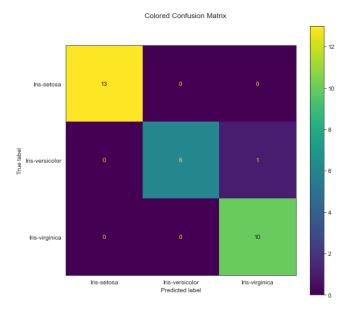


Figure 43:

# [42]: classifier.get\_params() [42]: {'C': 1.0, 'break\_ties': False, 'cache\_size': 200, 'class\_weight': None, 'coef0': 0.0, 'decision\_function\_shape': 'ovr',

```
'degree': 3,
'gamma': 'scale',
'kernel': 'linear',
'max_iter': -1,
'probability': False,
'random_state': 0,
'shrinking': True,
'tol': 0.001,
'verbose': False}
```

#### 10.2 Grid Search

Initialize the SVC model and define the **space of the hyperparameters** to perform the **grid-search** over:

```
[45]: classifier = svm.SVC()
   kernels = ["linear", "rbf", "sigmoid", "poly"]
   gammas = [0.1, 1, 10, 100, 200]
   cs = [0.1, 1, 5, 10, 100, 1000, 10000]

# reduce the possible polynomial degrees to reasonable values,
# since with higher degrees the calculation time increases exponentially
   degrees = [1, 2, 3, 4, 5]

grid = dict(kernel=kernels, gamma=gammas, C=cs, degree=degrees)
```

Initialize a cross-validation fold and perform a grid-search to tune the hyperparameters:

Execution time: 39.64 s

Extract the best model and evaluate it:

```
[61]: # predict labels by best model
bestModel = searchResults.best_estimator_

y_pred = bestModel.predict(X_test)
```

```
print("Standard Deviation: {:.2f} %".format(accuracies.std()*100))
```

Cross-validation score: 98.33 % Standard Deviation: 3.33 %

[63]: from sklearn.metrics import classification\_report

print(classification\_report(y\_test, y\_pred))

	precision	recall	f1-score	support
Iris-setosa	1.00	1.00	1.00	13
Iris-versicolor	1.00	0.86	0.92	7
Iris-virginica	0.91	1.00	0.95	10
accuracy			0.97	30
macro avg	0.97	0.95	0.96	30
weighted avg	0.97	0.97	0.97	30

```
[64]: sns.set_style("white")

# print colored confusion matrix
cm_colored = metrics.ConfusionMatrixDisplay.from_predictions(y_test, y_pred)

cm_colored.figure_.suptitle("Colored Confusion Matrix")
cm_colored.figure_.set_figwidth(8)
cm_colored.figure_.set_figheight(7)

cm_colored.confusion_matrix

plt.tight_layout()
plt.show()
```

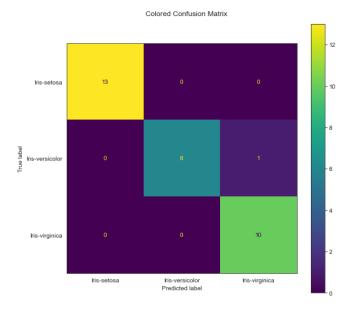


Figure 44:

```
[]: bestModel.get_params()
```

```
[]: {'C': 5,
    'break_ties': False,
    'cache_size': 200,
    'class_weight': None,
    'coef0': 0.0,
    'decision_function_shape': 'ovr',
    'degree': 1,
    'gamma': 0.1,
    'kernel': 'poly',
    'max_iter': -1,
    'probability': False,
    'random_state': None,
    'shrinking': True,
    'tol': 0.001,
    'verbose': False}
```

#### 10.3 Randomized Search

Initialize the SVC model and define the space of the hyperparameters to perform the randomized-search over:

```
[72]: classifier = svm.SVC()
   kernels = ["linear", "rbf", "sigmoid", "poly"]
   gammas = [0.1, 1, 10, 100, 200]
   cs = [0.1, 1, 5, 10, 100, 1000, 10000]

# reduce the possible polynomial degrees to reasonable values,
# since with higher degrees the calculation time increases exponentially
   degrees = [1, 2, 3, 4, 5]

grid = dict(kernel=kernels, gamma=gammas, C=cs, degree=degrees)
```

Initialize a cross-validation fold and perform a randomized-search to tune the hyperparameters:

Execution time: 0.720 s

Extract the best model and evaluate it:

```
[74]: # predict labels by best model
bestModel = searchResults.best_estimator_

y_pred = bestModel.predict(X_test)
```

```
[75]: # calculate cross validation score from the best model
      # HINT: do NOT use the accuracy score - it's to inaccurate!
      accuracies = cross_val_score(estimator = bestModel, X = X_train,
                                   y = y_train, cv = 10)
      print("Cross-validation score: {:.2f} %".format(accuracies.mean()*100))
      print("Standard Deviation: {:.2f} %".format(accuracies.std()*100))
     Cross-validation score: 97.50 %
     Standard Deviation: 3.82 %
[76]: from sklearn.metrics import classification_report
      print(classification_report(y_test, y_pred))
                      precision
                                   recall f1-score
                                                       support
         Iris-setosa
                           1.00
                                     1.00
                                                1.00
                                                            13
     Iris-versicolor
                           1.00
                                     0.86
                                               0.92
                                                             7
      Iris-virginica
                           0.91
                                     1.00
                                               0.95
                                                            10
                                                0.97
                                                            30
            accuracy
                           0.97
                                     0.95
                                                0.96
                                                            30
           macro avg
        weighted avg
                           0.97
                                     0.97
                                                0.97
                                                            30
[77]: sns.set_style("white")
      # print colored confusion matrix
      cm_colored = metrics.ConfusionMatrixDisplay.from_predictions(y_test, y_pred)
      cm_colored.figure_.suptitle("Colored Confusion Matrix")
      cm_colored.figure_.set_figwidth(8)
      cm_colored.figure_.set_figheight(7)
      cm_colored.confusion_matrix
      plt.tight_layout()
      plt.show()
[78]: bestModel.get_params()
[78]: {'C': 10,
       'break_ties': False,
       'cache_size': 200,
       'class_weight': None,
       'coef0': 0.0,
       'decision function shape': 'ovr',
       'degree': 1,
       'gamma': 0.1,
       'kernel': 'rbf',
       'max_iter': -1,
       'probability': False,
       'random_state': None,
       'shrinking': True,
       'tol': 0.001,
       'verbose': False}
```

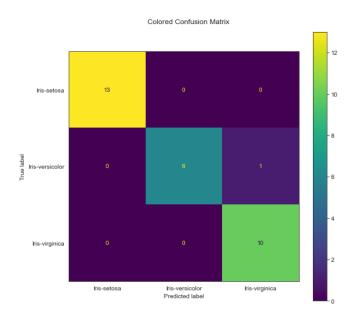


Figure 45:

# 11 Summary and conclusions

#### 11.1 English summary

# 11.2 German summary

[]:

#### 12 References

#### Online references

Wikipedia: CUDA (Aug. 28, 2022). *CUDA*. English. Ed. by Wikipedia, The Free Encyclopedia. URL: https://en.wikipedia.org/w/index.php?title=CUDA&oldid=1107147697 (visited on 08/30/2022) (cit. on p. 8).

Wikipedia: OpenCL (Aug. 21, 2022). *OpenCL*. English. Ed. by Wikipedia, The Free Encyclopedia. URL: https://en.wikipedia.org/w/index.php?title=OpenCL&oldid=1105615914 (visited on 08/30/2022) (cit. on p. 8).

#### Books, technical reports and others

Géron, Aurélien (2018). Praxiseinstieg Machine Learning mit Scikit-Learn und TensorFlow. Konzepte, Tools und Techniken für intelligente Systeme. German. Trans. English by Kristian Rother. 1. Auflage. Heidelberg: O'Reilly, p. 552. ISBN: 978-3-96010-114-7. URL: https://katalog.ub.uni-heidelberg.de/cgi-bin/titel.cgi?katkey=68275614 (visited on 08/30/2022) (cit. on p. 37).

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